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FINANCIAL DISCLOSURE REPORTS
MEMBERS OF HOUSE OF REPRESENTATIVES
JANUARY 1–DECEMBER 31, 2003
VOLUME 2

United States Congressional Serial Set

Serial Number 14897

United States Government Printing Office
Washington : 2005

108th Congress, 2d Session - - - - - - - - - - - - House Document 108–205

FINANCIAL DISCLOSURE
REPORTS
OF MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES

FOR THE PERIOD BETWEEN

January 1, 2003 and December 31, 2003

COMMUNICATION FROM THE CLERK OF THE HOUSE

TRANSMITTING

THE ANNUAL COMPILATION OF PERSONAL FINANCIAL DISCLOSURE STATEMENTS AND AMENDMENTS THERETO FILED WITH THE CLERK OF THE HOUSE OF REPRESENTATIVES, PURSUANT TO RULE XXVI, CLAUSE 1, OF THE HOUSE RULES

(Volume 2 of 3)

SEPTEMBER 7, 2004.—Referred to the Committee on Standards of Official Conduct and ordered to be printed

U.S. GOVERNMENT PRINTING OFFICE

95–395 WASHINGTON : 2004

LETTER OF SUBMITTAL

OFFICE OF THE CLERK,
U.S. HOUSE OF REPRESENTATIVES,
Washington, DC, August 1, 2004.

Hon. J. DENNIS HASTERT,
The Speaker, The Capitol,
U.S. House of Representatives, Washington, DC.

DEAR MR. SPEAKER: I hereby submit the annual compilation of personal financial disclosure statements filed with the Clerk of the House of Representatives pursuant to the Ethics in Government Act of 1978, as amended, 5 U.S.C. app. § 101–111 (1994), and the House Rule XXVI.

This compilation contains the personal financial disclosure statements and amendments thereto required to be filed by Members of the House pursuant to the Act and House Rule XXVI by May 15, 2004. The compilation includes reported financial transactions which occurred between January 1, 2003 and December 31, 2003.

House Rule XXVI provides that the annual compilation of reports filed with the Clerk be printed as a House document by August 1 and contain all such reports filed by Members within the period beginning January 1 and ending June 15 of each year. This compilation is submitted accordingly.

With best wishes, I am

Sincerely,

JEFF TRANDAHL,
Clerk, House of Representatives.

Enclosures.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

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(Office Use Only)

Tim Holden
(Full Name)

31 Pearl Street St. Clair, PA 17970
(Mailing Address)

(202)225-5546
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative	State: PA District: 17	☐ Officer Or Employee	Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Tim Holden	5/14/04

2001

SCHEDULE I - EARNED INCOME

Name Tim Holden

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
County of Schuylkill	Spouse Salary	$37,739.63

2002

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Tim Holden

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $6,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Schuylkill Federal Employees Credit Union, 724 Market Street, Pottsville, PA 17901	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
JT	M &T Bank, One South Centre, Pottsville, PA 17901	$1 - $1,000	INTEREST	$1 - $200	N/A
JT	T-3 Energy	$1 - $1,000	DIVIDENDS	NONE	N/A
JT	Van Guard Energy Fund	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
JT	Windsor Stocks	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
JT	PA Tax Free Fund, Insured Long Term Bond	$15,001 - $50,000	Other (tax exempt income)	$1 - $200	N/A

2003

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Tim Holden

JT	VMMR Prime Portfolio	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
JT	VanGuard High Yield Corporate Portfolio	$50,001 - $100,000	INTEREST	$2,501 - $5,000	N/A
SP	Schuylkill County Employees Retirement System	$15,001 - $50,000	N/A	N/A	N/A
	Agere Systems	$1 - $1,000	DIVIDENDS	N/A	N/A
	Sovereign Bankcorp, Inc.	$15,001 - $50,000	DIVIDENDS	$1 - $200	S
	Lucent Technologies	$1 - $1,000	N/A	N/A	N/A
	UIT First Trust	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
	CitiBank Bank Deposit Program (SmithBarney)	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A

SCHEDULE IV - TRANSACTIONS

Name Tim Holden

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Sovereign Bankcorp, Inc.	S	9/26/03	$1,001 - $15,000
	UIT First Trust	S	1/3/03; 6/30/03; 12/31/03	$1-$200

2005

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

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9

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U.S. HOUSE OF REPRESENTATIVES

Rush D. Holt
(Full Name)

PO Box 782 Pennington NJ 08534
(Mailing Address)

(202) 225-5801
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: ____ District: ____	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☐	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Rush Holt	May 17, 2004

2006

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Princeton Medical Center	Spouse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

2007

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
N/A			

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2008

Name Rush Holt

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP, DC, JT	Examples: SP Mega Corp. Stock	X	DIVIDENDS	IV $1,001 – $2,500	P
	Simon & Schuster	Indefinite	Royalties	X	
	1st Bank of Paducah, KY accounts	F $100,001 – $250,000	INTEREST	VI $5,001 – $15,000	
JT	Wachovia Bank Accounts	D $15,001 – $50,000	INTEREST	II $1 – $200	
JT	Fleet Bank Accounts	D $15,001 – $50,000	INTEREST	II $1 – $200	
JT	Charter One Bank Acc.	C $1,001 – $15,000	INTEREST	II $1 – $200	
JT	Rental House Pennington Rocky Hill Rd., Pennington	F $100,001 – $250,000	RENT	VI $5,001 – $15,000	
	Summer Cabin Ausable Forks, NY	F $100,001 – $250,000	RENT	V $2,501 – $5,000	
JT	Congressional Credit Union	C $1,001 – $15,000	INTEREST	II $1 – $200	

For additional assets and unearned income, use next page.

2009

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Rush Holt

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Vanguard Windsor Retirement Fund			X				X	X			NA						
	TIAA/CREF "							X	X			NA						
	Federal Retirement Fund			X				X	X			NA						
	Froelich Land Trust												X					
sp	Fidelity IRA		X					X				NA						
sp	Fidelity 403(b)				X			X	X			NA						
sp	Prudential 403(b)		X					X				NA						
sp	TIAA/CREF				X			X	X			NA						
sp	Farm Share, Lancefield Farm, Amity, OR		X								Farm income		X					

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2010

SCHEDULE IV — TRANSACTIONS

Name Rush Holt

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	NA														

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2011

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
				C $15,001 – $50,000		E $100,001 – $250,000		G $500,001 – $1,000,000		I $5,000,001 – $25,000,000		K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NA											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NA		

Use additional sheets if more space is required.

2012

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Aspen Institute	1/17 - 23	NJ - Hawaii - NJ	Y	Y	Y	N
	Nat'l Parks Conservation Ass.	2/14-19	NJ - Montana - Wyoming - NJ	Y	Y	Y	2 Days
	Aspen Institute	5/26-6/1	NJ - Italy - NJ	Y	Y	Y	N

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2013

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Trustees	McCarter Theater - Princeton
"	Family & Childrens Services of Central NJ
"	Population Resource Center - Princeton

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NA	

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

Page 1 of 5

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2015

Michael M. Honda
(Full Name)

6154 Valley Glen Drive San Jose, CA 95123
(Mailing Address)

202-225-2631
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: CA, District: 15	☐ Officer Or Employee — Employing Office:	**A $200 penalty shall be assessed against anyone who files more than 30 days late.**
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Michael M. Honda	5-17-04

SCHEDULE I - EARNED INCOME

Name Michael M. Honda

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
US House of Representatives	Salary	$154,700
Oak Grove School District San Jose, CA	Spouses Salary	N/A

2016

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Michael M. Honda

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Parcel #0420271130000 Co. Of San Bernadino	$1,001 - $15,000	N/A	NONE	
JT	Keystone/Evergreen Small Co. Growth A	$1,001 - $15,000	DIVIDENDS	$5,001 - $15,000	
JT	Fidelity Advisor Funds (Equity Growth Class T)	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
JT	Fidelity Advisor Funds (Growth Opp. Class T)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	American Life Financiall Ins. Co. And Annuity	$50,001 - $100,000	N/A	NONE	
SP	American Financial Life & Annuity Insurance Co.	$1,001 - $15,000	Other: Unrealized Gain	$5,001 - $15,000	

2017

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Michael M. Honda

SP	S.T.R.S.	$50,001 - $100,000	N/A	NONE	
SP	Sun Life of Canada	$1,001 - $15,000	Other: Unrealized Gain	$201 - $1,000	
	Sun Life of Canada	$15,001 - $50,000	Other: Unrealized Gain	$1,001 - $2,500	
JT	Provident Central Credit Union	$15,001 - $50,000	INTEREST	$201 - $1,000	
	The Golden I Credit Union	$1,001 - $15,000	INTEREST	$201 - $1,000	
	International County/City Managers Assoc. (Formerly Wells Fargo)	$50,001 - $100,000	Other: Deferred Income		
	P.E.R.S.	$15,001 - $50,000	N/A	NONE	
	S.T.R.S	$100,001 - $250,000	N/A	NONE	
	American Financial Life & Annuity Insurance Co.	$50,001 - $100,000	Other: Unrealized Gain	$2,501 - $5,000	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Michael M. Honda

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Asian Arab Anti-Discrimination League (ADL)	Apr. 25	Wash., D.C. -- Dearborn, MI -- Columbus, OH	Y	Y	N	n/a
Organization of Chinese Americans (OCA)	Apr. 26	Dearborn, MI -- Columbus, OH -- Wash., D.C.	Y	Y	N	n/a
House Transportation Comm.	July 26-Aug. 3	Wash., D.C. -- Alaska -- San Jose, CA	Y	Y	Y	n/a
Sony Music Corp.	Oct. 23-26	Wash., D.C. -- Puerto Rico -- Wash., D.C.	Y	Y	Y	n/a
Korean Exchange Council	Nov.30 - Dec.2	San Francisco, CA -- Korea -- Japan	Y	Y	N	n/a
GW Univ. Elliot School of International Affairs	Dec.3-7	Korea--Japan--San Francisco, CA	Y	Y	N	2 days
Microsoft Corp.	Dec.11-13	San Jose, CA--Seattle, WA--San Jose, CA	Y	Y	N	1 day

2019

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A — For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 14 AM 9:34
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Darlene Hooley
(Full Name)

P. O. Box 565 West Linn, OR 97068
(Mailing Address)

202-225-5711
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative — State: OR, District: 05	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $286 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	**Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?**	Yes ☐ No ☑
Exemptions--	**Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?**	Yes ☐ No ☑

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Darlene K Hooley	5/14/04

2020

SCHEDULE I - EARNED INCOME

Name Darlene Hooley

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Oregon	Retirement	$30,355

2021

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Darlene Hooley

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Fidelity Investment	$1,001 - $15,000	Divdends/Interest/	$1-200	
Portland Teachers Credit Union	$1,001 - $15,000	Interest/	$1-200	
ING Life Insurance (formerly Aetna)	$100,001 - $250,000	Other: Tax-deferred annuity	NONE	
Westport Oil	$1,001 - $15,000	Royalty	$201 - $1,000	
PERS	$0	N/A	$0	
Wedbush C/F IRA (formerly Sutco)	$50,001 - $100,000	DIVIDENDS/INTEREST	$1,001 - $2,500	

2022

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Darlene Hooley

	Wright-Patman Congressional FCU	$1 - $1,000	INTEREST	$1 - $200	
	Wedbush (formerly Sutco)	$1,001 - $15,000	INTEREST	$201 - $1,000	

2023

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Darlene Hooley

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
New Democrat Network	May 16-18	Washington, DC--Williamsburg, VA--Washington, DC	Y	Y	N	N/A

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED
(4)
LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 3:33
(Office Use Only)

HC

2025

John Nathan Hostettler
(Full Name)

1214 Longworth Building Washington, DC 20515
(Mailing Address)

202-225-4636
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative — State: IN District: 08	☐ Officer Or Employee	Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John N. Hostettler	5/17/04

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Nathan Hostettler

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Boeing Co. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Edison International Stock	$1 - $1,000	DIVIDENDS	NONE	
JT	Exxon Corp. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	General Electric Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	RJR Stock	$1 - $1,000	DIVIDENDS	$1 - $200	
JT	Bristol Myers Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

2026

:HEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Nathan Hostettler

T	Key Corp. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
T	IBM Stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	

SCHEDULE V - LIABILITIES

Name John Nathan Hostettler

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Evansville Teachers Federal Credit Union	Visa Credit Card	$15,001 - $50,000
JT	First Union Bank, NA	Mastercard Credit Card	$15,001 - $50,000
JT	Charles Schwab	Margin Account	$15,001 - $50,000

HAND DELIVERED

328

2004 MAY 17 AM 10:30

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

(Full Name) Amory Houghton, Jr.

(Mailing Address) 80 E. Market St., Suite 300

Corning, NY 14830

Daytime Telephone 202-225-3161

Filer Status: [ ] Member of the U.S. House of Representatives — State: New York District: 29th [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) [ ] Amendment [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes [X]	No [ ]	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

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This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Amory Houghton	5/14/04

2029

Source and Type	Amount
Corning Incorporated:	
Income (in-kind):	
Value of secretarial services provided by former employer for whom consulting services are provided without monetary compensation (income in-kind, in excess of the 2003 outside earned income limit of $23,205, is offset by 2003 donations to qualified charitable organizations in the amount of $203,301)	$ 52,192
Trustee and Executor Commissions (commissions represent personal services provided to protect family resources, amounts not subject to outside earned income limit):	
L. R. Houghton Trust U/W A. Houghton	140,816
L.H Beer Trust 12/10/34	7,716
J.R. Houghton Trust 12/10/34	7,680
A.B. Houghton Trust 12/10/34	5,881
A. Houghton Trust 12/10/34	6,537
E.H. Weinberg Trust 12/10/34	7,183
A.S. Lassalle Trust 1/20/79	1,297
G.H. Lassalle Trust 11/21/78	5,399
H.D. Houghton Trust 12/22/61	7,594
M.T. Mowbray Trust U/W G.B. Hollister	2,639
H.M. Carter Trust U/W G.B. Hollister	2,599
M.T. Mowbray Trust 4/18/46	491
B.H. Brakenridge Trust 4/18/46	494
B. Hollister Jr. Trust 4/18/46	493
P.H. Mowbray Trust U/W H.C. Hollister	2,202
B. Hollister Trust U/W/ H.C. Hollister	2,251
P.J. Allatt Jr. Trust 8/15/49	3,064
J.W. Hollister Trust U/W G.B. Hollister	1,710
B.H. Brakenridge Trust U/W G.B. Hollister	1,939
B. Hollister Jr. Trust U/W G.B. Hollister	1,907
H.M. Carter Trust 4/18/46	492
B.G. Ooten Trust 4/21/65	1,589
M.A. Keaton Trust 1/22/64	1,472
M.A. Keaton Trust 6/24/63	1,244
E.M. OeDell Trust 6/24/63	1,172
E. Houghton Trust 12/10/34	10,133
E.A. Ray Trust 12/10/34	7,404
E.H. Cole Trust 12/10/34	8,807
	$ 242,205

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Debt Securities:					
Augusta National Inc. - building Certificate – Series B	B		None	None	

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Equity Securities:					
Citigroup, Inc. – common stock	F		Dividend	V	S
			Capital Gain	VII	
Corning Incorporated - common stock	I		Dividend	I	D
Gemstar T.V. Guide International, Inc.	A		Capital Gain	IV	S
Market Street Trust Company - common stock, Corning, NY	C		Dividend	I	
Market Street Partners LLC, Corning NY	F		Interest	I	
Sun Microsystems - common stock	A		Capital Gain	VII	S
MetLife, Inc.	A		Dividend	II	S
			Capital Gain	III	
Kittansett Club Voting Trust	C		None	None	
Moisie Salmon Club Class A and B	C		None	None	
Travelers Property Casualty Corporation Class A and B	C		Dividend	II	
U.S. Government Obligations:					
Savings bonds series E & EE	C		Interest	III	
Treasury Notes, 12.750%, due 11/15/2010	D		Interest	V	
Partnerships:					
Acorn IV, a general partnership which is the sole limited partner in Whitehall L/TD, a partnership holding a real estate investment in vacant land within Walnut Creek Industrial Park, Austin, TX (share – 19.60%)	F	(1)	Partnership	I	
Bradford Gordon Master L.P., limited partnership organized to acquire royalty, mineral or other nonoperating interests in natural gas properties primarily in Michigan (share 0.92%)	D	(1)	Partnership	I	P
Real Estate:					
Farmland, Millis, Mass.	C	(2)	Farm	III	
Other:					
JPMorgan Chase Bank, New York, NY	H		Interest	IV	
Citibank, N.A., New York, NY	F		Interest	III	
Corning Federal Credit Union, Corning, NY	C		Interest	II	
Fleet Bank, N.A., Quincy, MA	C		Interest	II	
76-78 East Market Street (investment property), Corning, NY	G		Interest	II	
			Rent	VII	
Congressional Federal Credit Union, Washington, DC	D		Interest	II	
Hingham Institution for Savings, Hingham, MA	C		Interest	I	

(D) Asset donated in 2003.
(1) Valuation method – original investment, plus/less any additional capital contributed/withdrawn and income/loss since inception.
(2) Valuation method assessed value for tax purposes.

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
M&T Bank, Corning, NY	D		None	None	
Notes receivable - Friends of Houghton	F		Interest	I	
Notes receivable - RGD Consulting Group, Elmira, NY (indebtedness forgiven in 2003)	A				
Notes receivable -- Nancy M. Macraig (indebtedness forgiven in 2003)	A				
Harbor International Fund, mutual fund, Toledo, OH	C		Dividend Capital Gain	II II	P
Standish Fixed Income Fund, mutual fund, Boston, MA	A		Dividend Capital Gain	IV V	S
Longleaf Partners Fund, mutual fund, Kansas City, MO	D		Dividend	I	
Vanguard Total Stock Market Index, mutual fund, Philadelphia, PA	D		Dividend	II	P
Vanguard Extended Market Portfolio, mutual fund, Philadelphia, PA	A		Dividend Capital Gain	II I	S
Vanguard Institutional Index Funds, mutual fund, Philadelphia, PA	A		Dividend Capital Gain	III IV	S
Vanguard Mid-Cap Index Fund Institutional, mutual fund, Philadelphia, PA	A		Capital Gain	IV	S
Westport Small Capital Fund, mutual fund, Westport, CT	A		Dividend Capital Gain	I III	S
Dividend receivable, Harbor International Fund, mutual fund	B				
Dividend receivable, Vanguard Total Stock Market Index, mutual fund	B				

Trusts:

Market Street Venture Trust I (MSVTI) - Delaware business trust – investments include: JPMorgan Money Market Sweep Fund, Vanguard Limited Term Tax Exempt Fund, Boston Capital Ventures III LP (investments in portfolio companies including: Fast Channel, Brand Direct Marketing, Inc., Child Health Systems, Inc., Cornerstone Brands, Inc., Exa Corp., Intellon Corp., Sensitech, Inc., Rain Forest Trams, RCN, Vectrix Corp., Vertex Holdings, Inc.) Paul Capital Partners V, LP (investments in portfolio companies including: Assur-Investments, LLC, Financiere Tuileries-P-V, LLC, Cedar Creek II, LP, Financiere Tuileries Holdings,

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
LLC, 4C Ventures, LP, Sun Hung Kai China Development Fund, GP Capital Partners, LP, JK&B Capital, Argentina Private Equity Fund II, LP, Accel Japan LP, Larimer Ventures, Pallas Invest, SA, LP, Finestpar, LLC, Accel Telecom, LP, North Castle Partners I-A, LLC, Philadelphia Ventures-Japan II, LP, Matignon Investissements FCPR, Warburg Pincus Ventures LP, JAFCO St. Honore II fund, JAFCO St. Honore I Fund, Argen Card (Credit Card Holding Co.), Warburg Pincus Investors LP, Granville TransManche Fund, LP, North Castle Partners I, LLC, Apax CR III, FCPR, Fonds Partenaires, FCPR, Apax Ventures IV International, LP, Eurosuez Capital Management, SCA, Apax CR II, FCPR, Parvest US Investors II, LP, Asset Management Associates 1989, LP, Paribaven Overseas Investors I, NV, Parvest International Investors II, NV, ED Capitalisation Intermediare, Apax German European Ventures, LP, Charterhouse Capital France, FCPR, STF III, LP, Asset Management Associates 1984, LP, Mediale, FCPR, Parvalind, Sofinnova Capital FCPR, Warburg Pincus Capital Company, LP, PPU Maconothy, CMT Medical Technologies Ltd., CoreCare Systems, Inc., DOR BioPharma, IDR, Taxol, Visual Edge, IDR, Espro, Inc., Lemer Health Products Inc., Eldat, Microwave Technologies, Inc., Magni Systems, Inc., ART Advanced Recognition Technologies, Inc.), Boston Millennia Partners LP (investments in portfolio companies including: BrandDirect Marketing, Concentrix Corp., Entigo, Inc., iParty Corp., Knowledge Impact Inc., NuVox Communications, Inc., Oasis Technology, Ltd., OurHouse, Inc., PHT Corp., SPSS, TechSmart Inc., Teraphase Technology, Inc., VIA NET.WORKS, Inc., WebCT, Inc., Yantra Corp.), CHL Medical Partners, LP (investments in portfolio companies including: American Renal Holding, LLC, Cellular Genomics, Inc., Comprehensive NeuroScience, Inc., Genomic Health, Inc., Molecular Staging, Inc., POINT Biomedical Corporation, Protometrix, Inc., Revivant, Inc., RxCentric, Inc.					

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
SemperCare, Inc., Targesome, Inc.), Exeter Capital Partners IV, LP (investments in portfolio companies including: Kitchen Etc., Inc, Progressive International, Inc., Fed Corp., Brooks Equipment Co., Inc., Consolidated Delivery & Logistics, Inc., Horizon Food Group, Inc., K-Media, Inc., United Pet Group, Inc., Duramax, Inc., FiberNet Telecom Group, Inc., Facts on File, Inc., Natural History Magazine, Inc., NK Lawn & Garden, Equinox Holdings, Inc., Il Fornaio America Corp.), Intersouth Partners IV LP (investments in portfolio companies including: Sentillion, Inc., Smart Path, Inc. (formerly Marathon Innovations, Inc.), Biolex, Inc., Encelle, Inc., Merix Bioscience, Inc.), Labrador Ventures IV, LP (investments in portfolio companies including: Aperto Networks, Everyone.net, Inc., EZBoard, Inc., Green Border Technologies, Inc., Next Hop Technologies, OnAir Entertainment, Proficient Systems, Inc., San Jose Systems, Inc., Sentinel Vision, Inc., Soligence Corporation, TeraBurst Networks, Inc., Yube, Inc.), The Megunticook Fund II, LP (investments in portfolio companies including: Aegis Semiconductor, Inc., Corona Optical Systems, Inc., Datatrac Corporation, Data TV Networks, Inc., dBm Optics, Inc., Digital Reliance, Inc., Verrillon, Inc. (formerly IntelCore Technologies, Inc.), Lightship Holding, Inc., Management Dynamics, Inc., Mongoose Media, LLC, Terralink Software Systems, Inc.) The Megunticook Side Fund II, LP (investments in portfolio companies including: Aegis Semiconductor, Inc., Corona Optical Systems, Inc., Datatrac Corporation, Data TV Networks, Inc., dBm Optics, Inc., Digital Reliance, Inc., Verrillon, Inc. (formerly IntelCore Technologies, Inc.), Lightship Holding, Inc., Management Dynamics, Inc., Mongoose Media, LLC, Terralink Software Systems, Inc.), Paul VI Associates, LP (investments in portfolio companies including: Financiere Tuileries P-VI, LLC, Matignon Investissements FCPR, Paul Capital IC Holdings, LLC, Assur,					

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Nessie Limited, Vendome Holdings, Genstar Capital Corporation, BBI (BLE) Holdings, LLC, Cedar Creek II, LP, Sanderling Ventures Limited, North Castle Partners I, LLC, Boston Capital Ventures II, LP, Trinity Ventures V, LP, Boston Capital Ventures III, LP, JK&B Capital, LP, Trinity Ventures III, LP, North Castle Partners I-A, LP, Blackstone Capital Partners III Merchant Banking Fund LP, J.P. Morgan Partners Global Investors A, LP, Gold-Zack Partnership, JAFCO St Honore II Fund, JAFCO St Honore I Fund, Kohlberg Investors III, Silver Lake Partners, LP, Warburg Pincus Equity Partners, Green Equity Investors III, LP, Riverside 1998 Capital Appreciation Fund, LP, Private Equity Investors III, FS Equity Partners IV, LP, Warburg Pincus Ventures, LP, Saratoga Partners IV, Kleinwort Benson Equity Partner, Alta Berkeley V, Prudential Private Equity Investors III, LP, Silver Lake Partners Cayman, LP, Granville SW Unquoted Trust, Granville Private Equity Fund V, CEA Capital Partners USA, LP, Vestar Capital Partners IV, LP, Grumman Hill Investments III, LP, Thayer Equity Investors IV, Apax European Buy-In Fund, Willis Stein & Partners II, Thomas Lea Equity Fund IV, LP, Austin Ventures III-A, LP, Pegasus Related Partners, Windward Capital Partners II, LP, Institutional Venture Partners V, LP, Warburg Pincus Investors, LP, Brown Shipley N3 Fund, Kelso Investments Associates V, LP, Technology Venture Investors-4, LP, Veritas Capital Fund, LP, APA Excelsior III Offshore LP, VS&A Communications Partners II, LP, Bradford Equities Fund, LP, Vestar Capital Partners III, LP, Kelso Investments Associates VI, LP, Knightsbridge Integrated Holdings Ltd, Granville TransManche Fund, LP, Carlyle Europe Partners, LP, Orien II, LP, Celerity Partners II, LP, Apax Ventures III, Noro-Moseley Partners II, LP, Institutional Venture Partners IV, LP, Transatlantic Capital BioSciences Fund Partnership C, Cambridge Research & Innovation Ltd, Golder, Thorna, Cressey Fund III, LP,					

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset		Income		
	Category of Value	Alternative Valuation	Type of Income	Category of Income	Transaction
Blackstone Capital Partners II Merchant Banking Fund LP, Granville Third Modern Management Trust, Apax CR III, FCPR, Sequoia Capital V, Centre Capital Investors II, LP, Warburg Pincus Capital Company, LP, Fonds Partenaires, FCPR, Apax Ventures IV, Apax Ventures IV International, LP, Eurosuez Capital Management, SCA, Whitney Equity Partners, LP, Advent Atlantic & Pacific II, LP, Procuritas MBO Investment Fund, Greenwich Street Capital Partners, LP, Vanguard IV, LP, Biotechnology Ventures Fund SA, Apax CR II, FCPR, Paribaven Overseas Investors I, NV, Parvest, International Investors II, NV, Parvest U.S. Investors II, LP, Asset Management Associates 1989, LP, Technology Venture Investors-4, LP, STF III, LP, Grumman Hill Investments, LP, ED Capitalisation Intermediaire, Sevin Rosen Fund III, LP, Scottish Equity Partnership, Apax German European Ventures, LP, APA Excelsior IV, LP, Scottish Exhibition Center, Charterhouse Capital France, FCPR, Sevin Rosen Fund IV, LP, Asset Management Associates 1984, LP, Mediale, FCPR, Parvalind, Partech Overseas Investors, Knightsbridge Associated Investors V in DSV Partners IV, Sofinnova, Conning Insurance Capital (CIC) II in CIC International Partners II, Sofinnova Capital FCPR, Schroder UK Venture Fund III, eVentures Group Inc., PPU Maconomy A/S, CMT Medical Technologies Ltd., Methylgene, Prestolite Electric Holdings, Inc., Taxol, Visual Edge, ART Advanced Recognition Technologies Inc., Espro, Leiner Health Products Inc., Eldat, Gold-Zack Partners I BV), ZN Mexico Trust (investments in portfolio companies including: Desarrolladora Homex, S.A. de C.V., Aerobal, S.A. de C.V., UniversoE.com, Inc., Industrias Innopak S.A. de C.V., Grupo Ybarra, S.A. de C.V.) Tucker Anthony Private Equity Fund II, LP (investments in portfolio companies at September 30, 2003, including: ABS Capital Partners III, LP, Advent Digital Media & Communications III, LP,					

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset		Income		
	Category of Value	Alternative Valuation	Type of Income	Category of Income	Transaction
Austin Ventures VI, LP, Battery Ventures V, LP, Cypress Merchant Banking Partners II, LP, Draper Fisher Jurvetson Fund VI, LP, Fox Paine Capital Fund I, LP, Frazier Healthcare III, LP, Halpern Denny Fund III, LP, Highland Capital Partners V, LP, Madison Dearborn Capital Partners III, LP, Meritech Capital Partners, LP, New Enterprise Associates VIII, LP, Polaris Venture Partners III, LP, SKM Equity Fund III, LP, Spectrum Equity Investors III, LP, Summit Accelerator Fund, LP, TA IX, LP, Technology Crossover Ventures IV, LP, Thomas H. Lee Equity Fund V, LP, Thomas Weisel Capital Partners, LP, VantagePoint Venture Partners IV, LP, Vestar Capital Partners IV, LP) (share - .19%)	D	(3)	Trust	III	
Market Street Venture Trust II (MSVTII) - Delaware business trust – investments include: JPMorgan Money Market Sweep Fund, Vanguard Limited Term Tax Exempt Fund, Akamai Technologies, Baker Communications Fund LP and Baker Communications Fund (Cayman), LP (investments in portfolio companies including: Advanced Switching, Broadview Networks, Cherryroad Technologies (formerly Acuent), Connected Corporation, Curl Corporation, Fort Point Partners, InterXion Holdings, NV, QS Communications, AG, S2 Systems), Oxford Bioscience Partners II LP (investments in portfolio companies including: Apovia, AG, Astex Technology Ltd., Cardiopulmonary Corp., Cellomics, Inc., Ceres, CytoLogix Corporation, Dakocytomation, Entomed SA, Exelixis (formerly Artemis), ExonHit Therapeutics, SA, *Family* meds, Inc., GuideStar Health Systems, Inc., Lexicon Genetics, Inc. (formerly Coelacanth), Lion Bioscience AG (formerly Net Genics), Memory Pharmaceuticals Corp., Message Pharmaceuticals, MicroMed Technology, Inc., MT Technology, Inc. (formerly Mosaic), Nuvelo (formerly Variagenics, Inc.),					

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset		Income		
	Category of Value	Alternative Valuation	Type of Income	Category of Income	Transaction
Orchid BioSciences, Inc., Predix (formerly Physiome Sciences, Inc.), SciQuest), Baker Communications Fund II (QP), LP, Baker Communications Fund II (Cayman) LP and Baker Communications Fund II, LP (investments in portfolio companies including: Action Engine, Broadview Networks, Canal Plus, SA, EM4 Photonics, Intermap, InterXion Holdings NV, IQ Navigator, JP Mobile, Permabit, QS Communications AG, RiverOne (formerly Need 2 Buy.com), Sand Video, Shelter Venture Fund, LP, Tamir Fishman Ventures, Teem Photonics SA, Totality, Turin Networks, Voltaire, Zucotto Wireless) Paul Associates II, LP (investments in portfolio companies including: New Enterprise Associates 8A, ABN-Amro Capital, Celtic House Venture Partners Fund IIA, LP, Greenwich Street Capital Partners II, LP, De Dietrich, J.P. Morgan Partners Global Investors A, LP, Green Equity Investors III, LP, Gold-Zack Partnership, FS Equity Partners IV, LP, Fremont Partners, LP, ML-Silver Lake Trust, Grumman Hill Investments III, LP, Matignon Investissements FCPR, SVB Strategic Investors Fund, LP, Austin Ventures III-A, LP, Suez Asia Equity Associates SCA, Golder, Thoma, Cressey Fund III, LP, Whitney Equity Partners, LP, Sevin Rosen Fund IV, LP, Sevin Rosen Fund III, LP, Technology Venture Investors-4, LP, Grumman Hill Investments, LP, Paul Capital 2002-W Holdings, LP, MidOcean Partners, LP, CPP Investment Board – Paul Capital Holdings, LP, Shea-Paul Ventures, LLC, Boston Ventures Limited Partnership VI, Richmond Acquisition, ASF Summit Holding, ASF II Holdings, Pequot Private Equity Fund, LP, Adams Capital Management II, LP, Columbia Capital Equity Partners II (Cayman), LP, Vanguard IV, LP, Soffinova Venture Partners V, LP, Soffinova Venture Partners IV, LP, Polaris Ventures Partners III, LP, Abingworth BioVentures II, SICAV, Abingworth BioVentures IIa, LP, Paul Capital European Investments, SARL,					

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset		Income		
	Category of Value	Alternative Valuation	Type of Income	Category of Income	Transaction
MPM BioVentures II-QP, L.P., Vector Later Stage Equity Fund II, L.P., Gabriel Venture Partners, L.P., JK&B Capital III, L.P., Forward Ventures III Institutional Partners, L.P., Intersouth Partners V, L.P., JK&B Capital, Sofinnova Capital III, Talon Opportunity Fund, L.P., Boulder Ventures III, L.P.) Boston Millennia Partners II (investments in porfolio companies incuding: Athenix Corporation, Odyssey Logistics, Inc., Collegium Pharmaceutical, Inc., Tropos Network, Inc., Arthosurface, Inc., Dawntreader, eMed Technologies, Inc., Entigo, Inc., Nexidia, Inc., Cardio MEMS, Inc., Infotrieve, Inc., Galt Associates, Inc., Iolon, Inc., Knowledge Impact, Inc., MedAptus, Inc., Netifice Communications, Inc., Optical Solutions, Inc., PhotonEx, Inc., Epi Genesis, Inc., Novalar Pharmaceuticals, Inc., GlycoFi, Inc., Protein Forest, Politzer & Haney, Inc., Totality, Inc., V-SPAN, Inc., YankeeTek, Yantra Corp.) Intersouth Partners V, LP (investments in portfolio companies including: InfoGlide Software, MicroCHIPS, Inc., Neolinear, Inc., Ziptronix Inc., NetOctave, Inc., Trinity Convergence, Inc., Defywire Inc., Invistics Corporation, Overture Networks, Inc., Athenix, Nuada Pharmaceuticals (formerly ChemCodes, Inc.), Merix Bioscience, Inc., Norak Biosciences, Inc., Stemco Biomedical, Inc., Serenex, Covega Corporation (formerly Quantum Photonics), Artifact Software, Biolex Inc., Sopherion Therapeutics, Inc., Avesair (including Inphonic Stock distributed to Fund) (share - .26%)	D	(3)	Trust	IV	

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Amory Houghton Jr. Trust U/W A.B. Houghton - (interest in income only) **			Trust	IX	
Holdings consist of:					
Trusts:					
Market Street New York Municipal Bond Trust - Delaware business trust - investments include: Municipal Assistance Corp. for the City of New York - New York, New York State Thruway Authority, Metropolitan Transportation Authority - New York, Power Authority of the State of New York, New York City Municipal Water Finance Authority, New York State Environmental Facilities Corp., Orange County -New York General Purpose Unlimited Tax, State of New York Refinance Unlimited Tax, New York State Mortgage Agency, New York Urban Development Corp., Puerto Rico Infrastructure Financing Authority and JPMorgan Money Market Sweep Fund (share – 27.27%)	I	(3)			S

** Category of value represents total value of each asset held in the Trust

(3) See explanation at page 8 of 28.

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Market Street Equity Trust I – Delaware business trust - investments include Ashford Capital Partners Limited Partnership, with investments in portfolio companies including: Activcard Corp., Actel Corp., Adolor Corp., Armor Holdings, Inc., Allied Capital Corp., Ambassador Group Inc., Ambassadors International, Inc., Balchem Corp. Class B, Brown & Brown, Inc., CCC Information Services Group, Collagenex Pharmaceuticals, Inc., Copart, Inc., CoStar Group, Inc., CSG Systems International, Inc., Duratek, Inc., Dot Hill Systems Corp., EPIQ Systems, Inc., Equinix Inc., FTI Consulting, Inc., Harris Interactive, Inc., Hi-Tech Pharmacal Co., Inc., Immucor Inc., Impax Laboratories Inc., International Rectifier Corp., International Speedway Corp., Integral Systems, Inc., Kroll Inc., Kronos, Inc., Kaiser Ventures, LLC, K-V Pharmaceutical Company Class A, Lifeline Systems, Inc., Member Works Inc., Multi-Color Corp., Littlefuse, Inc., Macrovision Corp., Mapinfo Corp., Mercury Computer Systems, Inc., Navigators Group, Inc., Opsware Inc., Overstock.com Inc., Philadelphia Consolidated Holding Corp., Polymedica Corporation, Plumtree Software Inc., Penwest Pharmaceuticals Co., Pharmaceutical Resources, Inc., Phoenix Technologies LTD, Cash America Investments, Inc., Aaron Rents, Inc., Sera Care Life Sciences, Inc., Safenet, Inc., Silicon Valley Bancshares, Strayer Education, Inc., Sylvan Learning Systems, Inc., Seracare Life Sciences Inc., Talx Corp., Taro Pharmaceutical Industries Ltd., Utah Medical Products, Inc., Virage Logic Corp., Visual Networks, Inc., Vitesse Semiconductor Corp. Valuevision International, Inc., and JPMorgan Money Market Sweep Fund (share – 1.90%)	G	(3)			

(3) See explanation at page 8 of 28.

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Market Street Equity Trust II - Delaware business trust - investments include: Cardinal Health, Inc., MBNA Corp., McData Corp., Paychex, Inc., Charles Schwab Corp., Anheuser-Busch Companies, Inc., Home Depot, Inc., Kellogg Co., Target Corp., Veritas Software Corp., American International Group, Inc., Applied Materials, Inc., Cisco Systems, Inc., Juniper Networks, Inc., Linear Technology Corp., Microsoft Corp., Maxim Integrated Products, Inc., Pfizer, Inc., Xilinx, Inc., Dell Inc., First Data Corp., Johnson & Johnson, Coca-Cola Co.,, Medtronic, Inc., New York Times Co., Starbucks Corp., Stryker Corp., State Street Corp., Sysco Corp., Viacom Non-Voting Common B, WM Wrigley Jr. Co., JPMorgan Money Market Sweep Fund, Cognizant Technology Solutions Corp., Intersil Corporation, Amgen Inc., Forest Laboratories Inc., Marriott International Inc., Qualcomm Inc. and Walgreen Co. (share – 2.44%)	I	(3)			S
Market Street Equity Trust III – Delaware business trust - investments include: ALLSTATE Corp., American Express Co., Citigroup, Inc., Chubb Corp., Cendant Corp., Comcast Corp., E.I. du Pont de Nemours and Co., Devon Energy Corp., Emerson Electric Co., Exelon Corp., Fleet Boston Financial Corp., Freddie Mac, Gannett Co., Inc., Kerr-McGee Corp., Liberty Media Corp., Oxford Health Plans, Inc., Pitney-Bowes, Inc., Radioshack Corp., Whirlpool Corp., Apple Computer, Inc., Alltel Corp., BankNorth Group, Inc., A.H. Belo Corp., Bear Stearns Companies, Inc., Dundee Bancorp, Datascope Corp., Quantum Corp., Electro Rent Corp., Forest City Enterprises Co., Fidelity Bankshares, Inc., International Game Technology, Knight Ridder, Inc., Lawson Products, Inc., Marcus					

(3) See explanation at page 8 of 28.

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Corp., Mentor Graphics Corp., McClatchy Co., Mercantile Bankshares Corp., NCR Corp., New York Times Co., Progressive Software Corp., Raymond James Financial, Inc., Scientific Atlanta, Inc., Superior Industries, Inc., TOPPS, Inc., Webster Financial Corp., Weis Markets, Inc., Whitney Holding Corp., Abbott Laboratories, CVS Corp., ChevronTexaco Corp., General Electric Co., HCA Inc., Honeywell International, Inc., Merck & Company, Inc., MGIC Investment Corp., Office Depot, Inc., YUM! Brands, Inc., Computer Associates International, Inc., Commerce Bancshares, Inc., Hibernia Corp., John Wiley & Sons, Inc. Class A, MGM Mirage, Inc., Universal Health Services, Inc., American International Group Inc., Anthem Inc., First Energy Corp., Fannie Mae, Pierpont Money Market Fund and JP Morgan Money Market Sweep Fund (share – 2.25%)	I	(3)			S
Market Street Venture Trust I – (see holdings listed above) (share – 2.10%)	G	(3)			
Market Street Venture Trust II – (see holdings listed above) (share – 0.90%)	F	(3)			

(3) See explanation at page 8 of 28.

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset		Income		Transaction
	Category of Value	Alternative Valuation	Type of Income	Category of Income	
Market Street International Equity Trust I – Delaware business trust – investments include: Hunter Douglas NV, Novartis AG, Altadis SA, Fomento Economico Mexicano SA, Total Fina Elf SA-B, Man Group PLC, Singer & Freidlander Group PLC, HSBC Holdings PLC, Oversea-Chinese Banking Corp., Shin-Etso Chemical Co. LTD, FANUC Co. LTD, Canon Inc, Alleanza Assicurazio NI SPA, Aventis Sponsored ADR, VNU NV EURO.20, Fortis Group, Credit Suisse Group, Swiss RE-REG, Unicredito Italiano, Sanofi-Synthelabo SA, Cadbury Schweppes, Glaxosmithkline PLC, Hennes and Mauritz A B Seko, Loreal FRF10 ORDS, LVMH Moet Hennesy, Suez Lyonnaise Des EAUX, Nokia Corp Class A, News Corp Ltd. ADR, Koninklijke Philips Electronic, Pearson PLC, Reckitt Benckiser PLC, Siemens AG NPV, ST Microelectronics NV, Telefonica SA, Vodafone Group, Porsche AG, BNP Paribas, ANGLO Irish Bank Corp PLC, JPMorgan Funds, ELEKTA AB-B, Modern Times Group-B, Sun Hung Kai Properties, CIB Finance Richmont-UTS, Deutsche Boerse AG, Neopost SA, Lagardere S.C.A., EMAP PLC, Rentokil Initial PLC, Greek Organization of Football, Nestle SA-REG, Tesco PLC, Smith & Nephew PLC, Bank of Ireland, COFCO International, Tsingtao Brewery Co. LTD, Bayerische Motoren Werke AG, SAP AG, Flextronics International, Hong Kong & China Gas LTD, Techtronic Industries Co., Infosys Technologies, Luxottica Group SPA, Hyundai Motorci-GDR, People's Food Holdings LTD, Roche Holding SAG, Heidelberg Cement AG, AP Moeller Maersk A/S, Tietoenator, Credit Agricole SA, Bunzl, PLC, Barclays PLC, Smiths Group PLC, BG Group PLC, Public Power Corp., CRH PLC, Snam Rete Gas SpA, Acom Co. Ltd, Shimano Inc., Seiko Epson Corp., Takeda Chemical Industries LTD,					

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset		Income		Transaction
	Category of Value	Alternative Valuation	Type of Income	Category of Income	
Dentsu Inc., Nichii Gakkan Co., Capital Nomura Securities PCL, Uniden Corp., The Bank of Yokohama LTD, Kookmin Bank, LUKoil Holding, Exact Holding NV, Koninklijke KPN NV, Prudential Financial Inc., Companhia Vale do Rio Doce, Autoliv Inc., Swedish Match AB, BP PLC Sponsored ADR, Carnival PLC, Telecom Italia Mobile SpA (TIM), Total SA ADR, Autogrill SpA, Alcan Inc., Erste Bank der Oesterreichischen Sparkassen AG, Bennett Environmental Inc., Cameco Corp., Straumann Holding AG, Phonak Holding AG, Geberit AG, China Petroleum and Chemical Corp. (Sinopec), Aluminum Corporation of China LTD, China Shipping Development Co. LTD, Anhui Expressway Co. LTD, Jiangxi Copper Co. LTD, Angang Newsteel Co. LTD, Huaneng Power International Inc., United Internet & Co. KGaA, Deutsche Bank AG, Continental AG, Deutsche Telekom AG, Vestas Wind System, Embraer-Empresa, Banco Santander Central Hispano SA, Gamesa Corporacion Tecnologica SA, Nokian Renkaat, Alcatel Alsthom, Dassault Systems SA, WPP Group PLC, British American Tobacco PLC, Burberry Group PLC, Standard Chartered PLC, LogicaCMG PLC, Allied Domecq PLC, Golar LNG, HDFC Bank LTD, Hopewell Holdings LTD, CITIC International Financial Holdings LTD, Denway Motors LTD, BOC Hong Kong (Holdings) LTD, ICICI Bank LTD, Telekomunikasi Indonesia Tbk PT, Bulgari SpA, Banco Itau Holding Financeira SA, Arisawa Mfg Co. LTD, NOK Corp., Citizen Electronics Co. LTD, Mitsui OSK Lines LTD, JSR Corp., Works Applications Co., TDK Corp., Toyota Motor Corp., Nitto Denko Corp., NIDEC Corp., Yahoo Japan Corp., Xinao Gas Holding LTD, Mediaset SpA, Inco LTD, Posco Sponsored ADR, Volvo AB-B, Neptune Orient Lines LTD, DBS Thai Danu Bank PCL, MSF					

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Technology, Companhia Siderurgica Nacional, Samsung Electronics Co. LTD, Land & House PLC, The Siam Cement PCL, Bajaj Auto LTD, Vimpel Communications, Anhui Conch Cement Co. LTD (share – 1.88%)	I	(3)			P
Market Street Hedge Fund I – Delaware business trust – investments include: Fundamental Managers Fund, LP (managed by Barlow Partners, New York, NY; Fund of funds organized to invest in small and mid-cap Long-Short U.S. Equity Hedge Funds with no bias toward any one sector or industry), Lee Munder Investment Partners I, LP (managed by Lee Munder Capital Group, Boston, MA; Long-Short U.S. Equity Hedge Fund benchmarked against the Russell 2000 Growth Index primarily investing in Technology, Healthcare and Business Service companies), Welch Entrepreneurial Fund, LP (managed by Welch Capital Partners, LLC, New York, NY; Long-Short U.S. Equity Hedge Fund benchmarked against the Russell 2000 Index primarily investing in Technology, Healthcare, Life Sciences and Energy companies), Downtown Associates, Horseman Global Fund (managed by Horseman Capital Management, Ltd., London, England; primarily Global Long-Short fund investing primarily in large-cap companies in the larger Asian markets, Mesirow Equity Opportunitiy Fund (managed by Mesirow Financial, Chicago, IL; Fund seeks to achieve capital appreciation through an investment program focused on specialized investment strategies through investments in other limited partnerships and offshore corporations), Vanguard Total Stock Market Index and JPMorgan Money Market Sweep Fund (Share 2.30%)	II	(3)			P

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Market Street Lighthouse Absolute Return Fund – Delaware business trust – investments include Lighthouse Diversified Fund II (Managed by Lighthouse Partners, LLC, Home Beach Gardens, FL, fund of funds investing across multiple investment strategies) and JPMorgan Money Market Sweep Fund (share 10.00%)	H	(3)			P
Market Street Absolute Return Fund – Delaware business trust – investments include: JPMorgan Money Market Sweep Fund and Vanguard Limited-Term Tax-Exempt Fund (share 1.84%)	I	(3)			P
Market Street Global High Yield Fund – Delaware business trust – investments include Goldman Sachs High Yield Municipal Institutional Fund, GMO Emerging Country Debt III Fund, Loomis Sayles Institutional High Income Fund, Vanguard High Yield Tax-Exempt Fund, and JPMorgan Money Market Sweep Fund (share 2.49%)	H	(3)			P
Market Street Real Asset Fund – Delaware business trust – investments include Arch Coal, Inc., Brigham Exploration Co., BJ Services Co., Baytex Energy LTD, Peabody Energy Corp., CONSOL Energy Inc., Cequel Energy Inc., Crew Energy Inc., Clayton Williams Energy Inc., Defiant Energy Corp., Endev Energy Inc., Energy Partners LTD, Espirit Exploration LTD, Frontline LTD, Global Industries LTD, Grey Wolf Inc., Hanover Compressor Co., Harvest Natural Resources Inc., Impact Energy Inc., Matador Resources Co., Navigo Energy Inc., Oilexco Inc., Olympia Energy Inc., OMI Corporation, Purcell Energy LTD, Pride International Inc., Progress Energy LTD, PetroKazakhstan Inc., Patterson-UTI Energy Inc., Penn Virginia Corp., Penn West Petroleum LTD, Plains Exploration & Production Co. L.P., Rio Alto Resources International Inc.,					

(3) See explanation at page 8 of 28.

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Rowan Companies Inc., Remington Oil and Gas Corp., Thunder Energy Inc., Treasure Island Royalty Trust, Western Gas Resources Inc., Westport Innovations Inc., Vanguard REIT Index and JPMorgan Money Market Sweep Fund (share 2.52%)	H	(3)			P
Partnerships:					
Corning Associates VC-III, (Abbingdon Venture Partners LP-III, managed by the Foster Management Co., King of Prussia, PA; organized to invest in companies primarily in the health services industry) (share – 3.55%)	C	(1)			
Osprey Partners, LLC – investment in Lightship Holdings: organized to invest primarily in telephone technology (share – 14.49%)	C	(1)			
Real Estate:					
Residence (occupied by the Congressman), Washington, DC	I				

(1) See explanation at page 2 of 28.
(3) See explanation at page 8 of 28.

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Other:					
Corning Incorporated – common stock	I				
Corning Incorporated – convertible bonds	A				S
Demand notes receivable from Amory Houghton Jr.	G				
Principal cash - interest bearing (J.P. Morgan Delaware)	D				
Income cash - interest bearing (J.P. Morgan Delaware)	C				
Vanguard Total Stock Market Index Fund - mutual fund, Philadelphia, PA	I				P
Vanguard Mid-Cap Index Fund Institutional - mutual fund, Philadelphia, PA	A				S
Vanguard Extended Market Portfolio Fund – mutual fund, Philadelphia, PA	A				S
Vanguard Institutional Index Fund - mutual fund, Philadelphia, PA	A				S
Westport Small Capital Fund – mutual fund, Westport, CT	II				
Dividend receivable, Vanguard Total Stock Market Index - mutual fund	C				
Amory Houghton Jr. Trust 12/10/34 – (Beneficial interest in income of trust changes from year to year at the discretion of the Trustees. Current year beneficial interest in income of trust is 23.41%. Filer has the right to withdraw each year from the principal of the trust five percent of its then fair market value, the total of such withdrawals being subject to an approximate ten percent overall limitation during the remainder of his life.)**			Trust	VIII	
Holdings consist of:					
Trusts (see holdings listed above):					
Market Street Fixed Income Trust I - Delaware business trust – investments include: securities of Arapahoe County School District, Austin, Texas Independent School District, Charleston County South Carolina, City of Austin, Texas Public Improvement, City of Austin, Texas Utility Systems, City of Berwyn, Illinois Convention, City of Chicago, Illinois General Purpose, City of Chicago, Illinois Wastewater Transmission, City of					

** See explanation at page 11 of 28.

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset		Income		
	Category of Value	Alternative Valuation	Type of Income	Category of Income	Transaction
Kansas City, Missouri Water Revenue, Clark County Nevada General Purpose, Clear Creek Independent School District Texas, Colorado Housing Finance Authority, Colorado Water Resources Power Development Authority Clean Water, Commonwealth of Massachusetts, Commonwealth of Pennsylvania General Purpose, Connecticut Health and Educational Facilities, Conroe Industrial Development Corp., Dallas County Flood Control District, Fitchburg State College, Florida State Board of Education, Georgia Municipal Electric Power Authority, Hamilton County Ohio Sales Tax, Illinois Health Facilities Authority, King County School District No 411 Washington Lake Washington, Lamar Consolidated Independent School District Texas School House, Lawrence Township Independent Metropolitan School District, Massachusetts Bay Transportation Authority, Massachusetts Water Resources Authority, McKinney Independent School District Texas School Building, Michigan State Hospital Finance Authority, Minnesota Housing Finance Agency, New Jersey Health Care Facilities Financing Authority, New York State Medical Care Facilities, Ohio Turnpike Commission Turnpike Revenue, Orlando Utilities Commission Florida Water and Electric, Palm Beach County Solid Waste Authority, Regional Transportation Authority Illinois, Sacramento Municipal Utility, Salt River Project Agricultural Improvement and Power District Arizona Electric, Shelby County Tennessee Public Improvement and School, State of Georgia General Obligation, State of Hawaii School Improvement Unlimited, State of Maryland Tax Exempt Lease, State of Nevada Colorado River Commission, State of North Carolina School Unlimited Tax,					

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
State of Wisconsin General Obligation, Texas Public Finance Authority, Town of Nantucket Massachusetts Municipal Purpose, Traverse City Area Public Schools Michigan Building and Site, University of Texas, JP Morgan Institutional Federal Money Market Institutional Class Sweep Fund, JP Morgan Prime Money Market Premier Class Sweep Fund, Americredit Automobile Receivables Trust, FNMA, Wisconsin Health & Educational Facilities, State of Pennsylvania Refinance Unlimited Tax, State of California Revenue Unlimited Tax, Colorado Department of Transportation Refinance Revenue Anticipation Notes, District of Columbia Refinance Unlimited Tax, State of Illinois Sales Tax Revenue Refinance, City of New York, NY Refinance Unlimited Tax, Pennsylvania Industrial Development Authority Revenue Economic, Salt Lake County Utah Municipal Building Authority, State of Massachusetts, State of Utah, Cuyahoga County Ohio, Fulton County Development Authority, Missouri State Board Public Buildings Office Special Obligation, New York State Urban Development Corporation Correctional & Youth Facilities Service, City of San Antonio Texas Electric & Gas, Illinois Educational Facilities Authority, Triad Auto Receivables Owner Trust, Trinity River Authority Regional Texas Waste Water System (share – 3.59%)	I	(3)			S
Market Street Equity Trust I (see holdings listed above) (share – 1.86%)	G	(3)			
Market Street Equity Trust II (see holdings listed above) (share – 2.43%)	I	(3)			S

(3) See explanation at page 8 of 28

SCHEDULE III – ASSETS AND UNEARNED INCOME

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Market Street Equity Trust III (see holdings listed above) (share – 2.36%)	I	(3)			S
Market Street Venture Trust I (see holdings listed above) (share – 1.70%)	G	(3)			
Market Street Venture Trust II (see holdings listed above) (share - 0.73%)	F	(3)			
Market Street International Equity Trust I (see holdings listed above) (share – 2.45%)	I	(3)			P
Market Street Hedge Fund I (see holdings listed above) (share – 2.48%)	I	(3)			
Market Street Absolute Return Fund (see holdings listed above) (share – 2.12%)	I	(3)			P
Market Street Global High Yield Fund (see holdings listed above) (share 2.08%)	H	(3)			P
Market Street Real Asset Fund (see holdings listed above) (share 1.98%)	H	(3)			P
Partnerships:					
Corning Associates VC-III (see holdings listed above) (share – 1.58%)	B	(1)			
Other:					
Corning Incorporated – common stock	I				
Corning Incorporated – convertible bonds	A				S
Mortgage receivable from Katherine Q. Houghton	F				
Principal cash – interest bearing (J.P. Morgan Delaware)	E				
Income cash – interest bearing (J.P. Morgan Delaware)	B				
Other cash – interest bearing (J.P. Morgan Delaware)	C				
Vanguard Total Stock Market Index Fund – mutual fund, Philadelphia, PA	H				P
Vanguard Institutional Index Fund – mutual fund, Philadelphia, PA	A				S
Vanguard Mid-Cap Index Fund Institutional – mutual fund, Philadelphia, PA	A				S
Westport Small Capital Fund I - mutual fund, Westport, CT	G				

(1) See explanation at page 2 of 28.
(3) See explanation at page 8 of 28

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Dividend receivable, Vanguard Total Stock Market Index Fund - mutual fund	C				
Vanguard Extended Market Portfolio Fund – mutual fund, Philadelphia, PA	A				S
Elisabeth Houghton Trust 12/10/34 - (interest in 1/15 income only)**			Trust	VIII	
Holdings consist of:					
Trusts (see holdings listed above):					
Market Street Fixed Income Trust I (share – 12.50%)	J	(3)			S
Market Street Equity Trust I (share – 7.33%)	I	(3)			
Market Street Equity Trust II (share – 8.14%)	I	(3)			S
Market Street Equity Trust III (share – 6.20%)	I	(3)			S
Market Street Venture Trust I (share – 8.43%)	I	(3)			
Market Street Venture Trust II (share – 5.49%)	H	(3)			
Market Street International Equity Trust I (share – 6.29%)	J	(3)			P
Market Street Hedge Fund I (share – 9.13%)	I	(3)			P
Market Street Absolute Return Fund (share 8.62%)	J	(3)			P
Market Street Global High Yield Fund (share 6.23%)	I	(3)			P
Market Street Real Asset Fund (share 6.83%)	I	(3)			P
Partnerships:					
Corning Associates VC – III (see holdings listed above) (share 9.43%)	C	(1)			
Other:					
Corning Incorporated - common stock	J				
Corning Incorporated – convertible bonds	H				
Principal cash – interest bearing (J.P. Morgan Delaware)	E				
Income cash – interest bearing (JPMorgan Delaware)	B				
Vanguard Total Stock Market Index Fund - mutual fund, Philadelphia, PA	I				P

(1) See explanation at page 2 of 28.
(3) See explanation at page 8 of 28.
** See explanation at page 11 of 28.

Asset and/or Income Source	Valuation of Asset: Category of Value	Valuation of Asset: Alternative Valuation	Income: Type of Income	Income: Category of Income	Transaction
Vanguard Extended Market Portfolio Fund – mutual fund, Philadelphia, PA	A				S
Vanguard Institutional Index Fund – mutual fund, Philadelphia, PA	A				S
Vanguard Mid-Cap Index Fund Institutional – mutual fund, Philadelphia, PA	A				S
Westport Small Capital Fund I-mutual fund, Westport, CT	I				S
Dividend receivable, Vanguard Total Stock Market Index Fund – mutual fund	C				
John C. Dewey Jr. Trust – (Priscilla Dewey Houghton – beneficiary, interest in income only)**			Trust	VII	
Holdings consist of:					
Common stock:					
3M Company	E				P
Abbott Labs	F				
American International Group, Inc.	C				S
Automatic Data Processing Co.	D				
Bellsouth Corp.	E				
BP PLC Sponsored ADR	D				
Chevrontexaco Corp.	E				
Cisco Systems, Inc.	C				P
Coca Cola Co.	F				S
Comcast Corp. Class A	C				P
Dell Computer Corp.	D				P
Equity Office Properties Trust Co.	D				
FPL Group Inc.	E				
General Electric Co.	F				S
Intel Corp.	D				
MBIA Inc.	D				
MBNA Corp.	D				
McGraw-Hill, Inc.	D				P
Microsoft Corp.	C				P
Molex Inc. Class A	A				S
National City Corp.	E				
Nokia Corp. Sponsored ADR	C				P
Schering Plough Corp.	A				S
Sysco Corp.	D				
Tyco International Limited	A				S
Walgreen Co.	D				
Wyeth	E				
Other:					
U.S. Government Obligations Tax Managed Fund, Pittsburgh, PA	A				S
Federated Tax Free Obligations Fund	C				P

** See explanation at page 11 of 28.

IV – TRANSACTIONS

Attachments

A – Purchase/Sale detail history report for Amory Houghton Jr.'s personal account.

B – Purchase/Sale detail history report for Priscilla Houghton's personal account.

C – Purchase/Sale detail history report for Amory Houghton Jr. Trust U/W A. B. Houghton

D – Purchase/Sale detail history report for Elisabeth Houghton Trust 12/10/34

E – Purchase/Sale detail history report for Amory Houghton Jr. Trust 12/10/34

F – Purchase/Sale detail history report for Market Street Venture Trust I.

G – Purchase/Sale detail history report for Market Street Venture Trust II.

H – Purchase/Sale detail history report for New York Municipal Bond Trust

I – Purchase/Sale detail history report for Market Street Equity Trust I.

J – Purchase/Sale detail history report for Market Street Equity Trust II.

K – Purchase/Sale detail history report for Market Street Equity Trust III.

L – Purchase/Sale detail history report for Market Street International Equity Trust I.

M – Purchase/Sale detail history report for Market Street Hedge Fund I.

N – Purchase/Sale detail history report for Market Street Lighthouse Absolute Return Fund.

O – Purchase/sale detail history report for Market Street Absolute Return Fund.

P – Purchase/Sale detail history report for Market Street Global High Yield Fund.

Q – Purchase/Sale detail history report for Market Street Real Asset Fund.

R – Purchase/Sale detail history report for Market Street Fixed Income Trust I.

SCHEDULE V - LIABILITIES

Creditor	Type of Liability	Category
Amory Houghton Jr. Trust U/W A.B. Houghton	Notes Payable	F
Elmira Savings Bank FSB, Elmira, NY	Notes Payable on 76-78 East Market Street investment property	F

SCHEDULE VI – GIFTS

Source	Description	Value
Market Street Trust Company	Steuben bar glasses received for participation on Board of Directors	$820

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Dates	Point of Departure Destination Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was family member included? (Y/N)	Any time not at Sponsor's Expense? (Y/N)
The Aspen Institute	February 14 – 19, 2003	Washington, DC Montego Bay, Jamaica Washington, DC	Y	Y	Y	N
Public Governance Institute	February 28 – March 2, 2003	Washington, DC White Sulphur Springs, WV Washington, DC	Y	Y	Y	N
The Faith and Politics Institute	March 7 – 9, 2003	Washington, DC Montgomery, AL Washington, DC	Y	Y	N	N
Republican Main Street Partnership	June 20 – 24, 2003	Washington, DC New York, NY Washington, DC	Y	Y	Y	N

SCHEDULE VIII – POSITIONS

Non-Profit Affiliations:
The Meadows Charitable Trust - Trustee
The Houghton Foundation - Trustee
Corning Chamber of Commerce - Member
Corning Museum of Glass - Trustee

Managing Partner:
Market Street Partners LLC

Congressman Houghton is also a limited partner in each of the partnerships previously listed in Schedule III with the exception of Acorn IV where he serves as a general partner.

SCHEDULE IX - AGREEMENTS

Date	Parties to	Terms of Agreement
2/87	Retired employee of Corning Incorporated and beneficiary of certain Company retirement pension and health plans	Benefits received in accordance with normal plan provisions (monthly).
2/87	Corning Incorporated (former employe.)	Transportation benefits - any retired employee (or immediate family member) is permitted to travel on the Company plane subject to space availability and without charge. For income tax purposes only, income is imputed to the retiree based upon the prevailing commercial airline value of the trip and reported by the Company on federal Form-1099 MISC if in excess of $600. Congressman Houghton elects to reimburse Corning Incorporated, based on the prevailing commercial airline value of the trip, for use of the Company plane.

2003 FINANCIAL DISCLOSURE STATEMENT

ATTACHMENTS

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

RT OPTION: BY ENTITY, INV

ENTITY INVESTMENT DESCRIPTION TYPE	TRN DATE	TRN NUMBER		QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
1000	C2		Citigroup Inc									
SL	06-28-03	350036		-725.000	42.636		30,911.02	-1,029.63	29,881.39			Citigroup Inc sale
	TOTAL -			-725.000		0.00	30,911.02	-1,029.63	29,881.39	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 C2	Citigroup Inc	3,075.000	SHRS	1.420	4,367.07	48.540	12-31-03	149,260.50	144,893.43

1000 CAVC-III Corning Associates VC-III

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 CAVC-III	Corning Associates VC-III	0.000	DLRS	0.000	273.23	1.000	12-31-97	93.01	-180.22

1000 GA.00DMD Augusta National Inc. Demand

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 GA.00DMD	Augusta National Inc. Demand	1,000.000	PAR	100.000	1,000.00	100.000	12-31-97	1,000.00	0.00

1000 GLW Corning, Inc.

JR	08-20-03	349416	3,977.000	0.069	275.57			3,977	275.57	Convert pledged to regular
JR	08-20-03	349417	44,500.000	2.435	108,368.07			44,500	108,368.07	Convert pledged to regular
JR	08-20-03	349418	56,720.000	2.273	128,919.04			0	0.00	Convert pledged to regular
JR	08-20-03	349419	53,000.000	2.273	120,463.01			0	0.00	Convert pledged to regular
JR	08-20-03	349420	16,023.000	2.273	36,618.71			14,384	32,693.42	Convert pledged to regular
JR	08-20-03	349421	2,559.000	7.657	19,593.57			1,770	13,552.41	Convert pledged to regular
JR	08-20-03	349422	1,946.000	8.869	17,259.72			0	0.00	Convert pledged to regular
JR	08-20-03	349423	1,647.000	0.058	95.86			0	0.00	Convert pledged to regular
JR	08-20-03	349424	3,110.000	8.869	27,582.63			3,110	27,582.63	Convert pledged to regular
JR	08-20-03	349425	5,400.000	9.978	53,881.20			5,400	53,881.20	Convert pledged to regular
JR	08-20-03	349426	6,000.000	9.978	59,868.00			6,000	59,868.00	Convert pledged to regular
JR	08-20-03	349427	9,200.000	9.978	91,797.60			9,200	91,797.60	Convert pledged to regular
JR	08-20-03	349428	10,900.000	9.978	108,760.20			10,900	108,760.20	Convert pledged to regular
JR	08-20-03	349429	810.000	8.836	7,157.22			0	0.00	Convert pledged to regular
JR	08-20-03	349430	10,275.000	8.836	90,790.67			10,275	90,790.67	Convert pledged to regular

2059

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	08-20-03	349433	675.000	7.657	5,168.29				0	0.00	Convert pledged to regular
PUR	08-20-03	349434	3,300.000	8.869	29,268.80				3,300	29,268.80	Convert pledged to regular
PUR	08-20-03	349435	511.000	8.869	4,532.23				0	0.00	Convert pledged to regular
PUR	08-20-03	349436	10,562.000	8.869	93,677.89				10,562	93,677.89	Convert pledged to regular
SAL	10-28-03	354953	-111,359.000	2.273		253,108.14	-253,108.14	0.00			Reclass regular to pledged
	TOTAL -		129,756.000		1,003,880.08	253,108.14	-253,108.14	0.00	123,378	710,517.46	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 GLW Corning, Inc.	201,488.000	SHRS	4.503	907,266.92	10.430	12-31-03	2,310,119.84	1,402,853.92

62000 GLW/P Corning, Inc. - Pledged

SAL	10-28-03	354956	46,860.000	2.273	106,508.21				46,860	106,508.21	Reclass regular to pledged
SAL	10-28-03	354957	37,249.000	2.273	84,663.34				37,249	84,663.34	Reclass regular to pledged
SAL	10-28-03	354958	27,250.000	2.273	61,936.59				27,250	61,936.59	Reclass regular to pledged
	TOTAL -		111,359.000		253,108.14	0.00	0.00	0.00	111,359	253,108.14	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 GLW/P Corning, Inc. - Pledged	131,359.000	SHRS	2.298	301,812.89	10.430	12-31-03	1,161,474.37	859,661.48

2000 GNSTE Gemstar-TV Guide

SAL	08-28-03	350037	-728.000	4.581		3,334.76	-1,125.92	2,208.84			Gemstar-TV Guide Int'l sale
	TOTAL -		-728.000		0.00	3,334.76	-1,125.92	2,208.84	0	0.00	

2000 KITTANSETT Kittansett Club Voting Trust

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 KITTANSETT Kittansett Club Voting Trust	90.000	SHRS	9.444	850.00	50.000	12-31-03	4,500.00	3,650.00

000 MET MetLife, Inc.

L	08-28-03	350035	-32.000	27.807		889.83	-456.00	433.83			MetLife Inc sale
	TOTAL -		-32.000		0.00	889.83	-456.00	433.83	0	0.00	

2060

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION

62000 MOISIE Moisie Salmon Club

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 MOISIE Moisie Salmon Club	1.000	SHRS	5,000.000	5,000.00			5,000.00	0.00

62000 MOISIEB Moisie Salmon Club Class B

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 MOISIEB Moisie Salmon Club Class B	1.000	SHRS	5,000.000	5,000.00			5,000.00	0.00

62000 MSTC Market Street Trust Company

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 MSTC Market Street Trust Company	1.000	SHRS	5,000.000	5,000.00	12,500.000	12-31-03	12,500.00	7,500.00

62000 MSTCPART Market Street Partners, LLC

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 MSTCPART Market Street Partners, LLC	0.000	DLRS	0.000	145,128.54			145,128.54	0.00

62000 MSVTI Market Street Venture Tr I A

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 MSVTI Market Street Venture Tr I A	0.000	DLRS	0.000	2,083.99	1.000	12-31-96	1,981.86	-102.13

62000 MSVTIB Market Street Venture Tr I B

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 MSVTIB Market Street Venture Tr I B	0.000	DLRS	0.000	18,688.27	1.000	12-31-97	14,345.76	-4,342.51

62000 MSVTIC Market Street Venture Tr I C

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 MSVTIC Market Street Venture Tr I C	0.000	DLRS	0.000	20,538.00			16,446.14	-4,091.86

62000 MSVTII Market Street Venture Trust II

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 MSVTII		Market Street Venture Trust II			0.000 DLRS	0.000	3,472.53	1.000	12-31-96	2,126.28	-346.25
62000 MSVTIIB		Market Street Venture Tr II B									
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 MSVTIIB		Market Street Venture Tr II B			0.000 DLRS	0.000	39,038.63			33,813.35	-5,225.28
62000 SB.62000		U.S. Savings Bonds E & EE									
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 SB.62000		U.S. Savings Bonds E & EE			5,300.000 PAR	59.434	3,150.00	2.790	12-31-03	14,786.59	11,636.59
62000 SDFIX		Standish Mellon Fixed Inc Fund									
SAL 06-03-03		341002	-2,600.268	20.320		53,000.00	-51,224.56	1,775.44			Standish Fixed Income Fd sale
SAL 06-22-03		349371	-1,613.799	19.670		31,743.43	-30,460.71	1,282.72			Standish Fund sale
TOTAL -			-4,222.067		0.00	84,743.43	-81,685.27	3,058.16	0	0.00	
62000 SUNW		Sun Microsystems									
SAL 08-28-03		350034	-11,984.000	3.710		44,458.52	-13,224.53	31,233.99			Sun Microsystems Inc sale
TOTAL -			-11,984.000		0.00	44,458.52	-13,224.53	31,233.99	0	0.00	
62000 TAP.A		Travelers Property Casualty A									
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 TAP.A		Travelers Property Casualty A			164.000 SHRS	0.740	121.32	16.780	12-31-03	2,751.92	2,630.60
62000 TAP.B		Travelers Property Casualty B									
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 TAP.B		Travelers Property Casualty B			337.000 SHRS	0.786	264.73	16.970	12-31-03	5,718.89	5,454.16
62000 US.12F1110		U.S.T.N. 12.750% Due 11/15/10									

2062

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 US.12F1110 U.S.T.N. 12.7509 Due 11/15/10					20,000.000	PAR	96.000	19,200.00	119.844	12-31-03	23,968.80	4,768.80
3000 VIEIX Vanguard Extended Mk Portfolio											
AL	09-26-03	352085*139	-21.041	23.540		495.31	-554.81	-59.50			Vanguard Extended Mk Fund sale
TOTAL -			-21.041		0.00	495.31	-554.81	-59.50	0	0.00	
2000 VITSX Vanguard Total Stock Mkt Index											
UR	09-26-03	352761	21.340	23.210	495.31				21	495.31	Vanguard Ttl Stk Mk purchase
TOTAL -			21.340		495.31	0.00	0.00	0.00	21	495.31	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000 VITSX Vanguard Total Stock Mkt Index					21.340	UNTS	23.210	495.31	26.000	12-31-03	554.84	59.53
2000 WPSCX Westport Select Cap Fund											
AL	06-02-03	341272	-126.017	18.350		2,327.09	-1,795.96	531.13			Westport Sm Cap Fund sale
TOTAL -			-126.017		0.00	2,327.09	-1,795.96	531.13	0	0.00	
REPORT TOTAL -					1,257,483.53	420,268.10	-352,980.26	67,287.84	234,750	964,120.91	

2063

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

SORT OPTION: BY ENTITY, INV

ENTITY INVESTMENT DESCRIPTION

TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
62000B HAINX		Harbor Institutional Class Fd									
PUR	12-30-03	362126	2.510	36.540	91.72				3	91.72	Harbor International l/t gain
PUR	12-30-03	362128	0.189	36.540	6.91				0	6.91	Harbor International s/t gain
	TOTAL -		2.699		98.63	0.00	0.00	0.00	3	98.63	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000B HAINX	Harbor Institutional Class Fd	179.756	UNTS	27.725	4,983.69	36.790	12-31-03	6,613.22	1,629.53

62000B LLPFX Longleaf Partners Fund

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000B LLPFX	Longleaf Partners Fund	1,096.584	UNTS	24.149	26,481.88	29.980	12-31-03	32,875.59	6,393.71

62000B VIEIX Vanguard Extended Mk Portfolio

SAL	09-26-03	352085*140	-127.842	23.540		3,009.40	-3,385.29	-375.89			Vanguard Extended Mk Fund sale
	TOTAL -		-127.842		0.00	3,009.40	-3,385.29	-375.89	0	0.00	

2000B VIWIX Vanguard Institutional Index

SAL	09-26-03	352102*157	-194.956	91.260		17,791.68	-16,000.00	1,791.68			Vanguard Index Fund sale
	TOTAL -		-194.956		0.00	17,791.68	-16,000.00	1,791.68	0	0.00	

20000 VITSX Vanguard Total Stock Mkt Index

PR	09-26-03	352471	766.552	23.210	17,791.68				767	17,791.68	Vanguard Ttl Stk Mk purchase
PR	09-26-03	352762	407.402	23.210	9,455.79				407	9,455.79	Vanguard Ttl Stk Mk purchase
	TOTAL -		1,173.954		27,247.47	0.00	0.00	0.00	1,174	27,247.47	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
62000B VITSX	Vanguard Total Stock Mkt Index	1,173.954	UNTS	23.210	27,247.47	26.000	12-31-03	30,522.80	3,275.33

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
620000	VMCTX	Vanguard Mid-Cap Index Fd Inst									
SAL	09-26-03	352131*148	-553.814	11.640		6,446.39	-5,229.19	1,217.20			Vanguard Mid-Cap Index Fd sale
	TOTAL -		-553.814		0.00	6,446.39	-5,229.19	1,217.20	0	0.00	
REPORT TOTAL -					27,346.10	37,247.47	-24,614.48	2,632.99	1,177	27,346.10	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

SORT OPTION: BY ENTITY, INV

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
00007	AR-FUND	MS Absolute Return Fund									
PUR	03-03-03	333729*4	0.000	0.000	900,000.00				0	903,520.63	3/3 MS Absolute capital contr.
PCR	10-01-03	353649*1	0.000	0.000	325,000.00				0	325,000.00	10/1 Absolute Return Cap contr
	TOTAL -		0.000		1,225,000.00	0.00	0.00	0.00	0	1,228,520.63	

CURRENT HOLDINGS -

ENTITY	INVESTMENT	DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00007	AR-FUND	MS Absolute Return Fund	0.000	DLRS	0.000	1,228,344.66			1,300,961.27	72,616.61

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
00007	CAEP	MSTC Equity Trust II (Growth)									
SAL	06-03-03	341660*4	0.000	0.000		400,000.00	-400,000.00	0.00			MSET II Capital withdrawal
SAL	07-02-03	346418*3	0.000	0.000		275,000.00	-275,000.00	0.00			MSET II Capital withdrawal
	TOTAL -		0.000		0.00	675,000.00	-675,000.00	0.00	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT	DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00007	CAEP	MSTC Equity Trust II (Growth)	0.000	DLRS	0.000	832,971.55	1.000	12-31-96	1,002,806.84	169,835.29

00007 CAVC-III Corning Associates VC-III

CURRENT HOLDINGS -

ENTITY	INVESTMENT	DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00007	CAVC-III	Corning Associates VC-III	0.000	DLRS	0.000	4,830.17	1.000	12-31-97	1,737.83	-3,092.34

00007 GLW Corning, Inc.

CURRENT HOLDINGS -

ENTITY	INVESTMENT	DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00007	GLW	Corning, Inc.	144,686.000	SHRS	0.890	128,750.79	10.430	12-31-03	1,510,232.52	1,389,481.73

00007 GLW150 Corning Convertible 11/8/15

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	06-11-03	342098*4	-220,000.000	74.750		170,430.00	-121,618.30	48,811.70			6/11 Corning Convertible sale
SAL	06-24-03	343483*4	-5,000.000	73.750		3,687.50	-2,629.18	1,058.32			6/24 Corning Convertible sale
	TOTAL -		-233,000.000		0.00	174,117.50	-124,247.48	49,870.02	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
00007	HEDGE	Market Street Hedge Fund										
PUR		03-03-03	333705*4	0.000	0.000	200,000.00				0	200,000.00	3/3 MSHEDGE capital contri.
	TOTAL -			0.000		200,000.00	0.00	0.00	0.00	0	200,000.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00007	HEDGE Market Street Hedge Fund	0.000	UNTS	0.000	834,665.70			934,848.67	100,182.97

00007	JPMSPC	JPM Short Proceeds - Covance										
SAL		01-23-03	328291*4	-1,872.890	1.000		1,872.89	-1,872.89	0.00			Close Covance short account
	TOTAL -			-1,872.890		0.00	1,872.89	-1,872.89	0.00	0	0.00	
00007	LIGHTHOUSE	MS Lighthouse AR Fund										
PUR		03-03-03	333721*4	0.000	0.000	415,000.00				0	414,935.15	3/3 MS Lighthouse capital cont
PUR		06-02-03	342488*4	0.000	0.000	300,000.00				0	300,000.00	Lighthouse Capital contri.
	TOTAL -			0.000		715,000.00	0.00	0.00	0.00	0	714,935.15	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00007	LIGHTHOUSE MS Lighthouse AR Fund	0.000	DLRS	0.000	714,935.99			751,002.52	36,066.53

00007 MSET1 MSTC Equity Trust I (Sm Cap)

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00007	MSET1 MSTC Equity Trust I (Sm Cap)	0.000	DLRS	0.000	278,143.21	1.000	12-31-96	457,410.52	179,267.31

0007	MSET3	MSTC Equity Trust III (Value)										
AL		05-05-03	339848*4	0.000	0.000		300,000.00	-300,000.00	0.00			5/5 MSET III capital wthdrwl
AL		06-03-03	341464*4	0.000	0.000		300,000.00	-300,000.00	0.00			MSET III Capital withdrawal
AL		07-01-03	346432*4	0.000	0.000		475,000.00	-475,000.00	0.00			MSET III Capital withdrawal
	TOTAL -			0.000		0.00	1,075,000.00	-1,075,000.00	0.00	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

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NTITY INVESTMENT DESCRIPTION YPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00007 MSET3		MSTC Equity Trust III (Value)			0.000	UNTS	0.000	896,301.93	1.000	12-31-96	1,088,416.62	192,114.69
00007 MSHYX		MS Global High Yield Fund										
PUR	06-05-03	342518*4	0.000	0.000	600,000.00				0	649,355.05	MS High Yield Fund cap. contr.
TOTAL -			0.000		600,000.00	0.00	0.00	0.00	0	649,355.05	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00007 MSHYX		MS Global High Yield Fund			0.000	DLRS	0.000	606,062.82			671,962.32	65,899.50
00007 MSIET1		Int'l Equity Tr I (Developed)										
PUR	05-05-03	339862*4	0.000	0.000	300,000.00				0	304,359.86	5/5 MSIET I capital contri.
PUR	07-02-03	346455*2	0.000	0.000	50,000.00				0	50,000.00	MSIET I Capital contribution
PUR	11-04-03	357303*4	0.000	0.000	200,000.00				0	200,000.00	11/4 MSIET I capital contri.
TOTAL -			0.000		550,000.00	0.00	0.00	0.00	0	554,359.86	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00007 MSIET1		Int'l Equity Tr I (Developed)			0.000	UNTS	0.000	1,678,048.07			1,946,514.51	268,466.44
00007 MSNYT		MSTC New York Trust										
SAL	03-03-03	333698*1	0.000	0.000		1,515,000.00	-1,515,000.00	0.00				3/3 MSNYT capital withdrawal
SAL	06-04-03	341466*1	0.000	0.000		801,000.00	-801,000.00	0.00				MSNYT Capital withdrawal
SAL	10-01-03	353641*1	0.000	0.000		325,000.00	-325,000.00	0.00				10/1 MSNYT Capital withdrawal
SAL	11-03-03	356836*1	0.000	0.000		200,000.00	-200,000.00	0.00				11/3 MSNYT capital withdrawal
SAL	12-01-03	359792*1	0.000	0.000		15,000.00	-15,000.00	0.00				MSNYT Capital withdrawal
TOTAL -			0.000		0.00	2,856,000.00	-2,856,000.00	0.00	0	0.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00007 MSNYT		MSTC New York Trust			0.000	DLRS	0.000	2,213,651.56	1.000	12-31-96	2,478,837.22	265,185.66

2068

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
00007	MSREAL	Market Street Real Asset Fund									
PUR	07-07-03	346506*4	0.000	0.000	700,000.00				0	709,851.37	MS Real Asset Capital contri.
	TOTAL -		0.000		700,000.00	0.00	0.00	0.00	0	709,851.37	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00007	MSREAL	Market Street Real Asset Fund	0.000	DLRS	0.000	704,777.80			854,087.81	149,310.01

00007 MSVTI Market Street Venture Tr I A

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00007	MSVTI	Market Street Venture Tr I A	0.000	DLRS	0.000	38,408.99	1.000	12-31-96	36,526.04	-1,882.95

00007 MSVTIB Market Street Venture Tr I B

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00007	MSVTIB	Market Street Venture Tr I B	0.000	DLRS	0.000	324,238.22	1.000	12-31-97	248,897.08	-75,341.14

00007 MSVTIC Market Street Venture Tr I C

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00007	MSVTIC	Market Street Venture Tr I C	0.000	DLRS	0.000	96,337.56			77,143.97	-19,193.59

00007 MSVTII Market Street Venture Trust II

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00007	MSVTII	Market Street Venture Trust II	0.000	DLRS	0.000	50,284.65	1.000	12-31-96	43,243.22	-7,041.43

00007 MSVTIIB Market Street Venture Tr II B

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00007	MSVTIIB	Market Street Venture Tr II B	0.000	DLRS	0.000	95,993.96			83,145.34	-12,848.62

10007 NR.23180 Note Receivable Dated 11/21/98

2069

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00007 NR.23180 Note Receivable Dated 11/21/90					267,765.000	PAR	100.000	267,765.00	100.000	12-31-02	267,765.00	0.00
00007 OSPREY Osprey Partners, L.L.C.											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00007 OSPREY Osprey Partners, L.L.C.					0.000	DLRS	0.000	250,000.00			250,000.00	0.00
00007 R.00007001 3512 P St Washington, DC											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00007 R.00007001 3512 P St Washington, DC					1.000	DLRS	1,500,000.000	1,500,000.00			1,500,000.00	0.00
00007 VIEIX Vanguard Extended Mk Portfolio											
SAL	09-26-03	352085*4	-5,012.485	23.540		117,993.90	-137,098.02	-19,104.12			Vanguard Extended Mk Fund sale
TOTAL -			-5,012.485		0.00	117,993.90	-137,098.02	-19,104.12	0	0.00	
00007 VINIX Vanguard Institutional Index											
SAL	09-26-03	352102*4	-1,244.673	91.260		113,588.86	-123,662.09	-10,073.23			Vanguard Index Fund sale
TOTAL -			-1,244.673		0.00	113,588.86	-123,662.09	-10,073.23	0	0.00	
00007 VITSX Vanguard Total Stock Mkt Index											
PUR	09-26-03	352269	4,893.962	23.210	113,588.86				4,894	113,588.86	Vanguard Tt1 Stk Mk purchase
PUR	09-26-03	352567	14,224.390	23.210	330,148.09				14,224	330,148.09	Vanguard Tt1 Stk Mk purchase
TOTAL -			19,118.352		443,736.95	0.00	0.00	0.00	19,118	443,736.95	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00007 VITSX Vanguard Total Stock Mkt Index					41,429.820	UNTS	22.779	943,736.95	26.000	12-31-03	1,077,175.32	133,438.37
00007 VMCIX Vanguard Mid-Cap Index Fd Inst											
SAL	09-26-03	352133*4	-18,128.661	11.640		211,017.61	-217,953.60	-6,935.99			Vanguard Mid-Cap Index Fd sale
SAL	09-26-03	352133*4	-97.644	11.640		1,136.58	-960.82	175.76			Vanguard Mid-Cap Index Fd sale

2070

Market Street Trust Company

PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

4-26-04

C3.3

NTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER		QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -			-18,226.305		0.00	212,154.19	-218,914.42	-6,760.23	0	0.00	
00007 VTSAX			Vanguard Total Stock Mkt Index									
PUR	06-03-03	141050		22,311.468	22.410	500,000.09				0	0.00	Vanguard Ttl Stk Mk purchase
	TOTAL -			22,311.468		500,000.00	0.00	0.00	0.00	0	0.00	

00007 WPSCX Westport Select Cap Fund

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00007	WPSCX	Westport Select Cap Fund	25,631.665	UNTS	14.096	361,300.14	21.990	12-31-03	563,640.31	202,340.17
REPORT TOTAL -			4,933,736.95		5,225,727.34	-5,211,794.90	13,932.44		19,118	6,500,759.01

2071

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

SORT OPTION: BY ENTITY, INV

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
00014	AR-FUND	MS Absolute Return Fund									
PUR	03-03-03	333729*9	0.000	0.000	3,500,000.00				0	3,510,127.57	3/3 MS Absolute capital contr.
PUR	06-04-03	342490*2	0.000	0.000	300,000.00				0	300,000.00	Absolute Return capital contr.
PUR	07-02-03	346436*8	0.000	0.000	200,000.00				0	200,000.00	Absolute Return Capital contr.
PUR	10-01-03	353649*3	0.000	0.000	1,750,000.00				0	1,750,000.00	10/1 Absolute Return Cap contr
	TOTAL -		0.000		5,750,000.00	0.00	0.00	0.00	0	5,760,127.57	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00014	AR-FUND MS Absolute Return Fund	0.000	DLRS	0.000	5,759,303.87			6,089,561.13	330,257.26

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
00014	CAEF	MSTC Equity Trust II (Growth)									
SAL	05-05-03	339844*3	0.000	0.000		200,000.00	-200,000.00	0.00			5/5 MSET II capital withdrawal
SAL	06-03-03	341460*9	0.000	0.000		1,200,000.00	-1,200,000.00	0.00			MSET II Capital withdrawal
SAL	07-02-03	346418*8	0.000	0.000		850,000.00	-850,000.00	0.00			MSET II Capital withdrawal
	TOTAL -		0.000		0.00	2,250,000.00	-2,250,000.00	0.00	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00014	CAEF MSTC Equity Trust II (Growth)	0.000	DLRS	0.000	2,787,233.34	1.000	12-31-96	3,349,209.80	561,976.46

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
00014	CAFIF	MSTC Fixed Income Trust I									
SAL	03-03-03	333716*6	0.000	0.000		4,000,000.00	-4,000,000.00	0.00			3/3 MSFIT I capital wthdrwl
SAL	10-01-03	353621*1	0.000	0.000		1,750,000.00	-1,750,000.00	0.00			10/1 MSFIT I capital wthdrwl
	TOTAL -		0.000		0.00	5,750,000.00	-5,750,000.00	0.00	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00014	CAFIF MSTC Fixed Income Trust I	0.000	DLRS	0.000	6,610,950.54	1.000	03-31-96	7,172,928.74	561,978.28

00014 CAVC-III Corning Associates VC-III

2072

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00014 CAVC-III		Corning Associates VC-III			0.000 DLRS	0.000	12,830.75	1.000	12-31-97	4,616.28	-8,214.47
00014 GLW		Corning, Inc.									
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00014 GLW		Corning, Inc.			481,275.000 SHRS	0.123	59,168.98	10.430	12-31-03	5,344,227.70	5,285,058.72
00014 GLW150		Corning Convertible 11/8/15									
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00014 GLW150		Corning Convertible 11/8/15			817,000.000 PAR	57.444	469,315.33	76.750	12-31-03	627,047.50	157,732.17
00014 HEDGE		Market Street Hedge Fund									
PUR	03-03-03	333705*7	0.000	0.000	500,000.00				0	500,000.00	3/3 MSHEDGE capital contri.
TOTAL -			0.000		500,000.00	0.00	0.00	0.00	0	500,000.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00014 HEDGE		Market Street Hedge Fund			0.000 UNTS	0.000	3,307,047.30			3,711,280.65	404,233.35
00014 JPMSPC		JPM Short Proceeds - Covance									
SAL	01-23-03	328391*9	-5,073.600	1.000		5,073.60	-5,073.60	0.00			Close Covance short account
TOTAL -			-5,073.600		0.00	5,073.60	-5,073.60	0.00	0	0.00	
00014 MSET1		MSTC Equity Trust I (Sm Cap)									
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00014 MSET1		MSTC Equity Trust I (Sm Cap)			0.000 DLRS	0.000	1,076,262.95	1.000	12-31-96	1,769,929.80	693,666.85
00014 MSET3		MSTC Equity Trust III (Value)									
SAL	05-05-03	339848*9	0.000	0.000		600,000.00	-600,000.00	0.00			5/5 MSET III capital wthdrwl
SAL	06-03-03	341464*9	0.000	0.000		1,000,000.00	-1,000,000.00	0.00			MSET III Capital withdrawal
SAL	07-01-03	346423*9	0.000	0.000		1,250,000.00	-1,250,000.00	0.00			MSET III Capital withdrawal

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	12-03-03	359802*1	0.000	0.000		900,000.00	-900,000.00	0.00			MSET III Capital withdrawal
	TOTAL -		0.000		0.00	3,750,000.00	-3,750,000.00	0.00	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00014 MSET3	MSTC Equity Trust III (Value)	0.000	UNTS	0.000	2,346,801.83	1.000	12-31-96	2,995,282.93	648,481.10

00014 MSHYX	MS Global High Yield Fund										
PUR	06-05-03	342518*9	0.000	0.000	1,500,000.00				0	1,623,387.62	MS High Yield Fund cap. contr.
	TOTAL -		0.000		1,500,000.00	0.00	0.00	0.00	0	1,623,387.62	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00014 MSHYX	MS Global High Yield Fund	0.000	DLRS	0.000	1,515,157.04			1,679,905.78	164,748.74

00014 MSIET1	Int'l Equity Tr I (Developed)										
PUR	04-04-03	335954*8	0.000	0.000	400,000.00				0	402,762.02	4/4 MSIET I capital contri.
PUR	05-05-03	339862*9	0.000	0.000	800,000.00				0	805,524.03	5/5 MSIET I capital contri.
	TOTAL -		0.000		1,200,000.00	0.00	0.00	0.00	0	1,208,286.05	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00014 MSIET1	Int'l Equity Tr I (Developed)	0.000	UNTS	0.000	5,499,700.99			6,519,014.25	1,019,313.26

00014 MSREAL	Market Street Real Asset Fund										
PUR	07-07-03	346506*9	0.000	0.000	1,900,000.00				0	1,926,739.38	MS Real Asset Capital contri.
	TOTAL -		0.000		1,900,000.00	0.00	0.00	0.00	0	1,926,739.38	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00014 MSREAL	Market Street Real Asset Fund	0.000	DLRS	0.000	1,912,968.26			2,318,238.29	405,270.03

0014 MSVT1 Market Street Venture Tr I A

2074

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00014 MSVTI		Market Street Venture Tr I A			0.000	DLRS	0.000	102,142.43	1.000	12-31-96	97,135.57	-5,006.86
00014 MSVTIB		Market Street Venture Tr I B										
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00014 MSVTIB		Market Street Venture Tr I B			0.000	DLRS	0.000	932,828.26	1.000	12-31-97	716,072.05	-216,756.21
00014 MSVTIC		Market Street Venture Tr I C										
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00014 MSVTIC		Market Street Venture Tr I C			0.000	DLRS	0.000	799,207.99			639,979.69	-159,228.30
00014 MSVTII		Market Street Venture Trust II										
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00014 MSVTII		Market Street Venture Trust II			0.000	DLRS	0.000	135,110.19	1.000	12-31-96	116,190.34	-18,919.85
00014 MSVTIIB		Market Street Venture Tr II B										
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00014 MSVTIIB		Market Street Venture Tr II B			0.000	DLRS	0.000	751,562.23			650,967.34	-100,594.89
00014 VIEIX		Vanguard Extended Mk Portfolio										
SAL	09-26-03	352085*9	-8,130.110	23.540		191,382.79	-229,597.97	-38,215.18				Vanguard Extended Mk Fund sale
TOTAL -			-8,130.110		0.00	191,382.79	-229,597.97	-38,215.18	0	0.00		
00014 VINIX		Vanguard Institutional Index										
SAL	09-26-03	352102*9	-566.441	91.260		51,693.41	-54,423.64	-2,730.23				Vanguard Index Fund sale
TOTAL -			-566.441		0.00	51,693.41	-54,423.64	-2,730.23	0	0.00		
00014 VITSX		Vanguard Total Stock Mkt Index										
PUR	09-26-03	352274	2,227.204	23.210	51,693.41				2,227	51,693.41		Vanguard Ttl Stk Mk purchase
PUR	09-26-03	352572	9,402.990	23.210	218,243.39				9,403	218,243.39		Vanguard Ttl Stk Mk purchase

2075

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	12-01-03	358090	35,601.265	25.280	900,000.00				35,601	900,000.00	Vanguard Ttl Stk Mkt purchase
TOTAL -			47,231.459		1,169,936.80	0.00	0.00	0.00	47,231	1,169,936.80	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00014 VITSX	Vanguard Total Stock Mkt Index	65,080.633	UNTS	24.123	1,569,936.80	26.000	12-31-03	1,692,096.46	122,159.66

00014 VMCIX	Vanguard Mid-Cap Index Fd Inst										
SAL	09-26-03	352131*9	-2,295.249	11.640		26,716.69	-27,688.85	-972.16			Vanguard Mid-Cap Index Fd sale
SAL	09-26-03	352131*9	-12.363	11.640		143.91	-121.65	22.26			Vanguard Mid-Cap Index Fd sale
TOTAL -			-2,307.612		0.00	26,860.60	-27,810.50	-949.90	0	0.00	
00014 VTSAX	Vanguard Total Stock Mkt Index										
PUR	06-03-03	341054	17,849.174	22.410	400,000.00				0	0.00	Vanguard Ttl Stk Mk purchase
TOTAL -			17,849.174		400,000.00	0.00	0.00	0.00	0	0.00	
00014 WPSCX	Westport Select Cap Fund										
SAL	11-26-03	358654	-1,182.033	21.150		25,000.00	-15,316.00	9,684.00			Westport Small Cap Fund sale
TOTAL -			-1,182.033		0.00	25,000.00	-15,316.00	9,684.00	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00014 WPSCX	Westport Select Cap Fund	83,785.127	UNTS	14.287	1,197,002.46	21.990	12-31-03	1,842,434.94	645,432.48
REPORT TOTAL -		12,419,936.80		12,050,010.40	-12,082,221.71	-32,211.31		47,231 12,188,477.42	

2076

04-26-04 Market Street Trust Company PAGE
FC1.3 PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

SORT OPTION: BY ENTITY, INV

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
00083	AR-FUND	MS Absolute Return Fund									
PUR	03-03-03	333729*42	0.000	0.000	1,000,000.00				0	1,004,236.64	3/3 MS Absolute capital contr.
PUR	06-04-03	342890*27	0.000	0.000	200,000.00				0	200,000.00	Absolute Return capital contr.
PUR	07-02-03	346436*33	0.000	0.000	200,000.00				0	200,000.00	Absolute Return Capital contr.
	TOTAL -		0.000		1,400,000.00	0.00	0.00	0.00	0	1,404,236.64	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00083	AR-FUND	MS Absolute Return Fund	0.000	DLRS	0.000	1,404,034.53			1,494,105.25	90,070.72

00083	CAEF	MSTC Equity Trust II (Growth)								
SAL	05-05-03	339844*19	0.000	0.000	300,000.00	-300,000.00	0.00			5/5 MSET II capital withdrawal
SAL	07-02-03	346418*48	0.000	0.000	250,000.00	-250,000.00	0.00			MSET II Capital withdrawal
	TOTAL -		0.000		0.00	550,000.00	-550,000.00	0.00	0	0.00

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00083	CAEF	MSTC Equity Trust II (Growth)	0.000	DLRS	0.000	852,131.71	1.000	12-31-96	1,001,414.68	149,282.97

00083	CAFIF	MSTC Fixed Income Trust I								
SAL	03-03-03	333716*27	0.000	0.000	1,060,000.00	-1,060,000.00	0.00			3/3 MSFIT I capital wthdrwl
SAL	06-03-03	342481*32	0.000	0.000	1,050,000.00	-1,050,000.00	0.00			MSFIT I Capital withdrawal
SAL	07-07-03	346430*20	0.000	0.000	250,000.00	-250,000.00	0.00			MSFIT I Capital withdrawal
	TOTAL -		0.000		0.00	2,360,000.00	-2,360,000.00	0.00	0	0.00

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00083	CAFIF	MSTC Fixed Income Trust I	0.000	DLRS	0.000	1,906,183.61	1.000	03-31-96	2,061,094.54	154,910.93

00083 CAVC-III Corning Associates VC-III

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER		QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -												
ENTITY INVESTMENT DESCRIPTION						QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00083 CAVC-III			Corning Associates VC-III			0.000	DLRS	0.000	2,149.76	1.000	12-31-97	773.46	-1,376.30
00083 CVD			Covance, Inc.										
CURRENT HOLDINGS -												
ENTITY INVESTMENT DESCRIPTION						QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00083 CVD			Covance, Inc.			7,119.000	SHRS	0.109	779.08	26.800	12-31-03	190,789.20	190,010.12
00083 CVD/S			Covance, Inc. - Short										
CURRENT HOLDINGS -												
ENTITY INVESTMENT DESCRIPTION						QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00083 CVD/S			Covance, Inc. - Short			-7,119.000	SHRS	19.290	-137,326.14	26.800	12-31-03	-190,789.20	-53,463.06
00083 GLW			Corning, Inc.										
CURRENT HOLDINGS -												
ENTITY INVESTMENT DESCRIPTION						QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00083 GLW			Corning, Inc.			122,272.000	SHRS	0.804	98,779.73	10.430	12-31-03	1,303,510.11	1,204,730.38
00083 GLW150			Corning Convertible 11/8/15										
SAL	06-11-03	342098*41		-307,000.000	74.750		229,482.50	-162,985.20	66,497.30				6/11 Corning Convertible sale
SAL	06-24-03	343483*14		-6,000.000	71.750		4,425.00	-3,155.02	1,269.98				6/24 Corning Convertible sale
TOTAL -				-313,000.000		0.00	233,907.50	-166,140.22	67,767.28	0	0.00		
00083 HEDGE			Market Street Hedge Fund										
CURRENT HOLDINGS -												
ENTITY INVESTMENT DESCRIPTION						QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00083 HEDGE			Market Street Hedge Fund			0.000	UNTS	0.000	901,804.64			1,006,137.76	104,333.12
00083 JPMSPC			JPM Short Proceeds - Covance										
PUR	01-31-03	328518*1		554.840	1.000	554.84					555	554.84	1/31 Covance call
TOTAL -				554.840		554.84	0.00	0.00	0.00	555	554.84	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00083 JPMSPC JPM Short Proceeds - Covance					150,044.040	DLRS	1.000	150,044.04	1.000	12-31-97	150,044.04	0.00
00083 JPMSPC/S JPM Margin Loan - Covance											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00083 JPMSPC/S JPM Margin Loan - Covance					-135,623.540	DLRS	1.000	-135,623.54	1.000	12-31-97	-135,623.54	0.00
00083 MSET1 MSTC Equity Trust I (Sm Cap)											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00083 MSET1 MSTC Equity Trust I (Sm Cap)					0.000	DLRS	0.000	272,348.49	1.000	12-31-96	447,880.99	175,532.50
00083 MSET3 MSTC Equity Trust III (Value)											
SAL	05-05-03	339848*26	0.000	0.000		500,000.00	-500,000.00	0.00			5/5 MSET III capital wthdrwl
SAL	07-01-03	346422*49	0.000	0.000		250,000.00	-250,000.00	0.00			MSET III Capital withdrawal
SAL	12-03-03	359802*6	0.000	0.000		30,000.00	-30,000.00	0.00			MSET III Capital withdrawal
TOTAL -			0.000		0.00	780,000.00	-780,000.00	0.00	0	0.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00083 MSET3 MSTC Equity Trust III (Value)					0.000	UNTS	0.000	965,193.69	1.000	12-31-96	1,140,870.13	175,676.44
00083 MSHYX MS Global High Yield Fund											
PUR	06-05-03	342518*50	0.000	0.000	500,000.00				0	541,129.20	MS High Yield Fund cap. contr.
TOTAL -			0.000		500,000.00	0.00	0.00	0.00	0	541,129.20	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00083 MSHYX MS Global High Yield Fund					0.000	DLRS	0.000	505,052.33			559,968.58	54,916.25
00083 MSIET1 Int'l Equity Tr I (Developed)											
PUR	05-05-03	339862*26	0.000	0.000	800,000.00				0	807,036.07	5/5 MSIET I capital contri.

2079

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			0.000		800,000.00	0.00	0.00	0.00	0	807,036.07	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00083 MSIET1	Int'l Equity Tr I (Developed)	0.000	UNTS	0.000	2,122,183.44			2,535,197.50	413,014.06

00083 MSREAL Market Street Real Asset Fund

PUR	07-07-03	346506*51	0.000	0.000	550,000.00				0	557,740.34	MS Real Asset Capital contri.
TOTAL -			0.000		550,000.00	0.00	0.00	0.00	0	557,740.34	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00083 MSREAL	Market Street Real Asset Fund	0.000	DLRS	0.000	553,753.97			671,068.96	117,314.99

00083 MSVTI Market Street Venture Tr I A

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00083 MSVTI	Market Street Venture Tr I A	0.000	DLRS	0.000	17,094.12	1.000	12-31-96	16,256.09	-838.03

00083 MSVTIB Market Street Venture Tr I B

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00083 MSVTIB	Market Street Venture Tr I B	0.000	DLRS	0.000	285,602.61	1.000	12-31-97	219,238.73	-66,363.88

00083 MSVTIC Market Street Venture Tr I C

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00083 MSVTIC	Market Street Venture Tr I C	0.000	DLRS	0.000	72,253.12			57,857.98	-14,395.14

00083 MSVTII Market Street Venture Trust II

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00083 MSVTII	Market Street Venture Trust II	0.000	DLRS	0.000	44,951.00	1.000	12-31-96	38,656.39	-6,294.61

00083 MSVTIIB Market Street Venture Tr II B

2080

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	00083 MSVTIIB	Market Street Venture Tr II B			0.000	DLRS	0.000	72,294.07			62,617.66	-9,676.41
00083	NR.24470	Mortgage Rec - Dated 11/28/95										
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	00083 NR.24470	Mortgage Rec - Dated 11/28/95			250,000.000	PAR	100.000	250,000.00	100.000	12-31-02	250,000.00	0.00
00083	VIEIX	Vanguard Extended Mk Portfolio										
SAL	09-26-03	352085*50	-5,205.042	23.540		122,526.69	-176,439.38	-53,912.69				Vanguard Extended Mk Fund sale
	TOTAL -		-5,205.042		0.00	122,526.69	-176,439.38	-53,912.69	0	0.00		
00083	VINIX	Vanguard Institutional Index										
SAL	09-26-03	352102*52	-228.679	91.260		20,869.25	-21,971.49	-1,102.24				Vanguard Index Fund sale
	TOTAL -		-228.679		0.00	20,869.25	-21,971.49	-1,102.24	0	0.00		
00083	VITSX	Vanguard Total Stock Mkt Index										
PUR	08-06-03	347669	5,572.893	22.430	125,000.00				5,573	125,000.00	Vanguard Ttl Stk Mk purchase
PUR	09-26-03	352338	899.149	23.210	20,869.25				899	20,869.25	Vanguard Ttl Stk Mk purchase
PUR	09-26-03	352625	5,691.844	23.210	132,107.71				5,692	132,107.71	Vanguard Ttl Stk Mk purchase
	TOTAL -		12,163.886		277,976.96	0.00	0.00	0.00	12,164	277,976.96	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	00083 VITSX	Vanguard Total Stock Mkt Index			24,435.193	UNTS	22.630	552,976.96	26.000	12-31-03	635,315.02	82,338.06
00083	VMCIX	Vanguard Mid-Cap Index Fd Inst										
SAL	09-26-03	352131*52	-818.702	11.640		9,529.69	-9,883.32	-353.63				Vanguard Mid-Cap Index Fd sale
SAL	09-26-03	352131*52	-4.410	11.640		51.31	-43.39	7.94				Vanguard Mid-Cap Index Fd sale
	TOTAL -		-823.112		0.00	9,581.02	-9,926.71	-345.69	0	0.00		
00083	VTSAX	Vanguard Total Stock Mkt Index										

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAG

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	06-03-03	341072	12,271.307	22.410	275,000.00				0	0.00	Vanguard Tcl Stk Mk purchase
	TOTAL -		12,271.307		275,000.00	0.00	0.00	0.00	0	0.00	

00083 WPSCX Westport Select Cap Fund

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
00083 WPSCX Westport Select Cap Fund	22,331.074	UNTS	15.549	347,215.80	21.990	12-31-03	491,060.32	143,844.52
REPORT TOTAL -	3,803,531.80		4,076,884.46	-4,064,477.80	12,406.66	12,719	3,588,674.05	

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

SORT OPTION: BY ENTITY, INV

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
92500	BCVIII	Boston Capital Ventures III									
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	92500 BCVIII	Boston Capital Ventures III		0.000	DLRS	0.000	394,171.26	0.930	07-31-95	181,761.00	-212,410.26
92500	CAFIF	MSTC Fixed Income Trust I									
SAL	10-01-03	353621*11	0.000	0.000		154,000.00	-154,000.00	0.00			10/1 MSFIT I capital wthdrwl
SAL	10-15-03	353624*2	0.000	0.000		19,366.53	-19,366.53	0.00			MSFIT I complete withdrawal
SAL	10-31-03	356174	0.000	0.000		1.81	-1.81	0.00			Corrected MSFIT I capital wdrw
PUR	11-30-03	358102	0.000	0.000	4,812.35				0	4,812.35	To close MSFIT I
	TOTAL -		0.000		4,812.35	173,368.34	-173,368.34	0.00	0	4,812.35	
92500	JPMSWEEP	J P Morgan Money Market Sweep									
SAL	01-29-03	329119	-166.750	1.000		166.75	-166.75	0.00			JPM Prime MM Sweep Fund
PUR	03-03-03	333826	129,398.090	1.000	129,398.09				0	0.00	JPM Prime MM Sweep Fund
PUR	03-04-03	333827	15,800.210	1.000	15,800.21				0	0.00	JPM Prime MM Sweep Fund
SAL	03-04-03	333828	-31,385.010	1.000		31,385.01	-31,385.01	0.00			JPM Prime MM Sweep Fund
SAL	03-04-03	333828	-98,013.080	1.000		98,013.08	-98,013.08	0.00			JPM Prime MM Sweep Fund
SAL	03-25-03	334691	-1,580.020	1.000		1,580.02	-1,580.02	0.00			JPM Prime MM Sweep Fund
SAL	04-22-03	336873	-166.750	1.000		166.75	-166.75	0.00			JPM Prime MM Sweep Fund
PUR	05-12-03	340290	3,733.340	1.000	3,733.34				0	0.00	JPM Prime MM Sweep Fund
PUR	05-19-03	340291	24,675.970	1.000	24,675.97				0	0.00	JPM Prime MM Sweep Fund
SAL	05-28-03	340647	-740.280	1.000		740.28	-740.28	0.00			JPM Prime MM Sweep Fund
SAL	06-17-03	345365	-859.480	1.000		859.48	-859.48	0.00			JPM Prime MM Sweep Fund
SAL	06-27-03	343753	-42,000.000	1.000		42,000.00	-42,000.00	0.00			JPM Prime MM Sweep Fund
PUR	07-02-03	346719	42,000.000	1.000	42,000.00				0	0.00	JPM Prime MM Sweep Fund
PUR	07-15-03	346720	859.480	1.000	859.48				0	0.00	JPM Prime MM Sweep Fund
PUR	07-23-03	347186	107,395.730	1.000	107,395.73				0	0.00	JPM Prime MM Sweep Fund
SAL	07-31-03	347336	-1,073.960	1.000		1,073.96	-1,073.96	0.00			JPM Prime MM Sweep Fund
PUR	09-02-03	353315	2,976.680	1.000	2,976.68				0	0.00	JPM Prime MM Sweep Fund
PUR	10-01-03	354178	154,000.000	1.000	154,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	10-09-03	354179	-428,122.450	1.000		428,122.45	-428,122.45	0.00			JPM Prime MM Sweep Fund
PUR	10-15-03	354669	28,537.040	1.000	28,537.04				979	979.37	JPM Prime MM Sweep Fund
PUR	10-17-03	354670	3,031.330	1.000	3,031.33				3,031	3,031.33	JPM Prime MM Sweep Fund

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	10-21-03	354672	-166.750	1.000		166.75	-166.75	0.00			JPM Prime MM Sweep Fund
SAL	10-27-03	354896	-575.950	1.000		575.95	-575.95	0.00			JPM Prime MM Sweep Fund
SAL	10-30-03	355359	-26,000.000	1.000		26,000.00	-26,000.00	0.00			JPM Prime MM Sweep Fund
PUR	11-14-03	357010	1.810	1.000	1.81				2	1.81	JPM Prime MM Sweep Fund
PUR	12-15-03	360902	3,018.400	1.000	3,018.40				3,018	3,018.40	JPM Prime MM Sweep Fund
SAL	12-30-03	361554	-814.970	1.000		814.97	-814.97	0.00			JPM Prime MM Sweep Fund
	TOTAL -		-116,237.370		515,428.08	631,665.45	-631,665.45	0.00	7,031	7,030.91	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92500	JPMSWEEP	J P Morgan Money Market Sweep	15,131.450	UNTS	1.000	15,131.45	1.000	12-31-03	7,030.91	-8,100.54

92500	PCPV	Paul Capital Partners V, LP									
SAL	05-19-03	340271	0.000	0.000		8,636.59	-8,636.59	0.00			Return of Cap fm PCPV distr.
SAL	07-23-03	347187	0.000	0.000		78,398.88	-78,398.88	0.00			Ret of Cap - Paul Cap V distri
SAL	08-29-03	349662	0.000	0.000		1,518.11	-1,518.11	0.00			Return of Cap fm PCPV distr.
SAL	10-17-03	354663	0.000	0.000		2,455.38	-2,455.38	0.00			Return of Cap.-PCPV cash dist.
SAL	12-15-03	360899	0.000	0.000		1,418.65	-1,418.65	0.00			Ret of Cap fm PCP V cash dist
	TOTAL -		0.000		0.00	92,427.61	-92,427.61	0.00	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92500	PCPV	Paul Capital Partners V, LP	0.000	DLRS	0.000	178,063.86			346,185.00	168,121.14

92500	Pierpont	J.P. Morgan Funds									
SAL	10-15-03	354671	-11,048.060	1.000		11,048.06	-11,048.06	0.00			JPM Prime MM Select Funds
	TOTAL -		-11,048.060		0.00	11,048.06	-11,048.06	0.00	0	0.00	

92500	VMLUX	Vanguard Ltd Term Tax Ex Fund									
PUR	10-09-03	353921	38,773.670	11.090	430,000.00				38,774	430,000.00	10/9 Vang'd Limited-Tm T/E
PUR	10-29-03	355352	2,166.065	11.080	24,000.00				2,166	24,000.00	10/29 Vang'd Limited-Tm T/E Pd
	TOTAL -		40,939.735		454,000.00	0.00	0.00	0.00	40,940	454,000.00	

04-26-04
PC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER		QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -												
ENTITY INVESTMENT DESCRIPTION						QUANTITY	UOM	AVERAGE COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92500	VMLUX		Vanguard Ltd Term Tax Ex Fund			40,939.735	UNIS	11.089 454,000.00	11.070	12-31-03	453,202.87	-797.13
REPORT TOTAL -						974,240.43		908,509.46	-908,509.46	0.00	47,971	465,843.26

04-26-04 Market Street Trust Company PAGE
FC3.3 PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

SORT OPTION: BY ENTITY, INV

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
92500B BMP		Boston Millennia Partners LP									
SAL	07-22-03	347286	0.000	0.000		9,596.96	-9,596.96	0.00			Ret of Cap fm Boston Millennia
	TOTAL -		0.000		0.00	9,596.96	-9,596.96	0.00	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT	DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92500B	BMP	Boston Millennia Partners LP	0.000	DLRS	0.000	1,449,171.50			541,119.00	-908,052.50

92500B CAFIF		MSTC Fixed Income Trust I									
SAL	10-01-03	353621*12	0.000	0.000		1,595,000.00	-1,595,000.00	0.00			10/1 MSFIT I capital wthdrwl
SAL	10-15-03	353624*3	0.000	0.000		200,300.03	-200,300.03	0.00			MSFIT I complete withdrawal
SAL	10-31-03	356184	0.000	0.000		18.80	-18.80	0.00			Corrected MSFIT I capital wdrw
PUR	11-30-03	358103	0.000	0.000	55,903.96				0	55,903.96	To close MSFIT I
	TOTAL -		0.000		55,903.96	1,795,318.83	-1,795,318.83	0.00	0	55,903.96	

92500B CHL		CHL Medical Partners LP									
PUR	07-14-03	346746	0.000	0.000	35,000.00				0	35,000.00	Capital call - CHL Medical
PUR	12-18-03	360903	0.000	0.000	40,000.00				0	40,000.00	Capital call - CHL Medical
	TOTAL -		0.000		75,000.00	0.00	0.00	0.00	0	75,000.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT	DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92500B	CHL	CHL Medical Partners LP	0.000	DLRS	0.000	880,641.73			540,452.00	-340,189.73

92500B ECPIV Exeter Capital Partners IV, LP

CURRENT HOLDINGS -

ENTITY	INVESTMENT	DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92500B	ECPIV	Exeter Capital Partners IV, LP	0.000	DLRS	0.000	1,599,627.00			1,599,627.00	0.00

92500B ISPIV Intersouth Partners IV

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92500B ISPIV	Intersouth Partners IV				0.000 DLRS	0.000	1,299,333.72			773,196.00	-526,137.72
2500B JPMSWEEP	J P Morgan Money Market Sweep										
AL	01-02-03	328162	-9,495.940	1.000		9,495.94	-9,495.94	0.00			JPM Prime MM Sweep Fund
AL	01-08-03	328163	-100,000.000	1.000		100,000.00	-100,000.00	0.00			JPM Prime MM Sweep Fund
UR	01-21-03	329124	17,789.410	1.000	17,789.41				0	0.00	JPM Prime MM Sweep Fund
AL	02-05-03	331078	-17,956.170	1.000		17,956.17	-17,956.17	0.00			JPM Prime MM Sweep Fund
UR	02-26-03	331861	0.010	1.000	0.01				0	0.00	JPM Prime MM Sweep Fund
UR	03-25-03	334710	100,621.420	1.000	100,621.42				0	0.00	JPM Prime MM Sweep Fund
UR	04-04-03	336339	3,399.910	1.000	3,399.91				0	0.00	JPM Prime MM Sweep Fund
AL	04-15-03	336341	-18,334.000	1.000		18,334.00	-18,334.00	0.00			JPM Prime MM Sweep Fund
UR	04-17-03	336874	11,715.850	1.000	11,715.85				0	0.00	JPM Prime MM Sweep Fund
AL	04-29-03	337055	-166.750	1.000		166.75	-166.75	0.00			JPM Prime MM Sweep Fund
UR	04-30-03	337057	1,699.910	1.000	1,699.91				0	0.00	JPM Prime MM Sweep Fund
UR	05-01-03	340301	8,499.590	1.000	8,499.59				0	0.00	JPM Prime MM Sweep Fund
SAL	05-12-03	340302	-1,866.670	1.000		1,866.67	-1,866.67	0.00			JPM Prime MM Sweep Fund
UR	05-28-03	340648	16,717.270	1.000	16,717.27				0	0.00	JPM Prime MM Sweep Fund
UR	07-02-03	346744	17,439.120	1.000	17,439.12				0	0.00	JPM Prime MM Sweep Fund
SAL	07-14-03	346749	-35,000.000	1.000		35,000.00	-35,000.00	0.00			JPM Prime MM Sweep Fund
SAL	07-24-03	347197	-166.750	1.000		166.75	-166.75	0.00			JPM Prime MM Sweep Fund
UR	07-29-03	347199	6,645.750	1.000	6,645.75				0	0.00	JPM Prime MM Sweep Fund
UR	07-31-03	347337	109,473.210	1.000	109,473.21				0	0.00	JPM Prime MM Sweep Fund
UR	08-01-03	349010	9,596.960	1.000	9,596.96				0	0.00	JPM Prime MM Sweep Fund
UR	08-21-03	349554	18,691.620	1.000	18,691.62				0	0.00	JPM Prime MM Sweep Fund
UR	09-26-03	352082	40,109.580	1.000	40,109.58				0	0.00	JPM Prime MM Sweep Fund
UR	10-01-03	354182	1,595,000.000	1.000	1,595,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	10-09-03	354183	-89,025.030	1.000		89,025.03	-89,025.03	0.00			JPM Prime MM Sweep Fund
SAL	10-09-03	354183	-2,025,974.970	1.000		2,025,974.97	-2,025,974.97	0.00			JPM Prime MM Sweep Fund
UR	10-15-03	354673	200,300.030	1.000	200,300.03				0	0.00	JPM Prime MM Sweep Fund
SAL	10-21-03	354674	-166.750	1.000		166.75	-166.75	0.00			JPM Prime MM Sweep Fund
SAL	10-30-03	355360	-197,000.000	1.000		197,000.00	-197,000.00	0.00			JPM Prime MM Sweep Fund
UR	11-14-03	357018	18.800	1.000	18.80				0	0.00	JPM Prime MM Sweep Fund
UR	11-19-03	357357	21,795.780	1.000	21,795.78				0	0.00	JPM Prime MM Sweep Fund
UR	11-21-03	358005	14,802.980	1.000	14,802.98				0	0.00	JPM Prime MM Sweep Fund
SAL	12-04-03	360144	-14,802.980	1.000		14,802.98	-14,802.98	0.00			JPM Prime MM Sweep Fund

2087

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	12-05-03	360145	40,000.000	1.000	40,000.00				14,124	14,123.55	JPM Prime MM Sweep Fund
PUR	12-09-03	360146	19,125.020	1.000	19,125.02				19,125	19,125.02	JPM Prime MM Sweep Fund
SAL	12-18-03	360906	-54,655.000	1.000		54,655.00	-54,655.00	0.00			JPM Prime MM Sweep Fund
PUR	12-23-03	360905	8,452.550	1.000	8,452.55				8,453	8,452.55	JPM Prime MM Sweep Fund
PUR	12-30-03	361558	30,420.120	1.000	30,420.12				30,420	30,420.12	JPM Prime MM Sweep Fund
	TOTAL -		-272,296.120		2,292,314.89	2,564,611.01	-2,564,611.01	0.00	72,121	72,121.24	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92500B JPMSWEEP	J P Morgan Money Market Sweep	93,262.940	UNTS	1.000	93,262.94	1.000	12-31-03	72,121.24	-21,141.70

92500B LabV Labrador Ventures IV LP

SAL	03-25-03	335754	0.000	0.000		93,377.19	-93,377.19	0.00			Ret. of Cap. - Lab. Ventures
SAL	07-29-03	347194	0.000	0.000		6,645.75	-6,645.75	0.00			Ret of Cap from Labrador dist
PUR	12-08-03	360148	0.000	0.000	100,000.00				0	100,000.00	Capital call-Labrador Vent IV
SAL	12-09-03	361988	0.000	0.000		4,125.02	-4,125.02	0.00			Ret. of Cap. - Labrador Vent.
	TOTAL -		0.000		100,000.00	104,147.96	-104,147.96	0.00	0	100,000.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92500B LabV	Labrador Ventures IV LP	0.000	DLRS	0.000	1,042,627.24			352,849.00	-689,778.24

92500B MEGUNFUND2 The Megunticook Fund II, L.P.

PUR	01-08-03	328166	0.000	0.000	85,000.00				0	85,000.00	Capital call-Megunticook Fund
	TOTAL -		0.000		85,000.00	0.00	0.00	0.00	0	85,000.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92500B MEGUNFUND2	The Megunticook Fund II, L.P.	0.000	DLRS	0.000	654,751.00			273,676.00	-381,075.00

92500B MEGUMPSFD2 Megunticook Side Fd Part's II

PUR	01-08-03	328165	0.000	0.000	15,000.00				0	15,000.00	Capital call-Megunticook Side
	TOTAL -		0.000		15,000.00	0.00	0.00	0.00	0	15,000.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92500B MEGUNPSFD2 Meguticook Side Fd Part's II					0.000 DLRS	0.000	60,111.00			51,350.00	-8,761.00
92500B PCP-ASSOC PCP Associates LP											
SAL	03-05-03	333819	0.000	0.000		545.27	-545.27	0.00			Ret. of Cap. fm PCP-Assoc dist
SAL	04-01-03	336343	0.000	0.000		9,138.36	-9,138.36	0.00			Ret. of Cap. fm PCP-Assoc dist
PUR	04-04-03	336340	0.000	0.000	18,334.00				0	18,334.00	Capital call - PCP VI Assoc.
SAL	05-19-03	340292	0.000	0.000		6,993.96	-6,993.96	0.00			Return of Cap - PCP-Assoc dist
SAL	06-27-03	345370	0.000	0.000		6,292.47	-6,292.47	0.00			Return of Cap. fm PCP VI distr
SAL	07-22-03	347191	0.000	0.000		40,805.35	-40,805.35	0.00			Ret of Cap fm Paul VI distr.
SAL	07-29-03	347195	0.000	0.000		34,466.40	-34,466.40	0.00			Ret of Cap fm Paul VI distr
SAL	08-11-03	349018	0.000	0.000		8,037.40	-8,037.40	0.00			Return of Cap - PCP-Assoc dist
SAL	09-25-03	351670	0.000	0.000		16,128.78	-16,128.78	0.00			Return of Cap - Paul VI distr
PUR	09-26-03	352081	0.000	0.000	7,328.00				0	7,328.00	Capital call - Paul VI Assoc.
SAL	11-12-03	357019	0.000	0.000		4,490.11	-4,490.11	0.00			Return of Cap. fm Paul VI dist
SAL	11-18-03	357022	0.000	0.000		5,739.93	-5,739.93	0.00			Return of Cap. fm Paul VI dist
SAL	12-02-03	360154	0.000	0.000		3,042.92	-3,042.92	0.00			Return of Cap fm Paul VI Assoc
PUR	12-18-03	360904	0.000	0.000	14,655.00				0	14,655.00	Capital call - PCP VI Assoc.
SAL	12-23-03	360917	0.000	0.000		11,255.44	-11,255.44	0.00			Ret of Cap - Paul VI cash dist
TOTAL -			0.000		40,317.00	146,936.39	-146,936.39	0.00	0	40,317.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92500B PCP-ASSOC PCP Associates LP					0.000 UNTS	0.000	1,147,485.43			1,280,600.00	133,114.57
92500B FDGM Paradigm Genetics Inc											
SAL	03-31-03	335123	-5,000.000	0.700		3,399.91	-4,000.00	-600.09			3/31 Paradigm Genetics sale
SAL	04-24-03	337052	-2,500.000	0.700		1,699.91	-2,000.00	-300.09			Paradigm Genetics Inc
SAL	04-25-03	337054	-12,500.000	0.700		8,499.59	-9,999.99	-1,500.40			Paradigm Genetics Inc
TOTAL -			-20,000.000		0.00	13,599.41	-15,999.99	-2,400.58	0	0.00	
92500B VMLUX Vanguard Ltd Term Tax Ex Fund											
PUR	10-09-03	353922	190,712.353	11.090	2,115,000.00				176,679	1,959,366.12	10/9 Vang'd Limited-Tm T/E

2089

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	10-29-03	355353	17,599.278	11.080	195,000.00				17,599	195,000.00	10/29 Vang'd Limited-Tm T/E Pd
SAL	12-05-03	360071	-3,626.473	11.030		40,000.00	-40,217.59	-217.59			Vang'd Limited-Term redemption
SAL	12-09-03	360150	-10,407.240	11.050		115,000.00	-115,416.29	-416.29			Vang'd Limited-Term redemption
	TOTAL -		194,277.918		2,310,000.00	155,000.00	-155,633.88	-633.88	194,278	2,154,366.12	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92500B VMLUX	Vanguard Ltd Term Tax Ex Fund	176,276.059	UNTS	11.089	1,954,725.50	11.070	12-31-03	2,150,656.55	195,931.05

92500B ZNM	ZN Mexico Trust										
PUR	01-02-03	328164	0.000	0.000	9,495.94				0	9,495.94	Capital call - ZN Mexico
	TOTAL -		0.000		9,495.94	0.00	0.00	0.00	0	9,495.94	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92500B ZNM	ZN Mexico Trust	0.000	DLRS	0.000	883,812.55			852,722.00	-31,090.55

REPORT TOTAL -	4,983,031.79	4,789,210.56	-4,792,245.02	-3,034.46	266,399	2,607,204.26

2090

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

SORT OPTION: BY ENTITY, INV

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
92500C CAFIF		MSTC Fixed Income Trust I									
SAL	01-02-03	327920*2	0.000	0.000		200,000.00	-200,000.00	0.00			1/2 MSFIT I capital wthdrwl
SAL	03-03-03	333716*55	0.000	0.000		200,000.00	-200,000.00	0.00			3/3 MSFIT I capital wthdrwl
SAL	07-07-03	346430*57	0.000	0.000		100,000.00	-100,000.00	0.00			MSFIT I Capital withdrawal
SAL	10-01-03	353621*13	0.000	0.000		3,550,000.00	-3,550,000.00	0.00			10/1 MSFIT I capital wthdrwl
SAL	10-15-03	353624*4	0.000	0.000		420,218.42	-420,218.42	0.00			MSFIT I complete withdrawal
SAL	10-31-03	356185	0.000	0.000		41.53	-41.53	0.00			Corrected MSFIT I capital wdrw
PUR	11-30-03	358104	0.000	0.000	101,331.01				0	101,331.01	To close MSFIT I
	TOTAL -		0.000		101,331.01	4,470,259.95	-4,470,259.95	0.00	0	101,331.01	
92500C JPMSWEEP		J P Morgan Money Market Sweep									
PUR	01-03-03	328159	200,000.000	1.000	200,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	01-29-03	329127	-166.750	1.000		166.75	-166.75	0.00			JPM Prime MM Sweep Fund
SAL	02-20-03	331062	-94,076.660	1.000		94,076.66	-94,076.66	0.00			JPM Prime MM Sweep Fund
SAL	02-20-03	331092	-205,923.340	1.000		205,923.34	-205,923.34	0.00			JPM Prime MM Sweep Fund
PUR	03-03-03	333783	200,000.000	1.000	200,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	04-22-03	336877	-166.750	1.000		166.75	-166.75	0.00			JPM Prime MM sweep Fund
SAL	05-12-03	340305	-1,866.670	1.000		1,866.67	-1,866.67	0.00			JPM Prime MM Sweep Fund
SAL	07-01-03	346759	-200,000.000	1.000		200,000.00	-200,000.00	0.00			JPM Prime MM Sweep Fund
PUR	07-02-03	346758	100,000.000	1.000	100,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	07-16-03	346760	-166.750	1.000		166.75	-166.75	0.00			JPM Prime MM Sweep Fund
PUR	07-25-03	347256	100,000.000	1.000	100,000.00				0	0.00	JPM Prime MM Sweep Fund
PUR	10-01-03	354185	3,550,000.000	1.000	3,550,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	10-03-03	354187	-300,000.000	1.000		300,000.00	-300,000.00	0.00			JPM Prime MM Sweep Fund
SAL	10-09-03	354188	-3,640,000.000	1.000		3,640,000.00	-3,640,000.00	0.00			JPM Prime MM Sweep Fund
PUR	10-15-03	354676	420,218.420	1.000	420,218.42				5,924	5,923.69	JPM Prime MM Sweep Fund
SAL	10-21-03	354677	-166.750	1.000		166.75	-166.75	0.00			JPM Prime MM Sweep Fund
SAL	10-30-03	355361	-416,000.000	1.000		416,000.00	-416,000.00	0.00			JPM Prime MM Sweep Fund
PUR	11-14-03	357029	41.530	1.000	41.53				42	41.53	JPM Prime MM Sweep Fund
PUR	12-09-03	360162	4.470	1.000	4.47				4	4.47	JPM Prime MM Sweep Fund
SAL	12-23-03	360907	-4.470	1.000		4.47	-4.47	0.00			JPM Prime MM Sweep Fund
	TOTAL -		-288,273.720		4,570,264.42	4,858,538.14	-4,858,538.14	0.00	5,970	5,969.69	

2091

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	DOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92500C JPMSWEEP	J P Morgan Money Market Sweep				5,802.940	UNTS	1.000	5,802.94	1.000	12-31-03	5,969.69	166.75
92500C TAPEII	TAPE II, LP										
PUR	02-20-03	331083	0.000	0.000	300,000.00				0	300,000.00	Capital call - TAPE II
PUR	07-01-03	346757	0.000	0.000	200,000.00				0	200,000.00	Capital Call - TAPE II
SAL	07-25-03	347250	0.000	0.000		65,000.00	-65,000.00	0.00			Ret of Cap from TAPE II distr.
PUR	09-12-03	351650	100,000.000	1.000	100,000.00				100,000	800,000.00	Capital Call - TAPE II
SAL	09-12-03	351647	0.000	0.000		73,670.00	-73,670.00	0.00			Return of Cap - TAPE II distr.
PUR	10-03-03	354186	0.000	0.000	300,000.00				0	0.00	Capital call - TAPE II
PUR	11-25-03	358010	0.000	0.000	200,000.00				0	0.00	Capital call - TAPE II
PUR	12-30-03	361136	0.000	0.000	200,000.00				0	0.00	Capital Call - TAPE II
SAL	12-30-03	361135	0.000	0.000		200,000.00	-200,000.00	0.00			Return of Capital - TAPE II
TOTAL -			100,000.000		1,300,000.00	338,670.00	-338,670.00	0.00	100,000	1,300,000.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	DOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92500C TAPEII	TAPE II, LP				100,000.000	DLRS	58.160	5,815,965.00			3,884,570.00	-1,931,395.00
92500C VMLUX	Vanguard Ltd Term Tax Ex Fund										
PUR	10-09-03	353923	328,223.625	11.090	3,640,000.00				310,189	3,440,000.00	10/9 Vang'd Limited-Tm T/E
PUR	10-29-03	355354	37,364.621	11.080	414,000.00				37,365	414,000.00	10/29 Vang'd Limited-Tm T/E Fd
SAL	11-25-03	358007	-18,034.265	11.090		200,000.00	-200,000.00	0.00			Vanguard Limited-Term T/E sale
TOTAL -			347,553.981		4,054,000.00	200,000.00	-200,000.00	0.00	347,554	3,854,000.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	DOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92500C VMLUX	Vanguard Ltd Term Tax Ex Fund				347,553.981	UNTS	11.089	3,854,000.00	11.070	12-31-03	3,847,422.57	-6,577.43
REPORT TOTAL -					10,025,595.43	9,867,468.09	-9,867,468.09	0.00	453,924	5,261,300.70	

2092

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04
FC3.3

SORT OPTION: BY ENTITY, INV

ENTITY TYPE	INVESTMENT DESCRIPTION / TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
92600	AKAM	Akamai Technologies Inc									
	CURRENT HOLDINGS -				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ENTITY INVESTMENT DESCRIPTION										
	92600 AKAM	Akamai Technologies Inc			16,366.000 SHRS	4.412	72,207.28	10.760	12-31-03	176,098.16	103,890.88
92600	BAKER	Baker Communications Fund, LP									
PUR	03-31-03	334694	0.000	0.000	5,568.92				0	4,703.53	Capital call - Baker Comm Fd
SAL	07-29-03	347204	0.000	0.000		56.00	-56.00	0.00			Ret of Cap fm Baker Comm distr
PUR	09-29-03	352094	0.000	0.000	5,194.89				0	5,194.89	Capital call - Baker Comm Fd
PUR	12-18-03	360909	0.000	0.000	6,158.48				0	6,158.48	Capital call - Baker Comm Fund
	TOTAL -		0.000		16,922.29	56.00	-56.00	0.00	0	16,056.90	
	CURRENT HOLDINGS -				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ENTITY INVESTMENT DESCRIPTION										
	92600 BAKER	Baker Communications Fund, LP			0.000 DLRS	0.000	415,644.71			366,254.00	-49,390.71
92600	BAKERIIA	Baker Communication Fd-Cayman									
	CURRENT HOLDINGS -				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ENTITY INVESTMENT DESCRIPTION										
	92600 BAKERIIA	Baker Communication Fd-Cayman			0.000 DLRS	0.000	138,892.78			47,409.00	-91,483.78
92600	BLUEROCK	Bluerock Capital									
	CURRENT HOLDINGS -				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ENTITY INVESTMENT DESCRIPTION										
	92600 BLUEROCK	Bluerock Capital			0.000 DLRS	0.000	104,659.41			0.00	-104,659.41
92600	CAFIP	MSTC Fixed Income Trust I									
SAL	10-01-03	353621*14	0.000	0.000		112,000.00	-112,000.00	0.00			10/1 MSFIT I capital wthdrwl
SAL	10-15-03	353624*5	0.000	0.000		13,195.36	-13,195.36	0.00			MSFIT I complete withdrawal
SAL	10-31-03	356143*5	0.000	0.000		1.31	-1.31	0.00			Corrected MSFIT I capital wdrw
PUR	11-30-03	358105	0.000	0.000	4,306.15				0	4,306.15	To close MSFIT I
	TOTAL -		0.000		4,306.15	125,196.67	-125,196.67	0.00	0	4,306.15	

ENTITY TYPE	INVESTMENT DESCRIPTION	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
92600	JPMSWEEP	J P Morgan Money Market Sweep										
SAL		01-29-03	329133	-500.000	1.000		500.00	-500.00	0.00			JPM Prime MM Sweep Fund
SAL		03-31-03	334695	-5,568.920	1.000		5,568.92	-5,568.92	0.00			JPM Prime MM Sweep Fund
SAL		04-22-03	336878	-500.000	1.000		500.00	-500.00	0.00			JPM Prime MM Sweep Fund
SAL		05-12-03	340308	-2,800.000	1.000		2,800.00	-2,800.00	0.00			JPM Prime MM Sweep Fund
SAL		06-26-03	343755	-58,000.000	1.000		58,000.00	-58,000.00	0.00			JPM Prime MM Sweep Fund
PUR		07-02-03	346765	58,000.000	1.000	58,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL		07-16-03	346766	-500.000	1.000		500.00	-500.00	0.00			JPM Prime MM Sweep Fund
PUR		07-29-03	347206	8,992.570	1.000	8,992.57				0	0.00	JPM Prime MM Sweep Fund
SAL		09-29-03	352095	-5,194.890	1.000		5,194.89	-5,194.89	0.00			JPM Prime MM Sweep Fund
PUR		10-01-03	354190	112,000.000	1.000	112,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL		10-09-03	354191	-170,000.000	1.000		170,000.00	-170,000.00	0.00			JPM Prime MM Sweep Fund
PUR		10-15-03	354679	13,195.360	1.000	13,195.36				3,331	3,331.29	JPM Prime MM Sweep Fund
SAL		10-21-03	354683	-500.000	1.000		500.00	-500.00	0.00			JPM Prime MM Sweep Fund
SAL		10-30-03	355362	-13,000.000	1.000		13,000.00	-13,000.00	0.00			JPM Prime MM Sweep Fund
PUR		11-14-03	357033	1.310	1.000	1.31				1	1.31	JPM Prime MM Sweep Fund
PUR		12-18-03	360910	841.520	1.000	841.52				842	841.52	JPM Prime MM Sweep Fund
	TOTAL -			-63,533.050		193,030.76	256,563.81	-256,563.81	0.00	4,174	4,174.12	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92600	JPMSWEEP J P Morgan Money Market Sweep	3,419.510	UNTS	1.000	3,419.51	1.000	12-31-03	4,174.12	754.61

92600 OXFORD Oxford Bioscience Partners II

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92600	OXFORD Oxford Bioscience Partners II	0.000	DLRS	0.000	835,281.19	1.000	03-31-97	727,646.00	-107,635.19

92600	VWLUX	Vanguard Ltd Term Tax Ex Fund										
PUR		10-09-03	353924	15,329.125	11.090	170,000.00				14,697	162,987.35	10/9 Vang'd Limited-Te T/E
PUR		10-29-03	355355	902.527	11.080	10,000.00				903	10,000.00	10/29 Vang'd Limited-Te T/E Fd
SAL		12-18-03	360911	-632.340	11.070		7,000.00	-7,012.65	-12.65			Vang'd Limited-Term T/E sale
	TOTAL -			15,599.312		180,000.00	7,000.00	-7,012.65	-12.65	15,599	172,987.35	

Market Street Trust Company

04-26-04 PURCHASE / SALE DETAIL HISTORY REPORT PAGE

FC3.3 BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	DOM	AVERAGE COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92600	VMLUX	Vanguard Ltd Term Tax Ex Fund	15,149.672	UNTS	11.089 168,000.84	11.070	12-31-03	172,684.38	4,683.54
REPORT TOTAL -			394,259.20		388,816.48	-388,829.13	-12.65	19,773	197,524.52

SORT OPTION: BY ENTITY, INV

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
92600B BAKER2	Baker Communications Fund II										
PUR	03-04-03	333811	0.000	0.000	62,684.62				0	55,497.60	Capital call - Baker Comm. II
PUR	04-09-03	336352	0.000	0.000	17,782.87				0	15,744.00	Capital call - Baker Comm. II
SAL	05-07-03	340321	0.000	0.000		111,385.41	-111,385.41	0.00			Return of Cap fm Baker II dist
PUR	06-06-03	342591	0.000	0.000	267,841.02				0	237,132.03	Capital Call - Baker Comm. II
PUR	12-18-03	360914	0.000	0.000	197,184.92				0	197,184.92	Capital call-Baker Comm Fd II
TOTAL -			0.000		545,493.43	111,385.41	-111,385.41	0.00	0	505,558.55	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92600B BAKER2 Baker Communications Fund II	0.000	DLRS	0.000	1,592,427.22			866,668.00	-725,759.22

92600B BAKERIIB Baker Communication II-Cayman

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92600B BAKERIIB Baker Communication II-Cayman	0.000	DLRS	0.000	454,626.72			113,739.00	-340,869.72

92600B BMP2 Boston Millennia Partners II

TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	01-21-03	329120	0.000	0.000		9,253.55	-9,253.55	0.00			Net of Cap fm BMP-II cash dist
PUR	08-08-03	349024	0.000	0.000	300,000.00				0	300,000.00	Capital call - Boston Mill II
TOTAL -			0.000		300,000.00	9,253.55	-9,253.55	0.00	0	300,000.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92600B BMP2 Boston Millennia Partners II	0.000	DLRS	0.000	1,454,406.03			881,368.00	-573,038.03

92600B CAPIF MSTC Fixed Income Trust I

TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	01-02-03	327920*3	0.000	0.000		100,000.00	-100,000.00	0.00			1/2 MSFIT I capital wthdrwl
SAL	03-03-03	333716*56	0.000	0.000		50,000.00	-50,000.00	0.00			3/3 MSFIT I capital wthdrwl
SAL	06-03-03	342481*61	0.000	0.000		200,000.00	-200,000.00	0.00			MSFIT I Capital withdrawal
SAL	07-07-03	346430*58	0.000	0.000		600,000.00	-600,000.00	0.00			MSFIT I Capital withdrawal
SAL	08-05-03	348857*15	0.000	0.000		500,000.00	-500,000.00	0.00			MSFIT I Capital withdrawal
SAL	10-01-03	351621*15	0.000	0.000		7,270,000.00	-7,270,000.00	0.00			10/1 MSFIT I capital wthdrwl

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	10-15-03	353624*6	0.000	0.000		909,016.68	-909,016.68	0.00			MSFIT I complete withdrawal
SAL	10-31-03	356186	0.000	0.000		85.60	-85.60	0.00			Corrected MSFIT I capital wdrw
PUR	11-30-03	358106	0.000	0.000	383,764.49				0	383,764.49	To close MSFIT I
	TOTAL -		0.000		383,764.49	9,629,102.28	-9,629,102.28	0.00	0	383,764.49	
926008 ISPV		Intersouth Partners V									
PUR	05-12-03	340313	0.000	0.000	105,000.00				0	95,832.40	Capital call - Intersouth V
PUR	07-02-03	346776	0.000	0.000	45,000.00				0	45,000.00	Capital Call - Intersouth V
PUR	07-22-03	347200	0.000	0.000	45,000.00				0	45,000.00	Capital Call - Intersouth V
PUR	10-01-03	354196	0.000	0.000	75,000.00				0	75,000.00	Capital call - Intersouth V
PUR	11-17-03	357359	0.000	0.000	37,500.00				0	37,500.00	Capital call - Intersouth V
PUR	12-03-03	360170	0.000	0.000	45,000.00				0	45,000.00	Capital call - Intersouth V
	TOTAL -		0.000		352,500.00	0.00	0.00	0.00	0	343,332.40	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY DOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
926008 ISPV	Intersouth Partners V	0.000 DLRS	0.000	1,653,990.00			1,075,484.00	-578,506.00

926008 JPMSWEEP		J P Morgan Money Market Sweep									
PUR	01-03-03	328160	100,000.000	1.000	100,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	01-29-03	329130	-1,150.000	1.000		1,150.00	-1,150.00	0.00			JPM Prime MM Sweep Fund
SAL	02-05-03	331087	-68,835.590	1.000		68,835.59	-68,835.59	0.00			JPM Prime MM Sweep Fund
PUR	03-03-03	333808	50,000.000	1.000	50,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	03-04-03	333813	-60,490.300	1.000		60,490.30	-60,490.30	0.00			JPM Prime MM Sweep Fund
PUR	03-06-03	333810	7,789.500	1.000	7,789.50				0	0.00	JPM Prime MM Sweep Fund
SAL	03-25-03	334709	-91,694.470	1.000		91,694.47	-91,694.47	0.00			JPM Prime MM Sweep Fund
PUR	04-01-03	336355	11,715.850	1.000	11,715.85				0	0.00	JPM Prime MM Sweep Fund
PUR	04-07-03	336356	6,845.190	1.000	6,845.19				0	0.00	JPM Prime MM Sweep Fund
SAL	04-09-03	336357	-17,782.870	1.000		17,782.87	-17,782.87	0.00			JPM Prime MM Sweep Fund
SAL	04-17-03	336881	-11,852.750	1.000		11,852.75	-11,852.75	0.00			JPM Prime MM Sweep Fund
SAL	04-22-03	336882	-1,090.000	1.000		1,090.00	-1,090.00	0.00			JPM Prime MM Sweep Fund
PUR	05-07-03	340314	35,740.600	1.000	35,740.60				0	0.00	JPM Prime MM Sweep Fund
SAL	05-12-03	340317	-124,475.000	1.000		124,475.00	-124,475.00	0.00			JPM Prime MM Sweep Fund
PUR	05-14-03	340315	8,768.770	1.000	8,768.77				0	0.00	JPM Prime MM Sweep Fund
PUR	05-19-03	340318	17,058.440	1.000	17,058.44				0	0.00	JPM Prime MM Sweep Fund

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	05-28-03	340649	-17,082.120	1.000		17,082.12	-17,082.12	0.00			JPM Prime MM Sweep Fund
PUR	06-03-03	342590	200,000.000	1.000	200,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	06-06-03	342592	-267,841.020	1.000		267,841.02	-267,841.02	0.00			JPM Prime MM Sweep Fund
SAL	06-26-03	343761	-85,015.200	1.000		85,015.20	-85,015.20	0.00			JPM Prime MM Sweep Fund
PUR	06-27-03	343757	9,645.200	1.000	9,645.20				0	0.00	JPM Prime MM Sweep Fund
PUR	06-30-03	344103	17,978.470	1.000	17,978.47				0	0.00	JPM Prime MM Sweep Fund
PUR	07-02-03	346775	437,021.530	1.000	437,021.53				0	0.00	JPM Prime MM Sweep Fund
SAL	07-16-03	346778	-1,090.000	1.000		1,090.00	-1,090.00	0.00			JPM Prime MM Sweep Fund
PUR	07-17-03	346777	25,281.890	1.000	25,281.89				0	0.00	JPM Prime MM Sweep Fund
PUR	07-22-03	347201	29,367.350	1.000	29,367.35				0	0.00	JPM Prime MM Sweep Fund
SAL	07-24-03	347203	-252.820	1.000		252.82	-252.82	0.00			JPM Prime MM Sweep Fund
PUR	07-29-03	347202	45,350.520	1.000	45,350.52				0	0.00	JPM Prime MM Sweep Fund
SAL	07-31-03	347338	-110,120.910	1.000		110,120.91	-110,120.91	0.00			JPM Prime MM Sweep Fund
SAL	08-01-03	349023	-9,596.960	1.000		9,596.96	-9,596.96	0.00			JPM Prime MM Sweep Fund
PUR	08-08-03	349020	200,000.000	1.000	200,000.00				0	0.00	JPM Prime MM Sweep Fund
PUR	08-12-03	349021	18,691.620	1.000	18,691.62				0	0.00	JPM Prime MM Sweep Fund
PUR	08-14-03	349022	62,527.510	1.000	62,527.51				0	0.00	JPM Prime MM Sweep Fund
SAL	08-21-03	349555	-18,816.680	1.000		18,816.68	-18,816.68	0.00			JPM Prime MM Sweep Fund
SAL	08-23-03	351953	-128,327.000	1.000		128,327.00	-128,327.00	0.00			JPM Prime MM Sweep Fund
PUR	09-29-03	352091	30,734.190	1.000	30,734.19				0	0.00	JPM Prime MM Sweep Fund
SAL	09-30-03	352344	-184.410	1.000		184.41	-184.41	0.00			JPM Prime MM Sweep Fund
PUR	10-01-03	354197	7,195,000.000	1.000	7,195,000.00				0	0.00	JPM Prime MM Sweep Fund
PUR	10-06-03	354198	62,934.350	1.000	62,934.35				0	0.00	JPM Prime MM Sweep Fund
SAL	10-09-03	354199	-7,860,000.000	1.000		7,860,000.00	-7,860,000.00	0.00			JPM Prime MM Sweep Fund
PUR	10-15-03	354687	909,016.680	1.000	909,016.68				0	0.00	JPM Prime MM Sweep Fund
SAL	10-20-03	354689	-2,517.370	1.000		2,517.37	-2,517.37	0.00			JPM Prime MM Sweep Fund
SAL	10-21-03	354690	-1,120.000	1.000		1,120.00	-1,120.00	0.00			JPM Prime MM Sweep Fund
SAL	10-30-03	355363	-867,000.000	1.000		867,000.00	-867,000.00	0.00			JPM Prime MM Sweep Fund
SAL	11-05-03	357043	-39,600.000	1.000		39,600.00	-39,600.00	0.00			JPM Prime MM Sweep Fund
PUR	11-13-03	357041	18,544.430	1.000	18,544.43				0	0.00	JPM Prime MM Sweep Fund
PUR	11-14-03	357042	85.600	1.000	85.60				0	0.00	JPM Prime MM Sweep Fund
PUR	11-18-03	357363	15,105.080	1.000	15,105.08				0	0.00	JPM Prime MM Sweep Fund
SAL	11-19-03	357364	-22,555.390	1.000		22,555.39	-22,555.39	0.00			JPM Prime MM Sweep Fund
SAL	11-21-03	357747	-15,105.080	1.000		15,105.08	-15,105.08	0.00			JPM Prime MM Sweep Fund
PUR	11-26-03	357742	45,000.000	1.000	45,000.00				0	0.00	JPM Prime MM Sweep Fund
PUR	12-03-03	360171	7,049.930	1.000	7,049.93				0	0.00	JPM Prime MM Sweep Fund
PUR	12-04-03	360172	14,802.980	1.000	14,802.98				0	0.00	JPM Prime MM Sweep Fund

2098

Market Street Trust Company

04-26-04 PURCHASE / SALE DETAIL HISTORY REPORT PAGE

PC3.3 BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	12-09-03	360173	45,000.000	1.000	45,000.00				40,679	40,678.67	JPM Prime MM Sweep Fund
SAL	12-18-03	360916	-41,751.920	1.000		41,751.92	-41,751.92	0.00			JPM Prime MM Sweep Fund
PUR	12-23-03	360915	21,182.820	1.000	21,182.82				21,183	21,182.82	JPM Prime MM Sweep Fund
SAL	12-30-03	361547	-30,420.120	1.000		30,420.12	-30,420.12	0.00			JPM Prime MM Sweep Fund
PUR	12-31-03	361545	78,848.910	1.000	78,848.91				78,849	78,848.91	JPM Prime MM Sweep Fund
	TOTAL -		-168,680.570		9,727,087.41	9,895,767.98	-9,895,767.98	0.00	140,710	140,710.40	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92600B JPMSWEEP	J P Morgan Money Market Sweep	156,668.900	UNTS	1.000	156,668.90	1.000	12-31-03	140,710.40	-15,958.50

92600B PCP-II Paul Associates II											
PUR	02-05-03	331086	0.000	0.000	86,625.00				0	85,829.72	Capital call - Paul Assoc. II
PUR	03-04-03	333812	0.000	0.000	7,425.00				0	7,356.83	Capital call - Paul Assoc. II
SAL	03-04-03	333817	0.000	0.000		8,176.43	-8,176.43	0.00			Ret. of Cap. fm PCP-II distri.
PUR	03-25-03	334708	0.000	0.000	83,424.00				0	82,658.11	Capital call - Paul Assoc. II
SAL	04-07-03	336348	0.000	0.000		4,312.48	-4,312.48	0.00			Ret. of Cap. fm PCP-II distr.
PUR	05-12-03	340312	0.000	0.000	22,275.00				0	22,070.50	Capital call - Paul Assoc. II
SAL	05-14-03	340318	0.000	0.000		8,681.08	-8,681.08	0.00			Ret of Cap - Paul Cap. II dist
PUR	06-26-03	343756	0.000	0.000	175,370.00				0	173,759.98	Capital call - Paul Assoc. II
SAL	07-17-03	346779	0.000	0.000		18,455.78	-18,455.78	0.00			Return of Cap Paul Assoc dist
SAL	08-14-03	349025	0.000	0.000		30,513.42	-30,513.42	0.00			Return of Cap-Paul Assoc dist.
PUR	09-23-03	351952	0.000	0.000	128,327.00				0	128,327.00	Capital call - Paul Assoc. II
SAL	09-29-03	352088	0.000	0.000		18,778.59	-18,778.59	0.00			Return of Cap-Paul Assoc dist
SAL	10-06-03	354192	0.000	0.000		44,494.59	-44,494.59	0.00			Ret of Cap.-Paul II cash dist.
PUR	11-05-03	357045	0.000	0.000	39,600.00				0	39,600.00	Capital call - Paul Assoc. II
SAL	11-12-03	357034	0.000	0.000		4,283.31	-4,283.31	0.00			Return of Cap. fm PCP-II dist.
SAL	12-03-03	360174	0.000	0.000		16,174.63	-16,174.63	0.00			Ret. of Cap.-Paul Assoc distr.
PUR	12-18-03	360913	0.000	0.000	44,567.00				0	44,567.00	Capital call - Paul Assoc. II
SAL	12-30-03	361549	0.000	0.000		32,485.76	-32,485.76	0.00			Ret of Cap-Paul Associates II
	TOTAL -		0.000		587,613.00	186,356.07	-186,356.07	0.00	0	584,169.14	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92600B PCP-II	Paul Associates II	0.000	UNTS	0.000	884,918.92			989,466.00	104,547.08

04-26-04

FC3.3

Market Street Trust Company

PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

BAS

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
92600B VMLUX		Vanguard Ltd Term Tax Ex Fund									
PUR	10-09-03	353925	708,746.619	11.090	7,860,000.00				679,162	7,531,901.31	10/9 Vang'd Limited-Tm T/E
PUR	10-29-03	355356	77,978.339	11.080	864,000.00				77,978	864,000 00	10/29 Vang'd Limited-Tm T/E Pd
SAL	11-14-03	357358	-3,384.477	11.080		37,500.00	-37,533.85	-33.85			Vanguard Limited-Term Redempt.
SAL	11-26-03	357728	-4,061.372	11.080		45,000.00	-45,040.62	-40.62			Vanguard Limited-Term T/E sale
SAL	12-09-03	360151	-4,072.398	11.050		45,000.00	-45,162.89	-162.89			Vang'd Limited-Term redemption
SAL	12-18-03	360912	-18,066.847	11.070		200,000.00	-200,361.33	-361.33			Vang'd Limited-Term T/E sale
TOTAL -			757,139.864		8,724,000.00	327,500.00	-328,098.69	-598.69	757,140	8,395,901.31	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
92600B VMLUX	Vanguard Ltd Term Tax Ex Fund	739,603.893	UNTS	11.089	8,201,427.39	11.070	12-31-03	8,381,538.29	180,110.90
REPORT TOTAL -		20,620,458.33		20,159,365.29	-20,159,963.98	-598.69	897,050	10,653,436.29	

2100

04-26-04
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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

SORT OPTION: BY ENTITY, INV

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
OFPIT2	JPMSWEEP	J P Morgan Money Market Sweep									
PUR	01-02-03	328085	391,000.000	1.000	391,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	01-29-03	329262	-668.890	1.000		668.89	-668.89	0.00			JPM Prime MM Sweep Fund
PUR	01-31-03	329261	271,468.990	1.000	271,468.99				0	0.00	JPM Prime MM Sweep Fund
PUR	02-03-03	331055	164,000.000	1.000	164,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	02-11-03	331057	-9,992.890	1.000		9,992.89	-9,992.89	0.00			JPM Prime MM Sweep Fund
PUR	02-28-03	331894	1,591,583.490	1.000	1,591,583.49				0	0.00	JPM Prime MM Sweep Fund
SAL	03-03-03	333865	-4,955,000.000	1.000		4,955,000.00	-4,955,000.00	0.00			JPM Prime MM Sweep Fund
PUR	03-31-03	334645	6,394.400	1.000	6,394.40				0	0.00	JPM Prime MM Sweep Fund
SAL	04-01-03	336236	-110,659.180	1.000		110,659.18	-110,659.18	0.00			JPM Prime MM Sweep Fund
PUR	04-04-03	336235	14,737.310	1.000	14,737.31				0	0.00	JPM Prime MM Sweep Fund
SAL	04-22-03	336851	-663.790	1.000		663.79	-663.79	0.00			JPM Prime MM Sweep Fund
PUR	04-30-03	337036	6,072.560	1.000	6,072.56				0	0.00	JPM Prime MM Sweep Fund
PUR	05-08-03	340146	2,254,000.000	1.000	2,254,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	05-09-03	340148	-1,907,890.000	1.000		1,907,890.00	-1,907,890.00	0.00			JPM Prime MM Sweep Fund
SAL	05-27-03	340590	-8,174.030	1.000		8,174.03	-8,174.03	0.00			JPM Prime MM Sweep Fund
PUR	06-02-03	342641	4,153,823.000	1.000	4,153,823.00				0	0.00	JPM Prime MM Sweep Fund
PUR	06-03-03	342642	1,671,580.690	1.000	1,671,580.69				0	0.00	JPM Prime MM Sweep Fund
SAL	06-04-03	342644	-6,157,000.000	1.000		6,157,000.00	-6,157,000.00	0.00			JPM Prime MM Sweep Fund
PUR	06-05-03	342643	517,527.340	1.000	517,527.34				0	0.00	JPM Prime MM Sweep Fund
SAL	06-11-03	342645	-327,308.450	1.000		327,308.45	-327,308.45	0.00			JPM Prime MM Sweep Fund
SAL	06-19-03	343580	-78,763.640	1.000		78,763.64	-78,763.64	0.00			JPM Prime MM Sweep Fund
PUR	07-01-03	346603	562,324.200	1.000	562,324.20				0	0.00	JPM Prime MM Sweep Fund
SAL	07-02-03	346607	-469,161.800	1.000		469,161.80	-469,161.80	0.00			JPM Prime MM sweep Fund
SAL	07-16-03	346608	-528.950	1.000		528.95	-528.95	0.00			JPM Prime MM sweep Fund
PUR	07-17-03	346604	86,625.000	1.000	86,625.00				0	0.00	JPM Prime MM Sweep Fund
SAL	08-05-03	349131	-241,742.200	1.000		241,742.20	-241,742.20	0.00			JPM Prime MM Sweep Fund
PUR	08-08-03	349127	66,000.000	1.000	66,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	08-14-03	349132	-47,373.770	1.000		47,373.77	-47,373.77	0.00			JPM Prime MM Sweep Fund
PUR	09-02-03	351168	37,000.000	1.000	37,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	09-12-03	351169	-4,638.620	1.000		4,638.62	-4,638.62	0.00			JPM Prime MM Sweep Fund
PUR	09-29-03	352059	286,167.500	1.000	286,167.50				0	0.00	JPM Prime MM Sweep Fund
PUR	09-30-03	352325	7,811.700	1.000	7,811.70				0	0.00	JPM Prime MM Sweep Fund
SAL	10-01-03	354224	-350,000.000	1.000		350,000.00	-350,000.00	0.00			JPM Prime MM Sweep Fund
PUR	10-14-03	354223	1,147,890.000	1.000	1,147,890.00				0	0.00	JPM Prime MM Sweep Fund

Market Street Trust Company

04-26-04 PURCHASE / SALE DETAIL HISTORY REPORT PAG

FC3.3 BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	10-21-03	359632	-311.940	1.000		311.94	-311.94	0.00			JPM Prime MM Sweep Fund
SAL	10-30-03	355323	-10,059.000	1.000		10,059.00	-10,059.00	0.00			JPM Prime MM Sweep Fund
SAL	11-03-03	356926	-205,000.000	1.000		205,000.00	-205,000.00	0.00			JPM Prime MM Sweep Fund
SAL	11-04-03	356927	-4,031.690	1.000		4,031.69	-4,031.69	0.00			JPM Prime MM Sweep Fund
PUR	11-06-03	356925	8,118.000	1.000	8,118.00				0	0.00	JPM Prime MM Sweep Fund
SAL	12-01-03	360079	-23,000.000	1.000		23,000.00	-23,000.00	0.00			JPM Prime MM Sweep Fund
SAL	12-24-03	360864	-984,029.420	1.000		984,029.42	-984,029.42	0.00			JPM Prime MM Sweep Fund
	TOTAL -		-2,651,874.080		13,244,124.10	15,895,998.26	-15,895,998.26	0.00	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT2 JPMSWEEP J P Morgan Money Market Sweep	293,409.010	UNTS	1.000	293,409.01	1.000	12-31-03	0.00	-293,409.01

OFFIT2 NY.3N0413 NYS Mortgage 3.85% 04/01/13

PUR	12-12-03	360863	1,000,000.000	100.000	1,000,000.00				1,000,000	1,000,000.00	NYS Mortgage 3.85% 04/01/13
	TOTAL -		1,000,000.000		1,000,000.00	0.00	0.00	0.00	1,000,000	1,000,000.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT2 NY.3N0413 NYS Mortgage 3.85% 04/01/13	1,000,000.000	PAR	100.000	1,000,000.00	101.129	12-31-03	1,011,290.00	11,290.00

OFFIT2 NY.50308 NY Urban Dev. Corp 5% 3/15/08

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT2 NY.50308 NY Urban Dev. Corp 5% 3/15/08	1,000,000.000	PAR	107.789	1,077,890.00	109.803	12-31-03	1,098,030.00	20,140.00

OFFIT2 NY.50505 NYC Fnce Auth 5% 05/01/05

SAL	02-25-03	331892	-1,000,000.000	107.683		1,076,830.00	-1,030,190.00	46,640.00			NYC Trans Fin. 5% 5/1/05
	TOTAL -		-1,000,000.000		0.00	1,076,830.00	-1,030,190.00	46,640.00	0	0.00	

OFFIT2 NY.5051505 NYS Dorm Auth 5.25% 5/15/05

SAL	05-29-03	341105	-700,000.000	107.129		749,903.00	-711,907.00	37,996.00			NYS Dormitory 5.25% 05/15/05
	TOTAL -		-700,000.000		0.00	749,903.00	-711,907.00	37,996.00	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
OFFIT2 NY.50911 Orange Cty Tax 5% 09/01/11											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
OFFIT2 NY.50911 Orange Cty Tax 5% 09/01/11			250,000.000	PAR	98.328	245,820.00	109.102	12-31-03	545,910.00	300,090.00	
OFFIT2 NY.5A0108 NY Thrwy 5.2% 01/01/08											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
OFFIT2 NY.5A0108 NY Thrwy 5.2% 01/01/08			1,000,000.000	PAR	100.000	1,000,000.00	110.529	12-31-03	1,105,290.00	105,290.00	
OFFIT2 NY.5B0109 NYS Thrwy 5.25% 01-01-09											
SAL	05-27-03	341099	-1,000,000.000	112.937		1,129,370.00	-1,051,467.50	77,902.50			NYS Thruway Auth 5.25% 01/1/09
TOTAL -			-1,000,000.000		0.00	1,129,370.00	-1,051,467.50	77,902.50	0	0.00	
OFFIT2 NY.5B0410 Metro Trans Auth 5.25% 4/1/10											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
OFFIT2 NY.5B0410 Metro Trans Auth 5.25% 4/1/10			500,000.000	PAR	104.618	523,090.00	114.220	12-31-03	571,100.00	48,010.00	
OFFIT2 NY.5B0607 NY Cty Muni Wtr 5.375% 6/15/07											
SAL	07-02-03	346606	-315,000.000	105.028		330,838.20	-318,628.80	12,209.40			NYC Muni Wtr 5.375% 06/15/07
TOTAL -			-315,000.000		0.00	330,838.20	-318,628.80	12,209.40	0	0.00	
OFFIT2 NY.5B0613A NYS Envir Facil 5.25% 06/15/13											
SAL	06-26-03	344380	-295,000.000	112.701		332,467.95	-302,091.80	30,376.15			NYS Envir. Facil 5.25% 6/15/13
TOTAL -			-295,000.000		0.00	332,467.95	-302,091.80	30,376.15	0	0.00	
OFFIT2 NY.5B0613B NYS Envir Facil 5.25% 06/15/13											
SAL	06-26-03	344381	-205,000.000	112.125		229,856.25	-209,928.20	19,928.05			NYS Envir. Facil 5.25% 6/15/13
TOTAL -			-205,000.000		0.00	229,856.25	-209,928.20	19,928.05	0	0.00	
OFFIT2 NY.5B0707 Mun. Assist. NYC 5.25% 7/1/07											

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04-26-04
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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAC

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	05-08-03	340149	-2,000,000.000	112.700		2,254,000.00	-2,126,660.00	127,340.00			Muni Assist. Corp 5.25% 7/1/07
TOTAL -			-2,000,000.000		0.00	2,254,000.00	-2,126,660.00	127,340.00	0	0.00	

OFFIT2 NY.5B0708 NYC Genl Purp tax 5.5% 7/01/08

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT2 NY.5B0708 NYC Genl Purp tax 5.5% 7/01/08	1,000,000.000	PAR	102.676	1,026,760.00	113.349	12-31-03	1,133,490.00	106,730.00

OFFIT2 NY.5B0908 NY Unltd TX 5.50% 09/15/08

SAL	09-26-03	352060	-250,000.000	114.467		286,167.50	-258,745.00	27,422.50			State of NY Tax 5.5% 09/15/08
SAL	10-02-03	354225	-1,000,000.000	114.789		1,147,890.00	-1,034,980.00	112,910.00			State of NY Unlim 5.5% 9/15/08
TOTAL -			-1,250,000.000		0.00	1,434,057.50	-1,293,725.00	140,332.50	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT2 NY.5B0908 NY Unltd TX 5.50% 09/15/08	100,000.000	PAR	103.498	103,498.00	113.454	12-31-03	113,454.00	9,956.00

OFFIT2 NY.5C0406 NYS Mortgage Agcy 5.3% 4/1/06

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT2 NY.5C0406 NYS Mortgage Agcy 5.3% 4/1/06	500,000.000	PAR	100.000	500,000.00	104.819	12-31-03	524,095.00	24,095.00

OFFIT2 NY.5C0607A NYC Muni Wtr 5.375% 06/15/07

SAL	07-31-03	347609	-130,000.000	104.531		135,890.30	-131,497.60	4,392.70			NYC Muni Wtr Auth 5 3/8% 6/07
TOTAL -			-130,000.000		0.00	135,890.30	-131,497.60	4,392.70	0	0.00	

OFFIT2 NY.5D0907 Orange Cty NY 5.5% 09/01/07

SAL	05-27-03	341100	-1,000,000.000	114.884		1,148,840.00	-1,063,090.00	85,750.00			Orange Cnty 5.5% 09/01/07
TOTAL -			-1,000,000.000		0.00	1,148,840.00	-1,063,090.00	85,750.00	0	0.00	

OFFIT2 NY.5D1107 NYS Power Auth 5.5% 11/15/07

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAG

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	OFFIT2 NY.5D1107 NYS Power Auth 5.5% 11/16/07				1,000,000.000 PAR	111.322	1,113,220.00	112.456	12-31-03	1,124,560.00	11,340.00
OFFIT2 NY.5D1129 NYC Trans. Fin 5.5% 11/01/29											
SAL	07-31-03	347612	-250,000.000	113.467		283,667.50	-287,175.00	-3,507.50			NYC Trans Fin Auth 5.5% 11/29
	TOTAL -		-250,000.000		0.00	283,667.50	-287,175.00	-3,507.50	0	0.00	
OFFIT2 NY.5F0610A NYS Env Fac Poll 5.75% 06/10											
SAL	02-25-03	331893	-435,000.000	116.857		508,327.95	-457,872.30	50,455.65			NYS Environ Fac 5.75% 06/15/10
	TOTAL -		-435,000.000		0.00	508,327.95	-457,872.30	50,455.65	0	0.00	
OFFIT2 NY.5F0810 NYS Rfdg Ser B 5.7% 8/15/10											
SAL	05-27-03	341102	-500,000.000	110.080		550,400.00	-507,790.00	42,610.00			NYS Unlimited Tx 5.7% 8/15/05
	TOTAL -		-500,000.000		0.00	550,400.00	-507,790.00	42,610.00	0	0.00	
OFFIT2 NY.5F1107 NYC Trans Fin Auth 5.75% 11/07											
SAL	05-27-03	341097	-500,000.000	115.062		575,310.00	-517,050.00	58,260.00			NYC Trans. Fin. 5.75% 11/15/07
	TOTAL -		-500,000.000		0.00	575,310.00	-517,050.00	58,260.00	0	0.00	
OFFIT2 NY.5G0610A NYS Env Fac Poll 5.75% 06/10											
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	OFFIT2 NY.5G0610A NYS Env Fac Poll 5.75% 06/10				490,000.000 PAR	105.258	515,764.20	117.986	12-31-03	578,131.40	62,367.20
OFFIT2 NY.5G0610B NYS Env Fac Poll 5.75% 06/10											
SAL	06-30-03	344519	-75,000.000	115.500		86,625.00	-78,943.50	7,681.50			NY Envir. Fac. 5.75% 06/15/10
	TOTAL -		-75,000.000		0.00	86,625.00	-78,943.50	7,681.50	0	0.00	
OFFIT2 NY.60609 NYC Muni Wtr Fin. 6% 06/15/09											
SAL	05-29-03	341106	-1,400,000.000	118.978		1,665,692.00	-1,526,490.00	139,202.00			NYC Muni Wtr Fin 6% 6/15/09

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAG

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			-1,400,000.000		0.00	1,665,692.00	-1,526,490.00	139,202.00	0	0.00	
OFFIT2 PR.4E1011 Puerto Rico 4.750% 10/01/11											
CURRENT HOLDINGS -											

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT2 PR.4E1011 Puerto Rico 4.750% 10/01/11	1,000,000.000	PAR	100.000	1,000,000.00	110.131	12-31-03	1,101,310.00	101,310.00

OFFIT2 Pierpont J.P. Morgan Funds
CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT2 Pierpont J.P. Morgan Funds	0.000	UNTS	1.000	0.00	1.000	12-31-03	0.00	0.00

OFFIT2 US.5A0306 Federal Home Ln Bk 5.125% 3/06											
SAL	04-03-03	336238	-50,000.000	108.156		54,078.13	-49,484.38	4,593.75			Fed Home Ln Bank 5.125% 3/6/06
SAL	05-28-03	341103	-475,000.000	108.953		517,527.34	-470,101.56	47,425.78			Fed. Hm Ln Bk 5.125% 03/06/06
TOTAL -			-525,000.000		0.00	571,605.47	-519,585.94	52,019.53	0	0.00	
REPORT TOTAL -					14,244,124.10	28,959,679.38	-28,030,090.90	929,588.48	1,000,000	1,000,000.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
ASHFD	ACTID	Activcard Corp									
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ASHFD ACTID	Activcard Corp			29,400.000 SHRS	8.809	258,969.90	7.880	12-31-03	231,672.00	-27,297.90
ASHFD	ACTL	Actel Corp									
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ASHFD ACTL	Actel Corp			6,123.000 SHRS	27.450	168,075.21	24.090	12-31-03	147,503.07	-20,572.14
ASHFD	ADLR	Adolor Corp									
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ASHFD ADLR	Adolor Corp			13,000.000 SHRS	15.286	198,716.10	19.990	12-31-03	259,870.00	61,153.90
ASHFD	AGM	Federal Agricultural Mortgage									
SAL	02-14-03	331031	-6,300.000	23.944		150,588.76	-70,470.99	80,117.77			Federal Agricultural Mortgage
	TOTAL -		-6,300.000		0.00	150,588.76	-70,470.99	80,117.77	0	0.00	
ASHFD	AH	Armor Holdings Inc									
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ASHFD AH	Armor Holdings Inc			24,700.000 SHRS	13.451	332,233.20	26.310	12-31-03	657,750.00	325,516.80
ASHFD	ALLC	Allied Capital Corp									
SAL	01-17-03	329348	-8,200.000	22.527		184,308.29	-155,521.20	28,787.09			Allied Capital Corp
	TOTAL -		-8,200.000		0.00	184,308.29	-155,521.20	28,787.09	0	0.00	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ASHFD ALLC	Allied Capital Corp			8,237.000 SHRS	13.936	114,788.00	27.880	12-31-03	229,647.56	114,859.56
ASHFD	AMIE	Ambassadors International Inc									

2107

04-26-04 Market Street Trust Company PAGE

FC3.3 PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	01-08-03	328049	4,000.000	9.190	36,760.00				4,000	36,760.00	Ambassadors Int'l Inc
	TOTAL -		4,000.000		36,760.00	0.00	0.00	0.00	4,000	36,760.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD	AMIE	Ambassadors International Inc	40,000.000	SHRS	6.827	273,060.70	12.550	12-31-03	502,000.00	228,939.30

ASHFD BCGI Boston Communications Group

SAL	06-06-03	342630	-6,600.000	15.216	100,159.53	-87,325.78	12,833.75			Boston Communications Group
SAL	06-13-03	342634	-1,900.000	15.492	29,433.80	-25,127.69	4,306.11			Boston Communications Group
SAL	06-19-03	343583	-4,700.000	17.647	82,747.60	-62,157.97	20,589.63			Boston Communications Group
SAL	06-20-03	343584	-1,900.000	18.272	34,638.22	-25,127.69	9,510.53			Boston Communications Group
SAL	06-24-03	343585	-1,700.000	15.778	26,821.51	-22,482.67	4,338.84			Boston Communications Group
SAL	06-25-03	344068	-2,700.000	15.651	42,255.18	-35,707.77	6,547.41			Boston Communications Group
	TOTAL -		-19,500.000		0.00	316,055.84	-257,929.57	58,126.27	0	0.00

ASHFD BCP Balchem Corp Cl B

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD	BCP	Balchem Corp Cl B	16,000.000	SHRS	17.618	281,890.22	22.800	12-31-03	364,800.00	82,909.78

ASHFD BLUD Immucor Inc

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD	BLUD	Immucor Inc	22,200.000	SHRS	10.126	224,802.89	20.390	12-31-03	452,658.00	227,855.11

ASHFD BRO Brown & Brown Inc

SAL	05-01-03	340025	-2,644.000	35.497	93,743.11	-19,777.89	73,965.22			Brown & Brown Inc
	TOTAL -		-2,644.000		0.00	93,743.11	-19,777.89	73,965.22	0	0.00

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD	BRO	Brown & Brown Inc	12,000.000	SHRS	7.480	89,763.50	32.610	12-31-03	391,320.00	301,556.50

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
ASHFD	CCCG	CCC Information Services Group									
PUR	09-12-03	351504	500.000	16.100	8,074.90				500	8,074.90	CCC Information Services Group
PUR	09-15-03	351505	400.000	16.138	6,475.04				400	6,475.04	CCC Information Services Group
PUR	09-16-03	351506	400.000	16.196	6,498.44				400	6,498.44	CCC Information Services Group
PUR	09-17-03	351958	200.000	16.500	3,308.00				200	3,308.00	CCC Information Services Group
PUR	09-18-03	351960	200.000	16.500	3,308.00				200	3,308.00	CCC Information Services Group
PUR	09-22-03	351961	300.000	16.414	4,936.23				300	4,936.23	CCC Information Services Group
PUR	09-24-03	352056	300.000	16.544	4,978.07				300	4,978.07	CCC Information Services Group
PUR	09-25-03	352293	2,000.000	16.550	33,180.00				2,000	33,180.00	CCC Information Services
PUR	09-25-03	352295	500.000	16.550	8,300.00				500	8,300.00	CCC Information Services
PUR	09-26-03	352556	900.000	16.550	14,931.00				900	14,931.00	CCC Information Services Group
PUR	09-26-03	352557	300.000	16.549	4,979.70				300	4,979.70	CCC Information Services Group
PUR	09-29-03	352558	1,300.000	16.550	21,567.00				1,300	21,567.00	CCC Information Services Group
PUR	09-29-03	352559	600.000	16.535	9,951.12				600	9,951.12	CCC Information Services Group
PUR	09-30-03	352561	300.000	16.591	4,977.24				300	4,977.24	CCC Information Services Group
PUR	10-17-03	354571	100.000	16.500	1,654.00				100	1,654.00	CCC Information Services Group
PUR	10-23-03	354874	200.000	16.500	3,308.00				200	3,308.00	CCC Information Inc
PUR	11-06-03	356898	100.000	16.550	1,660.00				100	1,660.00	CCC Information Services Group
PUR	12-19-03	360825	300.000	16.916	5,086.92				300	5,086.92	CCC Information Services Group
PUR	12-22-03	360826	5,500.000	16.898	93,160.10				5,500	93,160.10	CCC Information Services Group
PUR	12-23-03	361510	3,000.000	17.040	51,240.90				3,000	51,240.90	CCC Information Services Group
PUR	12-24-03	361514	3,000.000	17.050	51,270.00				3,000	51,270.00	CCC Information Services Group
PUR	12-26-03	361517	800.000	17.050	13,672.00				800	13,672.00	CCC Information Services Group
	TOTAL -		21,200.000		356,516.66	0.00	0.00	0.00	21,200	356,516.66	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD	CCCG	CCC Information Services Group	21,200.000	SHRS	16.817	356,516.66	16.900	12-31-03	358,280.00	1,763.34

ASHFD	CEPH	Cephalon Inc									
SAL	07-02-03	346541	-4,262.000	43.746		186,435.86	-125,915.65	60,520.21			Cephalon Inc
	TOTAL -		-4,262.000		0.00	186,435.86	-125,915.65	60,520.21	0	0.00	
ASHFD	CGPI	Collagenex Pharmaceuticals Inc									
SAL	06-03-03	342628	-300.000	11.136		3,340.55	-2,100.00	1,240.55			Collagenex Pharmaceuticals Inc

04-26-04

PC3.3

Market Street Trust Company

PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	06-06-03	342631	-1,400.000	11.150		15,609.26	-9,800.00	5,809.26			Collagenex Pharmaceuticals Inc
SAL	06-06-03	342632	-3,200.000	11.100		35,519.61	-22,400.00	13,119.61			Collagenex Pharmaceuticals Inc
SAL	06-17-03	343579	-3,100.000	11.275		34,950.55	-21,700.00	13,250.55			Collagenex Pharmaceuticals
SAL	06-18-03	343580	-800.000	11.090		8,871.58	-5,600.00	3,271.58			Collagenex Pharmaceuticals
SAL	06-23-03	343581	-1,100.000	11.210		12,330.64	-7,700.00	4,630.64			Collagenex Pharmaceuticals
SAL	06-24-03	343582	-500.000	11.475		5,737.23	-3,500.00	2,237.23			Collagenex Pharmaceuticals
SAL	06-25-03	344069	-1,400.000	11.511		16,115.06	-9,800.00	6,315.06			Collagenex Pharmaceuticals
SAL	06-26-03	344106	-1,000.000	11.743		11,742.45	-6,904.00	4,838.45			Collagenex Pharmaceutical
SAL	07-09-03	346545	-200.000	14.220		2,843.93	-1,376.00	1,467.93			Collagenex Pharmaceuticals Inc
SAL	07-09-03	346545	-2,100.000	14.220		29,861.22	-13,620.18	16,241.04			Collagenex Pharmaceuticals Inc
SAL	07-10-03	346548	-600.000	14.261		8,556.07	-3,891.48	4,664.59			Collagenex Pharmaceuticals
SAL	07-11-03	346549	-1,300.000	14.420		18,706.38	-8,431.54	10,274.84			Collagenex Pharmaceuticals
	TOTAL -		-17,000.000		0.00	204,184.53	-116,823.20	87,361.33	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD	CGPI	Collagenex Pharmaceuticals Inc	13,500.000	SHRS	6.031	81,420.00	11.130	12-31-03	255,990.00	174,570.00

ASHFD	CPC	Central Parking Corp									
SAL	02-24-03	331030	-500.000	12.932		6,430.70	-9,848.70	-3,418.00			Central Parking Corp
SAL	05-29-03	340927	-6,500.000	11.299		73,120.26	-125,449.14	-52,328.88			Central Parking Corp
SAL	07-02-03	346540	-18,000.000	12.325		220,941.41	-343,958.37	-123,016.96			Central Parking Corp
	TOTAL -		-25,000.000		0.00	300,492.37	-479,256.21	-178,763.84	0	0.00	
ASHFD	CPRT	Copart Inc									
PUR	05-01-03	340020	5,277.000	8.229	43,634.46				5,277	43,634.46	Copart Inc
	TOTAL -		5,277.000		43,634.46	0.00	0.00	0.00	5,277	43,634.46	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD	CPRT	Copart Inc	25,000.000	SHRS	8.511	212,771.30	16.600	12-31-03	415,000.00	202,228.70

ASHFD	CSGP	CoStar Group Inc						
SAL	07-02-03	346539	-1,000.000	29.725	29,723.60	-20,010.00	9,713.60	CoStar Group Incx

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-1,000.000		0.00	29,723.60	-20,010.00	9,713.60	0	0.00	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY	DOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ASHFD CSGP	CoStar Group Inc			9,000.000	SHRS	19.903	179,130.00	41.700	12-31-03	375,300.00	196,170.00
ASHFD	CSGS	CSG Systems International Inc										
PUR	05-01-03	340019	5,600.000	10.406	58,495.36				5,600	58,495.36	CSG Systems International
SAL	10-01-03	354152	-5,754.000	15.070		86,534.95	-193,910.27	-107,375.32			CSG Systems Int'l Inc
SAL	11-26-03	358216	-9,246.000	11.441		105,318.08	-281,883.52	-176,565.44			CSG Systems International
	TOTAL -		-9,400.000		58,495.36	191,853.03	-475,793.79	-283,940.76	5,600	58,495.36	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ASHFD CSGS	CSG Systems International Inc			38,900.000	SHRS	12.564	488,721.60	12.490	12-31-03	249,800.00	-238,921.60
ASHFD	CUX	Cousins Properties Inc.										
SAL	01-17-03	329346	-7,000.000	23.430		163,652.96	-136,596.63	27,056.33			Cousins Properties Inc
	TOTAL -		-7,000.000		0.00	163,652.96	-136,596.63	27,056.33	0	0.00	
ASHFD	DRTK	Duratek Inc										
SAL	05-01-03	340027	-5,000.000	9.803		48,813.70	-36,875.00	11,938.70			Duratek Inc
	TOTAL -		-5,000.000		0.00	48,813.70	-36,875.00	11,938.70	0	0.00	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ASHFD DRTK	Duratek Inc			80,000.000	SHRS	5.130	410,370.13	13.040	12-31-03	1,108,400.00	698,029.87
ASHFD	EPAX	Ambassador Group Inc										
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ASHFD EPAX	Ambassador Group Inc			26,700.000	SHRS	8.114	216,646.00	23.490	12-31-03	627,183.00	410,537.00
ASHFD	EPIQ	EPIQ Systems Inc.										

2111

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	05-06-03	340038	-200.000	22.106		4,415.01	-712.59	3,702.42			EPIQ Systems
SAL	05-08-03	340039	-400.000	21.438		8,558.63	-1,425.18	7,133.45			EPIQ Systems
	TOTAL -		-600.000		0.00	12,973.64	-2,137.77	10,835.87	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	DOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD EPIQ	EPIQ Systems Inc.	24,400.000	SHRS	3.563	86,935.94	17.120	12-31-03	417,728.00	330,792.06

ASHFD EQIX	Equinix Inc										
PUR	11-18-03	357724	5,000.000	20.000	100,000.00				5,000	100,000.00	Equinix Inc
	TOTAL -		5,000.000		100,000.00	0.00	0.00	0.00	5,000	100,000.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	DOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD EQIX	Equinix Inc	8,400.000	SHRS	23.805	199,962.72	28.210	12-31-03	141,050.00	-58,912.72

ASHFD HILL	Dot Hill Systems Corp										
PUR	09-17-03	351964	8,100.000	15.500	125,550.00				8,100	125,550.00	Dot Hill Systems Corp
PUR	09-24-03	352054	2,500.000	15.212	38,155.25				2,500	38,155.25	Dot Hill Systems Corp
PUR	09-24-03	352055	2,800.000	15.085	42,378.84				2,800	42,378.84	Dot Hill Systems Corp
PUR	09-25-03	352296	700.000	15.000	10,535.00				700	10,535.00	Dot Hill Systems Corp
	TOTAL -		14,100.000		216,619.09	0.00	0.00	0.00	14,100	216,619.09	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	DOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD HILL	Dot Hill Systems Corp	16,200.000	SHRS	15.224	246,623.47	15.150	12-31-03	213,615.00	-33,008.47

ASHFD HITK	Hi-Tech Pharmacal Inc										
SAL	01-09-03	328057	-3,000.000	29.360		88,077.34	-35,295.75	52,781.59			Hi-Tech Pharmacal Inc
SAL	03-27-03	335206	-600.000	22.251		13,326.80	-4,283.72	9,043.08			Hi-Tech Pharmacal Inc
SAL	04-02-03	336194	-1,700.000	24.606		41,760.24	-12,137.21	29,623.03			Hi-Tech Pharmacal Inc
SAL	04-03-03	336195	-1,100.000	27.059		29,719.50	-7,853.49	21,866.01			Hi-Tech Pharmacal Inc
SAL	04-08-03	336196	-1,300.000	28.042		36,453.15	-9,281.39	27,171.76			Hi-Tech Pharmacal Inc
SAL	04-15-03	336842	-900.000	29.011		26,108.94	-6,425.58	19,683.36			Hi-Tech Pharmacal Inc

04-26-04
PC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	04-23-03	337032	-3,600.000	31.013		111,641.21	-25,702.32	85,938.89			Hi-Tech Pharmacal Inc
SAL	04-28-03	338501	-1,300.000	33.717		43,779.08	-9,281.39	34,497.69			Hi-Tech Pharmacal Inc
SAL	04-29-03	338502	-300.000	35.530		10,649.50	-2,141.86	8,507.64			Hi-Tech Pharmacal Inc
SAL	05-05-03	340031	-300.000	34.768		10,429.02	-2,141.86	8,287.96			Hi-Tech Pharmacal Inc
SAL	05-06-03	340033	-1,400.000	37.009		51,768.73	-9,333.33	42,435.40			Hi-Tech Pharmacal Inc
SAL	05-09-03	340034	-200.000	37.027		7,397.07	-1,333.33	6,063.74			Hi-Tech Pharmacal Inc
SAL	05-12-03	340035	-100.000	37.100		3,705.78	-666.67	3,039.11			Hi-Tech Pharmacal Inc
SAL	05-14-03	340036	-300.000	38.500		11,549.45	-2,000.00	9,549.45			Hi-Tech Pharmacal Inc
SAL	05-15-03	340037	-400.000	38.910		15,563.27	-2,652.01	12,911.26			Hi-Tech Pharmacal Inc
SAL	06-04-03	342629	-2,000.000	35.270		70,455.89	-13,235.29	57,220.60			Hi-Tech Pharmacal Inc
SAL	06-17-03	343576	-150.000	47.084		7,056.30	-988.00	6,068.30			Hi-Tech Pharmacal Inc
SAL	06-17-03	343576	-550.000	47.084		25,873.09	-3,636.42	22,236.67			Hi-Tech Pharmacal Inc
SAL	06-18-03	343577	-900.000	47.235		42,509.06	-5,928.00	36,581.06			Hi-Tech Pharmacal Inc
SAL	06-19-03	343578	-1,000.000	45.309		45,267.17	-6,586.67	38,680.50			Hi-Tech Pharmacal Inc
	TOTAL -		-21,100.000		0.00	693,091.39	-160,904.29	532,187.10	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD	HITK	Hi-Tech Pharmacal Inc	4,400.000	SHRS	6.574	28,927.30	23.500	12-31-03	103,400.00	74,472.70

ASHFD	HPOL	Harris Interactive Inc									
PUR	01-13-03	328051	1,100.000	3.386	3,757.05				0	0.00	Harris Interactive Inc
PUR	01-13-03	328052	8,600.000	3.330	28,895.00				2,700	9,072.00	Harris Interactive Inc
SAL	05-01-03	340020	-5,000.000	4.779		23,743.88	-17,650.00	6,093.88			Harris Interactive Inc
SAL	07-08-03	346544	-8,400.000	7.226		60,696.39	-29,652.00	31,044.39			Harris Interactive Inc
SAL	07-09-03	346546	-3,900.000	7.285		28,410.56	-13,737.00	14,673.56			Harris Interactive Inc
SAL	07-10-03	346547	-1,900.000	7.011		13,319.89	-6,517.00	6,802.89			Harris Interactive Inc
SAL	07-11-03	346550	-4,100.000	6.791		27,716.74	-14,039.70	13,677.04			Harris Interactive
SAL	08-28-03	350172	-1,000.000	7.001		7,000.97	-3,415.30	3,585.67			Harris Interactive Inc
SAL	08-29-03	350173	-3,700.000	7.120		26,344.98	-12,636.61	13,708.37			Harris Interactive Inc
SAL	08-29-03	350174	-4,000.000	7.196		28,657.85	-13,500.83	15,157.02			Harris Interactive Inc
SAL	10-13-03	354576	-1,700.000	8.023		13,587.63	-5,712.00	7,875.63			Harris Interactive
SAL	10-14-03	354577	-1,300.000	8.127		10,525.60	-6,368.00	6,157.60			Harris Interactive
	TOTAL -		-25,300.000		32,653.05	240,004.49	-121,228.44	118,776.05	2,700	9,072.00	

04-26-04
PC13.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD HPOL		Harris Interactive Inc			35,000.000 SHRS	2.763	96,703.18	8.300	12-31-03	290,500.00	193,796.82
ASHFD IPXL		Impax Laboratories Inc									
PUR	03-06-03	333767	4,000.000	3.149	12,736.00				4,000	12,736.00	IMPAX Laboratories Inc
PUR	03-07-03	333768	18,500.000	3.099	57,986.40				18,500	57,986.40	IMPAX Laboratories Inc
SAL	09-05-03	351143	-200.000	14.062		2,806.18	-1,370.00	1,436.18			Impax Laboratories
SAL	09-05-03	351144	-6,700.000	14.268		95,591.12	-44,866.00	50,725.12			Impax Laboratories
SAL	10-13-03	354578	-600.000	14.008		8,380.22	-3,984.00	4,396.22			Impax Laboratories
SAL	10-14-03	354579	-200.000	14.068		2,805.40	-1,328.00	1,477.40			Impax Laboratories
SAL	10-14-03	354580	-200.000	14.001		2,793.98	-1,328.00	1,465.98			Impax Laboratories
SAL	10-15-03	354581	-100.000	14.050		1,400.93	-664.00	736.93			Impax Laboratories
SAL	10-17-03	354582	-700.000	13.024		9,088.65	-4,648.00	4,440.65			Impax Laboratories
SAL	10-21-03	354875	-400.000	13.025		5,193.55	-2,656.00	2,537.55			Impax Laboratories
TOTAL -			13,400.000		70,722.40	128,060.03	-60,844.00	67,216.03	22,500	70,722.40	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD IPXL		Impax Laboratories Inc			48,700.000 SHRS	3.208	156,217.14	14.390	12-31-03	867,717.00	711,499.86
ASHFD IRC		International Rectifier Corp									
PUR	09-11-03	351500	2,700.000	42.006	113,523.39				2,700	113,523.39	International Rectifier Corp
PUR	09-12-03	351501	2,400.000	41.813	100,446.48				2,400	100,446.48	International Rectifier Corp
PUR	09-17-03	351963	1,900.000	43.013	81,819.51				1,900	81,819.51	International Rectifier Corp
PUR	09-26-03	352560	1,900.000	37.642	71,595.99				1,900	71,595.99	International Rectifier Corp
PUR	09-30-03	352562	2,000.000	37.896	75,792.20				2,000	75,792.20	International Rectifier Corp
TOTAL -			10,900.000		443,177.57	0.00	0.00	0.00	10,900	443,177.57	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD IRC		International Rectifier Corp			10,900.000 SHRS	40.658	443,177.57	49.410	12-31-03	538,569.00	95,391.43
ASHFD IRC.4B0707		Int'l Rectifier 4.25% 07/15/07									
SAL	08-28-03	350175	-400,000.000	95.250		381,000.00	-297,125.00	83,875.00			International Rectifier Corp

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04
FC3.3

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-400,000.000		0.00	381,000.00	-297,125.00	83,875.00	0	0.00	
ASHFD	IRF	CB Cephalon Inc 5.25% 5/1/06									
SAL	05-29-03	340949	-140,000.000	102.000		142,800.00	-136,972.40	5,827.60			CB Cephalon Inc 5.25% 5/01/06
SAL	05-29-03	340949	-160,000.000	102.000		163,200.00	-151,600.00	11,600.00			CB Cephalon Inc 5.25% 5/01/06
	TOTAL -		-300,000.000		0.00	306,000.00	-288,572.40	17,427.60	0	0.00	
ASHFD	ISCA	International Speedway Corp									
PUR	06-05-03	342612	1,000.000	38.571	38,571.30				1,000	38,571.30	International Speedway Corp
	TOTAL -		1,000.000		38,571.30	0.00	0.00	0.00	1,000	38,571.30	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD	ISCA	International Speedway Corp	10,000.000	SHRS	34.456	344,556.80	44.440	12-31-03	444,400.00	99,843.20

ASHFD ISYS Integral Systems Inc MD

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD	ISYS	Integral Systems Inc MD	22,700.000	SHRS	15.069	342,061.14	21.210	12-31-03	481,467.00	139,405.86

ASHFD	JPMSWEEP	J P Morgan Money Market Sweep									
SAL	01-02-03	328053	-77,700.000	1.000		77,700.00	-77,700.00	0.00			JPM Prime MM Sweep Fund
PUR	01-07-03	328048	1,624.840	1.000	1,624.84				0	0.00	JPM Prime MM Sweep Fund
SAL	01-13-03	328054	-36,760.000	1.000		36,760.00	-36,760.00	0.00			JPM Prime MM Sweep Fund
SAL	01-14-03	328055	-10,606.910	1.000		10,606.91	-10,606.91	0.00			JPM Prime MM Sweep Fund
SAL	01-16-03	328056	-32,653.050	1.000		32,653.05	-32,653.05	0.00			JPM Prime MM Sweep Fund
PUR	01-23-03	329343	392,177.360	1.000	392,177.36				0	0.00	JPM Prime MM Sweep Fund
SAL	01-24-03	329349	-379,170.300	1.000		379,170.30	-379,170.30	0.00			JPM Prime MM Sweep Fund
SAL	01-27-03	329350	-7,704.200	1.000		7,704.20	-7,704.20	0.00			JPM Prime MM Sweep Fund
PUR	01-28-03	329344	97,862.070	1.000	97,862.07				0	0.00	JPM Prime MM Sweep Fund
SAL	01-29-03	329351	-3,045.400	1.000		3,045.40	-3,045.40	0.00			JPM Prime MM Sweep Fund
PUR	02-03-03	331015	10,000.000	1.000	10,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	02-11-03	331024	-43,693.180	1.000		43,693.18	-43,693.18	0.00			JPM Prime MM Sweep Fund
PUR	02-14-03	331016	66,931.570	1.000	66,931.57				0	0.00	JPM Prime MM Sweep Fund
SAL	02-18-03	331026	-90,000.000	1.000		90,000.00	-90,000.00	0.00			JPM Prime MM Sweep Fund

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	02-19-03	331027	-62,175.200	1.000		62,175.20	-62,175.20	0.00			JPM Prime MM Sweep Fund
PUR	02-20-03	331017	369,208.530	1.000	369,208.53				0	0.00	JPM Prime MM Sweep Fund
SAL	02-21-03	331028	-191,321.000	1.000		191,321.00	-191,321.00	0.00			JPM Prime MM Sweep Fund
SAL	03-03-03	333769	-65,000.000	1.000		65,000.00	-65,000.00	0.00			JPM Prime MM Sweep Fund
SAL	03-11-03	333770	-12,736.000	1.000		12,736.00	-12,736.00	0.00			JPM Prime MM Sweep Fund
SAL	03-12-03	333771	-57,986.400	1.000		57,986.40	-57,986.40	0.00			JPM Prime MM Sweep Fund
SAL	03-26-03	334634	-75,926.900	1.000		75,926.90	-75,926.90	0.00			JPM Prime MM Sweep Fund
PUR	04-01-03	336189	13,326.800	1.000	13,326.80				0	0.00	JPM Prime MM Sweep Fund
PUR	04-07-03	336190	41,760.240	1.000	41,760.24				0	0.00	JPM Prime MM Sweep Fund
PUR	04-08-03	336191	29,719.500	1.000	29,719.50				0	0.00	JPM Prime MM Sweep Fund
SAL	04-09-03	336198	-81,500.000	1.000		81,500.00	-81,500.00	0.00			JPM Prime MM Sweep Fund
PUR	04-11-03	336192	36,453.150	1.000	36,453.15				0	0.00	JPM Prime MM Sweep Fund
PUR	04-15-03	336193	6,346.590	1.000	6,346.59				0	0.00	JPM Prime MM Sweep Fund
PUR	04-16-03	336836	13,585.030	1.000	13,585.03				0	0.00	JPM Prime MM Sweep Fund
PUR	04-17-03	336837	17,666.300	1.000	17,666.30				0	0.00	JPM Prime MM Sweep Fund
PUR	04-21-03	336839	26,108.940	1.000	26,108.94				0	0.00	JPM Prime MM Sweep Fund
SAL	04-22-03	336843	-2,418.960	1.000		2,418.96	-2,418.96	0.00			JPM Prime MM Sweep Fund
PUR	04-28-03	337031	111,641.210	1.000	111,641.21				0	0.00	JPM Prime MM Sweep Fund
PUR	05-02-03	340010	43,779.080	1.000	43,779.08				0	0.00	JPM Prime MM Sweep Fund
SAL	05-02-03	340023	-172,062.530	1.000		172,062.53	-172,062.53	0.00			JPM Prime MM Sweep Fund
SAL	05-05-03	340024	-88,447.440	1.000		88,447.44	-88,447.44	0.00			JPM Prime MM Sweep Fund
PUR	05-06-03	340011	44,400.660	1.000	44,400.66				0	0.00	JPM Prime MM Sweep Fund
PUR	05-08-03	340012	10,429.820	1.000	10,429.82				0	0.00	JPM Prime MM Sweep Fund
PUR	05-09-03	340013	56,183.740	1.000	56,183.74				0	0.00	JPM Prime MM Sweep Fund
PUR	05-13-03	340014	8,558.630	1.000	8,558.63				0	0.00	JPM Prime MM Sweep Fund
PUR	05-14-03	340015	7,397.070	1.000	7,397.07				0	0.00	JPM Prime MM Sweep Fund
PUR	05-15-03	340016	3,705.780	1.000	3,705.78				0	0.00	JPM Prime MM Sweep Fund
PUR	05-19-03	340017	11,549.450	1.000	11,549.45				0	0.00	JPM Prime MM Sweep Fund
PUR	05-20-03	340018	15,563.270	1.000	15,563.27				0	0.00	JPM Prime MM Sweep Fund
SAL	05-22-03	340577	-62,540.450	1.000		62,540.45	-62,540.45	0.00			JPM Prime MM Sweep Fund
SAL	05-23-03	340578	-67,341.130	1.000		67,341.13	-67,341.13	0.00			JPM Prime MM Sweep Fund
SAL	05-27-03	340579	-27,906.840	1.000		27,906.84	-27,906.84	0.00			JPM Prime MM Sweep Fund
PUR	05-30-03	340576	46,792.680	1.000	46,792.68				0	0.00	JPM Prime MM Sweep Fund
SAL	06-02-03	342620	-41,642.170	1.000		41,642.17	-41,642.17	0.00			JPM Prime MM Sweep Fund
PUR	06-03-03	342605	315,519.780	1.000	315,519.78				0	0.00	JPM Prime MM Sweep Fund
SAL	06-04-03	342621	-317,568.000	1.000		317,568.00	-317,568.00	0.00			JPM Prime MM Sweep Fund
SAL	06-06-03	342622	-3,102.290	1.000		3,102.29	-3,102.29	0.00			JPM Prime MM Sweep Fund

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	06-09-03	342606	45,775.730	1.000	45,775.73				0	0.00	JPM Prime MM Sweep Fund
SAL	06-10-03	342623	-45,775.730	1.000		45,775.73	-45,775.73	0.00			JPM Prime MM Sweep Fund
PUR	06-11-03	342607	112,071.470	1.000	112,071.47				0	0.00	JPM Prime MM Sweep Fund
SAL	06-12-03	342624	-64,239.910	1.000		64,239.91	-64,239.91	0.00			JPM Prime MM Sweep Fund
SAL	06-13-03	342625	-20,291.950	1.000		20,291.95	-20,291.95	0.00			JPM Prime MM Sweep Fund
SAL	06-16-03	342626	-8,370.000	1.000		8,370.00	-8,370.00	0.00			JPM Prime MM Sweep Fund
SAL	06-17-03	342627	-4,180.000	1.000		4,180.00	-4,180.00	0.00			JPM Prime MM Sweep Fund
PUR	06-18-03	342608	29,433.800	1.000	29,433.80				0	0.00	JPM Prime MM Sweep Fund
SAL	06-19-03	343574	-27,388.550	1.000		27,388.55	-27,388.55	0.00			JPM Prime MM Sweep Fund
PUR	06-20-03	343557	59,480.820	1.000	59,480.82				0	0.00	JPM Prime MM Sweep Fund
PUR	06-23-03	343558	17,442.720	1.000	17,442.72				0	0.00	JPM Prime MM Sweep Fund
PUR	06-24-03	343560	123,839.510	1.000	123,839.51				0	0.00	JPM Prime MM Sweep Fund
PUR	06-25-03	343561	34,638.220	1.000	34,638.22				0	0.00	JPM Prime MM Sweep Fund
SAL	06-26-03	343575	-26,127.210	1.000		26,127.21	-26,127.21	0.00			JPM Prime MM Sweep Fund
PUR	06-27-03	343562	15,731.500	1.000	15,731.50				0	0.00	JPM Prime MM Sweep Fund
PUR	06-30-03	344066	58,370.240	1.000	58,370.24				0	0.00	JPM Prime MM Sweep Fund
PUR	07-01-03	346521	11,742.450	1.000	11,742.45				0	0.00	JPM Prime MM Sweep Fund
SAL	07-03-03	346533	-24,700.760	1.000		24,700.76	-24,700.76	0.00			JPM Prime MM Sweep Fund
SAL	07-07-03	346534	-13,955.450	1.000		13,955.45	-13,955.45	0.00			JPM Prime MM Sweep Fund
PUR	07-08-03	346522	456,668.340	1.000	456,668.34				0	0.00	JPM Prime MM Sweep Fund
SAL	07-09-03	346535	-630,000.000	1.000		630,000.00	-630,000.00	0.00			JPM Prime MM Sweep Fund
PUR	07-10-03	346523	309,000.000	1.000	309,000.00				0	0.00	JPM Prime MM Sweep Fund
PUR	07-11-03	346524	60,696.390	1.000	60,696.39				0	0.00	JPM Prime MM Sweep Fund
PUR	07-14-03	346525	55,358.310	1.000	55,358.31				0	0.00	JPM Prime MM Sweep Fund
SAL	07-15-03	346536	-15,473.430	1.000		15,473.43	-15,473.43	0.00			JPM Prime MM Sweep Fund
PUR	07-16-03	346526	33,631.490	1.000	33,631.49				0	0.00	JPM Prime MM Sweep Fund
SAL	07-18-03	346537	-61,958.540	1.000		61,958.54	-61,958.54	0.00			JPM Prime MM Sweep Fund
SAL	07-21-03	347105	-145,608.660	1.000		145,608.66	-145,608.66	0.00			JPM Prime MM Sweep Fund
SAL	07-22-03	347106	-5,758.000	1.000		5,758.00	-5,758.00	0.00			JPM Prime MM Sweep Fund
PUR	07-24-03	347102	29,604.830	1.000	29,604.83				0	0.00	JPM Prime MM Sweep Fund
PUR	07-28-03	347103	14,807.940	1.000	14,807.94				0	0.00	JPM Prime MM Sweep Fund
SAL	08-01-03	348964	-13,944.400	1.000		13,944.40	-13,944.40	0.00			JPM Prime MM Sweep Fund
PUR	08-06-03	348958	203,511.060	1.000	203,511.06				0	0.00	JPM Prime MM Sweep Fund
SAL	08-08-03	348965	-2,759.240	1.000		2,759.24	-2,759.24	0.00			JPM Prime MM Sweep Fund
SAL	08-12-03	348966	-2,759.050	1.000		2,759.05	-2,759.05	0.00			JPM Prime MM Sweep Fund
SAL	08-14-03	348967	-4,249.550	1.000		4,249.55	-4,249.55	0.00			JPM Prime MM Sweep Fund
SAL	08-15-03	348968	-22,301.120	1.000		22,301.12	-22,301.12	0.00			JPM Prime MM Sweep Fund

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	08-18-03	349582	-2,755.670	1.000		2,755.67	-2,755.67	0.00			JPM Prime MM Sweep Fund
SAL	08-19-03	349583	-5,424.100	1.000		5,424.10	-5,424.10	0.00			JPM Prime MM Sweep Fund
PUR	08-20-03	349570	37,997.260	1.000	37,997.26				0	0.00	JPM Prime MM Sweep Fund
SAL	08-22-03	349584	-14,423.040	1.000		14,423.04	-14,423.04	0.00			JPM Prime MM Sweep Fund
SAL	08-25-03	349585	-120,072.000	1.000		120,072.00	-120,072.00	0.00			JPM Prime MM Sweep Fund
SAL	08-26-03	349586	-58,484.400	1.000		58,484.40	-58,484.40	0.00			JPM Prime MM Sweep Fund
SAL	08-27-03	349587	-7,290.000	1.000		7,290.00	-7,290.00	0.00			JPM Prime MM Sweep Fund
SAL	08-29-03	349715	-31,044.520	1.000		31,044.52	-31,044.52	0.00			JPM Prime MM Sweep Fund
SAL	09-02-03	351141	-5,853.520	1.000		5,853.52	-5,853.52	0.00			JPM Prime MM Sweep Fund
PUR	09-03-03	351134	388,000.970	1.000	388,000.97				0	0.00	JPM Prime MM Sweep Fund
PUR	09-04-03	351135	55,002.830	1.000	55,002.83				0	0.00	JPM Prime MM Sweep Fund
SAL	09-09-03	351142	-130,056.000	1.000		130,056.00	-130,056.00	0.00			JPM Prime MM Sweep Fund
PUR	09-10-03	351136	71,714.160	1.000	71,714.16				0	0.00	JPM Prime MM Sweep Fund
PUR	09-11-03	351137	46,721.970	1.000	46,721.97				0	0.00	JPM Prime MM Sweep Fund
PUR	09-12-03	351138	41,257.540	1.000	41,257.54				0	0.00	JPM Prime MM Sweep Fund
SAL	09-16-03	351507	-179,740.450	1.000		179,740.45	-179,740.45	0.00			JPM Prime MM Sweep Fund
SAL	09-17-03	351508	-313,780.590	1.000		313,780.59	-313,780.59	0.00			JPM Prime MM Sweep Fund
SAL	09-18-03	351509	-6,475.040	1.000		6,475.04	-6,475.04	0.00			JPM Prime MM Sweep Fund
SAL	09-19-03	351510	-6,498.440	1.000		6,498.44	-6,498.44	0.00			JPM Prime MM Sweep Fund
SAL	09-22-03	351965	-85,127.510	1.000		85,127.51	-85,127.51	0.00			JPM Prime MM Sweep Fund
SAL	09-23-03	351967	-128,858.000	1.000		128,858.00	-128,858.00	0.00			JPM Prime MM Sweep Fund
SAL	09-25-03	351968	-4,936.230	1.000		4,936.23	-4,936.23	0.00			JPM Prime MM Sweep Fund
SAL	09-29-03	352057	-71,838.490	1.000		71,838.49	-71,838.49	0.00			JPM Prime MM Sweep Fund
PUR	10-06-03	354149	33,824.940	1.000	33,824.94				0	0.00	JPM Prime MM Sweep Fund
PUR	10-07-03	354150	97,090.930	1.000	97,090.93				0	0.00	JPM Prime MM Sweep Fund
SAL	10-09-03	354159	-35,393.090	1.000		35,393.09	-35,393.09	0.00			JPM Prime MM Sweep Fund
PUR	10-15-03	354565	9,696.540	1.000	9,696.54				0	0.00	JPM Prime MM Sweep Fund
PUR	10-16-03	354566	31,887.380	1.000	31,887.38				0	0.00	JPM Prime MM Sweep Fund
PUR	10-17-03	354567	16,124.980	1.000	16,124.98				0	0.00	JPM Prime MM Sweep Fund
PUR	10-20-03	354568	1,400.930	1.000	1,400.93				0	0.00	JPM Prime MM Sweep Fund
SAL	10-21-03	354583	-4,282.330	1.000		4,282.33	-4,282.33	0.00			JPM Prime MM Sweep Fund
PUR	10-22-03	354569	25,761.880	1.000	25,761.88				0	0.00	JPM Prime MM Sweep Fund
PUR	10-23-03	354570	21,512.550	1.000	21,512.55				0	0.00	JPM Prime MM Sweep Fund
PUR	10-24-03	354873	5,193.550	1.000	5,193.55				0	0.00	JPM Prime MM Sweep Fund
SAL	10-29-03	354876	-3,308.000	1.000		3,308.00	-3,308.00	0.00			JPM Prime MM Sweep Fund
SAL	10-30-03	355308	-6,000.000	1.000		6,000.00	-6,000.00	0.00			JPM Prime MM Sweep Fund
SAL	11-04-03	356900	-52,837.600	1.000		52,837.60	-52,837.60	0.00			JPM Prime MM Sweep Fund

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	11-12-03	356901	-1,660.000	1.000		1,660.00	-1,660.00	0.00			JPM Prime MM Sweep Fund
SAL	11-21-03	357725	-100,000.000	1.000		100,000.00	-100,000.00	0.00			JPM Prime MM Sweep Fund
PUR	12-02-03	359923	144,042.360	1.000	144,042.36				0	0.00	JPM Prime MM Sweep Fund
SAL	12-03-03	359930	-136,195.800	1.000		136,195.80	-136,195.80	0.00			Prime MM Sweep Fund
PUR	12-04-03	359924	5,479.710	1.000	5,479.71				0	0.00	JPM Prime MM Sweep Fund
PUR	12-05-03	359925	9,749.160	1.000	9,749.16				0	0.00	JPM Prime MM Sweep Fund
PUR	12-08-03	359927	30,183.990	1.000	30,183.99				0	0.00	JPM Prime MM Sweep Fund
PUR	12-09-03	359928	125,900.100	1.000	125,900.10				0	0.00	JPM Prime MM Sweep Fund
PUR	12-10-03	359929	72,005.820	1.000	72,005.52				42,892	42,892.10	JPM Prime MM Sweep Fund
SAL	12-15-03	360827	-29,484.000	1.000		29,484.00	-29,484.00	0.00			JPM Prime MM Sweep Fund
SAL	12-17-03	360828	-3,371.680	1.000		3,371.68	-3,371.68	0.00			JPM Prime MM Sweep Fund
SAL	12-24-03	360829	-5,086.920	1.000		5,086.92	-5,086.92	0.00			JPM Prime MM Sweep Fund
SAL	12-26-03	360830	-93,160.100	1.000		93,160.10	-93,160.10	0.00			JPM Prime MM Sweep Fund
SAL	12-29-03	361519	-51,240.900	1.000		51,240.90	-51,240.90	0.00			JPM Prime MM Sweep Fund
SAL	12-30-03	361521	-51,270.000	1.000		51,270.00	-51,270.00	0.00			JPM Prime MM Sweep Fund
SAL	12-31-03	361522	-13,672.000	1.000		13,672.00	-13,672.00	0.00			JPM Prime MM Sweep Fund
	TOTAL -		-169,824.160		4,740,646.16	4,910,470.32	-4,910,470.32	0.00	42,892	42,892.10	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD	JPMSWEEP	J P Morgan Money Market Sweep	705,019.990	UNITS	1.000	705,019.99	1.000	12-31-03	42,892.10	-662,127.89

ASHFD	KROL	Kroll Inc								
PUR	02-18-03	331020	10,000.000	19.082	191,321.00			10,000	191,321.00	Kroll Inc
PUR	05-01-03	340021	4,000.000	23.976	96,104.80			4,000	96,104.80	Kroll Inc
PUR	08-19-03	349572	600.000	23.988	14,423.04			600	14,423.04	Kroll Inc
PUR	08-20-03	349573	400.000	24.000	9,620.00			400	9,620.00	Kroll Inc
PUR	08-21-03	349575	500.000	24.240	12,145.00			500	12,145.00	Kroll Inc
PUR	08-22-03	349576	300.000	24.250	7,290.00			300	7,290.00	Kroll Inc
PUR	08-26-03	349713	1,300.000	23.830	31,044.52			1,300	31,044.52	Kroll Inc
PUR	08-27-03	350171	200.000	24.217	4,853.52			200	4,853.52	Kroll Inc
PUR	09-05-03	351140	1,100.000	24.207	26,683.14			1,100	26,683.14	Kroll Inc
	TOTAL -		18,400.000		393,485.02	0.00	0.00	0.00	18,400	393,485.02

04-26-04
PCJ.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD KROL Kroll Inc					18,400.000	SHRS	21.385	393,485.02	26.000	12-31-03	478,400.00	84,914.98
ASHFD KRON Kronos Inc												
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD KRON Kronos Inc					12,741.000	SHRS	10.647	135,659.00	39.610	12-31-03	504,671.01	369,012.01
ASHFD KRSC2 Kaiser Ventures LLC												
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD KRSC2 Kaiser Ventures LLC					17,992.000	SHRS	1.500	26,988.00	1.500	12-31-03	26,988.00	0.00
ASHFD KV.A K V Pharmaceutical Co Cl A												
PUR	06-06-03	342613	1,000.000	29.314	29,353.70				1,500	29,353.70	K V Pharmaceutical Co Cl A
PUR	06-09-03	342615	600.000	29.098	17,482.80				900	17,482.80	K V Pharmaceutical Co Cl A
PUR	06-10-03	342617	700.000	28.949	20,291.95				1,050	20,291.95	K V Pharmaceutical Co Cl A
PUR	06-23-03	343572	600.000	28.005	16,827.24				900	16,827.24	K V Pharmaceutical Co Cl A
PUR	06-23-03	343573	1,000.000	28.357	28,397.40				1,500	28,397.40	K V Pharmaceutical Co Cl A
PUR	06-30-03	344104	600.000	27.890	16,760.40				900	16,760.40	K V Pharmaceutical Co Cl A
PUR	07-01-03	346527	500.000	27.871	13,955.45				750	13,955.45	K V POharmaceutical Co Cl A
PUR	07-09-03	346528	200.000	28.747	5,757.40				300	5,757.40	K V POharmaceutical Co Cl A
PUR	07-10-03	346530	1,300.000	28.690	37,349.39				1,950	37,349.39	K V POharmaceutical Co Cl A
PUR	07-11-03	346531	300.000	28.779	8,645.64				450	8,645.64	K V Pharmaceutical Co Cl A
PUR	07-16-03	347083	500.000	28.889	14,364.65				750	14,364.65	K V Pharmaceutical Co Cl A
PUR	07-17-03	347098	200.000	28.750	5,758.00				300	5,758.00	K V Pharmaceutical Co Cl A
PUR	07-29-03	347525	500.000	27.838	13,944.40				750	13,944.40	K V Pharmaceutical Co Cl A
PUR	07-31-03	347526	2,100.000	28.000	58,905.00				3,150	58,905.00	K V Pharmaceutical Co Cl A
PUR	08-01-03	348960	100.000	27.809	2,783.94				150	2,783.94	K V Pharmaceutical Co Cl A
PUR	08-05-03	348961	100.000	27.562	2,759.24				150	2,759.24	K V Pharmaceutical Co Cl A
PUR	08-07-03	348962	100.000	27.561	2,759.05				150	2,759.05	K V Pharmaceutical Co Cl A
PUR	08-12-03	348963	800.000	27.836	22,301.12				1,200	22,301.12	K V Pharmaceutical Co Cl A
PUR	08-13-03	349566	100.000	27.527	2,755.67				150	2,755.67	K V Pharmaceutical Co Cl A
PUR	08-14-03	349569	200.000	27.081	5,424.10				300	5,424.10	K V Pharmaceutical Co Cl A
TOTAL -			11,500.000		326,576.54	0.00	0.00	0.00	17,250	326,576.54	

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD KV.A	K V Pharmaceutical Co Cl A				17,250.000	SHRS	18.932	326,576.54	25.500	12-31-03	439,875.00	113,298.46
ASHFD LABL	Multi-Color Corp											
PUR	06-03-03	342610	300.000	20.960	6,288.00				450	6,288.00	Multi-Color Corp
PUR	06-04-03	342611	100.000	20.950	2,095.00				150	2,095.00	Multi-Color Corp
PUR	06-11-03	342618	400.000	20.925	8,370.00				600	8,370.00	Multi-Color Corp
PUR	06-12-03	342619	200.000	20.900	4,180.00				300	4,180.00	Multi-Color Corp
TOTAL -			1,000.000		20,933.00	0.00	0.00	0.00	1,500	20,933.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD LABL	Multi-Color Corp				24,000.000	SHRS	9.456	226,946.65	17.250	12-31-03	414,000.00	187,053.35
ASHFD LFUS	Littlefuse Inc											
SAL	07-02-03	346536	-855.000	22.887		19,567.47	-22,633.60	-3,066.13			Littlefuse Inc
TOTAL -			-855.000		0.00	19,567.47	-22,633.60	-3,066.13	0	0.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD LFUS	Littlefuse Inc				9,000.000	SHRS	26.472	238,248.40	28.820	12-31-03	259,380.00	21,131.60
ASHFD LIFE	Lifeline System Inc											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD LIFE	Lifeline System Inc				24,000.000	SHRS	12.275	294,607.56	19.000	12-31-03	456,000.00	161,392.44
ASHFD MAPS	Mapinfo Corp											
SAL	10-01-03	354151	-7,500.000	9.315		69,562.21	-110,456.92	-40,894.69			Mapfino Corp
SAL	10-02-03	354156	-7,500.000	9.361		69,906.46	-110,456.91	-40,550.45			Mapfino Corp
TOTAL -			-15,000.000		0.00	139,468.69	-220,913.83	-81,445.14	0	0.00	

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD MAPS		Mapinfo Corp			15,000.000 SHRS	8.717	130,760.38	10.090	12-31-03	151,350.00	20,589.62
ASHFD MBRS		Memberworks Inc									
SAL	05-01-03	340030	-2,000.000	21.525		42,948.58	-35,176.60	7,771.98			Memberworks Inc
PUR	06-06-03	342614	200.000	21.808	4,367.66				200	4,367.66	Memberworks Inc
PUR	06-09-03	342616	800.000	21.500	17,200.16				800	17,200.16	Members Inc
PUR	06-18-03	343568	1,600.000	21.161	33,937.92				1,600	33,937.92	Memberworks Inc
PUR	06-19-03	343569	200.000	20.826	4,175.26				200	4,175.26	Memberworks Inc
TOTAL -			800.000		59,681.00	42,948.58	-35,176.60	7,771.98	2,800	59,681.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD MBRS		Memberworks Inc			17,800.000 SHRS	17.696	314,982.20	27.170	12-31-03	483,626.00	168,643.80
ASHFD MRCY		Mercury Computer Systems Inc									
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD MRCY		Mercury Computer Systems Inc			7,494.000 SHRS	28.663	214,798.60	24.900	12-31-03	186,600.60	-28,198.00
ASHFD MVSN		Macrovision Corp									
PUR	04-29-03	338504	10,100.000	18.090	182,712.03				10,100	182,712.03	Macrovison Corp
PUR	05-29-03	340929	3,600.000	17.666	63,600.48				3,600	63,600.48	Macrovision Corp
PUR	05-30-03	340931	400.000	18.920	7,568.00				400	7,568.00	Macrovision Corp
PUR	06-03-03	342609	1,200.000	18.950	22,740.00				1,200	22,740.00	Macrovision Corp
PUR	06-17-03	343566	400.000	20.998	8,399.12				400	8,399.12	Macrovision Corp
PUR	06-22-03	343571	500.000	20.121	10,060.45				500	10,060.45	Macrovision Corp
PUR	06-30-03	344105	400.000	19.850	7,940.36				400	7,940.36	Macrovision Corp
TOTAL -			16,600.000		303,020.44	0.00	0.00	0.00	16,600	303,020.44	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD MVSN		Macrovision Corp			16,600.000 SHRS	18.254	303,020.44	22.590	12-31-03	374,994.00	71,973.56

04-26-04

PC3.3

Market Street Trust Company

PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
ASHFD NAVG		Navigators Group Inc									

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD NAVG Navigators Group Inc	9,000.000	SHRS	18.774	168,964 12	30.870	12-31-03	277,830.00	108,865.88

ASHFD NUCO		NUCO2 Inc									

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD NUCO NUCO2 Inc	12,200.000	SHRS	15.046	183,559.71				-183,559.71

ASHFD OPSW		Opsware Inc									
PUR	05-19-03	340571	16,100.000	3.885	62,540.45				16,100	62,540.45	Opsware Inc
PUR	05-20-03	340572	14,600.000	3.816	55,710.68				14,600	55,710.68	Opsware Inc
PUR	05-21-03	340573	7,400.000	3.840	28,416.00				7,400	28,416.00	Opsware Inc
PUR	05-22-03	340574	8,800.000	4.121	36,267.44				8,800	36,267.44	Opsware Inc
PUR	05-22-03	340575	3,100.000	4.171	12,930.72				3,100	12,930.72	Opsware Inc
	TOTAL -		50,000.000		195,865.29	0.00	0.00	0.00	50,000	195,865.29	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD OPSW Opsware Inc	50,000.000	SHRS	3.917	195,865.29	7.400	12-31-03	370,000.00	174,134.71

ASHFD OSTK		Overstock.Com Inc.									
PUR	01-21-03	329345	15,000.000	17.273	259,842.00				15,000	259,842.00	Overstock.Com Inc
PUR	02-12-03	331018	6,000.000	15.000	90,000.00				6,000	90,000.00	Overstock.Com Inc
PUR	02-13-03	331019	4,000.000	15.494	62,175.20				4,000	62,175.20	Overstock.Com Inc
	TOTAL -		25,000.000		412,017.20	0.00	0.00	0.00	25,000	412,017.20	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD OSTK Overstock.Com Inc.	19,500.000	SHRS	16.243	316,741.80	19.870	12-31-03	496,750.00	180,008.20

ASHFD PHLY Philadelphia Consolidated HLDG

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ASHFD PHLY	Philadelphia Consolidated HLDG			6,000.000	SHRS	31.938	191,625.15	48.830	12-31-03	292,980.00	101,354.85
ASHFD	PLMD	Polymedica Industries Inc										
PUR	08-20-03	349578	1,300.000	46.586	60,626.15				2,600	60,626.15	Polymedica Industries Inc
PUR	08-21-03	349579	1,000.000	46.289	46,339.40				2,000	46,339.40	Polymedica Industries Inc
PUR	09-04-03	351139	2,400.000	54.140	130,056.00				3,300	89,413.50	Polymedica Industries Inc
SAL	11-26-03	358217	-1,500.000	25.864		38,724.28	-40,642.50	-1,918.22			Polymedica Industries
	TOTAL -		3,200.000		237,021.55	38,724.28	-40,642.50	-1,918.22	7,900	196,379.05	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ASHFD PLMD	Polymedica Industries Inc			7,900.000	SHRS	24.859	196,379.05	26.300	12-31-03	207,770.00	11,390.95
ASHFD	PLUM	Plumtree Software Inc										
PUR	01-17-03	329340	17,000.000	3.800	65,110.00				17,000	65,110.00	Plumtree Software Inc.
PUR	01-21-03	329341	31,000.000	3.819	119,328.30				31,000	119,328.30	Plumtree Software Inc.
PUR	01-22-03	329342	2,000.000	3.852	7,704.20				2,000	7,704.20	Plumtree Software Inc.
	TOTAL -		50,000.000		192,142.50	0.00	0.00	0.00	50,000	192,142.50	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ASHFD PLUM	Plumtree Software Inc			50,000.000	SHRS	3.843	192,142.50	4.700	12-31-03	235,000.00	42,857.50
ASHFD	PPCO	Penwest Pharmaceuticals Co										
PUR	12-10-03	360824	2,100.000	14.000	29,484.00				2,100	29,484.00	Penwest Pharmaceuticals Co
	TOTAL -		2,100.000		29,484.00	0.00	0.00	0.00	2,100	29,484.00	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ASHFD PPCO	Penwest Pharmaceuticals Co			20,100.000	SHRS	14.116	283,732.84	17.280	12-31-03	347,328.00	63,595.16
ASHFD	PPD	Pre Paid Legal Services Inc										

2124

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	01-23-03	329152	-5,300.000	18.505		97,862.07	-125,723.24	-27,861.17			Pre Paid Legal Services Inc
SAL	02-11-03	331029	-4,000.000	16.783		66,931.57	-79,774.37	-12,842.80			Pre Paid Legal Services Inc
SAL	02-14-03	331033	-4,900.000	16.645		81,361.55	-80,965.52	396.03			Pre Paid Legal Services Inc
	TOTAL -		-14,200.000		0.00	246,155.19	-286,463.13	-40,307.94	0	0.00	
ASHFD	PGE	Proquest Company									
SAL	02-14-03	331032	-7,700.000	17.031		130,827.52	-197,245.70	-66,418.18			Proquest Co
	TOTAL -		-7,700.000		0.00	130,827.52	-197,245.70	-66,418.18	0	0.00	
ASHFD	PRWK	Practiceworks Inc									
PUR	03-21-03	334633	7,000.000	10.807	75,926.90				0	0.00	Practiceworks Inc
SAL	07-23-03	347107	-1,400.000	21.187		29,604.83	-15,185.38	14,419.45			Practiceworks Inc
SAL	07-23-03	347109	-700.000	21.205		14,807.94	-7,592.69	7,215.25			Practiceworks Inc
SAL	10-01-03	354153	-4,900.000	21.460		104,953.07	-53,148.83	51,804.24			Practiceworks Inc
	TOTAL -		0.000		75,926.90	149,365.84	-75,926.90	73,438.94	0	0.00	
ASHFD	PRX	Pharmaceutical Resources Inc									
SAL	05-01-03	340029	-500.000	43.934		21,945.97	-8,762.45	13,183.52			Pharmacuetical Resources Inc
SAL	09-08-03	351145	-800.000	58.435		46,721.97	-14,019.92	32,702.05			Pharmaceutical Resources Inc
SAL	09-09-03	351146	-600.000	68.816		41,257.54	-8,001.98	33,255.56			Pharmaceutical Resources Inc
	TOTAL -		-1,900.000		0.00	109,925.48	-30,784.35	79,141.13	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD	PRX	Pharmaceutical Resources Inc	7,100.000	SHRS	11.243	79,821.75	65.150	12-31-03	462,565.00	382,743.25

ASHFD	PTEC	Phoenix Technologies LTD									
PUR	07-15-03	346532	9,800.000	6.282	61,958.54				9,800	61,958.54	Phoenix Technologies LTD
PUR	07-16-03	347101	12,100.000	6.725	81,852.87				12,100	81,852.87	Phoenix Technologies
	TOTAL -		21,900.000		143,811.41	0.00	0.00	0.00	21,900	143,811.41	

04-26-04
PC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER		QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	CURRENT HOLDINGS -											
	ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ASHFD PTEC		Phaonix Technologies LTD			31,000.000 SHRS	6.416	198,909.62	8.080	12-31-03	307,848.00	108,938.38
ASHFD	PWW		Cash America Investments Inc									
SAL	05-01-03	340026		-5,000.000	10.219		50,892.60	-33,556.40	17,336.20			Cash America Investments
	TOTAL -			-5,000.000		0.00	50,892.60	-33,556.40	17,336.20	0	0.00	
	CURRENT HOLDINGS -											
	ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ASHFD PWW		Cash America Investments Inc			40,000.000 SHRS	5.024	200,944.20	21.180	12-31-03	953,100.00	752,155.80
ASHFD	QVUX		Quovadx Inc									
SAL	04-10-03	336197		-3,300.000	1.923		6,346.59	-31,364.85	-25,018.26			Quovadx Inc
SAL	04-11-03	336840		-7,200.000	1.907		13,585.03	-65,641.30	-52,056.27			Quovadx Inc
SAL	04-14-03	336841		-9,500.000	1.890		17,666.30	-81,963.25	-64,296.95			Quovadx Inc
	TOTAL -			-20,000.000		0.00	37,597.92	-178,969.40	-141,371.48	0	0.00	
ASHFD	RNT		Aaron Rents Inc									
	CURRENT HOLDINGS -											
	ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ASHFD RNT		Aaron Rents Inc			15,000.000 SHRS	7.208	108,126.54	20.130	12-31-03	362,340.00	254,213.46
ASHFD	SCT		Scottish Annuity & Life									
SAL	12-03-03	359936		-1,000.000	20.414		20,363.04	-19,330.00	1,033.04			Scottish Annuity & Life Hold.
SAL	12-04-03	359937		-5,800.000	20.015		115,851.30	-106,174.00	9,677.30			Scottish Annuity & Life Hold.
SAL	12-05-03	359938		-3,200.000	20.050		64,028.99	-58,400.00	5,628.99			Scottish Annuity & Life Hold.
	TOTAL -			-10,000.000		0.00	200,243.33	-183,904.00	16,339.33	0	0.00	
ASHFD	SCTCB4		Systems & Computer Tech Corp									
SAL	05-27-03	340580		-100,000.000	0.965		96,500.00	-74,943.00	21,557.00			Systems & Computer Tech Corp
	TOTAL -			-100,000.000		0.00	96,500.00	-74,943.00	21,557.00	0	0.00	

04-26-04
PC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
ASHFD SEPRACOR	Sepracor Inc CV 7% 12/15/05										
SAL	07-10-03	346543	-200,000.000	103.000		206,000.00	-162,750.00	43,250.00			Sepracor Inc CV 7% 12/15/05
TOTAL -			-200,000.000		0.00	206,000.00	-162,750.00	43,250.00	0	0.00	
ASHFD SEPRF5	Sepracor Inc										
SAL	07-10-03	346542	-100,000.000	1.030		103,000.00	-117,261.00	-14,261.00			Sepracor Inc CV 7% 12/15/05
TOTAL -			-100,000.000		0.00	103,000.00	-117,261.00	-14,261.00	0	0.00	

ASHFD SFNT Safenet Inc

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD SFNT	Safenet Inc	15,000.000	SHRS	11.350	170,256.90	30.670	12-31-03	460,050.00	289,793.10

ASHFD SIVB	Silicon Valley Bancshares										
PUR	09-11-03	351502	2,600.000	25.468	66,217.06				2,600	66,217.06	Silicon Valley Bancshares
PUR	09-12-03	351503	7,300.000	28.118	205,259.21				7,300	205,259.21	Silicon Valley Bancshares
TOTAL -			9,900.000		271,476.27	0.00	0.00	0.00	9,900	271,476.27	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD SIVB	Silicon Valley Bancshares	17,900.000	SHRS	24.047	430,436.27	36.070	12-31-03	645,653.00	215,216.73

ASHFD SLVN Sylvan Learning Systems Inc

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD SLVN	Sylvan Learning Systems Inc	20,000.000	SHRS	14.730	294,607.14	28.790	12-31-03	575,800.00	281,192.86

ASHFD SRLS Seracare Life Sciences Inc

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD SRLS	Seracare Life Sciences Inc	40,000.000	SHRS	6.283	251,309.01	10.100	12-31-03	404,000.00	152,690.99

ASHFD STRA	Strayer Education Inc										
SAL	08-15-03	349588	-400.000	95.038		37,997.26	-25,376.80	12,620.46			Strayer Education

Market Street Trust Company

04-26-04 PURCHASE / SALE DETAIL HISTORY REPORT PAGE

FC3.3 BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-400.000		0.00	37,997.26	-25,376.80	12,620.46	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT	DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD	STRA	Strayer Education Inc	3,400.000	SHRS	52.757	179,374.80	108.030	12-31-03	685,629.00	506,254.20

ASHFD TALX Talx Corp

CURRENT HOLDINGS -

ENTITY	INVESTMENT	DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD	TALX	Talx Corp	30,000.000	SHRS	14.717	441,506.01	23.030	12-31-03	690,900.00	249,393.99

ASHFD TARO Taro Pharmaceuticals Ind.

PUR	01-09-03	328050	5,100.000	34.848	177,981.84				5,100	177,981.84	Taro Pharmaceuticals Industrie
	TOTAL -		5,100.000		177,981.84	0.00	0.00	0.00	5,100	177,981.84	

CURRENT HOLDINGS -

ENTITY	INVESTMENT	DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD	TARO	Taro Pharmaceuticals Ind.	10,200.000	SHRS	34.676	353,693.47	64.500	12-31-03	657,900.00	304,206.53

ASHFD TAXI Medallion Financial Corp

SAL	01-09-03	328058	-20,000.000	4.000		79,297.59	-155,600.00	-76,302.41			Medallion Financial Corp
	TOTAL -		-20,000.000		0.00	79,297.59	-155,600.00	-76,302.41	0	0.00	

ASHFD UTMD Utah Medical Products Inc

CURRENT HOLDINGS -

ENTITY	INVESTMENT	DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD	UTMD	Utah Medical Products Inc	15,000.000	SHRS	17.366	260,486.19	26.140	12-31-03	392,100.00	131,613.81

ASHFD VIRL Virage Logic Corp

SAL	01-17-03	329347	-10,400.000	6.313		65,344.34	-124,110.23	-58,765.89			Virage Logic Corp
SAL	01-17-03	329347	-7,000.000	6.313		43,981.77	-76,257.00	-32,275.23			Virage Logic Corp
	TOTAL -		-17,400.000		0.00	109,326.11	-200,367.23	-91,041.12	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD VIRL		Virage Logic Corp			12,600.000 SHRS	9.902	124,759.30	10 170	12-31-03	128,142.00	3,382.70
ASHFD VNWK		Visual Networks Inc									
SAL	10-01-03	354154	-22,100.000	1.937		42,257.61	-81,893.97	-39,636.36			Visual Networks Inc
SAL	11-28-03	358218	-2,900.000	1.681		4,804.20	-10,730.00	-5,925.80			Visual Networks
SAL	12-01-03	359931	-3,300.000	1.686		5,479.71	-12,210.00	-6,730.29			Visual Networks
SAL	12-02-03	359932	-6,100.000	1.623		9,749.16	-22,570.00	-12,820.84			Visual Networks
SAL	12-03-03	359933	-6,200.000	1.609		9,820.95	-22,865.00	-13,044.05			Visual Networks
SAL	12-04-03	359934	-6,400.000	1.595		10,048.80	-22,762.00	-12,713.20			Visual Networks
SAL	12-05-03	359935	-5,100.000	1.589		7,976.53	-15,686.00	-7,709.47			Visual Networks
TOTAL -			-52,100.000		0.00	90,136.96	-188,716.97	-98,580.01	0	0.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD VNWK		Visual Networks Inc			103,300.000 SHRS	1.345	138,937.68	2.240	12-31-03	231,392.00	92,454.32
ASHFD VTSS		Vitesse Semiconducter									
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD VTSS		Vitesse Semiconductor			72,000.000 SHRS	0.846	60,889.40	5.850	12-31-03	421,200.00	360,310.60
ASHFD VVTV		Valuevision International Inc									
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ASHFD VVTV		Valuevision International Inc			18,000.000 SHRS	14.085	253,536.29	16.700	12-31-03	300,600.00	47,063.71
ASHFD YOCH		Yocream International Inc Com									
SAL	10-02-03	354157	-5,600.000	4.895		27,184.47	-39,075.00	-11,890.53			Yocream Int'l
SAL	10-06-03	354158	-3,000.000	4.909		14,606.91	-20,700.00	-6,093.09			Yocream Int'l
SAL	10-09-03	354572	-2,000.000	4.889		9,696.54	-13,800.00	-4,103.46			Yocream International
SAL	10-13-03	354573	-2,000.000	5 000		9,919.53	-13,800.00	-3,880.47			Yocream International
SAL	10-17-03	354574	-3,500.000	5.277		18,327.23	-24,150.00	-5,822.77			Yocream International
SAL	10-20-03	354575	-3,900.000	5.556		21,512.55	-26,910.00	-5,397.45			Yocream International

Market Street Trust Company

PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04

PC3.3

PAGE

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-20,000.000		0.00	101,347.23	-138,435.00	-37,187.77	0	0.00	
	REPORT TOTAL -				8,977,219.01	10,530,673.97	-10,105,918.76	424,755.21	363,619	4,139,314.50	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

SORT OPTION: BY ENTITY, INV

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
F-L	ABI	Applera Corp-Applied Biosystem									
SAL	01-30-03	329606	-2,900.000	17.200		49,733.49	-61,047.61	-11,314.12			Applera Corp-Applied Biosystem
	TOTAL -		-2,900.000		0.00	49,733.49	-61,047.61	-11,314.12	0	0.00	
F-L	ABX	Barrick Gold Corp									
SAL	03-12-03	334328	-2,300.000	14.619		33,507.68	-42,612.17	-9,104.49			Barrick Gold Corp
SAL	04-01-03	336475	-100.000	15.310		1,527.92	-1,852.70	-324.78			Barrick Gold Corp
SAL	04-09-03	336513	-5,500.000	15.266		83,682.97	-101,898.67	-18,215.70			Barrick Gold Corp
	TOTAL -		-7,900.000		0.00	118,718.57	-146,363.54	-27,644.97	0	0.00	
F-L	ACI	Arch Coal Inc									
PUR	02-25-03	331881	1,250.000	19.028	23,847.50				0	0.00	Arch Coal Inc
PUR	02-25-03	331882	3,450.000	19.102	66,074.40				0	0.00	Arch Coal Inc
PUR	02-26-03	332709	800.000	19.058	15,286.96				0	0.00	Arch Coal Inc
PUR	02-27-03	332710	1,500.000	19.052	28,653.00				0	0.00	Arch Coal Inc
SAL	04-01-03	336474	-100.000	19.215		1,918.41	-1,912.31	6.10			Arch Coal Inc
SAL	04-22-03	336956	-300.000	18.600		5,570.73	-5,736.94	-166.21			Arch Coal Inc
SAL	04-29-03	338700	-700.000	18.084		12,637.41	-13,386.19	-748.78			Arch Coal Inc
SAL	05-29-03	341197	-1,000.000	21.659		21,627.98	-19,123.12	2,504.86			Arch Coal Inc
SAL	06-25-03	344217	-4,900.000	22.757		111,309.55	-93,703.30	17,606.25			Arch Coal Inc
	TOTAL -		0.000		133,861.86	153,064.08	-133,861.86	19,202.22	0	0.00	
F-L	ADI	Analog Devices Inc									
SAL	01-06-03	328268	-13,750.000	27.404		376,104.78	-388,497.50	-12,392.72			Analog Devices Inc
SAL	01-31-03	329607	-3,500.000	22.807		79,648.84	-98,890.27	-19,241.43			Analog Devices Inc
SAL	04-01-03	336443	-100.000	27.850		2,781.86	-2,825.44	-43.58			Analog Devices Inc
SAL	04-29-03	338698	-500.000	33.494		16,731.21	-14,127.18	2,604.03			Analog Devices Inc
SAL	05-29-03	341194	-700.000	37.620		26,311.76	-19,778.05	6,533.71			Analog Devices Inc
SAL	06-25-03	344220	-3,300.000	33.902		111,740.68	-93,239.40	18,501.28			Analog Devices Inc
	TOTAL -		-21,850.000		0.00	613,319.13	-617,357.84	-4,038.71	0	0.00	

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
F-L	AG	AGCO Corp									
PUR	03-17-03	334295	4,900.000	16.250	79,870.00				0	0.00	Agco Corp
SAL	04-01-03	336438	-600.000	16.190		9,695.54	-8,244.16	1,451.38			AGCO Corp
SAL	04-29-03	338694	-5,100.000	18.188		92,603.49	-70,075.35	22,528.14			AGCO Corp
SAL	05-29-03	341188	-7,300.000	18.383		133,973.53	-100,303.94	33,669.59			Agco Corp
SAL	06-25-03	344219	-24,600.000	19.599		481,131.30	-338,010.53	143,120.77			AGCO Corp
SAL	06-25-03	344219	-10,850.000	19.599		212,206.28	-149,081.87	63,124.41			AGCO Corp
	TOTAL -		-43,550.000		79,870.00	929,610.14	-665,715.85	263,894.29	0	0.00	
F-L	AGE	A G Edwards Inc									
PUR	05-28-03	341173	3,400.000	31.570	107,509.70				0	0.00	A G Edwards Inc
PUR	06-23-03	343777	1,150.000	33.304	38,357.10				0	0.00	A G Edwards Inc
SAL	06-25-03	344240	-4,550.000	34.901		158,608.75	-145,866.80	12,741.95			Edwards A G Inc
	TOTAL -		0.000		145,866.80	158,608.75	-145,866.80	12,741.95	0	0.00	
F-L	ALLY	Alliance Gaming Corp									
SAL	04-01-03	336440	-100.000	14.930		1,489.93	-1,739.15	-249.22			Alliance Gaming Corp
SAL	04-22-03	336977	-6,000.000	15.251		91,199.91	-104,349.05	-13,149.14			Alliance Gaming Corp
SAL	04-23-03	337103	-5,500.000	15.472		84,814.26	-95,653.29	-10,839.03			Alliance Gaming Corp
	TOTAL -		-11,600.000		0.00	177,504.10	-201,741.49	-24,237.39	0	0.00	
F-L	ALTR	Altera Corp									
PUR	05-23-03	340733	5,100.000	17.595	89,989.50				0	0.00	Altera Corp
SAL	06-25-03	344218	-5,100.000	16.360		83,432.09	-89,989.50	-6,557.41			Altera Corp
	TOTAL -		0.000		89,989.50	83,432.09	-89,989.50	-6,557.41	0	0.00	
F-L	AMLN	Amylin Pharmaceuticals Inc									
SAL	04-01-03	336442	-100.000	15.910		1,587.92	-1,798.69	-210.77			Amylin Pharmaceuticals Inc
SAL	04-29-03	338697	-1,000.000	18.788		18,757.12	-17,986.92	770.20			Amylin Pharmaceuticals Inc
SAL	05-29-03	341192	-1,400.000	19.480		27,229.70	-25,181.68	2,048.02			Amylin Pharmaceuticals Inc
PUR	06-05-03	342875	1,900.000	23.531	44,803.33				0	0.00	Amylin Pharmaceuticals Inc
PUR	06-06-03	342876	1,100.000	23.016	25,372.49				0	0.00	Amylin Pharmaceuticals Inc
PUR	06-16-03	343772	600.000	25.293	15,205.56				0	0.00	Amylin Pharmaceuticals

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04
FC3.3

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	06-17-03	343773	3,500.000	25.033	87,790.15				0	0.00	Amylin Pharmaceuticals
SAL	06-25-03	344222	-14,000.000	21.466		300,515.53	-297,281.27	3,234.26			Amylin Pharmaceutical
	TOTAL -		-9,400.000		173,171.53	348,090.27	-342,248.56	5,841.71	0	0.00	
F-L	AMZN	Amazon .COM Inc									
SAL	04-01-03	336441	-400.000	25.413		10,152.52	-7,061.61	3,090.91			Amazon Com Inc
SAL	04-16-03	336949	-1,800.000	24.529		44,059.23	-31,777.24	12,281.99			Amazon Com Inc
SAL	04-16-03	336950	-200.000	25.000		4,989.76	-3,530.80	1,458.96			Amazon Com Inc
SAL	04-22-03	336952	-300.000	25.573		7,662.63	-5,296.21	2,366.42			Amazon Com Inc
SAL	04-23-03	337104	-6,000.000	25.765		154,282.76	-105,924.14	48,358.62			Amazon Com Inc
PUR	04-24-03	337099	1,085.000	25.050	27,233.50				0	0.00	Amazon.Com Inc
PUR	04-24-03	337100	315.000	28.400	8,961.75				0	0.00	Amazon.Com Inc
SAL	04-24-03	337108	-1,100.000	25.431		27,917.46	-19,850.40	8,067.06			Amazon Com Inc
PUR	04-25-03	337101	2,500.000	28.636	71,715.50				0	0.00	Amazon.Com Inc
SAL	04-29-03	338696	-3,300.000	28.815		94,986.70	-62,410.59	32,576.11			Amazon Com Inc
PUR	04-30-03	338750	1,500.000	28.754	43,207.05				0	0.00	Amazon Com Inc
SAL	05-23-03	340754	-3,600.000	32.614		117,223.10	-69,932.69	47,290.41			Amazon Com Inc
SAL	05-29-03	341191	-4,300.000	35.522		152,609.74	-83,530.71	69,079.03			Amazon Com Inc
SAL	06-04-03	342887	-6,300.000	35.285		221,967.57	-122,382.21	99,585.36			Amazon Com Inc
SAL	06-05-03	342889	-500.000	35.200		17,574.17	-9,712.87	7,861.30			Amazon Com Inc
SAL	06-18-03	343786	-1,900.000	35.835		67,988.50	-36,908.92	31,079.58			Amazon Com Inc
SAL	06-25-03	344216	-12,300.000	35.631		438,245.70	-238,936.68	199,309.02			Amazon Com Inc
	TOTAL -		-36,600.000		151,117.80	1,359,659.84	-797,255.07	562,404.77	0	0.00	
F-L	ANF	Abercrombie & Fitch Co Cl A									
SAL	04-01-03	336439	-100.000	29.390		2,935.86	-2,663.40	272.46			Abercrombie & Fitch Co Cl A
SAL	04-29-03	338695	-800.000	32.743		26,169.81	-21,307.23	4,862.58			Abercrombie & Fitch Co Cl A
SAL	05-29-03	341189	-1,100.000	29.220		32,107.49	-29,297.44	2,810.05			Abercrombie & Fitch Co Cl A
SAL	06-25-03	344215	-1,800.000	27.510		49,443.68	-47,941.26	1,502.42			Abercrombie & Fitch
SAL	06-25-03	344215	-3,300.000	27.510		90,646.75	-87,892.30	2,754.45			Abercrombie & Fitch
	TOTAL -		-7,100.000		0.00	201,303.59	-189,101.63	12,201.96	0	0.00	
F-L	ANN	AnnTaylor Stores Corp									
PUR	04-17-03	336936	10,800.000	22.231	240,638.04				0	0.00	AnnTaylor Stores Corp

04-26-04
PC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	04-22-03	336944	6,500.000	23.728	154,664.40				0	0.00	AnnTaylor Stores Corp
PUR	04-23-03	337098	2,000.000	23.613	47,296.00				0	0.00	AnnTaylor Stores Corp
SAL	04-29-03	338699	-2,100.000	23.825		49,967.57	-48,146.41	1,821.16			AnnTaylor Stores Corp
SAL	05-29-03	341195	-2,900.000	24.682		71,488.61	-66,487.90	5,000.71			AnnTaylor Stores Corp
SAL	06-09-03	342892	-4,450.000	28.279		125,613.16	-102,024.54	23,588.62			AnnTaylor Stores Corp
SAL	06-25-03	344223	-9,850.000	28.800		283,275.68	-225,829.59	57,446.09			AnnTaylor Stores
	TOTAL -		0.000		442,488.44	530,345.02	-442,488.44	87,856.58	0	0.00	
F-L	APA	Apache Corp									
PUR	01-06-03	328243	1,750.000	59.203	103,692.23				0	0.00	Apache Corp
SAL	04-25-03	337109	-1,837.000	59.092		108,455.80	-103,692.23	4,763.57			Apache Corp
PUR	05-21-03	340729	900.000	63.068	56,806.02				0	0.00	Apache Corp
SAL	05-29-03	341196	-200.000	64.555		12,904.39	-12,623.56	280.83			Apache Corp
SAL	06-25-03	344224	-700.000	66.510		46,504.42	-44,182.46	2,321.96			Apache Corp
	TOTAL -		-87.000		160,498.25	167,864.61	-160,498.25	7,366.36	0	0.00	
F-L	APC	Anadarko Petroleum Corp									
PUR	05-21-03	340726	1,100.000	48.876	53,818.60				0	0.00	Anadarko Petroleum Corp
SAL	05-29-03	341193	-200.000	48.945		9,782.54	-9,785.20	-2.66			Anadarko Petroleum Corp
SAL	06-25-03	344221	-900.000	45.870		41,227.06	-44,033.40	-2,806.34			Anadarko Petroleum Corp
	TOTAL -		0.000		53,818.60	51,009.60	-53,818.60	-2,809.00	0	0.00	
F-L	APPB	Applebees International Inc									
SAL	01-02-03	328258	-600.000	24.037		14,391.76	-14,175.62	216.14			Applebees International Inc
SAL	01-03-03	328260	-400.000	25.040		9,996.69	-9,450.42	545.27			Applebees International Inc
SAL	01-06-03	328261	-300.000	25.348		7,589.02	-7,087.81	501.21			Applebees International Inc
	TOTAL -		-1,300.000		0.00	31,976.47	-30,713.85	1,262.62	0	0.00	
F-L	AVP	Avon Products Inc									
SAL	04-29-03	338701	-200.000	58.310		11,655.45	-9,688.06	1,967.39			Avon Products Inc
SAL	05-29-03	341198	-300.000	59.593		17,869.15	-14,532.09	3,336.06			Avon Products Inc
SAL	06-25-03	344225	-1,500.000	62.970		94,390.57	-72,660.45	21,730.12			Avon Products Inc

04-26-04

FC3.3

Market Street Trust Company

PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-2,000.000		0.00	123,914.17	-96,880.60	27,033.57	0	0.00	
F-L	BBY	Best Buy Inc									
PUR	02-21-03	331876	2,200.000	28.359	62,498.70				0	0.00	Best Buy Inc
PUR	02-21-03	331877	1,000.000	28.794	28,844.30				0	0.00	Best Buy Inc
PUR	04-17-03	336937	5,550.000	31.423	174,476.26				0	0.00	Best Buy Inc
SAL	04-29-03	338703	-900.000	34.527		31,046.56	-27,361.98	3,684.58			Best Buy Inc
PUR	04-30-03	338751	1,500.000	34.579	51,943.65				0	0.00	Best Buy Inc
SAL	05-29-03	341201	-1,600.000	38.048		60,826.11	-49,728.50	11,097.61			Best Buy Inc
SAL	06-25-03	344228	-7,750.000	43.312		335,342.29	-240,872.43	94,469.86			Best Buy Co
	TOTAL -		0.000		317,962.91	427,214.96	-317,962.91	109,252.05	0	0.00	
F-L	BEAS	BEA Sys Inc									
SAL	01-17-03	329289	-6,050.000	11.666		70,274.07	-66,763.53	3,510.54			Bea Systems Inc
PUR	03-17-03	334302	7,900.000	11.607	92,090.30				0	0.00	Bea Systems Inc
SAL	04-01-03	336476	-200.000	10.066		2,007.00	-2,256.30	-249.30			Bea Systems Inc
SAL	04-22-03	336957	-1,000.000	10.670		10,639.50	-11,281.48	-641.98			Bea Systems Inc
SAL	04-29-03	338702	-2,000.000	11.003		21,945.37	-22,563.97	-617.60			Bea Systems Inc
SAL	05-29-03	341200	-2,900.000	10.784		31,185.42	-32,716.30	-1,530.88			Bea Systems Inc
SAL	06-24-03	343789	-1,550.000	10.459		16,132.57	-17,486.30	-1,353.73			Bea Systems Inc
SAL	06-24-03	343790	-12,300.000	10.459		128,019.75	-138,762.24	-10,742.49			Bea Systems Inc
	TOTAL -		-18,100.000		92,090.30	280,203.68	-291,829.12	-11,625.44	0	0.00	
F-L	BGI	Bunge Limited									
SAL	04-01-03	336435	-100.000	25.240		2,520.88	-2,444.46	76.42			Bunge Limited
SAL	04-22-03	336954	-900.000	26.980		24,253.86	-22,000.13	2,253.73			Bunge Limited
SAL	04-28-03	338691	-1,300.000	28.231		36,660.23	-31,777.97	4,882.26			Bunge Limited
SAL	04-30-03	338749	-1,100.000	28.358		31,137.56	-26,889.05	4,248.51			Bunge Limited
SAL	05-01-03	340390	-5,900.000	27.999		164,889.59	-144,223.08	20,666.51			Bunge Limited
SAL	05-02-03	340391	-600.000	27.997		16,767.41	-14,666.75	2,100.66			Bunge Limited
SAL	05-05-03	340392	-1,250.000	27.569		34,397.51	-30,555.74	3,841.77			Bunge Limited
SAL	05-06-03	340393	-1,550.000	27.493		42,534.34	-37,889.12	4,645.22			Bunge Limited
	TOTAL -		-12,700.000		0.00	353,161.38	-310,446.30	42,715.08	0	0.00	

04-26-04
PC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
F-L	BHI	Baker Hughes Inc									
SAL	03-12-03	334330	-4,050.000	28.426		114,918.93	-117,638.18	-2,719.25			Baker Hughes Inc
PUR	05-21-03	340727	1,750.000	31.849	55,823.60				0	0.00	Baker Hughes Inc
SAL	05-29-03	341199	-300.000	32.410		9,713.54	-9,569.76	143.78			Baker Hughes Inc
SAL	06-25-03	344226	-1,450.000	34.430		49,863.16	-46,253.84	3,609.32			Baker Hughes Inc
	TOTAL -		-4,050.000		55,823.60	174,495.63	-173,461.78	1,033.85	0	0.00	
F-L	BMET	Biomet Inc									
PUR	01-06-03	328254	3,500.000	29.821	104,548.85				0	0.00	Biomet Inc
PUR	03-17-03	334296	5,600.000	33.106	185,675.28				0	0.00	Biomet Inc
SAL	04-01-03	336477	-100.000	30.808		3,077.60	-3,164.98	-87.38			Biomet Inc
SAL	04-22-03	336958	-600.000	30.623		18,355.12	-18,989.86	-634.74			Biomet Inc
SAL	04-29-03	338704	-1,100.000	30.434		33,443.38	-34,814.73	-1,371.35			Biomet Inc
SAL	05-28-03	341186	-2,300.000	28.683		65,853.04	-72,794.44	-6,941.40			Biomet Inc
SAL	05-29-03	341202	-150.000	28.650		4,289.79	-4,747.46	-457.67			Biomet Inc
SAL	06-02-03	342884	-4,400.000	27.882		122,453.29	-139,258.95	-16,805.66			Biomet Inc
SAL	06-03-03	342885	-2,450.000	28.115		68,755.53	-77,541.91	-8,786.38			Biomet Inc
	TOTAL -		-2,000.000		290,224.13	316,227.75	-351,312.33	-35,084.58	0	0.00	
F-L	BR	Burlington Resources Inc									
PUR	05-21-03	340731	1,100.000	50.812	55,948.53				0	0.00	Burlington Resources Inc
SAL	05-29-03	341204	-200.000	51.090		10,211.52	-10,172.46	39.06			Burlington Resources Inc
SAL	06-25-03	344229	-900.000	55.090		49,528.27	-45,776.07	3,752.20			Burlington Resources
	TOTAL -		0.000		55,948.53	59,739.79	-55,948.53	3,791.26	0	0.00	
F-L	BRCD	Brocade Communications Systems									
PUR	01-06-03	328244	20,000.000	5.206	104,710.00				0	0.00	Brocade Communications Systems
SAL	01-08-03	328276	-5,550.000	5.465		30,107.83	-78,164.02	-48,056.19			Brocade Communications Systems
SAL	01-08-03	328276	-4,450.000	5.465		24,140.52	-62,672.06	-38,531.54			Brocade Communications Systems
SAL	04-01-03	336478	-300.000	4.954		1,477.22	-4,225.08	-2,747.86			Brocade Communications Systems
SAL	04-22-03	336960	-1,500.000	5.830		8,699.59	-21,125.41	-12,425.82			Brocade Communications Systems
SAL	04-29-03	338706	-2,500.000	5.806		14,440.82	-35,209.02	-20,768.20			Brocade Communications Systems
SAL	05-22-03	340746	-8,800.000	5.208		45,475.37	-123,935.77	-78,460.40			Brocade Communications Systems
SAL	05-22-03	340747	-7,000.000	5.174		35,937.00	-98,585.27	-62,648.27			Brocade Communications Systems

Market Street Trust Company

PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04

FC3.3

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	05-22-03	340748	-5,400.000	5.126		27,543.56	-76,051.49	-48,507.93			Brocade Communications Systems
	TOTAL -		-15,500.000		104,710.00	187,821.91	-499,968.12	-312,146.21	0	0.00	
F-L	BTU	Peabody Energy Corp									
SAL	04-01-03	336496	-100.000	27.970		2,793.86	-2,860.00	-66.14			Peabody Energy Corp
SAL	04-22-03	336970	-700.000	27.780		19,424.08	-20,020.00	-595.92			Peabody Energy Corp
SAL	04-29-03	338731	-1,100.000	27.951		30,712.54	-31,460.00	-747.46			Peabody Energy Corp
SAL	05-29-03	341234	-1,600.000	31.650		50,590.59	-45,760.00	4,830.59			Peabody Energy Corp
SAL	06-25-03	344292	-7,700.000	33.350		256,478.06	-220,220.00	36,258.06			Peabody Energy Corp
	TOTAL -		-11,200.000		0.00	359,999.13	-320,320.00	39,679.13	0	0.00	
F-L	BVF	Biovail Corp									
SAL	04-22-03	336959	-200.000	42.830		8,559.59	-6,293.86	2,265.73			Biovail Corp
SAL	04-29-03	338705	-300.000	38.870		11,651.45	-9,440.79	2,210.66			Biovail Corp
SAL	05-29-03	341203	-1,700.000	46.648		79,213.05	-53,497.81	25,715.24			Biovail Corp
SAL	06-25-03	344227	-900.000	46.300		41,617.64	-28,322.37	13,295.27			Biovail Corp
	TOTAL -		-3,100.000		0.00	141,041.73	-97,554.83	43,486.90	0	0.00	
F-L	CAI	CACI International Inc Cl A									
SAL	04-01-03	336479	-100.000	33.200		3,316.84	-3,879.29	-562.45			CACI International Inc Cl A
SAL	04-22-03	336961	-300.000	35.040		10,502.50	-11,637.87	-1,135.37			CACI International Cl A
SAL	04-29-03	338707	-700.000	34.515		24,138.85	-27,155.03	-3,016.18			CACI International Inc
SAL	05-22-03	340749	-1,900.000	30.633		58,104.78	-73,706.51	-15,601.73			CACI International Inc Cl A
SAL	05-23-03	340755	-3,800.000	30.795		116,824.38	-147,413.02	-30,588.64			CACI International Inc Cl A
	TOTAL -		-6,800.000		0.00	212,887.35	-263,791.72	-50,904.37	0	0.00	
F-L	CBSS	Compass Bancshares Inc									
PUR	05-01-03	340374	2,500.000	33.671	84,301.50				0	0.00	Compass Bancshares Inc
PUR	05-07-03	340375	1,000.000	34.381	34,431.20				0	0.00	Compass Bancshares Inc
PUR	05-12-03	340376	2,500.000	34.803	87,131.50				0	0.00	Compass Bancshares Inc
PUR	05-19-03	340717	2,600.000	34.379	89,516.44				0	0.00	Compass Bancshares
SAL	05-29-03	341209	-1,500.000	34.910		52,318.59	-51,519.88	798.71			Compass Bancshares Inc
PUR	06-03-03	342868	2,400.000	36.240	87,096.00				0	0.00	Compass Bancshares Inc

2137

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	06-25-03	344231	-9,500.000	35.110		333,531.29	-330,956.76	2,574.53			Compass Bancshares
	TOTAL -		0.000		382,476.64	385,849.88	-382,476.64	3,373.24	0	0.00	
F-L	CCE	Coca Cola Enterprises Inc									
SAL	03-05-03	334325	-10,950.000	18.110		197,834.24	-232,416.08	-34,581.84			Coca Cola Enterprises
SAL	03-06-03	334326	-4,450.000	18.026		80,058.87	-94,452.20	-14,393.33			Coca Cola Enterprises
SAL	03-06-03	334327	-3,100.000	18.038		55,759.87	-65,798.16	-10,038.29			Coca Cola Enterprises
	TOTAL -		-18,500.000		0.00	333,652.98	-392,666.44	-59,013.46	0	0.00	
F-L	CD	Cendant Corp									
PUR	05-02-03	340380	3,000.000	14.812	56,475.98				0	0.00	Cendant Corp
SAL	05-29-03	341207	-600.000	16.546		9,909.55	-8,917.26	992.29			Cendant Corp
SAL	06-25-03	344233	-3,200.000	18.050		57,629.29	-47,558.72	10,070.57			Cendant Corp
	TOTAL -		0.000		56,475.98	67,538.84	-56,475.98	11,062.86	0	0.00	
F-L	CF	Charter One Financial Inc									
SAL	01-31-03	329408	-2,400.000	28.242		67,660.91	-74,957.90	-7,296.99			Charter One Financial Inc
SAL	02-01-03	332719	-3,500.000	28.299		98,864.66	-109,313.62	-10,448.96			Charter One Financial Inc
SAL	02-21-03	331886	-3,220.000	28.729		92,341.98	-100,568.53	-8,226.55			Charter One Financial Inc
	TOTAL -		-9,120.000		0.00	258,867.55	-284,840.05	-25,972.50	0	0.00	
F-L	CHS	Chicos FAS Inc									
PUR	05-30-03	341180	650.000	21.130	13,767.00				0	0.00	Chicos FAS Inc
PUR	06-03-03	342866	3,400.000	21.425	73,013.64				0	0.00	Chicos Fas
SAL	06-25-03	344236	-4,050.000	20.107		81,267.53	-86,780.64	-5,513.11			Chicos FAS
	TOTAL -		0.000		86,780.64	81,267.53	-86,780.64	-5,513.11	0	0.00	
F-L	CMA	Comerica Inc									
PUR	05-01-03	340372	1,900.000	43.578	82,893.39				0	0.00	Comerica Inc
PUR	05-07-03	340373	850.000	43.910	37,366.09				0	0.00	Comerica Inc
SAL	05-29-03	341208	-500.000	45.842		23,904.92	-21,865.36	1,039.56			Comerica Inc
SAL	06-25-03	344237	-2,250.000	47.600		107,004.98	-98,394.12	8,610.86			Comerica Inc

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		120,259.48	129,909.90	-120,259.48	9,650.42	0	0.00	
F-L	COF	Capital One Financial Corp									
PUR	05-01-03	340370	2,000.000	41.329	82,758.80				0	0.00	Capital One Financial Corp
PUR	05-07-03	340371	800.000	46.340	37,111.76				0	0.00	Capital One Financial Corp
SAL	05-29-03	341206	-500.000	48.684		24,325.86	-21,405.46	2,920.40			Capital One Financial Corp
SAL	06-25-03	344232	-2,300.000	49.980		114,856.62	-98,465.10	16,391.52			Capital Ine Financial
	TOTAL -		0.000		119,870.56	139,182.48	-119,870.56	19,311.92	0	0.00	
F-L	COH	Coach Inc									
PUR	06-03-03	342867	1,500.000	50.016	75,098.25				0	0.00	Coach Inc
SAL	06-25-03	344235	-1,500.000	50.380		75,506.46	-75,098.25	408.21			Coach Inc
	TOTAL -		0.000		75,098.25	75,506.46	-75,098.25	408.21	0	0.00	
F-L	CSX	CSX Corp									
PUR	05-05-03	343383	2,800.000	31.959	89,624.92				0	0.00	CSX Corp
SAL	05-29-03	341205	-500.000	31.678		15,823.25	-16,004.45	-181.20			CSX Corp
SAL	06-25-03	344230	-2,300.000	29.710		68,237.80	-73,620.47	-5,382.67			CSX Corp
	TOTAL -		0.000		89,624.92	84,061.05	-89,624.92	-5,563.87	0	0.00	
F-L	CYH	Community Health Systems Inc									
PUR	05-28-03	341172	4,350.000	20.273	88,405.05				0	0.00	Community Health Systems
PUR	05-29-03	341178	1,450.000	20.505	29,805.77				0	0.00	Community Health Systems Inc
SAL	06-25-03	344234	-5,800.000	19.872		115,022.52	-118,210.82	-3,188.30			Community Health Systems
	TOTAL -		0.000		118,210.82	115,022.52	-118,210.82	-3,188.30	0	0.00	
F-L	DE	Deere & Co.									
SAL	01-14-03	328277	-2,200.000	43.332		95,216.87	-107,139.78	-11,922.91			Deere & Co
	TOTAL -		-2,200.000		0.00	95,216.87	-107,139.78	-11,922.91	0	0.00	
F-L	DG	Dollar General Corp									
PUR	01-06-03	328246	8,400.000	12.845	108,321.36				0	0.00	Dollar General Corp

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	02-21-03	331887	-17,500.000	10.282		179,054.58	-258,706.18	-79,651.60			Dollar General Corp
	TOTAL -		-9,100.000		108,321.36	179,054.58	-258,706.18	-79,651.60	0	0.00	
P-L	DHR	Danaher Corp									
PUR	01-31-03	329601	2,300.000	60.000	138,115.00				0	0.00	Danaher Corp
SAL	04-01-03	336480	-100.000	66.570		6,653.68	-6,243.28	410.40			Danaher Corp
SAL	04-29-03	338708	-600.000	68.598		41,139.05	-37,459.70	3,679.35			Danaher Corp
SAL	05-29-03	341210	-800.000	65.627		52,475.54	-49,946.27	2,529.27			Danaher Corp
SAL	06-25-03	344238	-1,850.000	69.250		128,032.50	-115,500.75	12,531.75			Danaher Corp Del
SAL	06-25-03	344266	-2,300.000	69.006		158,614.60	-143,595.51	15,019.09			Danaher Corp
	TOTAL -		-3,350.000		138,115.00	386,915.37	-352,745.51	34,169.86	0	0.00	
P-L	DOV	Dover Corp									
PUR	06-06-03	342879	1,800.000	33.982	61,256.70				0	0.00	Dover Corp
SAL	06-25-03	344268	-1,800.000	30.746		55,267.48	-61,256.70	-5,989.22			Dover Corp
	TOTAL -		0.000		61,256.70	55,267.48	-61,256.70	-5,989.22	0	0.00	
P-L	DVN	Devon Energy Corp									
PUR	01-06-03	328245	2,100.000	48.386	101,715.39				0	0.00	Devon Energy Corp
SAL	04-25-03	337119	-900.000	48.371		43,487.22	-43,144.23	342.99			Devon Energy Corp
SAL	04-25-03	337120	-3,146.000	48.160		151,511.05	-150,813.06	697.99			Devon Energy Corp
SAL	04-29-03	338709	-500.000	48.158		24,062.87	-23,969.02	93.85			Devon Energy Corp
SAL	05-05-03	340404	-3,970.000	46.588		184,745.61	-190,314.00	-5,568.39			Devon Energy Corp
PUR	05-21-03	340724	500.000	50.617	25,333.65				0	0.00	Devon Energy Corp
SAL	05-29-03	341211	-100.000	50.800		5,076.76	-5,066.18	10.58			Devon Energy Corp
SAL	06-25-03	344267	-401.000	55.130		22,055.96	-20,315.41	1,740.55			Devon Energy Corp
	TOTAL -		-6,417.000		127,049.04	430,939.47	-433,621.90	-2,682.43	0	0.00	
P-L	EBAY	Ebay Inc									
SAL	03-12-03	334229	-1,100.000	77.923		85,657.38	-66,710.06	18,947.32			EBAY Inc
SAL	04-01-03	336481	-100.000	86.186		8,615.14	-6,064.55	2,550.59			Ebay Inc
SAL	04-09-03	336514	-1,350.000	87.534		118,097.32	-81,871.43	36,225.89			Ebay Inc
SAL	04-29-03	338710	-1,100.000	93.131		102,407.18	-66,710.06	35,697.12			EBAY Inc

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT TDN DATE	DESCRIPTION TDN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	05-07-03	340405	-900.000	94.548		85,044.21	-54,580.96	30,463.25			EBAY Inc
SAL	05-13-03	340406	-950.000	96.400		91,528.40	-57,613.23	33,915.17			EBAY Inc
SAL	05-29-03	341212	-1,200.000	100.787		120,903.33	-72,774.61	48,128.72			Ebay Inc
SAL	06-05-03	342890	-2,900.000	101.020		292,800.15	-175,871.96	116,928.19			EBAY Inc
SAL	06-25-03	344239	-2,900.000	101.992		295,761.50	-175,871.96	119,889.54			Ebay Inc
	TOTAL -		-12,500.000		0.00	1,200,814.61	-758,068.82	442,745.79	0	0.00	
F-L	EDS	Electronic Data Systems									
PUR	06-06-03	342880	2,800.000	22.076	61,953.36				0	0.00	Electronic Data Systems Corp
SAL	06-25-03	344271	-2,800.000	21.591		60,339.13	-61,953.36	-1,614.23			Electronic Data Systems
	TOTAL -		0.000		61,953.36	60,339.13	-61,953.36	-1,614.23	0	0.00	
F-L	ELX	Emulex Corp									
SAL	01-17-03	329293	-7,900.000	20.500		161,550.12	-154,297.93	7,252.19			Emulex Corp
	TOTAL -		-7,900.000		0.00	161,550.12	-154,297.93	7,252.19	0	0.00	
F-L	EMLX	Emulex Corp									
PUR	05-28-03	341176	3,200.000	23.523	75,435.20				0	0.00	Emulex Corp
PUR	05-30-03	341181	3,100.000	24.812	77,074.37				0	0.00	Emulex Corp
PUR	06-24-03	343781	5,600.000	22.795	127,931.44				0	0.00	Emulex Corp
SAL	06-25-03	344269	-5,900.000	23.160		136,398.65	-139,042.18	-2,643.53			Emulex Corp
SAL	06-25-03	344270	-6,000.000	23.130		138,533.50	-141,398.83	-2,865.33			Emulex Corp
	TOTAL -		0.000		280,441.01	274,932.15	-280,441.01	-5,508.86	0	0.00	
F-L	EMMS	Emmis Communications Corp Cl A									
SAL	02-03-03	331256	-2,900.000	21.751		62,930.71	-60,342.69	2,588.02			Emmis Communications Corp Cl A
SAL	04-01-03	336482	-300.000	16.927		5,068.77	-6,242.35	-1,173.58			Emmis Communications Corp Cl A
SAL	04-29-03	338711	-2,800.000	19.490		53,252.38	-58,261.90	-5,009.52			Emmis Communications Corp
SAL	05-29-03	341213	-3,900.000	20.505		79,850.31	-81,150.52	-1,300.21			Emmis Communications Cl A
SAL	06-26-03	344344	-19,200.000	22.520		431,595.76	-399,510.19	32,085.57			Emmis Communications Corp Cl A
	TOTAL -		-29,100.000		0.00	632,697.93	-605,507.65	27,190.28	0	0.00	

04-26-04

FC3.3

Market Street Trust Company

PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
F-L	ERTS	Electronic Arts									
SAL	01-06-03	328270	-1,100.000	52.872		58,102.55	-70,040.41	-11,937.86			Electronic Arts
SAL	01-08-03	328271	-100.000	49.376		4,932.43	-6,367.31	-1,434.88			Electronic Arts
SAL	01-09-03	328272	-350.000	50.669		17,716.01	-22,285.59	-4,569.58			Electronic Arts
	TOTAL -		-1,550.000		0.00	80,750.99	-98,693.31	-17,942.32	0	0.00	
F-L	FDRY	Foundry Networks Inc									
PUR	04-17-03	336938	9,350.000	9.530	89,479.50				0	0.00	Foundry Networks Inc
SAL	04-29-03	338712	-1,000.000	10.178		10,147.52	-9,570.00	577.52			Foundry Networks Inc
SAL	05-29-03	341214	-1,400.000	14.742		20,595.97	-13,398.00	7,197.97			Foundry Networks Inc
SAL	06-25-03	344244	-6,950.000	14.860		103,272.16	-66,511.50	36,760.66			Foundry Networks
	TOTAL -		0.000		89,479.50	134,015.65	-89,479.50	44,536.15	0	0.00	
F-L	FLEX	Flextronics International									
SAL	04-01-03	336437	-100.000	8.759		872.80	-993.45	-120.65			Flextronics International
SAL	04-22-03	336955	-5,700.000	9.085		51,554.07	-56,626.47	-5,072.40			Flextronics International
	TOTAL -		-5,800.000		0.00	52,426.87	-57,619.92	-5,193.05	0	0.00	
F-L	GDW	Golden West Financial Corp									
SAL	04-29-03	338717	-200.000	76.145		15,222.28	-13,662.77	1,559.51			Golden West Financial Corp
SAL	05-29-03	341220	-200.000	76.745		15,342.28	-13,662.77	1,679.51			Golden West Financial Corp
SAL	06-25-03	344262	-1,130.000	81.722		92,289.89	-77,194.67	15,095.22			Golden West Financial
	TOTAL -		-1,530.000		0.00	122,854.45	-104,520.21	18,334.24	0	0.00	
F-L	GENZ	Genzyme Corp									
PUR	01-06-03	328247	2,200.000	29.301	64,571.98				0	0.00	Genzyme Corp Genl
PUR	01-07-03	328248	2,000.000	30.107	60,314.20				0	0.00	Genzyme Corp Genl
SAL	04-22-03	336963	-200.000	35.790		7,151.66	-5,946.96	1,204.70			Genzyme Corp Genl division
SAL	04-29-03	338714	-400.000	40.325		16,117.24	-11,893.92	4,223.32			Genzyme Corp Genl Division
SAL	05-29-03	341217	-600.000	46.930		28,138.68	-17,840.88	10,297.80			Genzyme Corp
SAL	06-25-03	344260	-3,000.000	44.164		132,486.39	-89,204.42	43,281.97			Genzyme Corp
	TOTAL -		0.000		124,886.18	183,893.97	-124,886.18	59,007.79	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
F-L	GILD	Gilead Sciences Incorporated									
PUR	01-06-03	328249	1,100.000	36.520	40,226.56				0	0.00	Gilead Sciences Inc
PUR	01-31-03	329602	5,800.000	35.501	206,195.80				0	0.00	Gilead Sciences Inc
PUR	03-07-03	334293	600.000	36.660	22,025.94				0	0.00	Gilead Sciences Inc
SAL	04-01-03	336484	-300.000	42.179		12,644.01	-10,928.27	1,715.74			Gilead Sciences Inc
SAL	04-29-03	338715	-2,400.000	46.191		110,781.21	-87,426.16	23,355.05			Gilead Sciences Inc
SAL	05-29-03	341218	-3,400.000	50.751		172,466.04	-123,853.73	48,592.31			Gilead Sciences
SAL	06-25-03	344261	-16,750.000	54.599		914,495.47	-610,161.75	304,333.72			Gilead Sciences
	TOTAL -		-15,350.000		268,448.30	1,210,346.73	-832,369.91	377,996.82	0	0.00	
F-L	GMH	General Motors Class H									
SAL	04-01-03	336483	-400.000	11.295		4,505.78	-12,203.01	-7,697.23			General Motors Corp Cl H
SAL	04-22-03	336962	-1,900.000	11.300		21,411.99	-57,964.30	-36,552.31			General Motors Corp Cl H
SAL	04-29-03	338713	-3,500.000	11.355		39,637.03	-106,776.35	-67,139.32			General Motors Corp Cl H
SAL	05-29-03	341216	-4,900.000	12.389		60,540.17	-149,466.88	-88,926.71			General Motors Corp Cl H
SAL	06-25-03	344246	-23,900.000	13.000		309,729.45	-729,129.89	-419,400.44			General Motors Cl H
	TOTAL -		-34,600.000		0.00	435,844.42	-1,055,560.43	-619,716.01	0	0.00	
F-L	GPS	GAP Inc									
PUR	05-08-03	340387	5,200.000	17.850	93,080.00				0	0.00	Gap Inc
PUR	05-15-03	340388	5,500.000	17.160	94,655.00				0	0.00	Gap Inc
SAL	05-29-03	341215	-1,800.000	16.977		30,504.60	-31,581.59	-1,076.99			Gap Inc
SAL	06-25-03	344245	-8,900.000	18.747		166,485.38	-156,153.41	10,331.97			Gap Inc
	TOTAL -		0.000		187,735.00	196,989.98	-187,735.00	9,254.98	0	0.00	
F-L	GSPN	Globespan Virata Inc									
PUR	01-30-03	329599	18,800.000	4.907	92,828.76				0	0.00	Globespan Virata Inc.
PUR	01-31-03	329600	6,300.000	4.515	28,639.17				0	0.00	Globespan Virata Inc.
SAL	04-01-03	336485	-600.000	4.538		2,699.87	-6,270.32	-3,570.45			Globespan Virata Inc
PUR	04-22-03	336945	1,000.000	6.027	6,066.80				0	0.00	Globespan Virata
SAL	04-22-03	336964	-3,100.000	5.600		17,266.18	-32,135.20	-14,869.02			Globespan Virata Inc
SAL	04-29-03	338716	-5,200.000	5.905		30,551.16	-53,904.20	-23,353.04			Globespan Virata Inc
SAL	05-29-03	341219	-7,400.000	7.453		54,928.35	-76,709.83	-21,781.48			Globespan Virata Inc

04-26-04

PC3.3

Market Street Trust Company

PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	06-25-03	344247	-3,880.000	7.939		30,800.33	-40,220.83	-9,420.50			Globespan Virata Inc
SAL	06-25-03	344247	-32,400.000	7.939		257,198.60	-335,864.65	-78,666.05			Globespan Virata Inc
	TOTAL -		-26,480.000		127,534.73	193,444.49	-545,105.03	-151,660.54	0	0.00	
F-L	HAL	Halliburton Company									
SAL	04-01-03	336486	-100.000	20.795		2,076.40	-1,976.66	99.74			Halliburton Co
SAL	04-22-03	336965	-500.000	21.040		10,504.50	-9,883.30	621.20			Halliburton Co
SAL	04-29-03	338718	-1,000.000	21.254		21,223.00	-19,766.61	1,456.39			Halliburton Co
SAL	05-29-03	341221	-1,300.000	23.423		30,410.51	-25,696.58	4,713.93			Halliburton Co
SAL	05-30-03	341259	-2,100.000	23.479		49,199.22	-41,509.87	7,689.35			Halliburton Co
SAL	06-25-03	344263	-4,500.000	23.610		106,060.02	-88,949.73	17,110.29			Halliburton Company
	TOTAL -		-9,500.000		0.00	219,473.65	-187,782.75	31,690.90	0	0.00	
F-L	HAR	Harman International Industry									
PUR	01-31-03	329603	3,500.000	60.646	212,437.40				0	0.00	Harman International Industry
PUR	02-21-03	331878	1,850.000	60.236	111,529.10				0	0.00	Harman International Industry
SAL	03-21-03	334728	-2,250.000	60.213		135,362.00	-133,581.37	1,780.63			Harman Int' Industry Inc
SAL	04-01-03	336487	-100.000	58.140		5,810 72	-5,936.95	-126.23			Harman International Industry
SAL	04-29-03	338719	-1,100.000	65.236		71,723.68	-65,306.44	6,417.24			Harman International Industry
SAL	05-21-03	340743	-3,300.000	69.031		227,625.97	-195,919.33	31,706.64			Harman International Industry
SAL	05-29-03	341222	-1,100.000	71.511		78,626.29	-65,306.44	13,319.85			Harman Int'l Industry
SAL	06-25-03	344249	-2,800.000	76.000		212,678.04	-166,234.59	46,443.45			Harman International
SAL	06-25-03	344264	-200.000	76.590		15,309.28	-11,873.90	3,435.38			Harman Int'l
SAL	06-25-03	344265	-2,100.000	76.216		159,961.47	-124,675.94	35,285.53			Harman Int'l
	TOTAL -		-7,600.000		323,966.50	907,097.45	-768,834.96	138,262.49	0	0.00	
F-L	HE	Hawaiian Electric Industries									
SAL	04-01-03	336488	-100.000	40.800		4,076.80	-4,642.11	-565.31			Hawaii Electric Industries
SAL	04-29-03	338720	-500.000	41.248		20,608.03	-23,210.54	-2,602.51			Hawaiian Electric Industries
SAL	05-29-03	341223	-800.000	45.807		36,620.28	-37,136.87	-516.59			Hawaiian Electric Industries
SAL	06-25-03	344250	-3,700.000	46.251		170,971.95	-171,757.99	-786.04			Hawaiian Electric
	TOTAL -		-5,100.000		0.00	232,277.06	-236,747.51	-4,470.45	0	0.00	

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
F-L	HOT	Starwood Hotels & Resorts									
PUR	06-06-03	342883	2,000.000	30.434	60,967.60				0	0.00	Starwood Hotels & Resorts
SAL	06-25-03	344297	-2,000.000	28.606		57,129.32	-60,967.60	-3,838.28			Starwood Hotels & Resorts
	TOTAL -		0.000		60,967.60	57,129.32	-60,967.60	-3,838.28	0	0.00	
F-L	HRC	Healthsouth Corp									
PUR	01-06-03	328250	7,900.000	4.735	37,799.13				0	0.00	Healthsouth Corp
PUR	03-17-03	334297	13,700.000	3.853	53,201.21				0	0.00	Healthsouth Corp
SAL	03-25-03	335209	-54,400.000	0.108		5,380.01	-396,572.16	-391,192.15			HealthSouth Corp
SAL	03-26-03	334738	-68,800.000	0.115		7,189.40	-501,547.12	-494,357.72			Healthsouth Corp
	TOTAL -		-101,600.000		91,000.34	12,569.41	-898,119.28	-885,549.87	0	0.00	
F-L	HSP	Hispanic Broadcasting Corp									
SAL	02-03-03	331258	-4,200.000	21.687		90,870.97	-101,358.52	-10,487.55			Hispanic Broadcasting Corp
SAL	04-01-03	336489	-200.000	20.750		4,143.80	-4,826.60	-682.80			Hispanic Broadcasting Corp
PUR	04-22-03	336916	1,300.000	24.190	31,512.00				0	0.00	Hispanic Broadcasting Corp
SAL	04-29-03	338721	-1,900.000	25.223		47,864.83	-45,867.69	1,997.14			Hispanic Broadcasting Corp
SAL	05-21-03	340744	-500.000	22.930		11,439.61	-12,070.44	-630.83			Hispanic Broadcasting Corp
SAL	05-21-03	340744	-3,250.000	22.930		74,357.49	-78,457.88	-4,100.39			Hispanic Broadcasting Corp
SAL	05-21-03	340745	-7,220.000	22.882		164,836.41	-174,297.22	-9,460.81			Hispanic Broadcasting Corp
SAL	05-22-03	340752	-4,730.000	23.193		109,462.20	-114,186.39	-4,724.19			Hispanic Broadcasting Corp
	TOTAL -		-20,700.000		31,512.00	502,975.31	-531,064.74	-28,089.43	0	0.00	
F-L	IACT	Interactivecorp									
SAL	06-26-03	344255	-2,200.000	36.082		79,376.24	-75,206.05	4,170.19			Interactive Corp
	TOTAL -		-2,200.000		0.00	79,376.24	-75,206.05	4,170.19	0	0.00	
F-L	IGL	IMC Global Inc									
SAL	04-22-03	336968	-300.000	9.390		2,807.86	-3,962.88	-1,155.02			IMC Global Inc
SAL	04-29-03	338722	-400.000	9.112		3,632.82	-5,283.84	-1,651.02			IMC Global Inc
SAL	05-29-03	341224	-500.000	9.250		4,609.78	-6,604.80	-1,995.02			IMC Global Inc
SAL	06-25-03	344251	-2,600.000	6.460		16,691.21	-34,344.96	-17,653.75			IMC Globel

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-3,800.000		0.00	27,741.67	-50,196.48	-22,454.81	0	0.00	
F-L	IMS	IMS Health Inc									
PUR	06-06-03	342881	3,400.000	18.401	62,734.76				0	0.00	IMS Health Inc
SAL	06-25-03	344252	-3,400.000	17.970		60,959.14	-62,734.76	-1,775.62			IMS Health
	TOTAL -		0.000		62,734.76	60,959.14	-62,734.76	-1,775.62	0	0.00	
F-L	JBL	Jabil Circuit Inc									
SAL	04-01-03	336430	-100.000	17.630		1,759.91	-1,924.39	-164.48			Jabil Circuit Inc
SAL	04-22-03	336967	-500.000	19.510		9,739.54	-9,621.93	117.61			Jabil Circuit Inc
SAL	04-29-03	338723	-800.000	19.072		15,233.28	-15,395.09	-161.01			Jabil Circuit Inc
SAL	05-29-03	341225	-1,100.000	20.824		22,872.87	-21,168.25	1,704.62			Jabil Circuit Inc
SAL	06-25-03	344321	-5,150.000	22.851		117,472.17	-99,105.88	18,366.29			Jabil Circuit
	TOTAL -		-7,650.000		0.00	167,077.77	-147,215.54	19,862.23	0	0.00	
F-L	JBLU	JetBlue Airways Corp									
PUR	05-05-03	340384	1,600.000	32.598	52,237.44				0	0.00	JetBlue Airways Corp
SAL	05-29-03	341226	-300.000	34.000		10,190.52	-9,794.52	396.00			JetBlue Airways Corp
SAL	06-25-03	344256	-1,300.000	40.580		52,751.53	-42,442.92	10,308.61			JetBlue Airways
	TOTAL -		0.000		52,237.44	62,942.05	-52,237.44	10,704.61	0	0.00	
F-L	JPMSWEEP	J P Morgan Money Market Sweep									
SAL	01-02-03	328228	-38,937.450	1.000		38,937.45	-38,937.45	0.00			JPM Prime MM Sweep Fund
SAL	01-03-03	328229	-36,697.320	1.000		36,697.32	-36,697.32	0.00			JPM Prime MM Sweep Fund
SAL	01-06-03	328230	-1,067,136.560	1.000		1,067,136.56	-1,067,136.56	0.00			JPM Prime MM Sweep Fund
PUR	01-07-03	328221	14,391.760	1.000	14,391.76				0	0.00	JPM Prime MM Sweep Fund
PUR	01-08-03	328222	9,995.690	1.000	9,995.69				0	0.00	JPM Prime MM Sweep Fund
SAL	01-09-03	328231	-497,880.750	1.000		497,880.75	-497,880.75	0.00			JPM Prime MM Sweep Fund
PUR	01-10-03	328223	17,200.150	1.000	17,200.15				0	0.00	JPM Prime MM Sweep Fund
PUR	01-13-03	328224	90,944.230	1.000	90,944.23				0	0.00	JPM Prime MM Sweep Fund
PUR	01-14-03	328225	17,716.010	1.000	17,716.01				0	0.00	JPM Prime MM Sweep Fund
PUR	01-15-03	328226	86,261.890	1.000	86,261.89				0	0.00	JPM Prime MM Sweep Fund
SAL	01-16-03	328232	-6,490.000	1.000		6,490.00	-6,490.00	0.00			JPM Prime MM Sweep Fund
PUR	01-17-03	328227	95,216.870	1.000	95,216.87				0	0.00	JPM Prime MM Sweep Fund

Market Street Trust Company

PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04

FC3.3

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
											JPM Prime MM Sweep Fund
SAL	01-21-03	329285	-8,580.000	1.000		8,580.00	-8,580.00	0.00			
									0	0.00	JPM Prime MM Sweep Fund
PUR	01-22-03	329281	32,887.630	1.000	32,887.63						
									0	0.00	JPM Prime MM Sweep Fund
PUR	01-23-03	329283	231,824.190	1.000	231,824.19						
											JPM Prime MM Sweep Fund
SAL	01-29-03	329286	-6,960.620	1.000		6,960.62	-6,960.62	0.00			
									0	0.00	JPM Prime MM Sweep Fund
PUR	02-03-03	331245	6,000.000	1.000	6,000.00						
											JPM Prime MM Sweep Fund
SAL	02-04-03	331253	-25,903.990	1.000		25,903.99	-25,903.99	0.00			
											JPM Prime MM Sweep Fund
SAL	02-05-03	331254	-125,014.900	1.000		125,014.90	-125,014.90	0.00			
									0	0.00	JPM Prime MM Sweep Fund
PUR	02-06-03	331247	174,455.850	1.000	174,455.85						
									0	0.00	JPM Prime MM Sweep Fund
PUR	02-07-03	331248	8,580.000	1.000	8,580.00						
									0	0.00	JPM Prime MM Sweep Fund
PUR	02-11-03	331249	76,940.070	1.000	76,940.07						
									0	0.00	JPM Prime MM Sweep Fund
PUR	02-19-03	331251	98,864.660	1.000	98,864.66						
									0	0.00	JPM Prime MM Sweep Fund
PUR	02-25-03	331875	21,411.990	1.000	21,411.99						
											JPM Prime MM Sweep Fund
SAL	02-26-03	331885	-142,153.160	1.000		142,153.16	-142,153.16	0.00			
											JPM Prime MM Sweep Fund
SAL	02-27-03	331888	-27,808.670	1.000		27,808.67	-27,808.67	0.00			
											JPM Prime MM Sweep Fund
SAL	02-28-03	331889	-24,444.370	1.000		24,444.37	-24,444.37	0.00			
											JPM Prime MM Sweep Fund
SAL	03-03-03	334320	-412,310.080	1.000		412,310.08	-412,310.08	0.00			
											JPM Prime MM Sweep Fund
SAL	03-04-03	334321	-28,653.000	1.000		28,653.00	-28,653.00	0.00			
											JPM Prime MM Sweep Fund
SAL	03-05-03	334322	-44,829.220	1.000		44,829.22	-44,829.22	0.00			
									0	0.00	JPM Prime MM Sweep Fund
PUR	03-10-03	334288	197,834.240	1.000	197,834.24						
									0	0.00	JPM Prime MM Sweep Fund
PUR	03-11-03	334289	135,818.740	1.000	135,818.74						
											JPM Prime MM Sweep Fund
SAL	03-12-03	334323	-202,984.410	1.000		202,984.41	-202,984.41	0.00			
									0	0.00	JPM Prime MM Sweep Fund
PUR	03-17-03	334290	193,169.120	1.000	193,169.12						
									0	0.00	JPM Prime MM Sweep Fund
PUR	03-19-03	334291	115,612.070	1.000	115,612.07						
											JPM Prime MM Sweep Fund
SAL	03-20-03	334324	-783,692.950	1.000		783,692.95	-783,692.95	0.00			
									0	0.00	JPM Prime MM Sweep Fund
PUR	03-21-03	334293	186,925.500	1.000	186,925.50						
									0	0.00	JPM Prime MM Sweep Fund
PUR	03-25-03	334724	20,435.580	1.000	20,435.58						
									0	0.00	JPM Prime MM Sweep Fund
PUR	03-26-03	334725	135,362.000	1.000	135,362.00						
									0	0.00	JPM Prime MM Sweep Fund
PUR	03-31-03	334726	7,189.400	1.000	7,189.40						
									0	0.00	JPM Prime MM Sweep Fund
PUR	04-01-03	336422	13,421.600	1.000	13,421.60						
									0	0.00	JPM Prime MM Sweep Fund
PUR	04-02-03	336423	104,363.250	1.000	104,363.25						
									0	0.00	JPM Prime MM Sweep Fund
PUR	04-03-03	336424	143,380.170	1.000	143,380.17						
											JPM Prime MM Sweep Fund
SAL	04-04-03	336432	-597,687.140	1.000		597,687.14	-597,687.14	0.00			
									0	0.00	JPM Prime MM Sweep Fund
PUR	04-09-03	336425	145,048.190	1.000	145,048.19						
									0	0.00	JPM Prime MM Sweep Fund
PUR	04-11-03	336428	30.150	1.000	30.15						
									0	0.00	JPM Prime MM Sweep Fund
PUR	04-14-03	336429	638,436.760	1.000	638,436.76						
									0	0.00	JPM Prime MM Sweep Fund
PUR	04-22-03	336930	33,715.160	1.000	33,715.16						
											JPM Prime MM Sweep Fund
SAL	04-23-03	336932	-733,356.040	1.000		733,356.04	-733,356.04	0.00			

Market Street Trust Company

04-26-04 PURCHASE / SALE DETAIL HISTORY REPORT PAGE

PC3.3 BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	04-25-03	336933	-118,689.570	1.000		118,689.57	-118,689.57	0.00			JPM Prime MM Sweep Fund
PUR	04-28-03	337096	206,907.790	1.000	206,907.79				0	0.00	JPM Prime MM Sweep Fund
SAL	04-29-03	337106	-65,079.820	1.000		65,079.82	-65,079.82	0.00			JPM Prime MM Sweep Fund
PUR	04-30-03	337097	154,659.510	1.000	154,659.51				0	0.00	JPM Prime MM Sweep Fund
PUR	05-01-03	340354	217,326.960	1.000	217,326.96				0	0.00	JPM Prime MM Sweep Fund
PUR	05-02-03	340355	1,961,597.570	1.000	1,961,597.57				0	0.00	JPM Prime MM Sweep Fund
SAL	05-05-03	340364	-2,064,013.140	1.000		2,064,013.14	-2,064,013.14	0.00			JPM Prime MM Sweep Fund
SAL	05-06-03	340365	-170,144.340	1.000		170,144.34	-170,144.34	0.00			JPM Prime MM Sweep Fund
SAL	05-07-03	340366	-141,517.700	1.000		141,517.70	-141,517.70	0.00			JPM Prime MM Sweep Fund
PUR	05-08-03	340356	97,092.310	1.000	97,092.31				0	0.00	JPM Prime MM Sweep Fund
PUR	05-09-03	340357	42,534.340	1.000	42,534.34				0	0.00	JPM Prime MM Sweep Fund
PUR	05-12-03	340358	32,513.670	1.000	32,513.67				0	0.00	JPM Prime MM Sweep Fund
PUR	05-13-03	340359	19,103.750	1.000	19,103.75				0	0.00	JPM Prime MM Sweep Fund
PUR	05-14-03	340360	81,463.100	1.000	81,463.10				0	0.00	JPM Prime MM Sweep Fund
SAL	05-15-03	340367	-154,294.040	1.000		154,294.04	-154,294.04	0.00			JPM Prime MM Sweep Fund
PUR	05-16-03	340361	101,863.810	1.000	101,863.81				0	0.00	JPM Prime MM Sweep Fund
PUR	05-19-03	340362	22,830.300	1.000	22,830.30				0	0.00	JPM Prime MM Sweep Fund
SAL	05-20-03	340368	-84,182.500	1.000		84,182.50	-84,182.50	0.00			JPM Prime MM Sweep Fund
PUR	05-21-03	340363	5,178.250	1.000	5,178.25				0	0.00	JPM Prime MM Sweep Fund
SAL	05-22-03	340737	-166,849.580	1.000		166,849.58	-166,849.58	0.00			JPM Prime MM Sweep Fund
PUR	05-23-03	340719	87,604.510	1.000	87,604.51				0	0.00	JPM Prime MM Sweep Fund
PUR	05-27-03	340720	64,318.220	1.000	64,318.22				0	0.00	JPM Prime MM Sweep Fund
PUR	05-28-03	340721	376,152.160	1.000	376,152.16				0	0.00	JPM Prime MM Sweep Fund
PUR	05-29-03	340722	42,383.370	1.000	42,383.37				0	0.00	JPM Prime MM Sweep Fund
PUR	05-30-03	340723	42,362.850	1.000	42,362.85				0	0.00	JPM Prime MM Sweep Fund
SAL	06-02-03	342860	-313,361.400	1.000		313,361.40	-313,361.40	0.00			JPM Prime MM Sweep Fund
SAL	06-03-03	342861	-115,132.670	1.000		115,132.67	-115,132.67	0.00			JPM Prime MM Sweep Fund
SAL	06-04-03	342862	-101,147.570	1.000		101,147.57	-101,147.57	0.00			JPM Prime MM Sweep Fund
PUR	06-05-03	342856	122,453.290	1.000	122,453.29				0	0.00	JPM Prime MM Sweep Fund
SAL	06-06-03	342863	-377,249.070	1.000		377,249.07	-377,249.07	0.00			JPM Prime MM Sweep Fund
PUR	06-09-03	342857	221,528.790	1.000	221,528.79				0	0.00	JPM Prime MM Sweep Fund
PUR	06-10-03	342858	265,570.990	1.000	265,570.99				0	0.00	JPM Prime MM Sweep Fund
SAL	06-11-03	342864	-334,444.930	1.000		334,444.93	-334,444.93	0.00			JPM Prime MM Sweep Fund
PUR	06-12-03	342859	125,613.160	1.000	125,613.16				0	0.00	JPM Prime MM Sweep Fund
SAL	06-19-03	343782	-15,205.560	1.000		15,205.56	-15,205.56	0.00			JPM Prime MM sweep Fund
SAL	06-20-03	343783	-87,790.150	1.000		87,790.15	-87,790.15	0.00			JPM Prime MM sweep Fund
PUR	06-23-03	343774	67,988.500	1.000	67,988.50				0	0.00	JPM Prime MM Sweep Fund

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	06-25-03	343784	-29,246.940	1.000		29,246.94	-29,246.94	0.00			JPM Prime MM sweep Fund
SAL	06-26-03	343785	-117,027.570	1.000		117,027.57	-117,027.57	0.00			JPM Prime MM sweep Fund
PUR	06-27-03	343975	54,452.930	1.000	54,452.93				0	0.00	JPM Prime MM Sweep Fund
PUR	06-30-03	344213	14,152,681.250	1.000	14,152,681.25				0	0.00	JPM Prime MM sweep Fund
PUR	07-01-03	346685	742,113.200	1.000	742,113.20				0	0.00	JPM Prime MM Sweep Fund
SAL	07-02-03	346687	-15,024,660.000	1.000		15,024,660.00	-15,024,660.00	0.00			JPM Prime MM Sweep Fund
SAL	07-16-03	346688	-9,861.870	1.000		9,861.87	-9,861.87	0.00			JPM Prime MM Sweep Fund
SAL	08-25-03	349546	-11,711.800	1.000		11,711.80	-11,711.80	0.00			JPM Prime MM Sweep Fund
	TOTAL -		-1,951,403.350		22,361,727.50	24,313,130.85	-24,313,130.85	0.00	0	0.00	
F-L	LLTC	Linear Technology Corp									
SAL	04-01-03	336491	-100.000	31.396		3,136.45	-2,851.80	284.65			Linear Technology Corp
SAL	04-29-03	338724	-1,300.000	35.614		46,257.29	-37,073.41	9,183.88			Linear Technology Corp
SAL	05-29-03	341227	-1,800.000	35.808		64,397.92	-51,332.42	13,065.50			Linear Technology Corp
SAL	06-25-03	344324	-8,600.000	32.366		278,337.15	-245,254.87	33,082.28			Linear Technology Corp
	TOTAL -		-11,800.000		0.00	392,128.81	-336,512.50	55,616.31	0	0.00	
F-L	LNCR	Lincare Holdings Inc									
PUR	01-06-03	328255	1,200.000	33.127	39,812.76				0	0.00	Lincare Holdings Inc
SAL	01-30-03	329604	-600.000	28.703		17,191.28	-19,906.38	-2,715.10			Lincare Holdings Inc
SAL	01-31-03	329605	-600.000	28.791		17,244.62	-19,906.38	-2,661.76			Lincare Holdings Inc
	TOTAL -		0.000		39,812.76	34,435.90	-39,812.76	-5,376.86	0	0.00	
F-L	LUV	Southwest Airlines Co									
PUR	05-02-03	340381	3,400.000	16.647	56,770.48				0	0.00	Southwest Airlines Co
SAL	05-29-03	341238	-600.000	15.928		9,538.53	-10,018.32	-479.79			Southwest Airlines Co
PUR	05-30-03	341182	4,700.000	15.978	75,334.89				0	0.00	Southwest Airlines Co
PUR	06-03-03	342874	1,900.000	16.100	30,685.00				0	0.00	Southwest Airlines Co
SAL	06-25-03	344284	-9,400.000	17.362		162,814.46	-152,772.05	10,042.41			Southwest Airlines Co
	TOTAL -		0.000		162,790.37	172,352.99	-162,790.37	9,562.62	0	0.00	
F-L	MGM	Mandalay Resort Group									
SAL	01-06-03	328269	-3,230.000	31.023		100,039.45	-97,354.82	2,684.63			Madalay Resort Group

04-26-04
PC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	01-07-03	328274	-490.000	30.786		15,059.98	-14,769.00	290.98			Mandalay Resort Group
SAL	01-08-03	328275	-3,100.000	27.177		86,261.89	-95,847.78	-9,585.89			Mandalay Resort Group
	TOTAL -		-6,900.000		0.00	201,361.32	-207,971.60	-6,610.28	0	0.00	
F-L	MCCRK	McCormick & Co									
SAL	04-01-03	336493	-200.000	24.050		4,803.77	-4,468.30	335.47			McCormick & Co Inc
SAL	04-29-03	338726	-1,800.000	24.960		44,872.97	-40,214.71	4,658.26			McCormick & Co
SAL	05-29-03	341229	-2,500.000	26.569		66,344.89	-55,853.76	10,491.13			McCormick & Co Inc
SAL	06-25-03	344307	-5,900.000	26.738		157,510.23	-131,814.88	25,695.35			McCormick
SAL	06-25-03	344307	-6,300.000	26.738		168,188.88	-140,751.49	27,437.39			McCormick
	TOTAL -		-16,700.000		0.00	441,720.74	-373,103.14	68,617.60	0	0.00	
F-L	MEDI	Medimunne Inc									
PUR	03-17-03	334298	5,500.000	33.581	184,968.85				0	0.00	Medimunne Inc
SAL	04-01-03	336509	-100.000	32.997		3,296.49	-3,363.07	-66.58			Medimunne Inc
SAL	04-29-03	338727	-600.000	35.827		21,477.49	-20,178.42	1,299.07			Medimunne Inc
SAL	05-29-03	341230	-800.000	35.530		28,398.66	-26,904.56	1,494.10			Medimunne Inc
PUR	06-24-03	343780	650.000	35.325	22,993.82				0	0.00	Medimunne Inc
SAL	06-25-03	344312	-4,650.000	36.477		169,610.11	-157,516.62	12,093.49			Medimunne Inc
	TOTAL -		0.000		207,962.67	222,782.75	-207,962.67	14,820.08	0	0.00	
F-L	MERQ	Mercury Interactive Corp									
PUR	03-17-03	334300	2,600.000	34.961	91,028.86				0	0.00	Mercury Interactive Corp
PUR	04-17-03	336939	2,600.000	32.850	85,540.00				0	0.00	Mercury Interactive Corp
SAL	04-29-03	338728	-600.000	34.675		20,786.02	-20,373.33	412.69			Mercury Interactive Corp
SAL	05-29-03	341231	-800.000	38.890		31,086.54	-27,164.44	3,922.10			Mercury Interactive Corp
SAL	06-25-03	344327	-3,800.000	40.005		152,013.02	-129,031.09	22,981.93			Mercury Interactive Corp
	TOTAL -		8.000		176,568.86	203,885.58	-176,568.86	27,316.72	0	0.00	
F-L	MGG	MGM Mirage Inc									
SAL	01-06-03	328262	-1,700.000	32.874		55,799.45	-60,725.73	-4,926.28			MGM Mirage Inc
SAL	01-07-03	328263	-1,920.000	32.579		62,454.37	-68,584.35	-6,129.98			MGM Mirage Inc
SAL	01-08-03	328264	-1,130.000	28.160		31,763.45	-40,364.76	-8,601.31			MGM Mirage Inc

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-4,750.000		0.00	150,017.27	-169,674.84	-19,657.57	0	0.00	
F-L	MI	Marshall & Ilsley Corp									
SAL	04-01-03	336492	-100.000	25.700		2,566.67	-2,854.89	-288.02			Marshall & Ilsley Corp
SAL	04-29-03	338725	-1,200.000	29.521		35,388.38	-34,258.63	1,129.75			Marshall & Ilsley Corp
PUR	05-07-03	340385	700.000	29.606	20,758.85				0	0.00	Marshall & Ilsley Corp
SAL	05-29-03	341228	-1,900.000	29.746		56,458.32	-54,376.64	2,081.68			Marshall & Ilsley Corp
PUR	06-03-03	342869	1,950.000	30.700	59,962.50				0	0.00	Marshall & Ilsley Corp
SAL	06-25-03	344300	-8,400.000	31.232		261,999.68	-243,560.44	18,439.24			Marshall & Ilsley Corp
SAL	06-25-03	344300	-2,650.000	31.232		82,654.66	-76,837.52	5,817.14			Marshall & Ilsley Corp
	TOTAL -		-11,600.000		80,721.35	439,067.51	-411,888.12	27,179.79	0	0.00	
F-L	MLNM	Millennium Pharmaceuticals Inc									
PUR	06-03-03	342870	4,800.000	15.580	75,024.00				0	0.00	Millennium Pharmaceuticals Inc
SAL	06-25-03	344331	-4,800.000	14.682		70,467.90	-75,024.00	-4,556.10			Millennium Pharma Inc
	TOTAL -		0.000		75,024.00	70,467.90	-75,024.00	-4,556.10	0	0.00	
F-L	MNST	Monster Worldwide Inc									
PUR	06-06-03	342877	2,650.000	23.599	62,669.59				0	0.00	Monster Worldwide Inc
SAL	06-25-03	344338	-2,650.000	18.685		49,513.20	-62,669.59	-13,156.39			Monster Worlwide
	TOTAL -		0.000		62,669.59	49,513.20	-62,669.59	-13,156.39	0	0.00	
F-L	MRVL	Marvell Technology Group LTD									
PUR	04-22-03	336943	2,850.000	23.517	60,095.85				0	0.00	Marvell Technology Group LTD
SAL	04-29-03	338692	-300.000	22.503		6,741.67	-7,070.10	-328.43			Marvell Technology Group LTD
SAL	05-22-03	340753	-2,250.000	31.549		70,914.42	-53,025.75	17,888.67			Marvell Technology Group
	TOTAL -		0.000		60,095.85	77,656.09	-60,095.85	17,560.24	0	0.00	
F-L	MTB	M & T Bank Corp									
PUR	06-03-03	342873	2,200.000	89.644	197,326.80				0	0.00	M & T Bank Corp
PUR	06-23-03	343778	600.000	86.230	51,767.88				0	0.00	M & T Bank Corp
SAL	06-25-03	344335	-2,800.000	86.105		240,949.59	-249,094.68	-8,125.09			M&T Bank

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER		QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -			0.000		249,094.68	240,969.59	-249,094.68	-8,125.09	0	0.00	
F-L	MXIM	Maxim Integrated Products Inc										
PUR	05-23-03	340734		1,800.000	36.962	66,622.32				0	0.00	Maxim Integrated Products
PUR	05-23-03	340735		2,850.000	37.131	105,966.71				0	0.00	Maxim Integrated Products
SAL	06-25-03	344303		-4,650.000	34.714		161,414.40	-172,589.03	-11,174.63			Maxim Integrated Products
	TOTAL -			0.000		172,589.03	161,414.40	-172,589.03	-11,174.63	0	0.00	
F-L	MYL	Mylan Laboratories Inc										
PUR	01-06-03	328251		2,900.000	37.280	108,256.13				0	0.00	Mylan Laboratories Inc
SAL	04-01-03	336494		-200.000	28.950		5,783.72	-4,584.41	1,199.31			Mylan Laboratories Inc
SAL	04-22-03	336968		-900.000	26.440		23,767.88	-20,629.85	3,138.03			Mylan Laboratories
SAL	04-29-03	338729		-1,300.000	28.010		36,373.33	-29,798.68	6,574.65			Mylan Laboratories Inc
SAL	05-22-03	340750		-5,000.000	28.931		144,400.23	-114,610.31	29,789.92			Mylan Laboratories Inc
SAL	05-29-03	341232		-1,000.000	29.067		29,035.63	-22,922.06	6,113.57			Mylan Laboratories Inc
PUR	06-25-03	345220		0.000	0.000	0.04				0	0.04	To correct cost basis error
SAL	06-25-03	344315		-4,950.000	34.520		170,669.49	-113,464.21	57,205.28			Mylan Laboratories
	TOTAL -			-10,450.000		108,256.17	410,030.28	-306,009.52	104,020.76	0	0.04	
F-L	NEM	Newmont Mining Corp										
SAL	03-12-03	334331		-1,800.000	24.908		44,742.51	-51,194.61	-6,452.10			Newmont Mining Corp
SAL	04-09-03	336515		-3,900.000	25.880		100,731.88	-110,921.65	-10,189.77			Newmont Mining Corp
	TOTAL -			-5,700.000		0.00	145,474.39	-162,116.26	-16,641.87	0	0.00	
F-L	OEI	Ocean Energy Inc Tex										
SAL	04-01-03	336495		-200.000	19.995		3,992.81	-3,955.78	37.03			Ocean Energy Inc TEX
SAL	04-22-03	336969		-900.000	20.451		18,378.12	-17,801.00	577.12			Ocean Energy Inc Tex
	TOTAL -			-1,100.000		0.00	22,370.93	-21,756.78	614.15	0	0.00	
F-L	OMC	Omnicom Group Inc.										
PUR	06-06-03	342878		150.000	72.123	10,825.94				0	0.00	Omnicom Group Inc
PUR	06-06-03	342882		900.000	72.401	65,205.90				0	0.00	Omnicom Group Inc
SAL	06-25-03	344317		-1,050.000	73.500		77,129.38	-76,031.84	1,097.54			Omnicom Group Com

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04
FC3.3

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		0.000		76,031.84	77,129.38	-76,031.84	1,097.54	0	0.00	
F-L	PBG	Pepsi Bottling Group Inc									Pepsi Bottling Group
SAL	03-18-03	334336	-3,750.000	19.178		71,729.33	-94,345.26	-22,615.93			
	TOTAL -		-3,750.000		0.00	71,729.33	-94,345.26	-22,615.93	0	0.00	
F-L	PCS	Sprint Corp PCS Ser 1							0	0.00	Sprint Corp PCS Ser 1
PUR	06-20-03	343776	6,100.000	5.900	36,234.00						Sprint Corp
SAL	06-25-03	344295	-6,100.000	5.800		35,134.34	-36,234.00	-1,099.66			
	TOTAL -		0.000		36,234.00	35,134.34	-36,234.00	-1,099.66	0	0.00	
F-L	PFCB	P F Change China Bistro Inc							0	0.00	P F Change China Bistro
PUR	04-16-03	336934	1,200.000	40.504	48,664.32				0	0.00	P F Change China Bistro
PUR	04-17-03	336940	1,300.000	40.515	52,734.11				0	0.00	P F Change China Bistro
PUR	04-22-03	336947	2,300.000	41.112	94,671.68						P F Change China Bistro Inc
SAL	04-29-03	338730	-500.000	41.876		20,922.12	-20,423.97	498.15			P F Change China Bistro Inc
SAL	05-29-03	341233	-700.000	43.870		30,686.56	-28,593.56	2,093.00	0	0.00	P F Change China Bistro
PUR	06-03-03	342871	850.000	44.800	38,122.50						PF Change China Bistro
SAL	06-25-03	344290	-4,450.000	47.772		212,573.23	-185,175.08	27,398.15			
	TOTAL -		0.000		234,192.61	264,181.91	-234,192.61	29,989.30	0	0.00	
F-L	POT	Potash Corp Saskatchewan Inc									Potash Corp Saskatchewan
SAL	04-22-03	336971	-300.000	63.180		18,944.11	-19,277.84	-333.73			Potash Corp Saskatchewan
SAL	04-29-03	338732	-400.000	61.020		24,394.85	-25,703.79	-1,308.94			Potash Corp Saskatchewan Inc
SAL	05-29-03	341235	-600.000	61.593		36,936.25	-38,555.68	-1,619.43			Potash Corp Saskatchewan
SAL	06-25-03	344294	-550.000	62.662		34,440.49	-35,342.71	-902.22			Potash Corp Saskatchewan
SAL	06-25-03	344294	-2,500.000	62.662		156,547.66	-160,648.68	-4,101.02			
	TOTAL -		-4,350.000		0.00	271,263.36	-279,528.70	-8,265.34	0	0.00	
F-L	PVN	Providian Finl Corp							0	0.00	Providian Financial Corp
PUR	04-16-03	336935	2,400.000	7.541	18,194.88				0	0.00	Providian Financial Corp
PUR	04-17-03	336941	7,100.000	7.622	54,402.33				0	0.00	Providian Financial Corp
PUR	04-22-03	336948	2,500.000	7.722	19,404.75						

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	04-29-03	338733	-1,300.000	7.400		9,580.54	-9,966.88	-386.34			Providian Financial corp
PUR	05-07-03	340386	6,300.000	8.164	51,746.31				0	0.00	Providian Financial Corp
SAL	05-29-03	341236	-2,900.000	9.162		26,481.84	-22,821.53	3,660.31			Providian Financial Corp
SAL	06-24-03	343791	-5,650.000	9.265		52,121.06	-44,462.64	7,658.42			Providian Financial Corp
SAL	06-25-03	344391	-8,450.000	9.251		77,832.67	-66,497.22	11,335.45			Providian Financial Corp
	TOTAL -		0.000		143,748.27	166,016.11	-143,748.27	22,267.84	0	0.00	
F-L	RFMD	RF Micro Devices Inc									
SAL	02-20-03	331884	-7,900.000	6.680		52,454.41	-84,829.41	-32,375.00			RF Micro Devices Inc
	TOTAL -		-7,900.000		0.00	52,454.41	-84,829.41	-32,375.00	0	0.00	
F-L	RIG	Transocean Sedco Forex, Inc.									
PUR	05-21-03	340728	3,000.000	23.094	69,432.60				0	0.00	Transocean Inc
SAL	05-29-03	341187	-500.000	22.730		11,349.46	-11,572.10	-222.64			Transocean Inc
PUR	05-30-03	341179	1,900.000	23.537	44,817.01				0	0.00	Transocean Inc
SAL	06-25-03	344280	-4,400.000	22.377		98,279.51	-102,677.51	-4,398.00			Transocean Inc
	TOTAL -		0.000		114,249.61	109,628.97	-114,249.61	-4,620.64	0	0.00	
F-L	SANM	Sanmina Corp									
PUR	03-17-03	334303	19,800.000	4.856	96,734.88				0	0.00	Sanaina-Sci Corp
SAL	04-01-03	336497	-300.000	4.045		1,204.29	-1,422.20	-217.91			Sanmina-Sci Corp
SAL	04-22-03	336972	-1,000.000	4.770		4,739.77	-4,740.68	-0.91			Sanmina-Scoi Corp
SAL	04-29-03	338734	-2,900.000	4.714		13,583.54	-13,747.96	-164.42			Sanmina-Sci Corp
SAL	05-07-03	340407	-24,600.000	5.101		124,482.42	-116,620.64	7,861.78			Sanmina-Sci Corp
	TOTAL -		-9,000.000		96,734.88	144,010.02	-136,531.48	7,478.54	0	0.00	
F-L	SBL	Symbol Technologies Inc									
PUR	02-21-03	331880	1,000.000	10.844	10,894.20				0	0.00	Symbol Technologies Inc
PUR	02-28-03	332711	4,300.000	10.375	44,829.22				0	0.00	Symbol Technologies Inc
SAL	04-01-03	336500	-100.000	8.685		865.45	-1,051.39	-185.94			Symbol Technologies Inc
SAL	04-16-03	336953	-5,200.000	10.610		54,910.97	-54,672.03	238.94			Symbol Technologies
	TOTAL -		0.000		55,723.42	55,776.42	-55,723.42	53.00	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
F-L	SWTC	Sawtech Corp									
SAL	02-20-03	331883	-1,600.000	13.633		21,411.99	-27,047.35	-5,635.36			Sawtech Corp
	TOTAL -		-1,600.000		0.00	21,411.99	-27,047.35	-5,635.36	0	0.00	
F-L	SOTW	Southtrust Corp									
PUR	05-01-03	340377	3,150.000	26.960	85,080.24				0	0.00	Southtrust Corp
PUR	05-07-03	340378	1,250.000	27.586	34,545.25				0	0.00	Southtrust Corp
PUR	05-12-03	340379	3,100.000	27.595	85,698.88				0	0.00	Southtrust Corp
PUR	05-19-03	340718	3,200.000	27.315	87,568.00				0	0.00	Southtrust Corp
SAL	05-29-03	341237	-1,800.000	28.286		50,858.59	-49,271.61	1,586.98			Southtrust Corp
PUR	06-03-03	342872	2,700.000	28.767	77,806.17				0	0.00	Southtrust Corp
SAL	06-26-03	344345	-11,600.000	26.810		310,517.44	-321,426.93	-10,909.49			Southtrust Corp
	TOTAL -		0.000		370,698.54	361,376.03	-370,698.54	-9,322.51	0	0.00	
F-L	SU	Suncor Inc									
SAL	04-01-03	336498	-100.000	17.270		1,723.91	-1,776.84	-52.93			Suncor Energy Inc
SAL	04-22-03	336973	-500.000	16.890		8,429.60	-8,884.21	-454.61			Suncor Energy Inc
SAL	04-29-03	338735	-1,000.000	16.209		16,178.24	-17,768.42	-1,590.18			Suncor Energy Inc
SAL	05-29-03	341239	-1,300.000	18.471		23,972.82	-23,098.94	873.88			Suncor Energy Inc
SAL	06-25-03	344285	-6,600.000	19.060		125,526.11	-117,271.54	8,254.57			Suncor Energy
	TOTAL -		-9,500.000		0.00	175,830.68	-168,799.95	7,030.73	0	0.00	
F-L	SYMC	Symantec Corp									
PUR	01-06-03	328252	2,800.000	45.083	126,372.96				0	0.00	Symantec Corp
SAL	03-31-03	335213	-1,250.000	39.007		48,695.90	-52,542.27	-3,846.37			Symantec Corp
SAL	04-01-03	336499	-100.000	38.850		3,881.81	-4,203.38	-321.57			Symantec Corp
SAL	04-01-03	336510	-2,000.000	38.861		77,619.16	-84,067.64	-6,448.48			Symantec Corp
SAL	04-04-03	336511	-2,000.000	39.495		78,886.30	-84,067.63	-5,181.33			Symantec Corp
SAL	04-29-03	338736	-600.000	44.316		26,570.77	-25,220.29	1,350.48			Symantec Corp
SAL	05-29-03	341240	-800.000	45.767		36,588.36	-33,627.06	2,961.30			Symantec Corp
SAL	06-25-03	344286	-4,100.000	46.373		190,118.35	-172,338.66	17,779.69			Symantec Corp
	TOTAL -		-8,050.000		126,372.96	462,360.65	-456,066.93	6,293.72	0	0.00	

04-26-04
PC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
F-L	TER	Teradyne Inc									
SAL	01-16-03	329288	-2,750.000	12.010		32,887.63	-44,097.63	-11,210.00			Teradyne Inc
	TOTAL -		-2,750.000		0.00	32,887.63	-44,097.63	-11,210.00	0	0.00	
F-L	TEVA	Teva Pharmaceutical Industries									
SAL	01-06-03	328265	-2,600.000	38.208		99,208.06	-96,604.30	2,603.76			Teva Pharmaceutical Industries
SAL	04-22-03	336974	-200.000	46.770		9,347.56	-7,431.10	1,916.46			Teva Pharmaceutical Industries
SAL	04-29-03	338737	-300.000	47.628		14,338.72	-11,146.65	3,192.07			Teva Pharmaceutical Industries
SAL	05-29-03	341241	-400.000	50.435		20,161.05	-14,862.20	5,298.85			Teva Pharmaceutical Industries
SAL	06-25-03	344287	-1,700.000	55.720		94,719.56	-63,164.35	31,555.21			Teva Pharmaceutical
	TOTAL -		-5,200.000		0.00	237,774.95	-193,208.60	44,566.35	0	0.00	
F-L	TIBX	Tibco Software Inc									
SAL	04-01-03	336501	-700.000	4.149		2,883.09	-5,826.28	-2,943.19			Tibco Software Inc
SAL	04-22-03	336975	-3,800.000	4.410		16,643.59	-31,628.37	-14,984.78			Tibco Software
SAL	04-29-03	338738	-6,000.000	4.616		27,520.10	-49,939.53	-22,419.43			Tibco Software
SAL	05-08-03	340408	-9,500.000	4.966		46,797.64	-79,070.92	-32,273.28			Tibco Software Inc
SAL	05-08-03	340408	-10,800.000	4.966		53,201.53	-89,891.15	-36,689.62			Tibco Software Inc
SAL	05-09-03	340409	-12,700.000	4.994		63,036.02	-105,705.34	-42,669.32			Tibco Software Inc
SAL	05-21-03	340741	-2,200.000	4.390		9,590.44	-18,311.16	-8,720.72			Tibco Software
SAL	05-27-03	340756	-8,500.000	5.024		42,362.85	-70,747.66	-28,384.81			Tibco Software
SAL	05-29-03	341242	-6,300.000	5.150		32,191.48	-52,436.51	-20,245.03			Tibco Software Inc
	TOTAL -		-60,500.000		0.00	294,226.74	-503,556.92	-209,330.18	0	0.00	
F-L	TIVO	TIVO Inc									
PUR	01-10-03	329280	1,000.000	6.450	6,490.00				0	0.00	Tivo Inc
PUR	02-21-03	332708	2,400.000	5.386	13,023.12				0	0.00	Tivo Inc
SAL	04-01-03	336502	-200.000	5.110		1,015.95	-1,306.31	-290.36			Tivo Inc
SAL	04-22-03	336976	-1,200.000	5.700		6,803.67	-7,837.86	-1,034.19			Tivo Inc
SAL	04-29-03	338739	-2,000.000	5.917		11,773.44	-13,063.10	-1,289.66			TIVO Inc
SAL	05-29-03	341243	-2,900.000	8.210		23,721.75	-18,941.49	4,780.26			Tivo Inc
SAL	06-25-03	344279	-13,900.000	10.000		138,993.49	-90,788.52	48,204.97			Tivo Inc

04-26-04
PC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-16,800.000		19,513.12	182,308.30	-131,937.28	50,371.02	0	0.00	
P-L	TRMS	Timeris Inc									
SAL	04-29-03	338740	-200.000	41.405		8,274.61	-10,207.50	-1,932.89			Timeris Inc
SAL	05-29-03	341244	-300.000	49.133		14,730.30	-15,311.25	-580.95			Timeris Inc
SAL	06-20-03	343787	-150.000	46.608		6,987.06	-7,655.62	-668.56			Timeris Inc
SAL	05-24-03	343788	-200.000	45.576		9,104.81	-10,207.50	-1,102.69			Timeris Inc
SAL	06-25-03	344278	-100.000	46.070		4,606.78	-5,103.75	-496.97			Tremeris Inc
SAL	06-25-03	344288	-300.000	46.070		13,820.35	-15,311.25	-1,490.90			Trimeris Inc
SAL	06-25-03	344289	-200.000	46.060		9,211.56	-10,207.50	-995.94			Trimeris Inc
SAL	06-26-03	344342	-500.000	46.070		23,013.92	-25,518.75	-2,504.83			Trimeris Inc
	TOTAL -		-1,950.000		0.00	89,749.39	-99,523.12	-9,773.73	0	0.00	
P-L	UNT	Unit Corp									
SAL	03-14-03	334332	-1,500.000	20.020		29,954.69	-28,062.78	1,891.91			Unit Corp
SAL	03-17-03	334333	-2,700.000	20.213		54,438.18	-50,513.00	3,925.18			Unit Corp
SAL	03-18-03	334334	-3,800.000	20.291		76,912.33	-71,092.38	5,819.95			Unit Corp
SAL	03-18-03	334335	-1,900.000	20.200		38,283.84	-35,546.19	2,737.65			Unit Corp
SAL	03-20-03	334727	-1,000.000	20.486		20,435.58	-18,708.52	1,727.06			Unit Corp
SAL	03-27-03	335210	-400.000	20.154		8,041.59	-7,483.41	558.18			Unit Corp
SAL	03-28-03	335211	-5,100.000	20.513		104,363.25	-95,413.45	8,949.80			Unit Corp
SAL	03-31-03	335212	-4,700.000	20.196		94,684.27	-87,930.05	6,754.22			Unit Corp
SAL	04-01-03	336503	-1,700.000	20.314		34,447.86	-31,804.48	2,643.38			Unit Corp
SAL	04-04-03	336512	-3,300.000	20.100		66,161.89	-61,738.12	4,423.77			Unit Corp
SAL	04-23-03	337105	-750.000	20.193		15,106.77	-14,031.39	1,075.38			Unit Corp
SAL	04-24-03	337107	-1,900.000	20.054		38,005.62	-35,546.19	2,459.43			Unit Corp
SAL	04-25-03	337110	-3,700.000	20.160		74,431.99	-69,221.53	5,210.46			Unit Corp
SAL	04-28-03	338690	-3,250.000	20.302		65,815.91	-60,802.69	5,013.22			Unit Corp
SAL	04-29-03	338741	-5,700.000	20.063		114,073.87	-106,638.57	7,435.30			Unit Corp
SAL	05-02-03	340394	-500.000	19.911		9,929.83	-9,354.26	575.57			Unit Corp
SAL	05-05-03	340395	-1,000.000	19.862		19,811.55	-18,708.52	1,103.03			Unit Corp
SAL	05-07-03	340396	-1,950.000	20.024		38,946.50	-36,481.61	2,464.89			Unit Corp
SAL	05-08-03	340397	-600.000	20.359		12,184.58	-11,225.11	959.47			Unit Corp
SAL	05-09-03	340398	-900.000	20.526		18,427.08	-16,837.67	1,589.41			Unit Corp
SAL	05-12-03	340399	-900.000	20.647		18,536.34	-16,837.67	1,698.67			Unit Corp
SAL	05-13-03	340400	-500.000	20.732		10,335.41	-9,354.26	981.15			Unit Corp

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	05-14-03	340401	-1,100.000	20.806		22,830.30	-20,579.37	2,250.93			Unit Corp
SAL	05-15-03	340402	-500.000	20.996		10,472.50	-9,354.26	1,118.24			Unit Corp
SAL	05-16-03	340403	-250.000	20.764		5,178.25	-4,677.13	501.12			Unit Corp
SAL	05-19-03	340738	-500.000	20.521		10,234.86	-9,354.26	880.60			Unit Corp
SAL	05-20-03	340739	-150.000	20.270		3,032.78	-2,806.28	226.50			Unit Corp
SAL	05-29-03	341246	-5,500.000	21.541		118,309.35	-102,896.86	15,412.49			Unit Corp
SAL	06-25-03	344282	-26,750.000	20.909		558,224.92	-500,452.92	57,772.00			Unit Corp
	TOTAL -		-82,500.000		0.00	1,691,611.89	-1,543,452.93	148,158.96	0	0.00	
F-L	USAI	USA Interactive									
PUR	05-02-03	340382	1,600.000	34.305	54,968.48				0	0.00	USA Interactive
PUR	05-21-03	340730	1,000.000	33.861	33,911.40				0	0.00	USA Interactive
SAL	05-29-03	341245	-400.000	37.752		15,088.29	-13,673.83	1,414.46			USA Interactive
	TOTAL -		2,200.000		88,879.88	15,088.29	-13,673.83	1,414.46	0	0.00	
F-L	UVN	Univision Communications Inc									
SAL	02-03-03	331259	-800.000	25.869		20,654.17	-19,455.12	1,199.05			Univision Communications Cl A
PUR	04-22-03	336951	6,500.000	28.372	184,741.70				0	0.00	Univision Communications Cl A
SAL	04-29-03	338742	-1,100.000	29.930		32,888.45	-29,744.23	3,144.22			Univision Communications Inc
SAL	05-29-03	341247	-1,500.000	29.791		44,639.85	-40,560.32	4,079.53			Univision Communications Inc
SAL	06-25-03	344281	-7,200.000	30.035		215,953.87	-194,689.52	21,264.35			Univision Communications
	TOTAL -		-4,100.000		184,741.70	314,136.34	-284,449.19	29,687.15	0	0.00	
F-L	VAR	Varian Medical Systems Inc									
PUR	04-24-03	337102	1,750.000	54.126	94,807.65				0	0.00	Varian Medical Systems
SAL	04-29-03	338743	-200.000	53.875		10,768.49	-10,835.16	-66.67			Varioan Medical Systems
PUR	05-21-03	340725	1,650.000	50.703	83,742.29				0	0.00	Varian Medical Systems Inc
SAL	05-29-03	341248	-500.000	53.772		26,869.74	-26,205.43	664.31			Varian Medical Systems Inc
SAL	06-25-03	344272	-2,700.000	55.970		151,002.84	-141,509.35	9,493.49			Varian Medical Systems
	TOTAL -		0.000		178,549.94	188,641.07	-178,549.94	10,091.13	0	0.00	
F-L	VRTS	Veritas Software Corp									
PUR	05-22-03	340732	4,700.000	24.113	113,563.75				0	0.00	Veritas Software

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	05-29-03	341249	-800.000	26.832		21,440.99	-19,330.00	2,110.99			Veritas Software Co
PUR	05-30-03	341183	2,700.000	27.370	74,034.00				0	0.00	Veritas Software Co
SAL	06-25-03	344283	-6,600.000	28.444		187,718.97	-168,267.75	19,451.22			Veritas Software
	TOTAL -		0.000		187,597.75	209,159.96	-187,597.75	21,562.21	0	0.00	
F-L	WDR	Waddell & Reed Financial Group									
PUR	05-28-03	341177	5,100.000	21.099	107,864.49				0	0.00	Wadell & Reed Financial Cl A
PUR	06-23-03	343779	1,100.000	24.407	26,902.59				0	0.00	Waddell & Reed Financial Inc
SAL	06-25-03	344273	-6,200.000	24.806		153,540.76	-134,767.08	18,773.68			Waddell & Reed Financial
	TOTAL -		0.000		134,767.08	153,540.76	-134,767.08	18,773.68	0	0.00	
F-L	XLNX	Xilinx Inc									
PUR	01-06-03	328253	5,200.000	24.133	125,753.68				0	0.00	Xilinx Corp
SAL	01-31-03	329609	-15,300.000	19.385		295,818.10	-318,059.52	-22,241.42			Xilinx Corp
SAL	02-06-03	331260	-4,000.000	19.286		76,940.07	-83,152.82	-6,212.75			Xilinx Corp
SAL	04-01-03	336504	-100.000	23.626		2,359.48	-2,078.82	280.66			Xilinx Corp
SAL	04-29-03	338744	-1,200.000	27.434		32,883.49	-24,945.84	7,937.65			Xilinx Corp
SAL	05-29-03	341250	-1,700.000	30.045		51,023.61	-35,339.95	15,683.66			Xilinx Corp
SAL	06-25-03	344274	-8,200.000	25.575		209,703.54	-170,463.27	39,240.27			Xilinx Inc
	TOTAL -		-25,300.000		125,753.68	668,728.29	-634,040.22	34,688.07	0	0.00	
F-L	YHOO	Yahoo Inc									
PUR	01-06-03	328256	6,850.000	18.774	128,943.03				0	0.00	Yahoo Inc
PUR	03-07-03	334294	9,300.000	19.408	180,958.47				0	0.00	Yahoo Inc
PUR	03-17-03	334301	2,450.000	22.220	54,561.75				0	0.00	Yahoo Inc
SAL	04-01-03	336505	-500.000	23.336		11,652.65	-8,157.49	3,495.16			Yahoo Inc
SAL	04-09-03	336516	-14,500.000	23.218		335,924.59	-236,567.27	99,357.32			Yahoo Inc
SAL	04-29-03	338745	-2,800.000	25.221		70,532.89	-45,681.95	24,850.94			Yahoo Inc
SAL	05-21-03	340742	-1,500.000	26.163		39,167.66	-24,472.48	14,695.18			Yahoo Inc
SAL	05-22-03	340751	-2,600.000	26.510		68,792.77	-42,418.96	26,373.81			Yahoo Inc
SAL	05-29-03	341251	-3,300.000	30.308		99,914.03	-53,839.44	46,074.59			Yahoo Inc
SAL	06-03-03	342886	-6,400.000	28.523		182,216.09	-104,415.90	77,800.19			Yahoo Inc
SAL	06-06-03	342891	-2,700.000	28.400		76,541.41	-44,050.46	32,490.95			Yahoo Inc
SAL	06-25-03	344275	-7,200.000	31.970		230,173.22	-117,467.88	112,705.34			Yahoo Inc

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04
FC3.3

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-22,900.000		364,463.35	1,114,915.31	-677,071.83	437,843.48	0	0.00	
F-L	ZEBRA	Zebra Technologies Corp Cl A									
SAL	04-01-03	336506	-100.000	64.640		6,460.69	-5,885.47	575.22			Zebra Technologies Corp Cl A
PUR	04-17-03	336962	550.000	65.197	35,885.80				0	0.00	Zebra Technologies
SAL	04-29-03	338746	-500.000	66.776		33,371.83	-29,772.05	3,599.78			Zebra Technologies Corp
SAL	05-29-03	341252	-800.000	69.840		55,852.42	-47,635.28	8,217.14			Zebra Technologies Corp Cl a
SAL	06-25-03	344276	-3,800.000	73.459		279,131.51	-226,267.56	52,863.95			Zebra Technologies Corp A
	TOTAL -		-4,650.000		35,885.80	374,816.45	-309,560.36	65,256.09	0	0.00	
F-L	ZION	Zion Bankcorporation									
SAL	04-01-03	336508	-200.000	42.655		8,524.60	-8,981.95	-457.35			Zions Bancorp
SAL	04-29-03	338748	-1,500.000	49.274		73,863.59	-67,364.61	6,498.98			Zions Bancorp
SAL	05-29-03	341254	-2,100.000	49.705		104,313.66	-94,310.45	10,003.21			Zions Bancorp
SAL	06-25-03	344277	-10,000.000	50.980		509,796.14	-449,097.36	60,678.78			Zions Bancorp
	TOTAL -		-13,800.000		0.00	696,477.99	-619,754.37	76,723.62	0	0.00	
F-L	ZMH	Zimmer Holding Inc									
PUR	02-21-03	331879	4,250.000	43.894	186,760.30				0	0.00	Zimmer Holdings Inc
SAL	04-01-03	336507	-100.000	48.860		4,882.77	-4,131.76	751.01			Zimmer Holdings Inc
SAL	04-29-03	338747	-1,200.000	47.556		57,029.36	-49,581.17	7,448.19			Zimmer Holdings
SAL	05-20-03	340740	-3,950.000	44.564		175,822.06	-163,204.69	12,617.37			Zimmer Holdings
SAL	05-29-03	341253	-1,000.000	45.886		45,853.85	-41,317.64	4,536.21			Zimmer Holdings Inc
SAL	05-29-03	341255	-3,000.000	44.945		134,680.48	-123,952.92	10,727.56			Zimmer Holdings Inc
SAL	06-04-03	342888	-2,000.000	42.790		85,475.39	-82,635.29	2,840.10			Zimmer Holdings
	TOTAL -		-7,000.000		186,760.30	503,743.91	-464,823.47	38,920.44	0	0.00	

OAKASS AIG American International Group

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OAKASS AIG	American International Group	4,500.000	SHRS	66.050	297,225.00	66.280	12-31-03	298,260.00	1,035.00

OAKASS AMAT Applied Materials Inc

2160

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04
FC3.3

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OAKASS AMAT	Applied Materials Inc				17,000.000 SHRS	16.812	285,810.80	22.440	12-31-03	381,480.00	95,669.20
OAKASS CAH	Cardinal Health Inc										
PUR	10-01-03	354140	1,000.000	58.270	58,320.00				1,000	58,320.00	Cardinal Health Inc
TOTAL -			1,000.000		58,320.00	0.00	0.00	0.00	1,000	58,320.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OAKASS CAH	Cardinal Health Inc				5,300.000 SHRS	67.756	359,105.00	61.160	12-31-03	324,148.00	-34,957.00
OAKASS CSCO	Cisco Systems Inc										
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OAKASS CSCO	Cisco Systems Inc				23,000.000 SHRS	13.056	300,290.30	24.230	12-31-03	557,290.00	256,999.70
OAKASS CTSH	Cognizant Technology Solutions										
PUR	10-01-03	354142	8,500.000	37.280	317,305.00				8,500	317,305.00	Cognizant Technology Solutions
TOTAL -			8,500.000		317,305.00	0.00	0.00	0.00	8,500	317,305.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OAKASS CTSH	Cognizant Technology Solutions				8,500.000 SHRS	37.330	317,305.00	45.640	12-31-03	387,940.00	70,635.00
OAKASS EBAY	Ebay Inc										
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OAKASS EBAY	Ebay Inc				4,500.000 SHRS	68.480	308,160.00	104.000	06-30-03	0.00	-308,160.00
OAKASS ISIL	Intersil Corp Class A										
PUR	10-01-03	354139	12,000.000	25.171	302,655.60				12,000	302,655.60	Intersil Corp Cl A
TOTAL -			12,000.000		302,655.60	0.00	0.00	0.00	12,000	302,655.60	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OAKASS ISIL	Intersil Corp Class A				12,000.000	SHRS	25.221	302,655.60	24.850	12-31-03	298,200.00	-4,455.60
OAKASS JNPR	Juniper Networks Inc											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OAKASS JNPR	Juniper Networks Inc				38,800.000	SHRS	7.680	297,984.00	18.680	12-31-03	724,784.00	426,800.00
OAKASS JPMSWEEP	J P Morgan Money Market Sweep											
SAL	05-05-03	340229	-183,000.000	1.000		183,000.00	-183,000.00	0.00			JPM Prime MM Sweep Fund
PUR	05-16-03	340228	42,577.450	1.000	42,577.45				0	0.00	JPM Prime MM Sweep Fund
SAL	05-21-03	340230	-3,256.190	1.000		3,256.19	-3,256.19	0.00			JPM Prime MM Sweep Fund
PUR	07-03-03	346654	3,256.190	1.000	3,256.19				0	0.00	JPM Prime MM Sweep Fund
PUR	07-22-03	347142	6,000.000	1.000	6,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	08-01-03	349044	-8,570.740	1.000		8,570.74	-8,570.74	0.00			JPM Prime MM Sweep Fund
SAL	08-07-03	349045	-3,454.460	1.000		3,454.46	-3,454.46	0.00			JPM Prime MM Sweep Fund
PUR	09-10-03	351276	3,454.460	1.000	3,454.46				0	0.00	JPM Prime MM Sweep Fund
PUR	10-01-03	354138	725,800.000	1.000	725,800.00				65,134	65,133.89	JPM Prime MM Sweep Fund
SAL	10-06-03	354143	-302,655.600	1.000		302,655.60	-302,655.60	0.00			JPM Prime MM Sweep Fund
SAL	10-07-03	354144	-423,025.000	1.000		423,025.00	-423,025.00	0.00			JPM Prime MM Sweep Fund
SAL	10-30-03	355341	-5,500.000	1.000		5,500.00	-5,500.00	0.00			JPM Prime MM Sweep Fund
SAL	11-04-03	357001	-9,021.950	1.000		9,021.95	-9,021.95	0.00			JPM Prime MM Sweep Fund
PUR	11-10-03	357000	1,358.000	1.000	1,358.00				1,358	1,358.00	JPM Prime MM Sweep Fund
SAL	11-18-03	357339	-200.010	1.000		200.01	-200.01	0.00			JPM Prime MM Sweep Fund
TOTAL -			-156,237.850		782,446.10	938,683.95	-938,683.95	0.00	66,492	66,491.89	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OAKASS JPMSWEEP	J P Morgan Money Market Sweep				296,448.000	UNTS	1.000	296,448.00	1.000	12-31-03	66,491.89	-229,956.11
OAKASS KRB	MBNA Corp											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OAKASS KRB	MBNA Corp				14,000.000	SHRS	21.710	303,940.00	24.850	12-31-03	347,900.00	43,960.00

2162

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04
PC3.3

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
OAKASS LLTC	Linear Technology Corp										
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OAKASS LLTC	Linear Technology Corp				9,800.000 SHRS	30.571	299,596.78	42.070	12-31-03	412,286.00	112,689.22
OAKASS MDT	Medtronic Inc										
PUR	10-01-03	354161	1,000.000	47.350	47,400.00				1.000	47,400.00	Medtronic Inc
TOTAL -			1,000.000		47,400.00	0.00	0.00	0.00	1,000	47,400.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OAKASS MDT	Medtronic Inc				7,400.000 SHRS	47.163	349,007.68	48.610	12-31-03	359,714.00	10,706.32
OAKASS MSFT	Microsoft										
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OAKASS MSFT	Microsoft				10,400.000 SHRS	28.476	296,149.88	27.370	12-31-03	284,648.00	-11,501.88
OAKASS MXIM	Maxim Integrated Products Inc										
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OAKASS MXIM	Maxim Integrated Products Inc				8,200.000 SHRS	36.380	298,316.00	49.580	12-31-03	406,556.00	108,240.00
OAKASS PAYX	Paychex Inc										
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OAKASS PAYX	Paychex Inc				10,800.000 SHRS	27.601	300,255.12	37.200	12-31-03	401,760.00	101,504.88
OAKASS PFE	Pfizer										
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OAKASS PFE	Pfizer				8,900.000 SHRS	33.750	300,375.00	35.330	12-31-03	314,437.00	14,062.00
OAKASS SCH	Charles Schwab Corp										

Market Street Trust Company

PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04

FC3.3

ENTITY TYPE	INVESTMENT TRN DATE	DESCRIPTION TRN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OAKASS SCH		Charles Schwab Corp			30,000.000 SHRS	10.048	301,449.00	11.840	12-31-03	355,200.00	53,751.00
OAKASS VRTS		Veritas Software Corp									
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OAKASS VRTS		Veritas Software Corp			17,000.000 SHRS	17.200	292,400.00	37.020	12-31-03	629,340.00	336,940.00
OAKASS XLNX		Xilinx Inc									
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OAKASS XLNX		Xilinx Inc			13,000.000 SHRS	22.750	295,747.40	38.630	12-31-03	502,190.00	206,442.60
WPS	AMGN	Amgen Inc									
PUR	12-01-03	359958	5,500.000	58.776	323,710.20				5,500	323,710.20	Amgen Inc
PUR	12-09-03	359960	5,600.000	58.359	327,256.72				5,600	327,256.72	Amgen Inc
PUR	12-15-03	360881	4,900.000	59.808	293,450.22				4,900	293,450.22	Amgen Inc
	TOTAL -		16,000.000		944,417.14	0.00	0.00	0.00	16,000	944,417.14	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
WPS	AMGN	Amgen Inc			32,400.000 SHRS	61.164	1,981,704.84	61.990	12-31-03	988,640.00	-993,064.84
WPS	AUD	Automatic Data Processing									
SAL	02-25-03	331835	-7,000.000	31.820		222,173.29	-85,583.75	136,589.54			Automatic Data Processing
SAL	03-18-03	333841	-3,250.000	29.578		95,865.60	-39,735.31	56,130.29			Automatic Data Processing
SAL	04-01-03	336326	-16,900.000	30.800		519,143.63	-206,623.63	312,520.00			Automatic Data Processing Inc
SAL	04-30-03	338755	-6,000.000	33.450		200,210.60	-73,357.50	126,853.10			Automatic Data Processing
SAL	05-27-03	340655	-23,850.000	33.335		793,087.39	-291,387.06	501,700.33			Automatic Data Processing
	TOTAL -		-57,000.000		0.00	1,830,480.51	-696,687.25	1,133,793.26	0	0.00	
WPS	AXP	American Express Company									

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04
FC3.3

ENTITY TYPE	INVESTMENT DESCRIPTION / TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	WPS AXP	American Express Company			26,000.000 SHRS	50.296	1,307,684.60	48.230	12-31-03	0.00	-1,307,684.60
WPS	BUD	Anheuser-Busch Companies Inc									
SAL	04-30-03	338754	-4,000.000	49.980		199,590.64	-211,025.20	-11,434.56			Anheuser Busch Companies
SAL	06-25-03	344092	-6,000.000	50.940		305,145.69	-316,537.80	-11,392.11			Anheuser-Busch Companies
	TOTAL -		-10,000.000		0.00	504,736.33	-527,563.00	-22,826.67	0	0.00	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	WPS BUD	Anheuser-Busch Companies Inc			32,900.000 SHRS	47.786	1,572,144.05	52.680	12-31-03	1,733,172.00	161,027.95
WPS	DELL	Dell Computer Corp									
PUR	01-17-03	329223	4,200.000	24.890	104,874.00				0	0.00	Dell Computer Corp
SAL	04-30-03	338757	-14,000.000	28.870		403,041.08	-387,867.20	15,173.88			Dell Computer Corp
SAL	05-27-03	340656	-8,000.000	29.840		238,068.82	-221,638.40	16,430.42			Dell Computer Corp
	TOTAL -		-17,800.000		104,874.00	641,109.90	-609,505.60	31,604.30	0	0.00	
WPS	DELLINC	Dell Inc									
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	WPS DELLINC	Dell Inc			59,800.000 SHRS	25.896	1,548,596.67	33.980	12-31-03	2,032,004.00	483,407.33
WPS	ERTS	Electronic Arts									
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	WPS ERTS	Electronic Arts			2,550.000 SHRS	45.266	115,429.32	49.770	12-31-02	0.00	-115,429.32
WPS	FDC	First Data									
SAL	03-31-03	335119	-2,600.000	37.300		96,977.55	-108,584.71	-11,607.16			First Data Corp
SAL	04-30-03	338758	-9,000.000	39.170		351,793.50	-361,181.70	-9,388.20			First Data Corp
SAL	05-13-03	340218	-4,600.000	39.989		183,571.41	-182,459.00	1,112.41			First Data Corp
SAL	06-25-03	344094	-12,000.000	42.450		508,416.16	-421,862.64	86,553.52			First Data Corp
SAL	07-25-03	347333	-8,900.000	38.557		342,429.24	-272,817.12	69,612.12			First Data Corp

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	10-07-03	354088	-12,200.000	41.072		500,077.72	-270,411.10	229,666.62			First Data Corp
SAL	11-26-03	358349	-4,450.000	37.879		168,198.11	-98,323.86	69,874.25			First Data Corp
SAL	12-24-03	361563	-6,925.000	40.000		276,433.03	-150,280.40	126,152.63			First Data Corp
SAL	12-26-03	361564	-7,225.000	40.002		288,422.92	-148,207.15	140,215.77			First Data Corp
	TOTAL -		-67,900.000		0.00	2,716,319.64	-2,014,127.68	702,191.96	0	0.00	
WPS	FRX	Forest Laboratories Inc									
PUR	04-09-03	336323	5,800.000	52.781	306,591.48				5,800	306,591.48	Forest Laboratories Inc
PUR	04-10-03	336324	8,500.000	52.635	448,080.05				8,500	448,080.05	Forest Laboratories Inc
PUR	04-22-03	336863	9,200.000	49.227	453,619.80				9,200	453,619.80	Forest Laboratories Inc
PUR	04-23-03	337045	3,800.000	49.264	187,507.20				3,800	187,507.20	Forest Laboratories Inc
	TOTAL -		27,300.000		1,395,798.53	0.00	0.00	0.00	27,300	1,395,798.53	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
WPS	FRX	Forest Laboratories Inc	15,200.000	SHRS	49.809	757,100.66	61.800	12-31-03	1,687,140.00	930,039.34

WPS	HD	Home Depot									
SAL	06-25-03	344097	-8,400.000	32.940		276,011.05	-428,154.72	-152,143.67			Home Depot Inc
SAL	08-22-03	349540	-9,000.000	32.617		292,815.66	-454,816.68	-162,001.02			Home Depot
SAL	09-02-03	351265	-3,900.000	32.112		124,919.71	-195,602.40	-70,682.69			Home Depot
SAL	09-02-03	351266	-9,100.000	32.112		291,479.34	-446,081.09	-154,601.75			Home Depot
SAL	10-20-03	354645	-12,450.000	35.938		446,416.14	-609,409.76	-162,993.62			Home Depot Inc
	TOTAL -		-42,850.000		0.00	1,431,641.90	-2,134,064.65	-702,422.75	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
WPS	HD	Home Depot	26,550.000	SHRS	37.996	1,008,793.31	35.490	12-31-03	942,259.50	-66,533.81

WPS	JNJ	Johnson & Johnson									
PUR	01-21-03	329225	17,500.000	54.937	962,799.25				0	0.00	Johnson & Johnson
SAL	03-31-03	335120	-1,700.000	57.980		98,427.51	-93,529.07	4,898.44			Johnson & Johnson
SAL	04-30-03	338759	-9,000.000	56.400		506,856.24	-495,153.90	11,702.34			Johnson & Johnson
SAL	05-27-03	340657	-15,200.000	53.046		805,051.54	-797,768.26	7,283.28			Johnson & Johnson

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	05-27-03	340657	-6,800.000	53.046		360,154.64	-374,116.28	-13,961.64			Johnson & Johnson
SAL	09-24-03	352076	-7,000.000	49.558		346,329.06	-339,330.70	6,998.36			Johnson & Johnson
	TOTAL -		-22,200.000		962,799.25	2,116,818.99	-2,099,898.21	16,920.78	0	0.00	
MPS	JPMSWEEP	J P Morgan Money Market Sweep									
SAL	01-02-03	328139	-34,700.000	1.000		34,700.00	-34,700.00	0.00			JPM Prime MM Sweep Fund
SAL	01-21-03	329227	-73,198.000	1.000		73,198.00	-73,198.00	0.00			JPM Prime MM Sweep Fund
PUR	01-23-03	329224	617,540.610	1.000	617,540.61				0	0.00	JPM Prime MM Sweep Fund
SAL	01-24-03	329228	-638,946.320	1.000		638,946.32	-638,946.32	0.00			JPM Prime MM Sweep Fund
SAL	01-29-03	329229	-1,365.590	1.000		1,365.59	-1,365.59	0.00			JPM Prime MM Sweep Fund
SAL	01-30-03	329230	-415,056.920	1.000		415,056.92	-415,056.92	0.00			JPM Prime MM Sweep Fund
PUR	02-06-03	331113	345,593.460	1.000	345,593.46				0	0.00	JPM Prime MM Sweep Fund
PUR	02-28-03	331834	222,173.290	1.000	222,173.29				0	0.00	JPM Prime MM Sweep Fund
SAL	03-03-03	333840	-400,000.000	1.000		400,000.00	-400,000.00	0.00			JPM Prime MM Sweep Fund
PUR	03-21-03	333839	95,865.600	1.000	95,865.60				0	0.00	JPM Prime MM Sweep Fund
PUR	03-25-03	334662	326,360.480	1.000	326,360.48				0	0.00	JPM Prime MM Sweep Fund
PUR	03-27-03	334664	488,763.760	1.000	488,763.76				0	0.00	JPM Prime MM Sweep Fund
PUR	04-03-03	336320	260,696.390	1.000	260,696.39				0	0.00	JPM Prime MM Sweep Fund
SAL	04-04-03	336325	-727,678.730	1.000		727,678.73	-727,678.73	0.00			JPM Prime MM Sweep Fund
PUR	04-08-03	336321	27,833.430	1.000	27,833.43				0	0.00	JPM Prime MM Sweep Fund
PUR	04-14-03	336322	485,071.050	1.000	485,071.05				0	0.00	JPM Prime MM Sweep Fund
SAL	04-15-03	338753	-448,080.050	1.000		448,080.05	-448,080.05	0.00			JPM Prime MM Sweep Fund
SAL	04-22-03	336864	-1,271.790	1.000		1,271.79	-1,271.79	0.00			JPM Prime MM Sweep Fund
SAL	04-25-03	336865	-453,619.800	1.000		453,619.80	-453,619.80	0.00			JPM Prime MM Sweep Fund
PUR	04-28-03	337043	654,595.330	1.000	654,595.33				0	0.00	JPM Prime MM sweep Fund
PUR	04-30-03	337044	9,701.380	1.000	9,701.38				0	0.00	JPM Prime MM sweep Fund
SAL	05-05-03	340216	-456,843.300	1.000		456,843.30	-456,843.30	0.00			JPM Prime MM Sweep Fund
PUR	05-13-03	340214	183,571.410	1.000	183,571.41				0	0.00	JPM Prime MM Sweep Fund
SAL	05-16-03	340217	-275,988.750	1.000		275,988.75	-275,988.75	0.00			JPM Prime MM Sweep Fund
SAL	05-27-03	340654	-85,899.380	1.000		85,899.38	-85,899.38	0.00			JPM Prime MM Sweep Fund
PUR	05-30-03	340653	4,569,751.060	1.000	4,569,751.06				0	0.00	JPM Prime MM Sweep Fund
SAL	06-02-03	342664	-3,893,413.540	1.000		3,893,413.54	-3,893,413.54	0.00			JPM Prime MM Sweep Fund
PUR	06-03-03	342663	142,347.510	1.000	142,347.51				0	0.00	JPM Prime MM Sweep Fund
SAL	06-11-03	342665	-81,283.850	1.000		81,283.85	-81,283.85	0.00			JPM Prime MM Sweep Fund
PUR	06-23-03	343619	15,166.050	1.000	15,166.05				0	0.00	JPM Prime MM Sweep Fund
PUR	06-27-03	343620	408,498.690	1.000	408,498.69				0	0.00	JPM Prime MM Sweep Fund

04-26-04

FC3.3

Market Street Trust Company

PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	06-30-03	344087	1,308,703.620	1.000	1,308,703.62				0	0.00	JPM Prime MM Sweep Fund
SAL	07-02-03	346672	-1,608,915.500	1.000		1,608,915.50	-1,608,915.50	0.00			JPM Prime MM Sweep Fund
SAL	07-03-03	346673	-364,215.300	1.000		364,215.30	-364,215.30	0.00			JPM Prime MM Sweep Fund
PUR	07-14-03	346667	50,048.450	1.000	50,048.45				0	0.00	JPM Prime MM Sweep Fund
PUR	07-15-03	346668	38,808.630	1.000	38,808.63				0	0.00	JPM Prime MM Sweep Fund
SAL	07-16-03	346674	-1,098.050	1.000		1,098.05	-1,098.05	0.00			JPM Prime MM Sweep Fund
SAL	07-17-03	346675	-138,655.500	1.000		138,655.50	-138,655.50	0.00			JPM Prime MM Sweep Fund
SAL	07-21-03	347178	-805.300	1.000		805.30	-805.30	0.00			JPM Prime MM Sweep Fund
SAL	07-22-03	347179	-307,698.660	1.000		307,698.66	-307,698.66	0.00			JPM Prime MM Sweep Fund
SAL	07-25-03	347180	-46,368.670	1.000		46,368.67	-46,368.67	0.00			JPM Prime MM Sweep Fund
PUR	07-30-03	347331	342,429.240	1.000	342,429.24				0	0.00	JPM Prime MM Sweep Fund
SAL	08-01-03	349053	-102,700.000	1.000		102,700.00	-102,700.00	0.00			JPM Prime MM Sweep Fund
SAL	08-05-03	349054	-280,081.120	1.000		280,081.12	-280,081.12	0.00			JPM Prime MM Sweep Fund
SAL	08-13-03	349055	-170,392.950	1.000		170,392.95	-170,392.95	0.00			JPM Prime MM Sweep Fund
SAL	08-14-03	349056	-8,515.530	1.000		8,515.53	-8,515.53	0.00			JPM Prime MM Sweep Fund
SAL	08-25-03	349539	-50,226.120	1.000		50,226.12	-50,226.12	0.00			JPM Prime MM Sweep Fund
PUR	08-27-03	349536	136,852.460	1.000	136,852.46				0	0.00	JPM Prime MM Sweep Fund
SAL	08-28-03	349720	-72,051.400	1.000		72,051.40	-72,051.40	0.00			JPM Prime MM Sweep Fund
SAL	09-02-03	351263	-17,000.000	1.000		17,000.00	-17,000.00	0.00			JPM Prime MM Sweep Fund
PUR	09-05-03	351255	219,235.690	1.000	219,235.69				0	0.00	JPM Prime MM Sweep Fund
SAL	09-08-03	351264	-274,520.440	1.000		274,520.44	-274,520.44	0.00			JPM Prime MM Sweep Fund
PUR	09-15-03	351256	40,197.320	1.000	40,197.32				0	0.00	JPM Prime MM Sweep Fund
PUR	09-29-03	352074	29,089.990	1.000	29,089.99				0	0.00	JPM Prime MM Sweep Fund
PUR	10-10-03	354087	500,077.720	1.000	500,077.72				0	0.00	JPM Prime MM Sweep Fund
SAL	10-15-03	354642	-308,776.450	1.000		308,776.45	-308,776.45	0.00			JPM Prime MM Sweep Fund
SAL	10-21-03	354643	-131,633.470	1.000		131,633.47	-131,633.47	0.00			JPM Prime MM Sweep Fund
SAL	10-22-03	354644	-193,698.160	1.000		193,698.16	-193,698.16	0.00			JPM Prime MM Sweep Fund
PUR	10-23-03	354641	420,208.010	1.000	420,208.01				0	0.00	JPM Prime MM Sweep Fund
SAL	10-27-03	354933	-168,297.480	1.000		168,297.48	-168,297.48	0.00			JPM Prime MM Sweep Fund
SAL	10-28-03	354934	-86,761.200	1.000		86,761.20	-86,761.20	0.00			JPM Prime MM Sweep Fund
SAL	10-29-03	354935	-60,748.940	1.000		60,748.94	-60,748.94	0.00			JPM Prime MM Sweep Fund
SAL	10-30-03	355340	-5,500.000	1.000		5,500.00	-5,500.00	0.00			JPM Prime MM Sweep Fund
SAL	11-05-03	356986	-53,028.000	1.000		53,028.00	-53,028.00	0.00			JPM Prime MM Sweep Fund
PUR	12-02-03	359958	168,198.110	1.000	168,198.11				0	0.00	JPM Prime MM Sweep Fund
SAL	12-03-03	359961	-90,000.000	1.000		90,000.00	-90,000.00	0.00			JPM Prime MM Sweep Fund
PUR	12-04-03	359957	466,071.000	1.000	466,071.00				0	0.00	JPM Prime MM Sweep Fund
SAL	12-12-03	359962	-327,256.720	1.000		327,256.72	-327,256.72	0.00			JPM Prime MM Sweep Fund

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04
PCJ.3

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	12-16-03	360882	-195.230	1.000		195.23	-195.23	0.00			JPM Prime MM Sweep Fund
SAL	12-17-03	360883	-9,138.130	1.000		9,138.13	-9,138.13	0.00			JPM Prime MM Sweep Fund
SAL	12-18-03	360884	-253,551.420	1.000		253,551.42	-253,551.42	0.00			JPM Prime MM Sweep Fund
PUR	12-30-03	361561	237,892.230	1.000	237,892.23				237,892	237,892.23	JPM Prime MM Sweep Fund
PUR	12-31-03	361562	288,422.920	1.000	288,422.92				288,423	288,422.92	JPM Prime MM Sweep Fund
	TOTAL -		-19,411.070		13,099,764.69	13,119,175.76	-13,119,175.76	0.00	526,315	526,315.15	

CURRENT HOLDINGS -

ENTITY	INVESTMENT	DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
WPS	JPMSWEEP	J P Morgan Money Market Sweep	1,013,297.200	UNTS	1.000	1,013,297.20	1.000	12-31-03	526,315.15	-486,982.05

		Kellogg									
WPS	K								3,850	122,834.25	Kellogg Company
PUR	01-30-03	329629	3,850.000	31.825	122,834.25						Kellogg Co
SAL	04-30-03	338760	-7,000.000	32.750		228,679.27	-251,413.40	-22,734.13			
	TOTAL -		-3,150.000		122,834.25	228,679.27	-251,413.40	-22,734.13	3,850	122,834.25	

CURRENT HOLDINGS -

ENTITY	INVESTMENT	DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
WPS	K	Kellogg	48,250.000	SHRS	34.127	1,646,611.96	38.080	12-31-03	1,837,360.00	190,748.04

		Coca-Cola									
WPS	KO										Coca-Cola Co
SAL	04-30-03	338756	-18,000.000	40.350		724,826.00	-853,746.22	-128,920.22			
	TOTAL -		-18,000.000		0.00	724,826.00	-853,746.22	-128,920.22	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT	DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
WPS	KO	Coca-Cola	38,250.000	SHRS	45.458	1,738,784.97	50.750	12-31-03	1,941,187.50	202,402.53

		Marriott International Inc						
WPS	MAR					7,150	317,239.07	Marriott International Cl A
PUR	09-24-03	352075	7,150.000	44.289	317,239.07	6,900	309,196.59	Marriott Int'l Inc Cl A
PUR	10-09-03	354638	6,900.000	44.731	309,196.59	3,000	130,620.90	Marriott Int'l Inc Cl A
PUR	10-16-03	354639	3,000.000	43.460	130,620.90	5,050	219,906.29	Marriott Int'l Inc Cl A
PUR	10-17-03	354640	5,050.000	43.466	219,906.29	3,900	168,297.48	Marriot International Inc Cl A
PUR	10-22-03	354930	3,900.000	43.093	168,297.48			

04-26-04
PC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	10-23-03	354931	2,000.000	43.301	86,761.20				2,000	86,761.20	Marriot International Inc Cl A
PUR	10-24-03	354932	1,400.000	43.312	60,748.94				1,400	60,748.94	Marriot International Inc Cl A
	TOTAL -		29,400.000		1,292,770.47	0.00	0.00	0.00	29,400	1,292,770.47	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
WPS	MAR	Marriott International Inc	36,400.000	SHRS	44.234	1,610,107.07	46.200	12-31-03	1,358,280.00	-251,827.07

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
WPS	MDT	Medtronic Inc								
SAL	01-17-03	329231	-15,500.000	46.689	722,414.61	-704,164.18	18,250.43			Medtronic Inc
SAL	01-21-03	329232	-7,000.000	46.346	323,852.93	-311,507.70	12,345.23			Medtronic Inc
SAL	05-27-03	340658	-6,000.000	49.366	197,133.95	-177,933.71	19,200.24			Medtronic Inc
SAL	06-24-03	343621	-8,500.000	48.141	408,498.49	-373,291.25	35,207.24			Medtronic Inc
SAL	09-10-03	351267	-13,500.000	48.401	652,304.27	-555,161.11	97,143.16			Medtronic Inc
	TOTAL -		-48,500.000		0.00	2,304,204.25	-2,122,057.95	182,146.30	0	0.00

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
WPS	MSFT	Microsoft								
SAL	05-27-03	340659	-13,000.000	24.690	319,914.97	-456,141.40	-136,226.43			Microsoft Corp
PUR	06-30-03	344481	2,400.000	25.921	62,402.40				2,400	62,402.40	Microsoft Corp
PUR	07-14-03	346669	5,000.000	27.681	138,655.50				5,000	138,655.50	Microsoft Corp
PUR	08-20-03	349535	1,900.000	26.355	50,226.12				1,900	50,226.12	Microsoft Corp
	TOTAL -		-3,700.000		251,284.02	319,914.97	-456,141.40	-136,226.43	9,300	251,284.02	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
WPS	MSFT	Microsoft	81,600.000	SHRS	32.502	2,652,186.70	27.370	12-31-03	2,233,392.00	-418,794.70

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
WPS	NYT	New York Times Co A								
SAL	04-30-03	338761	-7,000.000	46.400	324,224.79	-269,961.30	54,263.49			New York Times Co Cl A
SAL	05-27-03	340660	-8,000.000	46.817	373,879.27	-308,527.20	65,352.07			New York Times Co Cl A
	TOTAL -		-15,000.000		0.00	698,104.06	-578,488.50	119,615.56	0	0.00

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

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	CURRENT HOLDINGS -											
	ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	WPS NYT		New York Times Co A			33,600.000 SHRS	38 248	1,285,120.64	47.790	12-31-03	1,605,744.00	320,623.36
WPS	PFE	Pfizer										
SAL	02-03-03	331115		-16,700.000	30.330		505,159.75	-690,015.67	-184,855.92			Pfizer Inc
SAL	03-20-03	334671		-10,700 000	30.582		326,360.48	-110,352.67	216,007.81			Pfizer Inc
SAL	03-24-03	334673		-15,650.000	31.312		488,763 76	-161,403.66	327,360.10			Pfizer Inc
	TOTAL -			-43,050.000		0.00	1,320,283.99	-961,772.00	358,511.99	0	0 00	
WPS	QCOM	QualComm Inc										
PUR	06-27-03	344480		8,500.000	36.263	308,915.50				8,500	308,915.50	Qualcomm Inc
PUR	06-30-03	344482		8,300.000	36.283	301,812.90				8,300	301,812.90	Qualcomm Inc
PUR	07-31-03	347636		7,400.000	37.769	280,081.12				7,400	280,081.12	Qualcomm Inc
PUR	08-08-03	349052		4,750.000	35.792	170,392.95				4,750	170,392.95	Qualcomm Inc
PUR	08-22-03	349537		4,000.000	38.911	155,963.20				4,000	155,963.20	Qualcomm Inc
PUR	08-25-03	349719		1,850.000	38.867	72,051.40				1,850	72,051.40	Qualcomm Inc
PUR	09-02-03	351257		4,800.000	40.996	197,163.36				4,800	197,163.36	Qualcomm Inc
PUR	09-03-03	351260		6,700 000	40.893	274,520.44				6,700	274,520.44	Qualcomm Inc
	TOTAL -			46,300.000		1,760,900.87	0.00	0.00	0.00	46,300	1,760,900.87	
	CURRENT HOLDINGS -											
	ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	WPS QCOM		QualComm Inc			46,300.000 SHRS	38.032	1,760,900.87	53.930	12-31-03	2,496,959.00	736,058.13
WPS	SBUX	Starbucks Corp										
SAL	04-01-03	336329		-31,000.000	25.619		791,662.53	-596,939.78	194,722.75			Starbucks Corp
SAL	05-28-03	340665		-2,400.000	23.659		56,586.46	-42,050.40	14,536.06			Starbucks Corp
SAL	05-29-03	340799		-6,000.000	23.806		142,347.51	-104,067.18	37,480.33			Starbucks Corp
	TOTAL -			-39,400.000		0.00	990,596.50	-743,857.36	246,739.14	0	0.00	
	CURRENT HOLDINGS -											
	ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	WPS SBUX		Starbucks Corp			38,000.000 SHRS	17.367	659,951.85	33.160	12-31-03	1,260,080.00	600,128.15

04-26-04
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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
WPS	STRY	Stryker Corp									
SAL	04-30-03	338762	-5,000.000	65.870		328,934.58	-74,932.50	254,002.08			Stryker Corp
	TOTAL -		-5,000.000		0.00	328,934.58	-74,932.50	254,002.08	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
WPS	STRY	Stryker Corp	21,200.000	SHRS	14.420	305,707.80	85.010	12-31-03	1,802,212.00	1,496,504.20

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
WPS	STT	State Street Corp									
SAL	04-01-03	336327	-14,500.000	31.700		458,468.48	-836,341.16	-377,872.68			State Street Corp
	TOTAL -		-14,500.000		0.00	458,468.48	-836,341.16	-377,872.68	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
WPS	STT	State Street Corp	34,950.000	SHRS	52.186	1,823,901.81	52.080	12-31-03	1,820,196.00	-3,705.81

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
WPS	SYY	Sysco									
PUR	01-27-03	329226	15,900.000	28.334	451,788.96				15,900	451,788.96	Sysco Corp
SAL	04-01-03	336328	-11,700.000	25.270		294,709.16	-373,130.83	-78,421.67			Sysco Corp
SAL	05-27-03	340661	-8,000.000	29.970		239,108.77	-240,333.20	-1,224.43			Sysco Corp
	TOTAL -		-3,800.000		451,788.96	533,817.93	-613,464.03	-79,646.10	15,900	451,788.96	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
WPS	SYY	Sysco	35,700.000	SHRS	28.969	1,034,194.62	37.230	12-31-03	1,329,111.00	294,916.38

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
WPS	TGT	Target Corp									
PUR	05-13-03	340215	7,500.000	36.719	275,988.75				1,650	60,717.52	Target Corp
SAL	06-25-03	344099	-5,850.000	37.540		219,130.72	-215,271.23	3,859.49			Target Corp
	TOTAL -		1,650.000		275,988.75	219,130.72	-215,271.23	3,859.49	1,650	60,717.52	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	WPS TGT	Target Corp			53,000.000 SHRS	32.387	1,716,511.54	38.400	12-31-03	2,035,200.00	318,688.46
WPS	VIAB	Viacom Non-Voting Common B									
PUR	12-30-03	341670	2,850.000	43.680	124,716.00				2,850	124,716.00	Viacom Inc Cl-B
	TOTAL -		2,850.000		124,716.00	0.00	0.00	0.00	2,850	124,716.00	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	WPS VIAB	Viacom Non-Voting Common B			46,250.000 SHRS	42.553	1,968,077.63	44.380	12-31-03	2,052,575.00	84,497.37
WPS	WAG	Walgreen Co									
PUR	09-10-03	351261	19,500.000	31.310	612,106.95				19,500	612,106.95	Walgreen Co
	TOTAL -		19,500.000		612,106.95	0.00	0.00	0.00	19,500	612,106.95	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	WPS WAG	Walgreen Co			19,500.000 SHRS	31.390	612,106.95	36.380	12-31-03	709,410.00	97,303.05
WPS	WFMI	Whole Foods Market Inc									
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	WPS WFMI	Whole Foods Market Inc			9,900.000 SHRS	68.969	682,788.17				-682,788.17
WPS	WMT	Wal-Mart Stores									
SAL	05-27-03	340662	-8,000.000	52.250		417,340.43	-431,956.00	-14,615.57			Wal-Mart Stores Inc
SAL	12-01-03	359963	-14,450.000	54.739		789,781.20	-774,284.56	15,496.64			Wal-Mart Stores Inc
	TOTAL -		-22,450.000		0.00	1,207,121.63	-1,206,240.56	881.07	0	0.00	
WPS	WWY	WM Wrigley Jr Co									
SAL	03-31-03	335121	-1,150.000	56.857		65,291.33	-61,411.50	3,879.83			WM Wrigley Jr Co
SAL	04-23-03	337047	-15,000.000	56.223		842,102.53	-788,684.62	53,417.91			WM Wrigley Jr Co
SAL	05-27-03	340663	-11,500.000	55.150		633,275.31	-539,141.18	94,134.13			WM Wrigley Jr Co

2173

04-26-04
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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	05-27-03	340663	-3,500.000	55.150		192,735.97	-181,179.95	11,556.02			WM Wrigley Jr Co
SAL	07-09-03	346670	-900.000	55.692		50,048.45	-41,703.84	8,344.61			WM Wrigley Jr Co
SAL	07-10-03	346671	-700.000	55.524		38,808.63	-32,436.32	6,372.31			WM Wrigley Jr Co
	TOTAL -		-32,750.000		0.00	1,822,262.22	-1,644,557.41	177,704.81	0	0.00	
REPORT TOTAL -					55,020,260.40	89,139,944.10	-86,329,517.48	2,810,426.62	787,357	8,335,822.39	

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
ARMSTR ABT	Abbott Laboratories										
SAL	04-29-03	337186	-5,100.000	40.124		204,418.31	-195,805.83	8,612.48			Abbottt Labs
SAL	05-29-03	340763	-3,500.000	44.020		153,922.78	-134,376.55	19,546.23			Abbott Labs
SAL	06-25-03	344120	-7,800.000	44.757		348,775.48	-299,467.74	49,307.74			Abbott Labs
	TOTAL -		-16,400.000		0.00	707,116.57	-629,650.12	77,466.45	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR ABT	Abbott Laboratories	20,400.000	SHRS	39.166	798,979.27	46.600	12-31-03	950,640.00	151,660.73

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
ARMSTR AIG	American International Group										
PUR	05-29-03	340757	18,300.000	56.450	1,033,767.00				12,300	694,827.00	American International Group
SAL	06-25-03	344123	-4,900.000	56.240		275,367.10	-276,801.00	-1,433.90			American International Group
SAL	09-26-03	352490	-1,100.000	58.024		63,778.97	-62,139.00	1,639.97			American International Group
	TOTAL -		12,300.000		1,033,767.00	339,146.07	-338,940.00	206.07	12,300	694,827.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR AIG	American International Group	12,300.000	SHRS	56.490	694,827.00	66.280	12-31-03	815,244.00	120,417.00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
ARMSTR ALL	Allstate Corp										
SAL	04-29-03	337187	-5,500.000	37.670		206,955.30	-186,292.70	20,662.60			Allstate Corp Del Com
SAL	06-25-03	344121	-8,900.000	36.851		327,605.22	-301,455.46	26,149.76			Allstate Corp
SAL	09-26-03	352489	-1,200.000	36.590		43,857.94	-40,645.68	3,212.26			Allstate Corp
SAL	11-28-03	358411	-1,900.000	40.481		76,833.35	-64,355.66	12,477.69			Allstate Corp Del
	TOTAL -		-17,500.000		0.00	655,251.81	-592,749.50	62,502.31	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR ALL	Allstate Corp	21,500.000	SHRS	34.827	748,772.69	43.020	12-31-03	924,930.00	176,157.31

ARMSTR AOL AOL Time Warner

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TIN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	09-17-03	351970	37,600.000	16.328	615,429.28				0	0.00	AOL Time Warner Inc
	TOTAL -		37,600.000		615,429.28	0.00	0.00	0.00	0	0.00	
ARMSTR ATH		Anthem Inc									
PUR	10-27-03	355327	8,400.000	70.480	592,363.80				8,400	592,363.80	Anthem Inc
	TOTAL -		8,400.000		592,363.80	0.00	0.00	0.00	8,400	592,363.80	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR ATH	Anthem Inc	8,400.000	SHRS	70.520	592,363.80	75.000	12-31-03	630,000.00	37,636.20

ARMSTR AXP		American Express Company									
SAL	04-29-03	337188	-13,200.000	37.861	499,207.21	-360,290.04	138,917.17				American Express Company
SAL	05-29-03	340767	-4,300.000	41.164	176,825.77	-117,367.21	59,458.56				American Express Co
SAL	06-25-03	344122	-8,500.000	42.800	363,442.97	-232,004.95	131,438.02				American Express Company
SAL	07-28-03	347330	-1,700.000	45.735	77,677.52	-46,400.99	31,276.53				American Express Co
	TOTAL -		-27,700.000		0.00	1,117,153.67	-756,063.19	361,090.28	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR AXP	American Express Company	19,500.000	SHRS	28.344	552,704.31	48.230	12-31-03	940,485.00	387,780.69

ARMSTR C2		Citigroup Inc									
SAL	04-29-03	337172	-500.000	39.123	19,540.63	-27,034.49	-7,493.86				Citigroup Inc
SAL	04-29-03	337173	-5,333.000	39.123	208,420.40	-262,154.58	-53,734.18				Citigroup Inc
SAL	05-29-03	340770	-2,500.000	41.160	102,795.18	-124,918.04	-22,122.86				Citigroup Inc
SAL	06-25-03	344128	-11,200.000	43.560	487,401.16	-558,152.94	-70,751.78				Citigroup Inc
SAL	09-26-03	352494	-2,500.000	45.750	114,269.64	-114,837.17	-567.53				Citigroup Inc
	TOTAL -		-22,033.000		0.00	932,427.01	-1,087,097.22	-154,670.21	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR C2	Citigroup Inc	21,633.000	SHRS	45.129	976,284.44	48.540	12-31-03	1,050,065.82	73,781.38

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
ARMSTR CB	Chubb Corp										
SAL	05-29-03	340759	-5,700.000	61.608		350,920.59	-416,442.00	-65,521.41			Chubb Corp
SAL	06-25-03	344127	-4,900.000	60.600		296,730.10	-357,994.00	-61,263.90			Chubb Corp
SAL	09-26-03	352495	-1,500.000	64.117		96,111.44	-109,590.00	-13,478.56			Chubb Corp
	TOTAL -		-12,100.000		0.00	743,762.13	-884,026.00	-140,263.87	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR CB	Chubb Corp		13,400.000	SHRS	71.615	959,639.06	68.100	12-31-03	912,540.00	-47,099.06

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
ARMSTR CD	Cendant Corp										
SAL	04-29-03	337191	-32,300.000	14.022		451,587.71	-328,384.41	123,203.30			Cendant Corp
SAL	05-29-03	340769	-17,600.000	16.350		287,042.53	-172,977.42	114,065.11			Cendant Corp
SAL	06-25-03	344125	-30,800.000	18.010		553,450.03	-339,684.25	213,765.78			Cendant Corp
SAL	09-26-03	352493	-4,300.000	18.441		79,122.30	-52,288.00	26,834.30			Cendant Corp
SAL	11-28-03	358413	-8,400.000	22.029		184,698.09	-102,144.00	82,554.09			Cendant Corp
	TOTAL -		-93,400.000		0.00	1,555,900.66	-995,478.08	560,422.58	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR CD	Cendant Corp		41,700.000	SHRS	12.402	517,166.16	22.270	12-31-03	1,026,647.00	509,480.84

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
ARMSTR CMCSKA	Comcast Corp Cl A										
SAL	04-29-03	337190	-15,100.000	29.719		448,128.87	-466,419.56	-18,290.69			Comcast Corp New Cl A
SAL	06-25-03	344129	-7,600.000	29.489		223,803.43	-228,430.16	-4,626.73			Comcast Corp Cl A
SAL	09-26-03	352491	-1,500.000	29.000		43,437.96	-48,887.62	-5,449.66			Comcast Corp Cl A
	TOTAL -		-24,200.000		0.00	715,370.26	-743,737.34	-28,367.08	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR CMCSKA	Comcast Corp Cl A		29,200.000	SHRS	37.430	1,092,956.82	31.290	12-31-03	913,668.00	-179,288.82

ARMSTR CVS CVS Corp

2177

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	05-29-03	340768	-7,400.000	25.870		191,133.04	-200,123.38	-8,990.34			CVS Corp Delaware
SAL	06-25-03	344124	-14,700.000	27.700		406,582.94	-397,542.39	9,040.55			CVS Corp
SAL	09-18-03	351975	-3,900.000	31.100		121,128.32	-105,470.43	15,657.89			CVS Corp DEL
SAL	09-26-03	352492	-1,700.000	31.160		52,901.52	-45,974.29	6,927.23			CVS Corp
SAL	11-28-03	358412	-4,000.000	37.390		149,393.00	-108,174.80	41,218.20			CVS Corp
	TOTAL -		-31,700.000		0.00	921,138.82	-857,285.29	63,853.53	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR CVS	CVS Corp	23,400.000	SHRS	26.166	612,291.63	36.120	12-31-03	845,208.00	232,916.37

ARMSTR CVX	ChevronTexaco Corp										
SAL	05-29-03	340758	-2,700.000	70.178		189,363.19	-185,355.00	4,008.19			ChevronTexaco Corp
SAL	06-25-03	344126	-3,500.000	73.890		258,462.89	-240,275.00	18,187.89			ChevronTexaco Corp
	TOTAL -		-6,200.000		0.00	447,826.08	-425,630.00	22,196.08	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR CVX	ChevronTexaco Corp	8,200.000	SHRS	68.650	562,930.00	86.390	12-31-03	708,398.00	145,468.00

ARMSTR DD	E I Dupont										
SAL	04-29-03	337176	-4,500.000	42.280		190,071.09	-166,680.00	23,391.09			Du Pont E I De Nemours
SAL	05-29-03	340761	-900.000	41.450		37,267.25	-39,867.03	-2,599.78			Du Pont E I De Nemours
SAL	06-25-03	344131	-8,000.000	42.140		336,784.22	-354,373.60	-17,589.38			Du Pont E I De Nemours
	TOTAL -		-13,400.000		0.00	564,122.56	-560,920.63	3,201.93	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR DD	E I Dupont	21,600.000	SHRS	43.360	936,565.54	45.890	12-31-03	991,224.00	54,658.46

ARMSTR DGX	Quest Diagnostics Incorporated										
PUR	10-21-03	354881	7,100.000	62.640	445,030.84				0	0.00	Quest Diagnostics Inc
SAL	11-26-03	357972	-7,100.000	72.476		514,270.80	-445,030.84	69,239.96			Quest Diagnostics Inc

2178

Market Street Trust Company

04-26-04 PURCHASE / SALE DETAIL HISTORY REPORT PAGE

FC3.3 BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	PKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			0.000		445,030.84	514,270.80	-445,030.84	69,239.96	0	0.00	
ARMSTR DVN		Devon Energy Corp									
SAL	04-29-03	337177	-4,000.000	47.586		190,174.29	-135,160.00	55,014.29			Devon Energy Corp New
SAL	05-29-03	340760	-1,700.000	50.442		85,680.06	-93,035.50	-7,355.44			Devon Energy Corp
SAL	06-25-03	344130	-6,400.000	55.008		351,777.44	-282,449.00	69,328.44			Devon Energy Corp
TOTAL -			-12,100.000		0.00	627,631.79	-510,644.50	116,987.29	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR DVN	Devon Energy Corp	13,800.000	SHRS	33.952	468,538.85	57.260	12-31-03	790,188.00	321,649.15

ARMSTR EMR		Emerson Electric Co									
SAL	04-29-03	337174	-5,800.000	49.850		288,884.46	-315,578.00	-26,693.54			Emerson Electric Co
SAL	04-29-03	337175	-2,600.000	49.850		129,499.93	-120,796.00	8,703.93			Emerson Electric Co
SAL	05-29-03	340764	-700.000	50.670		35,439.34	-45,357.55	-9,918.21			Emerson Electric Co
SAL	06-25-03	344132	-8,500.000	52.301		444,873.41	-550,770.25	-105,896.84			Emerson Electric Co
SAL	09-26-03	352497	-1,600.000	53.210		85,068.01	-103,674.40	-18,606.39			Emerson Electric
SAL	11-28-03	358415	-1,900.000	60.675		115,200.53	-123,516.55	-8,316.02			Emerson Electric Co
SAL	12-11-03	360896	-1,370.000	62.645		85,765.10	-89,560.33	-3,795.23			Emerson Electric Co
SAL	12-12-03	360897	-550.000	62.755		34,491.74	-35,954.87	-1,463.13			Emerson Electric Co
SAL	12-15-03	361941	-880.000	63.428		55,816.57	-57,696.55	-1,879.98			Emerson Electric Co
TOTAL -			-23,900.000		0.00	1,275,039.09	-1,442,904.50	-167,865.41	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR EMR	Emerson Electric Co	13,900.000	SHRS	64.284	893,551.74	64.750	12-31-03	900,025.00	6,473.26

ARMSTR EXC		Exelon Corp									
SAL	04-30-03	338666	-3,400.000	52.050		176,825.71	-140,299.98	36,525.73			Exelon Corp.
SAL	05-29-03	340763	-3,400.000	57.057		193,848.04	-140,299.98	53,548.06			Exelon Corp
SAL	06-25-03	344133	-6,100.000	60.106		366,386.05	-251,714.67	114,671.38			Exelon Corp
SAL	09-26-03	352496	-1,400.000	62.850		87,985.87	-57,770.58	30,215.29			Exelon Corp
TOTAL -			-14,300.000		0.00	825,045.67	-590,085.21	234,960.46	0	0.00	

Market Street Trust Company

04-26-04 PURCHASE / SALE DETAIL HISTORY REPORT PAGE

FC1.3 BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR EXC	Exelon Corp				13,800.000	SHRS	47.232	651,805.05	66.360	12-31-03	915,768.00	263,962.95
ARMSTR FBF	Fleet Boston Financial Corp											
SAL	02-27-03	332639	-16,800.000	24.390		409,076.06	-642,899.04	-233,822.98			Fleet Boston Financial Corp
SAL	04-29-03	337182	-7,500.000	26.803		200,709.34	-138,492.75	62,216.59			FleetBoston Financial Corp
SAL	05-29-03	340772	-4,300.000	29.140		125,124.13	-164,551.54	-39,427.41			FleetBoston Financial Corp
SAL	06-25-03	344135	-11,100.000	30.222		335,005.61	-413,918.10	-78,912.49			FleetBoston Financial Corp
SAL	06-25-03	344135	-1,700.000	30.222		51,307.16	-31,391.69	19,915.47			FleetBoston Financial Corp
SAL	09-26-03	352498	-1,500.000	30.120		45,117.88	-27,698.55	17,419.33			FleetBoston Financial Corp
SAL	10-28-03	355331	-5,900.000	38.650		227,788.32	-108,947.63	118,840.69			FleetBoston Financial Corp
SAL	11-28-03	358419	-1,200.000	40.610		48,681.71	-22,158.84	26,522.87			FleetBoston Financial Corp
TOTAL -			-50,000.000		0.00	1,442,810.21	-1,550,058.14	-107,247.93	0	0.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR FBF	Fleet Boston Financial Corp				21,400.000	SHRS	18.466	395,165.98	43.650	12-31-03	934,110.00	538,944.02
ARMSTR FE	FirstEnergy Corp											
PUR	09-08-03	351194	15,000.000	30.498	458,076.00					12,400	378,676.16	FirstEnergy Corp
PUR	09-15-03	351525	8,700.000	31.860	277,530.00					8,700	277,530.00	FirstEnergy Corp
SAL	11-28-03	358416	-2,600.000	34.330		89,149.82	-79,399.84	9,749.98				FirstEnergy Corp
TOTAL -			21,100.000		735,606.00	89,149.82	-79,399.84	9,749.98	21,100	656,206.16	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR FE	FirstEnergy Corp				21,100.000	SHRS	31.100	656,206.16	35.200	12-31-03	742,720.00	86,513.84
ARMSTR FNM	Federal National Mortgage Assn											
PUR	06-09-03	342761	17,800.000	72.085	1,283,821.44					12,400	894,347.52	Federal National Mortgage Assn
SAL	06-25-03	344134	-4,700.000	68.400		321,276.95	-338,986.56	-17,709.61				Fannie Mae Comp
SAL	11-28-03	358417	-700.000	69.800		48,829.71	-50,487.36	-1,657.65				Fannie Mae

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			12,400.000		1,283,621.44	370,106.66	-389,673.92	-19,367.26	12,400	894,347.52	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR FNM	Federal National Mortgage Assn	12,400.000	SHRS	72.125	894,347.52	75.060	12-31-03	930,744.00	36,396.48

ARMSTR FRE		Freddie Mac									
SAL	04-29-03	337192	-2,400.000	57.750		138,497.51	-109,619.76	28,877.75			Freddie Mac
SAL	05-29-03	340771	-1,200.000	59.120		70,892.67	-54,707.33	16,185.34			Freddie Mac
SAL	06-09-03	342763	-28,000.000	50.749		1,419,777.09	-1,301,291.13	118,485.96			Freddie Mac
TOTAL -			-31,600.000		0.00	1,629,167.27	-1,465,618.22	163,549.05	0	0.00	
ARMSTR GCI		Gannett									
SAL	04-29-03	337194	-2,600.000	75.354		195,806.71	-144,756.00	51,050.71			Gannett Company
SAL	06-25-03	344136	-4,300.000	76.682		314,218.30	-211,758.50	102,459.80			Gannett Company
SAL	11-28-03	358421	-1,500.000	86.751		130,059.96	-90,277.50	39,782.46			Gannett Company
TOTAL -			-8,200.000		0.00	640,084.97	-446,792.00	193,292.97	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR GCI	Gannett	8,400.000	SHRS	59.609	500,716.50	89.160	12-31-03	748,944.00	248,227.50

ARMSTR GE		General Electric Co									
SAL	04-29-03	337193	-16,200.000	29.250		473,179.82	-470,311.92	2,867.90			General Electric
SAL	06-25-03	344137	-12,700.000	29.670		376,283.36	-368,701.32	7,582.04			General Electric
SAL	09-26-03	352499	-2,400.000	29.873		71,596.56	-69,675.84	1,920.72			General Electric
TOTAL -			-31,300.000		0.00	921,059.74	-908,689.08	12,370.66	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR GE	General Electric Co	28,100.000	SHRS	29.032	815,787.96	30.980	12-31-03	870,538.00	54,750.04

ARMSTR HCA		HCA Inc									
PUR	04-10-03	336245	8,200.000	35.735	293,354.18				8,200	293,354.18	HCA Inc.

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	06-25-03	344138	-7,600.000	32.086		243,540.46	-333,056.32	-89,515.86			HCA Inc
PUR	07-17-03	347128	3,700.000	33.469	123,984.41				3,700	123,984.41	HCA Inc
SAL	09-26-03	352500	-4,100.000	34.880		142,837.30	-179,675.12	-36,837.82			HCA Inc
SAL	11-28-03	358423	-3,700.000	41.996		155,230.66	-162,145.84	-6,915.18			HCA Inc
	TOTAL -		-3,500.000		417,338.59	$41,608.42	-674,877.28	-133,268.86	11,900	417,338.59	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR HCA	HCA Inc	24,300.000	SHRS	39.537	960,746.27	42.960	12-31-03	1,043,928.00	83,181.73

ARMSTR HON	Honeywell International Inc										
SAL	05-29-03	340773	-8,000.000	25.405		202,910.48	-194,963.20	7,947.28			Honeywell International
SAL	06-25-03	344142	-11,000.000	27.565		302,759.70	-268,074.40	34,685.30			Honeywell International
SAL	11-28-03	358422	-2,500.000	29.856		74,536.50	-60,926.00	13,610.50			Honeywell International Inc
	TOTAL -		-21,500.000		0.00	580,206.68	-523,963.60	56,243.08	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR HON	Honeywell International Inc	19,400.000	SHRS	24.370	472,785.76	33.430	12-31-03	648,542.00	175,756.24

ARMSTR IMS	IMS Health Inc										
SAL	01-28-03	329165	-71,500.000	15.932		1,134,785.11	-1,465,349.21	-330,564.10			IMS Health Inc
	TOTAL -		-71,500.000		0.00	1,134,785.11	-1,465,349.21	-330,564.10	0	0.00	

ARMSTR JHF	John Hancock Financial Service										
SAL	05-29-03	340774	-2,700.000	29.513		79,574.18	-96,185.34	-16,611.16			John Hancock Financial Service
SAL	06-25-03	344139	-11,700.000	31.050		362,804.67	-416,803.14	-53,998.47			John Hancock Financial Service
SAL	10-02-03	354174	-24,200.000	33.615		812,464.82	-862,105.64	-49,640.82			John Hancock Financial Service
	TOTAL -		-38,600.000		0.00	1,254,843.67	-1,375,094.12	-120,250.45	0	0.00	

ARMSTR JPMSWEEP	J P Morgan Money Market Sweep										
SAL	01-02-03	328092	-85,700.000	1.000		85,700.00	-85,700.00	0.00			JPM Prime MM Sweep Fund
SAL	01-29-03	329164	-166.670	1.000		166.67	-166.67	0.00			JPM Prime MM Sweep Fund

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	RKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	01-31-03	329163	1,134,785.110	1.000	1,134,785.11				0	0.00	JPM Prime MM Sweep Fund
SAL	02-11-03	331124	-44,531.330	1.000		44,531.33	-44,531.33	0.00			JPM Prime MM Sweep Fund
SAL	02-24-03	331125	-943,247.700	1.000		943,247.70	-943,247.70	0.00			JPM Prime MM Sweep Fund
SAL	02-25-03	331859	-93,830.800	1.000		93,830.80	-93,830.80	0.00			JPM Prime MM Sweep Fund
SAL	03-03-03	333876	-406,169.200	1.000		406,169.20	-406,169.20	0.00			JPM Prime MM Sweep Fund
PUR	03-04-03	333875	633,601.270	1.000	633,601.27				0	0.00	JPM Prime MM Sweep Fund
PUR	03-25-03	336648	581,437.450	1.000	581,437.45				0	0.00	JPM Prime MM Sweep Fund
SAL	04-04-03	336242	-1,200,000.000	1.000		1,200,000.00	-1,200,000.00	0.00			JPM Prime MM Sweep Fund
SAL	04-15-03	336243	-293,354.180	1.000		293,354.18	-293,354.18	0.00			JPM Prime MM Sweep Fund
SAL	04-22-03	336856	-166.670	1.000		166.67	-166.67	0.00			JPM Prime MM Sweep Fund
PUR	05-02-03	340159	6,395,699.920	1.000	6,395,699.92				0	0.00	JPM Prime MM Sweep Fund
SAL	05-07-03	340162	-5,000,000.000	1.000		5,000,000.00	-5,000,000.00	0.00			JPM Prime MM Sweep Fund
PUR	05-16-03	340160	50,000.000	1.000	50,000.00				0	0.00	JPM Prime MM Sweep Fund
PUR	05-19-03	340161	291,310.500	1.000	291,310.50				0	0.00	JPM Prime MM Sweep Fund
SAL	05-23-03	340594	-49,148.710	1.000		49,148.71	-49,148.71	0.00			JPM Prime MM Sweep Fund
SAL	05-27-03	340595	-41,280.000	1.000		41,280.00	-41,280.00	0.00			JPM Prime MM Sweep Fund
SAL	06-03-03	342762	-647,392.470	1.000		647,392.47	-647,392.47	0.00			JPM Prime MM Sweep Fund
SAL	06-11-03	344512	-98,065.840	1.000		98,065.84	-98,065.84	0.00			JPM Prime MM Sweep Fund
PUR	06-12-03	342760	135,955.650	1.000	135,955.65				0	0.00	JPM Prime MM Sweep Fund
SAL	06-19-03	343589	-541,013.780	1.000		541,013.78	-541,013.78	0.00			JPM Prime MM Sweep Fund
PUR	06-30-03	344119	12,127,055.750	1.000	12,127,055.75				0	0.00	JPM Prime MM Sweep Fund
SAL	07-01-03	346623	-12,549,240.000	1.000		12,549,240.00	-12,549,240.00	0.00			JPM Prime MM Sweep Fund
PUR	07-08-03	346622	543,621.130	1.000	543,621.13				0	0.00	JPM Prime MM Sweep Fund
SAL	07-16-03	346624	-166.670	1.000		166.67	-166.67	0.00			JPM Prime MM Sweep Fund
SAL	07-22-03	347131	-147,087.740	1.000		147,087.74	-147,087.74	0.00			JPM Prime MM Sweep Fund
SAL	07-25-03	347132	-131,139.000	1.000		131,139.00	-131,139.00	0.00			JPM Prime MM Sweep Fund
PUR	07-31-03	347329	77,677.520	1.000	77,677.52				0	0.00	JPM Prime MM Sweep Fund
SAL	08-04-03	349066	-305,050.000	1.000		305,050.00	-305,050.00	0.00			JPM Prime MM Sweep Fund
PUR	08-05-03	349064	85,544.590	1.000	85,544.59				0	0.00	JPM Prime MM Sweep Fund
PUR	08-08-03	349065	7,500.000	1.000	7,500.00				0	0.00	JPM Prime MM Sweep Fund
SAL	08-14-03	349067	-9,933.580	1.000		9,933.58	-9,933.58	0.00			JPM Prime MM Sweep Fund
PUR	08-25-03	349521	257,662.220	1.000	257,662.22				0	0.00	JPM Prime MM Sweep Fund
PUR	08-26-03	349522	139,821.450	1.000	139,821.45				0	0.00	JPM Prime MM Sweep Fund
SAL	09-02-03	351198	-64,100.000	1.000		64,100.00	-64,100.00	0.00			JPM Prime MM Sweep Fund
PUR	09-05-03	351191	45,817.340	1.000	45,817.34				0	0.00	JPM Prime MM Sweep Fund
PUR	09-09-03	351193	705,821.780	1.000	705,821.78				0	0.00	JPM Prime MM Sweep Fund
SAL	09-11-03	351199	-458,076.000	1.000		458,076.00	-458,076.00	0.00			JPM Prime MM Sweep Fund

04-26-04 Market Street Trust Company PAGE

FC3.3 PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	09-17-03	351524	21.120	1.000	21.12				0	0.00	JPM Prime MM Sweep Fund
SAL	09-18-03	351526	-277,530.000	1.000		277,530.00	-277,530.00	0.00			JPM Prime MM Sweep Fund
SAL	09-22-03	351973	-615,429.280	1.000		615,429.28	-615,429.28	0.00			JPM Prime MM Sweep Fund
PUR	09-23-03	351972	328,806.560	1.000	328,806.56				0	0.00	JPM Prime MM Sweep Fund
PUR	10-01-03	354172	144,016.310	1.000	144,016.31				0	0.00	JPM Prime MM sweep Fund
PUR	10-07-03	354173	812,464.820	1.000	812,464.82				0	0.00	JPM Prime MM sweep Fund
SAL	10-17-03	354617	-27,956.670	1.000		27,956.67	-27,956.67	0.00			JPM Prime MM Sweep Fund
SAL	10-21-03	354618	-166.670	1.000		166.67	-166.67	0.00			JPM Prime MM Sweep Fund
SAL	10-24-03	354882	-445,030.840	1.000		445,030.84	-445,030.84	0.00			JP Morgan Prime MM Sweep Fund
SAL	10-30-03	355478	-876,623.160	1.000		876,623.16	-876,623.16	0.00			JPM Prime MM Sweep Fund
PUR	10-31-03	355329	294,155.900	1.000	294,155.90				0	0.00	JPM Prime MM Sweep Fund
PUR	11-07-03	356932	226,528.610	1.000	226,528.61				0	0.00	JPM Prime MM Sweep Fund
PUR	11-10-03	356933	90,040.770	1.000	90,040.77				52,955	52,954.95	JPM Prime MM Sweep Fund
PUR	11-12-03	356934	167,837.650	1.000	167,837.65				167,838	167,837.65	JPM Prime MM Sweep Fund
SAL	11-24-03	357940	-62,893.440	1.000		62,893.44	-62,893.44	0.00			JPM Prime MM Sweep Fund.
SAL	11-25-03	357944	-140,688.200	1.000		140,688.20	-140,688.20	0.00			JPM Prime MM Sweep Fund.
SAL	11-26-03	357945	-128,716.410	1.000		128,716.41	-128,716.41	0.00			JPM Prime MM Sweep Fund.
SAL	11-28-03	357946	-106,738.150	1.000		106,738.15	-106,738.15	0.00			JPM Prime MM Sweep Fund.
PUR	12-01-03	359985	514,270.800	1.000	514,270.80				514,271	514,270.80	JPM Prime MM Sweepn Fund
PUR	12-03-03	359986	324,247.130	1.000	324,247.13				324,247	324,247.13	JPM Prime MM Sweepn Fund
SAL	12-11-03	359989	-128,950.240	1.000		128,950.24	-128,950.24	0.00			JPM Prime MM Sweep Fund
SAL	12-12-03	359990	-127,784.450	1.000		127,784.45	-127,784.45	0.00			JPM Prime MM Sweep Fund
PUR	12-16-03	360889	32,925.830	1.000	32,925.83				32,926	32,925.83	JPM Prime MM Sweep Fund
SAL	12-17-03	360894	-13,235.760	1.000		13,235.76	-13,235.76	0.00			JPM Prime MM Sweep Fund
SAL	12-26-03	360895	256,108.010	1.000		256,108.01	-256,108.01	0.00			JPM Prime MM Sweep Fund
SAL	12-29-03	361533	-68,123.410	1.000		68,123.41	-68,123.41	0.00			JPM Prime MM Sweep Fund
SAL	12-30-03	361534	-59,745.650	1.000		59,745.65	-59,745.65	0.00			JPM Prime MM Sweep Fund
	TOTAL -		-295,953.500		26,148,627.18	26,444,580.68	-26,444,580.68	0.00	1,092,236	1,092,236.36	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR JPMSWEEP	J P Morgan Money Market Sweep	971,629.780	UNTS	1.000 971,629.78	1.000	12-31-03	1,092,236.36	120,606.58

ARMSTR KMG	Kerr-McGee Corp									
SAL	05-29-03	340775	-6,900.000	47.201	325,394.96	-386,382.75	-60,987.79			Kerr McGee Corp
SAL	06-25-03	344158	-6,300.000	45.154	284,205.51	-348,584.25	-64,378.74			Kerr McGee Corp

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	10-28-03	355333	-6,500.000	42.990		279,161.92	-378,015.74	-98,853.82			Kerr-McGee Corp
TOTAL -			-19,700.000		0.00	888,762.39	-1,112,982.74	-224,220.35	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR KMG	Kerr-McGee Corp	13,600.000	SHRS	56.878	773,545.95	46.490	12-31-03	632,264.00	-141,281.95

ARMSTR LMCA	Liberty Media Corp CL A								
SAL	02-27-03	332640	-25,200.000	8.950	224,525.21	-616,550.76	-392,025.55		Liberty Media Corp Cl A
SAL	04-29-03	337178	-800.000	10.829	8,630.39	-10,348.00	-1,717.61		Liberty Media Corp A
SAL	04-29-03	337179	-4,000.000	10.829	43,151.97	-63,240.00	-20,088.03		Liberty Media Corp A
SAL	04-29-03	337180	-12,500.000	10.829	134,849.91	-155,125.00	-20,275.09		Liberty Media Corp A
SAL	04-29-03	337181	-15,500.000	10.829	167,213.89	-210,852.70	-43,638.81		Liberty Media Corp A
SAL	05-29-03	340776	-11,800.000	11.616	136,585.66	-286,733.24	-150,147.58		Liberty Media Corp A
SAL	06-25-03	344145	-28,200.000	11.550	324,566.75	-610,067.00	-285,500.25		Liberty Media Corp Cl A
TOTAL -			-98,000.000		0.00 1,039,523.78	-1,952,916.70	-913,392.92	0	0.00

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR LMCA	Liberty Media Corp CL A	79,400.000	SHRS	14.003	1,111,826.50	11.890	12-31-03	944,066.00	-167,760.50

ARMSTR MER	Merrill Lynch & Co Inc								
PUR	02-19-03	331126	27,000.000	34.895	943,247.70			10,900	380,792.59	Merrill Lynch & Co
SAL	04-29-03	337196	-6,100.000	40.570	247,221.41	-213,104.11	34,117.30		Merrill Lynch
SAL	05-29-03	340778	-1,500.000	42.750	64,061.99	-52,402.65	11,659.34		Merrill Lynch & Co
SAL	06-25-03	344148	-6,600.000	47.080	310,449.45	-230,571.66	79,877.79		Merrill Lynch & Co
SAL	09-18-03	351980	-1,900.000	57.064	108,340.90	-66,376.69	41,964.21		Merrill Lynch & Co Inc
TOTAL -			10,900.000		943,247.70	730,073.75	-562,455.11	167,618.64	10,900	380,792.59	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR MER	Merrill Lynch & Co Inc	10,900.000	SHRS	34.935	380,792.59	58.650	12-31-03	639,285.00	258,492.41

ARMSTR MHS Medco Health Solutions, Inc

Market Street Trust Company

04-26-04 PURCHASE / SALE DETAIL HISTORY REPORT PAGE

FC3.3 BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	09-02-03	351200	-1,772.000	25.898		45,817.34	-44,487.24	1,330.10			Medco Health Solutions
	TOTAL -		-1,772.000		0.00	45,817.34	-44,487.24	1,330.10	0	0.00	
ARMSTR MRK		Merck & Company									
SAL	04-29-03	337197	-3,600.000	56.800		204,326.43	-203,736.96	589.47			Merck & Company
SAL	06-25-03	344147	-7,600.000	61.755		469,014.31	-430,111.36	38,902.95			Merck & Co
	TOTAL -		-11,200.000		0.00	673,340.74	-633,848.32	39,492.42	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR MRK	Merck & Company	14,700.000	SHRS	53.567	787,438.68	46.200	12-31-03	679,140.00	-108,298.68

ARMSTR MTG		MGIC Investment Corp WIS									
SAL	05-01-03	341021	-6,500.000	44.859		291,310.50	-247,478.40	43,832.10			MGIC Investment Corp Wis
SAL	05-29-03	341022	-4,000.000	51.660		206,470.32	-237,840.00	-31,369.68			MGIC Investment Corp Wis
SAL	06-25-03	344146	-5,900.000	48.656		286,822.73	-350,814.00	-63,991.27			MGIC Investment Corp-Wisc
SAL	09-18-03	351979	-1,800.000	55.230		99,337.34	-107,028.00	-7,690.66			MGIC Investment Corp WIS
SAL	10-28-03	355334	-4,600.000	51.380		236,154.77	-273,516.00	-37,361.23			MGIC Investment Corp
SAL	11-28-03	358424	-900.000	52.716		47,400.77	-53,514.00	-6,113.23			MGIC Investment Corp Wis
	TOTAL -		-23,700.000		0.00	1,167,496.43	-1,270,190.40	-102,693.97	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR MTG	MGIC Investment Corp WIS	9,100.000	SHRS	40.894	372,133.44	56.940	12-31-03	626,340.00	254,206.56

ARMSTR MWD Morgan Stanley Dean Witter

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR MWD	Morgan Stanley Dean Witter	10,600.000	SHRS	58.184	616,752.24	57.870	12-31-03	0.00	-616,752.24

ARMSTR NOK		Nokia Corp CL-A									
PUR	11-19-03	357897	3,580.000	17.528	62,893.44				3,580	62,893.44	Nokia Corp
PUR	11-20-03	357903	7,980.000	17.590	140,688.20				7,980	140,688.20	Nokia Corp
PUR	11-21-03	357909	7,230.000	17.763	128,716.41				7,230	128,716.41	Nokia Corp

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	11-24-03	357914	5,910.000	18.021	106,738.15				5,910	106,738.15	Nokia Corp
PUR	12-22-03	340893	10,100.000	17.006	172,167.63				10,100	172,167.63	Nokia Corp Cl A
	TOTAL -		34,800.000		611,203.83	0.00	0.00	0.00	34,800	611,203.83	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR NOK	Nokia Corp Cl-A	34,800.000	SHRS	17.563	611,203.83	17.000	12-31-03	591,600.00	-19,603.83

ARMSTR ODP Office Depot Inc

SAL	04-29-03	337195	-11,600.000	12.600	145,689.15	-153,227.88	-7,538.73			Office Depot Inc
SAL	05-29-03	340779	-5,100.000	13.383	68,047.63	-67,367.43	680.20			Office Depot
SAL	06-25-03	344149	-27,000.000	15.002	403,949.64	-356,651.10	47,298.54			Office Depot Inc
PUR	07-22-03	347129	8,100.000	16.150	131,139.00				8,100	131,139.00	Office Depot Inc
	TOTAL -		-35,600.000		131,139.00	617,686.42	-577,246.41	40,440.01	8,100	131,139.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR ODP	Office Depot Inc	58,500.000	SHRS	13.622	796,887.72	16.710	12-31-03	977,535.00	180,647.28

ARMSTR OHP Oxford Health Plans Inc

SAL	05-29-03	340780	-9,000.000	36.401	327,230.06	-232,693.20	94,536.86			Oxford Health Plans Inc
SAL	06-25-03	344150	-11,800.000	41.313	487,000.94	-305,086.64	181,914.30			Oxford Health Plans Inc
SAL	07-31-03	347777	-2,000.000	42.814	85,544.59	-51,709.60	33,834.99			Oxford Health Plans Inc
SAL	11-28-03	358425	-6,600.000	46.000	290,122.40	-181,777.32	108,345.08			Oxford Health Plans
	TOTAL -		-29,400.000		0.00	1,189,897.99	-771,266.76	418,631.23	0	0.00

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR OHP	Oxford Health Plans Inc	13,300.000	SHRS	35.135	467,288.85	43.500	12-31-03	578,550.00	111,261.15

ARMSTR PBI Pitney-Bowes Inc

SAL	04-29-03	337183	-10,500.000	35.035	367,433.43	-289,614.63	77,818.80			Pitney Bowes Inc
SAL	05-29-03	340781	-3,300.000	37.455	123,462.06	-118,732.59	4,729.47			Pitney Bowes Inc
SAL	06-25-03	344151	-9,100.000	37.804	343,636.30	-269,210.48	74,425.82			Pitney Bowes Inc

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	09-26-03	352501	-2,000.000	38.886		77,688.36	-55,164.69	22,523.67			Pitney-Bowes Inc
TOTAL -			-24,900.000		0.00	912,220.15	-732,722.39	179,497.76	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR PBI	Pitney-Bowes Inc	22,000.000	SHRS	32.182	708,003.76	40.620	12-31-03	893,640.00	185,636.24

ARMSTR Pierpont J.P. Morgan Funds

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR Pierpont	J.P. Morgan Funds	209,931.400	UNTS	1.000	209,931.40	1.000	12-31-03	209,931.40	0.00

ARMSTR RSH Radioshack Corp

TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	04-29-03	337184	-6,100.000	24.048		146,440.10	-148,983.35	-2,543.25			Radioshack Corp
SAL	04-29-03	337185	-13,000.000	24.048		312,085.46	-254,222.80	57,862.66			Radioshack Corp
SAL	05-29-03	340782	-19,400.000	23.307		451,354.75	-586,242.78	-134,888.03			Radioshack Corp
SAL	06-25-03	344152	-12,300.000	26.003		319,328.70	-371,690.01	-52,361.31			Radioshack Corp
SAL	09-04-03	351201	-23,300.000	30.334		705,821.78	-594,566.43	111,255.35			Radioshack Corp
TOTAL -			-74,100.000		0.00	1,935,030.79	-1,955,705.37	-20,674.58	0	0.00	

ARMSTR SWY Safeway Inc

TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	06-25-03	344153	-13,800.000	20.400		280,954.82	-609,408.00	-328,453.18			Safeway Inc
SAL	09-26-03	352502	-5,700.000	23.460		133,487.74	-251,712.00	-118,224.26			Safeway Inc
SAL	11-04-03	356935	-11,320.000	20.052		226,528.61	-490,459.20	-263,930.59			Safeway Inc
SAL	11-05-03	356936	-4,500.000	20.050		90,040.77	-186,570.00	-96,529.23			Safeway Inc
SAL	11-06-03	356937	-8,380.000	20.069		167,837.65	-347,434.80	-179,597.15			Safeway Inc
TOTAL -			-43,700.000		0.00	898,849.59	-1,885,584.00	-986,734.41	0	0.00	

ARMSTR TWX Time Warner Inc

TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	12-08-03	359987	7,820.000	16.450	128,950.24				7,820	128,950.24	Time Warner Inc dividend
PUR	12-09-03	359988	7,680.000	16.599	127,784.45				7,680	127,784.45	Time Warner Inc dividend
TOTAL -			15,500.000		256,734.69	0.00	0.00	0.00	15,500	256,734.69	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR TWX		Time Warner Inc			53,100.000 SHRS	16.425	872,163.97	17.990	12-31-03	955,269.00	83,105.03
ARMSTR TXT		Textron Inc									
SAL	03-20-03	334650	-20,200.000	28.825		581,437.45	-952,358.96	-370,921.51			Textron Inc
SAL	06-25-03	344154	-6,200.000	38.600		239,060.79	-298,264.31	-59,203.52			Textron Inc
SAL	07-02-03	346625	-14,000.000	38.872		543,621.13	-493,640.00	49,981.13			Textron Inc
TOTAL -			-40,400.000		0.00	1,364,119.37	-1,744,263.27	-380,143.90	0	0.00	
ARMSTR WHR		Whirlpool Corp									
SAL	04-29-03	337200	-5,900.000	52.701		310,682.39	-229,716.50	80,965.89			Whirlpool Corp
SAL	06-25-03	344155	-9,600.000	63.607		610,218.46	-375,463.50	234,754.96			Whirlpool Corp
SAL	08-20-03	349523	-3,700.000	69.682		257,662.22	-148,222.00	109,440.22			Whirlpool Corp
SAL	08-21-03	349524	-2,000.000	69.954		139,821.45	-80,120.00	59,701.45			Whirlpool Corp
TOTAL -			-21,200.000		0.00	1,318,384.52	-833,522.00	484,862.52	0	0.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR WHR		Whirlpool Corp			5,330.000 SHRS	43.577	232,262.97	72.650	12-31-03	624,790.00	392,527.03
ARMSTR WM		Washington Mutual Inc									
PUR	10-23-03	355472	3,660.000	42.511	155,734.83				3,660	155,734.83	Washington Mutual Inc
PUR	10-24-03	355473	4,590.000	42.882	197,011.52				4,590	197,011.52	Washington Mutual Inc
PUR	10-27-03	355328	6,460.000	43.499	281,259.36				6,460	281,259.36	Washington Mutual Inc
PUR	10-28-03	355330	2,190.000	43.888	96,202.76				2,190	96,202.76	Washington Mutual Inc
TOTAL -			16,900.000		730,208.47	0.00	0.00	0.00	16,900	730,208.47	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR WM		Washington Mutual Inc			16,900.000 SHRS	43.208	730,208.47	40.120	12-31-03	678,028.00	-52,180.47
ARMSTR XRX		Xerox Corp									
PUR	12-11-03	360890	4,430.000	11.888	52,839.27				4,430	52,839.27	Xerox Corp

04-26-04 Market Street Trust Company PAGE

PC3.1 PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	12-12-03	360891	2,860.000	11.970	34,348.31				2,860	34,348.31	Xerox Corp
PUR	12-22-03	360892	6,380.000	13.117	83,940.38				6,380	83,940.38	Xerox Corp
PUR	12-23-03	361531	5,020.000	13.530	68,123.41				5,020	68,123.41	Xerox Corp
PUR	12-24-03	361532	4,310.000	13.822	59,745.65				4,310	59,745.65	Xerox Corp
	TOTAL -		23,000.000		298,997.02	0.00	0.00	0.00	23,000	298,997.02	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR XRX	Xerox Corp	23,000.000	SHRS	13.000	298,997.02	13.800	12-31-03	317,400.00	18,402.98

ARMSTR YUM	YUM Brands Inc.								
SAL 04-29-03	337199	-11,600.000	24.702	286,068.10	-155,498.00	130,570.10			Yum Brands Inc
SAL 05-29-03	340783	-5,500.000	27.501	151,027.87	-75,133.75	75,894.12			Yum Brands Inc
SAL 06-25-03	344156	-14,500.000	28.952	419,208.70	-242,191.25	177,017.45			Yum Brands Inc
SAL 09-26-03	352503	-2,300.000	29.500	67,754.82	-38,809.63	28,945.19			Yum Brands Inc
SAL 11-28-03	358410	-3,300.000	34.621	114,110.63	-55,683.37	58,427.26			Yum Brands Inc
	TOTAL -	-37,200.000		0.00	1,038,170.12	-567,316.00	470,854.12	0	0.00

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
ARMSTR YUM	YUM Brands Inc.	25,400.000	SHRS	22.649	575,285.30	34.400	12-31-03	873,760.00	298,474.70

MSET3 JPMSWEEP	J P Morgan Money Market Sweep								
SAL 09-02-03	351288	-100.000	1.000	100.00	-100.00	0.00			JPM Prime MM Sweep Fund
	TOTAL -	-100.000		0.00	100.00	-100.00	0.00	0	0.00

PCM AAPL	Apple Computer Inc								
SAL 05-27-03	340671	-2,000.000	18.630	37,258.25	-36,100.00	1,158.25			Apple Computer Inc
SAL 06-25-03	344165	-8,200.000	19.032	155,728.73	-148,010.00	7,718.73			Apple Computer Inc
	TOTAL -	-10,200.000		0.00	192,986.98	-184,110.00	8,876.98	0	0.00

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PCN	AAPL	Apple Computer Inc			23,200.000 SHRS	15.800	366,564.06	21.370	12-31-03	495,784.00	129,219.94
PCN	ANDM	Andrew Corp									
SAL	06-25-03	344164	-14,400.000	9.487		136,027.52	-204,871.88	-68,844.36			Andrew Corp
TOTAL -			-14,400.000		0.00	136,027.52	-204,871.88	-68,844.36	0	0.00	
PCN	AT	Alltel Corp									
SAL	05-27-03	340670	-2,000.000	48.018		95,950.50	-109,082.60	-13,132.10			Alltel Corp
SAL	06-25-03	344163	-8,400.000	48.637		408,194.83	-457,853.15	-49,658.32			Alltel Corp
TOTAL -			-10,400.000		0.00	504,145.33	-566,935.75	-62,790.42	0	0.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PCN	AT	Alltel Corp			22,000.000 SHRS	53.366	1,174,044.73	46.580	12-31-03	1,024,760.00	-149,284.73
PCN	BBOX	Black Box Corp									
SAL	09-11-03	351540	-4,800.000	45.672		219,024.78	-200,931.75	18,093.03			Black Box Corp
TOTAL -			-4,800.000		0.00	219,024.78	-200,931.75	18,093.03	0	0.00	
PCN	BKNG	BankNorth Group Inc									
SAL	05-27-03	340672	-1,200.000	24.198		28,978.64	-24,488.00	4,490.64			BankNorth Group Inc
SAL	06-25-03	344166	-4,000.000	25.813		103,087.16	-81,691.00	21,396.16			Banknorth Group
TOTAL -			-5,200.000		0.00	132,065.80	-106,179.00	25,886.80	0	0.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PCN	BKNG	BankNorth Group Inc			10,500.000 SHRS	20.428	214,496.00	32.530	12-31-03	341,565.00	127,069.00
PCN	BLC	A H Belo Corp Class A									
SAL	05-27-03	340674	-2,000.000	23.100		46,117.83	-38,750.20	7,367.63			Belo Corp
SAL	06-25-03	344168	-6,000.000	22.760		154,488.75	-131,750.68	22,738.07			Belo Corp

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			-8,800.000		0.00	200,606.58	-170,500.88	30,105.70	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PCM	BLC	A H Belo Corp Class A	19,500.000	SHRS	18.870	367,974.51	28.340	12-31-03	552,630.00	184,655.49

PCM	BOH2	Bank of Hawaii Corp									
SAL	04-29-03	337162	-20,400.000	32.582		663,825.69	-395,846.82	267,978.87			Bank of Hawaii Corp
TOTAL -			-20,400.000		0.00	663,825.69	-395,846.82	267,978.87	0	0.00	
PCM	BSC	Bear Stearns Cos Inc Com									
SAL	05-27-03	340673	-1,000.000	72.120		72,076.62	-46,498.90	25,577.72			Bear Stearns Companies
SAL	06-25-03	344167	-3,200.000	74.750		239,060.80	-148,796.48	90,264.32			Bear Stearns Co
TOTAL -			-4,200.000		0.00	311,137.42	-195,295.38	115,842.04	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PCM	BSC	Bear Stearns Cos Inc Com	8,500.000	SHRS	46.499	395,240.65	79.950	12-31-03	679,575.00	284,334.35

PCM	CA	Computer Associates Int'l Inc									
SAL	05-27-03	340678	-10,000.000	21.593		215,517.89	-297,714.92	-82,197.03			Computer Associates Int'l
SAL	06-25-03	344171	-31,100.000	22.305		692,396.59	-805,706.69	-113,310.10			Computer Associates Int'l
SAL	08-11-03	349039	-2,500.000	24.124		60,207.17	-66,493.75	-6,286.58			Computer Associates Int'l
TOTAL -			-43,600.000		0.00	968,121.65	-1,169,915.36	-201,793.71	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PCM	CA	Computer Associates Int'l Inc	66,000.000	SHRS	16.230	1,071,195.64	27.340	12-31-03	1,804,440.00	733,244.36

PCM	CBSH	Commerce Bancshares Inc									
SAL	05-27-03	340677	-900.000	39.600		35,458.34	-29,544.89	5,913.45			Commerce Bancshares Inc
SAL	06-25-03	344170	-2,800.000	39.085		109,319.75	-91,917.43	17,402.32			Commerce Bancshares
TOTAL -			-3,700.000		0.00	144,778.09	-121,462.32	23,315.77	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PCM CBSH	Commerce Bancshares Inc				7,574.000	SHRS	31.267	236,818.68	49.020	12-31-03	371,277.48	134,458.80
PCM CCR	Countrywide Financial Corp											
SAL	05-01-03	340248	-6,600.000	66.830		440,791.37	-295,421.72	145,369.65				Countrywide Financial Corp
SAL	05-08-03	340251	-400.000	67.380		26,934.73	-17,421.40	9,513.33				Countrywide Financial Corp
SAL	06-25-03	344172	-1,100.000	69.300		76,182.43	-47,908.85	28,273.58				Countrywide Financial
TOTAL -			-8,100.000		0.00	543,908.53	-360,751.97	183,156.56	0	0.00		
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PCM CCR	Countrywide Financial Corp				7,733.000	SHRS	58.700	453,930.65	75.850	12-31-03	252,808.05	-201,122.60
PCM CF	Charter One Financial Inc											
SAL	04-29-03	337163	-21,934.000	29.338		642,587.83	-542,542.24	100,045.59				Charter One Financial Inc
TOTAL -			-21,934.000		0.00	642,587.83	-542,542.24	100,045.59	0	0.00		
PCM CI	Cigna Corp											
SAL	05-27-03	340675	-500.000	55.092		27,524.71	-45,736.65	-18,211.94				Cigna Corp
SAL	06-25-03	344169	-1,300.000	47.240		61,357.12	-118,915.29	-57,558.17				Cigna Corp
SAL	11-05-03	356978	-3,100.000	56.500		175,017.80	-283,567.23	-108,549.43				Cigna Corp
TOTAL -			-4,900.000		0.00	263,899.63	-448,219.17	-184,319.54	0	0.00		
PCM COGN	Cognos Inc											
SAL	03-31-03	335191	-4,800.000	22.767		109,085.40	-93,925.00	15,160.40				Cognos Inc
SAL	04-07-03	336280	-500.000	25.240		12,619.40	-9,793.75	2,825.65				Cognos Inc
SAL	04-07-03	336284	-500.000	25.240		12,619.40	-9,793.75	2,825.65				Cognos Inc
SAL	04-23-03	337049	-3,500.000	27.084		94,650.61	-68,468.75	26,181.86				Cognos Inc
SAL	04-23-03	337050	-2,400.000	27.260		65,325.89	-37,175.00	28,150.89				Cognos Inc
SAL	04-29-03	337164	-7,100.000	26.850		190,342.07	-108,718.75	81,623.32				Cognos Inc
TOTAL -			-18,800.000		0.00	484,642.77	-327,875.00	156,767.77	0	0.00		

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TRN DATE	TRN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PCM	DDEJF	Dundee Bancorp Sub Vtg A									
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PCM	DDEJF	Dundee Bancorp Sub Vtg A			15,800.000	SHRS	10.687	168,862.42	17.320	12-31-03	273,656.00	104,793.58
PCM	DJ	Dow Jones & Company Inc									
SAL	05-27-03	340679	-700.000	44.550		31,155.54	-27,661.69	3,493.85			Dow Jones Co
SAL	06-25-03	344173	-2,000.000	44.082		88,079.87	-79,033.40	9,046.47			Dow Jones Co Inc
SAL	10-21-03	354893	-5,200.000	50.300		261,339.75	-205,486.84	55,852.91			Dow Jones & Co
SAL	10-22-03	354894	-800.000	49.770		39,782.13	-31,613.36	8,168.77			Dow Jones & Co
TOTAL -			-8,700.000		0.00	420,357.29	-343,795.29	76,563.00	0	0.00	
PCM	DSCP	Datascope Corp									
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PCM	DSCP	Datascope Corp			5,700.000	SHRS	37.560	214,092.00	35.850	12-31-03	204,345.00	-9,747.00
PCM	DSS	Quantum Corp-DSSG									
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PCM	DSS	Quantum Corp-DSSG			81,100.000	SHRS	11.064	897,281.32	3.120	12-31-03	253,032.00	-644,249.32
PCM	EK	Eastman Kodak									
PUR	12-19-03	360873	7,200.000	24.460	176,400.00				7,200	176,400.00	Eastman Kodak Co
TOTAL -			7,200.000		176,400.00	0.00	0.00	0.00	7,200	176,400.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PCM	EK	Eastman Kodak			7,200.000	SHRS	24.500	176,400.00	25.670	12-31-03	184,824.00	8,424.00
PCM	ELRC	Electro Rent Corp									
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PCM	ELRC	Electro Rent Corp			15,100.000	SHRS	13.560	204,756.00	13.340	12-31-03	201,434.00	-3,322.00

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TON	DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PCM	ELY		Callaway Golf Company									
SAL		05-27-03	340676	-23,700.000	14.012		331,125.59	-375,948.36	-44,822.77			Callaway Golf Co
	TOTAL -			-23,700.000		0.00	331,125.59	-375,948.36	-44,822.77	0	0.00	
PCM	FCEA		Forest City Enterprises CL A									
SAL		06-25-03	344176	-700.000	41.279		28,865.66	-19,977.53	8,888.13			Forest City Enterprises
SAL		06-25-03	344177	-2,300.000	40.500		93,053.64	-65,640.47	27,413.17			Forest City Enterprises
	TOTAL -			-3,000.000		0.00	121,919.30	-85,618.00	36,301.30	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PCM	FCEA	Forest City Enterprises CL A	7,650.000	SHRS	28.635	219,054.09	47.510	12-31-03	363,451.50	144,397.41

ENTITY TYPE	INVESTMENT DESCRIPTION TON	DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PCM	FFFLD		Fidelity Bankshares Inc									
SAL		05-27-03	340680	-2,000.000	21.628		43,253.17	-27,720.00	15,533.17			Fidelity Bankshares Inc
SAL		06-25-03	344175	-8,700.000	20.751		180,172.90	-120,582.00	59,590.90			Fidelity Bankshares Inc
	TOTAL -			-10,700.000		0.00	223,426.07	-148,302.00	75,124.07	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PCM	FFFLD	Fidelity Bankshares Inc	23,100.000	SHRS	13.738	317,346.00	31.400	12-31-03	725,340.00	407,994.00

ENTITY TYPE	INVESTMENT DESCRIPTION TON	DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PCM	FVH		Fahnestock Viner Holdings									
SAL		06-25-03	344174	-2,300.000	28.150		64,649.96	-54,791.75	9,858.21			Fahnestock Viner Holdings A
	TOTAL -			-2,300.000		0.00	64,649.96	-54,791.75	9,858.21	0	0.00	
PCM	GCI		Gannett									
SAL		05-27-03	340681	-700.000	76.123		53,255.53	-42,852.04	10,403.49			Gannett Co
SAL		06-25-03	344178	-1,600.000	76.076		121,652.38	-97,947.52	23,704.86			Gannett Co
	TOTAL -			-2,300.000		0.00	174,907.91	-140,799.56	34,108.35	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER		QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	CURRENT HOLDINGS -											
	ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	PCM GCI	Gannett				4,200.000 SHRS	61.190	257,015.00	89.160	12-31-03	374,472.00	117,457.00
PCM	GDW	Golden West Financial Corp										
SAL	04-29-03	337165		-10,500.000	75.851		795,980.32	-496,755.00	299,225.32			Golden West Financial Corp
	TOTAL -			-10,500.000		0.00	795,980.32	-496,755.00	299,225.32	0	0.00	
PCM	HIB	Hibernia Corp Cl A										
SAL	05-27-03	340682		-1,200.000	18.513		22,165.96	-23,037.96	-872.00			Hibernia Corp Cl A
SAL	06-25-03	344179		-3,700.000	18.202		67,195.87	-71,033.71	-3,837.84			Hibernia Corp Cl A
	TOTAL -			-4,900.000		0.00	89,361.83	-94,071.67	-4,709.84	0	0.00	
	CURRENT HOLDINGS -											
	ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	PCM HIB	Hibernia Corp Cl A				9,500.000 SHRS	19.198	182,383.85	23.510	12-31-03	223,345.00	40,961.15
PCM	IBC	Interstate Bakeries Corp										
SAL	02-11-03	331219		-31,000.000	10.279		317,393.20	-484,894.00	-167,500.80			Interstate Bakeries Corp Del
	TOTAL -			-31,000.000		0.00	317,393.20	-484,894.00	-167,500.80	0	0.00	
PCM	IGT	International Game Technology										
SAL	04-29-03	337166		-2,800.000	84.561		236,647.59	-108,171.00	128,476.59			International Game Technology
SAL	05-27-03	340683		-1,300.000	90.080		117,046.51	-50,222.25	66,824.26			International Game Tech
SAL	06-04-03	342690		-4,300.000	90.442		388,709.96	-166,119.75	222,590.21			International Game Tech.
SAL	06-25-03	344180		-2,900.000	99.492		288,396.42	-113,744.77	174,651.65			International Game Technology
SAL	10-15-03	354609		-3,600.000	29.850		107,312.41	-36,968.49	70,343.92			International Game Technology
SAL	11-11-03	356979		-4,500.000	32.812		147,465.28	-46,210.61	101,254.67			International Game Technology
	TOTAL -			-19,400.000		0.00	1,285,578.57	-521,436.87	764,141.70	0	0.00	
	CURRENT HOLDINGS -											
	ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	PCM IGT	International Game Technology				21,900.000 SHRS	11.972	262,188.47	35.700	12-31-03	781,830.00	519,641.53

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PCM	JNS	Janus Cap Group Inc									
SAL	05-27-03	340684	-2,100.000	14.670		30,721.55	-65,573.97	-34,852.42			Janus Cap Group
SAL	06-25-03	344181	-7,300.000	15.920		115,918.56	-227,761.41	-111,842.85			Janus Capital Group Inc
SAL	09-04-03	351303	-19,400.000	15.950		308,639.51	-441,871.26	-133,231.75			Janus Cap Group Inc
	TOTAL -		-28,800.000		0.00	455,279.62	-735,206.64	-279,927.02	0	0.00	
PCM	JPMSWEEP	J P Morgan Money Market Sweep									
SAL	01-29-03	329138	-166.750	1.000		166.75	-166.75	0.00			JPM Prime MM Sweep Fund
SAL	02-11-03	331216	-44,363.730	1.000		44,363.73	-44,363.73	0.00			JPM Prime MM sweep Fund
PUR	02-14-03	331212	317,393.200	1.000	317,393.20				0	0.00	JPM Prime MM Sweep Fund
SAL	02-20-03	331217	-264,970.200	1.000		264,970.20	-264,970.20	0.00			JPM Prime MM sweep Fund
PUR	02-24-03	331213	135,499.520	1.000	135,499.52				0	0.00	JPM Prime MM Sweep Fund
SAL	03-03-03	333853	-219,997.320	1.000		219,997.32	-219,997.32	0.00			JPM Prime MM Sweep Fund
PUR	03-06-03	333852	17,207.800	1.000	17,207.80				0	0.00	JPM Prime MM Sweep Fund
PUR	04-03-03	336276	660,194.670	1.000	660,194.67				0	0.00	JPM Prime MM Sweep Fund
SAL	04-08-03	336278	-677,402.470	1.000		677,402.47	-677,402.47	0.00			JPM Prime MM Sweep Fund
PUR	04-09-03	336277	5,041.270	1.000	5,041.27				0	0.00	JPM Prime MM Sweep Fund
SAL	04-22-03	336869	-166.750	1.000		166.75	-166.75	0.00			JPM Prime MM Sweep Fund
PUR	04-28-03	337048	159,976.500	1.000	159,976.50				0	0.00	JPM Prime MM Sweep Fund
PUR	05-02-03	340244	3,545,550.270	1.000	3,545,550.27				0	0.00	JPM Prime MM Sweep Fund
PUR	05-06-03	340245	440,791.370	1.000	440,791.37				0	0.00	JPM Prime MM Sweep Fund
SAL	05-09-03	340252	-4,150,790.000	1.000		4,150,790.00	-4,150,790.00	0.00			JPM Prime MM Sweep Fund
PUR	05-13-03	340246	26,934.730	1.000	26,934.73				0	0.00	JPM Prime MM Sweep Fund
SAL	05-15-03	340253	-20,000.000	1.000		20,000.00	-20,000.00	0.00			JPM Prime MM Sweep Fund
PUR	05-30-03	340668	1,926,599.820	1.000	1,926,599.82				0	0.00	JPM Prime MM Sweep Fund
SAL	06-03-03	342688	-1,933,937.210	1.000		1,933,937.21	-1,933,937.21	0.00			JPM Prime MM Sweep Fund
PUR	06-09-03	342686	492,029.650	1.000	492,029.65				0	0.00	JPM Prime MM sweep Fund
SAL	06-10-03	342689	-14,254.270	1.000		14,254.27	-14,254.27	0.00			JPM Prime MM Sweep Fund
PUR	06-12-03	342687	347,538.090	1.000	347,538.09				0	0.00	JPM Prime MM sweep Fund
PUR	06-30-03	344161	5,755,586.910	1.000	5,755,586.91				0	0.00	JPM Prime MM Sweep Fund
SAL	07-01-03	346709	-6,464,760.000	1.000		6,464,760.00	-6,464,760.00	0.00			JPM Prime MM Sweep Fund
PUR	07-03-03	346707	14,254.270	1.000	14,254.27				0	0.00	JPM Prime MM Sweep Fund
PUR	07-10-03	346708	174,053.730	1.000	174,053.73				0	0.00	JPM Prime MM Sweep Fund
SAL	07-16-03	346710	-166.750	1.000		166.75	-166.75	0.00			JPM Prime MM Sweep Fund
SAL	07-25-03	347148	-37,290.010	1.000		37,290.01	-37,290.01	0.00			JPM Prime MM Sweep Fund

Market Street Trust Company

PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04

FC3.3

ENTITY INVESTMENT DESCRIPTION TYPE	TRN DATE	TRN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
											JPM Prime MM Sweep Fund
SAL	08-04-03	349038	-157,150.000	1.000		157,150.00	-157,150.00	0.00			JPM Prime MM Sweep Fund
PUR	08-14-03	349037	60,207.170	1.000	60,207.17				0	0.00	JPM Prime MM Sweep Fund
PUR	09-09-03	351300	306,639.510	1.000	306,639.51				0	0.00	JPM Prime MM Sweep Fund
PUR	09-11-03	351301	280,400.350	1.000	280,400.35				452,653	452,653.03	JPM Prime MM Sweep Fund
PUR	09-16-03	351539	548,427.160	1.000	548,427.16				107,312	107,312.41	JPM Prime MM Sweep Fund
PUR	10-20-03	354608	107,312.410	1.000	107,312.41						JPM Prime MM Sweep Fund
SAL	10-21-03	354610	-166.750	1.000		166.75	-166.75	0.00			JPM Prime MM Sweep Fund
PUR	10-24-03	354891	261,339.750	1.000	261,339.75				361,340	361,339.75	JPM Prime MM Sweep Fund
PUR	10-27-03	354892	39,782.130	1.000	39,782.13				39,782	39,782.13	JPM Prime MM Sweep Fund
SAL	10-30-03	355344	-31,330.110	1.000		31,330.11	-31,330.11	0.00			JPM Prime MM Sweep Fund
PUR	11-06-03	356974	422,561.480	1.000	422,561.48				422,561	422,561.48	JPM Prime MM Sweep Fund
PUR	11-10-03	356975	175,017.800	1.000	175,017.80				175,018	175,017.80	JPM Prime MM Sweep Fund
PUR	11-14-03	356976	147,465.280	1.000	147,465.28				147,465	147,465.28	JPM Prime MM Sweep Fund
SAL	12-01-03	359997	-647,000.000	1.000		647,000.00	-647,000.00	0.00			JPM Prime MM Sweep Fund
SAL	12-24-03	360874	-176,365.920	1.000		176,365.92	-176,365.92	0.00			JPM Prime MM Sweep Fund
	TOTAL -		1,529,526.600		16,369,804.84	14,840,278.24	-14,840,278.24	0.00	1,606,132	1,606,131.88	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PCM	JPMSWEEP	J P Morgan Money Market Sweep	1,365,943.730	UNTS	1.000	1,365,943.73	1.000	12-31-03	1,606,131.88	240,188.15

PCM	JWA	John Wiley & Sons Inc Cl A									John Wiley & Sons Inc Cl A
SAL	05-27-03	340708	-900.000	26.327		23,656.92	-17,913.87	5,743.05			Wiley John & Sons Cl A
SAL	06-25-03	344206	-2,800.000	26.000		72,684.59	-55,732.04	16,952.55			
	TOTAL -		-3,700.000		0.00	96,341.51	-73,645.91	22,695.60	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PCM	JWA	John Wiley & Sons Inc Cl A	7,300.000	SHRS	19.904	145,301.39	26.030	12-31-03	190,019.00	44,717.61

PCM	KRI	Knight Ridder Inc									Knight Ridder Inc
SAL	05-27-03	340685	-800.000	68.260		54,573.44	-42,192.16	12,381.28			Knight Ridder Inc
SAL	06-25-03	344182	-2,300.000	67.807		155,856.80	-121,302.46	34,554.34			
	TOTAL -		-3,100.000		0.00	210,430.24	-163,494.62	46,935.62	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT TRN	DESCRIPTION DATE	TRN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	CURRENT HOLDINGS -											
	ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	PCM	ERI	Knight Ridder Inc			5,900.000 SHRS	58.751	346,631.24	77.370	12-31-03	456,483.00	109,851.76
PCM	LAWS		Lawson Products Inc									
SAL	06-25-03		344183	-1,129.000	27.326		30,804.22	-32,357.14	-1,552.92			Lawson Products Inc
SAL	06-25-03		344184	-971.000	26.908		26,087.99	-27,828.86	-1,740.87			Lawson Products Inc
	TOTAL -			-2,100.000		0.00	56,892.21	-60,186.00	-3,293.79	0	0.00	
	CURRENT HOLDINGS -											
	ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	PCM	LAWS	Lawson Products Inc			5,200.000 SHRS	28.658	149,021.00	33.070	12-31-03	171,964.00	22,943.00
PCM	MCS		Marcus Corp									
SAL	05-27-03		340687	-1,000.000	13.450		13,409.37	-14,648.60	-1,239.23			Marcus Corp
SAL	06-25-03		344187	-8,600.000	14.404		123,520.30	-127,544.68	-4,024.38			Marcus Corp
	TOTAL -			-9,600.000		0.00	136,929.67	-142,193.28	-5,263.61	0	0.00	
	CURRENT HOLDINGS -											
	ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	PCM	MCS	Marcus Corp			22,800.000 SHRS	13.478	307,305.06	16.400	12-31-03	373,920.00	66,614.94
PCM	MENT		Mentor Graphics Corp									
SAL	05-27-03		340689	-1,700.000	11.863		20,165.30	-28,322.00	-8,156.70			Mentor Graphics Corp
SAL	06-25-03		344189	-5,800.000	14.729		85,189.88	-96,628.00	-11,438.12			Mentor Graphics Corp
	TOTAL -			-7,500.000		0.00	105,355.18	-124,950.00	-19,594.82	0	0.00	
	CURRENT HOLDINGS -											
	ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	PCM	MENT	Mentor Graphics Corp			15,300.000 SHRS	15.061	230,433.00	14.540	12-31-03	222,462.00	-7,971.00
PCM	MGG		MGM Mirage Inc									
SAL	05-27-03		340686	-1,000.000	27.650		27,608.70	-32,360.00	-4,751.30			MGM Mirage Inc

04-26-04 Market Street Trust Company PAGE
FC3.3 PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	06-25-03	344185	-3,000.000	33.990		101,845.22	-97,080.00	4,765.22			MGM Mirage Inc
	TOTAL -		-4,000.000		0.00	129,453.92	-129,440.00	13.92	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PCM	MGG	MGM Mirage Inc	8,000.000	SHRS	33.147	265,172.11	37.610	12-31-03	300,880.00	35,707.89

PCM	MLHR	Miller Herman									
SAL	02-19-03	331220	-8,500.000	15.942		135,499.52	-205,062.50	-69,562.98			Herman Miller Inc
	TOTAL -		-8,500.000		0.00	135,499.52	-205,062.50	-69,562.98	0	0.00	
PCM	MNI	McClatchy Company									
SAL	04-29-03	337147	-7,800.000	58.342		454,732.74	-302,260.20	152,472.54			McClatchy Company Cl A
SAL	05-27-03	340688	-700.000	59.120		41,354.06	-27,972.00	13,382.06			McClatchy Co Cl A
SAL	06-26-03	344188	-2,000.000	57.715		115,344.59	-79,920.00	35,424.59			McClatchy Company Cl A
	TOTAL -		-10,500.000		0.00	611,431.39	-410,152.20	201,279.19	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PCM	MNI	McClatchy Company	4,900.000	SHRS	39.100	191,587.90	68.800	12-31-03	337,120.00	145,532.10

PCM	MRBK	Mercantile Bankshares Corp									
SAL	05-27-03	340690	-900.000	39.227		35,302.37	-33,713.75	1,588.62			Mercantile Bankshares Corp
SAL	06-25-03	344190	-2,200.000	39.801		87,470.54	-82,397.50	5,073.04			Mercantile Bankshares
	TOTAL -		-3,100.000		0.00	122,772.91	-116,111.25	6,661.66	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PCM	MRBK	Mercantile Bankshares Corp	5,500.000	SHRS	40.572	223,145.00	45.580	12-31-03	250,690.00	27,545.00

PCM NCR NCR Corporation

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	PCM NCR	NCR Corporation			7,100.000 SHRS	34.245	243,139.60	38.800	12-31-03	275,480.00	32,340.40
PCM	NFB	North Fork Bancorporation									
SAL	04-29-03	337160	-12,000.000	32.133		385,102.75	-310,614.00	74,488.75			North Fork Bancorp
	TOTAL -		-12,000.000		0.00	385,102.75	-310,614.00	74,488.75	0	0.00	
PCM	NYT	New York Times Co A									
SAL	05-27-03	340691	-1,200.000	46.733		56,028.37	-49,872.00	6,156.37			New York Times Co A
SAL	06-25-03	344191	-3,200.000	45.760		146,297.14	-132,992.00	13,305.14			New York Times Co Cl A
	TOTAL -		-4,400.000		0.00	202,325.51	-182,864.00	19,461.51	0	0.00	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	PCM NYT	New York Times Co A			10,000.000 SHRS	40.521	405,206.56	47.790	12-31-03	477,900.00	72,693.44
PCM	OPY	Oppenheimer Holdings Inc Cl A									
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	PCM OPY	Oppenheimer Holdings Inc Cl A			5,800.000 SHRS	25.637	148,697.25	33.880	12-31-03	196,504.00	47,806.75
PCM	PPE	Park Place Entertainment Corp									
SAL	05-27-03	340692	-1,600.000	7.369		11,725.52	-15,795.84	-4,070.32			Park Place Entertainment
SAL	06-04-03	342691	-6,000.000	7.760		46,317.82	-59,234.40	-12,916.58			Park Place Entertainment Corp
SAL	06-04-03	342692	-12,300.000	8.024		98,196.12	-121,430.52	-23,234.40			Park Place Entertainment Corp
	TOTAL -		-19,900.000		0.00	156,239.46	-196,460.76	-40,221.30	0	0.00	
PCM	PRGS	Progressive Software Corp									
SAL	05-27-03	340693	-1,100.000	19.354		21,287.96	-16,976.25	4,311.71			Progress Software Corp
SAL	06-25-03	344192	-3,600.000	20.480		73,581.62	-55,772.63	17,808.99			Progress Software Corp
	TOTAL -		-4,700.000		0.00	94,869.58	-72,748.88	22,120.70	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	PCM PRGS	Progressive Software Corp			9,300.000 SHRS	15.558	143,133.25	20.460	12-31-03	188,232.00	45,098.75
PCM	QCOM	QualComm Inc									
SAL	05-27-03	340694	-1,200.000	31.330		37,594.24	-60,525.00	-22,930.76			Qualcomm Inc
SAL	06-25-03	344193	-3,500.000	35.440		123,894.19	-160,922.05	-37,027.86			Qualcomm Inc
SAL	11-03-03	356977	-8,800.000	48.061		422,561.48	-293,127.45	129,434.03			Qualcomm Inc
	TOTAL -		-13,500.000		0.00	584,049.91	-514,574.50	69,475.41	0	0.00	
PCM	RJF	Raymond James Financial Inc									
SAL	05-27-03	340696	-1,600.000	28.823		46,049.84	-53,857.12	-7,807.28			Raymond James Financial Inc
SAL	06-25-03	344195	-4,900.000	32.750		160,271.48	-164,937.43	-4,665.95			Raymond James Financial
	TOTAL -		-6,500.000		0.00	206,321.32	-218,794.55	-12,473.23	0	0.00	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	PCM RJF	Raymond James Financial Inc			12,700.000 SHRS	31.472	399,700.25	37.700	12-31-03	478,790.00	79,089.75
PCM	RSH	Radioshack Corp									
SAL	05-27-03	340695	-1,000.000	23.400		23,358.90	-21,060.00	2,298.90			Radioshack Corp
SAL	06-25-03	344194	-3,500.000	25.685		89,751.89	-73,710.00	16,041.89			Radioshack Corp
	TOTAL -		-4,500.000		0.00	113,110.79	-94,770.00	18,340.79	0	0.00	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	PCM RSH	Radioshack Corp			9,100.000 SHRS	21.060	191,646.00	30.680	12-31-03	279,188.00	87,542.00
PCM	RUS	Russ Berrie & Co Inc									
SAL	06-25-03	344196	-3,700.000	35.407		130,849.91	-94,019.59	36,830.32			Russ Berrie 7 Co
SAL	09-11-03	351541	-9,700.000	34.001		329,402.38	-246,483.79	82,918.59			Russ Berrie & Co
	TOTAL -		-13,400.000		0.00	460,252.29	-340,503.38	119,748.91	0	0.00	

2202

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TCN	DATE	TKN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PCM	SFA		Scientific Atlanta Inc									
SAL		05-27-03	340697	-3,000.000	19.320		57,837.28	-67,050.00	-9,212.72			Scientific Atlanta Inc
SAL		06-25-03	344197	-10,700.000	24.135		257,594.69	-237,309.41	20,285.28			Scientific Atlanta
SAL		07-07-03	346711	-3,000.000	25.380		76,016.43	-61,602.30	14,414.13			Scientific Atlanta
SAL		07-07-03	346712	-3,800.000	25.841		98,037.30	-78,029.58	20,007.72			Scientific Atlanta
SAL		09-08-03	351306	-2,500.000	35.189		87,869.38	-47,429.79	40,439.59			Scientific Atlanta Inc
	TOTAL -			-23,000.000		0.00	577,355.08	-491,421.08	85,934.00	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PCM	SFA	Scientific Atlanta Inc	19,200.000	SHRS	14.025	269,280.00	27.300	12-31-03	524,160.00	254,880.00

PCM	SUP		Superior Industries									
SAL		05-27-03	340698	-1,300.000	36.135		46,921.82	-48,048.00	-1,126.18			Superior Industries Int'l
SAL		06-25-03	344198	-4,100.000	41.862		171,460.93	-151,536.00	19,924.93			Superior Industries
	TOTAL -			-5,400.000		0.00	218,382.75	-199,584.00	18,798.75	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PCM	SUP	Superior Industries	10,500.000	SHRS	34.693	364,272.96	43.520	12-31-03	456,960.00	92,687.04

PCM	TEX		Tektronix Inc									
SAL		03-03-03	333854	-9,000.000	16.286		146,210.48	-225,862.20	-79,651.72			Tektronix Inc
SAL		03-31-03	335192	-32,000.000	17.263		551,109.27	-682,240.98	-131,131.71			Tektronix Inc
	TOTAL -			-41,000.000		0.00	697,319.75	-908,103.18	-210,783.43	0	0.00	

PCM	TII		Thomas Industries Inc									
SAL		04-29-03	337161	-6,500.000	27.169		176,330.88	-154,965.00	21,365.88			Thomas Industries Inc
	TOTAL -			-6,500.000		0.00	176,330.88	-154,965.00	21,365.88	0	0.00	

PCM	TOPP		TOPPS Inc									
SAL		05-27-03	340699	-2,800.000	8.938		25,025.78	-26,943.00	-1,917.22			Topps Co Inc
SAL		06-25-03	344199	-10,200.000	8.321		84,459.16	-99,205.77	-14,746.61			Topps Inc

2203

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-13,000.000		0.00	109,484.94	-126,148.77	-16,663.83	0	0.00	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	PCM TOPP	TOPPS Inc			27,000.000 SHRS	9.339	252,151.25	10.260	12-31-03	277,020.00	24,868.75
PCM	TSN	Tyson Food Inc Cl A									
SAL	05-27-03	340700	-2,000.000	9.263		18,444.13	-26,057.20	-7,613.07			Tyson Foods Inc Cl A
SAL	05-30-03	340873	-25,000.000	9.250		230,239.17	-325,715.00	-95,475.83			Tyson Foods Inc Cl A
	TOTAL -		-27,000.000		0.00	248,683.30	-351,772.20	-103,088.90	0	0.00	
PCM	UCBH	UCBH Holdings Inc									
SAL	05-27-03	340702	-1,100.000	25.236		27,758.30	-12,196.25	15,562.05			UCBH Holdings Inc
SAL	06-09-03	342693	-12,900.000	26.982		347,538.09	-143,028.75	204,509.34			UCBH Holdings Inc
	TOTAL -		-14,000.000		0.00	375,296.39	-155,225.00	220,071.39	0	0.00	
PCM	UHS	Universal Health Services									
PUR	02-14-03	331215	7,600.000	34.825	264,970.20				7,600	264,970.20	Universal Health Services
SAL	05-27-03	340703	-1,500.000	44.025		65,973.95	-57,393.90	8,580.05			Universal Health Services Inc
SAL	06-25-03	344200	-5,300.000	38.841		205,633.54	-202,791.78	2,841.76			Universal Health Services Cl B
	TOTAL -		800.000		264,970.20	271,607.49	-260,185.68	11,421.81	7,600	264,970.20	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	PCM UHS	Universal Health Services			13,800.000 SHRS	36.391	502,198.32	53.720	12-31-03	741,336.00	239,137.68
PCM	WBST	Webster Financial Corp PV									
SAL	05-27-03	340704	-900.000	36.973		33,238.41	-25,781.25	7,457.16			Webster Financial Corp
SAL	06-25-03	344201	-2,800.000	37.167		103,951.00	-80,524.50	23,426.50			Webster Financial Corp
	TOTAL -		-3,700.000		0.00	137,189.41	-106,305.75	30,883.66	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	PCM WBST	Webster Financial Corp PV			7,200.000 SHRS	28.779	207,212.00	45.860	12-31-03	330,192.00	122,980.00
PCM	WEN	Weddys International Inc									
SAL	05-27-03	340705	-900.000	28.914		25,985.74	-23,119.92	2,865.82			Wendy's International
SAL	06-25-03	344204	-2,400.000	29.090		69,716.73	-61,653.12	8,063.61			Wendys International
SAL	09-08-03	351307	-6,000.000	32.130		192,530.97	-154,132.80	38,398.17			Wendy's International Inc
	TOTAL -		-9,300.000		0.00	288,233.44	-238,905.84	49,327.60	0	0.00	
PCM	WMK	Weis Markets Inc									
SAL	06-25-03	344202	-600.000	31.762		19,032.12	-19,953.06	-920.94			Weis Markets
SAL	06-25-03	344203	-2,200.000	31.539		69,293.67	-73,161.23	-3,867.55			Weis Markets
	TOTAL -		-2,800.000		0.00	88,325.79	-93,114.28	-4,788.49	0	0.00	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	PCM WMK	Weis Markets Inc			7,400.000 SHRS	33.258	246,112.24	36.300	12-31-03	268,620.00	22,507.76
PCM	WINY	Whitney Holding Corp									
SAL	05-27-03	340707	-800.000	32.118		25,692.79	-20,896.00	4,796.79			Whitney Holding Corp
SAL	06-25-03	344205	-2,100.000	32.025		67,165.77	-54,878.67	12,287.10			Whitney Holding Corp
	TOTAL -		-2,900.000		0.00	92,858.56	-75,774.67	17,083.89	0	0.00	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	PCM WINY	Whitney Holding Corp			5,350.000 SHRS	26.394	141,207.33	40.990	12-31-03	219,296.50	78,089.17
REPORT TOTAL -					51,054,689.88	92,425,541.84	-90,664,151.40	1,761,390.44	2,888,468	8,803,897.11	

2205

04-26-04
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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

SORT OPTION: BY ENTITY, INV

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
LAZARD 279999916 Eaccess LTD											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD 279999916 Eaccess LTD					109.000 SHRS	3,706.016	403,955.72				-403,955.72
LAZARD 605993914 Mitsubishi Estate Co											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD 605993914 Mitsubishi Estate Co					55,000.000 SHRS	11.425	628,365.03				-$28,365.03
LAZARD ANN4327C12 Hunter Douglas NV											
PUR	02-05-03	333335	1,100.000	30.440	33,484.30				1,100	33,484.30	Hunter Douglas NV
PUR	07-01-03	348615	973.000	23.778	23,136.35				0	0.00	Reinvested Hunter Douglas div.
SAL	08-28-03	350599	-973.000	32.513		31,634.95	-23,136.35	8,498.60			Hunter Douglas NV
SAL	10-15-03	354722	-1,600.000	36.559		58,495.08	-41,999.54	16,495.54			Hunter Douglas NV
SAL	12-29-03	362482	-3,000.000	46.027		138,080.70	-78,749.15	59,331.55			Hunter Douglas NV
TOTAL -			-3,500.000		56,620.65	228,210.73	-143,885.04	84,325.69	1,100	33,484.30	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD ANN4327C12 Hunter Douglas NV					19,959.000 SHRS	27.934	557,534.27	46.758	12-31-03	877,133.32	319,599.05
LAZARD BE3775898 Colruyt NV											
PUR	03-07-03	334497	4,500.000	61.096	274,930.23				0	0.00	Colruyt NV
PUR	05-23-03	341798	900.000	71.074	63,966.61				0	0.00	Colruyt NV
PUR	05-28-03	341801	2,400.000	70.394	168,946.56				0	0.00	Colruyt NV
SAL	12-01-03	361011	-200.000	92.214		18,442.75	-12,219.12	6,223.63			Colyrut NV
SAL	12-02-03	361013	-400.000	92.812		37,124.99	-24,438.24	12,686.75			Colyrut NV
SAL	12-03-03	361014	-300.000	92.162		27,648.72	-18,328.68	9,320.04			Colyrut NV
SAL	12-04-03	361015	-1,100.000	91.780		100,957.64	-67,205.17	33,752.47			Colyrut NV
SAL	12-12-03	361023	-1,700.000	92.003		156,405.51	-103,862.53	52,542.98			Colyrut NV
SAL	12-16-03	361025	-1,100.000	92.102		101,312.15	-70,198.69	31,113.46			Colyrut NV
SAL	12-29-03	362484	-3,000.000	94.651		283,952.16	-211,590.97	72,361.19			Colyrut NV

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			0.000		507,843.40	725,843.92	-507,843.40	218,000.52	0	0.00	
LAZARD BMG7000R1 Peoples Food Holdings LTD											
PUR	10-28-03	357091	25,000.000	0.614	15,354.71				0	0.00	People's Food Holdings LTD
TOTAL -			25,000.000		15,354.71	0.00	0.00	0.00	0	0.00	
LAZARD BMG7000R10 People's Food Holdings LTD											
PUR	10-23-03	354914	130,000.000	0.611	79,471.04				130,000	79,471.04	Peoples Food Holdings
PUR	10-27-03	355441	33,000.000	0.610	20,126.94				33,000	20,126.94	Peoples Food Holdings LTD
PUR	10-29-03	357087	70,000.000	0.619	43,330.82				70,000	43,330.82	Peoples Food Holdings LTD
PUR	10-30-03	357088	132,000.000	0.617	81,440.23				132,000	81,440.23	Peoples Food Holdings LTD
PUR	10-31-03	357089	58,000.000	0.647	37,532.67				58,000	37,532.67	Peoples Food Holdings LTD
TOTAL -			423,000.000		261,901.70	0.00	0.00	0.00	423,000	261,901.70	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	DOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD BMG7000R10 People's Food Holdings LTD	477,000.000	SHRS	0.622	296,548.45	0.700	12-31-03	313,600.00	17,051.55

LAZARD CH12005257 Novartis AG											
SAL	01-29-03	330396	-7,900.000	33.946		268,175.98	-298,158.70	-29,982.72			Novartis AG
SAL	04-24-03	339162	-800.000	39.517		31,613.83	-27,809.41	3,804.42			Novartis AG
SAL	04-24-03	339162	-2,600.000	39.517		102,744.95	-106,238.82	-3,493.87			Novartis AG
SAL	04-25-03	339163	-4,100.000	39.625		162,462.56	-164,694.92	-2,232.36			Novartis AG
SAL	04-28-03	339164	-3,200.000	39.680		126,976.50	-126,771.05	205.45			Novartis AG
TOTAL -			-18,600.000		0.00	691,973.82	-723,672.90	-31,699.08	0	0.00	
LAZARD CH12032048 Roche Holdings AG-Genusss											
PUR	06-27-03	345451	7,100.000	78.057	554,206.58				7,100	554,206.58	Roche Holdings AG-Genusss
TOTAL -			7,100.000		554,206.58	0.00	0.00	0.00	7,100	554,206.58	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	DOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD CH12032048 Roche Holdings AG-Genusss	7,600.000	SHRS	79.905	607,275.04	100.200	12-31-03	711,420.00	104,144.96

2207

04-26-04
PC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
LAZARD CH12142631 Clarient AG-REG											
PUR	09-02-03	351442	15,900.000	13.849	220,206.07				0	0.00	Clarient AG-REG
SAL	11-12-03	357421	-15,900.000	13.266		210,934.13	-220,206.07	-9,271.94			Clarient AG
TOTAL -			0.000		220,206.07	210,934.13	-220,206.07	-9,271.94	0	0.00	
LAZARD CH12731458 CIE Finance Richmont-UTS											
SAL	01-30-03	330398	-10,600.000	17 237		182,711.06	-183,220.44	-509.38			CIE Finance Richmont-UTS
PUR	06-10-03	342931	20,800.000	16.909	351,708.72				20,800	351,708.72	CIE Finance Richmont-UTS
SAL	12-01-03	361012	-4,600.000	24.614		113,226.47	-79,510.75	33,715.72			CIE Finance Richmont-UTS A
TOTAL -			5,600.000		351,708.72	295,937.53	-262,731.19	33,206.34	20,800	351,708.72	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD CH12731458 CIE Finance Richmont-UTS	46,700.000	SHRS	18.049	842,888.59	23.855	12-31-03	1,047,234.50	204,345.91

LAZARD DE5810055 Deutsche Boerse AG											
PUR	02-05-03	333313	1,300.000	38.360	49,868.61				1,300	49,868.61	Deutsche Boerse AG
SAL	07-31-03	348620	-5,400.000	52.363		282,761.19	-217,398.74	65,362.45			Deutsche Boerse AG
TOTAL -			-4,100.000		49,868.61	282,761.19	-217,398.74	65,362.45	1,300	49,868.61	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD DE5810055 Deutsche Boerse AG	13,300.000	SHRS	41.365	550,149.30	54.480	12-31-03	681,000.00	130,850.70

LAZARD DE6047004 Heidelbergcement AG											
PUR	10-09-03	354292	2,000.000	36.813	73,626.71				2,000	73,626.71	Heidelbergcement AG
PUR	10-10-03	354293	3,000.000	37.274	111,821.13				3,000	111,821.13	Heidelbergcement AG
PUR	10-14-03	354294	1,300.000	38.973	50,664.49				1,300	50,664.49	Heidelbergcement AG
PUR	10-14-03	354295	600.000	38.539	23,123.63				600	23,123.63	Heidelbergcement AG
PUR	12-29-03	362478	3,000.000	43.001	129,003.33				3,000	129,003.33	Heidelbergcement AG
TOTAL -			9,900.000		388,239.29	0.00	0.00	0.00	9,900	388,239.29	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD DE6047004 Heidelbergcement AG					10,500.000 SHRS	39.393	413,622.10	42.582	12-31-03	421,561.80	7,939.70
LAZARD DE6937733 Porsche AG											
PUR	01-10-03	330366	1,200.000	395.671	474,805.29				1,200	474,805.29	Porsche A G
PUR	02-05-03	333312	120.000	396.363	47,563.52				120	47,563.52	Porsche A G
PUR	10-15-03	354296	100.000	467.516	46,751.63				100	46,751.63	Porsche A G
PUR	10-17-03	354720	100.000	477.384	47,738.38				100	47,738.38	Porsche A G
PUR	10-20-03	354721	100.000	475.029	47,502.91				100	47,502.91	Porsche A G
PUR	10-24-03	354913	50.000	471.070	23,553.52				50	23,553.52	Porsche A G
TOTAL -			1,670.000		687,915.25	0.00	0.00	0.00	1,670	687,915.25	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD DE6937733 Porsche AG					1,770.000 SHRS	420.418	744,139.31	587.388	12-31-03	980,937.94	236,798.63
LAZARD DE8404005 Allianz AG											
PUR	06-18-03	342936	6,300.000	92.395	582,090.21				0	0.00	Allianz AG
SAL	08-15-03	349277	-6,300.000	94.937		598,103.39	-582,090.21	16,013.18			Allianz AG
TOTAL -			0.000		582,090.21	598,103.39	-582,090.21	16,013.18	0	0.00	
LAZARD DK10244508 A P Moller _ Maersk A/S Cl B											
PUR	09-02-03	351443	26.000	6,578.671	171,045.45				26	171,045.45	A P Moller - Maersk A/S
PUR	12-29-03	362477	25.000	7,326.428	183,160.71				25	183,160.71	A P Moller - Maersk A/S
TOTAL -			51.000		354,206.16	0.00	0.00	0.00	51	354,206.16	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD DK10244508 A P Moller _ Maersk A/S Cl B					55.000 SHRS	7,065.358	388,594.69	7,165.926	12-31-03	365,462.23	-23,132.46
LAZARD DK10280817 Novo Nordisk A/S B											
PUR	02-05-03	333310	900.000	25.650	23,085.21				0	0.00	Novo Nordisk AS/B
SAL	06-10-03	342946	-4,600.000	36.819		169,368.69	-132,342.70	37,025.99			Novo Nordisk A/S B

2209

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	07-01-03	348375	-15,300.000	35.078		536,697.55	-453,933.62	82,763.93			Novo Nordisk A/S B
TOTAL -			-19,000.000		23,085.21	706,066.24	-586,276.32	119,789.92	0	0.00	
LAZARD ES01325803 CIA Espanola De Petroleos SA											
PUR	01-28-03	330367	4,270.000	19.909	85,011.94				0	0.00	CIA Espanola De Petroleos
PUR	01-29-03	330390	930.000	19.781	18,396.26				0	0.00	CIA Espanola De Petroleos
PUR	02-05-03	333321	2,200.000	20.641	45,410.55				0	0.00	CIA Espanola De Petroleos
SAL	07-31-03	348617	-8,500.000	25.447		216,299.85	-149,578.12	66,721.73			CIA Espanola De Petroleos
SAL	09-02-03	351458	-5,500.000	26.018		143,101.16	-96,008.24	47,092.92			CIA Espanola De Petroleos SA
SAL	09-02-03	351460	-5,100.000	25.603		130,574.54	-89,535.50	41,039.04			CIA Espanola De Petroleos SA
SAL	09-02-03	351460	-10,900.000	25.603		279,071.09	-208,865.28	70,205.81			CIA Espanola De Petroleos SA
TOTAL -			-22,600.000		148,818.75	769,046.64	-543,987.14	225,059.50	0	0.00	
LAZARD ES17543823 Prosegur Comp Seguridad-RegD											
PUR	02-05-03	333332	1,500.000	11.537	17,305.99				0	0.00	Prosegur Comp Seguridad-Regd
SAL	12-04-03	361017	-8,200.000	16.499		135,289.83	-98,539.30	36,750.53			Prosegur Comp Seguridad
SAL	12-05-03	361018	-2,300.000	16.541		38,044.69	-27,639.07	10,405.62			Prosegur Comp Seguridad
SAL	12-09-03	361019	-5,800.000	16.375		94,975.49	-69,698.52	25,276.97			Prosegur Comp Seguridad
SAL	12-10-03	361020	-1,400.000	16.351		22,891.98	-16,823.78	6,068.20			Prosegur Comp Seguridad
SAL	12-11-03	361021	-300.000	16.451		4,935.16	-3,605.10	1,330.06			Prosegur Comp Seguridad
SAL	12-12-03	361022	-7,700.000	16.345		125,859.91	-101,767.30	24,092.61			Prosegur Comp Seguridad
SAL	12-15-03	361024	-6,000.000	16.575		99,449.12	-80,373.42	19,075.70			Prosegur Comp Seguridad-REGD
SAL	12-15-03	361024	-1,500.000	16.575		24,862.28	-17,305.99	7,556.29			Prosegur Comp Seguridad-REGD
TOTAL -			-31,700.000		17,305.99	546,308.46	-415,752.48	130,555.98	0	0.00	
LAZARD ES17704001 Altadis SA											
PUR	02-05-03	333319	1,900.000	22.650	43,035.16				1,900	43,035.16	Altadis SA
SAL	05-23-03	341802	-8,200.000	29.144		238,977.16	-133,158.24	105,818.92			Altadis SA
SAL	05-26-03	341803	-2,400.000	29.264		70,233.78	-36,083.28	34,150.50			Altadis SA
SAL	06-06-03	342941	-12,200.000	24.524		299,197.13	-187,671.26	111,525.87			Altadis SA
SAL	06-06-03	342941	-5,000.000	24.524		122,621.77	-113,103.30	9,518.47			Altadis SA
TOTAL -			-25,900.000		43,035.16	731,029.84	-470,016.08	261,013.76	1,900	43,035.16	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD ES17704001 Altadis SA					14,200.000 SHRS	23.280	330,571.98	28.179	12-31-03	374,780.70	44,208.72
LAZARD FI9000277 Tietoenator OYJ											
PUR	10-07-03	354290	12,350.000	21.063	260,129.70				1,850	38,966.80	Tietoenator OYJ
PUR	10-08-03	354291	12,350.000	20.801	256,897.48				12,350	256,897.48	Tietoenator OYJ
SAL	11-25-03	358059	-10,500.000	28.761		301,988.96	-221,162.90	80,826.06			Tietoenator OYJ
TOTAL -			14,200.000		517,027.18	301,988.96	-221,162.90	80,826.06	14,200	295,864.28	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD FI9000277 Tietoenator OYJ					15,200.000 SHRS	21.734	330,352.13	27.177	12-31-03	385,913.40	55,561.27
LAZARD FMX Fomento Economico Mexicano S A											
PUR	02-05-03	333361	500.000	33.600	16,812.50				500	16,812.50	Fomento Economico Mexicano
TOTAL -			500.000		16,812.50	0.00	0.00	0.00	500	16,812.50	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD FMX Fomento Economico Mexicano S A					10,500.000 SHRS	38.022	399,233.69	36.880	12-31-03	365,112.00	-34,121.69
LAZARD FR10037201 Rights-Credit Agricole S.A.											
PUR	10-27-03	354911	38,800.000	0.000	0.00				0	0.00	Receipt of Rights-Credit Agri
SAL	10-29-03	357094	-38,800.000	0.232		9,017.90	0.00	9,017.90			Rights-Credit Agricole S.A.
TOTAL -			0.000		0.00	9,017.90	0.00	9,017.90	0	0.00	
LAZARD FR120271 Total Fina Elf SA-B											
SAL	01-09-03	330369	-1,000.000	139.248		139,247.95	-141,660.35	2,412.40			Total Fina Elf SA-B
PUR	01-29-03	330392	1,000.000	129.049	129,048.66				200	25,809.73	Total Fina Elf SA-B
PUR	02-05-03	333316	300.000	135.797	40,739.13				300	40,739.13	Total Fina Elf-SA-B
PUR	09-02-03	351444	1,100.000	154.657	170,122.26				1,100	170,122.26	Total SA
SAL	12-30-03	362485	-4,100.000	181.883		745,720.40	-583,681.16	162,039.24			Total SA
SAL	12-30-03	362485	-800.000	181.883		145,506.42	-103,238.93	42,267.49			Total SA

2211

04-26-04
FCJ.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			-3,500.000		339,910.05	1,030,474.77	-828,580.44	201,894.33	1,600	236,671.12	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD FR120271 Total Fina Elf SA-B	5,900.000	SHRS	174.442	1,029,207.03	185.724	12-31-03	297,158.40	-732,048.63

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
LAZARD FR120560 Neopost SA											
PUR	02-05-03	333318	600.000	31.233	18,740.01				600	18,740.01	Neopost SA
TOTAL -			600.000		18,740.01	0.00	0.00	0.00	600	18,740.01	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD FR120560 Neopost SA	12,900.000	SHRS	39.389	508,118.62	50.400	12-31-03	609,840.00	101,721.38

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
LAZARD FR120693 Pernod-Ricard SA											
PUR	01-29-03	330391	4,800.000	97.849	469,674.56				0	0.00	Pernod-Ricard SA
PUR	02-05-03	333315	400.000	97.724	39,089.57				0	0.00	Pernod-Ricard SA
SAL	10-07-03	354303	-6,500.000	94.977		617,347.35	-508,764.13	108,583.22			Pernod-Ricard SA
TOTAL -			-1,300.000		508,764.13	617,347.35	-508,764.13	108,583.22	0	0.00	
LAZARD FR130213 Lagardere S.C.A.											
SAL	01-14-03	330171	-7,600.000	41.439		314,933.23	-316,064.02	-1,130.79			Lagardere S.C.A.
TOTAL -			-7,600.000		0.00	314,933.23	-316,064.02	-1,130.79	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD FR130213 Lagardere S.C.A.	10,000.000	SHRS	45.300	453,002.74	57.670	12-31-03	542,098.00	89,095.26

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
LAZARD FR45072 Credit Agricole S.A.											
PUR	05-23-03	341800	12,100.000	19.625	237,464.00				12,100	237,464.00	Credit Agricole S.A.
PUR	05-29-03	341812	16,000.000	20.664	330,625.67				16,000	330,625.67	Credit Agricole S.A.
PUR	08-19-03	349617	10,700.000	20.665	221,116.01				10,700	221,116.01	Credit Agricole S.A.
TOTAL -			38,800.000		789,205.68	0.00	0.00	0.00	38,800	789,205.68	

04-26-04
FCJ.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD FR45072 Credit Agricole S.A.					41,300.000	SHRS	20.691	854,551.76	23.851	12-31-03	925,418.80	70,867.04
LAZARD GB1540045 Bunzl PLC												
PUR	12-01-03	360989	53,300.000	7.727	411,834.76				53,300	411,834.76	Bunzl PLC
TOTAL -			53,300.000		411,834.76	0.00	0.00	0.00	53,300	411,834.76	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD GB1540045 Bunzl PLC					56,700.000	SHRS	7.773	440,733.54	7.603	12-31-03	405,239.90	-35,493.64
LAZARD GB2374006 Diageo PLC												
PUR	01-30-03	330395	13,900.000	10.190	141,643.74				0	0.00	Diageo PLC
PUR	02-05-03	333350	4,000.000	10.251	41,003.11				0	0.00	Diageo PLC
SAL	06-18-03	343801	-26,300.000	11.279		296,627.90	-275,406.60	21,221.30			Diageo PLC
SAL	06-25-03	344083	-11,300.000	11.094		125,357.52	-143,387.14	-18,029.62			Diageo PLC
SAL	06-25-03	344083	-8,700.000	11.094		96,514.20	-99,262.65	-2,748.45			Diageo PLC
SAL	07-22-03	348378	-18,900.000	10.203		192,837.48	-221,096.80	-28,259.32			Diageo PLC
SAL	08-04-03	349275	-21,700.000	10.127		219,749.60	-222,876.65	-3,126.97			Diageo PLC
TOTAL -			-69,000.000		182,646.85	931,086.78	-962,029.84	-30,943.06	0	0.00	
LAZARD GB2747532 Amersham PLC											
PUR	02-05-03	333346	2,000.000	7.864	15,728.99				0	0.00	Amersham PLC
SAL	03-07-03	334517	-15,100.000	6.488		97,970.60	-146,701.15	-48,730.55			Amersham PLC
SAL	03-07-03	334517	-29,700.000	6.488		192,697.14	-271,608.66	-78,911.52			Amersham PLC
TOTAL -			-42,800.000		15,728.99	290,667.74	-418,309.81	-127,642.07	0	0.00	
LAZARD GB2944055 Man Group PLC											
PUR	02-05-03	333342	2,300.000	14.130	32,499.70				2,300	32,499.70	Man Group PLC
SAL	07-31-03	348618	-6,600.000	19.733		130,238.60	-86,646.38	43,592.22			Man Group PLC
SAL	09-02-03	351461	-6,200.000	19.312		119,731.46	-81,395.09	38,336.37			Man Group PLC
SAL	09-24-03	352436	-5,300.000	21.601		114,485.72	-69,579.67	44,906.05			Man Group

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			-15,800.000		32,499.70	364,455.78	-237,621.14	126,834.64	2,300	32,499.70	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD GB2944055 Man Group PLC	35,200 000	SHRS	15.944	561,234.58	26.006	12-31-03	860,798.60	299,564.02

LAZARD GB2993037 EMAP PLC

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD GB2993037 EMAP PLC	31,600.000	SHRS	11.208	354,176.26	15.278	12-31-03	452,228.80	98,052.54

LAZARD GB30888548 Singer & Freidlander Group PLC

PUR	02-05-03	333344	6,500.000	2.200	14,301.54				1	2.20	Singer & Friedlander Group
SAL	10-23-03	354918	-135,000.000	3.001		405,098.92	-453,872.99	-48,774.07			Singer & Freidlander Group
SAL	10-23-03	354918	-6,499.000	3.001		19,501.76	-14,299.34	5,202.42			Singer & Freidlander Group
TOTAL -			-134,999.000		14,301.54	424,600.68	-468,172.33	-43,571.65	1	2.20	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD GB30888548 Singer & Freidlander Group PLC	1.000	SHRS	2.200	2 20	3.700	12-31-03	3.70	1.50

LAZARD GB31348658 Barclays PLC

PUR	03-05-03	334495	99,400.000	5.644	561,022.24				99,400	561,022.24	Barclays PLC
TOTAL -			99,400.000		561,022.24	0.00	0.00	0.00	99,400	561,022.24	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD GB31348658 Barclays PLC	105,700.000	SHRS	5.888	622,354.43	8.857	12-31-03	880,385.80	258,031.37

LAZARD GB32310780 Boots Group PLC

PUR	11-06-03	358674	21,900.000	11.982	262,408.92				0	0.00	Boots Group PLC
SAL	12-18-03	361026	-1,800.000	12.396		22,312.06	-21,627.44	684.62			Boots Group PLC
SAL	12-22-03	361028	-20,100.000	12.061		242,420.13	-241,506.47	913.66			Boots Group PLC
TOTAL -			0.000		262,408.92	264,732.19	-263,133.91	1,598.28	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
LAZARD GB3304011 Kesa Electricals PLC											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY DOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD GB3304011 Kesa Electricals PLC					36,100.000 SHRS	5.114	184,598.61				-184,598.61
LAZARD GB3430062 Cobham PLC											
PUR	02-05-03	333348	1,200.000	16.302	19,562.61				0	0.00	Cobham PLC
SAL	08-04-03	349276	-9,200.000	18.878		173,673.94	-158,645.27	15,028.67			Cobham PLC
SAL	09-02-03	351459	-12,700.000	17.847		226,651.22	-206,722.49	19,928.73			Cobham PLC
SAL	09-02-03	351459	-4,900.000	17.847		87,448.11	-78,606.49	8,841.62			Cobham PLC
TOTAL -			-25,600.000		19,562.61	487,773.27	-443,974.25	43,799.02	0	0.00	
LAZARD GB3857850 MISYS PLC											
PUR	07-25-03	348374	77,700.000	4.361	338,861.02				0	0.00	MISYS PLC
SAL	10-23-03	354925	-77,700.000	4.795		372,553.41	-338,861.02	33,692.39			MISYS PLC
TOTAL -			0.000		338,861.02	372,553.41	-338,861.02	33,692.39	0	0.00	
LAZARD GB4544929 Imperial Tobacco Group PLC											
PUR	01-29-03	330393	10,000.000	15.440	166,746.85				0	0.00	Imperial Tobacco Group PLC
PUR	02-05-03	333343	2,000.000	15.117	30,233.16				0	0.00	Imperial tobacco Group
SAL	08-19-03	349629	-14,700.000	15.326		225,286.11	-222,098.12	3,187.99			Imperial Tobacco Group
SAL	08-20-03	349630	-10,980.000	15.584		171,107.79	-110,577.26	60,530.53			Imperial Tobacco Group
SAL	08-20-03	349630	-3,620.000	15.584		56,412.58	-61,332.46	-4,919.88			Imperial Tobacco Group
SAL	09-29-03	353677	-1,880.000	16.172		30,402.99	-31,852.22	-1,449.23			Imperial Tobacco Group
SAL	09-29-03	353677	-12,800.000	16.172		206,999.09	-196,980.01	10,019.08			Imperial Tobacco Group
TOTAL -			-31,180.000		196,980.01	690,208.56	-622,840.07	67,368.49	0	0.00	
LAZARD GB4769682 Johnston Press PLC											
PUR	02-05-03	333340	4,700.000	5.681	26,701.59				0	0.00	Johnston Press PLC
SAL	07-25-03	348379	-20,000.000	6.914		138,282.60	-116,324.82	21,957.78			Johnston Press
SAL	07-30-03	348616	-18,800.000	6.627		124,587.75	-109,865.61	14,722.14			Johnston Press PLC
SAL	08-21-03	349631	-64,900.000	6.596		427,463.13	-398,501.35	28,961.78			Johnston Press PLC

2215

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			-99,000.000		26,701.59	690,333.48	-624,692.78	65,641.70	0	0.00	
LAZARD GB5405286 HSBC Holdings PLC											
PUR	02-05-03	333349	3,400.000	10.550	35,870.07				0	0.00	HSBC Holdings PLC
SAL	09-24-03	352434	-5,500.000	13.565		74,604.83	-64,674.33	9,930.50			HSBC Holdings
TOTAL -			-2,100.000		35,870.07	74,604.83	-64,674.33	9,930.50	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD GB5405286 HSBC Holdings PLC	73,500.000	SHRS	11.396	837,612.44	15.671	12-31-03	1,082,866.10	245,253.66

LAZARD GB6107006 Cadbury Schweppes											
PUR	02-05-03	333347	3,500.000	5.353	18,734.23				0	0.00	Cadbury Schweppes PLC
SAL	06-09-03	342944	-39,300.000	5.816		228,562.32	-245,707.31	-17,144.99			Cadbury Schweppes PLC
SAL	07-10-03	348376	-21,000.000	5.619		118,000.91	-149,049.94	-31,049.03			Cadbury Schweppes
SAL	07-10-03	348376	-17,300.000	5.619		97,210.27	-116,147.21	-18,936.94			Cadbury Schweppes
PUR	12-15-03	360994	5,300.000	6.764	35,851.54				5,300	35,851.54	Cadbury Schweppes PLC
PUR	12-16-03	360995	7,200.000	6.824	49,134.41				7,200	49,134.41	Cadbury Schweppes PLC
PUR	12-17-03	360996	6,600.000	6.875	45,372.05				6,600	45,372.05	Cadbury Schweppes PLC
PUR	12-18-03	360997	3,600.000	6.934	24,960.67				3,600	24,960.67	Cadbury Schweppes PLC
PUR	12-18-03	360998	8,400.000	6.942	58,311.64				8,400	58,311.64	Cadbury Schweppes PLC
PUR	12-19-03	361000	6,600.000	7.023	46,353.07				6,600	46,353.07	Cadbury Schweppes PLC
PUR	12-22-03	361001	1,600.000	7.014	11,222.32				1,600	11,222.32	Cadbury Schweppes PLC
TOTAL -			-34,800.000		289,939.93	443,773.50	-510,904.46	-67,130.96	39,300	271,205.70	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD GB6107006 Cadbury Schweppes	67,000.000	SHRS	7.118	476,918.15	7.313	12-31-03	287,400.90	-189,517.25

LAZARD GB7192106 Vodafone Group											
PUR	07-18-03	348370	117,300.000	1.886	221,235.53				117,300	221,235.53	Vodafone Group
PUR	08-11-03	349271	123,200.000	1.924	236,987.29				123,200	236,987.29	Vodafone Group
PUR	08-18-03	349616	54,600.000	2.002	109,331.96				54,600	109,331.96	Vodafone Group
TOTAL -			295,100.000		567,554.78	0.00	0.00	0.00	295,100	567,554.78	

ENTITY INVESTMENT DESCRIPTION TYPE	TRN DATE	TRN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD GB7192106 Vodafone Group					495,700.000	SHRS	2.160	1,070,472.46	2.470	12-31-03	728,926.51	-341,545.95
LAZARD GB7327124 Rentokil Initial PLC												
PUR	02-05-03	333345	7,700.000	3.174	24,441.26				0	0.00	Rentokil PLC
SAL	04-02-03	339159	-95,500.000	2.706		258,441.93	-362,279.57	-103,837.64			Rentokil Initial PLC
SAL	04-02-03	339159	-74,000.000	2.706		200,258.67	-284,828.10	-84,569.43			Rentokil Initial PLC
PUR	07-25-03	348373	75,100.000	3.041	228,406.32				75,100	228,406.32	Rentokil Initial PLC
PUR	08-18-03	349614	4,500.000	3.115	14,019.07				4,500	14,019.07	Rentokil Initial PLC
PUR	08-19-03	349618	12,000.000	3.136	37,633.88				12,000	37,633.88	Rentokil Initial PLC
PUR	08-20-03	349620	27,900.000	3.126	87,223.62				27,900	87,223.62	Rentokil Initial
PUR	08-21-03	349623	8,000.000	3.142	25,136.54				8,000	25,136.54	Rentokil Initial
PUR	08-26-03	349736	6,000.000	3.165	18,988.93				6,000	18,988.93	Rentokil Initial PLC
PUR	08-27-03	350598	14,400.000	3.174	45,709.10				14,400	45,709.10	Rentokil Initial PLC
TOTAL -			-13,900.000		481,558.72	458,700.60	-647,107.67	-188,407.07	147,900	457,117.46	
LAZARD GB8182700 Smith Group PLC											
PUR	09-02-03	351445	29,700.000	11.239	333,795.65				29,700	333,795.65	Smith Group PLC
TOTAL -			29,700.000		333,795.65	0.00	0.00	0.00	29,700	333,795.65	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD GB8182700 Smith Group PLC					31,700.000	SHRS	11.304	358,344.11	11.798	12-31-03	350,400.60	-7,943.51
LAZARD GB8762899 B G Group PLC												
PUR	12-19-03	360999	57,000.000	5.051	287,917.52				57,000	287,917.52	B G Group PLC
PUR	12-29-03	362480	26,900.000	5.110	137,458.76				26,900	137,458.76	B G Group
TOTAL -			83,900.000		425,376.28	0.00	0.00	0.00	83,900	425,376.28	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD GB8762899 B G Group PLC					89,300.000	SHRS	5.082	453,818.72	5.109	12-31-03	428,645.10	-25,173.62

2217

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
LAZARD GB9252882 Glaxosmithkline PLC											
PUR	02-05-03	333351	2,000.000	18.796	37,592.13				2,000	37,592.13	Glaxosmithkline PLC
PUR	03-05-03	334496	7,000.000	17.397	121,782.11				7,000	121,782.11	Glaxosmithkline PLC
SAL	10-23-03	356924	-4,400.000	21.227		93,397.80	-71,481.35	21,916.45			Glaxosmithkline PLC
SAL	12-19-03	361027	-13,700.000	22.163		303,631.67	-222,566.94	81,064.73			Glaxosmithkline PLC
TOTAL -			-9,100.000		159,374.24	397,029.47	-294,048.29	102,981.18	9,000	159,374.24	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD GB9252882 Glaxosmithkline PLC	34,600.000	SHRS	18.356	631,647.99	22.846	12-31-03	744,779.60	113,131.61

LAZARD GRS3073330 Panafon Hellenic Telecom SA											
PUR	03-11-03	334498	29,500.000	5.364	158,224.41				0	0.00	Panafon Hellenic Telecom SA
PUR	03-12-03	334501	16,700.000	5.354	89,405.56				0	0.00	Panafon Hellenic Telecom SA
PUR	03-13-03	334502	17,300.000	5.304	91,766.98				0	0.00	Panafon Hellenic Telecom SA
PUR	03-14-03	334503	6,200.000	5.441	33,733.21				0	0.00	Panafon Hellenic Telecom SA
PUR	05-26-03	341799	33,700.000	6.536	220,255.81				0	0.00	Panafon Hellenic Telecom
SAL	12-04-03	361016	-66,200.000	7.454		493,424.83	-354,087.22	139,337.61			Panafon Hellenic Telecom
SAL	12-29-03	362483	-37,200.000	7.657		284,850.32	-239,298.75	45,551.57			Panafon Hellenic Telecom SA
TOTAL -			0.000		593,385.97	778,275.15	-593,385.97	184,889.18	0	0.00	
LAZARD GRS4190030 Greek Organization of Football											
PUR	02-05-03	333323	1,900.000	9.669	18,370.63				1,900	18,370.63	Greek Organization of Football
PUR	07-17-03	348369	9,300.000	11.197	104,135.58				9,300	104,135.58	Greek Organization of Football
TOTAL -			11,200.000		122,506.21	0.00	0.00	0.00	11,200	122,506.21	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD GRS4190030 Greek Organization of Football	54,200.000	SHRS	10.639	576,653.80	14.364	12-31-03	731,127.60	154,473.80

LAZARD GRS4340030 Public Power Corp											
PUR	06-25-03	344078	25,600.000	18.067	462,505.93				25,600	462,505.93	Public Power Corp

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			25,600.000		462,505.93	0.00	0.00	0.00	25,600	462,505.93	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD GRS4340030 Public Power Corp	17,400.000	SHRS	18.067	314,359.50	24.696	12-31-03	632,217.60	317,858.10

LAZARD IE1827041 CRH PLC											
PUR	11-04-03	357416	15,000.000	18.015	270,224.27				15,000	270,224.27	CRH PLC
PUR	11-12-03	357422	14,500.000	18.485	268,026.84				14,500	268,026.84	CRH PLC
TOTAL -			29,500.000		538,251.11	0.00	0.00	0.00	29,500	538,251.11	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD IE1827041 CRH PLC	31,500.000	SHRS	18.499	582,710.11	20.512	12-31-03	605,104.00	22,393.89

LAZARD IE1987894 ANGLO Irish Bank Corp PLC											
SAL	01-17-03	330360	-54,300.000	7.058		383,255.15	-365,275.42	17,979.73			Anglo Irish Bank
PUR	01-30-03	330353	852.000	7.356	6,267.03				0	0.00	Reinvest Anglo Irish Bank
SAL	08-04-03	349273	-852.000	9.371		7,983.86	-6,267.03	1,716.83			Anglo Irish Bank
TOTAL -			-54,300.000		6,267.03	391,239.01	-371,542.45	19,696.56	0	0.00	
LAZARD IT112742 Telecom Italia SPA											
PUR	04-02-03	339155	66,500.000	7.201	478,834.06				0	0.00	Telecom Italia SPA
SAL	07-18-03	348377	-41,900.000	8.966		375,674.90	-301,701.46	73,973.44			Telecom Italia
TOTAL -			24,600.000		478,834.06	375,674.90	-301,701.46	73,973.44	0	0.00	
LAZARD IT3132476 Eni Spa											
SAL	01-10-03	330370	-11,600.000	15.457		179,301.71	-152,488.77	26,812.94			Eni Spa
PUR	01-29-03	330394	9,300.000	14.469	134,562.57				0	0.00	Eni Spa
PUR	02-05-03	333322	2,800.000	14.807	41,460.49				0	0.00	ENI SPA
SAL	06-18-03	343802	-13,700.000	16.453		225,400.06	-180,094.50	45,305.56			Eni Spa
SAL	06-18-03	343803	-6,800.000	16.455		111,890.79	-89,389.97	22,500.82			Eni Spa
SAL	06-25-03	344081	-11,500.000	15.580		179,172.78	-163,759.65	15,413.13			Eni Spa
SAL	07-25-03	348380	-14,500.000	15.083		218,703.88	-218,236.63	467.26			Eni Spa

2219

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	07-31-03	348619	-14,800.000	14.677		217,213.42	-217,394.36	-180.94			Enis Spa
	TOTAL -		-60,800.000		176,023.06	1,131,682.64	-1,021,363.87	110,318.77	0	0.00	
LAZARD IT3153415 SNAM RETE Gas S.P.A.											
PUR	03-19-03	334504	169,000.000	3.351	566,323.36				169,000	566,323.36	SNAM RETE Gas S.P.A.
	TOTAL -		169,000.000		566,323.36	0.00	0.00	0.00	169,000	566,323.36	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD IT3153415 SNAM RETE Gas S.P.A.	105,000.000	SHRS	3.351	351,857.71	4.208	12-31-03	711,152.00	359,294.29

LAZARD IT3497168 Telecom Italia SPA											
SAL	08-11-03	349280	-81,201.000	2.520		204,600.18	-177,131.68	27,468.50			Telecom Italia SPA
SAL	09-11-03	351451	-0.420	1.952		0.82	-0.92	-0.10			Telecom Italia SPA
	TOTAL -		-81,201.420		0.00	204,601.00	-177,132.60	27,468.40	0	0.00	
LAZARD IT76197 Merloni Elettrodomestici SPA											

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD IT76197 Merloni Elettrodomestici SPA	25,900.000	SHRS	17.472	452,517.30				-452,517.30

LAZARD JP31086 ACOM Co LTD											
PUR	08-20-03	349619	5,900.000	38.769	228,732.92				5,900	228,732.92	ACOM Co LTD
PUR	12-26-03	361484	1,800.000	45.054	81,097.89				1,800	81,097.89	Acom Co LTD
	TOTAL -		7,700.000		309,830.81	0.00	0.00	0.00	7,700	309,830.81	
LAZARD JP32428 Canon Inc											
PUR	07-11-03	348368	4,000.000	48.133	192,533.79				4,000	192,533.79	Canon Inc
PUR	07-22-03	348371	5,000.000	46.187	230,933.52				5,000	230,933.52	Canon Inc
	TOTAL -		9,000.000		423,467.31	0.00	0.00	0.00	9,000	423,467.31	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD JP32428	Canon Inc				9,000.000 SHRS	47.052	423,467.31	46.642	12-31-03	419,778.00	-3,689.31
LAZARD JP3358	Shimano Inc										
PUR	08-01-03	349269	13,100.000	17.506	229,326.57				13,100	229,326.57	Shimano Inc
PUR	08-05-03	349270	12,500.000	17.490	218,631.14				12,500	218,631.14	Shimano Inc
TOTAL -			25,600.000		447,957.71	0.00	0.00	0.00	25,600	447,957.71	
LAZARD JP341475	Seiko Epson Corp										
PUR	10-23-03	354915	15,200.000	34.862	529,905.39				15,200	529,905.39	Seiko Epson Corp
TOTAL -			15,200.000		529,905.39	0.00	0.00	0.00	15,200	529,905.39	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD JP341475	Seiko Epson Corp				16,200.000 SHRS	34.957	566,300.03	46.735	12-31-03	710,372.00	144,071.97
LAZARD JP3463	Takeda Chemical Industries										
PUR	04-24-03	339156	5,700.000	35.455	202,093.53				2,000	70,910.01	Takeda Chemical Industries
PUR	04-25-03	339157	6,200.000	36.615	227,011.60				6,200	227,011.60	Takeda Chemical Industries
PUR	06-09-03	342929	11,200.000	39.692	444,550.84				11,200	444,550.84	Takeda Chemical Industries
SAL	10-24-03	354927	-3,700.000	35.788		132,413.94	-131,183.52	1,230.42			Takeda Chemical Industries
TOTAL -			19,400.000		873,655.97	132,413.94	-131,183.52	1,230.42	19,400	742,472.45	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD JP3463	Takeda Chemical Industries				20,600.000 SHRS	38.522	793,557.97	39.725	12-31-03	770,665.00	-22,892.97
LAZARD JP355152	Denteu Inc										
PUR	07-23-03	348372	65.000	3,345.091	217,430.92				65	217,430.92	Denteu Inc
TOTAL -			65.000		217,430.92	0.00	0.00	0.00	65	217,430.92	
LAZARD JP36609	Nichii Gakkan Company										

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	10-24-03	354916	6,900.000	56.164	387,530.49				6,900	387,530.49	Nichii Gakkan Company
TOTAL -			6,900.000		387,530.49	0.00	0.00	0.00	6,900	387,530.49	
LAZARD JP37626	Nomura Securities										
PUR	08-21-03	349621	15,000.000	14.887	223,306.59				15,000	223,306.59	Nomura Holdings Inc
PUR	12-26-03	361485	7,000.000	16.250	113,749.35				7,000	113,749.35	Nomura Holdings
TOTAL -			22,000.000		337,055.94	0.00	0.00	0.00	22,000	337,055.94	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD JP37626 Nomura Securities	31,000.000	SHRS	15.363	476,263.72	17.058	12-31-03	375,276.00	-100,987.72

LAZARD JP3952	Uniden Corporation										
PUR	10-24-03	354917	14,000.000	17.966	251,521.27				14,000	251,521.27	Uniden Corp
PUR	12-24-03	361479	13,000.000	15.538	201,987.98				13,000	201,987.98	Uniden Corp
TOTAL -			27,000.000		453,509.25	0.00	0.00	0.00	27,000	453,509.25	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD JP3952 Uniden Corporation	29,000.000	SHRS	16.736	485,342.68	18.385	12-31-03	496,395.00	11,052.32

LAZARD JP39554	Bank of Yokohama LTD										
PUR	12-26-03	361483	77,000.000	4.589	353,329.76				77,000	353,329.76	Bank of Yokohama
TOTAL -			77,000.000		353,329.76	0.00	0.00	0.00	77,000	353,329.76	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD JP39554 Bank of Yokohama LTD	82,000.000	SHRS	4.574	375,043.01	4.654	12-31-03	358,358.00	-16,685.01

LAZARD KB	Kookmin Bank A/D/R										
PUR	10-02-03	354288	11,400.000	34.385	392,218.14				11,400	392,218.14	Kookmin Bank A/D/R
TOTAL -			11,400.000		392,218.14	0.00	0.00	0.00	11,400	392,218.14	

2222

04-26-04
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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD KB	Kookmin Bank A/D/R				12,200.000 SHRS	34.836	425,002.14	37.840	12-31-03	431,376.00	6,373.86
LAZARD KTC	KT Corporation										
PUR	02-05-03	333362	1,100.000	20.050	22,082.50				0	0.00	KT Corp
SAL	04-15-03	339175	-14,500.000	19.782		286,102.02	-307,964.35	-21,862.33			KY Corp
SAL	04-15-03	339175	-10,400.000	19.782		205,204.21	-236,435.50	-31,231.29			KY Corp
TOTAL -			-23,800.000		22,082.50	491,306.23	-544,399.85	-53,093.62	0	0.00	
LAZARD LUKOY	Lukoil Oil Co A/D/R										
PUR	10-02-03	356289	6,000.000	88.417	530,500.20				6,000	530,500.20	Oil Co Lukoil A/D/R
TOTAL -			6,000.000		530,500.20	0.00	0.00	0.00	6,000	530,500.20	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD LUKOY	Lukoil Oil Co A/D/R				6,400.000 SHRS	89.313	571,600.20	93.000	12-31-03	558,000.00	-13,600.20
LAZARD NL00009058	TPG NV										
PUR	02-05-03	333325	1,500.000	15.365	53,776.20				0	0.00	TPG NV
SAL	03-19-03	334535	-45,700.000	15.000		685,517.64	-754,972.33	-69,454.69			TPG NV
TOTAL -			-42,200.000		53,776.20	685,517.64	-754,972.33	-69,454.69	0	0.00	
LAZARD NL344265	CSM N V										
PUR	02-05-03	333334	1,600.000	21.239	33,981.87				0	0.00	CSM N V
SAL	03-06-03	334516	-9,500.000	19.828		188,365.05	-196,139.38	-7,774.33			CSM NV
SAL	03-12-03	334520	-4,400.000	18.345		80,718.57	-87,729.12	-7,010.55			CSM NV
SAL	03-12-03	334520	-5,600.000	18.345		102,732.72	-142,549.32	-39,816.60			CSM NV
SAL	03-13-03	334521	-14,800.000	18.316		271,078.47	-310,479.20	-39,400.73			CSM NV
TOTAL -			-32,700.000		33,981.87	642,894.81	-736,897.02	-94,002.21	0	0.00	
LAZARD NL350361	Exact Holding NV										

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	11-25-03	358057	9,100.000	25.122	228,607.92				9,100	228,607.92	Exact Holding NV
PUR	11-26-03	358675	1,500.000	25.363	38,044.13				1,500	38,044.13	Exact Holding NV
PUR	12-31-03	362676	4,000.000	26.988	107,952.97				4,000	107,952.97	Exact Holding NV
	TOTAL -		14,600.000		374,605.02	0.00	0.00	0.00	14,600	374,605.02	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD NL350361	Exact Holding NV	17,200.000	SHRS	26.197	450,584.38	27.720	12-31-03	404,712.00	-45,872.38

LAZARD NL360584	IHC Caland N V										
PUR	02-05-03	333124	400.000	47.583	19,033.32				0	0.00	IHC Caland N V
PUR	06-10-03	342952	314.000	37.758	11,855.89				0	0.00	Reinvested IHC Caland div/tax
SAL	10-06-03	354302	-8,562.000	44.803		383,604.27	-437,089.22	-53,484.95			IHC Caland NV
SAL	10-06-03	354302	-714.000	44.803		31,989.42	-30,889.21	1,100.21			IHC Caland NV
	TOTAL -		-8,562.000		30,889.21	415,593.69	-467,978.43	-52,384.74	0	0.00	

LAZARD NL395887	Wolters Kluwer										
PUR	08-21-03	349622	32,100.000	14.285	458,548.97				0	0.00	Wolters Kluwer
SAL	11-03-03	357417	-12,700.000	13.928		176,889.99	-181,419.69	-4,529.70			Wolters Kluwer
SAL	11-04-03	357418	-11,600.000	13.778		159,819.63	-165,706.17	-5,886.54			Wolters Kluwer
SAL	11-05-03	357419	-600.000	13.734		8,240.45	-8,571.01	-330.56			Wolters Kluwer
SAL	11-12-03	357420	-7,200.000	13.001		93,607.92	-102,852.10	-9,244.18			Wolters Kluwer
	TOTAL -		0.000		458,548.97	438,557.99	-458,548.97	-19,990.98	0	0.00	

LAZARD NL9082	Koninklijke KPN NV										
PUR	12-09-03	360993	35,000.000	8.069	282,430.24				35,000	282,430.24	Koninklijke KPN NV
PUR	12-29-03	362679	20,400.000	7.747	158,040.37				20,400	158,040.37	Koninklijke KPN NV
	TOTAL -		55,400.000		440,470.61	0.00	0.00	0.00	55,400	440,470.61	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD NL9082	Koninklijke KPN NV	58,900.000	SHRS	7.981	470,052.70	7.711	12-31-03	427,189.40	-42,863.30

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
LAZARD NO10149354	Gjensidige Nor ASA										
PUR	02-05-03	333339	500.000	32.733	16,366.41				0	0.00	Gjensidige Nor ASA
SAL	05-26-03	341806	-3,600.000	36.321		130,753.82	-135,152.57	-4,398.75			Gjensidige Nor ASA
SAL	05-27-03	341810	-3,700.000	36.101		133,574.43	-138,906.81	-5,332.38			Gjensidige Nor ASA
SAL	05-28-03	341811	-4,500.000	37.099		166,946.79	-157,096.12	9,850.67			Gjensidige Nor ASA
	TOTAL -		-11,300.000		16,366.41	431,275.04	-431,155.50	119.54	0	0.00	
LAZARD NO3733800	Orkla ASA										
SAL	01-29-03	330397	-18,700.000	15.534		290,479.61	-343,556.63	-53,077.02			Orkla ASA
SAL	01-29-03	330397	-13,600.000	15.534		211,257.90	-249,817.03	-38,559.13			Orkla ASA
	TOTAL -		-32,300.000		0.00	501,737.51	-593,373.66	-91,636.15	0	0.00	
LAZARD PRU	Prudential Corp PLC										
PUR	07-31-03	348621	8,100.000	6.980	56,535.14				8,100	56,535.14	Prudential Corp PLC
PUR	08-01-03	349265	30,600.000	6.874	210,359.08				30,600	210,359.08	Prudential Corp
PUR	08-01-03	349266	11,500.000	6.835	78,604.29				11,500	78,604.29	Prudential Corp
PUR	08-04-03	349268	32,500.000	6.704	217,894.42				32,500	217,894.42	Prudential Corp
PUR	08-18-03	349615	18,800.000	6.923	130,143.62				18,800	130,143.62	Prudential Corp PLC
	TOTAL -		101,500.000		693,536.55	0.00	0.00	0.00	101,500	693,536.55	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY DOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD PRU	Prudential Corp PLC		108,000.000 SHRS	6.992	755,178.18	8.389	12-31-03	851,483.50	96,305.32

LAZARD Pierpont	J.P. Morgan Funds										
PUR	01-14-03	330384	318,549.660	1.000	318,549.66				0	0.00	JPM Federal MM Sweep Fund
SAL	01-15-03	330387	-474,805.290	1.000		474,805.29	-474,805.29	0.00			JPM Federal MM Sweep Fund
PUR	01-17-03	330385	314,933.230	1.000	314,933.23				0	0.00	JPM Federal MM Sweep Fund
PUR	01-22-03	330386	383,255.150	1.000	383,255.15				0	0.00	JPM Federal MM Sweep Fund
SAL	01-29-03	330388	-6,267.290	1.000		6,267.29	-6,267.29	0.00			JPM Federal MM Sweep Fund
SAL	01-31-03	330389	-85,011.940	1.000		85,011.94	-85,011.94	0.00			JPM Federal MM Sweep Fund
SAL	02-03-03	333363	-148,515.410	1.000		148,515.41	-148,515.41	0.00			JPM Federal MM Sweep Fund
SAL	02-03-03	333364	-97,432.130	1.000		97,432.13	-97,432.13	0.00			JPM Federal MM Sweep Fund
PUR	02-04-03	333360	941,067.320	1.000	941,067.32				0	0.00	JPM Federal MM Sweep Fund

2225

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	02-10-03	333365	-794,881.910	1.000		794,881.91	-794,881.91	0.00			JPM Federal MM Sweep Fund
SAL	02-11-03	333366	-24,218.720	1.000		24,218.72	-24,218.72	0.00			JPM Federal MM Sweep Fund
SAL	03-03-03	334512	-200,000.000	1.000		200,000.00	-200,000.00	0.00			JPM Federal MM Sweep Fund
SAL	03-10-03	334514	-392,767.830	1.000		392,767.83	-392,767.83	0.00			JPM Federal MM Sweep Fund
PUR	03-18-03	334507	29,203.720	1.000	29,203.72				0	0.00	JPM Federal MM Sweep Fund
SAL	03-19-03	334515	-29,203.720	1.000		29,203.72	-29,203.72	0.00			JPM Federal MM Sweep Fund
PUR	03-24-03	334509	114,664.790	1.000	114,664.79				0	0.00	JPM Federal MM Sweep Fund
PUR	04-04-03	339170	7,465.740	1.000	7,465.74				0	0.00	JPM Federal MM Sweep Fund
SAL	04-07-03	339174	-27,599.200	1.000		27,599.20	-27,599.20	0.00			JPM Federal MM Sweep Fund
PUR	04-21-03	339171	491,306.230	1.000	491,306.23				0	0.00	JPM Federal MM Sweep Fund
SAL	04-22-03	339176	-7,062.580	1.000		7,062.58	-7,062.58	0.00			JPM Federal MM Sweep Fund
PUR	04-28-03	339172	134,358.780	1.000	134,358.78				0	0.00	JPM Federal MM Sweep Fund
PUR	04-29-03	339173	162,462.560	1.000	162,462.56				0	0.00	JPM Federal MM Sweep Fund
SAL	04-30-03	339177	-202,093.530	1.000		202,093.53	-202,093.53	0.00			JPM Federal MM Sweep Fund
SAL	05-01-03	341832	-227,011.600	1.000		227,011.60	-227,011.60	0.00			JPM Federal MM Sweep Fund
PUR	05-02-03	341827	126,976.500	1.000	126,976.50				0	0.00	JPM Federal MM Sweep Fund
SAL	05-27-03	341833	-23,280.550	1.000		23,280.55	-23,280.55	0.00			JPM Federal MM Sweep Fund
PUR	05-28-03	341831	175,010.550	1.000	175,010.55				0	0.00	JPM Federal MM Sweep Fund
SAL	05-29-03	341834	-150,022.030	1.000		150,022.03	-150,022.03	0.00			JPM Federal MM Sweep Fund
SAL	05-30-03	341835	-275,656.740	1.000		275,656.74	-275,656.74	0.00			JPM Federal MM Sweep Fund
PUR	06-02-03	342928	133,574.430	1.000	133,574.43				0	0.00	JPM Federal MM Sweep Fund
SAL	06-03-03	342937	-163,678.880	1.000		163,678.88	-163,678.88	0.00			JPM Federal MM Sweep Fund
PUR	06-12-03	342930	205,830.380	1.000	205,830.38				0	0.00	JPM Federal MM Sweep Fund
SAL	06-13-03	342939	-182,340.030	1.000		182,340.03	-182,340.03	0.00			JPM Federal MM Sweep Fund
SAL	06-20-03	342940	-292,904.820	1.000		292,904.82	-292,904.82	0.00			JPM Federal MM Sweep Fund
PUR	06-23-03	343800	344,733.360	1.000	344,733.36				0	0.00	JPM Federal MM Sweep Fund
SAL	06-30-03	344080	-61,461.430	1.000		61,461.43	-61,461.43	0.00			JPM Federal MM Sweep Fund
SAL	07-02-03	348350	-283,271.930	1.000		283,271.93	-283,271.93	0.00			JPM Federal MM Sweep Fund
PUR	07-07-03	348346	269,762.900	1.000	269,762.90				0	0.00	JPM Federal MM Sweep Fund
PUR	07-15-03	348347	215,211.180	1.000	215,211.18				0	0.00	JPM Federal MM Sweep Fund
SAL	07-16-03	348351	-196,981.180	1.000		196,981.18	-196,981.18	0.00			JPM Federal MM Sweep Fund
SAL	07-22-03	348352	-104,135.580	1.000		104,135.58	-104,135.58	0.00			JPM Federal MM Sweep Fund
PUR	07-23-03	348348	154,439.370	1.000	154,439.37				0	0.00	JPM Federal MM Sweep Fund
SAL	07-25-03	348353	-38,096.040	1.000		38,096.04	-38,096.04	0.00			JPM Federal MM Sweep Fund
SAL	07-28-03	348354	-217,430.920	1.000		217,430.92	-217,430.92	0.00			JPM Federal MM Sweep Fund
SAL	07-30-03	348355	-78,769.730	1.000		78,769.73	-78,769.73	0.00			JPM Federal MM Sweep Fund
PUR	08-04-03	349258	275,837.810	1.000	275,837.81				0	0.00	JPM Federal MM Sweep Fund

2226

Market Street Trust Company

PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

08-26-04

FC03 3

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	08-05-03	349259	507,216.730	1.000	507,216.73				0	0.00	JPM Federal MM Sweep Fund
SAL	08-06-03	349262	-518,289.940	1.000		518,289.94	-518,289.94	0.00			JPM Federal MM Sweep Fund
PUR	08-07-03	349260	183,513.060	1.000	183,513.06				0	0.00	JPM Federal MM Sweep Fund
SAL	08-08-03	349263	218,631.140	1.000		218,631.14	-218,631.14	0.00			JPM Federal MM Sweep Fund
SAL	08-14-03	349264	-32,387.110	1.000		32,387.11	-32,387.11	0.00			JPM Federal MM Sweep Fund
PUR	08-19-03	349261	598,111.810	1.000	598,111.81				0	0.00	JPM Federal MM Sweep Fund
SAL	08-21-03	349625	-251,951.720	1.000		251,951.72	-251,951.72	0.00			JPM Federal MM Sweep Fund
SAL	08-22-03	349626	-33,463.780	1.000		33,463.78	-33,463.78	0.00			JPM Federal MM Sweep Fund
SAL	08-25-03	349627	-256,343.380	1.000		256,343.38	-256,343.38	0.00			JPM Federal MM Sweep Fund
SAL	08-26-03	349628	-253,612.340	1.000		253,612.34	-253,612.34	0.00			JPM Federal MM Sweep Fund
PUR	08-27-03	349624	114,380.120	1.000	114,380.12				0	0.00	JPM Federal MM Sweep Fund
SAL	08-29-03	349737	-20,509.790	1.000		20,509.79	-20,509.79	0.00			JPM Federal MM Sweep Fund
SAL	09-02-03	351455	-14,074.150	1.000		14,074.15	-14,074.15	0.00			JPM Federal MM Sweep Fund
SAL	09-04-03	351456	-79,796.180	1.000		79,796.18	-79,796.18	0.00			JPM Federal MM Sweep Fund
PUR	09-05-03	351446	171,204.330	1.000	171,204.33				0	0.00	JPM Federal MM Sweep Fund
SAL	09-09-03	351457	-171,204.330	1.000		171,204.33	-171,204.33	0.00			JPM Federal MM Sweep Fund
PUR	09-29-03	352432	93,557.400	1.000	93,557.40				0	0.00	JPM Federal MM Sweep Fund
SAL	09-30-03	352433	-1,542.930	1.000		1,542.93	-1,542.93	0.00			JPM Federal MM Sweep Fund
PUR	10-01-03	354282	1,870,000.000	1.000	1,870,000.00				0	0.00	JPM Federal MM Sweep Fund
PUR	10-02-03	354283	3,511.960	1.000	3,511.96				0	0.00	JPM Federal MM Sweep Fund
SAL	10-07-03	354298	-1,452,143.940	1.000		1,452,143.94	-1,452,143.94	0.00			JPM Federal MM Sweep Fund
PUR	10-09-03	354284	415,593.690	1.000	415,593.69				0	0.00	JPM Federal MM Sweep Fund
PUR	10-10-03	354285	357,217.650	1.000	357,217.65				0	0.00	JPM Federal MM Sweep Fund
SAL	10-14-03	354299	-442,345.320	1.000		442,345.32	-442,345.32	0.00			JPM Federal MM Sweep Fund
SAL	10-15-03	354300	-73,788.120	1.000		73,788.12	-73,788.12	0.00			JPM Federal MM Sweep Fund
SAL	10-17-03	354301	-46,751.630	1.000		46,751.63	-46,751.63	0.00			JPM Federal MM Sweep Fund
PUR	10-20-03	354719	58,495.080	1.000	58,495.08				0	0.00	JPM Federal MM Sweep Fund
SAL	10-21-03	354723	-55,268.370	1.000		55,268.37	-55,268.37	0.00			JPM Federal MM Sweep Fund
SAL	10-22-03	354724	-47,502.910	1.000		47,502.91	-47,502.91	0.00			JPM Federal MM Sweep Fund
PUR	10-28-03	354912	866,998.370	1.000	866,998.37				0	0.00	JPM Federal MM Sweep Fund
SAL	10-29-03	354926	-1,116,014.250	1.000		1,116,014.25	-1,116,014.25	0.00			JPM Federal MM Sweep Fund
SAL	10-30-03	355443	-20,126.940	1.000		20,126.94	-20,126.94	0.00			JPM Prime MM Sweep Fund
SAL	10-31-03	355444	-16,921.710	1.000		16,921.71	-16,921.71	0.00			JPM Prime MM Sweep Fund
SAL	11-03-03	357409	-34,312.920	1.000		34,312.92	-34,312.92	0.00			JPM Federal MM Sweep Fund
SAL	11-04-03	357410	-110,374.160	1.000		110,374.16	-110,374.16	0.00			JPM Federal MM Sweep Fund
SAL	11-05-03	357411	-37,532.670	1.000		37,532.67	-37,532.67	0.00			JPM Federal MM Sweep Fund
PUR	11-06-03	357405	176,912.060	1.000	176,912.06				0	0.00	JPM Federal MM Sweep Fund

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	11-07-03	357406	159,797.560	1.000	159,797.56				0	0.00	JPM Federal MM Sweep Fund
SAL	11-12-03	357412	-261,983.820	1.000		261,983.82	-261,983.82	0.00			JPM Federal MM Sweep Fund
PUR	11-14-03	357407	36,515.210	1.000	36,515.21				0	0.00	JPM Federal MM Sweep Fund
PUR	11-28-03	358058	73,381.040	1.000	73,381.04				0	0.00	JPM Federal MM Sweep Fund
SAL	12-01-03	361003	-38,044.130	1.000		38,044.13	-38,044.13	0.00			JPM Federal MM Sweep Fund
SAL	12-04-03	361004	-280,165.540	1.000		280,165.54	-280,165.54	0.00			JPM Federal MM Sweep Fund
PUR	12-05-03	360981	37,124.990	1.000	37,124.99				0	0.00	JPM Federal MM Sweep Fund
PUR	12-09-03	360982	667,601.530	1.000	667,601.53				0	0.00	JPM Federal MM Sweep Fund
SAL	12-10-03	361005	-372,299.330	1.000		372,299.33	-372,299.33	0.00			JPM Federal MM Sweep Fund
SAL	12-11-03	361006	-70,251.510	1.000		70,251.51	-70,251.51	0.00			JPM Federal MM Sweep Fund
SAL	12-12-03	361007	-187,454.750	1.000		187,454.75	-187,454.75	0.00			JPM Federal MM Sweep Fund
PUR	12-15-03	360983	22,891.980	1.000	22,891.98				0	0.00	JPM Federal MM Sweep Fund
PUR	12-16-03	360984	4,935.160	1.000	4,935.16				0	0.00	JPM Federal MM Sweep Fund
PUR	12-17-03	360985	125,788.760	1.000	125,788.76				0	0.00	JPM Federal MM Sweep Fund
PUR	12-18-03	360986	244,865.370	1.000	244,865.37				0	0.00	JPM Federal MM Sweep Fund
PUR	12-19-03	360987	52,177.740	1.000	52,177.74				0	0.00	JPM Federal MM Sweep Fund
SAL	12-22-03	361008	-45,372.050	1.000		45,372.05	-45,372.05	0.00			JPM Federal MM Sweep Fund
SAL	12-23-03	361009	-60,960.250	1.000		60,960.25	-60,960.25	0.00			JPM Federal MM Sweep Fund
SAL	12-24-03	361010	-30,638.920	1.000		30,638.92	-30,638.92	0.00			JPM Federal MM Sweep Fund
PUR	12-29-03	360988	231,197.810	1.000	231,197.81				0	0.00	JPM Federal MM Sweep Fund
SAL	12-30-03	361489	-696,767.190	1.000		696,767.19	-696,767.19	0.00			JPM Federal MM Sweep Fund
	TOTAL -		-266,095.160		11,867,633.07	12,133,728.23	-12,133,728.23	0.00	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD Pierpont	J.P. Morgan Funds	476,664.440	UNTS	1.000	476,664.44	1.000	12-31-03	0.00	-476,664.44

LAZARD RIO	Companhia Vale Do Rio Doce										
PUR	10-02-03	354287	12,000.000	44.099	529,425.60				12,000	529,425.60	Companhia Vale Do Rio Doce
	TOTAL -		12,000.000		529,425.60	0.00	0.00	0.00	12,000	529,425.60	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD RIO	Companhia Vale Do Rio Doce	12,900.000	SHRS	45.148	582,407.07	58.500	12-31-03	702,000.00	119,592.93

2228

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
LAZARD SE282335 Autoliv Inc											
PUR	12-04-03	360990	2,500.000	35.880	89,719.49				2,500	89,719.49	Autoliv Inc
PUR	12-05-03	360991	10,000.000	37.230	372,299.33				10,000	372,299.33	Autoliv Inc
PUR	12-08-03	360992	2,900.000	37.344	108,296.20				2,900	108,296.20	Autoliv Inc
TOTAL -			15,400.000		570,315.02	0.00	0.00	0.00	15,400	570,315.02	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD SE282335 Autoliv Inc	16,400.000	SHRS	37.419	613,666.30	37.606	12-31-03	579,132.40	-34,533.90

LAZARD SE310336 Swedish Match AB											
PUR	09-29-03	353676	29,700.000	7.875	233,890.12				29,700	233,890.12	Swedish Match AB
TOTAL -			29,700.000		233,890.12	0.00	0.00	0.00	29,700	233,890.12	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD SE310336 Swedish Match AB	31,600.000	SHRS	8.020	253,444.01	10.124	12-31-03	300,682.80	47,238.79

LAZARD SG1L510018 Oversea-Chinese Banking Corp											
PUR	02-05-03	333354	3,000.000	5.147	15,439.68				3,000	15,439.68	Oversea-Chinese Banking Corp
TOTAL -			3,000.000		15,439.68	0.00	0.00	0.00	3,000	15,439.68	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LAZARD SG1L510018 Oversea-Chinese Banking Corp	64,000.000	SHRS	6.139	392,920.43	7.125	12-31-03	427,500.00	34,579.57

LAZARD SREC Shin Etsu Chemical Co											
PUR	09-04-03	351447	5,900.000	41.288	243,601.13				0	0.00	Shin ETSU Chemical
SAL	12-26-03	361493	-5,900.000	39.499		233,045.59	-243,601.13	-10,555.54			Shin Etsu Chemical Co
TOTAL -			0.000		243,601.13	233,045.59	-243,601.13	-10,555.54	0	0.00	

MS 4063 Shin-Etsu Chemical Co LTD

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS	4063	Shin-Etsu Chemical Co LTD			9,000.000 SHRS	46.867	421,800.00	40.940	12-31-03	368,460.00	-53,340.00
MS	6954	FANUC Co LTD									
PUR	09-09-03	351431	1,800.000	67.520	121,536.00				1,800	121,536.00	Fanuc LTD
TOTAL -			1,800.000		121,536.00	0.00	0.00	0.00	1,800	121,536.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS	6954	FANUC Co LTD			7,400.000 SHRS	83.587	618,543.00	60.008	12-31-03	444,059.20	-174,483.80
MS	7751	Canon Inc									
PUR	04-08-03	339186	5,000.000	35.650	178,250.00				5,000	178,250.00	Canon Inc
PUR	05-14-03	341722	2,000.000	41.250	82,500.00				2,000	82,500.00	Canon Inc
TOTAL -			7,000.000		260,750.00	0.00	0.00	0.00	7,000	260,750.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS	7751	Canon Inc			16,000.000 SHRS	38.439	614,540.00	46.642	12-31-03	746,272.00	131,732.00
MS	AASIF	Alleanza Assicurazio NI SPA									
PUR	09-09-03	351430	11,750.000	10.049	118,075.75				11,750	118,075.75	Alleanza Assicurazioni
TOTAL -			11,750.000		118,075.75	0.00	0.00	0.00	11,750	118,075.75	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS	AASIF	Alleanza Assicurazio NI SPA			67,548.000 SHRS	10.941	739,059.07	10.871	12-31-03	734,314.31	-4,744.76
MS	AVE	Aventis Sponsored A/D/R									
PUR	04-16-03	339223	770.000	45.640	35,142.80				770	35,142.80	Aventis A/D/R
TOTAL -			770.000		35,142.80	0.00	0.00	0.00	770	35,142.80	

Market Street Trust Company

PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04

FC3.3

PAGE

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS	AVE	Aventis Sponsored A/D/R			11,220.000	SHRS	70.259	788,309.77	66.260	12-31-03	743,437.20	-44,872.57
MS AVMDF	VMU NV EURO.20											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS	AVMDF	VMU NV EURO.20			19,113.000	SHRS	45.006	860,207.81	31.563	12-31-03	603,263.62	-256,944.19
MS BE3801181	Fortis Group											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS	BE3801181	Fortis Group			22,605.000	SHRS	23.405	529,061.17	20.122	12-31-03	454,857.81	-74,203.36
MS BP	BP P.L.C. Sponsored A/D/R											
PUR	06-25-03	344076	12,730.000	43.001	547,407.82				12,730	547,407.82	BP P.L.C.
PUR	11-07-03	357378	2,810.000	42.850	120,408.50				2,810	120,408.50	BP P.L.C. A/D/R
TOTAL -			15,540.000		667,816.32	0.00	0.00	0.00	15,540	667,816.32	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS	BP	BP P.L.C. Sponsored A/D/R			15,540.000	SHRS	42.974	667,816.32	49.350	12-31-03	766,899.00	99,082.68
MS CH12056047	Nestle SA-REG											
PUR	04-08-03	339198	423.000	204.850	86,651.55				423	86,651.55	Nestle SA-REG
PUR	05-14-03	341734	522.000	208.500	108,837.00				522	108,837.00	Nestle SA-REG
TOTAL -			945.000		195,488.55	0.00	0.00	0.00	945	195,488.55	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS	CH12056047	Nestle SA-REG			3,072.000	SHRS	213.474	655,793.08	248.192	12-31-03	762,445.82	106,652.74
MS CH12138530	Credit Suisse Group											
PUR	05-20-03	341738	2,584.000	25.250	65,246.00				2,984	65,246.00	Credit Suisse Group
PUR	09-09-03	351426	3,480.000	33.588	116,886.24				3,480	116,886.24	Credit Suisse Group

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			6,064.000		182,132.24	0.00	0.00	0.00	6,064	182,132.24	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS CH12138530 Credit Suisse Group		26,352.000	SHRS	37.784	995,683.96	36.345	12-31-03	957,763.44	-37,920.52

MS CH12332372 Swiss RE-REG

PUR	04-16-03	339200	830.000	63.490	52,696.70				830	52,696.70	Swiss RE-REG
TOTAL -			830.000		52,696.70	0.00	0.00	0.00	830	52,696.70	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS CH12332372 Swiss RE-REG		9,825.000	SHRS	89.333	877,696.85	67.068	12-31-03	658,943.10	-218,753.75

MS CRIIF Unicredito Italiano

PUR	09-09-03	351428	24,500.000	4.778	117,061.00				24,500	117,061.00	Unicredito Italiano SPA
TOTAL -			24,500.000		117,061.00	0.00	0.00	0.00	24,500	117,061.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS CRIIF Unicredito Italiano		143,173.000	SHRS	4.630	662,917.74	5.360	12-31-03	767,407.28	104,489.54

MS FR120578 Sanofi-Synthelabo SA

PUR	04-16-03	339183	597.000	58.820	35,115.54				0	0.00	Sanofi Synthelabo
TOTAL -			597.000		35,115.54	0.00	0.00	0.00	0	0.00	

MS FR120578B Sanofi-Synthelabo SA

PUR	05-13-03	341721	1,767.000	61.540	108,741.18				1,767	108,741.18	Sanofi-Synthelabo SA
TOTAL -			1,767.000		108,741.18	0.00	0.00	0.00	1,767	108,741.18	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS FR120578B Sanofi-Synthelabo SA		10,619.000	SHRS	59.652	633,441.92	75.222	12-31-03	798,782.42	165,340.50

2232

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION / TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MS	GB31215220 Carnival PLC										
PUR	09-09-03	351424	3,500.000	33.065	115,727.51				3,500	115,727.51	Carnival PLC
	TOTAL -		3,500.000		115,727.51	0.00	0.00	0.00	3,500	115,727.51	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS	GB31215220 Carnival PLC	21,710.000	SHRS	27.802	603,577.32	40.124	12-31-03	871,092.04	267,514.72

ENTITY TYPE	INVESTMENT DESCRIPTION / TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MS	GB6107006 Cadbury Schweppes										
PUR	04-08-03	339187	15,684.000	5.530	86,732.52				15,684	86,732.52	Cadbury Schweppes
PUR	05-13-03	341729	11,468.000	5.690	65,252.91				11,468	65,252.91	Cadbury Schweppes
	TOTAL -		27,152.000		151,985.43	0.00	0.00	0.00	27,152	151,985.43	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS	GB6107006 Cadbury Schweppes	99,306.000	SHRS	6.136	609,316.91	7.313	12-31-03	726,224.78	116,907.87

ENTITY TYPE	INVESTMENT DESCRIPTION / TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MS	GB0847096 Tesco PLC										
PUR	09-09-03	351427	39,000.000	3.595	140,189.40				39,000	140,189.40	Tesco PLC
	TOTAL -		39,000.000		140,189.40	0.00	0.00	0.00	39,000	140,189.40	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS	GB0847096 Tesco PLC	162,800.000	SHRS	3.246	528,426.20	4.587	12-31-03	746,763.60	218,337.40

ENTITY TYPE	INVESTMENT DESCRIPTION / TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MS	GB9223206 Smith & Nephew PLC										
PUR	04-08-03	339188	13,322.000	6.510	86,726.22				13,322	86,726.22	Smith & Nephew PLC
PUR	05-13-03	341730	13,304.000	6.540	87,008.15				13,304	87,008.15	Smith & Nephew
PUR	09-09-03	351425	21,000.000	6.643	139,492.50				21,000	139,492.50	Smith & Nephew
	TOTAL -		47,626.000		313,226.87	0.00	0.00	0.00	47,626	313,226.87	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

2234

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS	GB9223206	Smith & Nephew PLC			104,581.000	SHRS	6.230	651,539.57	8.344	12-31-03	872,623.86	221,084.29
MS	GB9635904	P & O Princess Cruises PLC										
PUR	04-16-03	339196	11,901.000	7.380	87,829.38				0	0.00	P & O Princess Cruises
TOTAL -			11,901.000		87,829.38	0.00	0.00	0.00	0	0.00	
MS	GSK	Glaxosmithkline PLC									
PUR	04-16-03	339224	925.000	37.970	35,122.25				925	35,122.25	Glaxosmithkline PLC
PUR	05-13-03	341772	4,080.000	41.749	170,337.14				4,080	170,337.14	Glaxosmithkline PLC
TOTAL -			5,005.000		205,459.39	0.00	0.00	0.00	5,005	205,459.39	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS	GSK	Glaxosmithkline PLC			15,316.000	SHRS	50.240	769,472.98	46.620	12-31-03	714,031.92	-55,441.06
MS	HENMAU	Hennes and Mauritz A B Seko										
PUR	04-16-03	339230	1,687.000	20.820	35,123.34				1,687	35,123.34	Hennes & Mauritz AB-B
TOTAL -			1,687.000		35,123.34	0.00	0.00	0.00	1,687	35,123.34	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS	HENMAU	Hennes and Mauritz A B Seko			24,491.000	SHRS	19.600	480,031.72	23.555	12-31-03	576,885.51	96,853.79
MS	HK0005	HSBC Holdings PLC										
PUR	04-16-03	339184	4,800.000	10.900	52,320.00				4,800	52,320.00	HSBC Holdings PLC
TOTAL -			4,800.000		52,320.00	0.00	0.00	0.00	4,800	52,320.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS	HK0005	HSBC Holdings PLC			47,600.000	SHRS	12.684	603,762.60	15.777	12-31-03	750,985.20	147,222.60

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04
FC3.1

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MS	IE30606259 Bank of Ireland										
PUR	09-16-03	351782	16,384.000	12.120	198,572.44				16,384	198,572.44	Bank of Ireland
	TOTAL -		16,384.000		198,572.44	0.00	0.00	0.00	16,384	198,572.44	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS	IE30606259 Bank of Ireland	56,742.000	SHRS	11.725	665,304.95	13.582	12-31-03	770,669.84	105,364.89

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MS	IT1052049 Telecom Italia Mobile										
PUR	05-13-03	341743	31,969.000	4.763	152,268.35				0	0.00	Telecom Italia Mobile
	TOTAL -		31,969.000		152,268.35	0.00	0.00	0.00	0	0.00	
MS	IT64854 Unicredito Italiano Spa										
PUR	05-13-03	341741	19,301.000	4.508	87,008.91				0	0.00	Unicredito Italiano SPA
	TOTAL -		19,301.000		87,008.91	0.00	0.00	0.00	0	0.00	
MS	LREFF Loreal FRF10 ORDS										
PUR	04-08-03	339180	1,214.000	71.410	86,691.74				1,214	86,691.74	Loreal purchase
PUR	04-16-03	339182	1,238.000	70.920	87,798.96				1,238	87,798.96	Loreal
PUR	05-13-03	341719	1,482.000	73.360	108,719.52				1,482	108,719.52	Loreal
	TOTAL -		3,934.000		283,210.22	0.00	0.00	0.00	3,934	283,210.22	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS	LREFF Loreal FRF10 ORDS	11,275.000	SHRS	76.112	858,157.34	81.900	12-31-03	923,422.50	65,265.16

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MS	LVMHF LVMH Moet Hennesy										
PUR	04-08-03	339181	2,106.000	41.170	86,704.02				2,106	86,704.02	LVMH Moet-Hennessy Louis VUI
PUR	05-13-03	341720	1,884.000	46.180	87,003.13				1,884	87,003.13	LVMH Moet-Hennessy Louis VUI
	TOTAL -		3,990.000		173,707.15	0.00	0.00	0.00	3,990	173,707.15	

2235

Market Street Trust Company

04-26-04 PURCHASE / SALE DETAIL HISTORY REPORT PAGE

FC3.3 BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	MS LVMHF	LVMH Moet Hennesy			11,973.000 SHRS	61.793	739,843.52	72.702	12-31-03	870,461.05	130,617.53
MS	LYEFF	Suez Lyonnaise Des EAUX									
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	MS LYEFF	Suez Lyonnaise Des EAUX			19,687.000 SHRS	33.555	660,601.75	20.071	12-31-03	395,137.78	-265,463.97
MS	NL497964	Rights - VNU NV									
SAL	04-01-03	337818	-12,306.000	0.000		0.00	0.00	0.00			VNU NV Rights paid as div-2001
	TOTAL -		-12,306.000		0.00	0.00	0.00	0.00	0	0.00	
MS	NL497980	AHOLD NV-STK - Rights									
SAL	04-01-03	337819	-23,887.000	0.000		0.00	0.00	0.00			AHOLD NV-STK Rights expired
	TOTAL -		-23,887.000		0.00	0.00	0.00	0.00	0	0.00	
MS	NL498343	Rights - Koninklijke AHOLD									
SAL	03-12-03	334438	-22,775.000	0.554		12,611.98	0.00	12,611.98			Sale of Koninklilke rights
	TOTAL -		-22,775.000		0.00	12,611.98	0.00	12,611.98	0	0.00	
MS	NOK	Nokia Corp CL-A									
PUR	11-07-03	357379	13,600.000	17.709	240,838.32				13,600	240,838.32	Nokia Corp Cl A
	TOTAL -		13,600.000		240,838.32	0.00	0.00	0.00	13,600	240,838.32	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	MS NOK	Nokia Corp CL-A			49,530.000 SHRS	27.057	1,340,133.60	17.000	12-31-03	842,010.00	-498,123.60
MS	NOVRGFAG	Novartis AG									
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	MS NOVRGFAG	Novartis AG			17,400.000 SHRS	39.003	678,651.97	45.100	12-31-03	784,740.00	106,088.03

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MS	NWS	News Corp Ltd A/D/R									
PUR	04-16-03	339225	1,280.000	27.273	34,909.31				1,280	34,909.31	News Corp LTD
PUR	09-08-03	351423	3,415.000	34.499	117,813.74				3,415	117,813.74	News Corp LTD
	TOTAL -		4,695.000		152,723.05	0.00	0.00	0.00	4,695	152,723.05	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS	NWS	News Corp Ltd A/D/R	19,240.000	SHRS	38.119	733,412.60	36.100	12-31-03	694,564.00	-38,848.60

ENTITY	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MS	PHG	Koninklijke Philipe Electronic									

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS	PHG	Koninklijke Philips Electronic	24,645.000	SHRS	38.396	946,277.57	29.090	12-31-03	716,923.05	-229,354.52

ENTITY	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MS	PSNRF	Pearson PLC									
PUR	04-16-03	339189	6,022.000	8.750	52,692.50				6,022	52,692.50	Pearson PLC
	TOTAL -		6,022.000		52,692.50	0.00	0.00	0.00	6,022	52,692.50	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS	PSNRF	Pearson PLC	53,423.000	SHRS	18.489	987,739.04	11.102	12-31-03	593,102.15	-394,636.89

ENTITY	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MS	Pierpont	J.P. Morgan Funds									
SAL	01-02-03	330324	-297,651.430	1.000		297,651.43	-297,651.43	0.00			JPM Federal MM Sweep Fund
PUR	01-03-03	330320	297,651.430	1.000	297,651.43				0	0.00	JPM Federal MM Sweep Fund
PUR	01-10-03	330322	594,849.270	1.000	594,849.27				0	0.00	JPM Federal MM Sweep Fund
SAL	01-29-03	330326	-3,197.250	1.000		3,197.25	-3,197.25	0.00			JPM Federal MM Sweep Fund
SAL	02-26-03	333285	-594,849.270	1.000		594,849.27	-594,849.27	0.00			JPM Federal MM Sweep Fund
PUR	03-14-03	334429	12,611.980	1.000	12,611.98				0	0.00	JPM Federal MM Sweep Fund
SAL	03-20-03	334431	-22,643.580	1.000		22,643.58	-22,643.58	0.00			JPM Federal MM Sweep Fund
PUR	04-04-03	339222	2,000,000.000	1.000	2,000,000.00				0	0.00	JPM Federal MM Sweep Fund
SAL	04-17-03	339226	-611,756.050	1.000		611,756.05	-611,756.05	0.00			JPM Federal MM Sweep Fund
SAL	04-22-03	339227	-53,398.020	1.000		53,398.02	-53,398.02	0.00			JPM Federal MM Sweep Fund
SAL	04-23-03	339228	-456,430.780	1.000		456,430.78	-456,430.78	0.00			JPM Federal MM Sweep Fund

2237

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	05-09-03	341771	3,214,200.000	1.000	3,214,200.00				0	0.00	JPM Federal MM Sweep Fund
SAL	05-16-03	341778	-212,955.240	1.000		212,955.24	-212,955.24	0.00			JPM Federal MM Sweep Fund
SAL	05-20-03	341779	-1,017,838.030	1.000		1,017,838.03	-1,017,838.03	0.00			JPM Federal MM Sweep Fund
PUR	05-21-03	341774	413,360.260	1.000	413,360.26				0	0.00	JPM Federal MM Sweep Fund
SAL	05-22-03	341780	-108,811.760	1.000		108,811.76	-108,811.76	0.00			JPM Federal MM Sweep Fund
SAL	05-23-03	341781	-50,539.990	1.000		50,539.99	-50,539.99	0.00			JPM Federal MM Sweep Fund
SAL	06-03-03	342918	-65,192.790	1.000		65,192.79	-65,192.79	0.00			JPM Federal MM Sweep Fund
SAL	06-05-03	342919	-87,008.910	1.000		87,008.91	-87,008.91	0.00			JPM Federal MM Sweep Fund
SAL	06-13-03	342920	-152,268.350	1.000		152,268.35	-152,268.35	0.00			JPM Federal MM Sweep Fund
SAL	06-30-03	344077	-547,407.820	1.000		547,407.82	-547,407.82	0.00			JPM Federal MM Sweep Fund
PUR	07-02-03	348250	16.540	1.000	16.54				0	0.00	JPM Federal MM Sweep Fund
SAL	07-16-03	348251	-3,768.280	1.000		3,768.28	-3,768.28	0.00			JPM Federal MM Sweep Fund
SAL	07-23-03	348252	-5,000.000	1.000		5,000.00	-5,000.00	0.00			JPM Federal MM Sweep Fund
PUR	08-18-03	349209	6,153.440	1.000	6,153.44				0	0.00	JPM Federal MM Sweep Fund
SAL	08-19-03	349211	-7,420.070	1.000		7,420.07	-7,420.07	0.00			JPM Federal MM Sweep Fund
PUR	08-22-03	349598	178,001.310	1.000	178,001.31				0	0.00	JPM Federal MM Sweep Fund
SAL	08-25-03	349600	-27,549.580	1.000		27,549.58	-27,549.58	0.00			JPM Federal MM Sweep Fund
SAL	09-09-03	351433	-198,572.440	1.000		198,572.44	-198,572.44	0.00			JPM Federal MM Sweep Fund
PUR	09-10-03	351421	198,572.440	1.000	198,572.44				40,641	40,640.86	JPM Federal MM Sweep Fund
SAL	09-11-03	351434	-117,813.740	1.000		117,813.74	-117,813.74	0.00			JPM Federal MM Sweep Fund
SAL	09-12-03	351435	-1,221,267.320	1.000		1,221,267.32	-1,221,267.32	0.00			JPM Federal MM Sweep Fund
SAL	09-16-03	351783	-198,572.440	1.000		198,572.44	-198,572.44	0.00			JPM Federal MM Sweep Fund
SAL	10-21-03	354701	-2,442.160	1.000		2,442.16	-2,442.16	0.00			JPM Prime MM Sweep Fund
PUR	10-22-03	354702	5,744.090	1.000	5,744.09				5,744	5,744.09	JPM Federal MM Sweep Fund
SAL	10-27-03	354898	-29,830.000	1.000		29,830.00	-29,830.00	0.00			JPM Federal MM Sweep Fund
SAL	10-31-03	355440	-1,566.000	1.000		1,566.00	-1,566.00	0.00			JPM Federal MM Sweep Fund
SAL	11-13-03	357380	-361,246.820	1.000		361,246.82	-361,246.82	0.00			JPM Federal MM Sweep Fund
SAL	11-19-03	358039	-715,429.120	1.000		715,429.12	-715,429.12	0.00			JPM Federal MM Sweep Fund
PUR	11-20-03	358036	4,450.930	1.000	4,450.93				4,451	4,450.93	JPM Federal MM Sweep Fund
	TOTAL -		-246,815.550		6,925,611.69	7,172,427.24	-7,172,427.24	0.00	50,836	50,835.88	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MS	Pierpont J.P. Morgan Funds	16,497.340	UNIT	1.000	16,497.34	1.000	12-31-03	50,835.88	34,338.54

MS RKBK Reckitt Benckiser PLC

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	MS RKBK	Reckitt Benckiser PLC			56,727.000 SHRS	11.693	663,331.20	22.507	12-31-03	1,276,754.59	613,423.39
MS	SE106270	Hennes & Mauritz AB-B									
PUR	05-14-03	341732	2,904.000	22.470	65,252.88				0	0.00	Henne & Mauritz AB-B
	TOTAL -		2,904.000		65,252.88	0.00	0.00	0.00	0	0.00	
MS	SIERGF	Siemens AG NPV									
PUR	09-09-03	351432	3,600.000	65.450	235,620.00				3,600	235,620.00	Siemens AG
	TOTAL -		3,600.000		235,620.00	0.00	0.00	0.00	3,600	235,620.00	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	MS SIERGF	Siemens AG NPV			11,042.000 SHRS	65.591	724,253.57	79.904	12-31-03	882,299.97	158,046.40
MS	SNE	Sony Corp									
SAL	08-19-03	349599	-5,635.000	31.590		178,001.31	-510,543.13	-332,541.82			Sony Corp A/D/R
	TOTAL -		-5,635.000		0.00	178,001.31	-510,543.13	-332,541.82	0	0.00	
MS	STM	STMicroelectronics NV									
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	MS STM	STMicroelectronics NV			28,465.000 SHRS	36.774	1,046,779.89	27.010	12-31-03	768,839.65	-277,940.24
MS	TEF	Telefonica SA									
PUR	05-13-03	341773	1,310.000	32.533	42,618.10				1,334	42,618.10	Telefonica S A
	TOTAL -		1,310.000		42,618.10	0.00	0.00	0.00	1,334	42,618.10	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	MS TEF	Telefonica SA			13,792.000 SHRS	53.139	732,898.89	44.190	12-31-03	609,468.48	-123,430.41

04-26-04
PC1.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER		QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MS	TIMIF		(Tim)Telecom Italia Mobile ITL									
	CURRENT HOLDINGS -											
	ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
	MS	TIMIF	(Tim)Telecom Italia Mobile ITL	105,521.000	SHRS	6.810	718,648.35	5.397	12-31-03	569,496.84	-149,151.51	
MS	TOT		Total SA ADR									
PUR	11-14-03	358035		8,960.000	79.847	715,429.12				8,960	715,429.12	Total SA ADR
	TOTAL -			8,960.000		715,429.12	0.00	0.00	0.00	8,960	715,429.12	
	CURRENT HOLDINGS -											
	ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
	MS	TOT	Total SA ADR	8,960.000	SHRS	79.847	715,429.12	92.510	12-31-03	828,889.60	113,460.48	
MS	VODBF		Vodafone Group PLC									
PUR	09-09-03	351429		59,600.000	1.950	116,678.92				59,600	116,678.92	Vodafone Group
	TOTAL -			59,600.000		116,678.92	0.00	0.00	0.00	59,600	116,678.92	
	CURRENT HOLDINGS -											
	ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
	MS	VODBF	Vodafone Group PLC	354,973.000	SHRS	2.794	991,853.96	2.470	12-31-03	876,818.81	-115,035.15	
MSIET1	GMOEMF		GMO Emerging Markets Fund									
PUR	05-09-03	339865		945,762.712	9.440	9,000,000.00				945,763	9,000,000.00	5/9 GMO Emerging Mkt Fd III
PUR	06-03-03	342523		59,759.036	9.960	600,000.00				59,759	600,000.00	GMO Emerging Mkts Fund III
PUR	07-02-03	347244		680,758.840	10.690	7,336,000.00				680,759	7,336,000.00	Purchase GMO Emerging Mkts III
PUR	08-06-03	349283		64,605.276	10.690	696,200.00				64,605	696,200.00	GMO Emerging Mkts III purchase
PUR	11-04-03	357307		69,208.128	13.410	935,000.00				69,208	935,000.00	11/4 GMO Emerg Mkts purchase
	TOTAL -			1,820,093.992		18,567,200.00	0.00	0.00	0.00	1,820,094	18,567,200.00	
	CURRENT HOLDINGS -											
	ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
	MSIET1	GMOEMF	GMO Emerging Markets Fund	1,820,093.992	UNTS	10.201	18,567,200.00	14.760	12-31-03	26,864,587.32	8,297,387.32	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04
FC3.3

ENTITY INVESTMENT DESCRIPTION / TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL 22163M918 Cott Corporation	5,980.000 SHRS	29.426	175,969.87				-175,969.87

PINACL 279999916 Eaccess LTD

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL 279999916 Eaccess LTD	86.000 SHRS	3,729.439	320,731.73				-320,731.73

PINACL 655997906 Nobel Biocare

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL 655997906 Nobel Biocare	2,061.000 SHRS	119.162	245,591.96				-245,591.96

PINACL AGRL	Autogrill SA								11,100	138,423.59	Autogrill SA
PUR 09-22-03	353520	11,100.000	12.471	138,423.59					6,500	85,595.38	Mediaset SPA
PUR 11-12-03	358966	6,500.000	13.169	85,595.38							
TOTAL -		17,600.000		224,018.97	0.00	0.00	0.00		17,600	224,018.97	
PINACL AL	Alcan Inc.								4,590	212,397.66	Alcan Inc
PUR 12-19-03	361079	4,590.000	46.214	212,397.66							
TOTAL -		4,590.000		212,397.66	0.00	0.00	0.00		4,590	212,397.66	
PINACL ALV	Autoliv Inc								2,975	102,868.06	Autoliv Inc
PUR 12-02-03	361059	2,975.000	34.518	102,868.06					2,625	98,541.19	Autoliv Inc
PUR 12-15-03	361071	2,625.000	37.680	98,541.19							
TOTAL -		5,600.000		201,409.25	0.00	0.00	0.00		5,600	201,409.25	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL ALV Autoliv Inc	6,800.000 SHRS	36.829	250,438.61	37.650	12-31-03	210,840.00	-39,598.61

PINACL AMX America Movil S.A. DE C.V.

2241

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL AMX		America Movil S.A. DE C.V.			4,980.000 SHRS	30.542	152,098 16				-152,098.16
PINACL AT652011	Erste Bank Der Oester Spark										
PUR	05-14-03	342111	1,000.000	88.012	88,012.04				1,000	88,012.04	Erste Bank
PUR	06-04-03	343019	1,800.000	90.501	162,902.07				230	20,815.26	Erste Bank Der Oester
SAL	12-02-03	361107	-1,570.000	114.338		179,511.11	-142,086.81	37,424.30			Erste Bank Der Oester Spark
TOTAL -			1,230.000		250,914.11	179,511.11	-142,086.81	37,424.30	1,230	108,827.30	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL AT652011	Erste Bank Der Oester Spark				1,230.000 SHRS	88.477	108,827.30	122.700	12-31-03	150,921.00	42,093.70
PINACL AUO	Au Optronics Corp										
PUR	10-21-03	354746	17,050.000	14.797	253,141.35				0	0.00	Au Optronics Corp
SAL	11-21-03	359016	-17,050.000	11.446		194,117.05	-253,141.35	-59,024.30			AU Optronics Corp
TOTAL -			0.000		253,141.35	194,117.05	-253,141.35	-59,024.30	0	0.00	
PINACL BE3775898	Colruyt NV										
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL BE3775898	Colruyt NV				2,485.000 SHRS	107.769	267,805.28	93.939	11-30-03	0.00	-267,805.28
PINACL BEL	Bennett Environmental Inc										
PUR	09-10-03	351483	5,950.000	16.518	98,641.48				5,950	98,641.48	Bennett Environmental Inc
TOTAL -			5,950.000		98,641.48	0.00	0.00	0.00	5,950	98,641.48	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL BEL	Bennett Environmental Inc				5,950.000 SHRS	16.578	98,641.48	20.660	12-31-03	122,927.00	24,285.52
PINACL BNG2251V10	COFCO International										
PUR	04-08-03	339254	68,000.000	0.363	24,667.60				68,000	24,667.60	COFCO International

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	04-25-03	339271	-170,000.000	0.317		56,483.45	-56,476.92	6.53			COFCO International
TOTAL -			-110,000.000		24,667.60	56,483.45	-56,476.92	6.53	60.000	24,667.60	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL BMG2251V10 COFCO International	250,000.000	SHRS	0.306	76,411.84	0.643	12-31-03	160,750.00	84,338.16

PINACL BMG3122U10 Esprit Holdings LTD											
SAL	05-09-03	342131	-50,000.000	1.860		92,984.97	-66,584.04	26,400.93			Esprit Holdings LTD
SAL	10-22-03	354757	-22,000.000	2.980		65,555.45	-38,514.33	27,041.12			Esprit Holdings LTD
SAL	12-19-03	361120	-34,000.000	3.024		102,803.96	-57,790.05	45,013.91			Esprit Holdings
TOTAL -			-106,000.000		0.00	261,344.38	-162,888.42	98,455.96	0	0.00	
PINACL BMG3615C10 Fong's Industries Co LTD											
PUR	09-30-03	353546	114,000.000	0.853	97,265.83				0	0.00	Fong's Industries Co
SAL	10-31-03	356681	-114,000.000	0.718		81,830.91	-97,265.83	-15,434.92			Fong's Industries Co LTD
TOTAL -			0.000		97,265.83	81,830.91	-97,265.83	-15,434.92	0	0.00	
PINACL BMG5485P14 LI & Fung LTD											
PUR	05-08-03	342127	44,000.000	1.212	53,320.11				0	0.00	LI & Fung LTD
PUR	05-13-03	342130	40,000.000	1.151	46,032.39				0	0.00	LI & Fung LTD
PUR	06-05-03	343008	72,000.000	1.309	94,260.29				0	0.00	LI & Fung LTD
SAL	12-19-03	361119	-156,000.000	1.685		262,814.29	-193,612.79	69,201.50			LI & Fung LTD
TOTAL -			0.000		193,612.79	262,814.29	-193,612.79	69,201.50	0	0.00	
PINACL BMG8770Z10 Texwinca Holdings											
SAL	08-25-03	349656	-58,000.000	0.777		45,037.75	-47,305.81	-2,268.06			Texwinca Holdings LTD
SAL	08-25-03	349656	-88,000.000	0.777		68,333.13	-61,376.37	6,956.76			Texwinca Holdings LTD
TOTAL -			-146,000.000		0.00	113,370.88	-108,682.18	4,688.70	0	0.00	
PINACL BMG8984D10 TPV Technology Limited											
PUR	09-25-03	352459	193,000.000	0.511	98,640.16				0	0.00	TPV Technology Limited

Market Street Trust Company

04-26-04 PURCHASE / SALE DETAIL HISTORY REPORT PAGE

FC3.3 BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	12-19-03	361121	-193,000.000	0.506		97,601.20	-98,640.16	-1,038.96			TPV Technology Limited
	TOTAL -		0.000		98,640.16	97,601.20	-98,640.16	-1,038.96	0	0.00	
PINACL BMG9880314 Yue Yuen Industrial Holdings											
PUR	07-25-03	348276	42,000.000	3.000	125,986.41				0	0.00	Yue Yuen Industrial Holdings
SAL	10-14-03	354338	-42,000.000	2.865		120,328.15	-125,986.41	-5,658.26			Yue Yuen Industrial Holdings
	TOTAL -		0.000		125,986.41	120,328.15	-125,986.41	-5,658.26	0	0.00	
PINACL BVF Biovail Corp											
SAL	07-30-03	348295	-900.000	37.573		33,760.56	-24,476.76	9,283.80			Biovail Corp
SAL	07-30-03	348296	-4,600.000	36.468		167,471.70	-127,823.64	39,648.06			Biovail Corp
	TOTAL -		-5,500.000		0.00	201,232.26	-152,300.40	48,931.86	0	0.00	
PINACL CA82509N10 Shoppers Drug Mart Corp.											
PUR	03-12-03	334475	4,000.000	15.777	63,108.18				0	0.00	Shoppers Drug Mart Corp
SAL	08-22-03	349657	-4,000.000	18.363		73,453.87	-63,108.18	10,345.69			Shoppers Drug Mart Corp
	TOTAL -		0.000		63,108.18	73,453.87	-63,108.18	10,345.69	0	0.00	
PINACL CAJ Canon Inc											
PUR	06-18-03	343810	1,900.000	48.213	91,718.13				0	0.00	Canon Inc
PUR	07-01-03	348325	1,900.000	46.156	87,809.83				0	0.00	Canon Inc
SAL	10-23-03	354906	-3,800.000	48.730		184,823.71	-179,527.96	5,295.75			Canon Inc
	TOTAL -		0.000		179,527.96	184,823.71	-179,527.96	5,295.75	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL CAJ	Canon Inc	3,000.000	SHRS	51.138	153,413.10	48.720	09-30-03	0.00	-153,413.10

PINACL CCJ Cameco Corp											
PUR	12-30-03	362524	3,100.000	58.612	181,884.44				3,100	181,884.44	Cameco Corp
	TOTAL -		3,100.000		181,884.44	0.00	0.00	0.00	3,100	181,884.44	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PINACL CH10532478 Actelion LTD											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL CH10532478 Actelion LTD					2,466.000 SHRS	111.668	275,373.30				-275,373.30
PINACL CH10645932 Givaudan-REG											
SAL	03-05-03	334461	-220.000	360.853		79,387.56	-96,784.33	-17,396.77			Givaudan-Reg
TOTAL -			-220.000		0.00	79,387.56	-96,784.33	-17,396.77	0	0.00	
PINACL CH12005267 Novartis AG											
PUR	11-21-03	358987	2,340.000	41.629	97,411.48				2,340	97,411.48	Novartis AG
TOTAL -			2,340.000		97,411.48	0.00	0.00	0.00	2,340	97,411.48	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL CH12005267 Novartis AG					5,630.000 SHRS	44.476	250,397.69	45.100	12-31-03	105,534.00	-144,863.69
PINACL CH12032030 UBS AG-Registered											
PUR	04-08-03	339287	600.000	47.388	28,432.69				0	0.00	UBS AG
PUR	05-19-03	342159	2,500.000	52.121	130,303.28				0	0.00	UBS-AG Registered
SAL	09-02-03	351489	-6,000.000	53.532		321,192.79	-306,271.36	14,921.43			UBS AG
TOTAL -			-2,900.000	.	158,735.97	321,192.79	-306,271.36	14,921.43	0	0.00	
PINACL CH12032048 Roche Holdings AG-Genusss											
PUR	11-19-03	358979	1,600.000	90.445	144,712.09				1,600	144,712.09	Roche Holdings
TOTAL -			1,600.000		144,712.09	0.00	0.00	0.00	1,600	144,712.09	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL CH12032048 Roche Holdings AG-Genusss					2,980.000 SHRS	97.329	290,041.66	100.200	12-31-03	160,320.00	-129,721.66
PINACL CH12280076 Straumann Holdings AG-REG											

2245

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	10-23-03	354902	1,750.000	119.233	208,658.49				1,750	208,658 49	Straumann Holdings AG-REG
PUR	12-16-03	361072	445.000	141.045	62,764.87				445	62,764.87	Straumann Holdings AG-REG
	TOTAL -		2,195.000		271,423.36	0.00	0.00	0.00	2,195	271,423.36	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL CH12280076 Straumann Holdings AG-REG	2,195.000	SHRS	123.655	271,423.36	152.610	12-31-03	334,978.95	63,555.59

PINACL CH12332372 Swiss RE-REG											
PUR	04-29-03	339290	1,600.000	67.902	108,643.54				0	0.00	Swiss Re-Reg
SAL	06-20-03	343818	-1,600.000	60.085		96,135.88	-108,643.54	-12,507.66			Swiss RE-REG
	TOTAL -		0.000		108,643.54	96,135.88	-108,643.54	-12,507.66	0	0.00	

PINACL CH12549785 Phonak Holding											
PUR	11-07-03	358968	10,000.000	21.181	211,806.37				10,000	211,806.37	Phonak Holding
	TOTAL -		10,000.000		211,806.37	0.00	0.00	0.00	10,000	211,806.37	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL CH12549785 Phonak Holding	10,000.000	SHRS	21.181	211,806.37	21.686	12-31-03	216,860.00	5,053.63

PINACL CH12607195 Logitech International S.A.											
SAL	07-25-03	348291	-1,500.000	28.660		42,990.61	-72,190.89	-29,200.28			Logitech International
SAL	07-25-03	348291	-2,900.000	28.660		83,115.17	-93,243 87	-10,128.70			Logitech International
	TOTAL -		-4,400.000		0.00	126,105.78	-165,434.76	-39,328.98	0	0.00	

PINACL CH8038223 Geberit AG-REG											
PUR	12-19-03	361076	210.000	495.762	104,109.92				210	104,109.92	Geberit AG-REG
	TOTAL -		210.000		104,109.92	0.00	0.00	0.00	210	104,109.92	

Market Street Trust Company

PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04

FC3.3

ENTITY INVESTMENT DESCRIPTION / TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL CH8038223 Geberit AG-REG					428.000 SHRS	510.740	218,596.93	486.353	12-31-03	102,554.13	-116,042.80
PINACL CHKP	Check Point Software Tech.								0	0.00	Check point Software Tech.
PUR	05-06-03	342178	4,800.000	17.390	83,761.92						Check Point Software Tech.
SAL	08-14-03	349251	-4,800.000	16.160		77,274.44	-83,761.92	-6,487.48			
TOTAL -			0.000		83,761.92	77,274.44	-83,761.92	-6,487.48	0	0.00	
PINACL CN5789556	China Petroleum & Chemical								304,000	88,681.41	China Petroleum & Chemical
PUR	08-12-03	349242	304,000.000	0.292	88,681.41						
TOTAL -			304,000.000		88,681.41	0.00	0.00	0.00	304,000	88,681.41	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL CN5789556 China Petroleum & Chemical					304,000.000 SHRS	0.292	88,681.41	0.447	12-31-03	135,888.00	47,206.59
PINACL CN6436557	Hong Kong & China Gas LTD								95,000	131,099.25	Hong Kong & China Gas LTD
PUR	09-23-03	352455	95,000.000	1.380	131,099.25						
TOTAL -			95,000.000		131,099.25	0.00	0.00	0.00	95,000	131,099.25	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL CN6436557 Hong Kong & China Gas LTD					111,000.000 SHRS	1.408	156,321.59	1.526	12-31-03	144,970.00	-11,351.59
PINACL CN7659070	Aluminum Corp of China Cl H								192,000	146,438.36	Aluminum Corp of China Cl H
PUR	12-30-03	362523	192,000.000	0.763	146,438.36						
TOTAL -			192,000.000		146,438.36	0.00	0.00	0.00	192,000	146,438.36	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL CN7659070 Aluminum Corp of China Cl H					192,000.000 SHRS	0.763	146,438.36	0.763	12-31-03	146,438.21	-0.15

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PINACL CN8870445 Tsingtao Brewery Co LTD											
PUR	01-09-03	330418	50,000.000	0.554	27,691.89				28,000	15,507.46	Tsingtao Brewery Co
PUR	04-08-03	339257	160,000.000	0.718	114,935.98				0	0.00	Tsingtao Brewery Co
SAL	11-05-03	362608	-182,000.000	1.254		228,269.54	-127,120.41	101,149.13			Tsingtao Brewery Co
PUR	11-26-03	358936	56,000.000	1.083	60,646.40				56,000	60,646.40	Tsintao Brewery Co-LTD
TOTAL -			84,000.000		203,274.27	228,269.54	-127,120.41	101,149.13	84,000	76,153.86	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL CN8870445 Tsingtao Brewery Co LTD	214,000.000	SHRS	0.681	145,683.19	1.172	12-31-03	250,808.00	105,124.81

PINACL CN8932385 China Shipping Development Co											
PUR	08-08-03	349241	204,000.000	0.419	85,417.77				204,000	85,417.77	China Shipping Development Co
PUR	08-21-03	349641	248,000.000	0.505	125,178.41				112,000	56,532.19	China Shipping Development Co
SAL	11-07-03	362609	-136,000.000	0.636		86,492.67	-68,646.22	17,846.45			China Shipping Development Co
TOTAL -			316,000.000		210,596.18	86,492.67	-68,646.22	17,846.45	316,000	141,949.96	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL CN8932385 China Shipping Development Co	316,000.000	SHRS	0.449	141,949.96	0.740	12-31-03	233,840.00	91,890 04

PINACL CN9037507 Anhui Expressway Co LTD											
PUR	02-28-03	333291	170,000.000	0.262	44,531.28				0	0.00	Anhui Expressway Co LTD
SAL	04-25-03	339270	-170,000.000	0.209		35,574.29	-44,531.28	-8,956.99			Anhui Expressway Co
PUR	07-28-03	348277	326,000.000	0.292	95,237.82				326,000	95,237 82	Anhui Expressway Co
PUR	08-05-03	349236	278,000.000	0.301	83,773.39				278,000	83,773.39	Anhui Expressway Co
TOTAL -			604,000.000		223,542.49	35,574.29	-44,531.28	-8,956.99	604,000	179,011.21	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL CN9037507 Anhui Expressway Co LTD	604,000.000	SHRS	0.296	179,011.21	0.383	12-31-03	231,332.00	52,320 79

PINACL CN9068411 Zhejiang Expressway Co											
SAL	04-17-03	339266	-78,000.000	0.390		30,416.36	-20,459.96	9,956.40			Zhejiang Expressway

2248

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	04-25-03	339272	-88,000.000	0.351		30,883.89	-23,083.04	7,800.85			Zhejiang Expressway Co
SAL	04-25-03	339272	-192,000.000	0.351		67,383.04	-58,589.93	8,793.11			Zhejiang Expressway Co
	TOTAL -		-358,000.000		0.00	128,683.29	-102,132.93	26,550.36	0	0.00	
PINACL CN9070615 Jiangxi Cooper Company Cl H											
PUR	12-10-03	361067	238,000.000	0.451	107,367.00				238,000	107,367.00	Jiangxi Cooper Company Cl H
PUR	12-22-03	361084	180,000.000	0.566	101,881.34				180,000	101,881.34	Jiangxi Cooper Company
	TOTAL -		418,000.000		209,248.34	0.00	0.00	0.00	418,000	209,248.34	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL CN9070615 Jiangxi Cooper Company Cl H	418,000.000	SHRS	0.501	209,248.34	0.550	12-31-03	229,900.00	20,651.66

PINACL CN9082362 Angang New Steel Co LTD-H											
PUR	10-22-03	354742	262,000.000	0.418	109,392.10				262,000	109,392.10	Angang New Steel Co LTD-H
PUR	12-02-03	361056	166,000.000	0.511	84,768.08				166,000	84,768.08	Angang New Steel Co
	TOTAL -		428,000.000		194,160.18	0.00	0.00	0.00	428,000	194,160.18	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL CN9082362 Angang New Steel Co LTD-H	312,000.000	SHRS	0.432	134,924.65	0.540	12-31-03	231,120.00	96,195.35

PINACL CN9115410 Huaneng Power International											
PUR	04-17-03	339267	76,000.000	0.969	73,642.81				76,000	73,642.81	Huaneng Power International
PUR	06-05-03	343004	78,000.000	1.061	82,792.95				78,000	82,792.95	Huaneng Power International
	TOTAL -		154,000.000		156,435.76	0.00	0.00	0.00	154,000	156,435.76	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL CN9115410 Huaneng Power International	154,000.000	SHRS	1.016	156,435.76	1.732	12-31-03	266,728.00	110,292.24

PINACL COGN Cognos Inc											
PUR	11-13-03	358969	3,930.000	35.683	140,468.03				0	0.00	Cognos Inc

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	12-19-03	361123	-3,930.000	29.548		115,883.97	-140,468.03	-24,584.06			Cognos Inc
TOTAL -			0.000		140,468.03	115,883.97	-140,468.03	-24,584.06	0	0.00	
PINACL DE5089031 United Internet AG											
PUR	06-05-03	343013	7,100.000	17.271	122,625.41				7,100	122,625.41	United Internet AG
PUR	11-10-03	357440	5,000.000	23.227	116,134.87				4,515	104,869.79	United Internet AG
PUR	11-14-03	358972	2,175.000	26.161	56,901.22				0	0.00	United Internet
SAL	12-29-03	362534	-2,660.000	23.727		63,112.73	-68,166.30	-5,053.57			United Internet AG
TOTAL -			11,615.000		295,661.50	63,112.73	-68,166.30	-5,053.57	11,615	227,495.20	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL DE5089031 United Internet AG	6,385.000	SHRS	17.271	110,276.51	23.850	12-31-03	277,110.67	166,834.16

PINACL DE5140008 Deutsche Bank AG											
PUR	12-03-03	361060	2,900.000	76.339	221,382.83				2,900	221,382.83	Deutsche Bank AG
TOTAL -			2,900.000		221,382.83	0.00	0.00	0.00	2,900	221,382.83	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL DE5140008 Deutsche Bank AG	2,900.000	SHRS	76.339	221,382.83	82.534	12-31-03	239,348.40	17,965.77

PINACL DE5190003 Bayerische Motoren Werke AG											
SAL	03-12-03	334476	-1,550.000	23.216		35,984.25	-64,808.70	-28,824.45			Bayerische Motoren Werke AG
PUR	04-07-03	339244	1,600.000	32.309	51,693.80				1,600	51,693.80	Bayerische Motoren Werke
PUR	07-03-03	348270	2,100.000	38.761	81,398.53				2,100	81,398.53	Bayerische Motoren Werke
TOTAL -			2,150.000		133,092.33	35,984.25	-64,808.70	-28,824.45	3,700	133,092.33	
PINACL DE5439004 Continental AG											
PUR	10-29-03	356673	2,950.000	34.125	100,667.88				2,950	100,667.88	Continental AG
PUR	11-24-03	358989	2,875.000	34.514	99,228.28				2,875	99,228.28	Continental AG
PUR	12-16-03	361125	1,800.000	39.047	70,283.80				1,800	70,283.80	Continental

2250

Market Street Trust Company

PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04

FC3.3

ENTITY INVESTMENT DESCRIPTION / TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			7,625.000		270,179.96	0.00	0.00	0.00	7,625	270,179.96	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL DE5439004 Continental AG	7,625.000 SHRS	35.346	269,509.48	37.798	12-31-03	288,209.75	18,700.27

PINACL DE5499913 Mobilcom AG

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL DE5499913 Mobilcom AG	7,330.000 SHRS	21.146	155,003.07				-155,003.07

PINACL DE5557508 Deutsche Telekom AG-REG									11,725	211,579.70	Deutsche Telekom AG-REG
PUR 12-29-03	362517	11,725.000	18.045	211,579.70							
TOTAL -		11,725.000		211,579.70	0.00	0.00	0.00		11,725	211,579.70	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL DE5557508 Deutsche Telekom AG-REG	11,725.000 SHRS	18.045	211,579.70	18.210	12-31-03	213,512.25	1,932.55

PINACL DE6599905 Merck KGAA									0	0.00	Merck KGAA
PUR 05-29-03	342121	5,300.000	35.672	189,061.30							Merck KGAA
SAL 07-01-03	348272	-5,300.000	26.706		141,539.71	-189,061.30	-47,521.59				
TOTAL -		0.000		189,061.30	141,539.71	-189,061.30	-47,521.59		0	0.00	
PINACL DE6605009 Medion A G											Medion A G
SAL 07-09-03	348273	-1,200.000	41.986		50,383.60	-41,676.81	8,706.79				Medion A G
SAL 07-11-03	348274	-4,000.000	36.447		145,786.18	-139,171.25	6,614.93				
TOTAL -		-5,200.000		0.00	196,169.78	-180,848.06	15,321.72		0	0.00	
PINACL DE6937733 Porsche AG											Porsche A G
SAL 03-05-03	334462	-209.000	276.517		57,792.09	-69,075.80	-11,283.71		0	0.00	Porsche A G
PUR 04-07-03	339242	400.000	340.788	136,315.20							Porsche A G
SAL 09-09-03	351490	-166.000	426.962		70,875.71	-66,632.07	4,243.64				Porsche A G
SAL 09-09-03	351490	-535.000	426.962		228,424.74	-200,001.76	28,422.98				

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	11-10-03	357441	283.000	509.525	144,195.70				283	144,195.70	Porsche A G
PUR	11-24-03	358988	110.000	510.127	56,113.94				110	56,113.94	Porsche AG
	TOTAL -		-117.000		336,624.84	357,092.54	-335,709.63	21,382.91	393	200,309.64	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL DE6937733	Porsche AG	393.000	SHRS	509.694	200,309.64	587.388	12-31-03	230,843.48	30,533.84

PINACL DE7164600 SAP AG

											Sap AG
SAL	04-02-03	339238	-1,900.000	79.422		150,902.16	-128,650.23	22,251.93			
PUR	11-13-03	358967	100.000	156.216	15,621.56				100	15,621.56	SAP AG
	TOTAL -		-1,800.000		15,621.56	150,902.16	-128,650.23	22,251.93	100	15,621.56	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL DE7164600	SAP AG	1,300.000	SHRS	88.125	114,562.47	167.574	12-31-03	217,846.20	103,283.73

PINACL DE7235301 SGL Carbon AG

PUR	05-12-03	342102	3,600.000	15.746	56,684.88				0	0.00	SGL Carbon AG
PUR	07-28-03	348271	7,000.000	16.766	117,363.55				0	0.00	SGL Carbon AG
PUR	08-21-03	349642	3,300.000	19.422	64,094.04				0	0.00	SGL Carbon AG
SAL	10-29-03	355450	-8,775.000	18.580		163,039.43	-155,889.10	7,150.33			SGL Carbon AG
SAL	11-05-03	357445	-5,125.000	16.098		82,503.00	-82,253.37	249.63			SGL Carbon AG
	TOTAL -		0.000		238,142.47	245,542.43	-238,142.47	7,399.96	0	0.00	

PINACL DE7238909 Singulus Technologies AG

PUR	08-18-03	349639	5,750.000	21.674	124,625.66				0	0.00	Singulus Technologies AG
PUR	08-21-03	349640	4,800.000	21.953	105,375.00				0	0.00	Singulus Technologies AG
SAL	10-14-03	354337	-10,550.000	22.439		236,733.23	-230,000.66	6,732.57			Singulus Technologies AG
	TOTAL -		0.000		230,000.66	236,733.23	-230,000.66	6,732.57	0	0.00	

PINACL DE7251803 Stada Arzneimittel AG

PUR	03-07-03	334469	1,550.000	48.603	75,335.26				0	0.00	Stada Arzneimittel AG

2252

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	03-13-03	334478	1,200.000	45.882	55,058.84				0	0.00	Stada Arzneimittel AG
PUR	04-24-03	339247	1,100.000	49.672	54,639.32				0	0.00	Stada Arzneimittel
PUR	05-13-03	342103	1,700.000	55.421	94,215.78				0	0.00	Stada Arzneimittel AG
SAL	08-05-03	349249	-3,100.000	53.147		164,755.80	-147,779.34	16,976.46			Stada Arzneimittel AG
SAL	08-20-03	349648	-2,450.000	52.603		128,877.06	-131,469.86	-2,592.80			Stada Arzneimittel AG
	TOTAL -		0.000		279,249.20	293,632.86	-279,249.20	14,383.66	0	0.00	

PINACL DE7771172 Prosiebensat 1 Media AG

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL DE7771172 Prosiebensat 1 Media AG	10,680.000	SHRS	20.466	218,575.74				-218,575.74

PINACL DK10268606 Vestas Wind Systems A/S

PUR	06-06-03	343020	15,200.000	11.418	173,561.06				4,600	52,525.06	Vestas Wind Systems
PUR	06-23-03	343813	1,400.000	11.778	16,489.17				0	0.00	Vestas Wind Systems A/S
PUR	08-05-03	349240	2,000.000	15.111	30,221.53				0	0.00	Vestas Wind Systems A/S
PUR	10-29-03	356675	3,600.000	20.169	72,606.62				0	0.00	Vestas Wind Systems A/S
PUR	10-31-03	356677	2,000.000	21.321	42,642.16				0	0.00	Vestas Wind Systems A/S
SAL	12-01-03	361106	-7,600.000	14.241		108,228.34	-145,470.31	-37,241.97			Vestas Wind Systems A/S
SAL	12-16-03	361117	-12,000.000	14.809		177,702.74	-137,525.17	40,177.57			Vestas Wind Systems A/S
	TOTAL -		4,600.000		335,520.54	285,931.08	-282,995.48	2,935.60	4,600	52,525.06	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL DK10268606 Vestas Wind Systems A/S	15,000.000	SHRS	16.331	244,970.16	16.348	12-31-03	74,280.80	-170,689.36

PINACL DK10272632 GN Store Nord

PUR	10-21-03	354744	49,500.000	6.541	323,766.74				0	0.00	GN Store Nord
PUR	10-31-03	356678	14,500.000	6.429	93,224.07				0	0.00	GN Store NORD
SAL	11-10-03	357450	-64,000.000	5.775		369,603.94	-416,990.81	-47,386.87			GN Store Nord
	TOTAL -		0.000		416,990.81	369,603.94	-416,990.81	-47,386.87	0	0.00	

PINACL DK10287234 H. Lundbeck A/S

SAL	03-10-03	334467	-6,500.000	15.684		101,944.33	-158,362.76	-56,418.43			H. Lundbeck A/S

2253

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			-6,500.000		0.00	101,944.33	-158,362.76	-56,418.43	0	0.00	
PINACL ERS		Embraer-Empresa Brasileira									
PUR	05-12-03	342180	21,000.000	14.900	314,155.80				21,000	314,155.80	Embraer-Empresa Brasileira
TOTAL -			21,000.000		314,155.80	0.00	0.00	0.00	21,000	314,155.80	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL ERS Embraer-Empresa Brasileira	4,590.000	SHRS	14.960	68,665.48	35.030	12-31-03	735,630.00	666,964.52

PINACL ES01091690 Amadeus Global Travel Dist SA											
PUR	05-07-03	342101	10,300.000	5.346	55,066.89				0	0.00	Amadeus Global Travel Dist SA
PUR	05-13-03	342106	17,450.000	5.751	100,354.77				0	0.00	Amadeus Global Travel
PUR	05-14-03	342114	13,466.000	5.781	77,850.41				0	0.00	Amadeus Global Travel
SAL	10-22-03	354904	-41,216.000	6.222		256,451.23	-233,272.07	23,179.16			Amadeus Global Travel Dist
TOTAL -			0.000		233,272.07	256,451.23	-233,272.07	23,179.16	0	0.00	
PINACL ES0113900J Banco Santander Central Hisp											
PUR	03-14-03	334482	15,150.000	6.012	91,088.72				0	0.00	Banco Santander Central
PUR	05-14-03	342109	15,000.000	7.816	117,233.56				0	0.00	Banco Santander
SAL	09-17-03	352812	-30,150.000	8.842		266,584.52	-208,322.28	58,262.24			Banco Santander Central Hisp.
PUR	12-29-03	362520	22,770.000	11.767	267,937.86				22,770	267,937.86	Banco Santander Central Hispa
TOTAL -			22,770.000		476,260.14	266,584.52	-208,322.28	58,262.24	22,770	267,937.86	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL ES0113900J Banco Santander Central Hisp	22,770.000	SHRS	11.767	267,937.86	11.760	12-31-03	267,775.20	-162.66

PINACL ES0118594 Indra Sistemas SA											
PUR	03-24-03	334746	12,900.000	7.697	99,294.55				0	0.00	Indra Sistemas SA
PUR	04-07-03	339240	13,500.000	8.222	111,003.53				0	0.00	Indra Sistemas SA
SAL	10-15-03	354747	-26,400.000	11.476		302,972.69	-210,298.08	92,674.61			Indra Sistemas SA
TOTAL -			0.000		210,298.08	302,972.69	-210,298.08	92,674.61	0	0.00	

2254

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PINACL ES01309600 Enagas-Eur											
PUR	03-26-03	334748	12,700.000	6.897	87,586.74				0	0.00	Enagas-Eur
PUR	05-14-03	342108	11,000.000	7.591	83,503.66				0	0.00	Engas-Eur
SAL	09-05-03	351491	-23,700.000	8.105		192,080.91	-171,090.40	20,990.51			Enagas-Eur
	TOTAL -		0.000		171,090.40	192,080.91	-171,090.40	20,990.51	0	0.00	
PINACL ES01434160 Gamesa											
PUR	12-17-03	361074	3,175.000	33.026	104,858.79				3,175	104,858.79	Gamesa
	TOTAL -		3,175.000		104,858.79	0.00	0.00	0.00	3,175	104,858.79	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL ES01434160 Gamesa	8,110.000	SHRS	36.565	296,539.08	32.675	12-31-03	103,743.33	-192,795.95

PINACL ES01483960 Ioditex											
SAL	09-05-03	351493	-9,400.000	24.843		233,519.64	-209,376.26	24,143.38			Inditex
	TOTAL -		-9,400.000		0.00	233,519.64	-209,376.26	24,143.38	0	0.00	
PINACL ES01609730 Compania De Distribucion											
SAL	05-12-03	342120	-2,300.000	22.417		51,558.50	-43,453.65	8,104.85			Compania De Distribucion Int.
SAL	05 12-03	342120	-4,800.000	22.417		107,600.35	-92,239.88	15,360.47			Compania De Distribucion Int.
	TOTAL -		-7,100.000		0.00	159,158.85	-135,693.53	23,465.32	0	0.00	
PINACL ES01626010 Grupo Ferrovial											
PUR	05-14-03	342110	4,500.000	27.687	124,591.52				0	0.00	Grupo Ferrovial
SAL	06-02-03	343025	-1,600.000	27.524		44,038.64	-37,900.12	6,138.52			Grupo Ferrovial
SAL	06-02-03	343025	-2,900.000	27.524		79,820.03	-72,535.18	7,284.85			Grupo Ferrovial
SAL	06-18-03	343816	-4,300.000	27.467		118,110.06	-119,054.12	-944.06			Grupo Ferrovial
	TOTAL -		-4,300.000		124,591.52	241,968.73	-229,489.42	12,479.31	0	0.00	

PINACL ES13211835 Banco Bilbao Vizcaya SA

2255

Market Street Trust Company

04-26-04 PURCHASE / SALE DETAIL HISTORY REPORT PAGE

FC3.3 BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	04-04-03	339239	8,200.000	9.412	77,176.33				0	0.00	Banco Bilboa Vizcaya SA
PUR	05-14-03	342115	16,155.000	9.693	156,598.05				0	0.00	Banco Bilboa Vizcaya
SAL	09-16-03	351804	-24,355.000	10.639		259,115.47	-233,774.38	25,341.09			Banco Bilboa Vizcaya
	TOTAL -		0.000		233,774.38	259,115.47	-233,774.38	25,341.09	0	0.00	
PINACL EURO		Euro Currency Conversion									
SAL	01-07-03	330407	-176,053.130	1.138		200,361.11	-176,053.13	24,307.98			Euro currency correction 2002
	TOTAL -		-176,053.130		0.00	200,361.11	-176,053.13	24,307.98	0	0.00	
PINACL FI9000277		Tietoenator OYJ									
PUR	10-24-03	354903	4,200.000	24.908	104,612.98				4,200	104,612.98	Tietoenator OYJ
PUR	11-06-03	357438	6,320.000	26.201	165,591.86				3,700	96,944.60	Tietoenator OYJ
PUR	11-26-03	358990	3,080.000	28.897	89,001.66				0	0.00	Tietoenator OYJ
SAL	12-29-03	362532	-5,700.000	27.259		155,378.70	-157,648.92	-2,270.22			Tietoenator OYJ
	TOTAL -		7,900.000		359,206.50	155,378.70	-157,648.92	-2,270.22	7,900	201,557.58	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL FI9000277 Tietoenator OYJ	7,900.000	SHRS	25.514	201,557.58	27.177	12-31-03	214,698.30	13,140.72

PINACL FI9000681		Nokia AB-A SHS									
SAL	07-17-03	348275	-17,200.000	14.739		253,508.26	-278,854.65	-25,346.39			Nokia AB-A SHS
	TOTAL -		-17,200.000		0.00	253,508.26	-278,854.65	-25,346.39	0	0.00	
PINACL FI9005318		Nokian Renkaat									
PUR	11-18-03	358977	1,450.000	72.705	105,421.94				1,450	105,421.94	Nokian Renkaat
	TOTAL -		1,450.000		105,421.94	0.00	0.00	0.00	1,450	105,421.94	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL FI9005318 Nokian Renkaat	1,450.000	SHRS	72.705	105,421.94	75.020	12-31-03	108,779.00	3,357.06

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PINACL FLEX	Flextronics International										
PUR	05-12-03	342181	9,900.000	9.400	93,059.01				9,900	93,059.01	Flextronics International
SAL	12-19-03	361122	-7,520.000	14.505		108,619.03	-83,596.08	25,022.95			Flextronics International
TOTAL -			2,380.000		93,059.01	108,619.03	-83,596.08	25,022.95	9,900	93,059.01	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL FLEX	Flextronics International	12,680.000	SHRS	9.776	123,962.88	14.800	12-31-03	187,664.00	63,701.12

PINACL FR125338	Cap Gemini SA										
PUR	04-30-03	339291	2,000.000	31.858	63,715.06				0	0.00	Cap Gemini SA
SAL	10-17-03	354754	-1,250.000	45.462		56,827.76	-39,821.91	17,005.85			Cap Gemini SA
SAL	12-29-03	362527	-3,600.000	42.812		154,124.01	-107,030.95	47,093.06			Cap Gemini SA
SAL	12-29-03	362527	-750.000	42.812		32,109.17	-23,893.15	8,216.02			Cap Gemini SA
TOTAL -			-3,600.000		63,715.06	243,060.94	-170,746.01	72,314.93	0	0.00	

PINACL FR130007	Alcatel Alsthom C G E										
PUR	10-15-03	354734	11,950.000	13.297	158,902.61				11,950	158,902.61	Alcatel Alsthom C G E
PUR	11-06-03	357441	4,250.000	13.218	56,174.71				4,250	56,174.71	Alcatel Alsthom C G E
TOTAL -			16,200.000		215,077.32	0.00	0.00	0.00	16,200	215,077.32	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL FR130007	Alcatel Alsthom C G E	19,700.000	SHRS	13.969	275,183.15	12.864	12-31-03	208,396.80	-66,786.35

PINACL FR130577	Publicis Groupe										
PUR	05-13-03	342105	4,500.000	24.797	111,588.01				0	0.00	Publicis Groupe
SAL	08-20-03	349650	-5,175.000	27.480		142,210.71	-135,728.90	6,481.81			Publicis Groupe
SAL	12-29-03	362528	-3,625.000	31.631		114,660.98	-82,853.67	31,807.31			Publicis Groupe
SAL	12-29-03	362528	-4,500.000	31.631		142,337.76	-111,588.01	30,749.75			Publicis Groupe
TOTAL -			-8,800.000		111,588.01	399,209.45	-330,170.58	69,038.87	0	0.00	

PINACL FR130650 Dassault Systems S A

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	10-15-03	354735	3,250.000	43.874	142,591.75				3,250	142,591.75	Dassault Systemes SA
PUR	11-06-03	357437	1,275.000	41.804	53,300.39				1,275	53,300.39	Dassault Systems SA
	TOTAL -		4,525.000		195,892.14	0.00	0.00	0.00	4,525	195,892.14	
PINACL FR130809	Societe Generale Paris										
PUR	04-22-03	339245	1,850.000	61.578	113,919.04				0	0.00	Societe Generale Paris
PUR	05-14-03	342107	1,800.000	63.473	114,251.32				0	0.00	Societe Generale
SAL	08-25-03	349748	-3,650.000	64.507		235,448.86	-228,170.36	7,278.50			Societe Generale Paris
	TOTAL -		0.000		228,170.36	235,448.86	-228,170.36	7,278.50	0	0.00	
PINACL FR131104	BNP Paribas										
PUR	04-07-03	339241	2,600.000	45.631	118,641.12				400	18,252.48	BNP Paribas
SAL	08-05-03	351495	-3,800.000	50.567		192,155.40	-164,312.70	27,842.70			BNP Paribas
SAL	09-15-03	351802	-800.000	49.929		39,942.98	-34,592.15	5,350.83			BNP Paribas
SAL	09-15-03	351802	-2,200.000	49.929		109,843.19	-100,388.64	9,454.55			BNP Paribas
	TOTAL -		-4,200.000		118,641.12	341,941.57	-299,293.49	42,648.08	400	18,252.48	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL FR131104	BNP Paribas	1,800.000	SHRS	42.228	76,009.54	62.899	12-31-03	113,218.20	37,208.66

PINACL FR52292	Hermes International										
PUR	05-16-03	342118	525.000	154.846	81,293.97				0	0.00	Hermes International
PUR	06-02-03	342991	600.000	155.525	93,314.89				0	0.00	Hermes International
SAL	07-29-03	348294	-1,125.000	142.916		160,780.06	-174,608.86	-13,828.80			Hermes International
	TOTAL -		0.000		174,608.86	160,780.06	-174,608.86	-13,828.80	0	0.00	
PINACL FR54900	Television Francaise T.F.1										
PUR	06-24-03	339246	1,500.000	29.778	44,666.32				0	0.00	Television Francaise
SAL	09-16-03	351803	-5,700.000	29.768		169,675.62	-175,872.02	-6,196.40			Television Francaise
	TOTAL -		-4,200.000		44,666.32	169,675.62	-175,872.02	-6,196.40	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04
FC3.3

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PINACL GB00097404 WPP Group PLC - Convertible											
PUR	04-28-03	339282	12,800.000	6.878	88,034.49				12,800	88,034.49	WPP Group PLC - Convertible
TOTAL -			12,800.000		88,034.49	0.00	0.00	0.00	12,800	88,034.49	
PINACL GB2875804 British American Tobacco PLC											
PUR	12-29-03	362518	15,375.000	13.813	212,375.66				15,375	212,375.66	British American Tobacco PLC
TOTAL -			15,375.000		212,375.66	0.00	0.00	0.00	15,375	212,375.66	
PINACL GB2944055 Man Group PLC											
PINACL GB30913577 BT Group PLC											
SAL	09-02-03	351488	-44,400.000	2.832		125,756.02	-144,517.19	-18,761.17			BT Group PLC
TOTAL -			-44,400.000		0.00	125,756.02	-144,517.19	-18,761.17	0	0.00	
PINACL GB31743007 Burberry Group PLC											
PUR	10-10-03	354334	25,400.000	6.170	156,730.28				25,400	156,730.28	Burberry Group PLC
PUR	11-14-03	358983	11,520.000	6.987	80,484.82				11,520	80,484.82	Burberry Group
TOTAL -			36,920.000		237,215.10	0.00	0.00	0.00	36,920	237,215.10	
PINACL GB4082847 Standard Chartered PLC											
PUR	10-08-03	354333	13,250.000	15.148	200,714.69				13,250	200,714.69	Standard Charter PLC
TOTAL -			13,250.000		200,714.69	0.00	0.00	0.00	13,250	200,714.69	
PINACL GB5227086 Logicacmg PLC											
PUR	11-13-03	358982	37,350.000	4.911	183,408.31				37,350	183,408.31	Logicacmg PLC

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL GB00097404 WPP Group PLC - Convertible	12,800.000	SHRS	6.878	88,034.49	9.790	12-31-03	125,312.00	37,277.51

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL GB2944055 Man Group PLC	7,740.000	SHRS	29.416	227,676.17	26.006	12-31-03	0.00	-227,676.17

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			37,350.000		183,408.31	0.00	0.00	0.00	37,350	183,408.31	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL GB5227086 Logicacmg PLC	37,350.000	SHRS	4.911	183,408.31	4.569	12-31-03	170,652.15	-12,756.16

PINACL GB7192106 Vodafone Group											
PUR	04-08-03	339279	59,000.000	1.936	114,203.62				59,000	114,203.62	Vodafone Group PLC
SAL	07-07-03	348290	-54,200.000	1.934		104,834.30	-99,958.48	4,875.82			Vodafone Group
PUR	11-18-03	358978	27,925.000	2.259	63,076.43				27,925	63,076.43	Vodafone
PUR	11-25-03	358992	35,500.000	2.393	84,939.37				35,500	84,939.37	Vodafone Group
PUR	12-29-03	362522	36,475.000	2.467	89,970.87				36,475	89,970.87	Vodafone Group
TOTAL -			104,700.000		352,190.29	104,834.30	-99,958.48	4,875.82	158,900	352,190.29	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL GB7192106 Vodafone Group	87,265.000	SHRS	1.907	166,378.96	2.470	12-31-03	463,884.78	297,505.82

PINACL GB7294571 Allied DomecQ											
PUR	11-20-03	358986	20,025.000	6.991	140,001.40				20,025	140,001.40	Allied DomecQ
PUR	12-29-03	362519	7,950.000	7.706	61,264.64				7,950	61,264.64	Allied Domecq PLC
TOTAL -			27,975.000		201,266.04	0.00	0.00	0.00	27,975	201,266.04	

PINACL GB7547838 Royal Bank of Scotland Group											
PUR	04-08-03	339278	4,800.000	25.487	122,336.99				0	0.00	Royal Bank of Scotland
SAL	08-20-03	349653	-2,600.000	24.747		64,341.97	-71,836.57	-7,494.60			Royal Bank of Scotland
SAL	08-20-03	349653	-4,200.000	24.747		103,937.03	-91,422.05	12,514.98			Royal Bank of Scotland
SAL	10-21-03	354755	-500.000	27.530		13,764.98	-12,102.42	1,662.56			Royal Bank of Scotland Group
SAL	10-21-03	354755	-5,800.000	27.530		159,673.80	-146,731.82	12,941.98			Royal Bank of Scotland Group
TOTAL -			-8,300.000		122,336.99	341,717.78	-322,092.86	19,624.92	0	0.00	

PINACL GB8847096 Tesco PLC											
PUR	04-15-03	339280	32,875.000	3.228	106,121.50				0	0.00	Tesco PLC
PUR	05-13-03	342151	35,000.000	3.237	113,293.75				0	0.00	Tesco PLC

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	06-30-03	344054	733.000	3.205	2,349.57				0	0.00	Tesco reinvested dividend
SAL	10-17-03	354753	-42,600.000	3.890		165,722.58	-137,600.98	28,121.60			Tesco PLC
SAL	10-28-03	355452	-26,008.000	4.007		104,224.99	-84,163.84	20,061.15			Tesco PLC
	TOTAL -		0.000		221,764.82	269,947.57	-221,764.82	48,182.75	0	0.00	
PINACL CB9740423	WPP Group PLC										
PUR	04-28-03	339281	12,800.000	6.917	88,531.45				6,275	43,401.16	WPP Group PLC
SAL	10-31-03	357059	-6,525.000	9.364		61,103.21	-45,130.29	15,972.92			WPP Group PLC CV PFD
	TOTAL -		6,275.000		88,531.45	61,103.21	-45,130.29	15,972.92	6,275	43,401.16	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL CB9740423 WPP Group PLC	19,765.000	SHRS	9.779	193,283.39	9.790	12-31-03	61,432.25	-131,851.14

PINACL GOL	Golar LNG LTD										
PUR	12-19-03	361077	7,250.000	14.687	106,915.75				7,250	106,915.75	Golar LNG LTD
	TOTAL -		7,250.000		106,915.75	0.00	0.00	0.00	7,250	106,915.75	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL GOL Golar LNG LTD	7,250.000	SHRS	14.747	106,915.75	14.250	12-31-03	103,312.50	-3,603.25

PINACL GRMN	Garmin LTD										
PUR	06-09-03	343021	1,800.000	48.538	87,477.12				0	0.00	Garmin LTD
SAL	06-24-03	343820	-1,800.000	38.996		70,081.51	-87,477.12	-17,395.61			Garmin LTD
	TOTAL -		0.000		87,477.12	70,081.51	-87,477.12	-17,395.61	0	0.00	
PINACL HDB	HDFC Bank LTD										
PUR	07-29-03	348297	4,150.000	22.354	93,018.93				4,150	93,018.93	HDFC Bank LTD
PUR	09-30-03	353106	4,800.000	21.601	103,926.72				4,800	103,926.72	HDFC Bank LTD
	TOTAL -		8,950.000		196,945.65	0.00	0.00	0.00	8,950	196,945.65	

Market Street Trust Company

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PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL HDB	HDFC Bank LTD				8,950.000 SHRS	22.005	196,945.65	30.540	12-31-03	273,333.00	76,387.35
PINACL HK00540078 Hopewell Holdings Limited											
PUR	10-06-03	354331	63,000.000	1.664	104,834.89				63,000	104,834.89	Hopewell Holdings Limited
TOTAL -			63,000.000		104,834.89	0.00	0.00	0.00	63,000	104,834.89	
PINACL HK01830116 Citic International Financial											
PUR	03-14-03	334479	155,000.000	0.278	43,091.29				0	0.00	Citic International Financial
SAL	04-25-03	339273	-155,000.000	0.225		34,869.65	-43,091.29	-8,221.64			Citic International Financial
PUR	05-03-03	351470	342,000.000	0.337	115,168.57				342,000	115,168.57	Citic International Financial
PUR	10-02-03	354330	262,000.000	0.387	101,277.14				262,000	101,277.14	CITIC International
PUR	10-21-03	354740	132,000.000	0.461	60,868.05				132,000	60,868.05	Citic International Financial
TOTAL -			736,000.000		320,405.05	34,869.65	-43,091.29	-8,221.64	736,000	277,313.76	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL HK01830116 Citic International Financial					736,000.000 SHRS	0.377	277,313.76	0.540	12-31-03	397,440.00	120,126.24
PINACL HK02030095 Denway Motors Limited											
PUR	12-04-03	361064	108,000.000	0.981	105,952.12				108,000	105,952.12	Denway Motors Limited
TOTAL -			108,000.000		105,952.12	0.00	0.00	0.00	108,000	105,952.12	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL HK02030095 Denway Motors Limited					162,000.000 SHRS	1.026	166,278.65	1.062	12-31-03	114,696.00	-51,582.65
PINACL HK10930025 China Pharmaceutical Ent											
PUR	04-08-03	339269	560,000.000	0.290	162,453.46				0	0.00	China Pharmaceutical Ent
SAL	04-24-03	339269	-560,000.000	0.255		142,618.12	-162,453.46	-19,835.34			China Pharmaceutical Ent
TOTAL -			0.000		162,453.46	142,618.12	-162,453.46	-19,835.34	0	0.00	

2262

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PINACL HK23880111 Boc Hong Kong Holdings											
PUR	10-16-03	356731	87,000.000	1.717	149,382.79				87,000	149,382.79	Boc Hong Kong Holdings
PUR	11-26-03	358937	22,000.000	1.825	40,143.65				22,000	40,143.65	Boc Hong Kong Holdings
PUR	12-11-03	361068	500.000	2.001	1,000.35				500	1,000.35	Boc Hong Kong Holdings
TOTAL -			109,500.000		190,526.79	0.00	0.00	0.00	109,500	190,526.79	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL HK23880111 Boc Hong Kong Holdings	109,500.000	SHRS	1.740	190,526.79	1.880	12-31-03	205,860.00	15,333.21

PINACL HK6436557 Hong Kong & China Gas LTD											
SAL	04-24-03	339268	-140,000.000	1.175		164,468.59	-190,560.89	-26,092.30			Hong Kong China & Gas
TOTAL -			-140,000.000		0.00	164,468.59	-190,560.89	-26,092.30	0	0.00	
PINACL HK66900219 Techtronic Industries Co											
SAL	01-07-03	330432	-136,000.000	0.937		127,473.13	-98,792.13	28,681.00			Techtronic Industries Co
PUR	04-08-03	339255	52,000.000	1.109	57,643.15				52,000	57,643.15	Techtronics Industries
SAL	12-16-03	361115	-31,500.000	2.634		82,960.88	-28,108.55	54,852.33			Techtronic Industries Co
SAL	12-17-03	361116	-45,000.000	2.472		111,251.21	-37,854.39	73,396.82			Techtronic Industries Co
TOTAL -			-160,500.000		57,643.15	321,685.22	-164,755.07	156,930.15	52,000	57,643.15	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL HK66900219 Techtronic Industries Co	69,500.000	SHRS	1.014	70,450.01	2.775	12-31-03	192,862.50	122,412.49

PINACL HK76200941 China Unicom											
SAL	03-07-03	334464	-178,000.000	0.546		97,218.94	-133,677.68	-36,458.74			China Unicom
TOTAL -			-178,000.000		0.00	97,218.94	-133,677.68	-36,458.74	0	0.00	
PINACL IBN		ICICI Bank LTD									
PUR	12-16-03	361073	7,000.000	15.010	105,626.50				7,000	105,626.50	ICICI Bank LTD
TOTAL -			7,000.000		105,626.50	0.00	0.00	0.00	7,000	105,626.50	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL IBN		ICICI Bank LTD			7,000.000 SHRS	15.090	105,626.50	17.180	12-31-03	120,260.00	14,633.50
PINACL ID10000579 Telekomunikasi TBK PT											
PUR	09-05-03	351481	221,000.000	0.643	142,020.13				221,000	142,020.13	Telekomunikasi TBK PT
TOTAL -			221,000.000		142,020.13	0.00	0.00	0.00	221,000	142,020.13	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL ID10000579 Telekomunikasi TBK PT					221,000.000 SHRS	0.643	142,020.13	0.796	12-31-03	175,916.00	33,895.87
PINACL IE01987894 ANGLO Irish Bank Corp PLC-GBP											
PUR	09-30-03	352277	16,300.000	6.712	109,397.67				16,300	109,397.67	Anglo Irish Bank new inv id
TOTAL -			16,300.000		109,397.67	0.00	0.00	0.00	16,300	109,397.67	
PINACL IE197834 Allied Irish Banks											
PUR	03-11-03	334470	4,050.000	14.009	56,736.59				0	0.00	Allied Irish Banks
PUR	05-02-03	342099	144.000	13.286	1,913.17				0	0.00	Reinvested Allied Irish div/tx
SAL	08-04-03	349248	-10,894.000	13.868		151,076.17	-158,380.47	-7,304.30			Allied Irish Banks
TOTAL -			-6,700.000		58,649.76	151,076.17	-158,380.47	-7,304.30	0	0.00	
PINACL IE1987894 ANGLO Irish Bank Corp PLC											
SAL	09-30-03	352276	-16,300.000	6.712		109,397.67	-109,397.67	0.00			Anglo Irish Bank New Inv ID
PUR	11-26-03	358991	14,875.000	12.383	184,191.17				14,875	184,191.17	Anglo Irish Bank Corp
TOTAL -			-1,425.000		184,191.17	109,397.67	-109,397.67	0.00	14,875	184,191.17	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL IE1987894 ANGLO Irish Bank Corp PLC					32,745.000 SHRS	7.347	240,583.14	15.750	12-31-03	593,381.25	352,798.11
PINACL IE30606259 Bank of Ireland											

2264

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	05-13-03	342104	12,000.000	12.932	155,188.60				12,000	155,188.60	Bank of Ireland
TOTAL -			12,000.000		155,188.60	0.00	0.00	0.00	12,000	155,188.60	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL	IE30606259 Bank of Ireland	12,000.000	SHRS	12.932	155,188.60	13.582	12-31-03	162,984.00	7,795.40

PINACL INFY Infosys Technologies

PUR	01-07-03	330428	600.000	72.994	43,832.28				0	0.00	Infosys Technologies LTD
SAL	05-12-03	342201	-1,800.000	41.308		74,242.56	-129,882.12	-55,639.56			Infosys Technologies LTD
PUR	12-31-03	362526	2,200.000	95.460	210,144.00				2,200	210,144.00	Infosys Technologies
TOTAL -			1,000.000		253,976.28	74,242.56	-129,882.12	-55,639.56	2,200	210,144.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL	INFY Infosys Technologies	2,200.000	SHRS	95.520	210,144.00	95.400	12-31-03	209,880.00	-264.00

PINACL IT1052049 Telecom Italia Mobile

SAL	07-02-03	348268	-18,800.000	4.878		91,703.11	-95,225.35	-3,522.24			Telecom Italia Mobile
TOTAL -			-18,800.000		0.00	91,703.11	-95,225.35	-3,522.24	0	0.00	

PINACL IT1119087 Bulgari SPA

PUR	10-09-03	354332	15,950.000	8.763	139,765.13				15,950	139,765.13	Bulgari SPA
PUR	11-10-03	357443	11,975.000	9.423	112,839.00				11,975	112,839.00	Bulgari SPA
TOTAL -			27,925.000		252,604.13	0.00	0.00	0.00	27,925	252,604.13	

PINACL IT1467064 Saeco International Group

PUR	04-07-03	339243	16,000.000	3.806	60,898.52				0	0.00	Saeco International Group
SAL	07-01-03	348265	-31,800.000	3.559		113,191.75	-116,237.60	-3,045.85			Saeco International Group
TOTAL -			-15,800.000		60,898.52	113,191.75	-116,237.60	-3,045.85	0	0.00	

PINACL IT3074447 Recordati SPA

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	01-31-03	330439	-5,000.000	13.568		67,840.61	-76,728.59	-8,887.98			Ricordati SPA
SAL	01-31-03	330439	-1,900.000	13.568		25,779.43	-43,773.90	-17,994.47			Ricordati SPA
TOTAL -			-6,900.000		0.00	93,620.04	-120,502.49	-26,882.45	0	0.00	
PINACL IT62825		R A S Spa									
SAL	10-31-03	356682	-4,250.000	15.177		64,504.03	-53,938.48	10,565.55			R A S SPA
SAL	12-29-03	362529	-6,650.000	16.803		111,739.09	-80,919.69	30,819.40			RAS SPA
TOTAL -			-10,900.000		0.00	176,243.12	-134,858.17	41,384.95	0	0.00	
PINACL IT64854		Unicredito Italiano Spa									
SAL	08-21-03	349655	-12,650.000	4.606		58,267.81	-56,865.11	1,402.70			Unicredito Italiano SPA
SAL	08-21-03	349655	-14,800.000	4.606		68,171.03	-56,437.39	11,733.64			Unicredito Italiano SPA
SAL	08-25-03	349750	-18,800.000	4.523		85,025.39	-74,799.83	10,225.56			Unicredito Italiano
TOTAL -			-46,250.000		0.00	211,464.23	-188,102.33	23,361.90	0	0.00	
PINACL IT82963		Banca Fideuram SPA									
PUR	05-05-03	342100	21,150.000	5.300	112,103.31				0	0.00	Banca Fideuram SPA
PUR	11-14-03	358970	9,025.000	6.853	61,844.46				0	0.00	Banca Fideuram
SAL	12-10-03	361111	-30,175.000	6.286		189,667.24	-173,947.77	15,719.47			Banca Fideuram SPA
TOTAL -			0.000		173,947.77	189,667.24	-173,947.77	15,719.47	0	0.00	
PINACL ITU		Banco Itau SA									
PUR	04-25-03	339323	2,500.000	31.977	80,068.25				0	0.00	Banco Itau SA
PUR	05-12-03	342182	2,900.000	34.946	101,546.11				0	0.00	Banco Itau SA
SAL	07-07-03	348339	-5,400.000	32.847		177,038.79	-181,614.36	-4,575.57			Banco Itau
PUR	12-30-03	361069	2,400.000	43.817	105,304.32				2,400	105,304.32	Banco Itau SA
TOTAL -			2,400.000		286,918.68	177,038.79	-181,614.36	-4,575.57	2,400	105,304.32	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL ITU	Banco Itau SA	2,400.000	SHRS	43.877	105,304.32	48.770	12-31-03	117,048.00	11,743.68

2266

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PINACL JP310806		Access Co									
PUR	10-28-03	357067	4.000	58,550.313	234,201.25				0	0.00	Access Co LTD
SAL	11-17-03	359003	-2.000	41,339.970		82,679.94	-117,100.63	-34,420.69			Access Co LTD
SAL	12-11-03	361112	-2.000	47,554.590		95,109.38	-117,100.62	-21,991.44			Access Company
	TOTAL -		0.000		234,201.25	177,789.12	-234,201.25	-56,412.13	0	0.00	
PINACL JP31124		Pentax Corporation									
PUR	08-21-03	349644	18,000.000	4.739	85,310.22				0	0.00	Pentax Corporation
SAL	09-17-03	351806	-18,000.000	4.421		79,582.84	-85,310.22	-5,727.38			Pentax Corporation
	TOTAL -		0.000		85,310.22	79,582.84	-85,310.22	-5,727.38	0	0.00	
PINACL JP3126		Arisawa MFG Company LTD									
PUR	12-03-03	361063	3,100.000	39.685	123,023.93				3,100	123,023.93	Arisawa MFG Company
	TOTAL -		3,100.000		123,023.93	0.00	0.00	0.00	3,100	123,023.93	
PINACL JP31648		NOK Corp									
PUR	04-04-03	339274	5,000.000	13.519	67,594.68				5,000	67,594.68	NOK Corp
PUR	05-13-03	342135	4,000.000	13.948	55,793.75				0	0.00	NOK Corp
PUR	05-15-03	342140	8,000.000	13.730	109,840.57				3,000	41,190.21	NOK Corp
SAL	11-13-03	362610	-9,000.000	36.535		328,812.18	-124,444.11	204,368.07			NOK Corp
	TOTAL -		8,000.000		233,229.00	328,812.18	-124,444.11	204,368.07	8,000	108,784.89	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL JP31648	NOK Corp	4,000.000	SHRS	13.519	54,075.74	36.453	12-31-03	291,624.00	237,548.26

PINACL JP3194		Oki Electric Industry Co LTD									
PUR	10-27-03	355446	25,000.000	4.432	110,808.92				0	0.00	Oki Electric Industry Co
PUR	10-30-03	357073	18,000.000	4.499	80,985.99				0	0.00	OKI Electric Industry
SAL	11-18-03	359006	-43,000.000	3.452		148,416.25	-191,794.91	-43,378.66			Oki Electric
	TOTAL -		0.000		191,794.91	148,416.25	-191,794.91	-43,378.66	0	0.00	

2267

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04
FC3.3

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PINACL JP32362		Keyence Corp									
PUR	07-02-03	348282	1,000.000	205.300	205,300.07				0	0.00	Keyence Corp
PUR	07-03-03	348284	400.000	203.283	81,313.19				0	0.00	Keyence Corp
SAL	11-13-03	358998	-1,400.000	218.922		306,491.34	-286,613.26	19,878.08			Keyence Corp
	TOTAL -		0.000		286,613.26	306,491.34	-286,613.26	19,878.08	0	0.00	
PINACL JP32629		Kintetsu World Express Inc									
PUR	08-22-03	349645	5,600.000	16.270	91,113.38				0	0.00	Kintetsu World Express Inc
SAL	10-24-03	354907	-5,600.000	15.469		86,624.16	-91,113.38	-4,489.22			Kintetsu World Express
	TOTAL -		0.000		91,113.38	86,624.16	-91,113.38	-4,489.22	0	0.00	
PINACL JP33522		Citizen Electronics Company									
PUR	07-24-03	348285	1,600.000	57.994	92,789.79				1,600	92,789.79	Citizens Electronics Co
PUR	07-25-03	348286	800.000	56.401	45,120.49				800	45,120.49	Citizens Electronics Co
PUR	11-26-03	358938	900.000	93.097	83,787.72				900	83,787.72	Citizen Electronics Company
	TOTAL -		3,300.000		221,698.00	0.00	0.00	0.00	3,300	221,698.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL JP33522	Citizen Electronics Company	3,300.000	SHRS	67.181	221,698.00	91.134	12-31-03	300,742.20	79,044.20

PINACL JP33627		Mitsui O.S.K. Lines LTD									
PUR	12-22-03	361081	50,000.000	4.576	228,784.64				50,000	228,784.64	Mitsui O.S.K. Lines
	TOTAL -		50,000.000		228,784.64	0.00	0.00	0.00	50,000	228,784.64	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL JP33627	Mitsui O.S.K. Lines LTD	66,000.000	SHRS	4.680	308,895.69	4.880	12-31-03	244,400.00	-64,495.69

PINACL JP338598		JSR Corp									
PUR	11-10-03	358981	10,000.000	22.317	223,169.56				10,000	223,169.56	JSR Corp
	TOTAL -		10,000.000		223,169.56	0.00	0.00	0.00	10,000	223,169.56	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL JP338598 JSR Corp					10,000.000 SHRS	22.317	223,169.56	22.386	12-31-03	223,860.00	690.44
PINACL JP33863 Geomatec Co LTD											
PUR	08-25-03	349744	6,500.000	21.331	138,648.85				0	0.00	Geomatec Co LTD
SAL	11-18-03	359008	-6,500.000	18.996		123,471.40	-138,648.85	-15,177.45			Geomatec Co LTD
TOTAL -			0.000		138,648.85	123,471.40	-138,648.85	-15,177.45	0	0.00	
PINACL JP33990050 Works Appliances Co											
PUR	10-15-03	354732	6.000	11,311.598	67,869.59				9	67,869.59	Works Appliances Co
PUR	10-17-03	354736	4.000	11,083.530	44,334.12				6	44,334.12	Works Appliances Co
PUR	10-28-03	357074	8.000	5,926.140	47,409.12				13	47,409.12	Works Appliances Co
TOTAL -			18.000		159,612.83	0.00	0.00	0.00	28	159,612.83	
PINACL JP33994 Stanley Electric Co											
PUR	08-04-03	349235	15,000.000	16.401	246,015.10				0	0.00	Stanley Electric Co
SAL	12-09-03	361110	-7,600.000	17.798		135,265.21	-124,647.65	10,617.56			Stanley Electric Co
SAL	12-11-03	361113	-7,400.000	17.565		129,982.32	-121,367.45	8,614.87			Stanley Electric Co
TOTAL -			0.000		246,015.10	265,247.53	-246,015.10	19,232.43	0	0.00	
PINACL JP3409 Sumitimo Realty & Development											
PUR	09-17-03	351800	25,000.000	7.864	196,591.33				0	0.00	Sumitomo Realty & Development
SAL	11-13-03	359000	-25,000.000	7.933		198,322.24	-196,591.33	1,730.91			Sumitomo Realty
TOTAL -			0.000		196,591.33	198,322.24	-196,591.33	1,730.91	0	0.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL JP3409 Sumitimo Realty & Development					28,000.000 SHRS	9.571	267,974.39	9.272	10-31-03	0.00	-267,974.39
PINACL JP35388 TDK Corp											
PUR	07-02-03	348283	2,400.000	55.690	133,656.87				2,400	133,656.87	TDK Corp

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			2,400.000		133,656.87	0.00	0.00	0.00	2,400	133,656.87	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL JP35380 TDK Corp	3,000.000	SHRS	59.859	179,577.13	72.159	12-31-03	173,181.60	-6,395.53

PINACL JP355152 Dentsu Inc											
PUR	08-13-03	349244	25.000	3,621.785	90,544.62				0	0.00	Dentsu Inc
PUR	08-21-03	349643	40.000	4,068.247	162,729.88				0	0.00	Dentsu Inc
PUR	08-25-03	349747	30.000	4,308.169	129,245.06				0	0.00	Dentsu Inc
SAL	09-30-03	353103	-52.000	4,205.644		218,693.47	-218,746.49	-53.02			Dentsu Inc
SAL	11-10-03	359011	-43.000	4,507.323		193,814.90	-163,773.07	30,041.83			Dentsu Inc
TOTAL -			0.000		382,519.56	412,508.37	-382,519.56	29,988.81	0	0.00	
PINACL JP36334 Toyota Motor Corp											
PUR	12-12-03	361070	5,000.000	33.344	166,720.03				5,000	166,720.03	Toyota Motor Corp
PUR	12-22-03	361082	1,200.000	34.525	41,430.53				1,200	41,430.53	Toyota Motor Corp
TOTAL -			6,200.000		208,150.56	0.00	0.00	0.00	6,200	208,150.56	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL JP36334 Toyota Motor Corp	6,200.000	SHRS	33.573	208,150.56	33.836	12-31-03	209,783.20	1,632.64

PINACL JP36593 Nishimatsya China Company											
SAL	02-03-03	333297	-50.000	22.312		1,115.62	-2,034.24	-918.62			Nishimatsya China Company
SAL	03-12-03	334474	-3,400.000	16.949		57,626.84	-84,890.79	-27,263.95			Nishimatsya China Company
TOTAL -			-3,450.000		0.00	58,742.46	-86,925.03	-28,182.57	0	0.00	
PINACL JP36724 Nissan Motor Co LTD											
SAL	08-27-03	350602	-13,500.000	10.755		145,193.63	-95,388.36	49,805.27			Nissan Motor Co
SAL	11-20-03	359019	-11,700.000	10.832		126,732.20	-88,000.89	38,731.31			Nissan Motor Co
TOTAL -			-25,200.000		0.00	271,925.83	-183,389.25	88,536.58	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PINACL JP3684	Nitto Denko Corp										
PUR	11-10-03	358980	4,000.000	53.369	213,477.92				4,000	213,477.92	Nitto Denko Corp
TOTAL -			4,000.000		213,477.92	0.00	0.00	0.00	4,000	213,477.92	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL JP3684 Nitto Denko Corp	4,000.000	SHRS	53.369	213,477.92	53.278	12-31-03	213,112.00	-365.92

PINACL JP37348	Nidec Corporation										
PUR	12-04-03	361065	1,800.000	101.019	181,833.75				1,800	181,833.75	Nidec Corporation
TOTAL -			1,800.000		181,833.75	0.00	0.00	0.00	1,800	181,833.75	
PINACL JP37595	Noritsu Koki Co LTD										
PUR	07-01-03	348279	3,700.000	32.488	120,204.89				0	0.00	Noritsu Koki Co LTD
PUR	07-01-03	348281	1,400.000	32.954	46,135.43				0	0.00	Noritsu Koki Co LTD
SAL	09-17-03	351808	-5,100.000	33.549		171,097.45	-166,340.32	4,757.13			Noritsu Koki Co
TOTAL -			0.000		166,340.32	171,097.45	-166,340.32	4,757.13	0	0.00	
PINACL JP378146	Pasona Inc										
PUR	10-15-03	354733	15.000	7,392.161	110,882.43				0	0.00	Pasona Inc
SAL	11-10-03	359012	-15.000	6,019.395		90,290.92	-110,882.43	-20,591.51			Pasona Inc
TOTAL -			0.000		110,882.43	90,290.92	-110,882.43	-20,591.51	0	0.00	
PINACL JP3787	Hitachi Construction Machine										
PUR	08-04-03	349238	13,000.000	11.270	146,506.30				0	0.00	Hitachi Construction Machine
PUR	08-12-03	349239	4,000.000	10.974	43,897.64				0	0.00	Hitachi Construction Machine
SAL	10-09-03	354336	-17,000.000	9.596		163,171.30	-190,403.94	-27,232.64			Hitachi Construction Machine
TOTAL -			0.000		190,403.94	163,171.30	-190,403.94	-27,232.64	0	0.00	
PINACL JP380269	Faith Inc										
PUR	10-22-03	354901	11.000	8,738.455	96,123.01				0	0.00	Faith Inc
PUR	10-27-03	357072	13.000	8,330.305	108,293.96				0	0.00	Faith Inc

2271

Market Street Trust Company

PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04

FC3.3

PAGE

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	11-10-03	359089	-11.000	7.164 128		78,805.41	-96,123.01	-17,317.60			Faith Inc
SAL	11-11-03	359013	-13.000	6,438.665		83,702.65	-108,293.96	-24,591.31			Faith Inc
	TOTAL -		0.000		204,416.97	162,508.06	-204,416.97	-41,908.91	0	0.00	
PINACL JP38166	Fuji Soft ABC Corp										
PUR	10-15-03	354730	4,200.000	35.019	147,080.28				0	0.00	Fuji Soft ABC Corp
SAL	11-18-03	359004	-4,200.000	26.068		109,485.83	-147,080.28	-37,594.45			Fuji Soft
	TOTAL -		0.000		147,080.28	109,485.83	-147,080.28	-37,594.45	0	0.00	
PINACL JP384325	Hokuto Corp										
SAL	05-29-03	342147	-6.000	14.573		87.44	-191.99	-104.55			Hokuto Corp
SAL	11-13-03	358999	-60.000	14.940		896.41	-1,919.88	-1,023.47			Hokata Corp
	TOTAL -		-66.000		0.00	983.85	-2,111.87	-1,128.02	0	0.00	
PINACL JP38546	Honda Motor LTD										
PUR	03-24-03	334747	2,500.000	36.762	91,906.03				0	0.00	Honda Motor LTD
SAL	04-21-03	339277	-2,500.000	31.422		78,553.89	-105,842.07	-27,288.18			Honda Motora LTD
SAL	04-21-03	339277	-2,600.000	31.422		81,696.05	-96,263.62	-14,567.57			Honda Motorx LTD
	TOTAL -		-2,600.000		91,906.03	160,249.94	-202,105.69	-41,855.75	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL JP38546	Honda Motor LTD	12,000.000	SHRS	23.191 278,290.80	33.410	03-31-03	0.00	-278,290.80

PINACL JP3869	Matsumotokiyoshi Co LTD										
PUR	04-09-03	339275	2,200.000	43.236	95,119.44				0	0.00	Matsumotokiyoshi Co
PUR	05-13-03	342139	1,600.000	45.575	72,920.28				0	0.00	Matsumotokiyoshi Co LTD
PUR	05-15-03	342141	1,500.000	45.729	68,592.90				0	0.00	Matsumotokiyoshi Co LTD
SAL	06-18-03	343817	-7,000.000	40.857		286,001.96	-315,102.83	-29,100.87			Matsumotokiyoshi Co LTD
	TOTAL -		-1,700.000		236,632.62	286,001.96	-315,102.83	-29,100.87	0	0.00	

PINACL JP388 Mizuho Holdings Inc

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PINACL JP389035	Sumitomo Mitsui Financial										
PUR	09-17-03	351798	45.000	4,424.260	199,091.68				0	0.00	Sumitomo Mitsui Financial
SAL	11-11-03	359014	-45.000	4,289.460		193,025.72	-199,091.68	-6,065.96			Sumitomo Mitsui Financial
TOTAL -			0.000		199,091.68	193,025.72	-199,091.68	-6,065.96	0	0.00	
PINACL JP392107	Melco Inc										
PUR	09-17-03	351799	3,900.000	25.911	101,054.51				0	0.00	Melco Inc
TOTAL -			3,900.000		101,054.51	0.00	0.00	0.00	0	0.00	
PINACL JP392108	Melco Holdings Inc										
SAL	11-18-03	359005	-3,900.000	23.978		93,513.72	-101,054.51	-7,540.79			Melco Holdings
TOTAL -			-3,900.000		0.00	93,513.72	-101,054.51	-7,540.79	0	0.00	
PINACL JP3932	Yaskawa Electric Corp										
PUR	08-27-03	350601	38,000.000	6.295	239,210.46				0	0.00	Yaskawa Electric Corp
SAL	10-28-03	357077	-22,000.000	6.258		137,680.57	-138,490.27	-809.70			Yaskawa Electric
SAL	12-18-03	361124	-16,000.000	6.077		97,231.39	-100,720.19	-3,488.80			Yaskawa Electric Corp
TOTAL -			0.000		239,210.46	234,911.96	-239,210.46	-4,298.50	0	0.00	
PINACL JP39338	Yahoo Japan										
PUR	09-16-03	351797	9.000	20,640.397	185,763.57				9	92,881.78	Yahoo Japan
SAL	11-18-03	362625	-9.000	12,008.931		108,080.38	-92,881.79	15,198.59			Yahoo Japan
TOTAL -			0.000		185,763.57	108,080.38	-92,881.79	15,198.59	9	92,881.78	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL JP388	Mizuho Holdings Inc	90.000	SHRS	3,164.023	284,762.04	4,087.800	08-31-01	0.00	-284,762.04
PINACL JP39338	Yahoo Japan	9.000	SHRS	10,320.198	92,881.78	13,453.000	12-31-03	121,077.00	28,195.22

2273

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PINACL	JP3933817	Yahoo Japan Corp - Bonus									
PUR	10-31-03	357076	9.000	0.000	0 00				0	0.00	Receipt of Yahoo Japan
SAL	11-03-03	362623	-9.000	0.000		0.00	0.00	0.00			Yahoo Japan Corp - Bonus
	TOTAL -		0.000		0.00	0.00	0.00	0.00	0	0.00	
PINACL	JP3939	Yamada Denki Co LTD									
PUR	08-25-03	349742	2,700.000	28.559	77,108.55				0	0.00	Yamada Denki Co LTD
PUR	10-14-03	354335	3,700.000	33.276	123,119.84				0	0.00	Yamada Denki Co LTD
SAL	11-10-03	359010	-6,400.000	28.197		180,458.35	-200,228.39	-19,770.04			Yamada Denki
	TOTAL -		0.000		200,228.39	180,458.35	-200,228.39	-19,770.04	0	0.00	
PINACL	JP394413	USS Co LTD									
PUR	05-13-03	342133	2,000.000	53.025	106,049.66				0	0.00	USS Co LTD
SAL	12-11-03	361114	-2,000.000	63.565		127,130.04	-70,100.43	57,029.61			USS Co LTD
SAL	12-11-03	361114	-2,000.000	63.565		127,130.03	-106,049.66	21,080.37			USS Co LTD
	TOTAL -		-2,000.000		106,049.66	254,260.07	-176,150.09	78,109.98	0	0.00	
PINACL	JP39554	Bank of Yokohama LTD									
PUR	09-03-03	351480	58,000.000	3.477	201,667.23				0	0.00	Bank of Yokohama LTD
SAL	11-13-03	359001	-26,000.000	4.110		106,862.16	-90,402.55	16,459.61			Bank of Yokohama
SAL	11-18-03	359007	-32,000.000	3.981		127,398.30	-111,264.68	16,133.62			The Bank of Yokohamma
	TOTAL -		0.000		201,667.23	234,260.46	-201,667.23	32,593.23	0	0.00	
PINACL	JP39828	ROHM Company									
SAL	04-15-03	339276	-600.000	89.473		53,683.69	-100,083.21	-46,399.52			Rohm Co
SAL	04-15-03	339276	-400.000	89.473		35,789.13	-49,922.00	-14,132.87			Rohm Co
	TOTAL -		-1,000.000		0 00	89,472.82	-150,005.21	-60,532.39	0	0.00	
PINACL	KOF	Coca-Cola FEMSA S A DE CV									
SAL	07-01-03	348334	-2,800.000	21.752		60,735.87	-60,843.16	-107.29			Coca-Cola FEMSA
SAL	07-01-03	348334	-1,600.000	21.752		34,706.21	-35,280.00	-573.79			Coca-Cola FEMSA

2274

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			-4,400.000		0.00	95,442.08	-96,123.16	-681.08	0	0.00	
PINACL KYG3919S10 Global Biochem Technology											
SAL	03-24-03	334751	-436,000.000	0.235		102,605.16	-170,688.30	-68,083.14			Global Bio-Chem Technology
TOTAL -			-436,000.000		0.00	102,605.16	-170,688.30	-68,083.14	0	0.00	
PINACL KYG0701T10 TCL International Holdings LTD											
PUR	01-09-03	330420	150,000.000	0.319	47,893.56				0	0.00	TCL International Holdings
SAL	04-11-03	339265	-150,000.000	0.221		33,108.42	-47,893.56	-14,785.14			TCL International Holdings
TOTAL -			0.000		47,893.56	33,108.42	-47,893.56	-14,785.14	0	0.00	
PINACL KYG9826J10 Xinao Gas Holding LTD											
PUR	05-07-03	342128	212,000.000	0.260	55,019.42				212,000	55,019.42	Xinao Gas Holdings LTD
PUR	05-13-03	342129	236,000.000	0.284	67,017.85				236,000	67,017.85	Xinao Gas Holdings LTD
TOTAL -			448,000.000		122,037.27	0.00	0.00	0.00	448,000	122,037.27	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL KYG9826J10 Xinao Gas Holding LTD	448,000.000	SHRS	0.272	122,037.27	0.557	12-31-03	249,536.00	127,498.73

PINACL LUX		Luxottica Group SPA									
SAL	01-30-03	330438	-2,000.000	11.855		23,590.09	-34,408.40	-10,818.31			Luxottica Group S P A
SAL	01-30-03	330438	-2,000.000	11.855		23,590.08	-30,660.00	-7,069.92			Luxottica Group S P A
PUR	11-11-03	358973	6,100.000	17.764	108,361.49				6,100	108,361.49	Luxottica Group
TOTAL -			2,100.000		108,361.49	47,180.17	-65,068.40	-17,888.23	6,100	108,361.49	
PINACL MBT		Mobile Telesystems OJSC									
PUR	06-25-03	343811	2,200.000	56.291	123,972.86				0	0.00	Mobile Telesystems OJSC
PUR	06-26-03	345463	850.000	58.984	50,187.66				0	0.00	Mobile Telesystems OJSC
SAL	12-30-03	362536	-3,050.000	79.191		241,340.07	-174,160.52	67,179.55			Mobile Telesystems OJSC
TOTAL -			0.000		174,160.52	241,340.07	-174,160.52	67,179.55	0	0.00	

04-26-04
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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PINACL MBT		Mobile Telesystems OJSC									
PINACL MDIE		Mediaset SPA									
PUR	11-12-03	358964	5,725.000	10.615	60,769.79				5,725	60,769.79	Mediaset SPA
PUR	11-12-03	358965	14,200.000	10.507	149,205.92				14,200	149,205.92	Mediaset SPA
PUR	12-01-03	361057	2,590.000	11.794	30,546.89				2,590	30,546.89	Mediaset SPA
	TOTAL -		22,515.000		240,522.60	0.00	0.00	0.00	22,515	240,522.60	
PINACL MRVL		Marvell Technology Group LTD									
PUR	07-01-03	348324	3,600.000	35.965	129,691.44				0	0.00	Marvell Technology Group
SAL	11-20-03	358995	-3,600.000	38.083		136,840.74	-129,691.44	7,149.30			Marvell Technology
	TOTAL -		0.000		129,691.44	136,840.74	-129,691.44	7,149.30	0	0.00	
PINACL MX01GF36		Grupo Financiero BBVA Ser B									
SAL	07-25-03	348287	-42,300.000	0.851		36,008.92	-32,717.35	3,291.57			Grupo Financiero BBVA
SAL	08-13-03	349250	-41,500.000	0.793		32,901.28	-38,660.45	-5,759.17			Grupo Financiero BBVA Bancomer
SAL	08-13-03	349250	-112,400.000	0.793		89,110.92	-87,917.55	1,193.37			Grupo Financiero BBVA Bancomer
	TOTAL -		-196,200.000		0.00	158,021.12	-159,295.35	-1,274.23	0	0.00	
PINACL MXP0100810		Wal-Mart De Mexico									
SAL	08-25-03	349658	-22,000.000	2.657		58,462.60	-62,603.10	-4,140.50			WalMart De Mexico
SAL	08-25-03	349658	-18,700.000	2.657		49,693.21	-45,473.03	4,220.18			WalMart De Mexico
	TOTAL -		-40,700.000		0.00	108,155.81	-108,076.13	79.68	0	0.00	
PINACL N		Inco LTD									
PUR	12-17-03	361075	4,050.000	36.129	146,524.95				4,050	146,524.95	Inco LTD
PUR	12-22-03	361083	1,100.000	39.202	43,188.20				1,100	43,188.20	Inco LTD
PUR	12-30-03	362525	2,195.000	40.186	88,340.19				2,195	88,340.19	Inco LTD
	TOTAL -		7,345.000		278,053.34	0.00	0.00	0.00	7,345	278,053.34	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL MBT Mobile Telesystems OJSC	1,550.000	SHRS	108.430	168,067.01	82.500	11-30-03	0.00	-168,067.01

Market Street Trust Company

PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04

FC3.3

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PINACL	NL301760	AEGON NV									Aegon NV
SAL	03-11-03	334473	-14,300.000	6.719		96,084.42	-200,064.85	-103,980.43			
TOTAL -			-14,300.000		0.00	96,084.42	-200,064.85	-103,980.43	0	0.00	
PINACL	NL497915	RTS-Nutreco Holdings									Expired Rights - RTS-Nutreco
SAL	09-30-03	352278	-3,500.000	0.000		0.00	0.00	0.00			
TOTAL -			-3,500.000		0.00	0.00	0.00	0.00	0	0.00	
PINACL	NL497980	AHOLD NV-STK - Rights									Expired Rights - AHOLD NV
SAL	09-30-03	352279	-7,446.000	0.000		0.00	0.00	0.00			
TOTAL -			-7,446.000		0.00	0.00	0.00	0.00	0	0.00	
PINACL	NL498376	Rights-Moolen Van Der									Expired Rights- Moolen Van Der
SAL	09-30-03	352280	-5,600.000	0.000		0.00	0.00	0.00			
TOTAL -			-5,600.000		0.00	0.00	0.00	0.00	0	0.00	
PINACL	NO5620856	Tandberg ASA									Tandberg ASA
SAL	03-07-03	334466	-6,500.000	4.293		27,904.57	-47,515.44	-19,610.87			Tandberg ASA
SAL	03-07-03	334466	-13,000.000	4.293		55,809.15	-129,739.37	-73,930.22			Tandber ASA
PUR	07-01-03	348289	27,000.000	5.216	140,843.00				0	0.00	Tandberg ASA
SAL	10-21-03	354756	-27,000.000	6.571		177,413.08	-140,843.00	36,570.08			
TOTAL -			-19,500.000		140,843.00	261,126.80	-318,097.81	-56,971.01	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY DOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL	NO5620856 Tandberg ASA	11,125.000 SHRS	9.752	108,485.98	5.937	09-30-03	0.00	-108,485.98

PINACL	NO5668905	Tomra Systems ASA									Tomra Systems ASA
PUR	05-15-03	342149	15,600.000	5.492	85,667.89				0	0.00	
SAL	09-29-03	353101	-12,800.000	5.097		65,242.78	-105,862.52	-40,619.74			Tomra Systems ASA
SAL	09-29-03	353101	-31,000.000	5.097		158,009.85	-197,145.18	-39,135.33			Tomra Systems ASA

2277

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			-28,200.000		85,667.89	223,252.63	-303,007.70	-79,755.07	0	0.00	
PINACL NTES	Netease.Com Inc										
PUR	09-11-03	351792	3,250.000	58.396	190,015.48				0	0.00	Netease.Com Inc
SAL	11-06-03	357446	-3,250.000	42.467		137,814.99	-190,015.48	-52,200.49			Netease.Com Inc
TOTAL -			0.000		190,015.48	137,814.99	-190,015.48	-52,200.49	0	0.00	
PINACL OTPBq	OTP Bank RT REG S GDR										

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL OTPBq	OTP Bank RT REG S GDR	4,570.000	SHRS	27.158	124,110.26				-124,110.26

PINACL PKX	Posco Sponsored A/D/R										
PUR	12-19-03	361078	7,500.000	33.395	250,908.75				7,500	250,908.75	Posco Sponsored A/D/R
TOTAL -			7,500.000		250,908.75	0.00	0.00	0.00	7,500	250,908.75	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL PKX	Posco Sponsored A/D/R	7,500.000	SHRS	33.455	250,908.75	33.970	12-31-03	254,775.00	3,866.25

PINACL Pierpont	J.P. Morgan Funds										
PUR	01-02-03	330425	181,000.000	1.000	181,000.00				0	0.00	JPM Federal MM Sweep Fund
PUR	01-07-03	330426	205,408.650	1.000	205,408.65				0	0.00	JPM Federal MM Sweep Fund
PUR	01-09-03	330427	127,473.130	1.000	127,473.13				0	0.00	JPM Federal MM Sweep Fund
SAL	01-10-03	330434	-43,832.280	1.000		43,832.28	-43,832.28	0.00			JPM Federal MM Sweep Fund
SAL	01-13-03	330435	-75,585.450	1.000		75,585.45	-75,585.45	0.00			JPM Federal MM Sweep Fund
SAL	01-17-03	330436	-12,480.620	1.000		12,480.62	-12,480.62	0.00			JPM Federal MM Sweep Fund
PUR	01-21-03	330429	12,480.620	1.000	12,480.62				0	0.00	JPM Federal MM Sweep Fund
SAL	01-22-03	330437	-12,480.620	1.000		12,480.62	-12,480.62	0.00			JPM Federal MM Sweep Fund
PUR	02-03-03	333302	77,000.000	1.000	77,000.00				0	0.00	JPM Federal MM Sweep Fund
PUR	02-04-03	333303	1,115.610	1.000	1,115.61				0	0.00	JPM Federal MM Sweep Fund
PUR	02-05-03	333304	140,800.210	1.000	140,800.21				0	0.00	JPM Federal MM Sweep Fund
SAL	03-04-03	334455	-93,668.400	1.000		93,668.40	-93,668.40	0.00			JPM Prime MM Sweep Fund
SAL	03-05-03	334456	-44,531.280	1.000		44,531.28	-44,531.28	0.00			JPM Prime MM Sweep Fund
PUR	03-10-03	334447	137,179.650	1.000	137,179.65				0	0.00	JPM Federal MM Sweep Fund

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04
FC3.3

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	03-11-03	334448	183,023.910	1.000	183,023.91				0	0.00	JPM Federal MM Sweep Fund
PUR	03-12-03	334449	81,176.770	1.000	81,176.77				0	0.00	JPM Federal MM Sweep Fund
PUR	03-13-03	334450	101,944.330	1.000	101,944.33				0	0.00	JPM Federal MM Sweep Fund
PUR	03-14-03	334451	33,468.160	1.000	33,468.16				0	0.00	JPM Federal MM Sweep Fund
PUR	03-17-03	334452	30,502.910	1.000	30,502.91				0	0.00	JPM Federal MM Sweep Fund
SAL	03-18-03	334457	-98,150.130	1.000		98,150.13	-98,150.13	0.00			JPM Prime MM Sweep Fund
PUR	03-19-03	334453	25,648.820	1.000	25,648.82				0	0.00	JPM Federal MM Sweep Fund
SAL	03-20-03	334458	-96,944.330	1.000		96,944.33	-96,944.33	0.00			JPM Prime MM Sweep Fund
SAL	03-21-03	334459	-96,629.840	1.000		96,629.84	-96,629.84	0.00			JPM Prime MM Sweep Fund
SAL	03-26-03	334460	-15,635.530	1.000		15,635.53	-15,635.53	0.00			JPM Prime MM Sweep Fund
SAL	03-28-03	334749	-88,595.420	1.000		88,595.42	-88,595.42	0.00			JPM Federal MM Sweep Fund
SAL	03-31-03	334750	-87,586.740	1.000		87,586.74	-87,586.74	0.00			JPM Federal MM Sweep Fund
PUR	04-07-03	339302	1,932,002.160	1.000	1,932,002.16				0	0.00	JPM Federal MM Sweep Fund
SAL	04-08-03	339326	-67,594.680	1.000		67,594.68	-67,594.68	0.00			JPM Federal MM Sweep Fund
SAL	04-09-03	339328	-77,176.330	1.000		77,176.33	-77,176.33	0.00			JPM Federal MM Sweep Fund
SAL	04-10-03	339329	-1,167,792.440	1.000		1,167,792.44	-1,167,792.44	0.00			JPM Federal MM Sweep Fund
SAL	04-11-03	339330	-295,140.220	1.000		295,140.22	-295,140.22	0.00			JPM Federal MM Sweep Fund
PUR	04-15-03	339308	64,723.420	1.000	64,723.42				0	0.00	JPM Federal MM Sweep Fund
SAL	04-16-03	339331	-142,585.000	1.000		142,585.00	-142,585.00	0.00			JPM Federal MM Sweep Fund
SAL	04-17-03	339332	-16,648.680	1.000		16,648.68	-16,648.68	0.00			JPM Federal MM Sweep Fund
SAL	04-22-03	339333	-46,973.300	1.000		46,973.30	-46,973.30	0.00			JPM Federal MM Sweep Fund
PUR	04-23-03	339311	160,249.940	1.000	160,249.94				0	0.00	JPM Federal MM Sweep Fund
SAL	04-25-03	339334	-108,063.430	1.000		108,063.43	-108,063.43	0.00			JPM Federal MM Sweep Fund
PUR	04-28-03	339313	252,447.390	1.000	252,447.39				0	0.00	JPM Federal MM Sweep Fund
PUR	04-29-03	339314	180,528.000	1.000	180,528.00				0	0.00	JPM Federal MM Sweep Fund
SAL	04-30-03	339336	-88,333.820	1.000		88,333.82	-88,333.82	0.00			JPM Federal MM Sweep Fund
SAL	05-01-03	342188	-139,994.510	1.000		139,994.51	-139,994.51	0.00			JPM Federal MM Sweep Fund
PUR	05-02-03	342174	101,815.480	1.000	101,815.48				0	0.00	JPM Federal MM Sweep Fund
SAL	05-05-03	342190	-108,643.540	1.000		108,643.54	-108,643.54	0.00			JPM Federal MM Sweep Fund
SAL	05-06-03	342191	-63,715.060	1.000		63,715.06	-63,715.06	0.00			JPM Federal MM Sweep Fund
SAL	05-07-03	342192	-112,103.310	1.000		112,103.31	-112,103.31	0.00			JPM Federal MM Sweep Fund
SAL	05-08-03	342193	-83,761.920	1.000		83,761.92	-83,761.92	0.00			JPM Federal MM Sweep Fund
PUR	05-09-03	342175	4,146,679.890	1.000	4,146,679.89				0	0.00	JPM Federal MM Sweep Fund
SAL	05-12-03	342194	-110,086.310	1.000		110,086.31	-110,086.31	0.00			JPM Federal MM Sweep Fund
PUR	05-13-03	342176	92,984.970	1.000	92,984.97				0	0.00	JPM Federal MM Sweep Fund
SAL	05-15-03	342195	-712,368.920	1.000		712,368.92	-712,368.92	0.00			JPM Federal MM Sweep Fund
SAL	05-16-03	342196	-779,745.860	1.000		779,745.86	-779,745.86	0.00			JPM Federal MM Sweep Fund

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	MATCH	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	05-19-03	342197	-904,462.990	1.000		904,462.99	-904,462.99	0.00			JPM Federal MM Sweep Fund
SAL	05-20-03	342198	-580,658.120	1.000		580,658.12	-580,658.12	0.00			JPM Federal MM Sweep Fund
SAL	05-21-03	342199	-90,885.280	1.000		90,885.28	-90,885.28	0.00			JPM Federal MM Sweep Fund
SAL	05-23-03	342200	-74,872.700	1.000		74,872.70	-74,872.70	0.00			JPM Federal MM Sweep Fund
PUR	05-27-03	342177	9,591.310	1.000	9,591.31				0	0.00	JPM Federal MM Sweep Fund
PUR	06-02-03	342985	867,000.000	1.000	867,000.00				0	0.00	JPM Federal MM Sweep Fund
SAL	06-03-03	343023	-189,055.770	1.000		189,055.77	-189,055.77	0.00			JPM Federal MM Sweep Fund
PUR	06-04-03	342986	133,561.260	1.000	133,561.26				0	0.00	JPM Federal MM Sweep Fund
PUR	06-05-03	342988	30,543.780	1.000	30,543.78				0	0.00	JPM Federal MM Sweep Fund
PUR	06-06-03	342989	92.950	1.000	92.95				0	0.00	JPM Federal MM Sweep Fund
SAL	06-09-03	343026	-1,153,474.600	1.000		1,153,474.60	-1,153,474.60	0.00			JPM Federal MM Sweep Fund
SAL	06-10-03	343027	-162,902.070	1.000		162,902.07	-162,902.07	0.00			JPM Federal MM Sweep Fund
SAL	06-12-03	343028	-197,513.320	1.000		197,513.32	-197,513.32	0.00			JPM Federal MM Sweep Fund
SAL	06-17-03	343029	-164,640.000	1.000		164,640.00	-164,640.00	0.00			JPM Federal MM Sweep Fund
SAL	06-18-03	343030	-4,486.850	1.000		4,486.85	-4,486.85	0.00			JPM Federal MM Sweep Fund
SAL	06-19-03	343031	-267,990.060	1.000		267,990.06	-267,990.06	0.00			JPM Federal MM Sweep Fund
PUR	06-23-03	343807	316,880.740	1.000	316,880.74				0	0.00	JPM Federal MM Sweep Fund
PUR	06-25-03	343808	49,406.770	1.000	49,406.77				0	0.00	JPM Federal MM Sweep Fund
SAL	06-26-03	343814	-16,489.170	1.000		16,489.17	-16,489.17	0.00			JPM Prime MM Sweep Fund
PUR	06-27-03	343809	70,081.510	1.000	70,081.51				0	0.00	JPM Federal MM Sweep Fund
SAL	06-30-03	343815	-123,972.860	1.000		123,972.86	-123,972.86	0.00			JPM Prime MM Sweep Fund
SAL	07-02-03	348332	-841,421.830	1.000		841,421.83	-841,421.83	0.00			JPM Federal MM Sweep Fund
SAL	07-03-03	348333	-73,786.680	1.000		73,786.68	-73,786.68	0.00			JPM Federal MM Sweep Fund
SAL	07-07-03	348336	-75,203.180	1.000		75,203.18	-75,203.18	0.00			JPM Federal MM Sweep Fund
PUR	07-10-03	348326	201,716.640	1.000	201,716.64				0	0.00	JPM Federal MM Sweep Fund
PUR	07-11-03	348327	50,383.600	1.000	50,383.60				0	0.00	JPM Federal MM Sweep Fund
PUR	07-14-03	348328	148,005.270	1.000	148,005.27				0	0.00	JPM Federal MM Sweep Fund
PUR	07-16-03	348329	134,733.410	1.000	134,733.41				0	0.00	JPM Federal MM Sweep Fund
PUR	07-22-03	348330	253,508.260	1.000	253,508.26				0	0.00	JPM Federal MM Sweep Fund
SAL	07-29-03	348337	-182,767.280	1.000		182,767.28	-182,767.28	0.00			JPM Federal MM Sweep Fund
SAL	07-30-03	348338	-131,616.080	1.000		131,616.08	-131,616.08	0.00			JPM Federal MM Sweep Fund
PUR	08-01-03	349229	67,761.130	1.000	67,761.13				0	0.00	JPM Federal MM Sweep Fund
PUR	08-04-03	349224	201,232.260	1.000	201,232.26				0	0.00	JPM Federal MM Sweep Fund
PUR	08-06-03	349225	79,888.690	1.000	79,888.69				0	0.00	JPM Federal MM Sweep Fund
SAL	08-07-03	349230	-157,275.310	1.000		157,275.31	-157,275.31	0.00			JPM Federal MM Sweep Fund
SAL	08-08-03	349231	-30,221.530	1.000		30,221.53	-30,221.53	0.00			JPM Federal MM Sweep Fund
SAL	08-12-03	349232	-85,417.770	1.000		85,417.77	-85,417.77	0.00			JPM Federal MM Sweep Fund

2280

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	08-14-03	349233	-88,681.410	1.000		88,681.41	-88,681.41	0.00			JPM Federal MM Sweep Fund
PUR	08-15-03	349226	78,114.560	1.000	78,114.56				0	0.00	JPM Federal MM Sweep Fund
SAL	08-18-03	349234	-90,544.620	1.000		90,544.62	-90,544.62	0.00			JPM Federal MM Sweep Fund
PUR	08-19-03	349227	77,274.440	1.000	77,274.44				0	0.00	JPM Federal MM Sweep Fund
PUR	08-22-03	349637	4,251.400	1.000	4,251.40				0	0.00	JPM Federal MM Sweep Fund
SAL	08-25-03	349651	-176,602.910	1.000		176,602.91	-176,602.91	0.00			JPM Federal MM Sweep Fund
PUR	08-26-03	349638	166,867.620	1.000	166,867.62				0	0.00	JPM Federal MM Sweep Fund
SAL	08-27-03	349652	-108,523.600	1.000		108,523.60	-108,523.60	0.00			JPM Federal MM Sweep Fund
SAL	08-28-03	349751	-24,528.210	1.000		24,528.21	-24,528.21	0.00			JPM Federal MM Sweep Fund
SAL	09-02-03	351484	-61,016.830	1.000		61,016.83	-61,016.83	0.00			JPM Federal MM Sweep Fund
PUR	09-05-03	351475	331,780.240	1.000	331,780.24				0	0.00	JPM Federal MM Sweep Fund
SAL	09-08-03	351485	-201,667.230	1.000		201,667.23	-201,667.23	0.00			JPM Federal MM Sweep Fund
SAL	09-10-03	351486	-196,056.000	1.000		196,056.00	-196,056.00	0.00			JPM Federal MM Sweep Fund
PUR	09-11-03	351476	299,300.450	1.000	299,300.45				0	0.00	JPM Federal MM Sweep Fund
PUR	09-12-03	351477	475,735.820	1.000	475,735.82				0	0.00	JPM Federal MM Sweep Fund
SAL	09-15-03	351487	-98,641.480	1.000		98,641.48	-98,641.48	0.00			JPM Federal MM Sweep Fund
SAL	09-16-03	351801	-342,196.850	1.000		342,196.85	-342,196.85	0.00			JPM Federal MM Sweep Fund
PUR	09-18-03	351794	149,786.170	1.000	149,786.17				0	0.00	JPM Federal MM Sweep Fund
PUR	09-19-03	351795	183,752.210	1.000	183,752.21				0	0.00	JPM Federal MM Sweep Fund
PUR	09-22-03	351796	20,527.290	1.000	20,527.29				0	0.00	JPM Federal MM Sweep Fund
SAL	09-25-03	353521	-138,423.590	1.000		138,423.59	-138,423.59	0.00			JPM Federal MM Sweep Fund
SAL	09-26-03	352461	-226,958.060	1.000		226,958.06	-226,958.06	0.00			JPM Federal MM Sweep Fund
SAL	09-30-03	352463	-98,640.160	1.000		98,640.16	-98,640.16	0.00			JPM Federal MM Sweep Fund
PUR	10-02-03	354321	314,559.190	1.000	314,559.19				0	0.00	JPM Federal MM Sweep Fund
SAL	10-03-03	354324	-7,490.920	1.000		7,490.92	-7,490.92	0.00			JPM Federal MM Sweep Fund
SAL	10-06-03	354325	-295,371.400	1.000		295,371.40	-295,371.40	0.00			JPM Federal MM Sweep Fund
SAL	10-08-03	354326	-104,834.890	1.000		104,834.89	-104,834.89	0.00			JPM Federal MM Sweep Fund
SAL	10-14-03	354327	-340,479.820	1.000		340,479.82	-340,479.82	0.00			JPM Federal MM Sweep Fund
SAL	10-15-03	354328	-51,222.700	1.000		51,222.70	-51,222.70	0.00			JPM Federal MM Sweep Fund
PUR	10-16-03	354322	414,725.100	1.000	414,725.10				0	0.00	JPM Federal MM Sweep Fund
PUR	10-17-03	354323	358,459.030	1.000	358,459.03				0	0.00	JPM Federal MM Sweep Fund
SAL	10-20-03	354748	-473,736.760	1.000		473,736.76	-473,736.76	0.00			JPM Federal MM Sweep Fund
SAL	10-21-03	354750	-10,193.100	1.000		10,193.10	-10,193.10	0.00			JPM Federal MM Sweep Fund
PUR	10-22-03	354738	177,910.580	1.000	177,910.58				0	0.00	JPM Federal MM Sweep Fund
SAL	10-23-03	354751	-276,272.010	1.000		276,272.01	-276,272.01	0.00			JPM Federal MM Sweep Fund
SAL	10-24-03	354752	-190,892.840	1.000		190,892.84	-190,892.84	0.00			JPM Federal MM Sweep Fund
PUR	10-27-03	354899	81,328.180	1.000	81,328.18				0	0.00	JPM Federal MM Sweep Fund

04-26-04

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Market Street Trust Company

PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	10-28-03	354900	219,742.290	1.000	219,742.29				0	0.00	JPM Federal MM Sweep Fund
SAL	10-29-03	354908	-17,988.820	1.000		17,988.82	-17,988.82	0.00			JPM Federal MM Sweep Fund
SAL	10-30-03	355448	-219,102.880	1.000		219,102.88	-219,102.88	0.00			JPM Federal MM Sweep Fund
PUR	10-31-03	355447	13,035.850	1.000	13,035.85				0	0.00	JPM Federal MM Sweep Fund
SAL	11-03-03	357433	-77,014.620	1.000		77,014.62	-77,014.62	0.00			JPM Federal MM Sweep Fund
PUR	11-04-03	357430	7,751.420	1.000	7,751.42				0	0.00	JPM Federal MM Sweep Fund
SAL	11-05-03	357434	-7,751.420	1.000		7,751.42	-7,751.42	0.00			JPM Federal MM Sweep Fund
PUR	11-07-03	357431	227,278.980	1.000	227,278.98				0	0.00	JPM Federal MM Sweep Fund
SAL	11-12-03	357435	-224,985.840	1.000		224,985.84	-224,985.84	0.00			JPM Federal MM Sweep Fund
PUR	11-13-03	357432	44,658.500	1.000	44,658.50				0	0.00	JPM Federal MM Sweep Fund
SAL	11-14-03	357436	-20,229.430	1.000		20,229.43	-20,229.43	0.00			JPM Federal MM Sweep Fund
SAL	11-17-03	358993	-26,722.210	1.000		26,722.21	-26,722.21	0.00			JPM Federal MM Sweep Fund
PUR	11-20-03	358974	436,713.090	1.000	436,713.09				0	0.00	JPM Federal MM Sweep Fund
PUR	11-21-03	358975	433,787.130	1.000	433,787.13				0	0.00	JPM Federal MM Sweep Fund
SAL	11-24-03	358994	-144,712.090	1.000		144,712.09	-144,712.09	0.00			JPM Federal MM Sweep Fund
SAL	11-25-03	358996	-82,894.800	1.000		82,894.80	-82,894.80	0.00			JPM Federal MM Sweep Fund
PUR	11-26-03	358976	253,558.630	1.000	253,558.63				0	0.00	JPM Federal MM Sweep Fund
SAL	11-28-03	358997	-358,132.200	1.000		358,132.20	-358,132.20	0.00			JPM Federal MM Sweep Fund
SAL	12-01-03	361085	-184,577.770	1.000		184,577.77	-184,577.77	0.00			JPM Federal MM Sweep Fund
PUR	12-02-03	361050	240,000.000	1.000	240,000.00				0	0.00	JPM Federal MM Sweep Fund
SAL	12-04-03	361086	-107,512.240	1.000		107,512.24	-107,512.24	0.00			JPM Federal MM Sweep Fund
SAL	12-05-03	361087	-144,739.780	1.000		144,739.78	-144,739.78	0.00			JPM Federal MM Sweep Fund
SAL	12-08-03	361088	-228,976.050	1.000		228,976.05	-228,976.05	0.00			JPM Federal MM Sweep Fund
PUR	12-09-03	361051	43,306.960	1.000	43,306.96				0	0.00	JPM Federal MM Sweep Fund
PUR	12-12-03	361066	27,898.210	1.000	27,898.21				0	0.00	JPM Federal MM Sweep Fund
PUR	12-15-03	361052	83,362.570	1.000	83,362.57				0	0.00	JPM Federal MM Sweep Fund
PUR	12-16-03	361053	349,369.250	1.000	349,369.25				0	0.00	JPM Federal MM Sweep Fund
SAL	12-17-03	361089	-43,122.740	1.000		43,122.74	-43,122.74	0.00			JPM Federal MM Sweep Fund
SAL	12-18-03	361090	-85,864.110	1.000		85,864.11	-85,864.11	0.00			JPM Federal MM Sweep Fund
PUR	12-19-03	361054	120,562.580	1.000	120,562.58				0	0.00	JPM Federal MM Sweep Fund
SAL	12-22-03	361091	-251,383.740	1.000		251,383.74	-251,383.74	0.00			JPM Federal MM Sweep Fund
PUR	12-23-03	361055	521,694.100	1.000	521,694.10				208,056	208,055.80	JPM Federal MM Sweep Fund
SAL	12-24-03	361092	-254,996.490	1.000		254,996.49	-254,996.49	0.00			JPM Federal MM Sweep Fund
SAL	12-26-03	361094	-313,403.370	1.000		313,403.37	-313,403.37	0.00			JPM Federal MM Sweep Fund
SAL	12-29-03	361095	-101,881.340	1.000		101,881.34	-101,881.34	0.00			JPM Federal MM Sweep Fund
PUR	12-30-03	361537	8,551.270	1.000	8,551.27				8,551	8,551.27	JPM Federal MM Sweep Fund

2282

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04
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ENTITY INVESTMENT DESCRIPTION TYPE TXN DATE TXN NUMBER		QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -		154,755.960		17,049,684.71	16,894,928.75	-16,894,928.75	0.00	216,607	216,607.07	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL Pierpont J.P. Morgan Funds	1,578,546.630	UNTS	1.000	1,578,546.63	1.000	12-31-03	216,607.07	-1,361,939.56

PINACL RB	Reckitt Benckiser PLC									
PUR 06-04-03	342994	27,000.000	19.620	529,733.28				27,000	529,733.28	Reckitt Benckiser
TOTAL -		27,000.000		529,733.28	0.00	0.00	0.00	27,000	529,733.28	
PINACL RYAAY	Ryanair Holdings PLC									
SAL 07-09-03	348340	-2,700.000	40.073		108,003.85	-85,332.69	22,671.16			Ryanair Holdings
SAL 07-09-03	348340	-1,000.000	40.073		40,001.42	-43,070.00	-3,068.58			Ryanair Holdings
TOTAL -		-3,700.000		0.00	148,005.27	-128,402.69	19,602.58	0	0.00	
PINACL SE106270	Hennes & Mauritz AB-B									
PUR 03-18-03	334483	2,825.000	22.069	62,344.58				0	0.00	Hennes & Mauritz AB-B
SAL 06-06-03	343032	-2,825.000	22.487		63,524.86	-62,344.58	1,180.28			Hennes & Mauritz AB-B
TOTAL -		0.000		62,344.58	63,524.86	-62,344.58	1,180.28	0	0.00	
PINACL SE108656	Ericsson LM-B									
SAL 03-11-03	334471	-171,200.000	0.527		90,204.75	-179,389.48	-89,184.73			Ericsson LM-B
PUR 08-22-03	349646	59,250.000	1.563	92,636.55				0	0.00	Ericsson LM-B
SAL 09-29-03	353102	-59,250.000	1.541		91,306.56	-92,636.55	-1,329.99			Ericsson LM-B
TOTAL -		-171,200.000		92,636.55	181,511.31	-272,026.03	-90,514.72	0	0.00	
PINACL SE1115577	RIGHTS - Elekta Ser B 11/11/03									
PUR 10-01-03	356699	20,000.000	0.000	0.00				20,000	0.00	Rights - Elekta Ser B 11/11/03
TOTAL -		20,000.000		0.00	0.00	0.00	0.00	20,000	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL SE1115577 RIGHTS - Elekta Ser B 11/11/03					20,000.000 SHRS	0.000	0.00	0.000	12-31-03	0.00	0.00
PINACL SE115446 Volvo AB-B											
PUR	11-20-03	358985	6,600.000	28.457	187,814.52				6,600	187,814.52	Vovlo AB-B
TOTAL -			6,600.000		187,814.52	0.00	0.00	0.00	6,600	187,814.52	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL SE115446 Volvo AB-B					9,970.000 SHRS	29.851	297,612.97	30.305	12-31-03	200,013.00	-97,599.97
PINACL SE163628 ELEKTA AB-B											
PUR	04-08-03	339286	4,000.000	10.751	43,005.35				4,000	43,005.35	Elekta AB-B
SAL	12-04-03	361108	-8,800.000	17.616		155,017.69	-70,548.86	84,468.83			Elekta AB-B
TOTAL -			-4,800.000		43,005.35	155,017.69	-70,548.86	84,468.83	4,000	43,005.35	
PINACL SE412171 Modern Times Group-B											
PUR	05-13-03	342155	9,000.000	11.969	107,719.21				9,000	107,719.21	Modern Times Group-B
PUR	05-14-03	342156	12,040.000	12.146	146,212.84				12,040	146,212.84	Modern Times Group-B
TOTAL -			21,040.000		253,932.05	0.00	0.00	0.00	21,040	253,932.05	
PINACL SE416604 Munters AB											
PUR	04-08-03	339285	1,000.000	21.561	21,561.43				0	0.00	Munters AB
SAL	04-28-03	339289	-5,300.000	19.210		101,815.48	-122,453.44	-20,637.96			mUNTERS ab
TOTAL -			-4,300.000		21,561.43	101,815.48	-122,453.44	-20,637.96	0	0.00	
PINACL SG05310002 Venture Corp LTD											
PUR	09-11-03	351790	13,000.000	11.706	152,181.37				0	0.00	Venture Corp LTD
PUR	09-16-03	351791	5,000.000	11.855	59,275.31				0	0.00	Venture Corp LTD
SAL	10-28-03	355451	-9,000.000	10.778		97,000.46	-105,356.33	-8,355.87			Venture Corp
SAL	10-30-03	356679	-9,000.000	10.799		97,193.51	-106,100.35	-8,906.84			Venture Corp

2284

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			0.000		211,456.68	194,193.97	-211,456.68	-17,262.71	0	0.00	
PINACL SG1D250011 Haw Par Corp LTD											
SAL	08-20-03	349649	-543.000	2.458		1,334.83	0.00	1,334.83			Haw Par Corp
TOTAL -			-543.000		0.00	1,334.83	0.00	1,334.83	0	0.00	
PINACL SG1F900013 Neptune Orient Lines LTD											
PUR	09-23-03	352453	87,000.000	1.102	95,858.81				87,000	95,858.81	Neptune Orient Lines
PUR	10-01-03	354329	78,000.000	1.151	89,811.89				78,000	89,811.89	Neptune Orient Lines LTD
TOTAL -			165,000.000		185,670.70	0.00	0.00	0.00	165,000	185,670.70	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL SG1F900013 Neptune Orient Lines LTD	165,000.000	SHRS	1.125	185,670.70	1.271	12-31-03	209,715.00	24,044.30

PINACL SG1L010017 DBS Bank LTD											
PUR	10-20-03	354741	25,000.000	8.628	215,709.60				25,000	215,709.60	DBS Bank LTD
TOTAL -			25,000.000		215,709.60	0.00	0.00	0.00	25,000	215,709.60	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL SG1L010017 DBS Bank LTD	25,000.000	SHRS	8.628	215,709.60	8.656	12-31-03	216,400.00	690.40

PINACL SG1M068996 MSF Technology											
PUR	11-13-03	358971	160,000.000	0.715	114,400.64				160,000	114,400.64	MFS Technology
PUR	11-20-03	358986	54,000.000	0.731	39,493.95				54,000	39,493.95	MSF Technology
TOTAL -			214,000.000		153,894.59	0.00	0.00	0.00	214,000	153,894.59	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL SG1M068996 MSF Technology	214,000.000	SHRS	0.719	153,894.59	0.694	12-31-03	148,516.00	-5,378.59

PINACL SG1M310019 United Overseas Bank

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04
FC3.3

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	03-07-03	334465	-4,300.000	5.474		23,536.30	-34,728.67	-11,192.37			United Overseas Bank
SAL	03-07-03	334465	-9,000.000	5.474		49,262.01	-65,769.43	-16,507.42			United Overseas Bank
	TOTAL -		-13,300.000		0.00	72,798.31	-100,498.10	-27,699.79	0	0.00	
PINACL	SG1R310022	Keppel Land LTD									
PUR	02-27-03	333290	125,000.000	0.749	93,668.40				0	0.00	Keppel Land LTD
PUR	04-08-03	339283	125,000.000	0.697	87,115.78				0	0.00	Keppel Land LTD
SAL	04-28-03	339284	-250,000.000	0.560		139,994.51	-180,784.18	-40,789.67			Keppell Land LTD
	TOTAL -		0.000		180,784.18	139,994.51	-180,784.18	-40,789.67	0	0.00	
PINACL	SHKP	Sun Hung Kai Properties									
SAL	04-11-03	339264	-7,000.000	4.516		31,615.00	-62,078.22	-30,463.22			Sun Hung Kai
PUR	08-25-03	349647	30,000.000	7.325	219,754.23				30,000	219,754.23	Sun Hung Kai Properties
	TOTAL -		23,000.000		219,754.23	31,615.00	-62,078.22	-30,463.22	30,000	219,754.23	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL SHKP	Sun Hung Kai Properties	34,000.000	SHRS	7.674	260,917.77	8.274	12-31-03	248,220.00	-12,697.77

PINACL	SID	CIA Siderurgica NACL									
PUR	12-29-03	362521	2,000.000	55.072	110,265.20				2,000	110,265.20	CIA Siderurgica NACL
	TOTAL -		2,000.000		110,265.20	0.00	0.00	0.00	2,000	110,265.20	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL SID	CIA Siderurgica NACL	2,000.000	SHRS	55.133	110,265.20	53.600	12-31-03	107,200.00	-3,065.20

PINACL STST Synthes-Stratec Inc

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL STST	Synthes-Stratec Inc	365.000	SHRS	1,085.398	396,170.31				-396,170.31

PINACL SZHK20 Samsung Electronic GDR NV 2nd

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	06-02-03	343806	2,450.000	64.439	158,664.44				2,450	158,664.44	Samsung Electronic GDR N
PUR	06-04-03	343018	2,400.000	68.600	164,640.00				2,400	164,640.00	Samsung Electronix
	TOTAL -		4,850.000		323,304.44	0.00	0.00	0.00	4,850	323,304.44	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL SZMX20	Samsung Electronic GDR NV 2nd	2,550.000	SHRS	64.912	165,524 44	103.021	12-31-03	699,651.85	334,127.41

PINACL TARO	Taro Pharmaceuticals Ind.										
PUR	03-14-03	334480	2,000.000	35.218	70,435.60				N	0.00	Taro Pharmaceuticals Indust
PUR	03-21-03	334485	425.000	36.729	15,635.53				N	0.00	Taro Pharmaceutical Indust.
PUR	06-04-03	343010	2,850.000	48.990	139,792.50				0	0.00	Taro Pharmaceuticals Industrie
SAL	12-30-03	362535	-5,275.000	63.952		337,016.62	-225,863.63	111,152.99			Taro Pharmaceuticals
	TOTAL -		0.000		225,863.63	337,016.62	-225,863.63	111,152.99	0	0.00	

PINACL TCP	Telesp Cellular Participacoes										
PUR	04-25-03	339315	28,500.000	3.859	111,688.65				0	0.00	Telesp Cellular Participacoes
PUR	05-12-03	342183	26,500.000	3.991	107,094.45				0	0.00	Telesp Cellular Participacoes
SAL	08-01-03	349245	-25,000.000	3.256		79,888.69	-97,972.50	-18,083.81			Telesp Cellular Participacoes
SAL	08-04-03	349246	-30,000.000	3.086		90,760.66	-120,810.60	-30,049.94			Telesp Cellular Participacoes
	TOTAL -		0.000		218,783.10	170,649.35	-218,783.10	-48,133.75	0	0.00	

PINACL TEVA	Teva Pharmaceutical Industries										
SAL	08-21-03	349654	-2,100.000	57.295		120,187.02	-55,077.75	65,109.27			Teva Pharmaceutical Industries
SAL	11-21-03	359018	-1,930.000	54.674		105,399.69	-59,037.73	46,361.96			TEVA Pharmaceuticals
SAL	12-30-03	362537	-1,770.000	56.630		100,124.55	-60,923.40	39,201.15			Teva Pharmaceutical
	TOTAL -		-5,800.000		0.00	325,711.26	-175,038.88	150,672.38	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL TEVA	Teva Pharmaceutical Industries	1,725.000	SHRS	64.914	111,975.79	60.190	11-30-03	0.00	-111,975.79

PINACL TH0143010E Land & Houses Public Company

2287

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	06-26-03	345462	1,000,000.000	0.229	228,841.21				774,000	177,123.10	Land & Houses Public Company
SAL	12-04-03	361109	-226,000.000	0.313		70,763.50	-51,718.11	19,045.39			Land & Houses Public Co
	TOTAL -		774,000.000		228,841.21	70,763.50	-51,718.11	19,045.39	774,000	177,123.10	
PINACL TH16010017 Kasikornbank PCL - Foreign											
PUR	07-01-03	348292	230,000.000	0.942	216,719.85				0	0.00	Kasikornbank PCL-Foreign
SAL	10-22-03	354905	-230,000.000	1 059		243,577.07	-216,719.85	26,857.22			Kasikornbank PCL
	TOTAL -		0.000		216,719.85	243,577.07	-216,719.85	26,857.22	0	0.00	
PINACL TH3010Z12 The Siam Cement Public Company											
PUR	07-01-03	348293	60,000.000	3.758	225,468.22				60,000	225,468.22	The Siam Cement Public Company
	TOTAL -		60,000.000		225,468.22	0.00	0.00	0.00	60,000	225,468.22	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL TH3010Z12 The Siam Cement Public Company	60,000.000	SHRS	3.758	225,468.22	6.967	12-31-03	418,020.00	192,551.78

PINACL TSM Taiwan Semiconductor Manufact.											
PUR	09-05-03	351482	16,000.000	12.194	196,056.00				0	0.00	Taiwan Semiconductor Manufact
SAL	12-18-03	361118	-16,000.000	9.815		156,075.85	-196,056.00	-39,980.15			Taiwan Semiconductor Manufact.
	TOTAL -		0.000		196,056.00	156,075.85	-196,056.00	-39,980.15	0	0.00	
PINACL US44918770 Hyundia Motorci-GDR											
SAL	03-06-03	334463	-9,100.000	9.477		85,804.97	-141,939.07	-56,134.10			Hyundia Motorci-GDR
PUR	05-13-03	342185	6,500.000	12.569	82,108.30				6,500	82,108.30	Hyundia Motorci-GDR
PUR	05-14-03	342187	6,000.000	12.417	74,872.70				6,000	74,872.70	Hyundia Motorci-GDR
	TOTAL -		3,400.000		156,981.00	85,804.97	-141,939.07	-56,134.10	12,500	156,981.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL US44918770 Hyundia Motorci-GDR	12,500.000	SHRS	12.558	156,981.00	21.250	12-31-03	265,625.00	108,644.00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PINACL USX6074611 OPT Bank RT REG $ GDR											
PUR	03-12-03	334472	1,700.000	20.168	34,285.26				0	0.00	OPT Bank RT Reg
PUR	04-08-03	339305	5,900.000	20.650	121,835.00				0	0.00	OTP Bank RT
PUR	04-08-03	339307	1,000.000	20.750	20,750.00				0	0.00	OTP Bank RT
SAL	07-02-03	348335	-2,400.000	20.071		48,027.36	-43,756.56	4,270.80			OPT Bank
SAL	07-02-03	348335	-8,600.000	20.071		172,098.04	-176,870.26	-4,772.22			OPT Bank
	TOTAL -		-2,400.000		176,870.26	220,125.40	-220,626.82	-501.42	0	0.00	
PINACL USY0546X12 Bajaj Auto Limited											
PUR	09-29-03	353104	1,450.000	17.150	24,991.84				1,450	24,991.84	Bajaj Auto Limited
PUR	09-30-03	353105	6,050.000	17.151	104,282.37				6,050	104,282.37	Bajaj Auto Limited
	TOTAL -		7,500.000		129,274.21	0.00	0.00	0.00	7,500	129,274.21	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL USY0546X12 Bajaj Auto Limited	7,500.000	SHRS	17.237 129,274.21	24.300	12-31-03	182,250.00	52,975.79

PINACL VIP	Open JT STK Co-Vimpel Commun.										
PUR	12-01-03	361058	1,430.000	70.158	100,425.61				1,430	100,425.61	Open JT STK Co-Vimpel Commun.
	TOTAL -		1,430.000		100,425.61	0.00	0.00	0.00	1,430	100,425.61	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL VIP Open JT STK Co-Vimpel Commun.	1,430.000	SHRS	70.228 100,425.61	73.500	12-31-03	105,105.00	4,679.39

PINACL WJA	WestJet Airlines										
SAL	06-20-03	343819	-9,500.000	11.783		111,935.33	-112,235.47	-300.14			WestJet Airlines LTD
	TOTAL -		-9,500.000		0.00	111,935.33	-112,235.47	-300.14	0	0.00	
PINACL WSH	Willie Group Holdings LTD										
PUR	04-07-03	339303	3,900.000	28.900	112,905.00				0	0.00	Willie Group Holdings
PUR	05-12-03	342184	3,000.000	31.060	94,080.00				0	0.00	Willie Group Holdings LTD
PUR	06-04-03	343017	5,700.000	32.268	184,270.17				0	0.00	Willie Group Holdings

2289

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	10-14-03	354339	-3,300.000	30.349		99,950.33	-107,459.46	-7,509.13			Willis Group Holdings
SAL	10-14-03	354339	-12,600.000	30.349		381,628.54	-391,255.17	-9,626.63			Willis Group Holdings
	TOTAL -		-3,300.000		391,255.17	481,578.87	-498,714.63	-17,135.76	0	0.00	
PINACL XS82127167 Anhui Conch Cement Company LTD											
PUR	06-17-03	343022	148,000.000	0.604	89,427.49				148,000	89,427.49	Anhui Conch Cement Company LTD
PUR	08-05-03	349237	122,000.000	0.718	87,573.15				122,000	87,573.15	Anhui Conch Cement Company
	TOTAL -		270,000.000		177,000.64	0.00	0.00	0.00	270,000	177,000.64	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
PINACL XS82127167 Anhui Conch Cement Company LTD	270,000.000	SHRS	0.656 177,000.64	1.287	12-31-03	347,490.00	170,489.36
REPORT TOTAL -	112,678,834.54		80,021,621.15	-78,340,890.84	1,680,730.31	11,155,834	56,854,741.94

2290

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
HEDGE	DOWNTOWN	Downtown Associates I, L.P.									
PUR	01-03-03	328831	0.000	0.000	3,000,000.00				0	3,000,000.00	1/3 Invest in Downtown Assoc
	TOTAL -		0.000		3,000,000.00	0.00	0.00	0.00	0	3,000,000.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
HEDGE	DOWNTOWN Downtown Associates I, L.P.	0.000	DLRS	0.000	3,000,000.00			3,003,961.44	3,961.44

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
HEDGE	FUNDMGRFD	Fundamental Managers Fund									
PUR	03-03-03	333732	0.000	0.000	2,300,000.00				0	2,308,695.04	3/3 Invest in Fundamental Mgrs
PUR	07-07-03	347208	0.000	0.000	2,865,000.00				0	2,865,000.00	Investment in Fundamental Mgrs
	TOTAL -		0.000		5,165,000.00	0.00	0.00	0.00	0	5,173,695.04	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
HEDGE	FUNDMGRFD Fundamental Managers Fund	0.000	DLRS	0.000	15,692,167.00			16,859,114.00	1,166,947.00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
HEDGE	HORSEMAN	Horseman Global Fund L.P									
PUR	02-27-03	332606	0.000	0.000	3,000,000.00				0	3,000,000.00	Invest. in Horseman Global Fd
	TOTAL -		0.000		3,000,000.00	0.00	0.00	0.00	0	3,000,000.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
HEDGE	HORSEMAN Horseman Global Fund L.P.	0.000	DLRS	0.000	3,000,000.00			3,060,788.22	60,788.22

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
HEDGE	JPMSWEEP	J P Morgan Money Market Sweep									
PUR	01-02-03	329676	60,000.000	1.000	60,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	01-03-03	329678	-100,000.000	1.000		100,000.00	-100,000.00	0.00			JPM Prime MM Sweep Fund
PUR	01-07-03	329677	68,090.840	1.000	68,090.84				0	0.00	JPM Prime MM Sweep Fund
SAL	03-24-03	332586	68,095.470	1.000		68,095.47	-68,095.47	0.00			JPM Prime MM Sweep Fund
PUR	02-26-03	332585	3,000,000.000	1.000	3,000,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	02-27-03	332587	-3,000,000.000	1.000		3,000,000.00	-3,000,000.00	0.00			JPM Prime MM Sweep Fund

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	03-03-03	335320	2,280,000.000	1.000	2,280,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	03-05-03	335321	-2,320,000.000	1.000		2,320,000.00	-2,320,000.00	0.00			JPM Prime MM Sweep Fund
PUR	03-11-03	335322	4.630	1.000	4.63				0	0.00	JPM Prime MM Sweep Fund
PUR	05-30-03	341367	9,284,629.170	1.000	9,284,629.17				0	0.00	JPM Prime MM Sweep Fund
SAL	06-02-03	344866	-9,173,800.000	1.000		9,173,800.00	-9,173,800.00	0.00			JPM Prime MM Sweep Fund
SAL	06-10-03	344867	-738.000	1.000		738.00	-738.00	0.00			JPM Prime MM Sweep Fund
SAL	07-21-03	347759	-12,761.480	1.000		12,761.48	-12,761.48	0.00			JPM Prime MM Sweep Fund
SAL	08-05-03	348976	-49,000.000	1.000		49,000.00	-49,000.00	0.00			JPM Prime MM Sweep Fund
PUR	08-06-03	348975	75,000.000	1.000	75,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	08-07-03	348977	-75,000.000	1.000		75,000.00	-75,000.00	0.00			JPM Prime MM Sweep Fund
SAL	08-19-03	349501	-1,232.290	1.000		1,232.29	-1,232.29	0.00			JPM Prime MM Sweep Fund
SAL	09-18-03	352517	-11,540.800	1.000		11,540.80	-11,540.80	0.00			JPM Prime MM Sweep Fund
SAL	10-30-03	355309	-6,500.000	1.000		6,500.00	-6,500.00	0.00			JPM Prime MM Sweep Fund
PUR	12-02-03	360059	145,666.000	1.000	145,666.00				39,386	39,386.39	JPM Prime MM Sweep Fund
SAL	12-03-03	360061	-139,000.000	1.000		139,000.00	-139,000.00	0.00			JPM Prime MM Sweep Fund
SAL	12-17-03	360831	-8,336.210	1.000		8,336.21	-8,336.21	0.00			JPM Prime MM Sweep Fund
	TOTAL -		-52,613.610		14,913,390.64	14,966,004.25	-14,966,004.25	0.00	39,386	39,386.39	

CURRENT HOLDINGS -

ENTITY	INVESTMENT	DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
HEDGE	JPMSWEEP	J P Morgan Money Market Sweep	55,386.390	UNTS	1.000	55,386.39	1.000	12-31-03	39,386.39	-16,000.00

HEDGE LEEMUNDER Lee Munder Investment Partners

CURRENT HOLDINGS -

ENTITY	INVESTMENT	DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
HEDGE	LEEMUNDER	Lee Munder Investment Partners	0.000	DLRS	0.000	2,458,075.00			3,142,545.69	684,470.69

HEDGE Mesirow Mesirow Equity Opportunity Fd

PUR	06-02-03	343744	0.000	0.000	9,000,000.00				0	9,000,000.00	Mesirow Equity Opportunity Fd
	TOTAL -		0.000		9,000,000.00	0.00	0.00	0.00	0	9,000,000.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT	DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
HEDGE	Mesirow	Mesirow Equity Opportunity Fd	0.000	DLRS	0.000	9,000,000.00			9,578,673.00	578,673.00

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TDN DATE	TDN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
HEDGE	VINIX	Vanguard Institutional Index									
SAL	01-02-03	328020	-34,885.120	83.130		2,900,000.00	-2,991,399.01	-91,399.01			1/2 Vang'd Inst Index redempt
SAL	02-24-03	332588	-39,303.026	76.330		3,000,000.00	-3,370,234.49	-370,234.49			Vanguard Inst'l Index redempt.
SAL	05-28-03	341344	-91,887.304	87.450		8,035,544.73	-7,879,336.37	156,208.36			5/28 Vang'd Inst. Indx redempt
	TOTAL -		-166,075.450		0.00	13,935,544.73	-14,240,969.87	-305,425.14	0	0.00	
HEDGE	VITSX	Vanguard Total Stock Mkt Index									
SAL	08-01-03	350593	-3,292.362	22.780		75,000.00	-67,098.34	7,901.66			8/1 Vanguard Total Stock sale
SAL	08-28-03	350594	-6,226.013	23.450		146,000.00	-123,452.48	22,547.52			8/28 Vanguard Total Stock sale
PUR	10-02-03	353845	2,310.925	23.800	55,000.00				2,311	55,000.00	10/2 Vang'd Total Stock Mkt
PUR	11-04-03	356905	1,608.363	24.870	40,000.00				1,608	40,000.00	11/4 Vang'd Total Stock purch
SAL	11-28-03	359296	-5,828.972	24.990		145,666.00	-110,633.89	35,032.11			Vang'd Total Stk Mkt redempt.
	TOTAL -		-11,428.059		95,000.00	366,666.00	-301,184.71	65,481.29	3,919	95,000.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
HEDGE	VITSX Vanguard Total Stock Mkt Index	85,066.438	UNTS	19.637	1,670,426.90	26.000	12-31-03	2,211,727.39	541,300.49

HEDGE	VTSAX	Vanguard Total Stock Mkt Index									
PUR	03-05-03	334357	122,233.930	18.980	2,320,000.00				0	0.00	3/5 Vang'd Total Stock Mkt Ind
PUR	04-04-03	337122	20,389.610	20.020	408,200.00				0	0.00	4/4 Vanguard Total Stock Mkt
PUR	05-07-03	339867	6,003.752	21.320	128,000.00				0	0.00	Vanguard Total Stock Mkt-Admir
SAL	05-28-03	341346	-59,198.543	21.960		1,300,000.00	-1,123,588.35	176,411.65			5/28 Vang'd TS Mkt Adm redempt
	TOTAL -		89,428.749		2,856,200.00	1,300,000.00	-1,123,588.35	176,411.65	0	0.00	

HEDGE WELCH Welch Entrepreneurial Fund

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
HEDGE	WELCH Welch Entrepreneurial Fund	0.000	DLRS	0.000	2,642,149.00			2,738,388.00	96,239.00

REPORT TOTAL -	38,029,590.64	30,568,214.96	-30,631,747.18	-63,532.20	43,306	20,308,081.43

2293

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

SORT OPTION: BY ENTITY, INV

ENTITY TYPE	INVESTMENT DESCRIPTION	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
LIGHT	JPMSWEEP	J P Morgan Money Market Sweep										
PUR		03-04-03	333772	15,000.000	1.000	15,000.00				14,293	14,293.00	JPM Prime MM Sweep Fund
SAL		06-10-03	342595	-677.000	1.000		677.00	-677.00	0.00			JPM Prime MM Sweep Fund
SAL		07-16-03	346554	-30.000	1.000		30.00	-30.00	0.00			JPM Prime MM Sweep Fund
	TOTAL -			14,293.000		15,000.00	707.00	-707.00	0.00	14,293	14,293.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LIGHT	JPMSWEEP	J P Morgan Money Market Sweep	14,293.000	UNTS	1.000	14,293.00	1.000	12-31-03	14,293.00	0.00

LIGHT	LIGHT2	Lighthouse Diversified Fund II								
PUR	03-03-03	333742	0.000	0.000	3,400,000.00			0	3,400,000.00	3/3 Invest. in Lighthouse II
PUR	06-02-03	342596	0.000	0.000	3,750,000.00			0	3,750,000.00	6/2 Investment in Lighthouse
	TOTAL -		0.000		7,150,000.00	0.00	0.00	0.00	0	7,150,000.00

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
LIGHT	LIGHT2	Lighthouse Diversified Fund II	0.000	DLRS	0.000	7,150,000.00			7,493,019.06	343,019.06

REPORT TOTAL -	7,165,000.00	707.00	-707.00	0.00	14,293	7,164,293.00

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

SORT OPTION: BY ENTITY, INV

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
ARFUND CAFIP		MSTC Fixed Income Trust I									
PUR	03-03-03	333714*2	0.000	0.000	18,465,000.00				0	-788.39	3/3 MSFIT I capital contri.
PUR	05-05-03	339852*1	0.000	0.000	647,700.00				0	788.39	5/5 MSFIT I capital contri.
SAL	06-03-03	342481*62	0.000	0.000		16,000,000.00	-16,000,000.00	0.00			MSFIT I Capital withdrawal
SAL	10-01-03	353912*1	0.000	0.000		3,000,000.00	-3,000,000.00	0.00			MSFIT I Capital withdrawal
SAL	10-16-03	353916*1	0.000	0.000		180,322.70	-180,322.70	0.00			MSFIT I complete withdrawal
SAL	10-31-03	356143*7	0.000	0.000		33.30	-33.30	0.00			Corrected MSFIT I capital wdrw
SAL	11-30-03	358107	0.000	0.000		301,125.98	-301,125.98	0.00			To close MSFIT I
	TOTAL -		0.000		19,112,700.00	19,481,481.98	-19,481,481.98	0.00	0	0.00	
ARFUND JPMSWEEP		J P Morgan Money Market Sweep									
PUR	03-06-03	335338	400,000.000	1.000	400,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	03-07-03	335339	-390,000.000	1.000		390,000.00	-390,000.00	0.00			JPM Prime MMSweep Fund
PUR	04-01-03	338925	17.050	1.000	17.05				0	0.00	JPM Prime MM Sweep Fund
SAL	04-22-03	338927	-30.000	1.000		30.00	-30.00	0.00			JPM Prime MM sweep Fund
SAL	05-16-03	341663	-17.050	1.000		17.05	-17.05	0.00			JPM Prime MM Sweep Fund
SAL	06-10-03	344864	-697.000	1.000		697.00	-697.00	0.00			JPM Prime MM SWeep Fund
PUR	07-02-03	348139	20,086,146.740	1.000	20,086,146.74				0	0.00	JPM Prime MM Sweep Fund
SAL	07-03-03	348140	-20,075,000.000	1.000		20,075,000.00	-20,075,000.00	0.00			JPM Prime MM Sweep Fund
SAL	07-16-03	348141	-60.000	1.000		60.00	-60.00	0.00			JPM Prime MM Sweep Fund
SAL	07-22-03	348142	-10,862.470	1.000		10,862.47	-10,862.47	0.00			JPM Prime MM Sweep Fund
PUR	08-07-03	348952	10,000.000	1.000	10,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	08-18-03	349498	-284.270	1.000		284.27	-284.27	0.00			JPM Prime MM Sweep Fund
SAL	08-19-03	349499	-10,404.910	1.000		10,404.91	-10,404.91	0.00			JPM Prime MM Sweep Fund
PUR	10-01-03	353888	14,000.000	1.000	14,000.00				0	0.00	JPM Prime MM Sweep Fund
PUR	10-16-03	354554	180,322.700	1.000	180,322.70				153,071	153,070.79	JPM Prime MM Sweep Fund
SAL	10-21-03	354555	-60.000	1.000		60.00	-60.00	0.00			JPM Prime MM Sweep Fund
PUR	11-04-03	356893	2,000.000	1.000	2,000.00				2,000	2,000.00	JPM Prime MM Sweep Fund
PUR	11-14-03	356894	33.300	1.000	33.30				33	33.30	JPM Prime MM Sweep Fund
SAL	12-05-03	360073	-50,000.000	1.000		50,000.00	-50,000.00	0.00			JPM Prime MM Sweep Fund
	TOTAL -		155,104.090		20,692,519.79	20,537,415.70	-20,537,415.70	0.00	155,104	155,104.09	

2295

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TIN DATE	TIN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ARFUND JPMSWEEP	J P Morgan Money Market Sweep			153,014.090 UNITS	1.000	153,014.09	1.000	12-31-03	155,104.09	2,090.00
ARFUND PACIFIC	Pacific Capital Growth, LLC										
PUR	03-03-03	333743	0.000	0.000	11,000,000.00				0	11,000,000.00	3/3 Invest. in Pacific Growth
PUR	06-04-03	344863	0.000	0.000	20,410,000.00				0	20,410,000.00	6/4 Investment in Pacific Cap.
PUR	07-02-03	347211	0.000	0.000	20,075,000.00				0	20,075,000.00	Invest in Pacific Cap Growth
PUR	08-06-03	348951	0.000	0.000	3,914,000.00				0	3,914,000.00	Invest in Pacific Cap. Growth
PUR	10-01-03	353914	0.000	0.000	6,300,000.00				0	6,300,000.00	10/1 Invest in Pacific Cap Grw
PUR	11-04-03	356892	0.000	0.000	700,000.00				0	700,000.00	11/4 Investment in Pacific Cap
PUR	12-02-03	360065	0.000	0.000	500,000.00				0	500,000.00	Invest. in Pacific Cap Growth
	TOTAL -		0.000		62,899,000.00	0.00	0.00	0.00	0	62,899,000.00	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ARFUND PACIFIC	Pacific Capital Growth, LLC			0.000 DLRS	0.000	67,299,000.00			66,840,011.00	-458,989.00
ARFUND VWLUX	Vanguard Ltd Term Tax Ex Fund										
PUR	04-04-03	338931	345,528.455	11.070	3,825,000.00				326,131	3,610,269.55	Vang'd Limited Term T/E Admir.
SAL	08-28-03	350559	-45,190.563	11.020		498,000.00	-504,367.80	-6,367.80			8/28 Vanguard L-T T/E sale
SAL	12-05-03	360070	-9,066.183	11.030		100,000.00	-100,362.65	-362.65			Vang'd Limited-Term redemption
	TOTAL -		291,271.709		3,825,000.00	598,000.00	-604,730.45	-6,730.45	326,131	3,610,269.55	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	ARFUND VWLUX	Vanguard Ltd Term Tax Ex Fund			326,130.944 UNITS	11.070	3,610,269.55	11.070	12-31-03	3,610,269.55	0.00
ARFUND VWIUX	Vanguard Int Term Tx Exempt Fd										
PUR	03-07-03	333738	28,425.656	13.720	390,000.00				0	0.00	3/7 Vang'd Int Term purchase
	TOTAL -		28,425.656		390,000.00	0.00	0.00	0.00	0	0.00	

2296

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
REPORT TOTAL -					106,919,219.79	40,616,897.68	-40,623,628.13	-6,730.45	481,235	66,664,373.64	
CURRENT POSITIONS:							LONG POSITIONS		481,235	66,665,162.03	
							SHORT POSITIONS		0	-788.39	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

SORT OPTION: BY ENTITY, INV

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MSHYX	4450Z	LSIT Institutional High Income									
PUR	06-05-03	342519	1,437,484.663	6.520	9,372,400.00				1,437,485	9,372,400.00	Loomis-Sayles Inst. High Inc
PUR	07-02-03	347217	536,666.667	6.600	3,542,000.00				536,667	3,542,000.00	Purchase of LSIT Instit. HI fd
PUR	08-06-03	349194	273,291.925	6.440	1,760,000.00				273,292	1,760,000.00	Investment in Loomis Sayles
PUR	09-02-03	351153	11,818.182	6.600	78,000.00				11,818	78,000.00	9/2 Loomis Inst. High Inc purc
	TOTAL -		2,259,261.437		14,752,400.00	0.00	0.00	0.00	2,259,261	14,752,400.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MSHYX	4450Z LSIT Institutional High Income	2,259,261.437	UNTS	6.530	14,752,400.00	7.060	12-31-03	15,950,385.75	1,197,985.75

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MSHYX	GHYIX	Goldman Sachs High Yield Fund									
PUR	11-04-03	356909	9,380.863	10.660	100,000.00				9,381	100,000.00	Purchase Goldman Sachs HY Fund
PUR	12-01-03	360039	92,850.511	10.770	1,000,000.00				92,851	1,000,000.00	Goldman Sachs HY Fund purchase
PUR	12-10-03	360040	92,936.803	10.760	1,000,000.00				92,937	1,000,000.00	Goldman Sachs HY Fund purchase
PUR	12-12-03	360054	139,275.766	10.770	1,500,000.00				139,276	1,500,000.00	Goldman Sachs HY Fund purchase
	TOTAL -		334,443.943		3,600,000.00	0.00	0.00	0.00	334,444	3,600,000.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MSHYX	GHYIX Goldman Sachs High Yield Fund	425,768.144	UNTS	10.804	4,600,000.00	10.840	12-31-03	3,625,372.34	-974,627.66

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MSHYX	GMCDX	GMO Emerging Country Debt III									
PUR	06-04-03	342522	335,116.715	11.140	3,751,960.00				335,117	3,751,960.00	GMO Emerging Country Debt III
PUR	07-10-03	347240	189.510	10.610	2,010.70				190	2,010.70	Reinvested GMO L/T Capital Gn
PUR	07-10-03	347241	10,138.781	10.610	107,572.47				10,139	107,572.47	Reinvested GMO S/T Capital Gn
PUR	10-01-03	353787	8,291.667	11.040	92,000.00				8,292	92,000.00	GMO Emerg. Ctry Debt purchase
PUR	11-04-03	356908	8,836.590	11.260	100,000.00				8,837	100,000.00	Purchase GMO Emerg Ctry Debt
PUR	12-23-03	361529	15,162.793	10.330	156,631.65				15,163	156,631.65	Reinvest S/T Cap Gn-GMO Emerg
	TOTAL -		377,736.056		4,210,174.82	0.00	0.00	0.00	377,736	4,210,174.82	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MSHYX GMCDX	GMO Emerging Country Debt III				377,736.056	UNTS	11.146	4,210,174.82	10.410	12-31-03	3,932,232.34	-277,942.48
MSHYX JPMSWEEP	J P Morgan Money Market Sweep											
PUR	07-29-03	347731	46,916.340	1.000	46,916.34				0	0.00	JPM Prime MM Sweep Fund
PUR	08-06-03	349198	21,473.560	1.000	21,473.56				0	0.00	JPM Prime MM Sweep Fund
PUR	08-07-03	349199	7,000.000	1.000	7,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	08-12-03	349200	-68,389.900	1.000		68,389.90	-68,389.90	0.00			JPM Prime MM Sweep Fund
SAL	08-19-03	349505	-5,576.700	1.000		5,576.70	-5,576.70	0.00			JPM Prime MM Sweep Fund
PUR	11-28-03	357730	1,000,000.000	1.000	1,000,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	12-01-03	360044	-1,000,000.000	1.000		1,000,000.00	-1,000,000.00	0.00			JPM Prime MM Sweep Fund
PUR	12-02-03	360041	1,000.000	1.000	1,000.00				0	0.00	JPM Prime MM Sweep Fund
PUR	12-04-03	360042	366.890	1.000	366.89				0	0.00	JPM Prime MM Sweep Fund
PUR	12-08-03	360043	1,000,000.000	1.000	1,000,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	12-10-03	360046	-1,000,000.000	1.000		1,000,000.00	-1,000,000.00	0.00			JPM Prime MM Sweep Fund
PUR	12-16-03	360833	1,500,000.000	1.000	1,500,000.00				566	565.94	JPM Prime MM Sweep Fund
SAL	12-17-03	360834	-2,224.250	1.000		2,224.25	-2,224.25	0.00			JPM Prime MM Sweep Fund
SAL	12-18-03	360835	-1,500,000.000	1.000		1,500,000.00	-1,500,000.00	0.00			JPM Prime MM Sweep Fund
TOTAL -			565.940		3,576,756.79	3,576,190.85	-3,576,190.85	0.00	566	565.94	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MSHYX JPMSWEEP	J P Morgan Money Market Sweep				109.050	UNTS	1.000	109.05	1.000	12-31-03	565.94	456.89
MSHYX VWALX	Vanguard High-Yield TE Admiral											
PUR	06-04-03	342520	483,098.901	10.920	5,275,440.00				157,328	1,718,016.36	Vanguard High-Yield T/E Admir.
SAL	08-14-03	349196	-492.424	10.560		5,200.00	-5,377.27	-177.27			Vanguard High Yield redemption
SAL	11-26-03	357726	-92,850.511	10.770		1,000,000.00	-1,013,927.58	-13,927.58			Vanguard Int T/E High Yield Fd
SAL	12-05-03	360047	-93,023.256	10.750		1,000,000.00	-1,015,813.96	-15,813.96			Vanguard HY T/E Fund redempt.
SAL	12-12-03	360053	-139,405.204	10.760		1,500,000.00	-1,522,304.83	-22,304.83			Vang'd HY T/E Fund redempt.
TOTAL -			157,327.506		5,275,440.00	3,505,200.00	-3,557,423.64	-52,223.64	157,328	1,718,016.36	

Market Street Trust Company

PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

04-26-04

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ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY	INVESTMENT DESCRIPTION				QUANTITY	UOM	AVERAGE COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MSHYX	VWALX	Vanguard High-Yield TE Admiral			66,431.438	UNTS	10.919	725,339.89	10.810	12-31-03	1,700,710.34	975,370.45
REPORT TOTAL -					31,414,771.61	7,081,390.85	-7,133,614.49	-52,223.64	3,129,335	24,281,157.12	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

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ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MSREAL JPMSWEEP		J P Morgan Money Market Sweep									
PUR	08-06-03	350256	25,000.000	1.000	25,000.00				25,000	25,000.00	JPM Prime MM Sweep Fund
TOTAL -			25,000.000		25,000.00	0.00	0.00	0.00	25,000	25,000.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MSREAL JPMSWEEP	J P Morgan Money Market Sweep	25,000.000 UNTS	1.000	25,000.00	1.000	12-31-03	25,000.00	0.00

MSREAL VGSLX		Vanguard REIT Index Fund Admir						
PUR	07-03-03	347243	161,328.348	57.500	9,276,380.00	161,328	9,276,380.00	Purchase Vanguard REIT Index
PUR	10-02-03	353919	32.589	61.370	2,000.00	33	2,000.00	10/2 Vanguard REIT purchase
PUR	11-04-03	356886	804.764	62.130	50,000.00	805	50,000.00	11/4 Vanguard REIT purchase
TOTAL -			162,165.701		9,328,380.00	0.00	0.00	0.00	162,166	9,328,380.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MSREAL VGSLX	Vanguard REIT Index Fund Admir	165,132.180 UNTS	57.702	9,528,380.00	64.760	12-31-03	10,501,850.80	973,470.80

STATE 36380R914 Spec. Warrants-Galleon Energy

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE 36380R914	Spec. Warrants-Galleon Energy	7,100.000 SHRS	2.993	21,251.01				-21,251.01

STATE 62821F913 Mustang Resources Inc

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE 62821F913	Mustang Resources Inc	6,900.000 SHRS	3.388	23,375.13				-23,375.13

STATE 723655XX9 Pioneer drilling Co. Restricte

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE 723655XX9	Pioneer drilling Co. Restricte	6,200.000 SHRS	5.400	33,480.00				-33,480.00

2301

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
STATE	ACI	Arch Coal Inc									
PUR	07-08-03	348177	33,900.000	22.742	771,614.85				33,900	771,614.85	Arch Coal Inc
PUR	12-03-03	360197	1,900.000	27.599	52,533.10				1,900	52,533.10	Arch Coal Inc
	TOTAL -		35,800.000		824,147.95	0.00	0.00	0.00	35,800	824,147.95	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE	ACI Arch Coal Inc	40,600.000	SHRS	23.486	953,524.32	31.170	12-31-03	1,115,886.00	162,361.68

STATE	BEXP	Brigham Exploration Company									
PUR	08-11-03	349181	18,500.000	5.083	94,954 95				18,500	94,954.95	Brigham Exploration
PUR	08-13-03	349183	3,000.000	5.000	15,150 00				3,000	15,150.00	Brigham Exploration
PUR	08-18-03	350241	5,000.000	5.180	26,150.00				5,000	26,150.00	Brigham Exploration Co
PUR	08-19-03	350242	7,400.068	5 250	39,220.00				7,400	39,220.00	Brigham Exploration Co
PUR	08 20-03	350243	11,600.000	5.211	61,022.96				11,600	61,022.96	Brigham Exploration Co
PUR	08-22-03	350244	4,500.000	5.264	23,912.10				4,500	23,912.10	Brigham Exploration Co
	TOTAL -		50,000.000		260,410.01	0.00	0.00	0.00	50,000	260,410.01	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE	BEXP Brigham Exploration Company	50,000.000	SHRS	5.208	260,410.01	8.029	12-31-03	401,450.00	141,039.99

STATE	BJS	BJ Services Co									
PUR	12-09-03	360214	4,500.000	35.834	161,477.55				4,500	161,477.55	BJ Services Co
PUR	12-10-03	360216	3,000.000	35.757	107,419.80				3,000	107,419.80	BJ Services Co
	TOTAL -		7,500.000		268,897.35	0.00	0.00	0.00	7,500	268,897.35	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE	BJS BJ Services Co	7,500.000	SHRS	35.853	268,897.35	35.900	12-31-03	269,250.00	352.65

STATE	BTE	Baytex Energy LTD									
PUR	07-07-03	348170	64,600.000	8.656	561,761.60				0	0.00	Baytex Energy LTD

2302

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			68,600.000		561,761.60	0.00	0.00	0.00	0	0.00	
STATE BTE-U Units-Baytex Energy											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE BTE-U Units-Baytex Energy					64,600.000 SHRS	7.761	501,372.23	8.371	12-31-03	540,766.60	39,394.37
STATE BTE/A Baytex Energy Trust Cl A											
PUR	09-19-03	352610	19,900.000	6.961	139,118.91				19,900	139,118.91	Baytex Energy Trust
TOTAL -			19,900.000		139,118.91	0.00	0.00	0.00	19,900	139,118.91	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE BTE/A Baytex Energy Trust Cl A					19,900.000 SHRS	6.991	139,118.91	8.371	12-31-03	166,582.90	27,463.99
STATE BTU Peabody Energy Corp											
PUR	07-07-03	348171	24,200.000	33.500	811,910.00				24,200	811,910.00	Peabody Energy Corp
PUR	12-03-03	360198	600.000	34.456	20,703.78				600	20,703.78	Peabody Energy Corp
PUR	12-04-03	360199	200.000	34.596	6,929.28				200	6,929.28	Peabody Energy Corp
PUR	12-05-03	360200	700.000	36.418	25,527.60				700	25,527.60	Peabody Energy Corp
TOTAL -			25,700.000		865,070.66	0.00	0.00	0.00	25,700	865,070.66	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE BTU Peabody Energy Corp					25,700.000 SHRS	33.660	865,070.66	41.710	12-31-03	1,071,947.00	206,876.34
STATE CNX Consol Energy Inc											
PUR	07-07-03	348172	34,600.000	22.552	782,032.66				34,600	782,032.66	Consol Energy Inc
TOTAL -			34,600.000		782,032.66	0.00	0.00	0.00	34,600	782,032.66	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE CNX Consol Energy Inc					34,600.000 SHRS	22.602	782,032.66	25.900	12-31-03	896,140.00	114,107.34

2303

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
STATE	CNX/R	Consol Energy Inc-Restricted									
PUR	09-18-03	352537	36,000.000	17.820	641,520.00				36,000	621,960.65	Consol Energy-Restricted
TOTAL -			36,000.000		641,520.00	0.00	0.00	0.00	36,000	621,960.65	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE CNX/R	Consol Energy Inc-Restricted	36,000.000	SHRS	14.674	528,262.94	25.900	12-31-03	932,400.00	404,137.06

STATE	COEJF	CI Energy LTD									

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE COEJF	CI Energy LTD	68,700.000	SHRS	1.297	89,076.33				-89,076.33

STATE	CQL	Cequel Energy Inc									
PUR	07-16-03	348199	79,000.000	4.710	374,436.30				39,000	184,848.30	Cequel Energy Inc
SAL	12-15-03	360934	-40,000.000	6.416		255,048.00	-189,588.00	65,460.00			Cequel Energy Inc
TOTAL -			39,000.000		374,436.30	255,048.00	-189,588.00	65,460.00	39,000	184,848.30	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE CQL	Cequel Energy Inc	39,000.000	SHRS	4.740	184,848.30	6.982	12-31-03	272,298.00	87,449.70

STATE	CRCN	Crew Energy Inc									
PUR	09-11-03	352511	2,000.000	2.797	5,594.40				2,000	5,594.40	Crew Energy Inc
PUR	09-11-03	352512	2,100.000	2.770	5,837.79				2,100	5,837.79	Crew Energy Inc
PUR	09-15-03	352513	5,300.000	2.780	14,786.47				5,300	14,786.47	Crew Energy Inc
TOTAL -			9,400.000		26,218.66	0.00	0.00	0.00	9,400	26,218.66	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE CRCN	Crew Energy Inc	30,933.000	SHRS	2.800	86,608.03	2.924	12-31-03	90,448.09	3,840.06

STATE	CWEI	Clayton Williams Energy Inc									
PUR	08-04-03	349173	15,000.000	17.627	265,150.50				15,000	265,150.50	Clayton Williams Energy Inc

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	08-05-03	349174	10,000.000	16.800	168,500.00				10,000	168,500.00	Clayton Williams Energy Inc
PUR	08-06-03	349175	7,500.000	16.479	123,969.75				7,500	123,969.75	Clayton Williams Energy Inc
PUR	08-07-03	349176	2,500.000	16.310	40,900.00				2,500	40,900.00	Clayton Williams Energy Inc
TOTAL -			35,000.000		598,520.25	0.00	0.00	0.00	35,000	598,520.25	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE CWEI	Clayton Williams Energy Inc	35,000.000 SHRS	17.101	598,520.25	28.930	12-31-03	1,012,550.00	414,029.75

STATE DEF		Defiant Energy Corp							
PUR	12-03-03	362112	2,100.000	3.071	6,490.68			2,100	6,490.68	Defiant Energy Corp
PUR	12-04-03	360203	2,100.000	2.991	6,280.47			2,100	6,280.47	Defiant Energy Corp
PUR	12-09-03	360204	500.000	3.097	1,558.25			500	1,558.25	Defiant Energy Corp
PUR	12-10-03	362113	1,600.000	2.977	4,762.72			1,600	4,762.72	Defiant Energy Corp
PUR	12-16-03	362114	300.000	3.017	905.16			300	905.16	Defiant Energy Corp
TOTAL -			6,600.000		19,997.28	0.00	0.00	0.00	6,600	19,997.28	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE DEF	Defiant Energy Corp	9,600.000 SHRS	3.120	29,947.98	3.395	12-31-03	22,407.00	-7,540.98

STATE DVN		Devon Energy Corp								
PUR	07-17-03	348204	15,000.000	52.052	781,534.50				0	0.00	Devon Energy Corp
SAL	09-23-03	352533	-10,000.000	48.563		485,107.27	-521,023.00	-35,915.73			Devon Energy Corp
SAL	12-03-03	360227	-3,400.000	50.433		171,294.85	-177,147.82	-5,852.97			Devon Energy Corp
SAL	12-04-03	360228	-1,600.000	50.796		81,190.27	-83,363.68	-2,173.41			Devon Energy Corp
TOTAL -			0.000		781,534.50	737,592.39	-781,534.50	-43,942.11	0	0.00	

STATE ENE		Endev Energy Inc							
PUR	07-10-03	348187	28,400.000	1.399	40,293.92			28,400	40,293.92	Endev Energy Inc
PUR	07-17-03	348202	38,800.000	1.423	56,003.92			38,800	56,003.92	Endev Energy Inc
PUR	07-18-03	348207	38,800.000	1.359	53,520.72			38,800	53,520.72	Endev Energy Inc
PUR	07-25-03	348212	11,400.000	1.299	15,043.44			11,400	15,043.44	Endev Energy Inc
PUR	08-01-03	350335	4,300.000	1.211	5,292.01			4,300	5,292.01	Endev Energy Inc

2305

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	08-11-03	349179	3,200.000	1.267	4,119.68				3,200	4,119.68	Endev Energy
PUR	08-14-03	349180	60,600.000	1.401	86,100.48				60,600	86,100.48	Endev Energy
PUR	08-26-03	350250	19,900.000	1.401	28,285.86				19,900	28,285.86	Endev Energy Inc
PUR	09-11-03	351120	6,200.000	1.365	8,711.00				6,200	8,711.00	Endev Energy Inc
PUR	09-19-03	352523	9,900.000	1.371	13,773.87				9,900	13,773.87	Endev Energy Inc
	TOTAL -		221,500.000		311,144.90	0.00	0.00	0.00	221,500	311,144.90	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE ENE	Endev Energy Inc	221,500.000	SHRS	1.405	311,144.90	1.890	12-31-03	418,635.00	107,490.10

STATE EPL Energy Partners LTD

PUR	07-11-03	348194	70,300.000	10.552	745,334.66				70,300	745,334.66	Energy Partners LTD
	TOTAL -		70,300.000		745,334.66	0.00	0.00	0.00	70,300	745,334.66	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE EPL	Energy Partners LTD	70,300.000	SHRS	10.602	745,334.66	13.900	12-31-03	977,170.00	231,835.34

STATE EPRIF Esprit Exploration LTD

PUR	08-11-03	349186	150,000.000	1.817	275,535.00				150,000	275,535.00	Esprit Exploration
	TOTAL -		150,000.000		275,535.00	0.00	0.00	0.00	150,000	275,535.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE EPRIF	Esprit Exploration LTD	150,000.000	SHRS	1.837	275,535.00	2.145	12-31-03	321,750.00	46,215.00

STATE FEL/PP Fairborne Energy LTD

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE FEL/PP	Fairborne Energy LTD	1,300.000	SHRS	4.985	6,480.50				-6,480.50

STATE FRO Frontline LTD

PUR	07-07-03	348158	25,800.000	13.789	357,048.78				25,800	357,048.78	Frontline LTD

2306

04-26-04
PC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			25,800.000		357,048.78	0.00	0.00	0.00	25,800	357,048.78	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE FRO Frontline LTD	25,800.000 SHRS	13.839	357,048.78	25.470	12-31-03	657,126.00	300,077.22

STATE GB1500809 Tullow Oil PLC

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE GB1500809 Tullow Oil PLC	71,108.000 SHRS	1.717	122,066.60				-122,066.60

STATE GLBL Global Indistries LTD

PUR	07-07-03	348173	2,400.000	5.197	12,545.04			2,400	12,545.04	Global Industries LTD
PUR	07-08-03	348176	4,100.000	5.202	21,450.79			4,100	21,450.79	Global Industries LTD
PUR	07-09-03	348181	4,100.000	5.225	21,504.50			4,100	21,504.50	Global Industries LTD
PUR	07-10-03	348185	2,600.000	5.068	13,255.58			2,600	13,255.58	Global Industries LTD
PUR	07-10-03	348186	2,000.000	5.199	10,458.60			2,000	10,458.60	Global Industries LTD
PUR	07-11-03	348193	2,000.000	4.992	10,084.20			2,000	10,084.20	Global Industries
PUR	07-14-03	348195	2,100.000	4.961	10,523.52			2,100	10,523.52	Global Industries
PUR	07-15-03	348197	6,400.000	4.803	30,933.76			6,400	30,933.76	Global Industries LTD
PUR	07-16-03	348198	900.000	4.999	4,526.01			900	4,526.01	Global Industries LTD
PUR	07-16-03	348200	4,100.000	4.630	19,107.23			4,100	19,107.23	Global Industries LTD
PUR	07-17-03	348203	4,700.000	4.672	22,097.05			4,700	22,097.05	Global Industries LTD
PUR	07-18-03	348206	1,200.000	4.567	5,516.04			1,200	5,516.04	Global Industries LTD
PUR	07-21-03	348209	2,200.000	4.477	9,914.52			2,200	9,914.52	Global Industries LTD
TOTAL -			38,800.000		191,916.84	0.00	0.00	0.00	38,800	191,916.84	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE GLBL Global Indistries LTD	38,800.000 SHRS	4.946	191,916.84	5.130	12-31-03	199,044.00	7,127.16

STATE GW Grey Wolf Inc

PUR	12-08-03	360208	1,500.000	3.500	5,325.00			1,500	5,325.00	Grey Wolf Inc
PUR	12-09-03	360209	6,300.000	3.482	22,254.12			6,300	22,254.12	Grey Wolf Inc
PUR	12-10-03	360210	3,700.000	3.495	13,114.65			3,700	13,114.65	Grey Wolf Inc
PUR	12-11-03	360211	2,700.000	3.518	9,632.25			2,700	9,632.25	Grey Wolf Inc

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	12-12-03	360212	800.000	3.575	2,876.00				800	2,876.00	Grey Wolf Inc
PUR	12-12-03	360213	3,300.000	3.610	12,077.01				3,300	12,077.01	Grey Wolf Inc
PUR	12-15-03	360924	3,000.000	3.596	10,936.80				3,000	10,936.80	Grey Wolf Inc
PUR	12-16-03	360925	2,700.000	3.592	9,833.67				2,700	9,833.67	Grey Wolf Inc
PUR	12-17-03	360926	2,900.000	3.543	10,418.25				2,900	10,418.25	Grey Wolf Inc
PUR	12-18-03	360927	900.000	3.690	3,366.00				900	3,366.00	Grey Wolf Inc
PUR	12-19-03	360928	3,500.000	3.713	13,170.85				3,500	13,170.85	Grey Wolf Inc
	TOTAL -		31,300.000		113,004.60	0.00	0.00	0.00	31,300	113,004.60	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE GR	Grey Wolf Inc	31,300.000	SHRS	3.610	113,004.60	3.740	12-31-03	117,062.00	4,057.40

STATE HC Hanover Compressor Co Holding

PUR	07-07-03	348160	35,000.000	11.981	421,099.00				35,000	421,099.00	Hanover Compressor Co
	TOTAL -		35,000.000		421,099.00	0.00	0.00	0.00	35,000	421,099.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE HC	Hanover Compressor Co Holding	35,000.000	SHRS	12.031	421,099.00	11.150	12-31-03	390,250.00	-30,849.00

STATE HNR Harvest Natural Resources Inc

PUR	08-11-03	349184	40,000.000	5.928	239,100.00				40,000	239,100.00	Harvest Natural Resources
PUR	09-12-03	349185	10,000.000	5.880	59,300.00				10,000	59,300.00	Harvest Natural Resources
	TOTAL -		50,000.000		298,400.00	0.00	0.00	0.00	50,000	298,400.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE HNR	Harvest Natural Resources Inc	50,000.000	SHRS	5.968	298,400.00	9.950	12-31-03	497,500.00	199,100.00

STATE IEY Impact Energy Inc

PUR	09-04-03	352504	34,800.000	0.947	33,129.60				34,800	33,129.60	Impact Energy Inc
PUR	09-09-03	352505	4,500.000	0.952	4,304.25				4,500	4,304.25	Impact Energy Inc
PUR	09-12-03	352506	1,900.000	0.953	1,820.77				1,900	1,820.77	Impact Energy Inc

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT TXN DATE	INVESTMENT TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	09-19-03	352507	800.000	0.964	774.96				800	774.96	Impact Energy Inc
PUR	09-22-03	352524	1,900.000	0.945	1,812.22				1,900	1,812.22	Impact Energy Inc
PUR	09-23-03	352525	1,200.000	0.940	1,133.64				1,200	1,133.64	Impact Energy Inc
PUR	09-26-03	352539	2,100.000	0.958	2,012.43				2,100	2,012.43	Impact Energy Inc
	TOTAL -		47,200.000		44,987.87	0.00	0.00	0.00	47,200	44,987.87	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE IEY	Impact Energy Inc	47,200.000	SHRS	0.953	44,987.87	1.042	12-31-03	49,182.40	4,194.53

STATE JPMSWEEP J P Morgan Money Market Sweep

PUR	07-03-03	348216	17,230,620.000	1.000	17,230,620.00				0	0.00	JPM Prime MM Sweep Fund
SAL	07-10-03	348217	-7,036,136.560	1.000		7,036,136.56	-7,036,136.56	0.00			JPM Prime MM Sweep Fund
SAL	07-11-03	348218	-3,084,186.490	1.000		3,084,186.49	-3,084,186.49	0.00			JPM Prime MM Sweep Fund
SAL	07-14-03	348219	-803,815.100	1.000		803,815.10	-803,815.10	0.00			JPM Prime MM Sweep Fund
SAL	07-15-03	348220	-1,838,022.230	1.000		1,838,022.23	-1,838,022.23	0.00			JPM Prime MM Sweep Fund
SAL	07-16-03	348221	-527,584.600	1.000		527,584.60	-527,584.60	0.00			JPM Prime MM Sweep Fund
SAL	07-17-03	348222	-15,049.530	1.000		15,049.53	-15,049.53	0.00			JPM Prime MM Sweep Fund
SAL	07-18-03	348223	-26,407.750	1.000		26,407.75	-26,407.75	0.00			JPM Prime MM Sweep Fund
SAL	07-21-03	348224	-803,776.410	1.000		803,776.41	-803,776.41	0.00			JPM Prime MM Sweep Fund
SAL	07-22-03	348225	-1,233,667.430	1.000		1,233,667.43	-1,233,667.43	0.00			JPM Prime MM Sweep Fund
PUR	07-23-03	348208	232,934.640	1.000	232,934.64				0	0.00	JPM Prime MM Sweep Fund
SAL	07-24-03	348226	-9,914.520	1.000		9,914.52	-9,914.52	0.00			JPM Prime MM Sweep Fund
SAL	07-25-03	348227	-160,737.100	1.000		160,737.10	-160,737.10	0.00			JPM Prime MM Sweep Fund
SAL	07-30-03	348228	-15,043.440	1.000		15,043.44	-15,043.44	0.00			JPM Prime MM Sweep Fund
PUR	08-01-03	349154	19,040.850	1.000	19,040.85				0	0.00	JPM Prime MM Sweep Fund
PUR	08-06-03	349155	1,450,164.550	1.000	1,450,164.55				0	0.00	JPM Prime MM Sweep Fund
SAL	08-07-03	349156	-250,107.060	1.000		250,107.06	-250,107.06	0.00			JPM Prime MM Sweep Fund
SAL	08-08-03	349157	-168,500.000	1.000		168,500.00	-168,500.00	0.00			JPM Prime MM Sweep Fund
SAL	08-11-03	349158	-123,969.750	1.000		123,969.75	-123,969.75	0.00			JPM Prime MM Sweep Fund
SAL	08-12-03	349159	-40,900.000	1.000		40,900.00	-40,900.00	0.00			JPM Prime MM Sweep Fund
SAL	08-13-03	349160	-772,163.120	1.000		772,163.12	-772,163.12	0.00			JPM Prime MM Sweep Fund
SAL	08-14-03	349161	-609,589.950	1.000		609,589.95	-609,589.95	0.00			SALE
SAL	08-15-03	349162	-59,300.000	1.000		59,300.00	-59,300.00	0.00			JPM Prime MM Sweep Fund
SAL	08-18-03	349163	-15,150.000	1.000		15,150.00	-15,150.00	0.00			JPM Prime MM Sweep Fund
SAL	08-19-03	349164	-86,466.930	1.000		86,466.93	-86,466.93	0.00			JPM Prime MM Sweep Fund

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	08-21-03	350246	-26,150.000	1.000		26,150.00	-26,150.00	0.00			JPM Prime MM Sweep Fund
SAL	08-22-03	350247	-39,220.000	1.000		39,220.00	-39,220.00	0.00			JPM Prime MM Sweep Fund
SAL	08-25-03	350248	-61,022.960	1.000		61,022.96	-61,022.96	0.00			JPM Prime MM Sweep Fund
PUR	08-26-03	350245	3,025.000	1.000	3,025.00				0	0.00	JPM Prime MM Sweep Fund
SAL	08-27-03	350249	-23,912.100	1.000		23,912.10	-23,912.10	0.00			JPM Prime MM Sweep Fund
SAL	09-02-03	351121	-382,863.780	1.000		382,863.78	-382,863.78	0.00			JPM Prime MM Sweep Fund
SAL	09-03-03	351122	-84,608.580	1.000		84,608.58	-84,608.58	0.00			JPM Prime MM Sweep Fund
SAL	09-04-03	351123	-10,787.260	1.000		10,787.26	-10,787.26	0.00			JPM Prime MM Sweep Fund
SAL	09-09-03	351124	-33,129.600	1.000		33,129.60	-33,129.60	0.00			JPM Prime MM Sweep Fund
SAL	09-10-03	351125	-15,067.680	1.000		15,067.68	-15,067.68	0.00			JPM Prime MM Sweep Fund
PUR	09-11-03	351119	9,290.870	1.000	9,290.87				0	0.00	JPM Prime MM Sweep Fund
SAL	09-12-03	351126	-4,304.250	1.000		4,304.25	-4,304.25	0.00			JPM Prime MM Sweep Fund
SAL	09-15-03	351127	-52,611.300	1.000		52,611.30	-52,611.30	0.00			JPM Prime MM Sweep Fund
SAL	09-16-03	351128	-17,818.420	1.000		17,818.42	-17,818.42	0.00			JPM Prime MM Sweep Fund
SAL	09-17-03	352527	-18,309.160	1.000		18,309.16	-18,309.16	0.00			JPM Prime MM Sweep Fund
PUR	09-18-03	352516	30,062.550	1.000	30,062.55				0	0.00	JPM Prime MM Sweep Fund
SAL	09-22-03	352528	-78,536.620	1.000		78,536.62	-78,536.62	0.00			JPM Prime MM Sweep Fund
SAL	09-24-03	352529	-156,336.340	1.000		156,336.34	-156,336.34	0.00			JPM Prime MM Sweep Fund
SAL	09-25-03	352530	-18,116.040	1.000		18,116.04	-18,116.04	0.00			JPM Prime MM Sweep Fund
SAL	09-26-03	352531	-21,003.690	1.000		21,003.69	-21,003.69	0.00			JPM Prime MM Sweep Fund
SAL	09-30-03	352532	-20,099.040	1.000		20,099.04	-20,099.04	0.00			JPM Prime MM Sweep Fund
SAL	10-01-03	354503	-41,486.330	1.000		41,486.33	-41,486.33	0.00			JPM Prime MM Sweep Fund
PUR	10-03-03	354486	1,544.920	1.000	1,544.92				0	0.00	JPM Prime MM Sweep Fund
SAL	10-06-03	354504	-190,812.260	1.000		190,812.26	-190,812.26	0.00			JPM Prime MM Sweep Fund
PUR	10-10-03	354487	287,941.530	1.000	287,941.53				0	0.00	JPM Prime MM Sweep Fund
SAL	10-14-03	354505	-38,872.000	1.000		38,872.00	-38,872.00	0.00			JPM Prime MM Sweep Fund
PUR	10-15-03	354488	67,277.260	1.000	67,277.26				0	0.00	JPM Prime MM Sweep Fund
PUR	10-20-03	354489	4,391.350	1.000	4,391.35				0	0.00	JPM Prime MM Sweep Fund
SAL	10-21-03	354506	-4,814.290	1.000		4,814.29	-4,814.29	0.00			JPM Prime MM Sweep Fund
PUR	10-23-03	355365	105,350.400	1.000	105,350.40				0	0.00	JPM Prime MM Sweep Fund
PUR	10-24-03	355432	4,814.290	1.000	4,814.29				0	0.00	JPM Prime MM Sweep Fund
SAL	10-27-03	355437	-3,209.690	1.000		3,209.69	-3,209.69	0.00			JPM Prime MM Sweep Fund
SAL	10-29-03	355438	-1,005.000	1.000		1,005.00	-1,005.00	0.00			JPM Prime MM Sweep Fund
SAL	10-30-03	355439	-30,357.800	1.000		30,357.80	-30,357.80	0.00			JPM Prime MM Sweep Fund
SAL	11-04-03	356876	-6,900.000	1.000		6,900.00	-6,900.00	0.00			JPM Prime MM Sweep Fund
SAL	11-05-03	356878	-30,359.880	1.000		30,359.88	-30,359.88	0.00			JPM Prime MM Sweep Fund
SAL	11-06-03	356879	-7,831.770	1.000		7,831.77	-7,831.77	0.00			JPM Prime MM Sweep Fund

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	11-07-03	356880	-34,587.230	1.000		34,587.23	-34,587.23	0.00			JPM Prime MM Sweep Fund
SAL	11-10-03	356881	-20,288.270	1.000		20,288.27	-20,288.27	0.00			JPM Prime MM Sweep Fund
SAL	11-12-03	356883	-24,823.900	1.000		24,823.90	-24,823.90	0.00			JPM Prime MM Sweep Fund
SAL	11-13-03	356884	-17,256.660	1.000		17,256.66	-17,256.66	0.00			JPM Prime MM Sweep Fund
SAL	11-14-03	356885	-27,622.020	1.000		27,622.02	-27,622.02	0.00			JPM Prime MM Sweep Fund
SAL	11-17-03	357398	-52,584.160	1.000		52,584.16	-52,584.16	0.00			JPM Prime MM Sweep Fund
SAL	11-18-03	357399	-163,814.960	1.000		163,814.96	-163,814.96	0.00			JPM Prime MM Sweep Fund
SAL	11-19-03	357400	-5,447.200	1.000		5,447.20	-5,447.20	0.00			JPM Prime MM Sweep Fund
PUR	11-26-03	358024	52,138.860	1.000	52,138.86				0	0.00	JPM Prime MM Sweep Fund
PUR	11-28-03	358025	61,905.940	1.000	61,905.94				0	0.00	JPM Prime MM Sweep Fund
PUR	12-01-03	360941	18,327.780	1.000	18,327.78				0	0.00	JPM Prime MM Sweep Fund
SAL	12-02-03	360220	-11,573.100	1.000		11,573.10	-11,573.10	0.00			JPM Prime MM Sweep Fund
SAL	12-03-03	360221	-84,465.630	1.000		84,465.63	-84,465.63	0.00			JPM Prime MM Sweep Fund
PUR	12-08-03	360195	34,548.630	1.000	34,548.63				0	0.00	JPM Prime MM Sweep Fund
PUR	12-09-03	360196	9,182.560	1.000	9,182.56				0	0.00	JPM Prime MM Sweep Fund
SAL	12-10-03	360223	-43,731.190	1.000		43,731.19	-43,731.19	0.00			JPM Prime MM Sweep Fund
PUR	12-18-03	360922	241,781.690	1.000	241,781.69				129,381	129,380.73	JPM Prime MM Sweep Fund
SAL	12-19-03	360937	-9,833.670	1.000		9,833.67	-9,833.67	0.00			JPM Prime MM Sweep Fund
SAL	12-22-03	360938	-17,202.450	1.000		17,202.45	-17,202.45	0.00			JPM Prime MM Sweep Fund
SAL	12-23-03	360939	-65,687.190	1.000		65,687.19	-65,687.19	0.00			JPM Prime MM Sweep Fund
SAL	12-24-03	360940	-11,450.590	1.000		11,450.59	-11,450.59	0.00			JPM Prime MM Sweep Fund
SAL	12-26-03	360943	-36,333.850	1.000		36,333.85	-36,333.85	0.00			JPM Prime MM Sweep Fund
SAL	12-29-03	361541	-4,113.530	1.000		4,113.53	-4,113.53	0.00			JPM Prime MM Sweep Fund
PUR	12-30-03	361539	2,126.130	1.000	2,126.13				2,126	2,126.13	JPM Prime MM Sweep Fund
SAL	12-31-03	361542	-4,113.530	1.000		4,113.53	-4,113.53	0.00			JPM Prime MM Sweep Fund
	TOTAL -		131,506.860		19,866,469.80	19,734,962.94	-19,734,962.94	0.00	131,507	131,506.86	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE	JPMSWEEP J P Morgan Money Market Sweep	67,964.620	UNTS	1.000	67,964.62	1.000	12-31-03	131,506.86	63,542.24

STATE MDE Meridian Energy Corp

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE	MDE Meridian Energy Corp	26,300.000	SHRS	1.698	44,660.03				-44,660.03

2311

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
STATE	MDO	Matador Resources Co Cl A									
PUR	11-01-03	358300	7,443.000	10.000	74,430.00				7,443	74,430.00	Matador Resources Co Cl A
	TOTAL -		7,443.000		74,430.00	0.00	0.00	0.00	7,443	74,430.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE MDO Matador Resources Co Cl A	7,443.000	SHRS	10.000	74,430.00	10.000	12-31-03	74,430.00	0.00

STATE	MDR	McDermott International Inc									
PUR	07-07-03	348164	66,900.000	6.303	424,995.63				66,900	424,995.63	McDermott International Inc
	TOTAL -		66,900.000		424,995.63	0.00	0.00	0.00	66,900	424,995.63	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE MDR McDermott International Inc	66,900.000	SHRS	6.353	424,995.63	11.950	12-31-03	799,455.00	374,459.37

STATE	MEE	Massey Energy Co									
PUR	07-08-03	348180	27,600.000	13.459	372,020.40				27,600	372,020.40	Massey Energy Co
PUR	08-27-03	350251	5,800.000	11.796	68,706.80				5,800	68,706.80	Massey Energy Co
PUR	08-28-03	350252	800.000	12.291	9,873.28				800	9,873.28	Massey Energy Co
PUR	09-05-03	351114	400.000	12.499	5,019.68				400	5,019.68	Massey Energy Co
PUR	09-08-03	351115	1,900.000	12.497	23,838.73				1,900	23,838.73	Massey Energy Co
PUR	09-10-03	351116	1,500.000	12.938	19,481.70				1,500	19,481.70	Massey Energy Co
PUR	09-11-03	351117	700.000	12.961	9,107.42				700	9,107.42	Massey Energy Co
PUR	09-19-03	352518	200.000	13.293	2,668.60				200	2,668.60	Massey Energy Co
PUR	09-22-03	352519	800.000	13.485	10,828.08				800	10,828.08	Massey Energy Co
PUR	09-23-03	352520	300.000	13.465	4,054.56				300	4,054.56	Massey Energy Co
PUR	09-25-03	352521	300.000	13.400	4,026.00				300	4,026.00	Massey Energy Co
PUR	09-25-03	352522	1,200.000	13.344	16,073.04				1,200	16,073.04	Massey Energy Co
PUR	09-26-03	352541	1,200.000	12.861	15,493.08				1,200	15,493.08	Massey Energy Co
PUR	10-27-03	355435	2,200.000	13.749	30,357.80				2,200	30,357.80	Massey Energy Co
PUR	10-30-03	355599	500.000	13.750	6,900.00				500	6,900.00	Massey Energy Co
PUR	11-03-03	356856	200.000	13.750	2,760.00				200	2,760.00	Massey Energy Co
PUR	11-04-03	356857	600.000	13.729	8,267.28				600	8,267.28	Massey Energy Co
PUR	11-05-03	356858	100.000	13.717	1,376.74				100	1,376.74	Massey Energy Co

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	11-06-03	356859	500.000	13.746	6,898.10				500	6,898.10	Massey Energy Co
PUR	11-07-03	356860	600.000	13.725	8,264.76				600	8,264.76	Massey Energy Co
PUR	11-10-03	356861	400.000	13.664	5,485.48				400	5,485.48	Massey Energy Co
PUR	11-11-03	356864	600.000	13.498	8,128.56				600	8,128.56	Massey Energy Co
PUR	11-12-03	357392	400.000	13.574	5,449.76				400	5,449.76	Massey Energy Co
PUR	11-13-03	357393	500.000	13.511	6,780.50				500	6,780.50	Massey Energy Co
PUR	11-24-03	358027	1,200.000	13.623	16,407.00				1,200	16,407.00	Massey Energy Co
PUR	11-25-03	358302	400.000	13.920	5,576.00				400	5,576.00	Massey Energy Co
PUR	11-25-03	358303	900.000	13.894	12,550.14				900	12,550.14	Massey Energy Co
PUR	11-26-03	358304	100.000	13.705	1,372.50				100	1,372.50	Massey Energy Co
PUR	11-26-03	358305	500.000	13.807	6,928.65				500	6,928.65	Massey Energy Co
PUR	11-28-03	358306	1,100.000	14.000	15,455.00				1,100	15,455.00	Massey Energy Co
PUR	11-28-03	358307	100.000	14.004	1,402.43				100	1,402.43	Massey Energy Co
PUR	12-04-03	360201	100.000	14.938	1,498.79				100	1,498.79	Massey Energy Co
PUR	12-05-03	360202	3,500.000	16.320	57,296.40				3,500	57,296.40	Massey Energy Co
	TOTAL -		57,200.000		770,347.26	0.00	0.00	0.00	57,200	770,347.26	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE MSE Massey Energy Co	57,200.000	SHRS	13.468	770,347.26	20.800	12-31-03	1,189,760.00	419,412.74

STATE MVX	Maverick Tube Corp							
PUR	07-07-03	348161	19,400.000	19.377	376,885.74		19,400	376,885.74	Maverick Tube Corp
PUR	10-31-03	355601	600.000	16.615	9,981.00		600	9,981.00	Maverick Tube Corp
PUR	10-31-03	355602	1,200.000	16.932	20,378.88		1,200	20,378.88	Maverick Tube Corp
PUR	11-03-03	356865	300.000	16.856	5,071.77		300	5,071.77	Maverick Tube Corp
PUR	11-04-03	356867	300.000	16.610	4,998.09		300	4,998.09	Maverick Tube Corp
PUR	11-04-03	356868	200.000	16.772	3,358.46		200	3,358.46	Maverick Tube Corp
PUR	11-05-03	356870	1,100.000	17.142	18,911.53		1,100	18,911.53	Maverick Tube Corp
PUR	11-06-03	356872	1,000.000	17.876	17,925.80		1,000	17,925.80	Maverick Tube Corp
PUR	11-07-03	356873	500.000	17.934	8,991.90		500	8,991.90	Maverick Tube Corp
PUR	11-12-03	357394	500.000	18.376	9,213.00		500	9,213.00	Maverick Tube Corp
PUR	11-13-03	357395	200.000	18.944	3,798.84		200	3,798.84	Maverick Tube Corp
PUR	11-25-03	358308	800.000	18.255	14,644.00		800	14,644.00	Maverick Tube Corp
PUR	11-26-03	358309	300.000	18.295	5,503.71		300	5,503.71	Maverick Tube Corp
PUR	12-01-03	360188	1,900.000	19.271	36,709.33		1,900	36,709.33	Maverick Tube Corp

2313

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	12-02-03	360189	800.000	19.809	15,887.12				800	15,887.12	Maverick Tube Corp
PUR	12-04-03	360190	1,800.000	19.879	35,872.02				1,800	35,872.02	Maverick Tube Corp
PUR	12-05-03	360191	3,400.000	20.318	69,252.56				3,400	69,252.56	Maverick Tube Corp
	TOTAL -		34,300.000		657,383.75	0.00	0.00	0.00	34,300	657,383.75	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE	MVK	Maverick Tube Corp	34,300.000	SHRS	19.166	657,383.75	19.250	12-31-03	660,275.00	2,891.25

STATE MWP Markwest Hydrocarbon Inc

PUR	09-26-03	352540	500.000	7.100	3,560.00				500	3,560.00	Markwest Hydrocarbon Inc
PUR	10-01-03	354490	800.000	7.350	5,896.00				800	5,896.00	Markwest Hydrocarbon Inc
PUR	10-02-03	354491	400.000	7.400	2,968.00				400	2,968.00	Markwest Hydrocarbon Inc
PUR	10-06-03	354492	100.000	7.750	777.00				100	777.00	Markwest Hydrocarbon Inc
PUR	10-08-03	354493	4,000.000	9.698	38,872.00				4,000	38,872.00	Markwest Hydrocarbon Inc
PUR	10-09-03	354494	400.000	9.291	3,724.44				400	3,724.44	Markwest Hydrocarbon Inc
PUR	10-15-03	354495	1,600.000	9.430	15,168.00				1,600	15,168.00	Markwest Hydrocarbon Inc
PUR	10-24-03	355434	100.000	10.000	1,005.00				100	1,005.00	Markwest Hydrocarbon
	TOTAL -		7,900.000		71,970.44	0.00	0.00	0.00	7,900	71,970.44	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE	MWP	Markwest Hydrocarbon Inc	7,900.000	SHRS	9.110	71,970.44	11.280	12-31-03	89,112.00	17,141.56

STATE NBR Nabors Industries Inc

PUR	12-10-03	360218	5,100.000	39.018	199,244.25				5,100	199,244.25	Nabors Industries LTD
PUR	12-11-03	360217	3,200.000	38.769	124,219.52				3,200	124,219.52	Nabors Industries LTD
PUR	12-15-03	360923	2,700.000	39.747	107,451.90				2,700	107,451.90	Nabors Industries LTD
	TOTAL -		11,000.000		430,915.67	0.00	0.00	0.00	11,000	430,915.67	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE	NBR	Nabors Industries Inc	11,000.000	SHRS	39.174	430,915.67	41.500	12-31-03	456,500.00	25,584.33

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
STATE NFX		Newfield Exploration Co									
PUR	07-07-03	348165	21,000.000	36.914	776,248.20				21,000	776,248.20	Newfield Exploration Co
PUR	12-18-03	360932	1,300.000	44.052	57,332.08				1,300	57,332.08	Newfield Exploration Co
	TOTAL -		22,300.000		833,580.28	0.00	0.00	0.00	22,300	833,580.28	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE NFX	Newfield Exploration Co	23,700.000	SHRS	38.015	900,944.05	44.540	12-31-03	993,242.00	92,297.95

STATE NKO		Niko Resources LTD									
PUR	07-10-03	348189	8,100.000	20.251	164,435.67				8,100	164,435.67	Niko Resources LTD
PUR	11-10-03	356875	700.000	19.971	14,007.98				700	14,007.98	Niko Resources LTD
PUR	11-14-03	358022	2,200.000	21.120	46,551.12				2,200	46,551.12	Niko Resources LTD
	TOTAL -		11,000.000		224,994.77	0.00	0.00	0.00	11,000	224,994.77	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE NKO	Niko Resources LTD	11,000.000	SHRS	20.454	224,994.77	20.908	12-31-03	229,988.00	4,993.23

STATE NR		Newpark Resource Inc									
PUR	07-08-03	348174	58,400.000	5.899	347,433.28				58,400	347,433.28	Newpark Resource Inc
PUR	07-09-03	348183	75,000.000	5.841	441,825.00				75,000	441,825.00	Newpark Resource Inc
	TOTAL -		133,400.000		789,258.28	0.00	0.00	0.00	133,400	789,258.28	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE NR	Newpark Resource Inc	133,400.000	SHRS	5.916	789,258.28	4.790	12-31-03	638,986.00	-150,272.28

STATE NVG		Navigo Energy Inc									
PUR	07-16-03	348201	177,700.000	2.263	405,706.87				177,700	405,706.87	Navigo Energy Inc
	TOTAL -		177,700.000		405,706.87	0.00	0.00	0.00	177,700	405,706.87	

STATE NVG-U Nav Energy

2315

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE MVG-U		Nav Energy			59,233.000 SHRS	5.562	329,444.62				-329,444.62
STATE OIL-WTS		WTS-Oilexco Inc 12/22/05									
PUR	12-30-03	362107	7,475.000	3.321	24,826.27				7,475	24,826.27	WTS-Oilexco Inc 12/22/05
TOTAL -			7,475.000		24,826.27	0.00	0.00	0.00	7,475	24,826.27	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE OIL-WTS		WTS-Oilexco Inc 12/22/05			7,475.000 SHRS	3.321	24,826.27	3.321	12-31-03	24,826.27	0.00
STATE OILXF		Oilexco Inc									
PUR	11-04-03	356874	19,500.000	0.911	17,963.40				19,500	17,963.40	Oilexco Inc
TOTAL -			19,500.000		17,963.40	0.00	0.00	0.00	19,500	17,963.40	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE OILXF		Oilexco Inc			49,400.000 SHRS	0.364	17,963.40	1.018	12-31-03	19,851.00	1,887.60
STATE OLY		Olympia Energy Inc									
PUR	07-10-03	348188	44,800.000	2.764	124,741.12				44,800	124,741.12	Olympia Energy Inc
PUR	07-14-03	348196	49,700.000	2.821	141,197.70				49,700	141,197.70	Olympia Energy Inc
PUR	07-18-03	348205	28,400.000	2.693	77,060.56				28,400	77,060.56	Olympia Energy Inc
PUR	07-22-03	348210	22,900.000	2.541	58,644.61				22,900	58,644.61	Olympia Energy Inc
TOTAL -			145,800.000		401,643.99	0.00	0.00	0.00	145,800	401,643.99	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE OLY		Olympia Energy Inc			145,800.000 SHRS	2.755	401,643.99	2.392	12-31-03	348,753.60	-52,890.39
STATE OMM		Omi Corp									
PUR	07-07-03	348159	49,600.000	6.190	309,508.96				49,600	309,508.96	Omi Corp
PUR	07-07-03	348167	17,700.000	6.225	110,536.50				17,700	110,536.50	Omi Corp

2316

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			67,300.000		420,045.46	0.00	0.00	0.00	67,300	420,045.46	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE OMM		Oxi Corp			67,300.000 SHRS	6.241	420,045.46	8.930	12-31-03	600,989.00	180,943.54
STATE PCGYF/R		Purcell Energy Inc Wts-Rights									
PUR	09-30-03	353114	59,013.000	0.000	0.00				59,013	0.00	Purcell Energy Inc Wts - Right
TOTAL -			59,013.000		0.00	0.00	0.00	0.00	59,013	0.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE PCGYF/R		Purcell Energy Inc Wts-Rights			59,013.000 SHRS	0.000	0.00	0.480	12-31-03	28,311.25	28,311.25
STATE PCLC		Purcell Energy Sub Rec P.P.									
PUR	07-21-03	348230	59,013.000	1.730	102,092.49				59,013	102,092.49	Purcell Energy Sub Rec P.P
TOTAL -			59,013.000		102,092.49	0.00	0.00	0.00	59,013	102,092.49	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE PCLC		Purcell Energy Sub Rec P.P			59,013.000 SHRS	1.730	102,092.49	1.967	12-31-03	116,078.57	13,986.08
STATE PDE		Pride International Inc									
PUR	07-08-03	348175	23,600.000	18.500	437,780.00				23,600	437,780.00	Pride International Inc
TOTAL -			23,600.000		437,780.00	0.00	0.00	0.00	23,600	437,780.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE PDE		Pride International Inc			23,600.000 SHRS	18.550	437,780.00	18.640	12-31-03	439,904.00	2,124.00
STATE PGX		Progress Energy LTD									
PUR	07-10-03	348190	52,100.000	6.898	361,475.01				52,100	361,475.01	Progress Energy LTD
TOTAL -			52,100.000		361,475.01	0.00	0.00	0.00	52,100	361,475.01	

2317

04-26-04 | Market Street Trust Company | PAGE
FC3.3 | PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE PGX		Progress Energy LTD			52,100.000	SHRS	6.938	361,475.01	9.586	12-31-03	499,430.60	137,955.59
STATE PKN		PetroKazakhstan Inc Cl A										
PUR	10-01-03	355593	35,100.000	18.794	661,438.44				16,900	318,470.36	Petrokazakhstan Inc
PUR	10-02-03	354516	1,000.000	19.519	19,559.00				0	0.00	Petrokazakhstan Inc Cl A
PUR	10-03-03	354518	800.000	19.488	15,622.08				0	0.00	Petrokazakhstan Inc Cl A
SAL	12-09-03	362129	-20,000.000	18.947		378,136.00	-378,149.16	-13.16			Petrokazakhstan Inc
TOTAL -			16,900.000		696,619.52	378,136.00	-378,149.16	-13.16	16,900	318,470.36	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE PKN		PetroKazakhstan Inc Cl A			16,900.000	SHRS	18.844	318,470.36	22.510	12-31-03	380,419.00	61,948.64
STATE PLX		Plains Resources Inc										
PUR	07-07-03	348163	20,000.000	14.068	282,362.00				0	0.00	Plains Resources Inc
PUR	07-07-03	348169	10,700.000	14.020	150,228.00				0	0.00	Plains Resources Inc
SAL	11-21-03	358028	-16,100.000	15.496		248,673.75	-227,301.41	21,372.34			Plains Resources Inc
SAL	11-21-03	358029	-200.000	15.635		3,122.85	-2,823.62	299.23			Plains Resources Inc
SAL	11-24-03	358030	-5,100.000	15.406		78,312.94	-71,892.97	6,419.97			Plains Resources Inc
SAL	11-25-03	358301	-3,000.000	15.362		45,933.84	-42,120.00	3,813.84			Plains Resources Inc
SAL	12-03-03	360224	-1,900.000	15.600		29,543.61	-26,676.00	2,867.61			Plains Resources Inc
SAL	12-04-03	360225	-2,300.000	15.520		35,578.40	-32,292.00	3,286.40			Plains Resources Inc
SAL	12-05-03	360226	-2,300.000	15.600		32,653.46	-29,484.00	3,169.46			Plains Resources Inc
TOTAL -			0.000		432,590.00	473,818.85	-432,590.00	41,228.85	0	0.00	
STATE PTEN		Patterson-Uti Energy Inc										
PUR	07-07-03	348162	8,000.000	31.250	250,400.00				8,000	250,400.00	Patterson-Uti Energy Inc
PUR	11-13-03	357396	5,100.000	29.996	153,235.62				5,100	153,235.62	Patterson-Uti Energy Trust
PUR	11-14-03	357397	2,000.000	30.162	60,424.60				2,000	60,424.60	Patterson-Uti Energy Trust
PUR	11-17-03	358023	1,800.000	29.601	53,371.98				1,800	53,371.98	Patterson-Uti Energy
PUR	12-04-03	360205	2,100.000	30.086	63,286.02				2,100	63,286.02	Patterson-Uti Energy Inc
PUR	12-05-03	360206	3,600.000	31.272	112,757.76				3,600	112,757.76	Patterson-Uti Energy Inc

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	12-08-03	360207	1,200.000	31.572	37,946.64				1,200	37,946.64	Patterson-Uti Energy Inc
TOTAL -			23,800.000		731,422.62	0.00	0.00	0.00	23,800	731,422.62	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE PTEN	Patterson-Uti Energy Inc	23,800.000	SHRS	30.732	731,422.62	32.930	12-31-03	783,734.00	52,311.38

STATE PVA	Penn Virginia Corp								
PUR	07-09-03	348182	10,700.000	43.797	469,166.11				
PUR	07-09-03	348184	7,500.000	43.954	330,027.00				
TOTAL -			18,200.000		799,193.11	0.00	0.00	0.00	

									10,700	469,166.11	Penn Virginia Corp
									7,500	330,027.00	Penn Virginia Corp
									18,200	799,193.11	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE PVA	Penn Virginia Corp	18,200.000	SHRS	43.912	799,193.11	55.650	12-31-03	1,012,830.00	213,636.89

STATE PWT	Penn West Petroleum LTD								
PUR	07-10-03	348191	12,700.000	31.066	395,177.01				
PUR	07-10-03	348192	11,600.000	31.813	369,611.96				
SAL	10-03-03	354508	-2,000.000	34.320		68,579.60	-63,726.20	4,853.40	
SAL	10-07-03	354511	-12,000.000	34.793		417,151.20	-380,564.88	36,586.32	
SAL	10-08-03	354512	-3,000.000	34.772		104,225.70	-93,348.90	10,876.80	
SAL	10-08-03	355436	-3,000.000	35.167		105,350.40	-93,348.90	12,001.50	
PUR	11-21-03	358026	1,000.000	37.321	37,361.40				
PUR	11-24-03	358314	1,600.000	36.764	58,885.76				
TOTAL -			6,900.000		861,036.13	695,106.90	-630,988.88	64,318.02	

									4,300	133,800.09	Penn West Petroleum
									0	0.00	Penn West Petroleum
											Penn West Petroleum
											Penn West Petroleum
											Penn West Petroleum
											Penn West Petroleum LTD
									1,000	37,361.40	Penn West Petroleum
									1,600	58,885.76	Penn West Petroleum

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE PWT	Penn West Petroleum LTD	10,100.000	SHRS	35.023	353,737.15	37.163	12-31-03	256,424.70	-97,312.45

STATE PXP	Plains Exploration& Production								
PUR	07-07-03	348168	10,000.000	10.795	108,150.00				
PUR	07-08-03	348178	28,600.000	10.830	310,310.00				
								10,000	108,150.00	Plains Exploration& Production
								28,600	310,310.00	Plains Exploration& Production

2319

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			38,600.000		418,460.00	0.00	0.00	0.00	38,600	418,460.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE EXP	Plains Exploration& Production	38,600.000	SHRS	10.841	418,460.00	15.390	12-31-03	594,054.00	175,594.00

STATE	RARIF	Rio Alto Resources Int'l									
PUR	11-12-03	357401	60,500.000	0.622	37,921.40				60,500	37,921.40	Rio Alto Resources Int'l
PUR	11-26-03	358310	3,400.000	0.651	2,231.76				3,400	2,231.76	Rio Alto Resources Int'l
PUR	12-01-03	360192	2,700.000	0.652	1,773.09				2,700	1,773.09	Rio Alto Resources
PUR	12-02-03	360193	900.000	0.648	587.26				900	587.26	Rio Alto Resources
PUR	12-05-03	360194	1,500.000	0.644	973.35				1,500	973.35	Rio Alto Resources
PUR	12-12-03	360920	400.000	0.646	260.36				400	260.36	Rio Alto Resources
PUR	12-18-03	360921	2,100.000	0.633	1,340.01				2,100	1,340.01	Rio Alto Resources
TOTAL -			71,500.000		45,087.23	0.00	0.00	0.00	71,500	45,087.23	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE RARIF	Rio Alto Resources Int'l	71,500.000	SHRS	0.631	45,087.23	0.687	12-31-03	49,120.50	4,033.27

STATE	RDC	Rowan Companies									
PUR	07-07-03	348166	39,500.000	21.789	862,644.45				14,500	316,666.95	Rowan Companies
SAL	12-15-03	360933	-25,000.000	23.040		574,718.04	-545,977.50	28,740.54			Rowan Companies
TOTAL -			14,500.000		862,644.45	574,718.04	-545,977.50	28,740.54	14,500	316,666.95	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE RDC	Rowan Companies	14,500.000	SHRS	21.839	316,666.95	23.170	12-31-03	335,965.00	19,298.05

STATE	REM	Remington Oil & Gas Corp									
PUR	07-08-03	348179	42,200.000	18.722	792,191.06				17,200	322,883.56	Remington Oil & Gas Corp
SAL	10-03-03	354507	-12,000.000	18.401		220,204.06	-225,267.60	-5,063.54			Remington Oil & Gas Corp
SAL	10-06-03	354509	-13,000.000	18.441		239,073.08	-244,039.90	-4,966.82			Remington Oil & Gas Corp
TOTAL -			17,200.000		792,191.06	459,277.14	-469,307.50	-10,030.36	17,200	322,883.56	

2320

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE REM		Remington Oil & Gas Corp			17,200.000 SHRS	18.772	322,883.56	19.690	12-31-03	338,668.00	15,784.44
STATE THY		Thunder Energy Inc									
PUR	08-28-03	350255	6,800.000	4.800	32,849.44				6,800	32,849.44	Thunder Energy Inc
PUR	08-29-03	350340	2,200.000	4.903	10,787.26				2,200	10,787.26	Thunder Energy Inc
PUR	09-05-03	351118	2,000.000	4.994	10,048.00				0	0.00	Thunder Energy Inc
PUR	09-12-03	352514	1,000.000	5.026	5,056.20				0	0.00	Thunder Energy Inc
PUR	09-17-03	352515	6,700.000	4.998	33,687.60				800	4,022.40	Thunder Energy Inc
PUR	09-26-03	352542	4,200.000	4.832	20,420.82				4,200	20,420.82	Thunder Energy Inc
PUR	09-30-03	352543	100.000	4.675	467.51				100	467.51	Thunder Energy Inc
PUR	10-03-03	354496	100.000	4.838	486.81				100	486.81	Thunder Energy Inc
SAL	12-11-03	362147	-2,000.000	6.599		13,138.18	-10,048.00	3,090.18			Thunder Energy
SAL	12-12-03	362149	-3,900.000	6.444		25,053.60	-19,637.40	5,416.20			Thunder Energy
SAL	12-12-03	362150	-1,900.000	6.447		12,211.87	-9,553.20	2,658.67			Thunder Energy
SAL	12-15-03	360935	-100.000	6.435		641.47	-502.80	138.67			Thunder Energy Inc
SAL	12-19-03	360936	-1,000.000	6.398		6,377.70	-5,028.00	1,349.70			Thunder Energy Inc
TOTAL -			14,200.000		113,803.64	57,422.82	-44,769.40	12,653.42	14,200	69,034.24	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE THY		Thunder Energy Inc			7,900.000 SHRS	4.833	38,179.05	6.450	12-31-03	91,590.00	53,410.95
STATE TISD		Treasure Island Royalty Trust									
PUR	08-27-03	350253	257,500.000	0.310	82,400.00				257,500	82,400.00	Treasure Island Royalty Trust
PUR	08-28-03	350254	42,500.000	0.310	13,600.00				42,500	13,600.00	Treasure Island Royalty Trust
TOTAL -			300,000.000		96,000.00	0.00	0.00	0.00	300,000	96,000.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE TISD		Treasure Island Royalty Trust			300,000.000 SHRS	0.320	96,000.00	0.285	12-31-03	85,500.00	-10,500.00
STATE WGR		Western Gas Resources Inc									

2321

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	08-08-03	349177	20,000.000	37.600	753,000.00				20,000	753,000.00	Western Gas Resources
	TOTAL -		20,000.000		753,000.00	0.00	0.00	0.00	20,000	753,000.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE WGR	Western Gas Resources Inc	20,000.000	SHRS	37.650	753,000.00	47.250	12-31-03	945,000.00	192,000.00

STATE WPT	Westport Innovations Inc								
PUR	12-17-03	360929	6,000.000	1.121	6,784.20		6,000	6,784.20	Westport Innovations Inc
PUR	12-18-03	360930	3,500.000	1.033	3,649.10		3,500	3,649.10	Westport Innovations Inc
PUR	12-19-03	360931	4,800.000	0.960	4,657.44		4,800	4,657.44	Westport Innovations Inc
PUR	12-22-03	362106	4,100.000	0.993	4,113.53		4,100	4,113.53	Westport Innovations Inc
PUR	12-23-03	361540	2,000.000	0.984	1,987.40		2,000	1,987.40	Westport Innovations Inc
PUR	12-31-03	362139	28,300.000	1.007	28,769.78		28,300	28,769.78	Westport Innovations
	TOTAL -		48,700.000		49,961.45	0.00	0.00	0.00	48,700	49,961.45	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
STATE WPT	Westport Innovations Inc	48,700.000	SHRS	1.026	49,961.45	1.157	12-31-03	56,345.90	6,384.45

REPORT TOTAL -	51,223,406.36	23,366,283.08	-23,207,867.88	158,615.20	2,841,517	27,434,217.53

2322

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

SORT OPTION: BY ENTITY, INV

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CAFIF	CAFIF.OA Distributed Unrealized G(L)										
SAL	11-03-03	358526	-33,724.320	100.000		33,724.32	-33,724.32	0.00			To correct Investment G/L acct
	TOTAL -		-33,724.320		0.00	33,724.32	-33,724.32	0.00	0	0.00	
MG1	524908DD9 Lehman Brothers 6 25% 5/15/06										
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	MG1 524908DD9 Lehman Brothers 6.25% 5/15/06				200,000.000 PAR	108.629	217,258.00				-217,258.00
MG1	AZ.S0709 State Trans. Brd 5% 07/01/09										
PUR	05-22-03	340626	1,000,000.000	114.590	1,145,900.00				0	0.00	Arizona Trans Hgwy 5% 7/1/09
SAL	06-26-03	344538	-1,000,000.000	113.899		1,138,990.00	-1,145,900.00	-6,910.00			Arizona Trans. Bd 5% 07/01/09
	TOTAL -		0.000		1,145,900.00	1,138,990.00	-1,145,900.00	-6,910.00	0	0.00	
MG1	CA.SC1007 LA Cty Pbl Wks 5.375% 10/1/07										
SAL	07-02-03	346852	-500,000.000	113.074		565,170.00	-524,355.00	41,015.00			Los Angeles Pub 5 3/8% 10/1/07
SAL	09-26-03	352587	-1,500,000.000	113.493		1,702,395.00	-1,573,065.00	129,330.00			LA Cnty Pub Wrks 5.375% 10/07
	TOTAL -		-2,000,000.000		0.00	2,267,765.00	-2,097,420.00	170,345.00	0	0.00	
MG1	CT.SD0806 Bridgeport Tx 5.51% 08/15/06										
SAL	05-28-03	341525	-1,420,000.000	110.113		1,563,604.60	-1,420,000.00	143,604.60			Bridgeport Genl Tx 5.51% 8/06
	TOTAL -		-1,420,000.000		0.00	1,563,604.60	-1,420,000.00	143,604.60	0	0.00	
MG1	FL.ADJ0903 Orlando Utlty ADJSTABLE 9/1/03										
SAL	09-02-03	351246	-500,000.000	100.000		500,000.00	-500,000.00	0.00			Orlando Utilities Adj rate
	TOTAL -		-500,000.000		0.00	500,000.00	-500,000.00	0.00	0	0.00	
MG1	GA.GB0307 State of GA Gen Obl 6.25% 3/07										
SAL	05-28-03	341526	-1,000,000.000	115.929		1,159,290.00	-1,070,300.00	88,990.00			Georgia Genl Obl 6.25% 3/1/07

2323

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-1,000,000.000		0.00	1,159,290.00	-1,070,300.00	88,990.00	0	0.00	
MGI	HI.61109 HI Sch Imp 6% 11/01/09										
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
	MGI HI.61109 HI Sch Imp 6% 11/01/09		1,000,000.000	PAR	106.910	1,069,100.00	117.709	12-31-03	1,177,090.00	107,990.00	
MGI	IL.5F1107 Chicago Wtr Lien 5.75% 11/1/07										
SAL	06-26-03	344540	-1,000,000.000	115.556		1,155,560.00	-1,056,150.00	99,410.00			Chicago Wtr Lien 5.75% 11/1/07
	TOTAL -		-1,000,000.000		0.00	1,155,560.00	-1,056,150.00	99,410.00	0	0.00	
MGI	IL.60106 IL O'Hare Arpt 6% 01/01/06										
SAL	05-22-03	340638	-1,000,000.000	110.936		1,109,360.00	-1,071,770.00	37,590.00			Ohare Int'l 6% 01/01/06
SAL	05-23-03	340629	-1,000,000.000	111.013		1,110,130.00	-1,080,360.00	29,770.00			Ohare Int'l 6% 01/01/06
SAL	05-28-03	341527	-1,000,000.000	110.870		1,108,700.00	-1,080,360.00	28,340.00			Ohare Int'l Air. 6% 1/1/06
	TOTAL -		-3,000,000.000		0.00	3,328,190.00	-3,232,490.00	95,700.00	0	0.00	
MGI	IL.70708 Educ Facil Auth 7% 07/1/08										
POR	10-08-03	354236	1,000,000.000	114.473	1,144,730.00				1,000,000	1,144,730.00	Educ Facil Auth 7% 07/1/08
	TOTAL -		1,000,000.000		1,144,730.00	0.00	0.00	0.00	1,000,000	1,144,730.00	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
	MGI IL.70708 Educ Facil Auth 7% 07/1/08		500,000.000	PAR	114.473	572,365.00	113.172	12-31-03	1,131,720.00	559,355.00	
MGI	IL.7F0608 Reg Trans Auth,IL 7.75% 6/1/08										
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
	MGI IL.7F0608 Reg Trans Auth,IL 7.75% 6/1/08		500,000.000	PAR	112.488	562,440.00	121.864	12-31-03	609,320.00	46,880.00	
MGI	IN.6G0711 Lawrence Township 6 7/8% 07/11										
SAL	08-01-03	349077	-1,000,000.000	116.714		1,167,140.00	-1,102,210.00	64,930.00			Lawrence Schl 6 7/8% 7/05/11
	TOTAL -		-1,000,000.000		0.00	1,167,140.00	-1,102,210.00	64,930.00	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION		QUANTITY UOM		AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
	MG1 IM.6G0711 Lawrence Township 6 7/8% 07/11		1,000,000.000 PAR		110.221	1,102,210.00	121.798	12-31-03	1,217,980.00	115,770.00	
MG1	JPMFEDTE JP Morgan Instl. Fed. Tx Ex Fd										
PUR	01-02-03	328195	348,825.820	1.000	348,825.82			0	0.00	JPM Instit. Fed MM Sweep Fund	
SAL	01-03-03	328212	-450,000.000	1.000		450,000.00	-450,000.00	0.00		JPM Instit. Federal MM Sweep	
SAL	01-14-03	328213	-1,238,081.660	1.000		1,238,081.66	-1,238,081.66	0.00		JPM Instit. Federal MM Sweep	
PUR	01-17-03	328196	1,271,441.030	1.000	1,271,441.03			0	0.00	JPM Instit. Fed MM Sweep Fund	
PUR	01-31-03	329150	1,741,756.450	1.000	1,741,756.45			0	0.00	JPM Instit. Fed. MM Sweep Fund	
SAL	02-13-03	331184	-3,013,197.480	1.000		3,013,197.48	-3,013,197.48	0.00		JPM Instit. Fed MM Sweep Fund	
PUR	02-14-03	331180	3,036,439.670	1.000	3,036,439.67			0	0.00	JPM Instit. Fed. MM Sweep Fund	
PUR	02-28-03	331903	8,682,608.590	1.000	8,682,608.59			0	0.00	JPM Instit. Fed. MM Sweep Fund	
SAL	03-03-03	334195	-8,091,500.000	1.000		8,091,500.00	-8,091,500.00	0.00		JPM Instit. Fed. MM Sweep Fund	
PUR	03-04-03	334176	39,676.600	1.000	39,676.60			0	0.00	JPM Instit. Fed. MM Sweep Fund	
SAL	03-13-03	334198	-3,610,468.750	1.000		3,610,468.75	-3,610,468.75	0.00		JPM Instit. Fed. MM Sweep Fund	
PUR	03-14-03	334182	3,643,144.530	1.000	3,643,144.53			0	0.00	JPM Instit. Fed. MM Sweep Fund	
PUR	03-27-03	334659	42,082.420	1.000	42,082.42			0	0.00	JPM Prime MM Sweep Fund	
PUR	03-31-03	334660	25,333.650	1.000	25,333.65			0	0.00	JPM Prime MM Sweep Fund	
SAL	04-02-03	336385	-22,800.020	1.000		22,800.02	-22,800.02	0.00		JPM Instit. Fed. MM Sweep Fund	
SAL	04-14-03	336386	-1,777.350	1.000		1,777.35	-1,777.35	0.00		JPM Instit. Fed. MM Sweep Fund	
PUR	05-01-03	340198	18,614.500	1.000	18,614.50			0	0.00	JPM Instit. Fed. MM Sweep Fund	
SAL	05-14-03	340200	-3,620,312.500	1.000		3,620,312.50	-3,620,312.50	0.00		JPM Instit. Fed. MM Sweep Fund	
PUR	05-15-03	340199	3,629,335.940	1.000	3,629,335.94			0	0.00	JPM Instit. Fed. MM Sweep Fund	
SAL	05-28-03	340637	-36,540.000	1.000		36,540.00	-36,540.00	0.00		JPM Instit. Fed MM Sweep Fund	
SAL	05-29-03	340641	-25,450.000	1.000		25,450.00	-25,450.00	0.00		JPM Instit. Fed MM Sweep Fund	
PUR	06-02-03	342809	5,539,599.600	1.000	5,539,599.60			0	0.00	JPM Instit. Federal MM Sweep	
SAL	06-03-03	342811	-4,550,115.530	1.000		4,550,115.53	-4,550,115.53	0.00		JPM Instit. Federal MM Sweep	
SAL	06-12-03	342812	-3,618,671.880	1.000		3,618,671.88	-3,618,671.88	0.00		JPM Instit. Federal MM Sweep	
PUR	06-13-03	342810	3,629,609.380	1.000	3,629,609.38			0	0.00	JPM Instit. Federal MM Sweep	
SAL	06-19-03	343614	-1,166,182.870	1.000		1,166,182.87	-1,166,182.87	0.00		JPM Instit. Fed. MM Sweep Fund	
PUR	07-01-03	346846	8,544,912.960	1.000	8,544,912.96			0	0.00	JPM Instit Fed MM Sweep Fund	
SAL	07-02-03	346853	-9,700,000.000	1.000		9,700,000.00	-9,700,000.00	0.00		JPM Instit Fed MM Sweep Fund	
PUR	07-03-03	346847	565,370.000	1.000	565,370.00			0	0.00	JPM Instit Fed MM Sweep Fund	
PUR	07-14-03	346848	47,441.410	1.000	47,441.41			0	0.00	JPM Instit Fed MM Sweep Fund	
PUR	08-04-03	349081	2,327,070.000	1.000	2,327,070.00			0	0.00	JPM Instit. Fed MM Sweep Fund	

2325

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Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	08-05-03	349082	-2,000,000.000	1.000		2,000,000.00	-2,000,000.00	0.00			JPM Instit Fed MM Sweep Fund
SAL	08-12-03	349084	-700,000.000	1.000		700,000.00	-700,000.00	0.00			JPM Instit Fed MM Sweep Fund
SAL	08-13-03	349085	-112,474.060	1.000		112,474.06	-112,474.06	0.00			JPM Instit Fed MM Sweep Fund
SAL	08-19-03	349527	-32,766.150	1.000		32,766.15	-32,766.15	0.00			JPM Instit Fed MM Sweep Fund
PUR	09-02-03	351244	510,625.000	1.000	510,625.00				0	0.00	JPM Inst. Fed. MM Sweep Fund
PUR	09-15-03	351245	38,476.560	1.000	38,476.56				0	0.00	JPM Inst. Fed. MM Sweep Fund
SAL	09-18-03	351531	-303,774.000	1.000		303,774.00	-303,774.00	0.00			JPM Federal Instit MM Fund
PUR	09-29-03	352068	43,313.640	1.000	43,313.64				0	0.00	JPM Federal MM T/E Sweep Fund
PUR	09-30-03	352336	34,260.890	1.000	34,260.89				0	0.00	JPM Instit Federal MM Sweep Fd
PUR	10-01-03	354234	362,110.280	1.000	362,110.28				0	0.00	JPM Instit Fed MM Sweep Fund
PUR	10-02-03	354235	1,156,239.290	1.000	1,156,239.29				0	0.00	JPM Instit Fed MM Sweep Fund
SAL	10-14-03	354237	-1,144,730.000	1.000		1,144,730.00	-1,144,730.00	0.00			JPM Instit Fed MM Sweep Fund
SAL	10-15-03	354649	-3,178,701.800	1.000		3,178,701.80	-3,178,701.80	0.00			JPM Instit. Fed. MM Sweep Fund
PUR	10-16-03	354647	1,635,236.060	1.000	1,635,236.06				972,656	972,656.05	JPM Istit Fed MM Sweep Fund
SAL	10-23-03	354650	-546,120.000	1.000		546,120.00	-546,120.00	0.00			JPM Instit. Fed. MM Sweep Fund
PUR	10-29-03	354887	257,877.460	1.000	257,877.46				257,877	257,877.46	JPM Instit. Fed. MM Sweep Fund
SAL	10-31-03	355339	-31,340.570	1.000		31,340.57	-31,340.57	0.00			JPM Prime MM Sweep Fund
PUR	11-06-03	356967	52,015.140	1.000	52,015.14				52,015	52,015.14	JPM Instit Fed MM Sweep Fund
SAL	11-13-03	356969	-2,109.370	1.000		2,109.37	-2,109.37	0.00			JPM Instit. Fed MM Sweep Fund
SAL	11-17-03	357349	-183.320	1.000		183.32	-183.32	0.00			JPM Instit. Fed MM Sweep Fund
SAL	11-18-03	357350	-69,428.310	1.000		69,428.31	-69,428.31	0.00			JPM Instit. Fed MM Sweep Fund
PUR	12-10-03	360101	13,398.440	1.000	13,398.44				13,398	13,398.44	JPM Instit. Federal MM Sweep
SAL	12-11-03	360104	-13,398.440	1.000		13,398.44	-13,398.44	0.00			JPM Instit. Federal MM Sweep
PUR	12-12-03	361810	13,398.440	1.000	13,398.44				13,398	13,398.44	JPM Instit Fed MM Sweep Fund
	TOTAL -		-29,910.310		47,250,213.75	47,280,124.06	-47,280,124.06	0.00	1,309,346	1,309,346.53	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MG1	JPMFEDTE	JP Morgan Instl. Fed. Tx Ex Fd	1,285,261.560	UNTS	1.000	1,285,261.56	1.000	12-31-03	1,309,345.53	24,083.97

MG1 MA.5F0910 Fitchburg Coll. 5.85% 9/27/10

SAL	03-27-03	334658	-42,082.420	100.000		42,082.42	-42,776.56	-694.14			Fitchburg College 5.85% 9/10
SAL	09-29-03	352070	-43,313.640	100.000		43,313.64	-44,028.09	-714.45			Fitchburg College 5.85% 09/10
	TOTAL -		-85,396.060		0.00	85,396.06	-86,804.65	-1,408.59	0	0.00	

04-26-04

FC3.3

Market Street Trust Company

PURCHASE / SALE DETAIL HISTORY REPORT

BOOK BASIS - FROM 01-01-03 TO 12-31-03

PAGE

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MG1 MA.5P0910 Fitchburg Coll. 5.85% 9/27/10					713,320.300	PAR	101.649	725,086.38	106.963	12-31-03	810,673.84	85,587.46
MG1 MA.7X0308 MA Bay Trans 7% 03/01/08											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MG1 MA.7X0308 MA Bay Trans 7% 03/01/08					500,000.000	PAR	102.687	513,435.00	117.603	12-31-03	588,015.00	74,580.00
MG1 MD.4G0704 MD Tx Expt 4.893% 07/01/04											
SAL	01-02-03	328208	-94,021.430	100.000		94,021.43	-94,548.98	-527.55			Maryland Tax Exempt Lease 84
SAL	06-17-03	343615	-20,000.000	101.948		20,389.60	-20,112.22	277.38			Maryland TE Lease 4.893% 7/1/4
SAL	07-01-03	346849	-89,818.990	100.000		89,818.99	-90,322.97	-503.98			Maryland T/E 4.893% 7/1/04
SAL	09-29-03	352595	-186,293.570	101.676		189,415.85	-187,338.73	2,077.12			MD Tax Exempt 4.893% 07/1/04
TOTAL -			-390,133.990		0.00	393,645.87	-392,322.90	1,322.97	0	0.00	
MG1 MD.5B0705 Baltimore C.C. 5.184% 07/01/05											
SAL	01-02-03	328209	-104,161.820	100.000		104,161.82	-104,977.55	-815.73			Maryland Tax Exempt Lease 5.18
SAL	07-01-03	346850	-106,982.250	100.000		106,982.25	-107,820.06	-837.81			Maryland T/E 5.184% 7/1/05
SAL	09-29-03	352598	-455,919.690	103.310		471,010.63	-459,490.15	11,520.48			MD Tax Exempt 5.184% 07/1/05
TOTAL -			-667,063.760		0.00	682,154.70	-672,287.76	9,866.94	0	0.00	
MG1 MD.ADJ0706 Maryland TE No 20 07/02/06											
SAL	01-02-03	328211	-177,500.540	100.000		177,500.54	-179,247.36	-1,746.82			Maryland Tax Exempt Lease 820
SAL	07-01-03	346851	-180,329.070	100.000		180,329.07	-182,103.72	-1,774.65			Maryland T/E Takedown 20 7/06
TOTAL -			-357,829.610		0.00	357,829.61	-361,351.08	-3,521.47	0	0.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MG1 MD.ADJ0706 Maryland TE No 20 07/02/06					249,274.720	PAR	100.984	251,727.88	100.344	12-31-03	433,965.19	182,237.31
MG1 MI.5D1006 MI Bldg Auth 5.5% 10/15/06											
SAL	06-02-03	342818	-2,535,000.000	112.505		2,852,001.75	-2,681,776.50	170,225.25			Mich Bldg Auth 5.5% 10/15/06

04-26-04
PC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-2,535,000.000		0.00	2,852,001.75	-2,681,776.50	170,225.25	0	0.00	
MG1	MI.5P0804 MI St Hosp 5.75% 08/15/04										
SAL	06-26-03	344542	-1,445,000.000	104.895		1,515,732.75	-1,483,494.80	32,237.95			Mich Hosp Fin. Auth 5.75% 8/04
	TOTAL -		-1,445,000.000		0.00	1,515,732.75	-1,483,494.80	32,237.95	0	0.00	
MG1	MS.6P0907 Jackson Wtr&Swr 6.75% 09/1/07										
SAL	06-26-03	344536	-1,015,000.000	118.916		1,206,997.40	-1,109,831.45	97,165.95			Jackson Wtr&Swr 6.75% 09/1/07
	TOTAL -		-1,015,000.000		0.00	1,206,997.40	-1,109,831.45	97,165.95	0	0.00	
MG1	NC.2D0905 NC Board of Ed 2.5% 09/18/05										
PUR	09-17-03	351532	300,000.000	101.258	303,774.00				0	0.00	NC Board of Ed 2.5% 09/18/05
SAL	09-26-03	352593	-300,000.000	101.282		303,846.00	-303,774.00	72.00			NC Board of Ed 2.5% 09/18/05
	TOTAL -		0.000		303,774.00	303,846.00	-303,774.00	72.00	0	0.00	
MG1	NC.4D3D4 NC Pub Imp Unlim Tx 4% 3/1/04										
SAL	02-25-03	331905	-2,000,000.000	102.573		2,051,460.00	-2,002,880.00	48,580.00			NC Pub Imp Tax 4% 03/01/04
	TOTAL -		-2,000,000.000		0.00	2,051,460.00	-2,002,880.00	48,580.00	0	0.00	
MG1	NJ.6B0110X NJ Garden State PWY 6.2% 01/10										
SAL	09-26-03	352585	-2,000,000.000	117.077		2,341,540.00	-2,134,580.00	206,960.00			NJ Highway Auth 6.2% 01/1/10
	TOTAL -		-2,000,000.000		0.00	2,341,540.00	-2,134,580.00	206,960.00	0	0.00	
MG1	NY.5B0121 NYS Urban Dev 5.25% 01/1/21										
PUR	10-14-03	354651	500,000.000	109.224	546,120.00				500,000	546,120.00	NYS Urban Dev Corp 5.5% 1/1/21
	TOTAL -		500,000.000		546,120.00	0.00	0.00	0.00	500,000	546,120.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MG1	NY.5B0121 NYS Urban Dev 5.25% 01/1/21	500,000.000	PAR	109.224	546,120.00	110.174	12-31-03	550,870.00	4,750.00

ENTITY TYPE	INVESTMENT DESCRIPTION TEN	DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MG1	OH.50608	Genl Oblig Facs 5% 06/01/08										
PUR	05-23-03		340627	1,000,000.000	113.558	1,135,580.00				0	0.00	Ohio Genl Oblig 5% 06/01/08
SAL	06-26-03		344541	-1,000,000.000	112.584		1,125,840.00	-1,135,580.00	-9,740.00			Ohio Gen Oblig 5% 06/1/08
	TOTAL -			0.000		1,135,580.00	1,125,840.00	-1,135,580.00	-9,740.00	0	0.00	
MG1	OH.5D0226	Ohio Turnpike 5.5% 02/15/26										
SAL	02-25-03		331920	-1,550,000.000	113.070		1,752,585.00	-1,638,505.00	114,080.00			Ohio Turnpike 5.5% 02/15/26
	TOTAL -			-1,550,000.000		0.00	1,752,585.00	-1,638,505.00	114,080.00	0	0.00	
MG1	TN.50507	Tenn. Genl Purp Tx 5% 05/01/07										
SAL	02-25-03		331916	-1,000,000.000	111.159		1,111,590.00	-1,058,870.00	52,720.00			State of Tenn 5% 05/01/07
	TOTAL -			-1,000,000.000		0.00	1,111,590.00	-1,058,870.00	52,720.00	0	0.00	
MG1	TX.5B0811	Trinity River Auth 5.25% 8/11										
PUR	06-16-03		343613	1,000,000.000	117.674	1,176,740.00				1,000,000	1,176,740.00	Trinity River Auth 5.25% 8/11
	TOTAL -			1,000,000.000		1,176,740.00	0.00	0.00	0.00	1,000,000	1,176,740.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MG1	TX.5B0811 Trinity River Auth 5.25% 8/11	1,000,000.000	PAR	117.674	1,176,740.00	113.485	12-31-03	1,134,850.00	-41,890.00

MG1	TX.5B1008	Texas Pub. Fin. 5.25% 10/1/08										
SAL	02-25-03		331921	-3,300,000.000	113.394	-	3,742,002.00	-3,722,466.00	19,536.00			State of Texas 5.25% 10/1/08
	TOTAL -			-3,300,000.000		0.00	3,742,002.00	-3,722,466.00	19,536.00	0	0.00	
MG1	TX.5D1008	Dallas Wtrwks&Swr 5.5% 10/1/08										
SAL	06-02-03		342813	-1,000,000.000	116.317		1,163,170.00	-1,143,140.00	20,030.00			Dallas Wtrwks 5.5% 10/1/08
	TOTAL -			-1,000,000.000		0.00	1,163,170.00	-1,143,140.00	20,030.00	0	0.00	
MG1	TX.5D1206	Houston Wtr&Swr 5.5% 12/01/06										
SAL	06-02-03		342816	-1,000,000.000	113.734		1,137,340.00	-1,025,640.00	111,700.00			Houston Wtr&Swr 5.5% 12/1/06

2329

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-1,000,000.000		0.00	1,137,340.00	-1,025,640.00	111,700.00	0	0.00	
MG1	TX.60909 City of Austin 6% 09/01/09										
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	MG1 TX.60909 City of Austin 6% 09/01/09				1,360,000.000 PAR	104.985	1,427,796.00	116.781	12-31-03	1,588,221.60	160,425.60
MG1	TX.61008 TX Pbl Fnce 6% 10/01/08										
SAL	09-26-03	352583	-1,000,000.000	116.697		1,166,970.00	-1,063,470.00	103,500.00			Texas Public Fin 6% 10/1/08
	TOTAL -		-1,000,000.000		0.00	1,166,970.00	-1,063,470.00	103,500.00	0	0.00	
MG1	TX.6D0808 Harris Cty Toll Rd 6.5% 08/08										
SAL	08-01-03	349076	-1,000,000.000	115.993		1,159,930.00	-1,089,010.00	70,920.00			Harris County TX 6.5% 8/15/08
SAL	09-26-03	352580	-1,000,000.000	118.742		1,187,420.00	-1,089,010.00	98,410.00			Harris Cnty 6.5% 08/15/08
	TOTAL -		-2,000,000.000		0.00	2,347,350.00	-2,178,020.00	169,330.00	0	0.00	
MG1	TX.6D1105 Austin TX Util 6.5% 11/15/05										
SAL	06-02-03	342817	-980,000.000	112.317		1,100,706.60	-1,017,063.60	83,643.00			Austin Util Sys 6.5% 11/15/05
	TOTAL -		-980,000.000		0.00	1,100,706.60	-1,017,063.60	83,643.00	0	0.00	
MG1	TX.6D1105A Austin TX 6.5% 11/15/05-Escrow										
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	MG1 TX.6D1105A Austin TX 6.5% 11/15/05-Escrow				20,000.000 PAR	103.782	20,756.40	109.398	12-31-03	21,879.60	1,123.20
MG1	TX.980410 Dallas Cty Flood 9.25% 04/10										
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	MG1 TX.980410 Dallas Cty Flood 9.25% 04/10				500,000.000 PAR	121.502	607,510.00	127.800	12-31-03	639,000.00	31,490.00
MG1	TX.ADJ8/98 Conroe Ind Dev Adj 1st 9/1/98										
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	MG1 TX.ADJ8/98 Conroe Ind Dev Adj 1st 9/1/98				851,428.580 PAR	100.000	851,428.58	102.436	12-31-03	872,169.38	20,740.80

2330

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MG1	TX.ADJ9101 Pearld TX Wtr & Swr ADJ 9/1/01										
SAL	09-02-03	351248	-10,625.000	100.000		10,625.00	-10,625.00	0.00			City of Pearland Partial Issue
SAL	09-29-03	352601	-470,625.000	103.616		487,642.80	-470,625.00	17,017.80			City of Pearland ADJ 09/1/20
	TOTAL -		-481,250.000		0.00	498,267.80	-481,250.00	17,017.80	0	0.00	
MG1	US.3A1210 Triad Auto Rec. 3.16% 12/13/10										
PUR	10-20-03	354889	150,000.000	99.998	149,997.54				150,000	149,997.54	Triad Auto Rec. 3.16% 12/13/10
	TOTAL -		150,000.000		149,997.54	0.00	0.00	0.00	150,000	149,997.54	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	DOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MG1	US.3A1210 Triad Auto Rec. 3.16% 12/13/10	150,000.000	PAR	99.998	149,997.54	100.506	12-31-03	150,759.00	761.46

MG1	US.3G0609 Americredit Auto 3.67% 6/8/09										
SAL	10-24-03	354888	-400,000.000	101.969		407,875.00	-399,939.64	7,935.36			Americredit Auto 3.67% 6/8/09
	TOTAL -		-400,000.000		0.00	407,875.00	-399,939.64	7,935.36	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	DOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
MG1	US.3G0609 Americredit Auto 3.67% 6/8/09	400,000.000	PAR	99.985	399,939.64	102.240	12-31-03	408,960.00	9,020.36

MG1	US.5D0133 FNMA 30 YR 5.50% CMT Due 2033										
PUR	12-05-03	361809	1,000,000.000	100.843	1,008,437.50				1,000,000	1,008,437.50	FNMA 30 YR 5.50% CMT Due 2033
	TOTAL -		1,000,000.000		1,008,437.50	0.00	0.00	0.00	1,000,000	1,008,437.50	
MG1	US.5D0732 FNMA 5.5 % CMTMT July 1 2032										
PUR	04-28-03	338789	3,500,000.000	101.660	3,558,105.47				0	0.00	FNMA 5.5% CMTMT July 1 2032
SAL	05-14-03	341524	-3,500,000.000	103.015		3,605,546.88	-3,558,105.47	47,441.41			FNMA 5.5% CMTMT Due July 1-32
	TOTAL -		0.000		3,558,105.47	3,605,546.88	-3,558,105.47	47,441.41	0	0.00	
MG1	US.5D0833 FNMA 5.5 % CMTMT August 1-2033										

Market Street Trust Company

04-26-04 PURCHASE / SALE DETAIL HISTORY REPORT

FC3.3 BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	05-14-03	341521	3,500,000.000	102.625	3,591,875.00				0	0.00	FNMA 5.5% CMTMT Due Aug 1 2033
SAL	06-30-03	344545	-1,000,000.000	102.969		1,029,687.50	-1,026,250.00	3,437.50			FNMA 5.5% CMTMT 8/1/33
SAL	07-31-03	348009	-2,500,000.000	98.906		2,472,656.25	-2,565,625.00	-92,968.75			FNMA 5.5% CMTMT August 1 2033
	TOTAL -		0.000		3,591,875.00	3,502,343.75	-3,591,875.00	-89,531.25	0	0.00	
MG1	US.5D0933	FNMA 5.5% 30 YR TBA 09/01/33									
PUR	07-31-03	348008	2,500,000.000	98.469	2,461,718.75				0	0.00	FNMA 30 YR TBA 5.5% 09/01/33
SAL	09-09-03	351250	-2,500,000.000	100.006		2,500,195.31	-2,461,718.75	38,476.56			FNMA 30 YR 5.5% 09/1/33
	TOTAL -		0.000		2,461,718.75	2,500,195.31	-2,461,718.75	38,476.56	0	0.00	
MG1	US.5D1033	FNMA 5.5% 30 YR TBA 10/01/33									
PUR	09-09-03	353083	2,500,000.000	99.640	2,491,015.63				0	0.00	FNMA 30 YR TBA 5.5% 10/1/33
SAL	09-11-03	353084	-500,000.000	100.375		501,875.00	-498,203.13	3,671.87			FNMA 30 YR TBA 5.5% 10/1/33
SAL	10-07-03	354648	-2,000,000.000	100.918		2,018,359.38	-1,992,812.50	25,546.88			FNMA 30 YR TBA 5.5% 10/1/33
	TOTAL -		0.000		2,491,015.63	2,520,334.38	-2,491,015.63	29,218.75	0	0.00	
MG1	US.5D1133	FNMA 5.5% 30 YR TBA 11/01/33									
PUR	10-07-03	355649	2,000,000.000	100.563	2,011,250.00				0	0.00	FNMA 30 YR TBA 5.5% 11/1/33
SAL	10-08-03	355652	-1,000,000.000	100.672		1,006,718.75	-1,005,625.00	1,093.75			FNMA 30 YR TBA 5.5% 11/1/33
SAL	11-07-03	356968	-1,000,000.000	100.242		1,002,421.88	-1,005,625.00	-3,203.12			FNMA 30 YR TBA 5.5% 11/1/33
	TOTAL -		0.000		2,011,250.00	2,009,140.63	-2,011,250.00	-2,109.37	0	0.00	
MG1	US.5D1233	FNMA 5.5% 30 YR TBA 12/01/33									
PUR	11-07-03	358516	1,000,000.000	99.890	998,906.25				0	0.00	FNMA 30 YR TBA 5.5% 12/1/33
SAL	12-05-03	360103	-1,000,000.000	101.230		1,012,304.69	-998,906.25	13,398.44			FNMA 30 YR TBA 5.5% 12/1/33
	TOTAL -		0.000		998,906.25	1,012,304.69	-998,906.25	13,398.44	0	0.00	
MG1	US.60133	Fannie Mae 6% CMT 01/15/33									
SAL	01-09-03	328214	-3,500,000.000	103.281		3,614,843.75	-3,581,484.38	33,359.37			FNMA 30 Yr 6% CMT Due 2033-Jan
	TOTAL -		-3,500,000.000		0.00	3,614,843.75	-3,581,484.38	33,359.37	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION / TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
MG1	US.60333	FNMA 6% CMTMT 02/01/33									
PUR	01-09-03	329762	3,500,000.000	102.875	3,600,625.00				0	0.00	FNMA 6% CMTMT 02/01/33
SAL	02-05-03	331182	-3,500,000.000	103.539		3,623,867.19	-3,600,625.00	23,242.19			FNMA 6% CMTMT 02/01/33
	TOTAL -		0.000		3,600,625.00	3,623,867.19	-3,600,625.00	23,242.19	0	0.00	
MG1	US.60333	FNMA 30 YR 6% CMT 03/01/33									
PUR	02-05-03	332732	3,500,000.000	103.156	3,610,468.75				0	0.00	FNMA 30 YR 6% CMT 03/01/33
SAL	03-06-03	334200	-3,500,000.000	104.090		3,643,144.53	-3,610,468.75	32,675.78			FNMA 30 YR 6% CMT 03/1/33
	TOTAL -		0.000		3,610,468.75	3,643,144.53	-3,610,468.75	32,675.78	0	0.00	
MG1	US.60433	FNMA 30 YR CMT 6% 04-2033									
PUR	03-06-03	335245	3,500,000.000	103.781	3,632,343.75				0	0.00	FNMA 30 YR CMT 6% 04-2033
SAL	03-31-03	335247	-3,500,000.000	103.730		3,630,566.40	-3,632,343.75	-1,777.35			FNMA 30 YR CMT 6% Due 2033
	TOTAL -		0.000		3,632,343.75	3,630,566.40	-3,632,343.75	-1,777.35	0	0.00	
MG1	US.60533	FNMA 30 YR CMT 6% 05-2033									
PUR	03-31-03	335246	3,500,000.000	103.437	3,620,312.50				0	0.00	FNMA 30 YR CMT 6% 05-2033
SAL	04-23-03	338790	-1,500,000.000	103.695		3,629,335.94	-3,620,312.50	9,023.44			FNMA 30 YR CMT 6% May 2033
	TOTAL -		0.000		3,620,312.50	3,629,335.94	-3,620,312.50	9,023.44	0	0.00	
MG1	US.60633	FNMA 6% CMT June 1 2033									
PUR	04-23-03	338788	3,500,000.000	103.390	3,618,671.88				0	0.00	FNMA 6% CMT June 1 2033
SAL	04-28-03	338791	-3,500,000.000	103.703		3,629,609.38	-3,618,671.88	10,937.50			FNMA 6% CMT June 1 2033
	TOTAL -		0.000		3,618,671.88	3,629,609.38	-3,618,671.88	10,937.50	0	0.00	
MG1	UT.SB0509	UT Ste Bldg 5.50% 05/15/09									
SAL	06-26-03	344544	-1,750,000.000	115.695		2,024,662.50	-1,896,842.50	127,820.00			Utah Bldg Auth 5.5% 05/15/09
	TOTAL -		-1,750,000.000		0.00	2,024,662.50	-1,896,842.50	127,820.00	0	0.00	
MG1	UT.SG0512	Utah State Bldg 5.9% 05/15/12									
SAL	06-02-03	342814	-1,000,000.000	114.599		1,145,990.00	1,038,800.00	107,190.00			Utah State Bldg 5.9% 5/15/12

2333

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-1,000,000.000		0.00	1,145,990.00	-1,038,800.00	107,190.00	0	0.00	
MGI	WA.50611 King Cnty Schl Dist 5% 6/1/11										
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
	MGI WA.50611 King Cnty Schl Dist 5% 6/1/11		650,000.000	PAR	113.918	740,467.00				-740,467.00	
MGI	WA.60707 WA Pblc Pwr Nuc 6% 07/01/07										
SAL	09-26-03	352591	-2,000,000.000	114.242		2,284,840.00	-2,126,520.00	158,320.00			Wash Pub Pwr 6% 07/01/07
	TOTAL -		-2,000,000.000		0.00	2,284,840.00	-2,126,520.00	158,320.00	0	0.00	
MGI	WI.50908 City of Milwaukee 5% 09/01/08										
SAL	05-28-03	341529	-1,500,000.000	113.867		1,708,005.00	-1,673,445.00	34,560.00			Milwaukee Wisc 5% 09/01/08
	TOTAL -		-1,500,000.000		0.00	1,708,005.00	-1,673,445.00	34,560.00	0	0.00	
MGI	WI.ADJ0316 WI Hlth & Ed Lutheran Home										
SAL	03-03-03	334186	-39,676.600	100.000		39,676.60	-39,676.60	0.00			Wisconsin HEFA ADJ 03/1/16
	TOTAL -		-39,676.600		0.00	39,676.60	-39,676.60	0.00	0	0.00	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
	MGI WI.ADJ0316 WI Hlth & Ed Lutheran Home		256,646.800	PAR	100.000	256,646.80	100.401	12-31-03	257,675.95	1,029.15	
OFFIT1	AZ.4E0105A Salt River Agri 4.6% 01/01/05										
SAL	08-05-03	349094	-100,000.000	104.724		104,724.00	-94,270.00	10,454.00			Salt River Proj 4.60% 1/01/05
	TOTAL -		-100,000.000		0.00	104,724.00	-94,270.00	10,454.00	0	0.00	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
	OFFIT1 AZ.4E0105A Salt River Agri 4.6% 01/01/05		230,000.000	PAR	94.270	216,821.00	103.413	12-31-03	237,849.90	21,028.90	
OFFIT1	AZ.4E0105B Salt River Agri 4.6% 01/01/05										
SAL	05-29-03	341540	-170,000.000	105.016		178,527.20	-160,259.00	18,268.20			Salt River Proj 4.6% 1/01/05

2334

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
TOTAL -			-170,000.000		0.00	178,529.20	-160,259.00	18,268.20	0	0.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT1 AZ.4E0105B Salt River Agri 4.6% 01/01/05					500,000.000 PAR	94.270	471,350.00	103.423	12-31-03	517,115.00	45,765.00
OFFIT1 CA.5C0712 Sacramento Muni Util 5.3% 7/12											
SAL	06-30-03	344554	-40,000.000	100.000		40,000.00	-39,100.00	900.00			Sacramento Muni 5.3% 7/1/12
TOTAL -			-40,000.000		0.00	40,000.00	-39,100.00	900.00	0	0.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT1 CA.5C0712 Sacramento Muni Util 5.3% 7/12					530,000.000 PAR	97.750	518,075.00	111.811	12-31-03	592,598.30	74,523.30
OFFIT1 CO.5C0910 CO. WTR Power Dev 5.375% 09/10											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT1 CO.5C0910 CO. WTR Power Dev 5.375% 09/10					500,000.000 PAR	99.874	499,370.00	115.077	12-31-03	575,385.00	76,015.00
OFFIT1 CO.5C0911 CO. WTR Power Dev 5.4 9/01/11											
SAL	06-26-03	344561	-600,000.000	114.819		688,914.00	-595,962.00	92,952.00			Wtr Res. Power 5.4% 09/1/11
TOTAL -			-600,000.000		0.00	688,914.00	-595,962.00	92,952.00	0	0.00	
OFFIT1 CO.5D01C8 City&Cnty Denver 5.5% 01/01/08											
SAL	04-22-03	336907	-2,075,000.000	110.040		2,283,330.00	-2,079,959.25	203,370.75			Denver Spec Facil. 5.5% 1/1/08
TOTAL -			-2,075,000.000		0.00	2,283,330.00	-2,079,959.25	203,370.75	0	0.00	
OFFIT1 CO.5D1209 Arapahoe CTY 5.50% 12/15/09											
SAL	07-31-03	348010	-550,000.000	112.461		618,535.50	-567,930.00	50,605.50			Arapahoe Schl Dist 5.5% 12/09
TOTAL -			-550,000.000		0.00	618,535.50	-567,930.00	50,605.50	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT1 CO.5D1209 Arapahoe CTY 5.50% 12/15/09					1,000,000.000	PAR	103.260	1,032,600.00	115.149	12-31-03	1,151,490.00	118,890.00
OFFIT1 CO.5D1212 Arapahoe Cty School 5.5% 12/12											
SAL	05-27-03	341536	-1,550,000.000	116.147		1,800,278.50	-1,566,166.50	234,112.00			Arapahoe Schl Dist 5.5% 12/12
TOTAL -			-1,550,000.000		0.00	1,800,278.50	-1,566,166.50	234,112.00	0	0.00	
OFFIT1 FL.4G1007 Jksnvile FL Sls Tx 4.9% 10/07											
SAL	06-26-03	344563	-1,000,000.000	109.964		1,099,640.00	-995,800.00	103,840.00			Jacksonville Tx 4.9% 10/1/07
TOTAL -			-1,000,000.000		0.00	1,099,640.00	-995,800.00	103,840.00	0	0.00	
OFFIT1 FL.5C0604 FL Bd of Educ 5.4% 6/1/04											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT1 FL.5C0604 FL Bd of Educ 5.4% 6/1/04					500,000.000	PAR	102.269	511,345.00	106.720	12-31-03	533,600.00	22,255.00
OFFIT1 FL.6C0407 Orlando FL Utiliti 6.3% 4/1/07											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT1 FL.6C0407 Orlando FL Utiliti 6.3% 4/1/07					500,000.000	PAR	111.175	555,875.00	113.811	12-31-03	569,055.00	13,180.00
OFFIT1 IL.5A0607 IL Regnl Trans 5.125% 6/1/07											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT1 IL.5A0607 IL Regnl Trans 5.125% 6/1/07					1,000,000.000	PAR	100.634	1,006,340.00	106.400	12-31-03	1,064,000.00	57,660.00
OFFIT1 IL.5F0108 Chicago IL 5.8% 01/01/08											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT1 IL.5F0108 Chicago IL 5.8% 01/01/08					500,000.000	PAR	103.638	518,190.00	108.626	12-31-03	543,130.00	24,940.00
OFFIT1 JPMSWEEP J P Morgan Money Market Sweep											
SAL	01-22-03	329172	-26,035.930	1.000		26,035.93	-26,035.93	0.00			JPM Prime MM Sweep Fund
SAL	01-29-03	329173	-1,339.830	1.000		1,339.83	-1,339.83	0.00			JPM Prime MM Sweep Fund

2336

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	01-31-03	329171	622,639.040	1.000	622,639.04				0	0.00	JPM Prime MM Sweep Fund
PUR	02-03-03	331291	225,000.000	1.000	225,000.00				0	0.00	JPM Prime MM Sweep Fund
PUR	02-06-03	331292	551,205.000	1.000	551,205.00				0	0.00	JPM Prime MM Sweep Fund
PUR	02-10-03	331293	38,000.000	1.000	38,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	02-11-03	331300	-17,578.950	1.000		17,578.95	-17,578.95	0.00			JPM Prime MM Sweep Fund
PUR	02-28-03	331857	9,364.200	1.000	9,364.20				0	0.00	JPM Prime MM Sweep Fund
PUR	03-18-03	333921	10,000.000	1.000	10,000.00				0	0.00	JPM Prime MM Sweep Fund
PUR	03-20-03	333922	15,000.000	1.000	15,000.00				0	0.00	JPM Prime MM Sweep Fund
PUR	03-31-03	334654	7,656.330	1.000	7,656.33				0	0.00	JPM Prime MM Sweep Fund
PUR	04-04-03	336361	492,000.000	1.000	492,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	04-22-03	336908	-1,705,753.810	1.000		1,705,753.81	-1,705,753.81	0.00			JPM Prime MM Sweep Fund
PUR	04-25-03	336905	2,283,330.000	1.000	2,283,330.00				0	0.00	JPM Prime MM Sweep Fund
PUR	04-30-03	337038	9,293.590	1.000	9,293.59				0	0.00	JPM Prime MM sweep Fund
SAL	05-08-03	340169	-380,000.000	1.000		380,000.00	-380,000.00	0.00			JPM Prime MM Sweep Fund
SAL	05-27-03	340600	-17,517.440	1.000		17,517.44	-17,517.44	0.00			JPM Prime MM Sweep Fund
PUR	06-02-03	342794	4,843,976.700	1.000	4,843,976.70				0	0.00	JPM Prime MM Sweep Fund
SAL	06-03-03	342795	-7,990,370.670	1.000		7,990,370.67	-7,990,370.67	0.00			JPM Prime MM Sweep Fund
PUR	07-01-03	346638	6,389,257.250	1.000	6,389,257.25				0	0.00	JPM Prime MM Sweep Fund
SAL	07-02-03	346640	-5,987,000.000	1.000		5,987,000.00	-5,987,000.00	0.00			JPM Prime MM Sweep Fund
PUR	07-08-03	346639	5,000.000	1.000	5,000.00				0	0.00	JPM Prime MM Sweep Fund
SAL	07-16-03	346641	-1,247.420	1.000		1,247.42	-1,247.42	0.00			JPM Prime MM Sweep Fund
SAL	08-05-03	349100	-418,733.040	1.000		418,733.04	-418,733.04	0.00			JPM Prime MM Sweep Fund
PUR	08-08-03	349099	141,992.540	1.000	141,992.54				85,439	85,439.13	JPM Prime MM Sweep Fund
SAL	08-25-03	349531	-14,371.920	1.000		14,371.92	-14,371.92	0.00			JPM Prime MM Sweep Fund
PUR	09-30-03	352330	12,305.880	1.000	12,305.88				12,306	12,305.88	JPM Prime MM Sweep Fund
SAL	10-21-03	354599	-896.960	1.000		896.96	-896.96	0.00			JPM Prime MM Sweep Fund
SAL	10-30-03	355335	-29,939.470	1.000		29,939.47	-29,939.47	0.00			JPM Prime MM Sweep Fund
SAL	11-04-03	356946	-11,345.060	1.000		11,345.06	-11,345.06	0.00			JPM Prime MM Sweep Fund
PUR	11-06-03	356945	49,212.940	1.000	49,212.94				49,213	49,212.94	JPM Prime MM Sweep Fund
PUR	11-20-03	357994	5,000.000	1.000	5,000.00				5,000	5,000.00	JPM Prime MM Sweep Fund
PUR	12-01-03	360112	5,000.000	1.000	5,000.00				5,000	5,000.00	JPM Prime MM Sweep Fund
	TOTAL -		-886,897.030		15,715,233.47	16,602,130.50	-16,602,130.50	0.00	156,958	156,957.95	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	DOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT1	JPMSWEEP J P Morgan Money Market Sweep	69,069.010	UNTS	1.000	69,069.01	1.000	12-31-03	156,957.95	87,888.94

04-26-04
PC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
OFFIT1 KS.51208 Kans Cty MO Wtr 5% 12/01/08											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
OFFIT1 KS.51208 Kans Cty MO Wtr 5% 12/01/08			1,000,000.000	PAR	100.729	1,007,290.00	112.010	12-31-03	1,120,100.00	112,810.00	
OFFIT1 MA.5A1206 MA Wtr Res 5.25% 12/1/06											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
OFFIT1 MA.5A1206 MA Wtr Res 5.25% 12/1/06			1,000,000.000	PAR	100.631	1,006,310.00	109.715	12-31-03	1,097,150.00	90,840.00	
OFFIT1 MA.5D1107 Comm of MA 5.5% 11/01/07											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
OFFIT1 MA.5D1107 Comm of MA 5.5% 11/01/07			1,000,000.000	PAR	102.415	1,024,150.00	111.905	12-31-03	1,119,050.00	94,900.00	
OFFIT1 MA.5F0705 Nantucket MASS 5.75% 07/15/05											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
OFFIT1 MA.5F0705 Nantucket MASS 5.75% 07/15/05			500,000.000	PAR	107.589	537,945.00	106.603	12-31-03	533,015.00	-4,930.00	
OFFIT1 MI.5B0506 MI-Traverse Cty Sch 5.25% 5/06											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION			QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
OFFIT1 MI.5B0506 MI-Traverse Cty Sch 5.25% 5/06			1,200,000.000	PAR	99.750	1,197,000.00	106.392	12-31-03	1,276,704.00	79,704.00	
OFFIT1 MN.5C0206 Minnesota Reg 5.35% 2/1/06											
SAL	03-18-03	333918	-10,000.000	100.000	10,000.00	-10,000.00	0.00				Minn Hang 5.35% 02/01/06
SAL	03-20-03	333919	-15,000.000	100.000	15,000.00	-15,000.00	0.00				Minn Hang 5.35% 02/01/06
SAL	06-30-03	344555	-5,000.000	100.000	5,000.00	-5,000.00	0.00				Minn Hang Fin 5.35% 2/1/06
SAL	10-31-03	355656	-5,000.000	100.000	5,000.00	-5,000.00	0.00				Minn RFA Ref 5.35% 02/1/06
SAL	12-01-03	360114	-5,000.000	100.000	5,000.00	-5,000.00	0.00				Minn Hang Fin 5.35% 02/01/06
TOTAL -			-40,000.000		0.00	40,000.00	-40,000.00	0.00	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION / TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT1 MN.5C0206 Minnesota Hsg 5.35% 2/1/06					480,000.000 PAR	100.000	480,000.00	104.368	12-31-03	506,184.80	26,184.80
OFFIT1 NC.5B0308 NC School 5.10% 3/1/08											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT1 NC.5B0308 NC School 5.10% 3/1/08					1,000,000.000 PAR	100.582	1,005,820.00	109.282	12-31-03	1,092,820.00	87,000.00
OFFIT1 NJ.5F0808 Midltn Twnsp NJ 5.70% 08/01/08											
SAL	06-26-03	344557	-1,000,000.000	115.072	1,150,720.00	-1,020,820.00	129,900.00				Middletown Township 5.7% 08/08
TOTAL -			-1,000,000.000		0.00	1,150,720.00	-1,020,820.00	129,900.00	0	0.00	
OFFIT1 NV.60705 NV Colo River 6% 07/01/05											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT1 NV.60705 NV Colo River 6% 07/01/05					685,000.000 PAR	105.968	725,880.80	103.204	12-31-03	706,947.40	-18,933.40
OFFIT1 OH.5D0226 Ohio Turnpike 5.5% 02/15/26											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT1 OH.5D0226 Ohio Turnpike 5.5% 02/15/26					1,000,000.000 PAR	103.698	1,036,980.00	110.096	12-31-03	1,100,960.00	63,980.00
OFFIT1 PA.50310 Comm of PA Genl Pur 5% 03/01/1											
SAL	06-26-03	344558	-1,000,000.000	110.993	1,109,930.00	-1,000,000.00	109,930.00				Penn Genl Purp 5% 03/1/10
TOTAL -			-1,000,000.000		0.00	1,109,930.00	-1,000,000.00	109,930.00	0	0.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT1 PA.50310 Comm of PA Genl Pur 5% 03/01/1					1,000,000.000 PAR	100.000	1,000,000.00	110.410	12-31-03	1,104,100.00	104,100.00
OFFIT1 PA.51007 Comm. of Penn Tax 5% 10/01/07											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT1 PA.51007 Comm. of Penn Tax 5% 10/01/07					1,500,000.000 PAR	111.552	1,673,280.00	110.479	12-31-03	1,657,185.00	-16,095.00

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
OFFIT1 TN.5D0408 Shelby Cty Schl 5.5% 04/01/08											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	DOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT1 TN.5D0408 Shelby Cty Schl 5.5% 04/01/08					3,000,000.000	PAR	104.797	3,143,910.00	112.855	12-31-03	3,385,650.00	241,740.00
OFFIT1 TX.40310 Fort Worth Tx 4% 03/01/10											
PUR	03-19-03	335248	1,625,000.000	104.868	1,704,430.00				0	0.00	Fort Worth Tx 4% 03/01/10
PUR	04-22-03	336900	0.000	0.000	0.00				0	0.00	Fort Worth accrued interest pd
SAL	05-27-03	341539	-1,625,000.000	107.468		1,746,355.00	-1,704,430.00	41,925.00			Fort Worth Tx 4% 03/01/10
TOTAL -			0.000		1,704,430.00	1,746,355.00	-1,704,430.00	41,925.00	0	0.00	
OFFIT1 TX.4D1005 Harris Ct TX 4.5% 10/01/05											
SAL	06-26-03	344562	-1,075,000.000	106.871		1,148,863.25	-1,106,336.25	42,527.00			Harris Cnty 4.50% 10/1/05
TOTAL -			-1,075,000.000		0.00	1,148,863.25	-1,106,336.25	42,527.00	0	0.00	
OFFIT1 TX.50207 Clear Crk TX 5% 02/15/07											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT1 TX.50207 Clear Crk TX 5% 02/15/07					1,000,000.000	PAR	103.559	1,035,590.00	109.090	12-31-03	1,090,900.00	55,310.00
OFFIT1 TX.51007 TX Public Fin 5% 10/1/07											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT1 TX.51007 TX Public Fin 5% 10/1/07					500,000.000	PAR	99.479	497,395.00	107.384	12-31-03	536,920.00	39,525.00
OFFIT1 TX.5A0209 Lamarr TX SD 5.1% 02/15/09											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT1 TX.5A0209 Lamarr TX SD 5.1% 02/15/09					1,000,000.000	PAR	100.000	1,000,000.00	111.523	12-31-03	1,115,230.00	115,230.00
OFFIT1 TX.5B0909 Austin Pub. Imp. 5.25% 9/1/09											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT1 TX.5B0909 Austin Pub. Imp. 5.25% 9/1/09					1,000,000.000	PAR	106.589	1,065,890.00	113.159	12-31-03	1,131,590.00	65,700.00

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
OFFIT1 TX.589109 Austin CTTS Obli 5.25% 09/1/09											
SAL	06-26-03	344559	-1,000,000.000	115.119		1,151,190.00	-1,046,930.00	104,260.00			City of Austin 5.25% 09/1/09
TOTAL -			-1,000,000.000		0.00	1,151,190.00	-1,046,930.00	104,260.00	0	0.00	
OFFIT1 TX.SC0807 Austin TX Sch 5.375% 08/01/07											
SAL	05-27-03	341537	-725,000.000	112.238		813,725.50	-720,526.75	93,198.75			Austin Schl Dist 5.375% 8/1/07
TOTAL -			-725,000.000		0.00	813,725.50	-720,526.75	93,198.75	0	0.00	
OFFIT1 TX.SC0807Y Austin TX Schl 5.375% 08/01/07											
SAL	05-27-03	341538	-275,000.000	110.942		305,090.50	-273,303.25	31,787.25			Austin Schl Dist 5.375% 8/1/07
TOTAL -			-275,000.000		0.00	305,090.50	-273,303.25	31,787.25	0	0.00	

OFFIT1 TX.60907 AustinTX Pub Imp Lt 6% 9/1/07

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT1 TX.60907 AustinTX Pub Imp Lt 6% 9/1/07	1,055,000.000	PAR	107.172	1,130,664.60	113.355	12-31-03	1,195,895.25	65,230.65

OFFIT1 WA.5A0107 Grant Cty Pub Util 5.125 1/07											
SAL	02-03-03	331299	-500,000.000	110.241		551,205.00	-496,795.00	54,410.00			Grant Cty Pub Util. 5 1/8%
TOTAL -			-500,000.000		0.00	551,205.00	-496,795.00	54,410.00	0	0.00	

OFFIT1 WI.50506 WI Genl Oblig 5% 05/01/06

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
OFFIT1 WI.50506 WI Genl Oblig 5% 05/01/06	1,000,000.000	PAR	105.996	1,059,960.00	107.472	12-31-03	1,074,720.00	14,760.00

OFFIT1 WI.SC0509 WI AMT 5.40% 05/01/09											
SAL	02-03-03	331297	-335,000.000	100.000		335,000.00	-350,172.15	-15,172.15			Wisconsin AMT Tx 5.4% 5/1/09
TOTAL -			-335,000.000		0.00	335,000.00	-350,172.15	-15,172.15	0	0.00	

SAM1 AZ.5B0106 Salt River Agri 5 25% 01/1/06

2341

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	05-22-03	340605	-1,000,000.000	109.790		1,097,900.00	-1,061,600.00	36,300.00			Salt River Elec 5.25% 1/1/06
	TOTAL -		-1,000,000.000		0.00	1,097,900.00	-1,061,600.00	36,300.00	0	0.00	
SAW1	CA.50212 State of California 5% 2/1/12										
PUR	06-20-03	344610	500,000.000	110.644	553,220.00				0	0.00	State of Calif 5% 02/01/12
SAL	09-29-03	352108	-500,000.000	107.908		539,540.00	-553,220.00	-13,680.00			California MCL 5% 02/01/12
	TOTAL -		0.000		553,220.00	539,540.00	-553,220.00	-13,680.00	0	0.00	
SAW1	CA.50213 California Genl Tx 5% 02/1/13										
PUR	04-25-03	338796	250,000.000	107.167	267,917.50				0	0.00	California Genl Tx 5% 02/1/13
SAL	07-01-03	346870	-250,000.000	107.890		269,725.00	-267,917.50	1,807.50			Calif Gen Purp 5% 02/01/13
	TOTAL -		0.000		267,917.50	269,725.00	-267,917.50	1,807.50	0	0.00	
SAW1	CA.5E1210 State of Calif 5.75% 12/01/10										
SAL	05-29-03	340608	-500,000.000	119.222		596,110.00	-564,570.00	31,540.00			Calif. FSA MCL 5.75% 12/01/10
SAL	09-29-03	352107	-500,000.000	116.814		584,070.00	-564,570.00	19,500.00			California SFA 5.75% 12/01/10
	TOTAL -		-1,000,000.000		0.00	1,180,180.00	-1,129,140.00	51,040.00	0	0.00	
SAW1	CO.50505 CO Hfa Sfm 5.000% 5/01/05										
SAL	04-30-03	338797	-45,000.000	100.000		45,000.00	-45,000.00	0.00			Colorado HSFA 5% 05/01/05
SAL	10-29-03	355658	-30,000.000	100.000		30,000.00	-30,000.00	0.00			Colorado HFA 5% 5/1/05
	TOTAL -		-75,000.000		0.00	75,000.00	-75,000.00	0.00	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
SAW1	CO.50505 CO Hfa Sfm 5.000% 5/01/05	25,000.000	PAR	100.000	25,000.00	103.236	12-31-03	25,809.00	809.00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAW1	CO.5A0612 Dept of Trans 5.25% 06/15/12										
SAL	09-29-03	352110	-250,000.000	112.473		281,182.50	-277,040.00	4,142.50			Dept of Trans 5.25% 06/15/12
	TOTAL -		-250,000.000		0.00	281,182.50	-277,040.00	4,142.50	0	0.00	

2342

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
SAW1 CO.5A0612 Dept of Trans 5.25% 06/15/12					250,000.000	PAR	110.816	277,040.00	114.297	12-31-03	285,742.50	8,702.50
SAW1 CT.60713 CT H&Ed Griffin H 6% 07/01/13												
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
SAW1 CT.60713 CT H&Ed Griffin H 6% 07/01/13					300,000.000	PAR	105.853	317,559.00	102.254	12-31-03	306,762.00	-10,797.00
SAW1 DC.60611A DC Unltd Tx 6% 06-01-11-escrow												
SAL	07-01-03	346871	-275,000.000	118.815		326,741.25	-313,755.75	12,985.50			Dist of Columbia 6% 6/1/11
TOTAL -			-275,000.000		0.00	326,741.25	-313,755.75	12,985.50	0	0.00	
SAW1 DC.60611B DC Unltd Tx 6% 06-01-11											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
SAW1 DC.60611B DC Unltd Tx 6% 06-01-11					525,000.000	PAR	114.093	598,988.25	117.836	12-31-03	618,639.00	19,650.75
SAW1 FL.5A1003 Miami FL Parking Facility												
SAL	05-29-03	340615	-135,000.000	101.205		136,626.75	-135,957.15	669.60			Miami Parking 5.125% 10/01/03
TOTAL -			-135,000.000		0.00	136,626.75	-135,957.15	669.60	0	0.00	
SAW1 FL.5D1112 Tampa Catholic Hlth 5.5% 11/12											
PUR	05-06-03	340189	455,000.000	113.213	515,119.15				H	0.00	Tampa Catholic Hlth 5.5% 11/12
SAL	09-29-03	352122	-455,000.000	111.760		508,508.00	-515,119.15	-6,611.15			Tampa Health 5.5% 11/15/12
TOTAL -			0.000		515,119.15	508,508.00	515,119.15	-6,611.15	0	0.00	
SAW1 FL.5F0707 FL Board of Ed 5.75% 07/01/07											
SAL	09-29-03	352111	-550,000.000	113.637		625,003.50	-587,587.00	37,416.50			Board of Educ. 5.75% 07/01/07
TOTAL -			-550,000.000		0.00	625,003.50	-587,587.00	37,416.50	0	0.00	
SAW1 FL.5G1000 Orlando Utr&Elec 5.9% 10/01/08											

2343

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	SAW1 FL.SG1000 Orlando Utr&Elec 5.9% 10/01/08				200,000.000 PAR	108.295	216,590.00	115.500	12-31-03	231,000.00	14,410.00
SAW1	FL.61007 Palm Bch FL 6% 10/01/07										
SAL	07-01-03	346874	-150,000.000	114.764		172,146.00	-159,597.00	12,549.00			Palm Beach Cty 6% 10/1/07
SAL	09-29-03	352119	-500,000.000	114.652		573,260.00	-531,990.00	41,270.00			Palm Beach Cnty 6% 10/01/07
	TOTAL -		-650,000.000		0.00	745,406.00	-691,587.00	53,819.00	0	0.00	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	SAW1 FL.61007 Palm Bch FL 6% 10/01/07				350,000.000 PAR	106.398	372,393.00	113.208	12-31-03	396,228.00	23,835.00
SAW1	FL.6D0604 Board of Educ. 6.5% 06/01/04										
SAL	09-11-03	351560	-300,000.000	103.731		311,193.00	-326,724.00	-15,531.00			Florida State Board 6.5% 6/04
	TOTAL -		-300,000.000		0.00	311,193.00	-326,724.00	-15,531.00	0	0.00	
SAW1	GA.5D0907 Fulton Cnty Dev 5.5% 9/01/07										
PUR	09-25-03	352377	250,000.000	112.866	282,165.00				250,000	282,165.00	Fulton Cnty Dev 5.5% 09/01/07
	TOTAL -		250,000.000		282,165.00	0.00	0.00	0.00	250,000	282,165.00	
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	SAW1 GA.5D0907 Fulton Cnty Dev 5.5% 9/01/07				250,000.000 PAR	112.866	282,165.00	112.207	12-31-03	280,517.50	-1,647.50
SAW1	GA.60106 Muni Elec Auth 6% 01/01/06										
SAL	05-29-03	340617	-1,340,000.000	111.400		1,492,760.00	-1,396,789.20	95,970.80			G Muni Elec Auth 6% 1/01/06
	TOTAL -		-1,340,000.000		0.00	1,492,760.00	-1,396,789.20	95,970.80	0	0.00	
SAW1	GA.60106X Muni Elec Auth 6% 1/1/06 Escro										
SAL	09-29-03	352116	-230,000.000	109.983		252,960.90	-239,747.40	13,213.50			Muni Elec Auth 6% 01/01/06
	TOTAL -		-230,000.000		0.00	252,960.90	-239,747.40	13,213.50	0	0.00	

2344

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION / TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAM1 GA.61010 State of Georgia 6% 10/01/10											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION			QUANTITY UOM		AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
SAM1 GA.61010 State of Georgia 6% 10/01/10			600,000.000 PAR		109.868	659,208.00	118.917	12-31-03	713,502.00	54,294.00	
SAM1 GA.6E1204 Hall CNTY GA 6.7% 12/01/04											
SAL	08-01-03	349105	-400,000.000	109.057	436,228.00	-453,308.00	-17,080.00				Hall County Schl 6.7% 12/1/14
TOTAL -			-400,000.000		0.00	436,228.00	-453,308.00	-17,080.00	0	0.00	
SAM1 GA.70108 GA Muni Elec Pwr 7% 01/01/08											
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION			QUANTITY UOM		AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
SAM1 GA.70108 GA Muni Elec Pwr 7% 01/01/08			500,000.000 PAR		112.114	560,570.00	117.803	12-31-03	589,015.00	28,445.00	
SAM1 GA.ADJ0122 GA Muni Electric Auth 01/01/22											
PUR	03-05-03	334088	575,000.000	100.000	575,000.00				0	0.00	GA Muni Electric Auth 01/01/22
SAL	05-05-03	340186	-575,000.000	100.000		575,000.00	-575,000.00	0.00			Muni Electric Auth ADJ 1/1/22
TOTAL -			0.000		575,000.00	575,000.00	-575,000.00	0.00	0	0.00	
SAM1 IL.51110 City of Berwyn 5% 11/15/10											
SAL	10-29-03	355659	-5,000.000	100.000		5,000.00	-5,000.00	0.00			City of Berwyn 5% 11/15/10
TOTAL -			-5,000.000		0.00	5,000.00	-5,000.00	0.00	0	0.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION			QUANTITY UOM		AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
SAM1 IL.51110 City of Berwyn 5% 11/15/10			430,000.000 PAR		98.622	424,075.00	110.713	12-31-03	476,065.90	51,990.90	
SAM1 IL.5C0807 IL HLTH FAC 5.3% 8/15/07											
SAL	05-29-03	340612	-500,000.000	109.718	548,590.00	-495,975.00	52,615.00				IL Hlth Fac 5.3% 08/15/07
SAL	09-30-03	352381	-500,000.000	109.508	547,540.00	-495,975.00	51,565.00				IL HLTH FAC 5.3% 08/15/07
TOTAL -			-1,000,000.000		0.00	1,096,130.00	-991,950.00	104,180.00	0	0.00	

Market Street Trust Company

04-26-04 PURCHASE / SALE DETAIL HISTORY REPORT PAGE

PCJ.3 BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAM1	IL.5C1004 IL Hghld Pk Hosp 5.45% 10/1/04										
SAL	09-30-03	353090	-475,000.000	100.000		475,000.00	-475,000.00	0.00			Illinois HEFA 5.45% 10/01/04
SAL	12-01-03	360132	-100,000.000	103.422		103,422.00	-100,000.00	3,422.00			HEFA Highlan Park 5.45% 10/04
	TOTAL -		-575,000.000		0.00	578,422.00	-575,000.00	3,422.00	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
SAM1	IL.5C1004 IL Hghld Pk Hosp 5.45% 10/1/04	400,000.000	PAR	100.644	402,575.50	103.254	12-31-03	413,016.00	10,440.50

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAM1	IL.60609 Illinois sales tax 6% 06/15/09										

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
SAM1	IL.60609 Illinois sales tax 6% 06/15/09	500,000.000	PAR	108.126	540,630.00	114.238	12-31-03	571,190.00	30,560.00

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAM1	IL.7G1204 Kne Cty Sc Dst 7.875% 12/30/04										
SAL	05-30-03	340644	-425,000.000	110.183		468,277.75	-488,367.25	-20,089.50			Kane Cnty 7.875% 12/30/04
	TOTAL -		-425,000.000		0.00	468,277.75	-488,367.25	-20,089.50	0	0.00	
SAM1	JPMSWEEP J P Morgan Money Market Sweep										
PUR	01-14-03	328111	768,626.250	1.000	768,626.25				0	0.00	JPM Prime MM Sweep Fund
SAL	01-29-03	329184	-1,389.300	1.000		1,389.30	-1,389.30	0.00			JPM Prime MM Sweep Fund
PUR	01-31-03	329183	922,037.900	1.000	922,037.90				0	0.00	JPM Prime MM Sweep Fund
SAL	02-11-03	331269	-18,357.110	1.000		18,357.11	-18,357.11	0.00			JPM Prime MM Sweep Fund
PUR	02-18-03	331268	10,000.000	1.000	10,000.00				0	0.00	JPM Prime MM Sweep Fund
PUR	02-28-03	331856	23,375.790	1.000	23,375.79				0	0.00	JPM Prime MM Sweep Fund
SAL	03-06-03	334028	-575,000.000	1.000		575,000.00	-575,000.00	0.00			JPM Prime MM Sweep Fund
PUR	03-17-03	334027	777,384.800	1.000	777,384.80				0	0.00	JPM Prime MM Sweep Fund
SAL	03-21-03	334029	-1,136,200.000	1.000		1,136,200.00	-1,136,200.00	0.00			JPM Prime MM Sweep Fund
PUR	03-31-03	334656	26,956.110	1.000	26,956.11				0	0.00	JPM Prime MM Sweep Fund
PUR	04-01-03	336370	576,135.000	1.000	576,135.00				0	0.00	JPM Prime MM Sweep Fund
SAL	04-03-03	336371	-277,677.500	1.000		277,677.50	-277,677.50	0.00			JPM Prime MM Sweep Fund
SAL	04-16-03	336897	-533,800.000	1.000		533,800.00	-533,800.00	0.00			JPM Prime MM Sweep Fund
SAL	04-22-03	336898	-1,387.360	1.000		1,387.36	-1,387.36	0.00			JPM Prime MM Sweep Fund
PUR	04-30-03	337040	27,227.340	1.000	27,227.34				0	0.00	JPM Prime MM Sweep Fund
SAL	05-01-03	340183	-222,917.500	1.000		222,917.50	-222,917.50	0.00			JPM Prime MM Sweep Fund

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT TXN DATE	DESCRIPTION TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAL	05-05-03	340184	-10,700.000	1.000		10,700.00	-10,700.00	0.00			JPM Prime MM Sweep Fund
PUR	05-06-03	340182	23,170.000	1.000	23,170.00				0	0.00	JPM Prime MM Sweep Fund
SAL	05-09-03	340185	-515,119.150	1.000		515,119.15	-515,119.15	0.00			JPM Prime MM Sweep Fund
SAL	05-27-03	340603	-18,697.890	1.000		18,697.89	-18,697.89	0.00			JPM Prime MM Sweep Fund
PUR	05-28-03	340604	1,097,900.000	1.000	1,097,900.00				0	0.00	JPM Prime MM Sweep Fund
PUR	06-02-03	342836	9,503,529.000	1.000	9,503,529.00				0	0.00	JPM Prime MM Sweep Fund
PUR	06-03-03	342837	1,518,253.260	1.000	1,518,253.26				0	0.00	JPM Prime MM Sweep Fund
PUR	06-04-03	342838	573,175.000	1.000	573,175.00				0	0.00	JPM Prime MM Sweep Fund
SAL	06-05-03	342840	-12,000,000.000	1.000		12,000,000.00	-12,000,000.00	0.00			JPM Prime MM Sweep Fund
PUR	06-10-03	342839	1,004,357.000	1.000	1,004,357.00				0	0.00	JPM Prime MM Sweep Fund
SAL	06-11-03	342841	-512,825.500	1.000		512,825.50	-512,825.50	0.00			JPM Prime MM Sweep Fund
SAL	06-18-03	342842	-1,137,960.000	1.000		1,137,960.00	-1,137,960.00	0.00			JPM Prime MM Sweep Fund
PUR	07-01-03	346879	17,660.000	1.000	17,660.00				0	0.00	JPM Prime MM Sweep Fund
PUR	07-02-03	346880	116,381.250	1.000	116,381.25				0	0.00	JPM Prime MM Sweep Fund
SAL	07-03-03	346885	-270,126.210	1.000		270,126.21	-270,126.21	0.00			JPM Prime MM Sweep Fund
PUR	07-08-03	346881	277,906.210	1.000	277,906.21				0	0.00	JPM Prime MM Sweep Fund
PUR	07-09-03	346883	553,220.000	1.000	553,220.00				0	0.00	JPM Prime MM Sweep Fund
SAL	07-10-03	346886	-553,220.000	1.000		553,220.00	-553,220.00	0.00			JPM Prime MM Sweep Fund
SAL	07-16-03	346887	-1,283.670	1.000		1,283.67	-1,283.67	0.00			JPM Prime MM Sweep Fund
SAL	07-25-03	347139	-13,798.330	1.000		13,798.33	-13,798.33	0.00			JPM Prime MM Sweep Fund
PUR	08-04-03	349108	1,273,620.500	1.000	1,273,620.50				0	0.00	JPM Prime MM Sweep Fund
SAL	08-05-03	349109	-1,296,000.000	1.000		1,296,000.00	-1,296,000.00	0.00			JPM Prime MM Sweep Fund
PUR	08-20-03	349534	5,000.000	1.000	5,000.00				0	0.00	JPM Prime MM Sweep Fund
PUR	09-16-03	351557	58,134.150	1.000	58,134.15				0	0.00	JPM Prime MM Sweep Fund
PUR	09-30-03	352380	6,184,341.430	1.000	6,184,341.43				0	0.00	JPM Prime MM Sweep Fund
SAL	10-01-03	354250	-6,487,920.290	1.000		6,487,920.29	-6,487,920.29	0.00			JPM Prime MM Sweep Fund
PUR	10-03-03	354247	765,161.490	1.000	765,161.49				0	0.00	JPM Prime MM Sweep Fund
SAL	10-06-03	354251	-475,689.750	1.000		475,689.75	-475,689.75	0.00			JPM Prime MM Sweep Fund
PUR	10-07-03	354249	573,230.000	1.000	573,230.00				14,391	14,391.20	JPM Prime MM Sweep Fund
SAL	10-16-03	354624	-180,322.700	1.000		180,322.70	-180,322.70	0.00			JPM Prime MM Sweep Fund
SAL	10-20-03	354625	-283,792.500	1.000		283,792.50	-283,792.50	0.00			JPM Prime MM Sweep Fund
SAL	10-21-03	354626	-794.100	1.000		794.10	-794.10	0.00			JPM Prime MM Sweep Fund
SAL	10-23-03	354627	-218,448.000	1.000		218,448.00	-218,448.00	0.00			JPM Prime MM Sweep Fund
SAL	10-24-03	354886	-12,666.330	1.000		12,666.33	-12,666.33	0.00			JPM Prime MM Sweep Fund
SAL	10-30-03	355336	-55,974.100	1.000		55,974.10	-55,974.10	0.00			JPM Prime MM Sweep Fund
PUR	11-03-03	356953	568,760.000	1.000	568,760.00				568,760	568,760.00	JPM Prime MM Sweep Fund
PUR	11-06-03	356954	101,280.200	1.000	101,280.20				101,280	101,280.20	JPM Prime MM Sweep Fund

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
PUR	11-17-03	357354	5,000.000	1.000	5,000.00				5,000	5,000.00	JPM Prime MM Sweep Fund
SAL	12-01-03	360131	-93,000.000	1.000		93,000.00	-93,000.00	0.00			JPM Prime MM Sweep Fund
PUR	12-02-03	360130	103,422.000	1.000	103,422.00				103,422	103,422.00	JPM Prime MM Sweep Fund
SAL	12-17-03	360857	-3,312.810	1.000		3,312.81	-3,312.81	0.00			JPM Prime MM Sweep Fund
	TOTAL -		546,864.580		27,455,244.68	26,908,380.10	-26,908,380.10	0.00	792,853	792,853.40	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
SAM1	JPMSWEEP	J P Morgan Money Market Sweep	1,144,555.620	UNTS	1.000	1,144,555.62	1.000	12-31-03	792,853.40	-351,702.22

SAM1 MA.5120107 Mass XLCA MCL 5% 12/01/07

PUR	03-03-03	334092	500,000.000	110.366	551,830.00				500,000	551,830.00	Mass XLCA MCL 5% 12/01/07
	TOTAL -		500,000.000		551,830.00	0.00	0.00	0.00	500,000	551,830.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION		QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
SAM1	MA.5120107	Mass XLCA MCL 5% 12/01/07	500,000.000	PAR	110.366	551,830.00	110.494	12-31-03	552,470.00	640.00

SAM1 MA.5D0709 MA WHSL ELEC 5.5% 07/01/09

SAL	05-29-03	340614	-250,000.000	115.480		288,700.00	-275,875.00	12,825.00			MA WHSL Elec 5.5% 07/01/09
SAL	09-29-03	352113	-650,000.000	113.975		740,837.50	-717,275.00	23,562.50			Mass WHSL Elec 5.5% 07/01/09
	TOTAL -		-900,000.000		0.00	1,029,537.50	-993,150.00	36,387.50	0	0.00	

SAM1 MI.4F1104 Bishop Intl Arpt 4.75% 12/1/04

SAL	01-09-03	328113	-735,000.000	104.575		768,626.25	-753,132.45	15,493.80			Bishop International Airport
	TOTAL -		-735,000.000		0.00	768,626.25	-753,132.45	15,493.80	0	0.00	

SAM1 MI.4G1117 MI St Hosp Char4.800% 11/01/17

SAL	03-12-03	334030	-740,000.000	105.052		777,384.80	-736,648.00	40,736.80			Mich. State Hosp. 4.8% 11/1/17
	TOTAL -		-740,000.000		0.00	777,384.80	-736,648.00	40,736.80	0	0.00	

SAM1 MI.5B1133 MI Ste Hosp Fnce 5.2% 11/15/33

2348

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
SAW1 MI.5D1133 MI Ste Hosp Fnce 5.2% 11/15/33					500,000.000	PAR	100.000	500,000.00	106.868	12-31-03	534,340.00	34,340.00
SAW1 MI.5D1107 MI St Trunk 5.5% 11/01/07											
SAL	09-29-03	352114	-500,000.000	113.555		567,775.00	-541,430.00	26,345.00			MI ST Trunk Line 5.5% 11/01/07
TOTAL -			-500,000.000		0.00	567,775.00	-541,430.00	26,345.00	0	0.00	
SAW1 MO.5D812 ST Louis CTS Bldg 5% 8/1/12											
PUR	05-22-03	341541	1,000,000.000	113.796	1,137,960.00				0	0.00	ST Louis CTS Bldg 5% 8/1/12
SAL	07-01-03	346877	-500,000.000	112.200		561,000.00	-568,980.00	-7,980.00			St Louis Muni 5% 08/1/12
SAL	09-29-03	352121	-500,000.000	110.220		551,100.00	-568,980.00	-17,880.00			St Louis Mun Fin 5% 8/01/12
TOTAL -			0.000		1,137,960.00	1,112,100.00	-1,137,960.00	-25,860.00	0	0.00	
SAW1 MO.5G0508 State Board Bldg 5.75% 5/1/08											
PUR	10-15-03	354630	250,000.000	113.517	283,792.50				250,000	283,792.50	State Board Bldg 5.75% 5/1/08
TOTAL -			250,000.000		283,792.50	0.00	0.00	0.00	250,000	283,792.50	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
SAW1 MO.5G0508 State Board Bldg 5.75% 5/1/08					250,000.000	PAR	113.517	283,792.50	114.024	12-31-03	285,060.00	1,267.50
SAW1 MS.6D0905 MS. Revolving Ln 6.5% 09/01/05											
SAL	09-29-03	352115	-250,000.000	109.725		274,312.50	-267,015.00	7,297.50			Miss MCL 6.5% 09/01/05
SAL	10-29-03	354897	-250,000.000	109.064		272,660.00	-267,015.00	5,645.00			MS Revolving Ln 6.5% 9/01/05
TOTAL -			-500,000.000		0.00	546,972.50	-534,030.00	12,942.50	0	0.00	
SAW1 MS.6F0905 Jckson MS Wtr 6.75% 09/01/05											
SAL	05-29-03	340613	-250,000.000	111.778		279,445.00	-276,447.50	2,997.50			Jackson Wtr&Swr 6.75% 09/01/05
SAL	09-29-03	352112	-680,000.000	110.207		749,407.60	-751,937.20	-2,529.60			Jackson Wtr&Swr 6.75% 09/01/05
TOTAL -			-930,000.000		0.00	1,028,852.60	-1,028,384.70	467.90	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAW1 NJ.5B0209 NJ Hlth Cr 5.25% 02/01/09											
SAL	07-01-03	346872	-150,000.000	109.096		163,644.00	-155,245.50	8,398.50			Cathedral Hlth 5.25% 02/1/09
TOTAL -			-150,000.000		0.00	163,644.00	-155,245.50	8,398.50	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
SAW1 NJ.5B0209 NJ Hlth Cr 5.25% 02/01/09	750,000.000	PAR	103.497	776,227.50	112.134	12-31-03	841,005.00	64,777.50

SAW1 NJ.5F0207 NJ Transport 5.75% 02/01/07											
PUR	01-30-03	329182	300,000.000	111.798	335,394.00				0	0.00	NJ Trans Corp 5.75% 2/1/07
SAL	09-29-03	352117	-300,000.000	111.126		333,378.00	-335,394.00	-2,016.00			NJ Trans Corp 5.75% 02/01/07
TOTAL -			0.000		335,394.00	333,378.00	-335,394.00	-2,016.00	0	0.00	
SAW1 NJ.60111 NJ Turnpike Auth 6% 01/01/11											
SAL	05-29-03	340619	-1,000,000.000	120.128		1,201,280.00	-1,061,795.00	139,485.00			NJ Turnpike Auth 6% 01/01/11
TOTAL -			-1,000,000.000		0.00	1,201,280.00	-1,061,795.00	139,485.00	0	0.00	
SAW1 NJ.60606 NJ Trans Tr Fd 6% 06/15/06											
SAL	05-29-03	340618	-1,000,000.000	112.920		1,129,200.00	-1,118,010.00	11,190.00			Trans Tr Fund 6% 06/15/06
TOTAL -			-1,000,000.000		0.00	1,129,200.00	-1,118,010.00	11,190.00	0	0.00	

SAW1 NV.5D0608X Clrk Cty NV Sch 5.5% 06/15/08

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
SAW1 NV.5D0608X Clrk Cty NV Sch 5.5% 06/15/08	675,000.000	PAR	104.147	702,992.25	113.266	12-31-03	764,545.50	61,553.25

SAW1 NV.60606 Clark Cty Gen Purp 6% 06/01/06											
SAL	05-29-03	340609	-250,000.000	112.728		281,820.00	-261,035.00	20,785.00			Clark Cnty NV 6% 06/01/06
SAL	08-01-03	349104	-500,000.000	110.407		552,035.00	-522,070.00	29,965.00			Clark County 6% 06/01/06
SAL	09-29-03	352109	-205,000.000	111.417		228,404.85	-214,048.70	14,356.15			Clark County 6% 06/01/06
TOTAL -			-955,000.000		0.00	1,062,259.85	-997,153.70	65,106.15	0	0.00	

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAW1	NY.50409	NY Thruway Auth 5% 04/01/09									
PUR	03-20-03	334094	250,000.000	111 071	277,677.50				0	0.00	NY Thruway Auth 5% 04-01-09
SAL	05-29-03	340621	-250,000.000	113.282		283,205.00	-277,677.50	5,527.50			NY Thruway Auth 5% 04/01/09
	TOTAL -		0.000		277,677.50	283,205.00	-277,677.50	5,527.50	0	0.00	
SAW1	NY.50806	City of NY Tx 5% 08/01/06									
SAL	07-01-03	346873	-500,000.000	108.138		540,690.00	-535,090.00	5,600.00			City of NY 5% 08/1/06
SAL	09-29-03	352118	-250,000.000	108.167		270,417.50	-267,545.00	2,872.50			New York NY NCL 5% 08/01/06
	TOTAL -		-750,000.000		0.00	811,107.50	-802,635.00	8,472.50	0	0.00	
SAW1	NY.50807	City of NY Tx 5% 08/01/07									

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
SAW1 NY.50807 City of NY Tx 5% 08/01/07	500,000.000	PAR	106.477	532,385.00	108.342	12-31-03	541,710.00	9,325 00

SAW1	NY.5A0410	NYS Thruway Fd 5.25% 04/01/10									
SAL	05-29-03	340620	-250,000.000	115.092		287,730.00	-272,980.00	14,750.00			NY Thruway Auth 5.25% 4/01/10
SAL	06-26-03	344657	-500,000.000	114.176		570,880.00	-545,960.00	24,920.00			NYS Thruway 5.25% 04/01/10
	TOTAL -		-750,000.000		0.00	858,610.00	-818,940.00	39,670.00	0	0.00	
SAW1	NY.5A0805	NY MCARE H&H FHA 5.2 8/15/05									
SAL	02-18-03	331270	-10,000.000	100.000		10,000.00	-10,000.00	0.00			NYS Medical Care 5.2% 8/15/05
SAL	08-20-03	349533	-5,000.000	100.000		5,000.00	-5,000.00	0.00			NYS Medical Care 5.2% 08/15/05
	TOTAL -		-15,000.000		0.00	15,000.00	-15,000.00	0.00	0	0.00	

CURRENT HOLDINGS -

ENTITY INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
SAW1 NY.5A0805 NY MCARE H&H FHA 5.2 8/15/05	20,000.000	PAR	100.000	20,000.00	103.442	12-31-03	31,032.60	11,032.60

SAW1	NY.5B0121	NYS Urban Dev 5.25% 01/1/21									
PUR	10-14-03	354629	200,000.000	109.224	218,448.00				200,000	218,448.00	NYS Urban Dev 5.25% 01/1/21
	TOTAL -		200,000.000		218,448.00	0.00	0.00	0.00	200,000	218,448.00	

2351

Market Street Trust Company

04-26-04 PURCHASE / SALE DETAIL HISTORY REPORT PAGE

FC3.3 BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY INVESTMENT DESCRIPTION TYPE	TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	NET GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
SAM1	NY.5B0121 NYS Urban Dev 5.25% 01/1/21				200,000.000 PAR	109.224	218,448.00	110.174	12-31-03	220,348.00	1,900.00
SAM1	NY.6D111 Tribirough Bdg Javits 6% 01/11										
SAL	05-29-03	340622	-750,000.000	119.703		897,772.50	-791,400.00	106,372.50			Triboro Brdg&Tunl 6% 1/01/11
TOTAL -			-750,000.000		0.00	897,772.50	-791,400.00	106,372.50	0	0.00	
SAM1	NY.6B0705 NY Muni Asst NYC 6.25% 7/1/05										
SAL	09-11-03	351559	-500,000.000	108.573		542,865.00	-550,640.00	-7,775.00			Muni Assist Corp 6.25% 07/1/05
TOTAL -			-500,000.000		0.00	542,865.00	-550,640.00	-7,775.00	0	0.00	
SAM1	OH.5D0112 Cuyahoga Cnty 5.5% 01/01/12										
PUR	03-27-03	335610	500,000.000	106.760	533,800.00				500,000	533,800.00	Cuyahoga Cnty 5.5% 01/01/12
PUR	04-16-03	336885	0.000	0.000	0.00				0	0.00	Cuyahoga County Accrued Int pd
TOTAL -			500,000.000		533,800.00	0.00	0.00	0.00	500,000	533,800.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
SAM1	OH.5D0112 Cuyahoga Cnty 5.5% 01/01/12				500,000.000 PAR	106.760	533,800.00	110.638	12-31-03	553,190.00	19,390.00
SAM1	OH.5D1209 Hamilton Cty tax 5.5% 12/01/09										
SAL	07-01-03	348876	-500,000.000	116.393		581,965.00	-525,830.00	56,135.00			Hamilton Cty Tx 5.5% 12/1/09
TOTAL -			-500,000.000		0.00	581,965.00	-525,830.00	56,135.00	0	0.00	
CURRENT HOLDINGS -											
ENTITY INVESTMENT DESCRIPTION					QUANTITY UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
SAM1	OH.5D1209 Hamilton Cty tax 5.5% 12/01/09				500,000.000 PAR	105.166	525,830.00	115.528	12-31-03	577,640.00	51,810.00
SAM1	OH.6D810 State Infrastructure 6% 08/10										
SAL	08-01-03	349106	-250,000.000	114.143		285,357.50	-286,267.50	-910.00			Ohio Infrastruct 6% 08/1/10

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	TOTAL -		-250,000.000		0.00	285,357.50	-286,267.50	-910.00	0	0.00	
SAM1	OR.5D0605 Multnomah Cty OR 5.5% 06/01/05										
SAL	05-29-03	340616	-820,000.000	108.385		888,757.00	-838,835.40	49,921.60			Multnomah Cnty 5 5% 06/01/05
	TOTAL -		-820,000.000		0.00	888,757.00	-838,835.40	49,921.60	0	0.00	
SAM1	PA.530703X Geisinger PA 5.8% 7/1/03 Escr										
SAL	05-29-03	340611	-475,000.000	100.300		476,425.00	-475,365.75	1,059.25			Geisinger Hlth 5.8% 07/01/03
	TOTAL -		-475,000.000		0.00	476,425.00	-475,365.75	1,059.25	0	0.00	
SAM1	PA.60708 PA Indust Dev Auth 6% 07/1/08										
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION		QUANTITY UOM		AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
	SAM1 PA.60708 PA Indust Dev Auth 6% 07/1/08		400,000.000 PAR		116.386	465,544.00	115.514	12-31-03	462,056.00	-3,488.00	
SAM1	RI.581004 Rhode Island H&M 5.35% 10/04										
SAL	09-29-03	352120	-500,000.000	102.604		513,020.00	-496,000.00	17,020.00			RI HFA MFH 5.35% 10/01/04
	TOTAL -		-500,000.000		0.00	513,020.00	-496,000.00	17,020.00	0	0.00	
SAM1	SC.50805 Charleston Cty SC 5% 8/15/05										
SAL	09-29-03	352383	-785,000.000	106.546		836,386.10	-825,215.55	11,170.55			Charleston Cnty 5% 08/15/05
	TOTAL -		-785,000.000		0.00	836,386.10	-825,215.55	11,170.55	0	0.00	
SAM1	SC.61208 Charleston CTY SC 6% 12/01/08										
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION		QUANTITY UOM		AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L	
	SAM1 SC.61208 Charleston CTY SC 6% 12/01/08		600,000.000 PAR		106.284	637,704.00	116.682	12-31-03	700,092.00	62,388.00	
SAM1	TN.6C0703 TN Hsg Dev 6.4% 07/01/06										
SAL	07-01-03	346875	-500,000.000	101.650		508,250.00	-521,000.00	-12,750.00			Tenn Hsng Dev 6.4% 07/1/06
SAL	11-03-03	356955	-500,000.000	100.000		500,000.00	-521,000.00	-21,000.00			Tenn Hsng Dev 6.4% 07/1/06
	TOTAL -		-1,000,000.000		0.00	1,008,250.00	-1,042,000.00	-33,750.00	0	0.00	

2353

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAM1	TX.5B0213 San Antonio Elec 5.25% 02/11										
PUR	09-11-03	351558	705,000.000	112.897	795,923.85				705,000	795,923.85	San Antonio Elect 5.25% 02/13
	TOTAL -		705,000.000		795,923.85	0.00	0.00	0.00	705,000	795,923.85	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
SAM1	TX.5B0213 San Antonio Elec 5.25% 02/13	705,000.000	PAR	112.897	795,923.85	113.897	12-31-03	802,973.85	7,050.00

SAM1	TX.5D0206 Ft Wth TX Wtr&Swr 5.5% 8/15/06										
SAL	05-29-03	340610	-500,001.000	110.328		551,640.00	-525,185.00	26,455.00			Fort Worth Wtr/Svr 5.5% 02/06
	TOTAL -		-500,001.000		0.00	551,640.00	-525,185.00	26,455.00	0	0.00	
SAM1	TX.5D0808 Univ. of Texas 5.5% 08/15/08										
SAL	05-29-03	340623	-500,000.000	115.889		579,445.00	-549,040.00	30,405.00			Univ of TX 5.5% 08/15/08
	TOTAL -		-500,000.000		0.00	579,445.00	-549,040.00	30,405.00	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
SAM1	TX.5D0808 Univ. of Texas 5.5% 08/15/08	500,000.000	PAR	109.808	549,040.00	113.163	12-31-03	565,815.00	16,775.00

SAM1	TX.6D204 TX Schl Fd LTD 6.000% 02/01/04										
SAL	05-30-03	340645	-300,000.000	103.110		309,330.00	-322,869.00	-13,539.00			Spring Branch 6% 02/01/04
	TOTAL -		-300,000.000		0.00	309,330.00	-322,869.00	-13,539.00	0	0.00	
SAM1	TX.6D210 McKinney Schl Bldg 6% 2/15/10										
SAL	03-27-03	335607	-500,000.000	115.227		576,135.00	-536,985.00	39,150.00			McKinney Schl Bldg 6% 2/15/10
	TOTAL -		-500,000.000		0.00	576,135.00	-536,985.00	39,150.00	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
SAM1	TX.6D210 McKinney Schl Bldg 6% 2/15/10	500,000.000	PAR	107.397	536,985.00	117.132	12-31-03	585,660.00	48,675.00

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAW1	TX.6A0205 LaPrte TX ISD 6.25% 02/15/05										
SAL	06-06-03	342844	-450,000.000	108.261		487,174.50	-499,162.50	-11,988.00			La Porte Schl Dist 6.25% 2/05
	TOTAL -		-450,000.000		0.00	487,174.50	-499,162.50	-11,988.00	0	0.00	
SAW1	TX.6F0806 Brwnvle Sch Dist 6.75% 8/15/06										
SAL	09-29-03	352106	-785,000.000	114.349		897,639.65	-904,343.55	-6,703.90			Brownsville ISD 6.75% 08/15/06
	TOTAL -		-785,000.000		0.00	897,639.65	-904,343.55	-6,703.90	0	0.00	
SAW1	TX.70806 Austin Indep. Schl Tx 7% 08/06										
SAL	09-29-03	352105	-500,000.000	114.646		573,230.00	-549,510.00	23,720.00			City of Austin 7% 08/01/06
	TOTAL -		-500,000.000		0.00	573,230.00	-549,510.00	23,720.00	0	0.00	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
SAW1	TX.70806 Austin Indep. Schl Tx 7% 08/06	350,000.000	PAR	110.263	385,922.00	113.133	12-31-03	395,965.50	10,043.50

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAW1	UT.51007 Salt Lake Bldg Auth 5% 10/1/07										
PUR	10-01-03	355653	425,000.000	111.927	475,689.75				425,000	475,689.75	Salt Lake Bldg Auth 5% 10/1/07
	TOTAL -		425,000.000		475,689.75	0.00	0.00	0.00	425,000	475,689.75	

CURRENT HOLDINGS -

ENTITY	INVESTMENT DESCRIPTION	QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
SAW1	UT.51007 Salt Lake Bldg Auth 5% 10/1/07	425,000.000	PAR	111.927	475,689.75	110.452	12-31-03	469,421.00	-6,268.75

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
SAW1	UT.5B0708 Utah Ref Tax 5.25% 07/01/08										
PUR	03-18-03	334090	1,000,000.000	113.620	1,136,200.00				500,000	568,100.00	Utah Ref Tax 5.25% 07/01/08
SAL	05-29-03	340624	-500,000.000	114.635		573,175.00	-568,100.00	5,075.00			Utah NCL 5.25% 07/01/08
	TOTAL -		500,000.000		1,136,200.00	573,175.00	-568,100.00	5,075.00	500,000	568,100.00	

2355

04-26-04
FC3.3

Market Street Trust Company
PURCHASE / SALE DETAIL HISTORY REPORT
BOOK BASIS - FROM 01-01-03 TO 12-31-03

ENTITY TYPE	INVESTMENT DESCRIPTION TXN DATE	TXN NUMBER	QUANTITY	PRICE	COST BASIS	SALE PROCEEDS	SALE COST BASIS	MKT GAIN/LOSS	REMAINING QUANTITY	REMAINING COST BASIS	DESCRIPTION
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	SAM1 UT.5B0708 Utah Ref Tax 5.25% 07/01/08				500,000.000	PAR	113.620	568,100.00	112.199	12-31-03	560,995.00	-7,105.00
SAM1	VA.50108 Hampton VA 5% 01/15/08										
SAL	06-05-03	342843	-640,000.000	112.680		721,152.00	-678,931.20	42,220.80			City of Hampton 5% 1/15/08
	TOTAL -		-640,000.000		0.00	721,152.00	-678,931.20	42,220.80	0	0.00	
SAM1	VA.60807 Virginia Public Bldg 6% 8/1/07										
SAL	05-29-03	340625	-500,000.000	115.726		578,630.00	-523,630.00	55,000.00			VA Pub Bldg Auth 6% 08/01/07
	TOTAL -		-500,000.000		0.00	578,630.00	-523,630.00	55,000.00	0	0.00	
SAM1	WA.7A1208 King CTY Schl 7.25% 12/01/08										
	CURRENT HOLDINGS -										
	ENTITY INVESTMENT DESCRIPTION				QUANTITY	UOM	AVERAGE	COST	PRICE	DATE	MARKET VALUE	UNREALIZED G/L
	SAM1 WA.7A1208 King CTY Schl 7.25% 12/01/08				200,000.000	PAR	118.973	237,946.00	121.540	12-31-03	243,080.00	5,134.00
REPORT TOTAL -					139,871,831.17	224,306,601.40	-219,408,403.67	4,900,197.73	9,239,157	9,994,931.02	

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2004 MAY 21 PM 5:10

U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

STENY H. HOYER
(Full Name)

H-306, THE CAPITOL, WASHINGTON, D.C. 225-3130
(Mailing Address) (Daytime Telephone)

Filer Status	☒ Member of the U.S. House of Representatives — State: MD District: 5	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Steny Hoyer	5/21/04

2357

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
STATE OF MARYLAND	LEGISLATIVE PENSION	17,219.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			Block A	Block B											Block C							Block D											Block E
				A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	EXCEPTED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X																	P
			Simon & Schuster			Indefinite																Royalties									X		
			1st Bank of Paducah, KY accounts						X									X															
			KEOGH - LEGG MASON							X								X					NA										
			CONG. FED. CREDIT UNION			X												X					X										
			MASS. CASH MGMT.		X													X					X										
			DIGITAL ANGEL - STOCK				X									X																	
			TELKONET - PREVIOUSLY LISTED IS A COMPONENT OF KEOGH ACCOUNT (WHICH IS NOT OTHERWISE SELF DIRECTED)																														

For additional assets and unearned income, use next page.

2359

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NATIONAL ACADEMY OF RECORDING ARTS + SCIENCES	2/23-24	WASH, D.C - NEW YORK	Y	Y	N	N
	AFL-CIO	2/24	NEW YORK - FT LAUDERDALE - WASH. DC	N	Y	N	N
	NATIONAL CABLE TV ASSOC.	6/7-9	WASH., D.C.-CHICAGO-WASH. DC	N	Y	N	N
	AIPAC	8/2-10	WASH., D.C.-ISRAEL-WASH. DC	Y	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
MEMBER	ST. MARY'S COLLEGE BOARD OF TRUSTEES
MEMBER	NAVAL ACADEMY BOARD OF VISITORS

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(6)

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 AM 10: 48

(Office Use Only)

HC

KENNY CHARLES HULSHOF
(Full Name)

24 BINGHAM RD, COLUMBIA, MO 65203
(Mailing Address)

573-874-1682
Daytime Telephone

Filer Status	☒ Member of the U.S. House of Representatives — State: MO 6th District:	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Kenny C. Hulshof	May 12, 2004

2362

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	HULSHOF FARMS	FARM MANAGER'S FEE	$1,000.00
	BOONE HOSPITAL CENTER	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name KENNY CHARLES HULSHOF

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B												Block C						Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock				X								X										X							P
			Simon & Schuster		Indefinite																Royalties								X			
			1st Bank of Paducah, KY accounts					X									X															
	MO. STATE EMPLOYEES RETIREMENT SYSTEM				X										X							NA										
JT	BANK OF AMERICA, COLUMBIA, MO.				X												X						X									
JT	FIRST NATIONAL BANK, COLUMBIA, MO.				X												X						X									

For additional assets and unearned income, use next page.

2364

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name KENNY CHARLES HULSHOF

Page 4 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001 - $250,000	F $250,001 - $500,000	G $500,001 - $1,000,000	H $1,000,001 - $5,000,000	I $5,000,001 - $25,000,000	J $25,000,001 - $50,000,000	K Over $50,000,000	L	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	FIRST SECURITY STATE BANK, CHARLESTON, WV			X												X																	
SP	CITI STREET, EAST BRUNSWICK, N.J., ACCOUNTS																																
	- DREYFUS S&P 500 INDEX		X											X								X											
	- PIM TOTAL RETURN ADMIN			X										X								X											
	- AIM SMALL CAP GROWTH		X											X								X											
	- SSGA MONEY MARKET			X										X								X											
SP	AMERICAN CENTURY, 4500 MAIN ST., KANSAS CITY, MO																																
	- GROWTH			X										X										X									
	- ULTRA			X										X										X									
	- VALUE			X										X											X								
	- GLOBAL GROWTH			X										X										X									
	- LIFE SCIENCES			X										X										X									
	- PRIME MONEY MARKET			X										X								X											
	PRIMEVEST	X															X					X											
DC	AMERICAN FUNDS, SAN ANTONIO, TEXAS																																
	- GROWTH FUND		X											X								X											
	- INVESTMENT COMPANY		X											X								X											
	- CAPITAL INCOME BUILDER		X											X								X											

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Name KENNY CHARLES HULSHOF | Page 5 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B	C $15,001–$50,000	D	E $100,001–$250,000	F	G $500,001–$1,000,000	H	I $5,000,001–$25,000,000	J	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	BANK ONE	REVOLVING CHARGE ACCT	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	CODEL THOMAS	SEPT. 10-13	WASH, DC — CANCUN, MEXICO WASH, DC.	Y	Y	Y	N
	CODEL SHIMKUS	OCT 3-4	WASH, D.C. — WEST POINT, NY.	Y	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(5)

LEGISLATIVE RESOURCE CENTER

MC 2004 MAY 14 AM 11:41
(Office Use Only)

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

DUNCAN LEE HUNTER
(Full Name)

2265 RAYBURN HOB
(Mailing Address)

202 225-5672
Daytime Telephone

WASHINGTON, DC 20515

Filer Status	[X] Member of the U.S. House of Representatives	State: District:	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Duncan Lee Hunter	5/13/04

2368

Name DUNCAN LEE HUNTER | Page 1 of 4

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $201 – $1,000	III $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X Over $1,000,000	XI	P, S, E
SP	Mega Corp. Stock				X							X														P
Examples:	Simon & Schuster			Indefinite											Royalties								X			
	1st Bank of Paducah, KY accounts												X													
	50 ACRES WARREN CTY VA											X							X							
	CABIN, WARREN CTY VA				X							X							X							
	1/8 ACRE LOT & HOME, CA RIVERSIDE						X						X										X			S
	1/4 ACRE LOT BEAR LAKE, UT			X									D	FE		X										
	SAN DIEGO FIRE HOME BURNED DOWN																						X			
	PERSONAL PROPERTY DESTROYED																						X			

For additional assets and unearned income, use next page.

2369

SCHEDULE IV — TRANSACTIONS

Name DUNCAN LEE HUNTER | Page 2 of 4

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction			Date (MO/DAY/YR)	Amount of Transaction										
			SALE				C $15,001–$50,000		E $100,001–$250,000		G $500,001–$1,000,000		I $5,000,001–$25,000,000		K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10–12–03		X								
JT	SALE OF LOT + HOME, RIVERSIDE		X		6/6/03										
JT	HOUSE BURNED DOWN, BIG CAFE (INSURANCE)		INSURANCE X		10/31/03						X				

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
JT	CONGRESSIONAL FED CREDIT UNION	LOAN					
	" " " "	CAR LOAN	X				
JT	NO ISLAND FED CREDIT UNION	PERSONAL LOAN					
	" " "	CAR LOAN	X				
JT	BANK OF AMERICA	REV CREDIT	X				
	COMMUNITY 1ST BANK	PERSONAL LOAN	X				
JT	BB&T VIRGINIA BANK	BUILDING LOAN					
	NAT POSTAL MAIL HANDLER	REV CREDIT	X				
JT	BRITISH AIR	REV CREDIT	X				
	USAA CREDIT CARD	REV CREDIT	X				
JT	CITY BANK CREDIT CARD	REV CREDIT	X				

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARD MEMBER	AMERICAN CONSERVATIVE UNION
PARTNER	CABIN #2 WITH PETE GREEN ON BLUE RIDGE

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED
HAND DELIVF

LEGISLATIVE RESOURCE CENTER (3)
2004 MAY 17 PM 12: 26
HOUSE OF REPRESENTATIVES
(Office Use Only) MC

Henry J Hyde
(Full Name)

2110 Rayburn House Office Building
(Mailing Address)

202-225-4561
Daytime Telephone

Washington, D.C. 20515

Filer Status	[X] Member of the U.S. House of Representatives — State: District:	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Henry J. Hyde	5-17-2004

2373

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Illinois General Assembly Retirement	Legislative pension	$7496.04

For payments to charity in lieu of honoraria, use Schedule II.

2374

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of Income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A Asset and/or Income Source		BLOCK B Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income										BLOCK E Transaction
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:* SP Mega Corp. Stock						X								X										X						P
	Examples: Simon & Schuster				Indefinite																Royalties								X		
	Examples: 1st Bank of Paducah, KY accounts							X									X									X					
	Congressional Federal Credit Union, Washington, D.C.						X										X							X							
	White House Federal Credit Union, Washington D.C. (IRA)					X											X						IRA/IRA								

For additional assets and unearned income, use next page.

2375

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

7

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
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OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

Jay Robert Inslee
(Full Name)
1197 Hawley Way NE
(Mailing Address)
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: WA District: 1	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		May 12 2004

2376

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Dr. Steven Schwager	Spouse Salary	26,000

For payments to charity in lieu of honoraria, use Schedule II.

2377

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the Instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of Income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B												Block C						Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock				X								X																	P
			Simon & Schuster		Indefinite																Royalties								X			
			1st Bank of Paducah, KY accounts					X									X									X						
JT	Congressional Federal Credit Union				X												X															
SP	Solomon Smith Barney Simplified IRA				X												X						X									
	Citibank			X																			X									
	AOL Time Warner				X										X								X									
	Avaya Inc			X																												
	Bristol Myers				X										X								X									

For additional assets and unearned income, use next page.

2378

SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name Jay R. Inslee

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Cisco Sys. Inc			X														
	Citigroup							X					X					
	EMC Corp.		X											X				
	General Electric			X				X					X					
	Intel Corp			X				X										
	Lucent Tech	X						X					X					
	Medtronic Inc		X															
	Microsoft			X														
	Pfizer Inc		X															
	Realnetworks		X															
	Starbucks			X														
	Texas Instrument			X				X					X					
	I-Flow Corp		X															P

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SCHEDULE IV — TRANSACTIONS

Name Jay R. Inske

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	I-Flow Corp common stock	X			7-14-03	X									

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2380

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
CA COUNCIL FOR INTERNATIONAL TRADE	JAN 10-12	SEATTLE - SAN JOSE - SEATTLE	Y	Y	Y	N
N AMERICAN TRANSPLANT COORDINATORS ORGANIZATION	MAR 3	WASH., DC - SAN DIEGO - SEATTLE	N	N	N	N
DIGITAL PARTNERS	MAY 25 - JUN. 1	SEATTLE - NEW DELHI, INDIA - MUMBAI - PUNE - BARAMATI - WASH, DC	Y	Y	N	N
CHICAGO BOARD OPTIONS EXCHANGE CHICAGO BOARD OF TRADE, CHICAGO MERCANTILE	OCT 26-27	SEATTLE - CHICAGO - WASH., DC	Y	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Honorary Board Member	Washington Wildlife & Recreation Coalition

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2004 MAY 27 PM 12:09
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC
(Office Use Only)

Jay Robert Inslee
(Full Name)

1197 Hawley Way NE
(Mailing Address)

Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives — State: W.9 District: 1	☐ Officer or Employee	Employing Office:
Report Type	☐ Annual (May 15)	☑ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions. (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	J Inslee	5/27/04

2383

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jay R. Inslee

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Cisco Sys. Inc																	
	Citigroup							X										
	EMC Corp		X															
	General Electric							X										
	Intel Corp							X										
	Lucent Tech							X										
	Medtronic Inc		X									X						
	Microsoft											X						
	Pfizer Inc		X									X						
	Real networks		X									X						
	Starbucks											X						
	Texas Instruments							X										
	I-Flow Corp		X															P

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(13)

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(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

JOHN HARDY ISAKSON
(Full Name)

5074 HAMPTON FARMS DRIVE
(Mailing Address)

MARIETTA, GA. 30068

202-225-4501
Daytime Telephone
404-252-5239

Filer Status: [X] Member of the U.S. House of Representatives — State: GEORGIA District: 6 — [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5-10-04

2385

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	FRAMEWORKS ENTERPRISES INC	SPOUSE ARTIST FEE	525
	FAIRGREEN CAPITAL L.P. (REPURTABLE AGREEMENT BETWEEN FAIRGREEN AND JOHNNY ISAKSON)	DEFERRED COMPENSATION AGREEMENT	80,000

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
NONE			

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Name JOHNNY ISAKSON | Page 4 of 13

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A	A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X																		
DC	Simon & Schuster		Indefinite																	Royalties												
JT	1st Bank of Paducah, KY accounts						X									X										X			X			
	STRICKLIN CAPITAL							X							X	X	X			PARTNERSHIP												
	SOUTH HAMPTON FARMS DRIVE							X													X											
	FIDELITY PURITAN MUTUAL FUND				X									X		X								X								
	FIDELITY ADVISORS MUTUAL FUND			X										X		X								X								
	TEMPLETON GROWTH MUTUAL FUND				X									X		X									X							
	EVERGREEN GROWTH MUTUAL FUND			X										X		X								X								

For additional assets and unearned income, use next page.

2388

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHNNY ISAKSON

Page 5 of 8

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P. S. E
	ALABAMA POWER			X				X						X					
	FORD MOTOR CREDIT								X										
	STOCK																		
	AMERICAN EXPRESS				X			X											
	STOCK																		
	GANNETT INC				X			X						X					
	STOCK																		
	GENERAL ELECTRIC			X				X					X						
	STOCK																		
	ALLTEL INC							X					X						
	STOCK																		
	CITIGROUP INC			X				X							X				
	STOCK																		
	HOME DEPOT			X				X					X						
	STOCK																		
	DUKE ENERGY		X					X					X						
	STOCK																		
	AMERICAN INT'L GROUP	X						X		X						X			S
	STOCK																		
	AMGEN			X				X				X							
	STOCK																		
	BANK OF AMERICA				X			X							X				
	STOCK																		
	AT&T			X				X					X						
	STOCK																		
	COCA COLA			X				X					X						
	STOCK																		
	MERCK	X						X		X						X			S
	STOCK																		
	POST PROPERTIES			X				X						X					
	STOCK																		
	SUNTRUST CORP					X		X								X			
	STOCK																		
	TIME WARNER			X				X				X							
	STOCK																		
	MAIN STREET BANKS					X		X							X				S
	STOCK																		
	RIVERSIDE BANK					X		X				X							
	RIVERSIDE BANK C.D.			X					X					X					
	WACHOVIA BANK C.D.				X				X					X					

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2389

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHNNY ISAKSON

Page 6 of 13

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	MASS MUTUAL CASH VALUE	F	DIVIDENDS; INTEREST	VI	
	MONY CASH VALUE	D	DIVIDENDS; INTEREST	V	
	INDIANAPOLIS LIFE CASH VALUE	D	DIVIDENDS; INTEREST	IV	
	LINCOLN LIFE CASH VALUE	D	DIVIDENDS; INTEREST	V	
	STUCO TIDE L.P. OIL & GAS	D	CAPITAL GAINS; EXCEPTED TRUST	ROYALTY; V	
	STUCO OTTIAN L.P. OIL & GAS	D	INTEREST; CAPITAL GAINS	"; IV	
	KMI L.P. OIL & GAS	D	INTEREST; CAPITAL GAINS	"; VI	
	STUCO CHEETAH L.P. OIL & GAS	D	INTEREST; CAPITAL GAINS	"; V	
	STUCO OUTPUT L.P. OIL & GAS	D	INTEREST; CAPITAL GAINS	";	
	1/11TH INTEREST 54 ACRES RUTHERFORD COUNTY	E		I	
	SEA PINES TIMESHARE HILTON HEAD S.C.	D		I	
	50 1/2 ACRES CHEROKEE COUNTY	E		I	
	307 UNIVERSITY TOWERS ATHENS GA	E	RENT	VI	
	2 ACRES HWY 140 CHEROKEE COUNTY	F		I	
	JOHN BARLEY ASSOCIATION FUND	E	DIVIDENDS	II	
	PERMIT L.L.C.	F	INTEREST; CAPITAL GAINS	VI	
	WINTERCREEK LLC	F	INTEREST	VI	
	4 ITS LLC & CONDOS	F	INTEREST	VII	
	THRIFT SAVINGS PLAN	E	INTEREST; CAPITAL GAINS	V	
	SHERING PLOUGH STOCK	A	DIVIDENDS	II	
	VERIZON COMMUNICATIONS STOCK	C	DIVIDENDS	III	
	WASTE MANAGEMENT STOCK	C	DIVIDENDS	II	

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHNNY ISAKSON

BLOCK A Asset and/or Income Source	SP, DC, JT	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
12 ACRES BRANDON MILL ROAD RABUN COUNTY										X																						
STOCK ALLIANCE PCS							X							X							X											
STOCK BANK ONE CORP					X									X									X									P
STOCK BRINKER INT'L						X								X							X											
STOCK CARDINAL HEALTH						X								X								X										
STOCK CONCORD EFS						X								X																		P
STOCK COPART EFS STOCK	X																X				X											P
STOCK EXPRESS SCRIPTS INC	X																X															S
STOCK GENZYME CORP						X								X												X						S
STOCK H.B. CLAYBORNE INC						X								X							X											
STOCK HANA						X								X									X									P
STOCK HATTERSON DENTAL						X								X																		P
STOCK HELZBERG	X													X			X				X											
STOCK HEALTHSOUTH HEALTH NET.						X								X							X											S
STOCK HOMESTAKE HOLDINGS						X								X							X											
STOCK IDAHO ALPS						X								X									X									P
MUTUAL FUND OPPENHEIMER					X									X									X									
GLOBAL GROW B						X								X									X									
MUTUAL FUND OPPENHEIMER						X								X									X									
MAIN STREET SMALL CAP					X									X									X									
MUTUAL FUND OPPENHEIMER						X								X									X									
GLOBAL GROW B						X								X									X									
MUTUAL FUND OPPENHEIMER						X								X									X									
SMALL CAP VALUE					X									X									X									
MUTUAL FUND PRUDENTIAL														X		X																
GA MUNI CASH TR					X									X									X									
EATON VANCE INTERED								X								X										X						
MUNI FUND																																

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHNNY ISAKSON

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	MUTUAL FUND FRANKLIN	F	INTEREST	IV	
	GA TAX FREE CLASS C				
	MUTUAL FUND GRANDMINDED		DIVIDENDS; INTEREST	IV	
	CHAMPION INTERNATIONAL CLASS B	D			
	MUTUAL FUND GRANDMINDED		DIVIDENDS; INTEREST	IV	
	GRATER C EXCHANGE	D			
	STOCK BERKSHIRE		DIVIDENDS		
	HATHAWAY	D			
	STOCK		DIVIDENDS	I	
	DELL COMPUTERS				
	STOCK GENTMAN	C	DIVIDENDS		
	CANON CO				
	STOCK	C	DIVIDENDS	III	
	EXXON MOBILE STOCK				
	STOCK	C	DIVIDENDS	III	
	JOHNSON & JOHNSON				
	STOCK	C	DIVIDENDS	II	
	KROGER CO				
	STOCK	C	DIVIDENDS	I	
	MARATHON OIL CORP	D			
	STOCK		DIVIDENDS	III	
	MORGAN STANLEY				
	STOCK	C	DIVIDENDS	III	
	KIRGAN INC CL. A				
	STOCK	C	DIVIDENDS	II	
	PROCTOR & GAMBLE				
	STOCK	C	DIVIDENDS	II	
	RAYTHEON CO				
	STOCK	C	DIVIDENDS	III	
	TEXAS INSTRUMENTS				
	STOCK	C	DIVIDENDS	II	
	TEXTRON INC				
	STOCK	D	DIVIDENDS	III	
	TIME R US				
	STOCK	C	DIVIDENDS	I	
	WASHINGTON MUTUAL				
	CINNAMON RIDGE LLC	D	DIVIDENDS	IV	
	REAL ESTATE				
	HIDDEN OAKS LLC	F	INTEREST	V	
	REAL ESTATE				
	STOCK	D	INTEREST	IV	
	WILLIAM SONOMA INC				
	STOCK	E	DIVIDENDS	I	P
	INTECH LIFE SYSTEMS				
		D	DIVIDENDS	I	P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHNNY ISAKSON

BLOCK A Asset and/or Income Source (SP, DC, JT)	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction (P, S, E)
STOCK LIMITED BRANDS					X								X									X									P
SUSSEX CLUB LLC				X										X									X								P

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SCHEDULE IV — TRANSACTIONS

Name JOHNNY ISAKSON

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10 12-03		X								
	AMERICAN INT'L GROUP STOCK		X		3-10-03			X							
	MERCK STOCK		X		7-24-03		X								
	COPART EFS STOCK		X		2-20-03	X									
	ADVANCE ACS STOCK	X			1-13-03			X							
	EXPRESS SCRIPTS INC STOCK		X		8-25-03			X							
	PFIZER STOCK		X		8-26-03	X									
	WILLIAM SONOMA INC STOCK	X			7-22-03			X							
	INTEGRA LIFE SYSTEMS STOCK	X			9-9-03		X								
	LIMITED BRANDS STOCK	X			12-17-03			X							
	MAIN STREET BANKS STOCK		X		7-1-03			X							
	MNBA STOCK	X			9-8-03	X									
	CARDINAL HEALTH STOCK	X			9-8-03		X								
	CONCORD EFS STOCK	X			7-14-03	X									
	LIZ CLAIBORNE STOCK	X			3-21-03	X									
	WINNEBAGO IND. STOCK	X			8-4-03	X									
	SUSSEX CLUB LLC	X			4-1-03		X								

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
	WACHOVIA BANK	MORTGAGE 5074 HAMPTON FARMS DR	X				
	CHASE MORTGAGE	"					
	ROBINSON HUMPHREY	MARGIN ACCOUNT					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
	NONE	

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
			NONE				

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2396

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
TRUSTEE	KENNESAW STATE UNIVERSITY
"	REINHARDT COLLEGE
BOARD	GEORGIAN CLUB
"	FAIRGREEN CAPITAL

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
12-18-98	FAIRGREEN CAPITAL AND JOHNNY ISAKSON	PAYMENT FOR PERFORMANCE BONUS OVER TIME
12-31-98	"	MAINTAIN MEDICAL INSURANCE
11-16-95	STATE OF GA HEALTH BENEFIT PLAN	AFTER 8 YRS OF SERVICE MEMBER OF GENERAL ASSEMBLY MAY MAINTAIN INSURANCE

Use additional sheets if more space is required.

JOHNNY ISAKSON
6TH DISTRICT, GEORGIA

EDUCATION AND THE WORKFORCE
WORKFORCE PROTECTIONS
21ST CENTURY COMPETITIVENESS

TRANSPORTATION AND INFRASTRUCTURE
AVIATION
HIGHWAYS, TRANSIT AND PIPELINES
WATER RESOURCES

Congress of the United States
House of Representatives
Washington, DC 20515–1006

WASHINGTON OFFICE:
132 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515–1006
(202) 225–4501
FAX: (202) 225–4656

DISTRICT OFFICE:
6000 LAKE FORREST DRIVE
SUITE 110
ATLANTA, GA 30328
(404) 252–5239
FAX: (404) 303–1260

http://www.house.gov/isakson

June 3, 2004

MC

Mr. Jeff Trandahl
Clerk, U.S. House of Representatives
Legislative Resource Center
B106 Cannon House Office Building
Washington, D.C. 20515-6612

Dear Mr. Trandahl,

On my 2003 Financial Disclosure Statement filed in your office on May 13, 2004, I unintentionally left a blank under Block D (Amount of Income) beside the Asset titled "Stricklin Capital" on page 4 Schedule III. Column VIII ($50,000-100,000) should have been marked with a "x".

I would appreciate your including this amendment with my disclosure file.

Sincerely,

Johnny Isakson
MOC

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 JUN -3 AM 10: 03
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

MAY 15 2004

2004 MAY 21 PM 3:21

U.S. HOUSE OF REPRESENTATIVES (Office Use Only)

T STEVE J. ISRAEL
(Full Name)

2 BRUSH PLACE
(Mailing Address)

HUNTINGTON NY 11743

Daytime Telephone: (202) 225-3335

Filer Status	[X] Member of the U.S. House of Representatives	State: NEW YORK District: 2	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	X	5/14/04

2399

Name STEVE J ISRAEL	Page 2 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
TOWN OF HUNTINGTON (NY)	SPOUSE SALARY	N/A
LAW OFFICES OF MARLENE BLOOD	SPOUSE LAW FIRM EARNINGS	N/A

For payments to charity in lieu of honoraria, use Schedule II.

2400

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name STEVE J ISRAEL

Page 3 of 1

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A	A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X																		P
	Simon & Schuster		Indefinite																	Royalties												
	1st Bank of Paducah, KY accounts						X									X									X							
	MERRILL LYNCH IRA			X										X									X									
	NYSTA-& RETIREMENT													N/A							N/A											

For additional assets and unearned income, use next page.

Name STEVE J ISRAEL | Page 4 of 5

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
		NONE					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
	NONE	

Use additional sheets if more space is required.

Name STEVE J ISRAEL | Page 5 of 5

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
AMERICAN ISRAEL EDUCATION FOUNDATION	AUG 2-14	NEW YORK (JFK) - ISRAEL - NEW YORK (JFK)	Y	Y	Y	N
AIPAC	NOV 15-17	NEW YORK, NY - FT LAUDERDALE FL - WASHINGTON DC	Y	Y	Y	N

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(18)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2004 JUN -1 AM 10:39

MC
(Office Use Only)

Darrell Issa
(Full Name)

211 Cannon HOB Washington, DC 22204
(Mailing Address)

202-225-3906
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative — State: CA District: 49	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $235 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $235 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/27/04

2404

SCHEDULE I - EARNED INCOME

Name Darrell Issa

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Greene Properties Inc.	Spouse Salary	N/A

Total Schedule III - Assets and "Unearned" Income

	Asset	Value of Asset	Income Type	Amount of Income	Transaction
JT	CMA Tax-Exempt Money Market Fund	$100,001-$250,000	Dividend	$2,501-$5,000	
JT	Federal National Mtg Assoc Non Cum PFD	$100,001-$250,000	Dividend	$5,001-$15,000	Purchase
JT	Colorado Health Facs Ath RV Hosp No Municipal Bond	$250,001-$500,000	Interest	$15,001-$50,000	
JT	ML & Co Strides (EMC)	$250,001-$500,000			Purchase
JT	ML & Co Strides (Micron)	$250,001-$500,000			Purchase
JT	AIM International Emerging Growth Mutual Fund	$1,000,000-$5,000,000	Reclass Dist	$2,501-$5,000	Purchase
JT	AIM Small Cap Growth Mutual Fund	$1,000,000-$5,000,000			
JT	Alliance Bernstein Value Mutual Fund	$1,000,001 - $5,000,000	Reclass Dist	$15,001-$50,000	Purchase
JT	Alliance Growth & Income Mutual Fund	$1,000,001 - $5,000,000	Reclass Dist.	$15,001-$50,000	Sale-Exchange
JT	American AMCAP Mutual Fund	$1,000,001 - $5,000,000	Reclass Dist.	$201-$1,000	
JT	American Growth Fund of America Mutual Fund	$1,000,001 - $5,000,000	Reclass Dist.	$201-$1,000	
JT	American New Perspective Mutual Fund	$1,000,001 - $5,000,000	Reclass Dist.	$15,000-$50,000	
JT	Davis NY Venture Mutual Fund	$1,000,001 - $5,000,000	Reclass Dist	$15,000-$50,000	
JT	Enterprise Mergers & Acquisitions Mutual Fund	$1,000,001-$5,000,000	Capital Gain	$5,001-$15,000	Purchase
JT	Franklin Microcap Mutual Fund	$1,000,001-$5,000,000	Reclass Dist	$15,001-$50,000	Purchase
JT	Franklin Cust FDS Utility Mutual Fund	$1,000,001-$5,000,000	Reclass Dist	$100,001-$250,000	Purchase
JT	John Hancock Focused Equity Mutual Fund	$500,001 - $1,000,000			
JT	John Hancock Small Cap Equity Mutual Fund	$1,000,001 - $5,000,000			
JT	John Hancock Small Cap Growth Mutual Fund	$500,001 - $1,000,000			
JT	Lord Abbett Mid Cap Value Mutual Fund	$1,000,001-$5,000,000	Reclass Dist	$15,001-$50,000	Purchase
JT	Lord Abbett Mid Cap Value Mutual Fund		Capital Gain	$2,501-$5000	
JT	Mainstay Equity Income Mutual Fund	$1,000,001-$5,000,000			Purchase
JT	MFS Capital Opportunities Mutual Fund	$1,000,001 - $5,000,000			Sale Exchange
JT	MFS Mid Cap Growth Mutual Fund	$500,001 - $1,000,000			
JT	MFS Strategic Value Mutual Fund	$1,000,001 - $5,000,000	Reclass Dist	$1,001-$2,500	Purchase
JT	MFS Value Mutual Fund	$1,000,001 - $5,000,000	Reclass Dist	$15,001- $50,000	
JT	MFS Focus Value Mutual Fund	$1,000,001-$5,000,000			Purchase
JT	ML Fundamental Growth Mutual Fund	$1,000,001 - $5,000,000			
JT	ML Global Small Cap Mutual Fund	$1,000,001 - $5,000,000	Dividend	$15,001-$50,000	Purchase
JT	ML Global Allocation Mutual Fund	$1,000,001-$5,000,000	Dividend	$15,001-$50,000	Purchase
JT	ML International Index	$500,001-$1,000,000	Dividend	$5,001-$15,000	Purchase
JT	ML Large Cap Value Mutual Fund	$1,000,001-$5,000,000			Purchase

JT	ML Mid Cap Value Mutual Fund	$500,001-$1,000,000			Purchase
JT	ML Pacific Mutual Fund	$1,000,001-$5,000,000			Purchase
JT	ML Small Cap Index	$1,000,001-$5,000,000	Dividend	$2,501-$5,000	Purchase
JT	Pimco Pea Target	$1,000,001-$5,000,000			
JT	Pimco NFJ Small Cap Value Mutual Fund	$500,001-$1,000,000	Re-class Dist	$5,001-15,000	Purchase
JT	Pimco NFJ Small Cap Value Mutual Fund		Capital Gain	$2,501-$5,000	
JT	Pimco Peas Renaissance Mutual Fund	$1,000,001-$5,000,000			
JT	Pioneer Small Cap Value Mutual Fund	$1,000,001-$5,000,000	Re-class Dist	$1,001-$2,500	
JT	Scudder Microcap Mutual Fund	$1,000,000-$5,000,000			Purchase
JT	Templeton Foreign Mutual Fund	$500,001-$1,000,000	Foreign Dividend	$5,000-$15,000	Purchase
JT	Templeton Foreign Mutual Fund		Dividend	$5,000-$15,000	
JT	Van Kampen Comstock Mutual Fund	$1,000,000-$5,000,000			Purchase
JT	ML & Co Strides (Merck & CO)	$100,001-$250,000	Interest	$5,001-$15,000	Purchase
JT	ML & Co Strides (Intuit)	$250,001-$500,000	Interest	$2,501-$5,000	Purchase
JT	ML & Co Strides (Brocade)	$250,001-$500,000	Interest	$5,001-$15,000	Purchase
JT	ML & Co Strides (Qualcomm)	$100,001-$250,000			Purchase
JT	ML & Co Strides (Cisco)	$100,001-$250,000			Purchase
JT	ML & Co Strides (IBM)	$250,001-$500,000	Interest	$5,001-$15,000	Purchase
JT	ML & Co Strides (Best Buy)	$50,001-$100,000	Interest	$5,001-$15,000	Partial Sale
JT	MFS Emerging Markets Debt Fund	$500,001-$1,000,000	Dividend	$2,501-$5,000	Puchase/Exchg
JT	AllianceBernstein Emerging Market Debt Mutual Fund	$1,000,001-$5,000,000	Dividend	$5,001-$15,000	Purchase/Exchg
JT	American High Income Tr Mutual Fund	$1,000,000-$5,000,000	Reclass Dist	$50,001-$100,000	Purchase
JT	Corporate High Yield	$500,001-$1,000,000	Dividend	$5,001-$15,000	Purchase
JT	Dreyfus Premier High Income Mutual Fund	$1,000,001-$5,000,000	Dividend	$50,001-$100,000	Purchase
JT	MFS High Income Fund	$1,000,000-$5,000,000	Dividend	$50,001-$100,000	Purchase
JT	ML Bond Fund High Income	$500,001-$1,000,000	Dividend	$15,001-$50,000	Purchase
JT	ML US High Yield Fund	$500,001-$1,000,000	Dividend	$15,001-$50,000	Purchase
JT	Pimco High Income Fund	$250,001-$500,000	Dividend	$5,001-$15,000	Purchase
JT	Pimco High Yield Fund	$1,000,001-$5,000,000	Re-class Dist	$50,001-$100,000	Purchase
JT	Pioneer High Yield Fund	over $5,000,000	Re-class Dist	$100,001-$1,000,000	Purchase
JT	Pioneer High Yield Fund		Dividend	$50,001-$100,000	
JT	Pioneer High Yield Fund		Capital Gain	$5,001-$15,000	
JT	Los Angeles CA cnvtn Exh CTR Municipal Bond	none	OID	$5,001-$15,000	Redemption
JT	California St Municipal Bond	none	Interest	$2,501-$5,000	Sold
JT	Los Angeles CA Dept Wtr Pwr Municipal Bond	none	Interest	$50,001-$100,000	Sold
JT	Napa CA Wtr Rev Municipal Bond	none	Interest	$15,001-$50,000	Sold
JT	California St Municipal Bond	none	Interest	$5,001-$15,000	Sold

2407

JT	Brea CA PFA Rev Tax All	none	OID	$201-$1,000	Redemption
JT	Los Angeles Cnty CA MTA SLS Tax Municipal Bond	none	Interest	$50,001-$100,000	Sold
JT	University CA Revs Multi Purp Municipal Bond	none	Interest	$50,001-$100,000	Redemption
JT	California St Prn M-Tigr Municipal Bond	none	OID	$2,501-$5,000	Redemption
JT	University CA Revs Hosp UCLA Municipal Bond	none	Interest	$15,001-$50,000	Sold
JT	Conroe Tex ISD School House Municipal Bond	none	Interest	$5,001-$15,000	Sold
JT	Conroe Tex ISD School House ETM Municipal Bond	none	Interest	$5,001-$15,000	Sold
JT	San Joaquin Hills CA TCA Municipal Bond	none	Interest	$50,001-$100,000	Redemption
JT	San Diego Cnty CA Ctfs Municipal Bond	none	Interest	$5,001-$15,000	Redemption
JT	California St Pub Wks Brd Municipal Bond	none	Interest	$50,001-$100,000	Sold
JT	Alameda CO CA Cop Sata Rita Municipal Bond	none	Interest	$2,501-$5,000	Redemption
JT	Guam Pwr Auth Municipal Bond	none	Interest	$15,001-$50,000	Sold
JT	New York St Dorm Auth Rv Beth Municipal Bond	none	Interest	$50,001-$100,000	Sold
JT	Arcata CA JT Pwrs FA Tax Municipal Bond	none	Interest	$15,001-$50,000	Sold
JT	San Jose CA Arpt Rev Municipal Bond	none	Interest	$15,001-$50,000	Sold
JT	Central Valley SD Fing Municipal Bond	none	OID	$2,501-$5,000	Sold
JT	East Bay CA Mud Sys Rev Municipal Bond	none	Interest	$100,001-$1,000,000	Sold
JT	Cupertino CA Union School Dist Municipal Bond	none	OID	$5,001-$15,000	Sold
JT	Puerto Rico Commonwealth RFDG Municipal Bond	none	Interest	$5,001-$15,000	Sold
JT	Santa Clara CO CA Brd Ed Cop Municipal Bond	none	Interest	$5,001-$15,000	Redemption
JT	Escondido CA JT Pwr Fing Municipal Bond	none	OID	$2,501-$5,000	Redemption
JT	Los Angeles CA Uni Sch Dist Municipal Bond	none	Interest	$15,001-$50,000	Sold
JT	Puerto Rico Commonwealth Municipal Bond	none	Interest	$50,001-$100,000	Sold
JT	Puerto Rico Commonwealth PUB Municipal Bond	none	Interest	$5,001-$15,000	Sold
JT	Northern CA Pub Pwr Agcy Municipal Bond	none	Interest	$50,001-$100,000	Sold
JT	Puerto Rico Commonwealth RFDG Municipal Bond	none	Interest	$1,001-$2,500	Sold
JT	Los Angeles CA Uni Sch Dist Municipal Bond	none	Interest	$5,001-$15,000	Sold
JT	Midpeninsula RGL Opn SPC Dt Municipal Bond	none	OID	$5,001-$15,000	Sold
JT	Alum Rock CA Un Elem Sch Municipal Bond	none	OID	$2,501-$5,000	Redemption
JT	California St Var Purp Fully RFD Municipal Bond	none	Interest	$201-$1,000	Redemption
JT	San Diego Cnty CA Reg Tr Municipal Bond	none	Interest	$50,001-$100,000	Sold
JT	Southern Cal PPA Transmn Etm Rv Municipal Bond	none	OID	$1,001-$2,500	Redemption
JT	Southern Cal PPA Transmn Rv Municipal Bond	none	OID	$1,001-$2,500	Redemption
JT	Metro Wtr Dist Southn Ca Wtrwks Municipal Bond	none	Interest	$15,001-$50,000	Sold
JT	Sacramento CA City Fing Auth Municipal Bond	none	Interest	$15,001-$50,000	Sold
JT	California St FGIC	none	Interest	$15,001-$50,000	Sold
JT	Contra Costa Cnty Ca Pfa Lease Municipal Bond	none	Interest	$15,001-$50,000	Sold

JT	California St Univ Fndtn Rev Municipal Bond	none	Interest	$1,001-$2,500	Redemption
JT	Puerto Rico Commwealth Aqueduct Municipal Bond	none	Interest	$50,001-$100,000	Sold
JT	California St Vets Municipal Bond	none	Interest	$50,001-$100,000	Sold
JT	Colorado Health Facs Ath RV Hosp No Municipal Bond	none	Interest	$2,501-$5,000	Redemption
JT	Anaheim CA Pub Fng At Tx Municipal Bond	none	Interest	$5,001-$15,000	Sold
JT	Northern CA Pub Ppr Agcy Municipal Bond	none	OID	$201-$1,000	Redemption
JT	ML S & P 500 Index	none	Dividend	$100,001-$1,000,000	Sold
JT	CMA Money Market Fund	none	Dividend	$1-200	
JT	Insured Savings Account Fund	none	Interest	$1-200	
JT	Enterprise Small Co Mutual Fund	$1,000,001-$5,000,000			Purchase
JT	Nations Marsico 21st Mutual Fund	$1,000,001-$5,000,000			Purchase
JT	Enterprise Capital Mutual Fund	$1,000,001-$5,000,000			Purchase
JT	ING Mid Cap Value	$1,000,001-$5,000,000			Purchase
JT	Calamos Value Mutual Fund	$1,000,001-$5,000,000			Purchase
JT	Seligman Large Cap Value Mutual Fund	$1,000,001-$5,000,000			Purchase
JT	Calamos Growth Mutual Fund	$1,000,001-$5,000,000			Purchase
JT	Evergreen Equity Income Mutual Fund	$1,000,001-$5,000,000			Purchase
JT	SunAmerica Focused Mutual Fund	$1,000,001-$5,000,000			Purchase
JT	State Street Research Large Cap Value	$1,000,001-$5,000,000			Purchase
JT	Eaton Vance Growth Mutual Fund	$1,000,001-$5,000,000			Purchase
	Alliance Bernstein Value Mutual Fund	$15,001 - $50,000	Dividend	$1 - $201	
	American Growth Fund of America Mutual Fund	$15,001-$50,000			
	American New Perspective Mutual Fund	$15,001-$50,000	Dividend	$1-$201	
	MFS Value Mutual Fund	$15,001-$50,000	Dividend	$201-$1,000	
	ML Small Cap Value Mutual Fund	$15,001-$50,000			
	Pimco Renaissance Mutual Fund	$15,001-$50,000			
	ML Bank USA Money Market	$1,001-$15,000	Dividend	$1-$200	
SP	Alliance Bernstein Value Mutual Fund	$15,001 - $50,000	Dividend	$1 - $201	
SP	American Growth Fund of America Mutual Fund	$15,001-$50,000			
SP	American New Perspective Mutual Fund	$15,001-$50,000	Dividend	$1-$201	
SP	MFS Value Mutual Fund	$15,001-$50,000	Dividend	$201-$1,000	
SP	ML Small Cap Value Mutual Fund	$15,001-$50,000			
SP	Pimco Renaissance Mutual Fund	$15,001-$50,000			
SP	ML Bank USA Money Market	$1,001-$15,000	Dividend	$1-$200	
JT	ML Money Market Fund	$15,000-$50,000	Interest	$201-$1,000	
	Greene Properties, Inc. **	$5,000,001 - $25,000,000	Corporation received rental revenue, no disbursement to the filer		
	DEI LLC ***	Over $50,000,000	Corporation received rental revenue, no disbursement to the filer		

2409

** Greene Properties is a property management company that owns office and light industrial property located at 1 Viper Way, Vista, CA; and 3142 & 3156 Vista Way in Oceanside, CA.

*** DEI LLC is a limited liability corporation that owns and manages office and light industrial property located at 5540 & 5541 Fermi Court, Carlsbad, CA; 212 South Main Street, Fallbrook, CA; 1928 S. Grand Avenue, Santa Ana, CA; 2052 Corte del Nogal, Carlsbad, CA; 5570 Armada Drive, Carlsbad, CA and 5931 Priestly Drive, Carlsbad, CA

Total Schedule IV - Transactions

	Asset	Type	Date	Amount of Transaction
JT	Enterprise Small Co Mutual Fund	Purchase	12/30/2003	$1,000,001-$5,000,000
JT	ML Large Cap Value Mutual Fund	Purchase	12/30/2003	$1,000,001-$5,000,000
JT	Nations Marsico 21st Mutual Fund	Purchase	12/30/2003	$1,000,001-$5,000,000
JT	Enterprise Capital Mutual Fund	Purchase	12/30/2003	$1,000,001-$5,000,000
JT	ING Mid Cap Value Mutual Fund	Purchase	12/30/2003	$1,000,001-$5,000,000
JT	Calamos Value Mutual Fund	Purchase	12/30/2003	$1,000,001-$5,000,000
JT	Scudder Microcap Mutual Fund	Purchase	12/30/2003	$1,000,001-$5,000,000
JT	Seligman Large Cap Value	Purchase	12/30/2003	$1,000,001-$5,000,000
JT	ML Focus Value Mutual Fund	Purchase	12/30/2003	$500,001-$1,000,000
JT	Calamos Growth Mutual Fund	Purchase	12/30/2003	$1,000,001-$5,000,000
JT	Evergreen Equity Income Mutual Fund	Purchase	12/30/2003	$1,000,001-$5,000,000
JT	ML S & P 500 Index	Sale	12/30/2003	$25,000,001-$50,000,000
JT	Mainstay Equity Income Mutual Fund	Purchase	12/30/2003	$1,000,001-$5,000,000
JT	SunAmerica Focused Mutual Fund	Purchase	12/30/2003	$1,000,001-$5,000,000
JT	State Street Research Large Cap Mutual Fund	Purchase	12/30/2003	$1,000,001-$5,000,000
JT	Van Kampen Comstock Mutual Fund	Purchase	12/30/2003	$1,000,001-$5,000,000
JT	Eaton Vance Growth Mutual Fund	Purchase	12/30/2003	$1,000,001-$5,000,000
JT	ML & Co Strides (EMC)	Purchase	12/17/03	$250,001-$500,000
JT	Puerto Rico Commonwealth RFD Municipal Bond	Sale	12/16/03	$100,001 - $250,000
JT	Puerto Rico Commonwealth RFD Municipal Bond	Sale	12/16/03	$15,001-$50,000
JT	Puerto Rico Commonwealth PU Municipal Bond	Sale	12/16/03	$100,001 - $250,000
JT	Scudder Micro Cap Mutual Fund	Purchase	12/16/03	$1,000,001 - $5,000,000
JT	Van Kampen Comstock Mutual Fund	Purchase	12/16/03	$1,000,001 - $5,000,000
JT	Dreyfus Premier High Yield Mutual Fund	Purchase	12/11/03	$250,001 - $500,000
JT	Franklin Microcap Mutual Fund	Purchase	12/11/03	$250,001 - $500,000
JT	Mainstay Equity Income Mutual Fund	Purchase	12/11/03	$250,001 - $500,000
JT	Lord Abbett Mid Cap Value Mutual Fund	Purchase	12/11/03	$100,001 - $250,000
JT	Templeton Foreign Mutual Fund	Purchase	12/11/03	$250,001-$500,000
JT	Alliance Bernstein Emerging Markets Mut Fnd	Purchase-Exchange	12/10/03	$250,001 - $500,000
JT	Alliance Bernstein Select Mutual Fund	Sale-Exchange	12/10/03	$250,001 - $500,000
JT	Conroe Texas ISD Schoolhouse Municipal Bond	Sale	12/05/03	$250,001 - $500,000
JT	Conroe Texas ISD Schoolhouse Municipal Bond	Sale	12/05/03	$250,001 - $500,000

JT	San Jose CA ARPT Rev	Sale	12/05/03	$500,001 - $1,000,000
JT	Los Angeles CA Union School Municipal Bond	Sale	12/05/03	$250,001 - $500,000
JT	Metropolitan Water Dist STH Municipal Bond	Sale	12/05/03	$500,001 - $1,000,000
JT	Contra Costa County CA PF	Sale	12/05/03	$500,001 - $1,000,000
JT	MFS Emerging Markets Mutual Fund	Purchase-Exchange	12/03/03	$500,001 - $1,000,000
JT	MFS Capital Opportunities Mutual Fund	Sale-exchange	12/03/03	$500,001 - $1,000,000
JT	Dreyfus Premier High Income Mutual Fund	Purchase	12/02/03	$500,001 - $1,000,000
JT	AllianceBernstein Emerging Mutual Fund	Purchase-Exchange	12/02/03	$1,000,001 - $5,000,000
JT	AllianceBernstein Growth & Income Mutual Fund	Sale-Exchange	12/02/03	$1,000,001 - $5,000,000
JT	ML Global Allocation Mutual Fund	Purchase-Exchange	12/02/03	$250,001 - $500,000
JT	ML Global Value Mutual Fund	Sale-Exchange	12/02/03	$250,001 - $500,000
JT	University CA Revs Hosp Municipal Bond	Sale	12/01/03	$1,000,001 - $5,000,000
JT	Alameda CO CA COP Municipal Bond	Redemption	12/01/03	$50,001 - $100,000
JT	Los Angeles CA Union School Municipal Bond	Sale	12/01/03	$100,001 - $250,000
JT	San Diego County CA Reg Municipal Bond	Sale	12/01/03	$1,000,001 - $5,000,000
JT	Dreyfus Premier High Income Mutual Fund	Purchase	12/01/03	$1,000,001 - $5,000,000
JT	ML Bond Fund High Income	Purchase	11/20/03	$100,001-$250,000
JT	ML US High Yield Fund	Purchase	11/20/03	$100,001-$250,000
JT	Enterprise Mergers & Acquisition Mutual Fund	Purchase	11/19/03	$1,000,001 - $5,000,000
JT	Napa CA Water Rev Municipal Bonds	Sale	11/18/03	$1,000,001 - $5,000,000
JT	Guam Power Authority Municipal Bond	Sale	11/18/03	$500,001 - $1,000,000
JT	Colorado Health Facs Municipal Bond	Redemption	11/17/03	$100,001 - $250,000
JT	ML & Co Strides (Micron)	Purchase	11/13/03	$50,001 - $100,000
JT	Sacramento CA City FING Municipal Bond	Sale	11/12/03	$500,001 - $1,000,000
JT	ML & Co Strides (Micron)	Purchase	11/11/03	$100,001 - $250,000
JT	ML Global Small Cap Mutual Fund	Purchase	10/31/03	$500,001-$1,000,000
JT	ML Global Allocation Mutual Fund	Purchase	10/31/03	$1,000,001-$5,000,000
JT	Franklin Microcap Mutual Fund	Purchase	10/31/03	$100,001-$250,000
JT	AIM International Emerging Growth Mutual Fund	Purchase	10/30/03	$500,001-$1,000,000
JT	AllianceBernstein Value Fund	Purchase	10/30/03	$250,001-$500,000
JT	ML Pacific Mutual Fund	Purchase	10/30/03	$500,001-$1,000,000
JT	ML Mid Cap Value Mutual Fund	Purchase	10/30/03	$250,001-$500,000
JT	ML S & P 500 Index	Sale	10/30/03	$1,000,001-$5,000,000
JT	Mainstay Equity Income Mutual Fund	Purchase	10/30/03	$250,001-$500,000
JT	MFS Strategic Value Mutual Fund	Purchase	10/30/03	$100,001-$250,000
JT	ML Global Value Mutual Fund	Purchase	10/30/03	$100,001 - $250,000
JT	Lord Abbett Mid Cap Value Mutual Fund	Purchase	10/30/03	$250,001-$500,000

2412

JT	ML & Co Strides (Best Buy)	Sale	10/27/03	$100,001-$250,000
JT	ML Focus Value Mutual Fund	Purchase	10/22/03	$100,001-$250,000
JT	ML Small Cap Index	Purchase	10/22/03	$50,001-$100,000
JT	Mainstay Equity Income Mutual Fund	Purchase	10/22/03	$100,001-$250,000
JT	Templeton Foreign Mutual Fund	Purchase	10/22/03	$100,001-$250,000
JT	Colorado Health Facs Municipal Bond	Exchange	10/22/03	$100,001-$250,000
JT	ML & Co. Strides (CSCO)	Purchase	10/22/03	$50,001-$100,000
JT	Lord Abbett Mid Cap Value Mutual Fund	Purchase	10/22/03	$100,001-$250,000
JT	AIM International Emerging Growth Mutual Fund	Purchase	10/20/03	$250,001-$500,000
JT	ML Pacific Mutual Fund	Purchase	10/20/03	$250,001-$500,000
JT	ML Small Cap Index	Purchase	10/20/03	$1,000,001-$5,000,000
JT	ML International Index Fund	Purchase	10/20/03	$250,001-$500,000
JT	ML & Co Strides (QCOM)	Purchase	10/17/03	$100,001-$250,000
JT	East Bay CA Mud Sys Rev Municipal Bond	Sale	10/14/03	$1,000,001-$5,000,000
JT	Northern CA Pub Pwr Agy Municipal Bond	Sale	10/14/03	$1,000,001-$5,000,000
JT	ML Large Cap Value Mutual Fund	Purchase	10/10/03	$100,001-$250,000
JT	AIM International Emerging Growth Mutual Fund	Purchase	10/10/03	$100,001-$250,000
JT	Dreyfus Premier High Income Mutual Fund	Purchase	10/10/03	$100,000-$250,000
JT	ML Pacific Mutual Fund	Purchase	10/10/03	$100,001-$250,000
JT	Peurto Rico Commonwealth Municipal Bond	Sale	10/09/03	$1,000,001 - $5,000,000
JT	AIM International Emerging Growth Mutual Fund	Purchase	10/03/03	$250,001-$500,000
JT	ML Pacific Mutual Fund	Purchase	10/03/03	$250,001-$500,000
JT	Los Angeles County CA MTA Municipal Bond	Sale	10/03/03	$500,001 - $1,000,000
JT	AIM International Emerging Growth Mutual Fund	Purchase	09/15/03	$50,001-$100,000
JT	ML Global Small Cap Mutual Fund	Purchase	09/15/03	$50,001-$100,000
JT	Mainstay Equity Income Mutual Fund	Purchase	09/15/03	$50,001-$100,000
JT	Pioneer High Yield Mutual Fund	Purchase	09/12/03	$1,000,001-$5,000,000
JT	AIM International Mutual Fund	Purchase	09/12/03	$250,001-$500,000
JT	ML Global Small Cap Mutual Fund	Purchase	09/12/03	$250,001-$500,000
JT	Franklin Microcap Mutual Fund	Purchase	09/12/03	$250,001-$500,000
JT	Pimco NFJ Small Cap Value Mutual Fund	Purchase	09/12/03	$250,001-$500,000
JT	Mainstay Equity Income Mutual Fund	Purchase	09/12/03	$250,001-$500,000
JT	Lord Abbett Mid Cap Value Mutual Fund	Purchase	09/12/03	$250,001-$500,000
JT	Templeton Foreign Mutual Fund	Purchase	09/12/03	$250,001-$500,000
JT	University CA Revs Mult Municipal Bond	Redemption	09/09/03	$1,000,001-$5,000,000
JT	San Diego County CA CTFS Municipal Bond	Redemption	09/02/03	$100,001 - $250,000
JT	Escondido CA JT Pwr Fin Municipal Bond	Redemption	09/02/03	$100,001 - $250,000

JT	Alum Rock CA Un Elem School Municipal Bond	Redemption	09/02/03	$50,001 - $100,000
JT	ML Global Small Cap Mutual Fund	Purchase	08/25/03	$250,001-$500,000
JT	Mainstay Equity Income Mutual Fund	Purchase	08/18/03	$250,001-$500,000
JT	Lord Abbett Mid Cap Value Mutual Fund	Purchase	08/18/03	$250,001-$500,000
JT	Los Angeles CA Conventn EX Municipal Bond	Redemption	08/15/03	$250,001-$500,000
JT	Northern CA Pwr Agy PPR Municipal Bond	Redemption	08/15/03	$15,001-$50,000
JT	Cupertino CA Union School Municipal Bond	Sale	08/08/03	$250,001-$500,000
JT	Brea CA PFA Rev Tax All Municipal Bond	Redemption	08/01/03	$15,001-$50,000
JT	California St PRN M-TIG Municipal Bond	Redemption	08/01/03	$100,001-$250,000
JT	ML Mid Cap Value Mutual Fund	Purchase	07/30/03	$100,001-$250,000
JT	Franklin Microcap Mutual Fund	Purchase	07/30/03	$250,001-$500,000
JT	Pimco NFJ Small Cap Value Mutual Fund	Purchase	07/30/03	$250,001-$500,000
JT	Mainstay Equity Income Mutual Fund	Purchase	07/30/03	$100,001-$250,000
JT	Lord Abbett Mid Cap Value Mutual Fund	Purchase	07/30/03	$100,001-$250,000
JT	ML & Co Strides (Brocade)	Purchase	07/30/03	$250,001-$500,000
JT	Aim Mid Cap Core Mutual Fund	Sale	07/30/03	$1,000,001-$5,000,000
JT	California St Vets Municipal Bond	Sale	07/16/03	$1,000,001-$5,000,000
JT	Anaheim CA Pub Fng AT Municipal Bond	Sale	07/07/03	$1,000,001-$5,000,000
JT	Southern Cal PPA Transmn Municipal Bond	Redemption	07/01/03	$15,001-$50,000
JT	Southern Cal PPA Transmn Municipal Bond	Redemption	07/01/03	$50,001-$100,000
JT	ML & Co Strides (Intuit)	Purchase	07/01/03	$100,001-$250,000
JT	California St. Municipal Bond	Sale	06/30/03	$50,001-$100,000
JT	California St. Municipal Bond	Sale	06/30/03	$100,001-$250,000
JT	Pioneer High Yield Mutual Fund	Purchase	06/16/03	$100,001-$250,000
JT	Dreyfus Premier High Income Mutual Fund	Purchase	06/12/03	$500,001-$1,000,000
JT	Pioneer High Yield Mutual Fund	Purchase	06/09/03	$100,001-$250,000
JT	ML Bond Fund High Income	Purchase	06/09/2003	$250,001-$500,000
JT	ML US High Yield Fund	Purchase	06/09/2003	$250,001-$500,000
JT	Midpeninsula RGL Opn Sp Municipal Bond	Sale	06/09/03	$250,001-$500,000
JT	Federal National Mortgage Association	Purchase	06/06/2003	$100,001-$250,000
JT	Franklin Cust FDS Utility	Purchase	06/04/2003	$500,001-$1,000,000
JT	California St Univ Fndt Municiapl Bond	Redemption	06/02/03	$15,001-$50,000
JT	Corporate High Yield Fund	Purchase	05/28/04	$250,001-$500,000
JT	New York St Dorm Auth Municipal Bond	Sale	05/23/03	$1,000,001-$5,000,000
JT	Franklin Cust FDS Utility	Purchase	05/23/03	$1,000,001-$5,000,000
JT	Conroe Texas ISD Schoolhouse Municipal Bond	Exchange	05/20/03	$250,001-$500,000
JT	Conroe Texas ISD Schoolhouse Municipal Bond	Exchange	05/20/03	$250,001-$500,000

JT	Arcata CA JT Pwrs FA TA Municipal	Sale	05/14/03	$500,001-$1,000,000
JT	Arcata CA JT Pwrs FA TA Municipal	Sale	05/14/03	$500,001-$1,000,000
JT	Puerto Rico Commonwealth	Sale	05/13/03	$1,000,001-$5,000,000
JT	Los Angeles CA Dept Wtr Municipal Bond	Sale	05/12/03	$1,000,001-$5,000,000
JT	California St Pub Wks Municipal Bond	Sale	05/12/03	$1,000,001-$5,000,000
JT	California St Municipal Bond	Sale	05/12/03	$1,000,001-$5,000,000
JT	Pimco High Income Fund	Purchase	04/25/03	$100,001-$250,000
JT	ML & Co Strides (Best Buy)	Purchase	04/25/03	$100,001-$250,000
JT	Pioneer High Yield Mutual Fund	Purchase	04/23/04	$50,001-$100,000
JT	Pimco High Yield Fund	Purchase	04/23/04	$50,001-$100,000
JT	American High Income TR Mutual Fund	Purchase	04/23/04	$50,001-$100,000
JT	MFS High Income Fund	Purchase	04/23/04	$50,001-$100,000
JT	Santa Clara Co CA Brd Municipal Bond	Redemption	04/01/03	$100,001-$250,000
JT	California St Var Purp	Redemption	04/01/03	$15,001-$50,000
JT	American High Income TR Mutual Fund	Purchase	03/26/03	$100,001-$250,000
JT	MFS High Income Fund	Purchase	03/26/03	$100,001-$250,000
JT	ML & Co Strides (Merck & Co)	Purchase	03/21/03	$100,001-$250,000
JT	Pioneer High Yield Mutual Fund	Purchase	03/18/03	$50,001-$100,000
JT	Pimco High Yield Fund	Purchase	03/17/03	$15,001-$50,000
JT	American High Income TR Mutual Fund	Purchase	03/17/03	$15,001-$50,000
JT	MFS High Income Fund	Purchase	03/17/03	$15,001-$50,000
JT	Pioneer High Yield Mutual Fund	Purchase	03/13/03	$50,001-$100,000
JT	Pimco High Yield Fund	Purchase	03/13/03	$15,001-$50,000
JT	American High Income TR Mutual Fund	Purchase	03/13/03	$15,001-$50,000
JT	MFS High Income Fund	Purchase	03/13/03	$15,001-$50,000
JT	Pioneer High Yield Mutual Fund	Purchase	03/07/03	$100,001-$250,000
JT	American High Income TR Mutual Fund	Purchase	03/07/03	$100,001-$250,000
JT	MFS High Income Fund	Purchase	03/07/03	$100,001-$250,000
JT	Pioneer High Yield Mutual Fund	Purchase	03/04/03	$100,001-$250,000
JT	Pioneer High Yield Mutual Fund	Purchase	03/03/04	$500,001-$1,000,000
JT	Pimco High Yield Fund	Purchase	03/03/03	$250,001-$500,000
JT	MFS High Income Fund	Purchase	03/03/03	$250,001-$500,000
JT	Los Angeles CA Cnvtn Ex Municipal Bond	Purchase	02/28/03	$250,001-$500,000
JT	Brea CA PFA Rev Tax All Municipal Bond	Purchase	02/28/03	$15,001-$50,000
JT	California St PRN M-TIG Municipal Bond	Purchase	02/28/03	$100,001-$250,000
JT	Alum Rock CA Un Elem School Municipal Bond	Purchase	02/28/03	$50,001-$100,000
JT	Southern Cal PPA Transmn Municipal Bond	Purchase	02/28/03	$15,001-$50,000

2415

JT	Southern Cal PPA Transmn Municipal Bond	Purchase	02/28/03	$50,001-$100,000
JT	Northern CA Pwr Agy PPR Municipal Bond	Purchase	02/28/03	$15,001-$50,000
JT	California St Municipal Bond	Purchase	02/27/03	$50,001-$100,000
JT	San Diego Cnty CA Ctfs Municipal Bond	Purchase	02/27/03	$100,001-$250,000
JT	Alameda CO CA COP Municipal Bond	Purchase	02/27/03	$50,001-$100,000
JT	Santa Clara Co CA Brd Municipal Bond	Purchase	02/27/03	$100,001-$250,000
JT	Escondido CA JT Pwr Fin Municipal Bond	Purchase	02/27/03	$100,001-$250,000
JT	California St Var Purp Municipal Bond	Purchase	02/27/03	$15,001-$50,000
JT	California St Univ Fndt Municlapl Bond	Purchase	02/27/03	$50,001-$100,000
JT	ML & Co Strides (IBM)	Purchase	01/28/03	$100,001-$250,000
JT	Central Valley SD Fing	Sale	01/03/03	$1,000,001-$5,000,000
JT	San Joaquin Hills CA TC Municipal Bond	Redemption	01/02/03	$1,000,001-$5,000,000
JT	AllianceBernstein Emerging Mutual Fund	Dividend Re-invest	12/22/03	$1,001-$15,000
JT	American High Income TR Mutual Fund	Dividend Re-invest 2 times		$1,001-$15,000
JT	Dreyfus Premier High Income Mutual Fund	Monthly Divid Re-invest 6 times		$15,001-$50,000
JT	Corporate High Yield Fund	Monthly Divid Re-invest 6 times		$15,001-$50,000
JT	ML US High Yield Fund	Monthly Divid Re-invest 7 times		$15,001-$50,000
JT	ML Bond Fund High Income	Monthly Divid Re-invest 7 times		$15,001-$50,000
JT	ML S & P 500 Index	Dividend Re-invest 2 times		$250,001-$500,000
JT	MFS High Income Fund	Monthly Divid Re-invest 12 times		$50,001-$100,000
JT	Pimco High Yield Fund	Monthly Divid Re-invest 12 times		$50,001-$100,000
JT	Pimco High Income	Monthly Divid Re-invest 6 times		$1,001-$15,000
JT	Pioneer High Yield Mutual Fund	Monthly Divid Re-invest 13 times		$250,001-$500,000
JT	AllianceBernstein Value Fund	Dividend Re-invest	12/29/03	$15,001-$50,000
JT	American High Income TR Mutual Fund	Monthly Divid Re-invest 10 times		$50,001-$100,000
JT	American New Perspective Mutual Fund	Dividend Re-invest	12/19/03	$15,001-$50,000
JT	AllianceBernstein Growth & Income Mutual Fund	Quarterly divid Re-invest 3 times		$15,001-$50,000
JT	Enterprise Mergers & Acquisition Mutual Fund	Dividend Re-invest	12/31/03	$1,001-$15,000
JT	Franklin Cust FDS Utility	Quarterly divid Re-invest 3 times		$50,001-100,000
JT	Franklin Microcap Mutual Fund	Dividend Re-invest	12/17/03	$15,001-$50,000
JT	AIM International Emerging Growth Mutual Fund	Dividend Re-invest	12/15/03	$1,001-$15,000
JT	Lord Abbett Mid Cap Value Mutual Fund	Dividend Re-invest	12/22/03	$15,001-$50,000
JT	ML International Index Fund	Dividend Re-invest	12/24/03	$1,001-$15,000
JT	ML Global Small Cap Mutual Fund	Dividend Re-invest 2 times		$15,001-$50,000
JT	ML Global Allocation Mutual Fund	Dividend Re-invest	12/18/03	$15,001-$50,000
JT	ML Small Cap Index	Dividend Re-invest	12/24/03	$1,001-$15,000
JT	MFS Value Mutual Fund	Quarterly divid Re-invest 4 times		$15,001-$50,000

JT	MFS Strategic Value Mutual Fund	Dividend Re-invest	12/18/03	$1,001-$15,000
JT	Davis New York Venture Mutual Fund	Dividend Re-invest	12/02/03	$15,001-$50,000
JT	Pimco NFJ Small Cap Value Mutual Fund	Dividend Re-invest	12/16/04	$1,001-$15,000
JT	Pioneer Small Cap Value Mutual Fund	Dividend Re-invest	11/25/03	$1,001-$15,000
JT	Templeton Foreign Mutual Fund	Dividend Re-invest 2 times		$1,001-$15,000
	Property located at 2052 Corte del Nogal, Carlsabad	Purchase	04/29/03	$1,000,001 - $5,000,000
	Property located at 5770 Armada Drive, Carlsbad	Purchase	05/14/03	$5,000,001 - $25,000,000
	Property located at 5931 Priestly Drive, Carlsbad	Purchase	11/14/03	$5,000,001 - $25,000,000

SCHEDULE V - LIABILITIES

Name Darrell Issa

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Merrill Lynch	Business line of credit for Greene Properties Inc. (secured with personal assets)	$5,000,001 - $25,000,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Darrell Issa

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Consumer Electronics Assn	1/9-1/11	Washington, DC - Las Vegas, NV	Y	Y	Y	N/A
Egypt's International Economic Forum	8/28 - 8/29	Cairo - Luxor - Cairo, Egypt	Y	Y	Y	N/A
Swiss Foundation for World Affairs	11/30 - 12/2	San Diego, CA - Geneva, Switzerland - San Diego, CA	Y	Y	N	N/A
Public Goverance Institute	12/5 - 12/7	Vista, CA - Rancho Mirage, CA - Vista, CA	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name Darrell Issa

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Greene Properties, Inc.
Board of Directors	Issa Family Foundation
Board of Directors	Directed Electronics, Inc.
Board of Directors	USO
Board of Directors	DEI LLC
Board of Trustees	Siena Heights University

SCHEDULE IX - AGREEMENTS

Name Darrell Issa

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
12-1-99	Directed Electronics Inc.	Five year employment agreement for the position of President/CEO

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

(4)

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 12: 07
(Office Use Only)

Ernest James Istook, Jr.
(Full Name)

6113 Inland Road
Warr Acres OK 73132
(Mailing Address)

(202) 225-2132
Daytime Telephone:

Filer Status [X] Member of the U.S. House of Representatives — State: OK District: 5 — [ ] Officer or Employee — Employing Office:

Report Type [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ernest J. Istook Jr.	5/14/04

2422

Name: ISTOOK, Ernest James Jr.

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Columbia Presbyterian Hospital, 700 NE 13th St, OKC, OK 73104	Spouse's Income as Registered Nurse	N/A
Inova Mt. Vernon Hospital, 2990 Telestar Court, Falls Church, VA 22042	Spouse's Income as Registered Nurse	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: ISTOOK, Ernest + James Jr.

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Asset and/or Income Source	Block B: None	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	Over $25,000,000	Block C: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify: For Example Partnership Income or Farm Income)	Block D: None	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	Over $1,000,000	Block E: P, S, E
SP	Mega Corp. Stock				X							X													
DC	Example: Gibson & Schuster		Indefinite												Royalties								X		P
JT	1st Bank of Paducah, KY accounts												X												
JT	Home & Furnishings 6113 Inland Rd. Waco Area, OK						X									X									
JT	Condo: 527 Bashford Lane, #3 Alexandria, VA															X									
JT	304 NE 23rd St Norman, OK				X											X									
JT	6905 NW 50th, Bethany, OK															X									
JT	Bank Accounts at First Fidelity Bank, OKC			X									X												
	IRA: Scudder Japan Fund			X								X	X					X							

* Subject to Contract for Deed

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: ISTOOK, Ernest James, Jr.

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset							BLOCK C Type of Income				BLOCK D Amount of Income							BLOCK E Transaction
		A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000		DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000		P, S, E
	See Attachment re: Interest in Profit Sharing Plan & Trust (Page 4-A)																			

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ISTOOK, Ernest James, Jr. PAGE 4-A

12/31/2003 Assets of Istook & Associates PC Profit-Sharing Plan & Trust

HOLDING	YEAR-END VALUE	TYPE OF INCOME	AMOUNT OF INCOME
American Century Funds			
(Kansas City, MO)			
Prime Money Market	$15,001 to $50,000	Interest	$1-$200*
Ultra Fund	$1,001 to $15,000	Dividends/Cap Gains	$201-$1,000*
Putnam Investments			
(Providence, RI)			
OTC & Emerging Growth B	$1,001 to $15,000	Dividends/Cap Gains	$1-$200
Voyager Fund B	$1,001 to $15,000	Dividends/Cap Gains	$1,001-$2,500
Asset Allocation Growth B	$1,001 to $15,000	Dividends/Cap Gains	$1,001-$2,500
Internat Capital Oppo B	$1,001 to $15,000	Dividends/Cap Gains	$201-$1,000
Jefferson Pilot Ensemble Fund			
(Concord, NH)	$1,001 to $15,000	Dividends/Cap Gains	$1-$200
Mortgage on 304 NE 23rd,			
Norman, OK	$15,001 to $50,000	Interest	None—Accruing
Promissory Note from Diana Istook	$1,001 to $15,000	Interest	$1-$200*

* Involved in transactions in 2003

SCHEDULE IV — TRANSACTIONS

Name: ISTOOK, Ernest James Jr.

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP (Example)	Mega Corporation Common Stock	SALE	10-12-03	X				
	See Attachment (Page 5-A)							

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ISTOOK, Ernest James Jr. Page 5-A

12/31/2003 Transactions re: Ernest J. Istook, Jr.

ASSET	TRANSACTION TYPE	DATE	AMOUNT
Liquidation of spouse's interest in Bills Family Trust (proceeds to Istook & Assoc., PC, Profit-Sharing Plan & Trust):			
AIM Investments Money Market B *(Houston, TX)*	Sale	7/22/03	$1,001-$15,000
Alger Funds Money Market *(Jersey City, NJ)*	Sale	7/22/03	$1,001-$15,000
Alliance Bernstein Exch Resv Fund *(San Antonio, TX)*	Sale	7/22/03	$1,001-$15,000
Davis Funds Money Market B *(Braintree, MA)*	Sale	7/22/03	$1,001-$15,000
Fidelity Advisor Prime Money Fund *(Cincinnati, OH)*	Sale	7/22/03	$1,001-$15,000
MFS Investments Cash Resv Fund *(Boston, MA)*	Sale	7/22/03*	$1,001-$15,000
Scudder Cash Reserve Fund *(Kansas City, MO)*	Sale	7/22/03	$1,001-$15,000
American Century Funds *(Kansas City, MO)*			
Prime Money Market	Exchange	9/10/03	$1,001-$15,000
	Exchange	10/6/03	$1,001-$15,000
Ultra Fund	Purchase	9/10/03	$1,001-$15,000
	Purchase	10/6/03	$1,001-$15,000
Promissory Note from Diana Istook	Purchase (Loaned)	8/30/03	$1,001-$15,000

* Date uncertain

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report *revolving charge accounts* only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: J	C $15,001 – $50,000	E $100,001 – $250,000	G $500,001 – $1,000,000	I $5,000,001 – $25,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.			X			
	First Fidelity Bank, Wax Acres, OK	Mortgage on 6945 NW [illegible]						
	Henry Mtg. Co, OKC, OK	Mortgage on [illegible]						
	Chase Manhattan, NYC, NY	Mortgage on 529 [illegible], Alexandria, VA			X			

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

Name: ISTOOK, Ernest James Jr. | Page 7 of 8

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 9–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Washington Policy Center	April 23-25	Oklahoma City - Seattle - Wash., DC	Y	Y	Y	N
Center for First Principles	Aug. 14-21	Oklahoma City - Prague - Vienna - Budapest - Oklahoma City	Y	Y	Y	N
General Aviation Manufacturers Assn.	Nov. 7-8	Wash., DC - Dana Point, CA - Wash., DC	Y	Y	N	N
American Shipbuilding Assn.	Dec. 1-3	Wash. DC - Naples, FL - Wash., DC	Y	Y	Y	N

This page may be copied if more space is required.

Name	Page
ISTOOK, Ernest James Jr.	8 of 8

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Istook & Associates, P.C., Profit Sharing Plan & Trust

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 5:57
(Office Use Only)

Jesse L. Jackson, Jr.
(Full Name)

2419 Rayburn House Office Building
Washington, D.C. 20515
(Mailing Address)

202-225-0773
Daytime Telephone

Filer Status: [X] Member of the U.S. House of Representatives — State: Illinois District: 2 — [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jesse Jackson Jr.	5/17/04

2432

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Global Med-Net, Inc.	Spouse consulting	$60,000
	J. Donattella & Associates	Spouse consulting	$10,000

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Jesse L. Jackson, Jr.

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E
SP	Examples: Mega Corp Stock					X								X																		P
	Simon & Schuster			Indefinite																Royalties									X			
	1st Bank of Paducah, KY accounts						X									X																
	Putnam Asset Alloc. (IRA)	X												X			X				X											S
	Putnam Capital App. A (IRA)	X												X			X				X											S
	Putnam Eq. Inc.-B (IRA)			X										X			X				X											
	Oppen. Quest Opp. Val.(IRA)			X										X							X											
	Lincoln Choice Plus (IRA)				X																1/a											P
	Lincoln Ch. Plus-Gro.(IRA)					X															1/a											P

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jesse L. Jackson, Jr.

Page 4 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENTS AND ROYALTIES	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Lincoln-AFIS Growth (IRA)				X																n/a											P
	Lin. Ch. Pl.-Reit (IRA)			X																	n/a											P
	Lin. Ch. Pl.-mfs ret(IRA)				X																n/a											P
SP	Am. Inv. Co. of Amer.(IRA)													X			X															
SP	J. Hancock Sm. Cap. (IRA)		X											X			X				n/a											
SP	Oppenheimer Quest Opp.(IRA)													X			X				n/a											
SP	Pioneer High Yield B (IRA)				X									X			X				n/a											
SP	Putnam Asset. Alloc. (IRA)			X										X			X				n/a											
DC	Am. Fun. Wash. Inv. Fund			X										X			X															

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Jesse L. Jackson, Jr.

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10–12–03		X								
	Putnam Asset Alloc. (Sep IRA)		X		10/3/03			X							
	Putnam Capital Ap.-A (Sep IRA)		X		10/3/03		X								
	Lincoln Choice Plus Small Cap. (IRA)	X			10/09/03	X									
	Lincoln Choice Plus - AFIS Grow - Inc. (IRA)	X			10/09/03		X								
	Lincoln Choice Plus-AFIS Growth (IRA)	X			10/09/03		X								
	Lincoln Choice Plus - Del Reit (IRA)	X			10/09/03	X									
	Lincoln Choice Plus Total Ret. (IRA)				10/09/03		X								

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Magnolia Bar Association	May 1-3	WDC-Biloxi,MS-Chicago	Y	Y	N	N
Charles Drew University	May 30-June 1	Nassau-Los Angeles-WDC	Y	N	N	N
American Israel Education Found	Aug 10-17	WDC-NY-Israel-NY-WDC	Y	Y	N	N
Nat'l African American Museum	Aug 21	Chicago-Chattonoga-Chicago	N	N	N	N
United Steelworkers of America	Sept 6	Chicago-Detroit-Chicago	Y	Y	N	N
NAACP Terrebonne Parish Branch	Sept 13-14	Chicago-New Orleans-WDC	Y	N	N	N
Univ. of North Carolina Law	Oct 31 - Nov 1	WDC - Raleign,NC - WDC	Y	Y	N	N
NAACP Carbondale Branch	Nov 9-10	Chgo-St. Louis-Carbondale-Chgo	Y	Y	N	N
Human Rights Campaign	Nov 15	Chgo - Detroit - Chgo	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Chicago Theological Seminary
Director	Easter Seals Metropolitan Chicago
Senior Advisory Board Member	JFK School of Gov't Institute of Politics, Harvard University

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

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(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2001

FORM A
For use by Members, officers, and employees

WILLIAM JENNINGS JEFFERSON
(Full Name)

240 CANNON BUILDING
(Mailing Address)

WASHINGTON DC 20515

Daytime Telephone: (202) 225-6636

Filer Status	[X] Member of the U.S. House of Representatives — State: LOUISIANA District: 02	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $200 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? If yes, complete and attach Schedule VII.	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	W. J. Jefferson	5/17/04

Name WILLIAM J. JEFFERSON | Page 2 of 8

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$4,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	SOUTHERN UNIVERSITY	SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

2441

Name: WILLIAM J. JEFFERSON | Page 3 of 8

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "N/A" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		A None; C $1,001 – $15,000; E $50,001 – $100,000; G $250,001 – $500,000; I $1,000,001 – $5,000,000; K $25,000,001 – $50,000,000	DIVIDENDS; INTEREST; EXCEPTED TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; III $201 – $1,000; V $2,501 – $5,000; VII $15,001 – $50,000; IX $100,001 – $1,000,000; XI Over $5,000,000	P, S, E
SP		Mega Corp. Stock	X		P
DC, JT	*Examples:* Sisson & Schuster	Indefinite		Royalties; X	
JT		1st Bank of Paducah, KY account	X		
	SEE ATTACHED				

For additional assets and unearned income, use next page.

2442

4/8

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

ASSET AND/OR UNEARNED INCOME SOURCE	VALUE OF ASSET							TYPE OF INCOME						AMOUNT OF INCOME											TRANS.
	A	B	C	D	E	F	G	DIVIDENDS	RENTS	INTERESTS	CAPITAL GAINS	TRUST	QTR TRUST	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
FARMLAND -40 ACRES, LAKE PROV. LA					X				X								X								
FARMLAND -50 ACRES, LAKE PROV. LA			X						X					X											
JEFFERSON, BRYAN & JUPITER - SHS. IN CORP.							X			X				X											
JEFFERSON FOR MAYOR CAMP. - LOAN REC						X		N	O	N	E			X											
JEFFERSON INTERESTS - LOAN RECEIVABLE						X				X												X			
JEFFERSON FOR GOVERNOR - LOAN REC.			X					N	O	N	E			X											

SCHEDULE IV — TRANSACTIONS

Name: WILLIAM J. JEFFERSON

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date (MO/DAY/YR)	Amount of Transaction									
		[redacted]		[redacted]	C $15,001-$50,000	[redacted]	E $100,001-$250,000	[redacted]	G $500,001-$1,000,000	[redacted]	I $5,000,001-$25,000,000	[redacted]	K $50,000,001-$100,000,000
SP	Example: Mega Corporation Common Stock	SALE	10-12-01		X								
	SEE ATTACHED												

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4/8

SCHEDULE IV - TRANSACTIONS

ASSET	TYPE OF TRANSACTION P	S	E	DATE	AMOUNT OF TRANSACTION B	C	D	E	F	G	H	I	J	K
JEFFERSON INTERESTS - LOAN RECEIVABLE		X		2003				X						
JEFFERSON FOR GOVERNOR - LOAN RECEIVABLE		X		04-Apr-2003	X									

Name WILLIAM JEFFERSON | Page 7 of 8

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 8–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
STOLT GLOBESTAR/TDC OVERSEAS GEORGE STEVENS RESOURCES	Feb 16-24	WASH, DC – LAGOS, NIGERIA – ABUJA, NIGERIA – WASH, DC	Y	Y	N	N
US BRAZIL BUSINESS COUNCIL	April 20-24	WASH DC - RIO DE JANEIRO – SAO PAULO - BRASILIA NEW ORLEANS	Y	Y	N	N
CONGRESSIONAL BLACK CAUCUS FOUNDATION	Oct 24-26	NEW ORLEANS – SAN JUAN, PR – NEW ORLEANS	Y	Y	Y	N

Name	Page
WILLIAM J. JEFFERSON	8 of 8

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE DURING REPORTING PERIOD.	
	SEE 1990 DISCLOSURE FORM	
	FOR PRIOR AGREEMENT.	

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(10) LEGISLATIVE RESOURCE CENTER
2004 MAY 13 AM 11:15
MC
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

WILLIAM L. JENKINS
(Full Name)

767 McKinney Chapel Road, Rogersville, TN, 37857
(Mailing Address)

(423) 272-3878
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: TN, District: 1st	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes	[X]	No	[ ]	
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes	[ ]	No	[X]	
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes	[X]	No	[ ]	
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes	[X]	No	[ ]	
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes	[X]	No	[ ]	
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes	[ ]	No	[X]	
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes	[ ]	No	[X]	
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes	[ ]	No	[X]	
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes	[X]	No	[ ]	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	[ ]	No	[X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	[ ]	No	[X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	William L. Jenkins	5-13-04

2447

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
Examples: State of Maryland	Legislative Pension	$9,000
Examples: Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Examples: Ontario County Board of Education	Spouse Salary	NA
State of Tennessee Consolidated Retirement System	Legislative - Judicial Retirement	$30,881.94
State of Tennessee Consolidated Retirement System	Spouse - Teachers Retirement	3,350.88

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
N/A			

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name William Lewis Jenkins

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X																			
DC,	*Examples:* Simon & Schuster			Indefinite																Royalties													P
JT	1st Bank of Paducah, KY accounts						X									X													X				
JT	Building Main Street Rogersville, TN				X										X						X												
JT	Farm Land, Bray Road Rogersville, TN						X																										
JT	Farm Land, McKinney Chapel Road Rogersville, TN						X																										
SP	Farm Land, Drew Haynes Road Rogersville, TN						X																										
JT	Farm Land - Highway 70 Rogersville, TN				X																												
SP	Farm Land Rogersville, TN							X																									

For additional assets and unearned income, use next page.

2450

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name William Lewis Jenkins

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Farm Land Off Highway 70 Rogersville, TN																X	
JT	Cattle																	
JT	Farm Machinery			X														
JT	Farm Land Hickory Cove Rogersville, TN				X													
JT	Farm income from land, cattle machinery - Gross $31,188.00 (Net ($21,380))																	
	Mountain Metals MFG Corp.							X							X			
JT	Farm Land Hancock County																	
SP	1st TN National Corporation							X										
SP	Exxon Corporation		X					X					X					
SP	Amoco BP (formerly Amoco)							X										
	IRA-1st TN (Managed by Trust Dept. Johnson City, TN							X	X			N/A Per Prior Instructions						
SP	IRA - 1st TN Bank (Managed by Trust Dept. Johnson City, TN							X	X			" " "						
	TN Farm Bureau Life Insurance Stock		X					X										

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name William Lewis Jenkins

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 - $15,000	E $50,001 - $100,000	G $250,001 - $500,000	I $1,000,001 - $5,000,000	K $25,000,001 - $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 - $1,000	V $2,501 - $5,000	VII $15,001 - $50,000	IX $100,001 - $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Farm Checking Account 1st TN Bank								X			X						
JT	Checking Account Citizens Bank		X						X									
	Savings Account Citizens Bank								X									
SP	Savings Account 1st TN Bank		X						X									
JT	Checking Account 1st TN Bank		X						X									
SP	Savings Account Citizens Bank		X						X									

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SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	NONE														

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2453

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
JT	Citizens Bank of Rogersville, Tennessee	Mortgage on Farm Land Off Highway 70, Rogersville, TN	X				

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
N/A		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
None							

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2455

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
N/A	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	State of Tennessee - William Lewis Jenkins	(same as 00)

Use additional sheets if more space is required

WILLIAM L. JENKINS
1ST DISTRICT, TENNESSEE

COMMITTEES:
JUDICIARY COMMITTEE
SUBCOMMITTEE ON THE CONSTITUTION
SUBCOMMITTEE ON COURTS, THE INTERNET, AND INTELLECTUAL PROPERTY

AGRICULTURE COMMITTEE
CHAIRMAN, SUBCOMMITTEE ON SPECIALTY CROPS AND FOREIGN AGRICULTURE PROGRAMS
SUBCOMMITTEE ON GENERAL FARM COMMODITIES AND RISK MANAGEMENT

Congress of the United States
House of Representatives
Washington, DC 20515–4201

1207 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
TELEPHONE: (202) 225-6356

DISTRICT OFFICE:
320 WEST CENTER STREET
P.O. BOX 764
KINGSPORT, TN 37662
TELEPHONE: (423) 247-8161
http://www.house.gov/jenkins

HAND DELIVERED

May 26, 2004

LEGISLATIVE RESOURCE CENTER
2004 MAY 27 AM 10: 39
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

The Honorable Jeff Trandahl
Clerk of the House of Representatives
U.S. Capitol
H154
Washington, D.C. 20515

Dear The Honorable Trandahl:

I am writing to ask that you please amend my calendar year 2003 financial disclosure form to reflect the purchase of farm land in Hancock County, Tennessee. I listed the transaction on page 1, section IV and the property is shown as an asset on page 5, but I failed to list it schedule IV. The value of the purchase was in the range of $15,000-50,000. The purchase occurred on May 1, 2003. The transaction should have been entered on page 7, schedule IV of my financial disclosure form calendar year 2003.

Please feel free to contact me if you have any questions or concerns regarding the above changes. I appreciate your assistance with this matter in advance.

Sincerely yours,

William L. Jenkins, M.C.

WLJ:alw

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

9 LEGISLATIVE RESOURCE CENTER
2004 MAY 13 AM 9:15
MC (Office Use Only) U.S. HOUSE OF REPRESENTATIVES

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Christopher Charles JOHN
(Full Name)

403 CANNON HOB
WASHINGTON DC 20515
(Mailing Address)

Daytime Telephone: 202 225-2031

Filer Status: [X] Member of the U.S. House of Representatives — State: LA District: 07 — [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Christopher C John ORIGINAL	MAY 13 2004

2458

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	N/A		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
	XYZ Magazine	Article	Aug. 13, 2003	$500

N/A

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

SP, DC, JT	*Examples:*	SP	Mega Corp. Stock
			Simon & Schuster
			1st Bank of Paducah, KY accounts

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	F $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E
													X																		
																			Royalties												
															X																
JOHN D JOHN Trucking Crowley LA								X											COMPANY OWNERSHIP	X											
1220 Hwy 90 Crowley LA				X										X											X						
1224 Hwy 90 Crowley LA					X									X											X						
819 Northern Crowley LA				X										X									X								
1108 11th Street Crowley LA			X											X									X								
Evangeline Bank Crowley LA			X												X							X									

For additional assets and unearned income, use next page.

2461

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Christopher John

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	SABINE BANK MANY LA		X						X				X					
	CONGRESSIONAL CREDIT WASH DC		X						X				X					
	BIG DRAKE PROPERTIES COURTLAND				X			X			FARM INCOME			X				
	NEW YORK LIFE CROWLEY LA		X						X				X					
	FEDERAL TSP WASH DC			X					X			X						
	GENERAL ELECTRIC							X					X					
	PEABODY ESTATE CROWLEY LA			X						X	RESIDENTIAL LOTS	X						
	KAMPER FUND BATON ROUGE			X					X				X					
	HEP ENERGY LAFAYETTE LA		X					X			Royalty			X				
	E-TRADE ACCOUNT		X						X				X					

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Christopher JOHN | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10-12-03		X								
	John and John Travel, Inc.		X		10-27-03		X								
	Peacefield Estates		X		9-26-03					X					

This page may be copied if more space is required.

Name Christopher John | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	SABINE State Bank MANY LA	Business Loan Passport Travel			X							
	SABINE State Bank MANY LA	Business Loan Pencefield Estate					X					
	Bank of Commerce Crowley LA	Mortgage 819 Northern Crowley LA	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
	N/A	

Use additional sheets if more space is required.

2464

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	I-49 International Coalition	Oct 23-24	Kansas City - Joplin - Ft. Smith Shreveport - Lafayette - New Orleans	Y	Y	N	N
	Democratic Leadership Council	Sept 12-14	DCA - Mackinac MI - DCA	Y	Y	Y	N
	American Israel Education Foundation	Aug 2-10	LFT - Tel Aviv - LFT	Y	Y	Y	N
	Congressional Sportsman Foundation	MAR 23-25	LFT - Jacksonville FL - LFT	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
	N/A

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A

For use by Members, officers, and employees

HAND DELIVERED

2004 MAY 17 PM 2:36

(Office Use Only)

MC

2467

Eddie Bernice Johnson
(Full Name)

2501 Cedar Springs Road #550 Dallas, TX 75201
(Mailing Address)

214-922-8885
Daytime Telephone

Filer Status ✓ Member of the U.S. House of Representatives — State: TX — District: 30 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ✓	No
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes	No ✓
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ✓	No
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes	No ✓
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes	No ✓
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes	No ✓
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ✓	No
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes	No ✓
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes	No ✓

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ✓	No
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification

I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.

Signature of Reporting Individual: Eddie Bernice Johnson

Date (Month, Day, Year): May 14, 2004

SCHEDULE I - EARNED INCOME

Name Eddie Bernice Johnson

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Texas	Legislative Pension	$25,000
Social Security	Over 65	$15,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eddie Bernice Johnson

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Putnam New Oppurtunity	$1,001 - $15,000		NONE	
Putnam Investors Fund	$15,001 - $50,000	DIVIDENDS	NONE	
Oppenheimer Main Street Fidelity Fund	$1,001 - $15,000		NONE	
TX Federal Credit Union	$1,001 - $15,000	INTEREST	$1,001 - $2,500	
U.S. Savings Bonds	$1,001 - $15,000	INTEREST	$5,001 - $15,000	
Waterhouse Securities	$1 - $1,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eddie Bernice Johnson

EBJ Asoociates	None	QUALIFIED BLIND TRUST	NONE
Metronomics	None	QUALIFIED BLIND TRUST	NONE

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Eddie Bernice Johnson

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Tri-Caucus Retreat	Oct.23-26	Wash.; D.C.-San Juan, Puerto Rico	Y	Y	N	N/A
Carribean Multi-National Business Conference	Nov.6-9	Wash.,D.C.-Nassau, Bahamas	Y	N	N	N/A

X Original ___ Amendment

U.S. House of Representatives

MEMBER/OFFICER TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from private sources for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately funded travel on the annual Financial Disclosure Statements of those persons required to file them. In accordance with clause 5 of House Rule 25, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within 30 days after travel is completed. The Clerk is to make these forms available to the public as soon as possible after they are filed. *Obtain the dollar amounts from the sponsor; if exact dollar amounts are unavailable, provide a good faith estimate.*

Name of Member or Officer (print or type): Eddie Bernice Johnson

Name of accompanying family member (if any): NONE

Relationship to Member/Officer (check one): ___ spouse ___ child

Dates of Travel: Nov. 6 2003 - Nov. 9 2003

Dates at personal expense:

Itinerary (Point of departure – destination – return): DC - Nassau, Bahamas - DC.

Sponsor (Who paid for the trip): Caribbean Multi-National Business

Purpose of Trip: 8th Annual Caribbean Multi-National Business Conference.

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For Member or Officer:	$300.00	$750.00	
For accompanying spouse or child:			

	Other expenses (dollar amount)	Nature of expenses (e.g., taxi, parking, registration fee, etc.)
For Member or Officer:		
For accompanying spouse or child:		

I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER OR OFFICER: Eddie Bernice Johnson

DATE: 4-1-04

Version date 4/2003 by Committee on Standards of Official Conduct

LEGISLATIVE ... 2004 APR -5 PM 1:38 OFFICE OF THE CLERK HOUSE OF REPRESENTATIVES

X Original ___ Amendment

U.S. House of Representatives

MEMBER/OFFICER TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from private sources for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately funded travel on the annual Financial Disclosure Statements of those persons required to file them. In accordance with clause 5 of House Rule 25, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within 30 days after travel is completed. The Clerk is to make these forms available to the public as soon as possible after they are filed. *Obtain the dollar amounts from the sponsor; if exact dollar amounts are unavailable, provide a good faith estimate.*

Name of Member or Officer (print or type): Rep Eddie Bernice Johnson

Name of accompanying family member (if any): Kirk Johnson

Relationship to Member/Officer (check one): ___ spouse X child

Dates of Travel: Oct. 23-26, 2003

Dates at personal expense: NONE

Itinerary (Point of departure – destination – return): SEE ATTACHED
DC - San Juan, Puerto Rico

Sponsor (Who paid for the trip): CBCF

Purpose of Trip: Tri-Caucus Retreat in San Juan, Puerto Rico

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For Member or Officer:	490.09	549.70	450.51
For accompanying spouse or child: Staff	490.09	549.70	450.51

	Other expenses (dollar amount)	Nature of expenses (e.g., taxi, parking, registration fees, etc.)
For Member or Officer:		
For accompanying spouse or child:		

2004 APR -5 PM 1:38
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
LEGISLATIVE RESOURCE CENTER

I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER OR OFFICER: Eddie Bernice Johnson DATE: 4-1-04

Version date 4/2003 by Committee on Standards of Official Conduct

05/14/2004 15:14 FAX 202 225 7392

JOEL HEFLEY, COLORADO
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SUITE H-2, THE CAPITOL
(202) 225-7103

ONE HUNDRED EIGHTH CONGRESS

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515-6328

May 14, 2004

The Honorable Eddie Bernice Johnson
U.S. House of Representatives
1511 Longworth House Office Building
Washington, DC 20515

Dear Congresswoman Johnson:

This responds to your letter of May 14, 2004 requesting a 90-day extension of time for filing the Financial Disclosure Statement required by the Ethics in Government Act.

Based on the reasons expressed in your letter, the Committee hereby grants you an extension of the filing due date from May 15, 2004, until August 13, 2004. Title I of the Act authorizes the Committee to grant reasonable extensions of time for the filing of any Financial Disclosure Statement; however, the total of such extensions for one individual in a calendar year cannot exceed 90 days. Therefore, we cannot grant any further extension beyond August 13, 2004.

The Committee staff is available to answer any questions relating to financial disclosure.

Sincerely,

John Vargo

John E. Vargo
Chief Counsel

JEV:plj

Copy to: Legislative Resource Center

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2004 MAY 17 AM 11:34

(Office Use Only)

NANCY LEE JOHNSON
(Full Name)

2113 Rayburn Building, Washington DC 20515 (202) 225-4496
(Mailing Address) (Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: CT District: 5	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Nancy L. Johnson	5/17/04

2475

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nancy L. Johnson

Page 2 of 14

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	BUSINESS	EXCEPTED TRUST	UNEXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	STANLEY WORKS STOCK					X								X										X								
	VANGUARD STAR PORTFOLIO				X									X									X									
	VANGUARD WELLINGTON FD.			X										X								X										
	VANGUARD WELLESLY FD.			X										X		X							X									
	VANGUARD TREAS. MM FD.	X														X						X										
	MUTUAL SHARES FD (IRA)				X									X								X										
	FIDELITY UTILITIES FD.				X									[redacted]			X						X									
	GINOS ASSOCIATES					X								NONE																		
	ICC-HARBIN LIMITED PARTNERS				X									NONE																		
	JANUS ELEX. INCOME FD	X														X						X										
	JANUS WORLDWIDE FD			X										X									X									
	JANUS GROWTH+INC. FD													X								X										
	VANGUARD EXTENDED MKET			X										X								X										
	FIDELITY ASSET MGR FD (IRA)																															
	FIDELITY MIDCAP STOCK FD (IRA)			X										X										X								
	FIDELITY GLOBAL BAL. (IRA)			X										X		X						X										
	FIDELITY TAX EXEMPT MM FD			X												X						X										
	VANGUARD GROWTH INDEX FD			X										X								X										
	NUVEEN MUNIC. BOND FD				X									X											X							
	LORD ABBET INVEST. TRUST			SOLD																												
	CT STATE AIRPORT BONDS				X											X							X									
	FIDELITY LOW PRICED STOCK			X										X										X								

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Nancy L. Johnson | Page 3 of 11

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	Block B	Block C	Block D	Block E
Examples: SP	Mega Corp Stock	$50,001 – $100,000	DIVIDENDS X	$201 – $1,000	P
	Simon & Schuster	Indefinite	Royalties		
	1st Bank of Paducah, KY accounts	$250,001 – $500,000	INTEREST X	$2,501 – $15,000	
	BANK NORTH IRA (WAS AMERICAN SAV. BANK)	$1,001 – $15,000 X	INTEREST X		
	FIDELITY BALANCED FUND	DOES NOT EXIST; DID NOT EXIST IN 2002 EITHER; LIKELY CONFUSED WITH GLOBAL BALANCED FD			
	FIDELITY TAX-FREE HI-YIELD	$16,001 – $50,000 X	DIVIDENDS X; INTEREST X; CAPITAL GAINS X	$2,501 – $15,000 X	
	NUVEEN CT PREMIUM MUNIC.	$50,001 – $100,000 X	INTEREST X	$2,501 – $15,000 X	
	FIDELITY GOVT. SECURITIES	None X	DIVIDENDS X	None X	
	INVESCO EQUITY-INCOME FD (NOW AIM-INVESCO CORE EQUITIES)	$1,001 – $15,000 X	CAPITAL GAINS X		

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nancy L. Johnson

Page 4 of 11

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	CISCO SYSTEMS (IRA)		X															
	AIM CONSTELLATION FD (IRA)																	
	FIDELITY REAL ESTATE FD		X					X										
	AMERICAN CAPITAL WWGIF FD																	
	DEBT STRATEGIES FUND II																	
	PUTNAM HEALTH FD. (IRA)																	
	DAVIS SERVICE FINANCIAL (IRA)																	
	WASHINGTON MUTUAL FD (IRA)																	
IRA	NEW PERSPECTIVE FD (IRA)																	
	INVEST CO. OF AMER. (IRA)																	
	JOHNSON AND JOHNSON																	
	MICROSOFT		X															
	AOL-TIME WARNER		X															
	FIDELITY SELECT TECH FD		X										X					
	FIRST CITY BANK CKING		X						X									
	" " " STOCK		X					X					X					
	JOHN HANCOCK FIN. SVC. STOCK		X					X		X			X					
	Charitable Trust							FORGO										
	Vanguard International Growth Fd		X					X					X					
	Vanguard Short Term Fed Fd.		X					X										
	FIDEL. INT'L GR + INCOME		X					NONE										

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name Nancy L. Johnson

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Vanguard Mid Cap Growth		X					X					X					
	Vanguard Developed Growth		X					X										
	Comdisco. FIN SECURITI CORP								X				X					
	FHLMC MULTICLASS MTG PARTIC CTFS GTD								X				X					
	MMCA AUTO OWNER TR 2002-1								X				X					
	PSEG ENERG HLDGS INC								X				X					
	NM EQUITY INCOME FD							X										
	JANUS FUND		X					X										
	JANUS ORION FD		X					X					X					
	NUVEEN unit investt tr.							X										
	NOTE: Janus Balanced Fd transferred to Janus Fhir Inc Fd 7/19/02																	
	NOTE: Janus Twenty fund exchanged 10/2/02																	
	also Fidelity Equity-Inc Fd does not exist + did not in 2002 or 2001 perhaps confused E Growth + Inc																	

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SCHEDULE IV — TRANSACTIONS

Name Nancy L. Johnson

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: A $1,001–$15,000	B $15,001–$50,000	C $50,001–$100,000	D $100,001–$250,000	E $250,001–$500,000	F $500,001–$1,000,000	G $1,000,001–$5,000,000	H $5,000,001–$25,000,000	I $25,000,001–$50,000,000	J Over $50,000,000
SP	Example: Mega Corporation Common Stock	X			10-12-03		X								
	Janus Flexible Inc. Fd.		X		7/25/03	X									
	Vanguard Wellesley Income Fund			X	7/28/03	X									
	Lord Abbott Balanced Fd + High yield				8/01/03			X							
	Nuveen Flagship Multistate Bd Inv		X		12/6/03	X									
	Vanguard Treas. Mmkt Fund		X		3/5/03	X	X								
	" " " "		X		7/11/03	X									
	Vanguard Div. Growth			X	7/25/03										
	" Intl Explorer Fd			X	"										
	" Mid Cap Growth			X	"										
	" Ext. Mkt Index			X	"										
	Fidel, Asset Manager			X	12/4/03	X									
	" Utilities Fund			X	12/4/03	X									
	Fidel. Int'l Growth + Income			X	12/4/03	X									
	Conseco Fin. Securitization Corp	X			8/18/03		X								
	FHLMC Multiclass Mtg Partn. CTFS GTD	X			8/27/03		X								
	Levi Strauss + Co. New USS NT	X			8/18/03		X								

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SCHEDULE IV — TRANSACTIONS

Name Nancy L. Johnson

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock				10-12-03		X								
	MM CA Auto Owner TR 2002-1 Asset Backed				7/21/03		X								
	PSEG Energy HLGDS INC SR NT				2/28/03		X								
	WM Equity Income Fd				8/19/03		X								
	Janus Flex Inc. Fund				7/25/03										
	Janus Fund				"										
	Janus ORION FUND				7/24/03										
	Janus Flex Income Fund				7/24/03										
	Janus Growth + Inc. Fd.				7/25/03										

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SCHEDULE IV — TRANSACTIONS (within Merrill Lynch IRA)

Name Nancy L. Johnson

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: A $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock				10-12-03		X								
	Aim Constellation Fund		X		1/22/03										
	Amer. Funds – New Perspective		X		"		X								
	Amer. Funds – Washington Mutual		X		3/11/03		X								
	Amer. Fds. – New Perspective		X		3/11/03				X						
	Putnam Health Sciences Fd		X		3/11/03		X								
	Amer. Fds – Inv. Co. of America		X		3/11/03				X						
	Davis Finan. Svs		X		3/11/03		X								
	Franklin Managed Trust		X		3/11/03		X								
	American Fds – Capital World		X		3/11/03										
	Merrill-Lynch Basic Val. Fd.		X		3/11/03										
	Household Cap. Trust		X		3/11/03		X								
	Gen'l Motors Accept Corp		X		9/9/03										
	Georgia Power Cap. Trust		X		9/9/03		X								
	Gen'l Motors Corp		X		9/9/03		X								
	PPLLS Trust CTF		X		9/9/03		X								
	Hartford Life Capital II				3/11/03		X								

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merrill Lynch IRA

SCHEDULE IV — TRANSACTIONS

Name Nancy L. Johnson

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: A $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H1 $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10–12–03		X								
	Virginia Power Cap Trust II				3/13/03		X								
	Gen'l Motors Accept. Corp				3/13/03										
	XL Cap LTD				3/20/03		X								
	BAC Capital Trust				3/20/03		X								
	Bank One Capital VI				3/11/03		X								
	Fleet Capital Trust				3/12/03		X								
	Wells Fargo Capital IV				3/12/03		X								
	PPLUS Trust CTF				3/20/03		X								
	NM PHH Corp				3/24/03		X								
	New Plan Excel. Realty				4/7/03		X								
	American Fds - Washington Mutual				5/7/03		X								

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2483

Name Nancy L. Johnson | Page 10 of 14

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	D	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.			X			
	MORTGAGE	129 D St. SE, D.C.		✓				

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

Name Nancy L Johnson | Page 11 of 11

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Congressional Program, Aspen Institute	8/10-8/16	NY-Moscow - NY	Y	Y	Y	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(4)

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 5:06
HOUSE OF REPRESENTATIVES

MC

(Office Use Only)

The Honorable Samuel Robert Johnson
(Full Name)

1211 Longworth House Office Building
(Mailing Address)

Washington, D.C 20515

Daytime Telephone: 202-225-4201

Filer Status	☑ Member of the U.S. House of Representatives — State: TX District: 03	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Samuel Robert Johnson	5-17-04

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Samuel Johnson | Page 2 of 9

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Examples:	SP	Mega Corp Stock	Indefinite		X																															
			Simon & Schuster				X																														
			1st Bank of Paducah, KY accounts																																		

Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
Book Royalties		Indefinite																	Royalties			X										
Legacy Bank of Texas		X													X						X											
Congressional FCU		X													X						X											
Franklin Gold + Proc Metals				X									X								X											

For additional assets and unearned income, use next page.

SCHEDULE IV — TRANSACTIONS

Name Samuel Johnson

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: A $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
JT	EDS Stock		X		8-8-03	X									

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature, and positions listed on Schedule I.

Position	Name of Organization
Board Member	Airforce Association
Board of Regents	Smithsonian Institution, Washington DC
Board Member	Frontiers of Flight, Dallas, TX
Stockholder, President	SRJ Designs - Activity has been dormant for over 3 years

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2004 91-010 (mac)

Use additional sheets if more space is required.

HAND DELIVERED

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2004 MAY -7 PM 2:47

MC

(Office Use Only)

A $200 penalty shall be assessed against anyone who files more than 30 days late.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A — For use by Members, officers, and employees

Timothy Vincent Johnson
(Full Name)

413 Berringer Circle Urbana, IL 61802
(Mailing Address)

202-225-2371
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: IL District: 15	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		4/25/04

2490

SCHEDULE I - EARNED INCOME

Name: Timothy Vincent Johnson

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Illinois	Legislative Pension	$59,076

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy Vincent Johnson

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	First National Bank of Ivesdale	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Longview State Bank	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Central Illinois Bank	$1,001 - $15,000	INTEREST	$201 - $1,000	
	First National Bank of Ogden	$1,001 - $15,000	INTEREST	$201 - $1,000	
	1st Mid-Illinois Bank & Trust	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Buckley State Bank	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy Vincent Johnson

	First National Bank of Homer	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Bank Illinois	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Cissna Park State Bank	None	INTEREST	$201 - $1,000	S
	Busey Bank	None	INTEREST	$201 - $1,000	S
	Bank of Gibson City	$1,001 - $15,000	INTEREST	$201 - $1,000	
	First State Bank of Atwood	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Dewey State Bank	None	INTEREST	$201 - $1,000	S
	First National Bank of Arcola	None	INTEREST	$201 - $1,000	S
	Gifford State Bank	None	INTEREST	$201 - $1,000	S
	Villa Grove State Bank	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Bank of Rantoul	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Philo Exchange Bank	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Rantoul First Bank	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Tuscola National Bank	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy Vincent Johnson

	First State Bank of Monticello	$1,001 - $15,000	INTEREST	$2,501 - $5,000	S
	Farmer's Merchant Bank	None	INTEREST	$201 - $1,000	S
	Fisher National Bank	$1,001 - $15,000	INTEREST	$201 - $1,000	
	First National Bank of Paxton	None	INTEREST	$201 - $1,000	S
	State Bank of Allerton	None	INTEREST	$201 - $1,000	S
	Sidney IL Farmland	$100,001 - $250,000	Other: Farm Income	$2,501 - $5,000	
	Condominium (1/2 Interest)	$15,001 - $50,000	RENT	$1,001 - $2,500	
	61-165 Iliohu, Hawaii Installment Contract	None	INTEREST	$15,001 - $50,000	S
	Johnson, Frank, Frederick & Walsh Law firm installment buyout	None	CAPITAL GAINS	NONE	
	Sun America Money Market	$500,001 - $1,000,000	DIVIDENDS	$201 - $1,000	P
	Eaton Vance Tax Managed Growth Fund	$15,001 - $50,000	DIVIDENDS	NONE	
	Putnam International Growth Fund	None	DIVIDENDS	NONE	S
	Scudder High Income Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	I Shares TR Russell 2000 Index Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy Vincent Johnson

	Standard & Poor Depositary Receipts	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S
	Providian National Bank Tilton NH	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
	Providian National Bank Tilton NH	None	INTEREST	$1,001 - $2,500	S
	FiServe Securities	$1 - $1,000	DIVIDENDS	$201 - $1,000	
	AMCAP Fund	$15,001 - $50,000	DIVIDENDS	NONE	P
	Fidelity Advisor Equity Growth	$50,001 - $100,000	DIVIDENDS	NONE	P
	Fidelity Advisor Mid Cap Stock	$15,001 - $50,000	DIVIDENDS	NONE	P
	Fidelity Advisor Small Cap Fund	$15,001 - $50,000	DIVIDENDS	NONE	P
	Fidelity Advisor Equity Income	$50,001 - $100,000	DIVIDENDS	$1 - $200	P
	Fidelity Advisor Diversified International	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	P
	Fidelity Advisor Dividend Growth	$50,001 - $100,000	DIVIDENDS	$1 - $200	P

SCHEDULE IV - TRANSACTIONS

Name Timothy Vincent Johnson

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Providian National Bank Tilton NH	S	7-11-03	$15,001 - $50,000
	61-165 Iliohu, Hawaii Residential Property	S	9-24-03	$500,001 - $1,000,000
	Putnam International Growth Fund	S	11-12-03	$1,001 - $15,000
	AMCAP Fund	P	1-7-03	$1,001 - $15,000
	Fidelity Advisor Equity Growth	P	11-20-03	$15,001 - $50,000
	Fidelity Advisor Mid Cap Stock	P	11-20-03	$15,001 - $50,000
	Fidelity Advisor Dividend Growth	P	5-7-03	$1,001 - $15,000
	Fidelity Advisor Small Cap Fund	P	11-20-03	$15,001 - $50,000
	Fidelity Advisor Mid Cap Stock	P	5-7-03	$1,001 - $15,000
	Fidelity Advisor Equity Income	P	11-20-03	$15,001 - $50,000
	Fidelity Advisor Equity Income Fund	P	1-7-03	$1,001 - $15,000
	Fidelity Advisor Diversified International	P	11-20-03	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Timothy Vincent Johnson

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Fidelity Advisor Dividend Growth	P	11-20-03	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name Timothy Vincent Johnson

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Busey Bank	Mortgage-406 Colorado, Urbana, IL	$50,001 - $100,000
	Busey Bank	Auto Loan	$15,001 - $50,000
	Busey Bank	Home Improvement	$15,001 - $50,000
	Bank Illinois	Auto Loan	$10,001 - $15,000

SCHEDULE IX - AGREEMENTS

Name Timothy Vincent Johnson

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1-9-01	Johnson, Frank, Frederick & Walsh buy-out from law firm partnership	Cash down payment, 15 monthly paymens and assumption of liability. Paid off in 2002.

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(Office Use Only)

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

Walter Beaman Jones
(Full Name)

422 Cannon House Office Building Washington, DC 20515
(Mailing Address)

202/225.3415
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative	State: NC District: 03	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Walter B. Jones	5/13/2004

SCHEDULE I - EARNED INCOME

Name Walter Beamen Jones

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
TrustMark	Residual Income earned prior to entering Congress	$239

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Walter Beaman Jones

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	BB&T	$100,001 - $250,000		$5,001 - $15,000	
JT	Ford Motor Co	$1,001 - $15,000		$1 - $200	
JT	Investors Title Co	$50,001 - $100,000		$201 - $1,000	
JT	Merck and Company	$1,001 - $15,000		$201 - $1,000	
JT	Nucor Corp.	$1,001 - $15,000		$1 - $200	
JT	Putnam new Growth opportunites	$15,001 - $50,000		$1,001 - $2,500	

2502

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Walter Beaman Jones

JT	Bio-Key	$1,001 - $15,000		NONE	
JT	American Funds Growth	$1,001 - $15,000		NONE	
JT	American Accumulation Plan	$1,001 - $15,000		NONE	

SCHEDULE VIII - POSITIONS

Name Walter Beaman Jones

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board	East Carolina Vocational Center

WALTER B. JONES
3rd District, North Carolina

Room 422
Cannon House Office Building
Washington, DC 20515
Telephone (202) 225-3415

Committees:
COMMITTEE ON ARMED SERVICES
COMMITTEE ON FINANCIAL SERVICES
COMMITTEE ON RESOURCES

HAND DELIVERED

Congress of the United States
House of Representatives
Washington, DC 20515–3303

District Office
1105-C Corporate Drive
Greenville, NC 27858
(252) 931-1003
(800) 351-1687

2004 MAY 17 PM 4:40
LEGISLATIVE RESOURCE CENTER
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May 17, 2004

The Honorable Jeff Trandahl
Clerk
U.S. House of Representatives
Legislative Resources Center
B-106 Cannon H.O.B.
Washington, D.C. 20515

Dear The Honorable Trandahl:

With respect to the filings of my Financial Disclosure Statements for the Calendar Year 2003, I would like to make the following corrections and/or modifications regarding my wife's holdings for the public record.

In Section III, Assets and "Unearned" Income, I have not listed two farms owned by my wife located in Tarboro, NC and Belvoir, NC. I have no interest in any of these farms as my wife and I maintain all of our financial holdings separately. The year end value of asset of the Floyd Harrell farm in Tarboro should read $250,001-$500,000. The rent on this farm yields a $5,001-$15,000 amount of income. The year end value of asset of the Millie Preeden Farms in Belvoir should read $100,001-$250,000. The rent on this farm yields a $2,501-$5,000.

I have attached an updated copy of my 2003 Financial Disclosure. I hope that this will clarify any questions that may have existed. If your staff needs additional information, they may contact Kathie Gallina at x5-3415.

Sincerely,

Walter B. Jones

Walter B. Jones
Member of Congress

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(8)

MC 2004 JUN 14 AM 9:51

(Office Use Only)

Stephanie Tubbs Jones
(Full Name)

1009 Longworth House Office Building Washington, DC 20515
(Mailing Address)

(202) 225-7032
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: OH District: 11	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Stephanie Tubbs Jones	6/10/04

2506

SCHEDULE I - EARNED INCOME

Name Stephanie Tubbs Jones

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Income from home renovation business	Earned income	$7,059

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephanie Tubbs Jones

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Public Employees Retirement System	$100,001 - $250,000	NA	$50,001 - $100,000	
SP	495 Eddy Road Cleveland OH 44110	$50,001 - $100,000	Rent	$5,001 - $15,000	
JT	Jihad Filmworks	$1,001 - $15,000	N/A	NONE	

SCHEDULE IV - TRANSACTIONS

Name Stephanie Tubbs Jones

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Real Property 495 Eddy Road, Cleveland, Ohio 44110	S	08-11-03	$50,001 - $100,000

2509

SCHEDULE V - LIABILITIES

Name Stephanie Tubbs Jones

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	MBNA	Credit Card	$15,001 - $50,000
	EMC Mortgage	Mortgage on 495 Eddy Road, Cleveland, Ohio 44110	$50,001 - $100,000
	Wright Patman Congressional Federal Credit Union	Credit Card	$10,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Stephanie Tubbs Jones

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Delta Sigma Theta Sorority	February 21-22	Wash., D.C.-Indianapolis, IN-Cleveland,OH	Y	Y	N	N/A
Public Governance Institute	February 28 - Mar 3	Wash., D.C.-Greenbrier, WV-Wash., D.C.	Y	Y	N	N/A
University of Memphis	March 21	Wash., D.C.-Memphis,TN-Wash., D.C.	Y	Y	N	N/A
Congressional Black Caucus Foundation	March29-30	Cleveland, OH-Orlando, FL-Wash., D.C.	Y	Y	N	N/A
Alaska Rainforest Campaign	May 25-30	Wash., D.C.-Juneau, AL-Cleveland,OH	Y	Y	Y	N/A
Delta Sigma Theta Sorority	July 11-13	Wash., D.C.-Boston, MA-Wash., D.C.	Y	Y	N	N/A
Delta Sigma Theta Sorority	August 22-24	Cleveland, OH-Seattle, WA-Cleveland,OH	Y	Y	N	N/A
Elmcor	September 5-7	Wash., D.C.-New York, N.Y.-Wash, D.C.	Y	Y	N	N/A
Sony Music Entertainment	October 23-26	Cleveland, OH, San Juan, PR-Cleveland, OH	Y	Y	N	N/A
Carib News	November 6-9	Wash., D.C.-Nassau, Bahamas-Cleveland,OH	Y	Y	N	N/A

SCHEDULE VIII - POSITIONS

Name Stephanie Tubbs Jones

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Bethany Baptist Church Cleveland, Ohio
Trustee	Community Reentry Program Cleveland, Ohio
Trustee	Hawken School

SCHEDULE IX - AGREEMENTS

Name Stephanie Tubbs Jones

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
January 2, 1999	Public Employees' Retirement System	Agreement permits member to continue participating in existing pension plan from her former service as an employee of Cuyahoga County, Ohio

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A — For use by Members, officers, and employees

HAND DELIVERED

2004 MAY 17 AM 11:04

(Office Use Only)

Walter Beaman Jones
(Full Name)

422 Cannon House Office Building Washington, DC 20515 — 202/225.3415
(Mailing Address) — Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative — State: NC, District: 03	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Walter B. Jones	5-17-04

2514

SCHEDULE I - EARNED INCOME

Name Walter Beaman Jones

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
TrustMark	Residual Income earned prior to entering Congress	$239

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Walter Beaman Jones

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	BB&T	$100,001 - $250,000		$5,001 - $15,000	
JT	Ford Motor Co	$1,001 - $15,000		$1 - $200	
JT	Investors Title Co	$50,001 - $100,000		$201 - $1,000	
JT	Merck and Company	$1,001 - $15,000		$201 - $1,000	
JT	Nucor Corp.	$1,001 - $15,000		$1 - $200	
JT	Putnam new Growth opportunites	$15,001 - $50,000		$1,001 - $2,500	

2516

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Walter Beaman Jones

JT	Bio-Key	$1,001 - $15,000		NONE	
JT	American Funds Growth	$1,001 - $15,000		NONE	
JT	American Accumulation Plan	$1,001 - $15,000		NONE	
SP	Floyd harrell, Rt. 4, Tarboro, NC 27886	$250,001 - $500,000	RENT	$5,001 - $15,000	
SP	Millie Preeden Farms, Belvoir, NC	$100,001 - $250,000	RENT	$2,501 - $5,000	

SCHEDULE VIII - POSITIONS

Name Walter Beaman Jones

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board	East Carolina Vocational Center

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A — For use by Members, officers, and employees

HAND DELIVERED

6

LEGISLATIVE RECORDS CENTER
2004 MAY 14 PM 2:50

(Office Use Only)

MC

2519

Paul E. Kanjorski
(Full Name)

103 South Hanover Street Nanticoke, PA 18634
(Mailing Address)

202-225-6511
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representative — State: PA District: 11	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Paul E. Kanjorski	5/14/04

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name Paul E. Kanjorski

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
National Association of Realtors	Speech	May 14, 2003	$2,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Paul E. Kanjorski

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the catagory of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Cross Valley Credit Union	$50,001 - $100,000	INTEREST	$1,001 - $2,500	NA
JT	IRA Equitable Life	$1,001 - $15,000	None	NONE	NA
JT	IRA Prudential Life Bache Secuities, AM Capital Government Fund	$50,001 - $100,000	None	NONE	NA
	Real property -- 20+ acres, 1/2 interest, Dallas Twp., Luzerne Co., PA	$250,001 - $500,000	None	NONE	NA
	Real property -- 90+ acres, 1/5 interest, Wright Twp., Luzerne Co., PA	$500,001 - $1,000,000	None	NONE	NA

2521

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Paul E. Kanjorski

	1/10 interest, father's estate	$15,001 - $50,000	None	NONE	NA
	1/5 interest, mother's estate	$15,001 - $50,000	None	NONE	NA
	1/2 interest, 126 S. Franklin St., Wilkes-Barre, PA	$100,001 - $250,000	RENT	$15,001 - $50,000	NA
	law office movable assets	$1,001 - $15,000	None	NONE	NA
	Congressional Federal Credit Union	$1,001 - $15,000	Interest	$1 - $200	NA
	Choice One Federal Credit Union	$50,001 - $100,000	INTEREST	$201 - $1,000	NA

SCHEDULE V - LIABILITIES

Name Paul E. Kanjorski

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Guaranty National Bank	Mortgage on 1/2 interest in 126 S. Franklin St., Wilkes-Barre, PA	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Paul E. Kanjorski

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
NASDAQ	Mar. 28-31	Wash., DC-Miami-Wash., DC	Y	Y	Y	N/A
Chicago Board Options Exchange, Chicago Board of Trade, Chicago Mercantile Exchange	Oct. 26-27	Wash., DC-Chicago, IL - Wash., DC	Y	Y	N	N/A
New York Stock Exchange	Nov. 11-12	Wilkes-Barre, PA - New York City, NY - Wash., DC	Y	Y	N	N/A
National Assoc. Of Insurance Commissioners	Dec. 6-7	Wash., DC -- Anaheim, CA -- Wash., DC	Y	Y	N	N/A

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED
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2004 MAY 17 PM 3:01
(Office Use Only)

7

MC

2525

Marcia (Marcy) C. Kaptur
(Full Name)

1 Maritime Plaza Toledo, OH 43604
(Mailing Address)

419-259-7500
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: OH District: 09	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Marcia (Marcy) C Kaptur	5/14/04

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Marcia (Marcy) C. Kaptur

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
1854 Harlan Rd, Toledo, OH 43615	$50,001 - $100,000	RENT- property upkeep and maintenance resulted in a net loss of $2,487.00 in 2003.	$5,001 - $15,000	
Congressional Federal Credit Union	$1,001 - $15,000	INTEREST - funds accrued in this separate account are from foregone congressional pay (raises) and are distributed annually to charitable causes	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Marcia (Marcy) C. Kaptur

	Sky Bank	$1 - $1,000	INTEREST	$1 - $200	
	Little Flower Credit Union	$1 - $1,000	INTEREST	$1 - $200	
	Key Bank	$1 - $1,000	INTEREST	$1 - $200	
	Charter One Bank	$50,001 - $100,000	INTEREST	$5,001 - $15,000	
	U.S. Savings Bonds	$50,001 - $100,000	NA	NONE	
	Transamerica IRA	$15,001 - $50,000	NA	NONE	
	Schwab Invest (Money Mkt) Account	$1 - $1,000	DIVIDENDS	$2,501 - $5,000	
	DFA 1 Year Fixed Income	$1 - $1,000	DIVIDENDS	$15,001 - $50,000	
	DFA 2 Year Global Fixed Income	$1 - $1,000	DIVIDENDS	$15,001 - $50,000	
	DFA Global Fixed Income	$1 - $1,000	DIVIDENDS	$15,001 - $50,000	
	Mercury Low Duration	$1 - $1,000	DIVIDENDS	$5,001 - $15,000	S
	Vanguard Intermed-Term Treasury Fd	$1 - $1,000	DIVIDENDS	$5,001 - $15,000	
	Merrill Lynch Low Duration	$1 - $1,000	DIVIDENDS - REPLACES Mercury Low Duration	$5,001 - $15,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Marcia (Marcy) C. Kaptur

	U.S. Treasury Note	$1 - $1,000	INTEREST	$15,001 - $50,000	P

SCHEDULE IV - TRANSACTIONS

Name Marcia (Marcy) C. Kaptur

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Mercury Low Duration	S	06/13/2003	$1,001 - $15,000
	U.S. Treasury Note	P	02/24/2003	$15,001 - $50,000
	Merrill Lynch Low Duration	P	06/13/2003	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Marcia (Marcy) C. Kaptur

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
International Brotherhood of Teamsters	November 13-18	Wash.,D.C. - El Paso - Mexico City - El Paso - Wash., D.C.	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name Marcia (Marcy) C. Kaptur

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	The Anastasia Fund, P.O. Box 2121, Toledo, OH 43603 [a public charity established in the names of Anastasia and Stephen Kaptur]

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED
3
LEGISLATIVE RESOURCE CENTER
2004 APR 23 PM 2:54
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Richard Anthony Keller
(Full Name)

605 E. Robinson St., Suite 650, Orlando, FL 32801 (407)872-1962
(Mailing Address) (Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: FL District: 08	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Rich A. Keller	4/19/04

2532

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify, For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	*Examples:* Mega Corp. Stock					X								X																		P
	Simon & Schuster		Indefinite																	Royalties												
	1st Bank of Paducah, KY accounts															X																
	Congressional Federal Credit Union Checking Account			X																	X					X						

For additional assets and unearned income, use next page.

2533

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
East Tennessee State University (ETSU)	Dec. 11-Dec. 14	Wash., DC - Tri Cities - Wash., DC	N	N	N	3 Days

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2004 MAY -6 PM 5:03 (11)

MC

(Office Use Only)

Sue W. Kelly
(Full Name)

187 Jay Street Katonah, New York 10536
(Mailing Address)

Daytime Telephone: 914-232-3744

Filer Status	☒ Member of the U.S. House of Representatives — State: New York District: 19	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Sue Kelly	5·5·04

2535

Name	Page
Sue W. Kelly	1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
William A. Kelly & Co., Inc.	Spouse Salary	N/A
87 Bedford Road Corp.	Spouse Salary	N/A
90 Maple Ave., L.L.C.	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name Sue W. Kelly | Page 1 of 1

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
N/A			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Sue W. Kelly

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Examples:	SP	Block A	Block B	Block C	Block D	Block E
		SP	Mega Corp. Stock	E: $50,001 – $100,000	DIVIDENDS		P
			Simon & Schuster	D: $15,001 – $50,000 (Indefinite)	Other Type of Income (Specify: For Example, Partnership Income or Farm Income): Royalties	IV: $1,001 – $2,500	
			1st Bank of Paducah, KY accounts	F: $100,001 – $250,000	INTEREST	IX: $100,001 – $1,000,000	

Block A	Block B	Block C	Block D	Block E
Amer. Int'l Group	E: $50,001 – $100,000	DIVIDENDS	III: $201 – $1,000	
Amer. Power Conv.	E: $50,001 – $100,000	DIVIDENDS	IV: $1,001 – $2,500	
AMGEN	D: $15,001 – $50,000	CAPITAL GAINS	I: None	
Bank American	E: $50,001 – $100,000	DIVIDENDS	V: $2,501 – $5,000	
BP Amoco	E: $50,001 – $100,000	DIVIDENDS	IV: $1,001 – $2,500	S
Bed, Bath & Beyond	D: $15,001 – $50,000	CAPITAL GAINS	I: None	

For additional assets and unearned income, use next page.

2538

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Sue W. Kelly

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Cisco Systems			X						X		X						
	Exxon Mobil		X					X					X					
	Intel							X					X					
	Nokia			X				X					X					S
	State Street Bank			X				X					X					S
	Washington Mutual			X				X						X				
	Wyeth		X					X					X					
	DRJ Money Market		X						X				X					
	NuVeen Institution Fund		X					X					X					S
	Costco		X					X				X						P
	101 N. Moger - Mt. Kisco								X						X			
	36 Anderson Rd. - Katonah				X				X									
	10 Waterview Ct. - South Salem				X				X						X			
	Bank of New York		X						X				X					
	Federal Credit Union		X						X				X					
	Merrill Lynch CMA		X						X				X					

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Sue W. Kelly

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	Fleet Bank		X						X									S
SP	Union State Bank								X									
SP	Mahopac Nat. Bank		X						X									
SP	USAA Savings		X						X				X					
SP	Merrill Lynch CMA		X						X									
SP	KED Realty - Katonah				X								X					
SP	IRA - RBC				X							X						
SP	8 Waterview Ct. - South Salem				X							X						
SP	Croton River Office Park					X									X			
SP	105 Bedford Rd. - Katonah				X										X			
SP	87 Bedford Rd. Corp														X			
SP	900 Maple Ave. - Mt. Kisco																	
SP	River Hill Radiation Corp.																	
SP	North Salem Center Corp.											X						
SP	W. J. Kelly & Co., Inc.				X							X						
SP	W. A. Kelly Lease, Corp.				X							X						
JT	Mass Mutual & U.S. Gov't										Insurance	X						
SP	McDonald's		X					X					X					S
SP	Amer. Home Products (Wyeth Corp.)		X					X					X					

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Sue W. Kelly

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	AMGEN							X				X						
SP	Butler Manufacturing		X					X				X						
SP	General Electric							X					X					
SP	Freedom CMA								X									
SP	Amer. Power Conversion		X					X										
SP	Fannie Mae							X					X					
SP	Aspen Corp.		X					X										S
SP	Bed, Bath & Beyond		X					X				X						
SP	Costco Wholesale		X					X				X						
SP	Amer. Int. Group		X					X										P
SP	Washington Mutual		X					X										P

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SCHEDULE IV — TRANSACTIONS

Name Sue Wikelly

Page 1 of 1

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	Costco	X			5-20-03		X								
	Nuveen Insd. Muni. Fund		X		1-03-03			X							
	BP Amoco		X		5-20-03	X									
	State Street Bank		X		5-20-03	X									
	Nokia Corp		X		12-22-03		X								
SP	McDonald's		X		9-9-03		X								
SP	Nokia		X		12-22-03	X									
SP	Washington Mutual	X			9-9-03	X									
SP	Amer. Int'l Group	X			9-22-03	X									
SP	Fleet Bank		X		12-22-03	X									

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2542

Name Sue W. Kelly | Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	D	E $100,001–$250,000	F	G $500,001–$1,000,000	H	I $5,000,001–$25,000,000	J	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.			X						
SP	Mahopac National Bank	Mortgage - Croton River Park									
SP	Union State Bank	Mortgage - 87 Bedford Rd Corp									
SP	Union State Bank	Mortgage - 90 Maple Ave.			X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
N/A		

Use additional sheets if more space is required.

2543

Name Sue W. Kelly | Page 1 of 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Ripon Education Fund	Aug. 10-15	New York - London, England - New York	Y	Y	Y	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
N/A	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

GPO: 2004 91-610 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

10

HAND DELIVERED

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OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

MARK R. KENNEDY
(Full Name)

1415 LONGWORTH HOB
(Mailing Address)

WASHINGTON DC 20515

Daytime Telephone: 202-225-2331

Filer Status	[X] Member of the U.S. House of Representatives — State: Minnesota District: 6	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mark Kennedy	MAY 13, 2004

2546

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Minnesota State Fair	Spouse (judging fee)	NA

For payments to charity in lieu of honoraria, use Schedule II.

2547

Name Mark Kennedy | Page 3 of 10

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "N/A" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify, For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:* SP Mega Corp. Stock					X								X																	P
	Simon & Schuster				Indefinite															Royalties								X			
	1st Bank of Paducah, KY accounts															X															
SP	Babson Enterprise II (MF)					X								X			X						X								P
SP	Fidelity Diversified Int'l					X								X									X								P
SP	Sparton 500 Index						X							X										X							P
	Contrafund					X								X								X									P
	Fidelity Diversified Int'l				X									X								X									P
	Fidelity Equity Income	X												X									X								P, S

For additional assets and unearned income, use next page.

2549

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Mark Kennedy

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	IV	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Fidelity Fifty		X					X	X						X				P, S
	Fidelity Low Priced Stock							X	X				X						P
	Fidelity Small Cap Stock	X							X										P, S
	Sparton 500 Index			X				X					X						P
	Sparton Int'l Index	X						X	X						X				P, S
DC	Shopko Stores, Stock		X									X							S
DC	Vanguard Fed Funds (MF)		X					X	X				X						P, S
DC	Vanguard GNMA (MF)			X				X							X				P
	Kennedy for Congress Loan				X					X									S
	Northwestern Nat'l Life Insurance									X									
JT	Land-rented Acreage				X				X				X						

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2550

SCHEDULE IV — TRANSACTIONS

Name Mark Kennedy

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	x			10-12-03		x								
SP	Babson Enterprise II	X			Various	X									
	Department 56 Common Stock		X		12-23-03		X								
DC	Federated Dept Stores Common Stock		X		2-24-03	X									
	Fidelity Cash Reserves	X	X		Var	X									
	Fidelity Contrafund	X			Var			X							
	Fidelity Diversified Int'l	X			Var		X								
SP	Fidelity Diversified Int'l	X			Var		X								
	Fidelity Equity Income	X	X		Var			X							
	Fidelity Equity Income II	X	X		Var		X								
	Fidelity Fifty		X		Var				X						
	Fidelity Fund	X	X		Var			X							
SP	Fidelity Fund	X	X		Var			X							
	Fidelity Low Price Stock	X			Var		X								
	Fidelity Municipal	X	X		Var				X						
SP	Fidelity Municipal	X	X		Var		X								
	Fidelity Small Cap Stock	X	X		Var		X								

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SCHEDULE IV — TRANSACTIONS

Name Mark Kennedy

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10–12–03	X				
	Fidelity Value	X	3-10-03	X				
	Janus Int'l Overseas	X	3-10-03	X				
SP	Janus Twenty	X	3-10-03					
	Kennedy for Congress Loan	X	3-31-03	X				
DC	Shopko Stores Common Stock	X	2-24-03					
	Sparton 500 Index		Var					
SP	Sparton 500 Index		Var					
	Sparton International Index	X		X				

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2552

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
	Chase Manhattan Mortgage Corp*	mortgage on 11426CR 13SE, Watertown MN					
	US Bank*	home equity on the same					
	* The rented land listed on Schedule III is totaling 60 acres (30 of which are rented)	part of a contiguous parcel					
	located on the 30 acres not rented.	A personal residence is					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Congressional Institute	Feb 6-9	DC - WV - DC	Y	Y	N	N
	Public Governance Institute	Feb 28-Mar 2	DC - WV - DC	N	Y	Y	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
	NA

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NA	

GPO: 2004 91-910 (mec)

Use additional sheets if more space is required.

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A — For use by Members, officers, and employees

Patrick J. Kennedy
(Full Name)

210 Farmlands Drive Portsmouth, RI 02871
(Mailing Address)

(202) 225-4911
Daytime Telephone:

(Office Use Only)

Filer Status: [x] Member of the U.S. House of Representatives — State: RI — District: 01 | [ ] Officer Or Employee — Employing Office:

Report Type: [x] Annual (May 15) | [ ] Amendment | [ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes [ ] No [x]
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes [x] No [ ]
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes [x] No [ ]
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes [ ] No [x]
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes [ ] No [x]
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? — Yes [ ] No [x]
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? — Yes [x] No [ ]
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes [x] No [ ]
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes [ ] No [x]
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [x] No [ ]

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [x]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Patrick J. Kennedy	5-13-04

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name Patrick J. Kennedy

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Connell Company, New Jersey	Speech	May 28, 2003	$2,000
Eli Lilly and Company, Indiana *	Speech	November 18, 2003	$5,000

* CONTRIBUTIONS TOTALLING $5,000 WERE MADE TO TWO CHARITIES. A REFUND OF $1,500 FROM EACH HAS BEEN REQUESTED.

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Patrick J. Kennedy

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Citizens Trust Company Accounts, Providence, RI	$1,001 - $15,000	INTEREST	$1 - $200	
MFB Northern U.S. Government Money Market Fund Chicago, IL	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
March 4, 1953 Trust, Rose F. Kennedy, Grantor, FBO Edward M. Kennedy, New York, NY		EXCEPTED TRUST	$1 - $200	
August 31, 1959 Trust, Edward M. Kennedy, Grantor, FBO Patrick J. Kennedy, New York, NY		EXCEPTED TRUST	$50,001 - $100,000	

2558

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Patrick J. Kennedy

	Arctic Royalty Limited Partnership (a limited partnership owning oil & gas royalty interests) New York, NY	$50,001 - $100,000	PARTNERSHIP INCOME	$15,001 - $50,000	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Patrick J. Kennedy

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
University of Michigan Depression Center	Mar. 6-7	Wash., DC-Ann Arbor Michigan-Wash., DC	Y	Y	N	N
Connell Company, NJ	May 28	Wash., DC-Newark, NJ-Wash., DC	Y	Y	N	N

SCHEDULE VIII - POSITIONS

Name Patrick J Kennedy

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Associate Member	Battleship Massachusetts
Corporate Member	Bradley Hospital
Member, Advisory Board	March of Dimes - Rhode Island
Honorary Member	Mental Health Association of Rhode Island
Member, Advisory Board	Big Brothers of Rhode Island
Member, Advisory Board	Rhode Island Special Olympics
Member, Advisory Board	National Coalition of Mental Health and Providers
Member, Advisory Board	University of Michigan Depression Center
Associate Trustee	Joseph P. Kennedy, Jr. Foundation
Limited Partners	Arctic Royalty Limited Partnership
Ex-Officio Trustee	Providence Performing Arts
Member, Honorary Board	Recycling for Rhode Island Education

SCHEDULE VIII - POSITIONS

Name Patrick J. Kennedy

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Board of Trustees	Kennedy Center of Performing Arts
Member, Advisory Board	Martin Luther King Jr. National Memorial Project Foundation, Inc.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(6)
2004 MAY -6 AM 10: 55
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Dale Edward Kildee
(Full Name)
516 Kensington Avenue
(Mailing Address)
Flint MI 48503
(202) 225 3611
Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives — State: MI District: 5	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Dale E. Kildee	May 5, 2004

2563

Name Dale Edward Kildee | Page 1 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Michigan Legislative Retirement System	Legislative Pension	$19,513.32

For payments to charity in lieu of honoraria, use Schedule II.

2564

Name Dale Edward Kildee | Page 2 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
SP, DC, JT	Examples: SP — Mega Corp Stock	A None; F $100,001 – $250,000	DIVIDENDS: X		P
	Examples: DC — Simon & Schuster	D $15,001 – $50,000 (Indefinite)	Other Type of Income (Specify: For Example, Partnership Income or Farm Income): Royalties		
	Examples: JT — 1st Bank of Paducah, KY accounts	F $100,001 – $250,000	INTEREST: X	VI $15,001 – $50,000: X	
JT	Wachovia Bank	D $15,001 – $50,000	INTEREST: X	III $201 – $1,000	
JT	Congressional Fed. C.U.	D $15,001 – $50,000	INTEREST: X	III $201 – $1,000	
SP	Congressional Fed. C.U. IRA	C $1,001 – $15,000	INTEREST: X	I None: NA	
SP	Wachovia Bank SEP	C $1,001 – $15,000	INTEREST: X	I None: NA	
SP	Saloman Smith Barney SEP	C $1,001 – $15,000	INTEREST: X	I None: NA	
	Saloman Smith Barney IRA	F $100,001 – $250,000	Other Type of Income: Mandatory Disbursement	VI $15,001 – $50,000: X	

For additional assets and unearned income, use next page.

2565

Name Dale Edward Kildee | Page 3 of 5

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$280
American Ireland Fund Boston, MA	Random door prize drawing for one week lodging in Ireland	$7,000

Use additional sheets if more space is required.

2566

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Sallie Mae, Inc.	Jan. 12	Palm Beach, FL (paid own travel expense)	Y	Y	Y	N
	Public Governance Institute	Feb 28-March 2	Wash DC - White Sulphur Springs WV - WDC	Y	Y	Y	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1-1-77	State of Michigan and self	Life and health insurance

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2004 MAY 18 PM 12:02 (7)

MC

(Office Use Only)

Carolyn Cheeks Kilpatrick
(Full Name)

7445 LaSalle Blvd
(Mailing Address)

202-225-2261
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: Michigan District: 13 th	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Carolyn C Kilpatrick	May 17, 2004

2569

Name	Page 2 of 7
Kilpatrick	

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Michigan Legislative Retirement System (for services rendered prior to house employment and is not subject to the outside earned income limit)	Legislative Pension	$43,971.84

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
National Grocers Association	Appearance	June 18, 2003	$50.00

This page may be copied if more space is required.

Name Kilpatrick | Page 4 of 7

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP, DC, JT	*Examples:* SP Mega Corp. Stock	F	DIVIDENDS X	III	P
	Simon & Schuster	Indefinite	Royalties	IX	
	1st Bank of Paducah, KY accounts	F	INTEREST X	VI	
	Wright Patman Congressional FCU	C	INTEREST X		
	National City Bank	C	INTEREST X	IV	
	Fidelity Diversified International	C	DIVIDENDS X	N/A	
	Fidelity Cash Reserves	B	INTEREST X	N/A	

For additional assets and unearned income, use next page.

2572

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Kilpatrick

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Fidelity Mid Cap Stock		X					X				N/						
	Fidelity Short-Term Bonds							X				N/A						
	Fidelity Fund		X					X				N/						
	Fidelity Aggressive Growth		X					X				N/A						
	Fidelity OTC Port		X					X				N/						
	Ford Mtr Cr Co Cont offer BD 5%							X				N/						
	General MTRS Accep CPSMARTNTBE 7.35%							X				N/						
	Thrift Savings Plan							X				N/						

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2573

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Alicia Investment Advisors	May 1-4	Wash., D.C.-Louisville, KY	Y	Y	N	N
		Detroit, MI				
CARIB News	Nov. 6-9	Wash., D.C.-Nassau, Bahamas	Y	Y	Y	N
		Detroit, MI				

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Louis Carr Intern Foundation

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2004 91-010 (mec)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED
9

LEGISLATIVE RESOURCE CENTER
2004 MAY 13 PM 2:00
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

(Office Use Only)

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

RONALD JAMES KIND
(Full Name)

3061 EDGEWATER LANE 608-779-9750
(Mailing Address) Daytime Telephone:

LACROSSE, WI 54603

Filer Status	[X] Member of the U.S. House of Representatives — State: WI District: 3RD	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefitting you, your spouse, or dependent child? N/A	Yes [ ]	No [ ]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ron Kind	5/12/04

2576

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	U.S. House of Representatives	SALARY	150,000 –
	STATE OF WISCONSIN – DIRECTOR OF STATE COURTS	SPOUSE SALARY	14,500 –
	Self Employed COURT REPORTER	SPOUSE SALARY	3,800 –

For payments to charity in lieu of honoraria, use Schedule II.

2577

Name	Page ____ of ____

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
	XYZ Magazine	Article	Aug. 13, 2003	$500

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A: Asset and/or Income Source		Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (Dividends; Rent; Interest; Capital Gains; Excepted Trust; Qualified Blind Trust; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP, DC, JT	Examples:				
	SP Mega Corp. Stock	X	X		P
	Simon & Schuster	Indefinite	Royalties	X	
	1st Bank of Paducah, KY accounts		X		
JT	RENTAL PROPERTY W/ 219 PEARL ST, LACROSSE,	X	X	X	
SP	PUTNAM INV -IRA	X	X	X	
	WIS DEF COMP PROGRAM	X	X	X	
SP	WIS DEF COMP PROGRAM LACROSSE	X	X	X	
	INVESTMENT CLUB - W/	X	X	X	
	JANUS FUND IRA	X	X	X	

For additional assets and unearned income, use next page.

2579

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ronald James King

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	IV $2,501 – $5,000	VI $15,001 – $50,000	VII $100,001 – $1,000,000	IX Over $5,000,000	XI	BLOCK E Transaction P, S, E
	Janus Fund - Roth IRA		X					X				X							
SP	Janus Fund - Roth IRA		X					X				X							
	Soret. Thrift Plan				X			X					X						
DC	WI Equest		X					X					X						
DC	Janus Ed. IRA	X						X					X						
DC	WI Equest		X					X											
DC	Pullman Mut. Fd		X					X											
JT	Oakmark Eq. Income		X					X				X							P 12/23/02
JT	Wells Fargo								X				X						
	Oak Mark Select Roth IRA		X					X				X							P 12/23/02
DC	Janus Mut. Fd		X					X				X							
DC	Janus Ed. IRA							X											
DC	Moss Investors		X					X											
DC	Janus Mut Fd		X					X				X							
JT	Rental Property 5 Buck Barn Rd, Chunk, PA				X				X				X						P 9/03

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SCHEDULE IV — TRANSACTIONS

Name RONALD JAMES KING

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
JT	PROPERTY - S. BUCKHOLTZ RD, ETTRICK, WI	X			9/03					X					

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2581

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
JT	WELLS FARGO BANK, LACROSSE, WI	RENTAL LACROSSE, WI MORTGAGE ON PEARL ST		X			
JT	Wells Fargo Bank, LaCrosse, WI	mortgage on Farm Ellwick, WI					

SCHEDULE VI — GIFTS NONE

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
NDN (New Democratic Network) Microsoft + Starbucks	July 18/19	Wash, D.C - LA - LACROSSE	Y	Y	N	N
	Dec 11-13	Lacrosse, WI - Seattle WA	Y	Y	Y	N
NDN	3/17-18	~~Washington DC~~ Kingsmill, VA - Lacrosse	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
DIRECTOR	COULEE COUNCIL ON ALCOHOL, SECTION 501(C)(3) ORGANIZATION
DIRECTOR	BOYS AND GIRLS CLUB, SECTION 501(C)(3) ORGANIZATION
ALUMNI HARVARD ~~[illegible]~~	HARVARD UNIVERSITY AND RADCLIFFE UNIVERSITY

SCHEDULE IX — AGREEMENTS N/A NONE

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 13 AM 11:16
HOUSE OF REPRESENTATIVES
(Office Use Only) MC

Peter T. King
(Full Name)
1442 Roth Road
(Mailing Address)
Seaford N.Y. 11783 / 202-225-7896
Daytime Telephone:

Filer Status: [X] Member of the U.S. House of Representatives — State: NY District: 03 Third — [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Peter King	5/12/04

2585

Name Peter King | Page 1 of 3

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Nassau County, New York	Spouse Salary	N/A
	State of New York	Pension	$38,422-
	University Press of America, Lanham, MD 20706	Book Royalties	$3,174.23

For payments to charity in lieu of honoraria, use Schedule II.

Name	Page ___ of ___

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Peter King

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT	Examples: SP Mega Corp Stock		X		P
	Simon & Schuster	Indefinite		Royalties	
	1st Bank of Paducah, KY accounts	X	X	X	
JT	Chase Bank	X	X	X	
SP	New York State Pension Program	X		X	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name	Page ___ of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset										BLOCK C Type of Income					BLOCK D Amount of Income									BLOCK E Transaction
		A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $3,000,000	K $25,000,001 – $50,000,000	DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	P, S, E

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2589

Name	Page ___ of ___

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset		Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:*	Mega Corporation Common Stock				10–12–03		X								

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Name	Page ___ of ___

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
				C $15,001–$50,000		E $100,001–$250,000		G $500,001–$1,000,000		I $5,000,001–$25,000,000		K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

Name	Page ___ of ___

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Notre Dame Law Association (Alumni Association of Notre Dame Law School)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(9)

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 AM 11:48
MC
(Office Use Only)

Steven ARNOLD KING
(Full Name)

P.O. BOX 15619
WASHINGTON, D.C. 20003
(Mailing Address)

202-225-4426
Daytime Telephone

Filer Status: [X] Member of the U.S. House of Representatives — State: IOWA District: 5 — [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Steve King	MAY 15, 2004

2594

Name Steve King | Page 2 of

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
No Reportable EARNED INCOME	N/A	N/A

For payments to charity in lieu of honoraria, use Schedule II.

2595

Name Steve King | Page 3 of ___

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
NONE			N/A

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Steve King | Page 4 of _

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP	Mega Corp. Stock					X								X																		P
DC	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X									X							
	King Const							X									X										X					
SP	Mainstay Corp Bond Fund			X										X									X									
	Mainstay Corp Bond Fund			X										X									X									
	Mainstay Total Return "B"			X										X																		
	Mainstay Value Fund "B"			X										X									X									
	N.Y. Life Ins. Cash Val.			X												X							X									

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Steve King | Page 5 of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
Sp	401K Loan Fund cash value		X						X				X					
	Retirement Fund IPERS		X						X				X					
Sp	Retirement Fund IPERS								X				X					

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Name Steve King | Page 6 of _

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	N/A														

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2599

Name	Page 7 of ___

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
JT	United Bank of Iowa	R.E Mortgage on bldg	X				

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NA		NA

Use additional sheets if more space is required.

2600

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Republican Planning Conf	2/6 - 2/8	DC - Greenbrier, WV - DC	Y	Y	N	
	Congressional Retreat	2/28 - 3/2	DC - Greenbrier, WV - DC	Y	Y	Y	
	Business Roundtable	5/1 - 5/2	DC - New York - DC	Y	Y	Y	
	Nat'l Cable & Telecomm Conf.	6/8 - 6/9	DC - Chicago - DC	Y	Y	Y	
	AIPAC	8/23 - 9/1	Iowa - Israel - DC	Y	Y	Y	

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
	N/A

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
		N/A

GPO: 2004 91-610 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

7

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 5:40
HC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Mark Steven Kirk
(Full Name)

278 Wheeler Road
Highland Park Illinois 60035
(Mailing Address)

(202) 225-4835
Daytime Telephone

Filer Status	☒ Member of the U.S. House of Representatives	State: Ill. District: 10	☐ Officer or Employee — Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Elsa Kim	May 15, 2004

2003

Name Mark Steven Kirk | Page 2 of 7

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000	L	DIVIDENDS	RENT	INTEREST	RENTAL INCOME	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II	III $201 – $1,000	IV	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII	IX $100,001 – $1,000,000	X	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X																		P
DC	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
	Fidelity Contrafund				X									X			X					X										
	AIG Matrix Life				X									X			X					X										
	Fidelity Growth			X										X			X						X									P
	Net Life				X									X			X						X									
OP	Nightfield Bank					X								X			X									X						
SP	Kaufman Fund				X									X			X															

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name MARK STEVEN KUCIA

Page 3 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L $50,000,001 – Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	1212 MT. VERNON AVE. ALEXANDRIA, VA							X							X											X							
	SCHWAB INDEX			X										X			X						X										

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SCHEDULE IV — TRANSACTIONS

Name Mark Stuart Kirk

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10–12–03		X								
	Fidelity Growth	X			04/15/04	X									
	Schwab Index	X			04/15/03	X									

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Name Mark Steven Kirk | Page 5 of 7

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Genovese Loan Center	Student Loans	X									
SP	GMAC	Mortgage on 3323 Mt. Vernon Ave. Alex. VA				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Jewish Fed. of Chicago	8/18–8/25/03	Chicago–Israel–Chicago	Y	Y	Y	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Population Resource Center (No Compensation)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2004 MAY -7 PM 12: 10 (15)
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Gerald D. Kleczka
(Full Name)

3150A South 12th St Milwaukee, WI 53215
(Mailing Address)

414-297-1140
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: WI, District: 04	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Gerald D. Kleczka	4/30/04

2610

SCHEDULE I - EARNED INCOME

Name Gerald D. Kleczka

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
George Washington Medical Faculty Associates, Inc	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gerald D. Kleczka

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Lincoln State Bank, Milwaukee,WI	None	INTEREST	$1 - $200	S
JT	Cong Fed Credit Union, Washington DC	$1,001 - $15,000	INTEREST	$1 - $200	
	Cong Fed Credit Union, Washington DC	$50,001 - $100,000	INTEREST	$201 - $1,000	
SP	Cong Fed Credit Union, Washington DC	$15,001 - $50,000	INTEREST	$1 - $200	
	Vanguard Index Trust	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
SP	Legg Mason Value Trust	$15,001 - $50,000	NONE	NONE	

2612

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gerald D. Kleczka

	Marshall & Illsely Bank Stock	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
	Kohls Stock	None	NONE	NONE	S
SP	Marisco Growth & Income Fund	$1,001 - $15,000	NONE	NONE	
	Walgeen Co., Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Harley Davidson Inc., Stock	None	DIVIDENDS	$1 - $200	S
	WI Retirement System	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	N/A	
SP	TIAA CREFF Retirement Acct	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	N/A	
	Dell Computer Stock	$1,001 - $15,000	NONE	NONE	
	US Bancorp Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Home Depot Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Janus Growth & Income Fund, IRA	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	N/A	
	Janus Twenty Fund, IRA	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	N/A	
	Janus Global Tech Fund	$1,001 - $15,000	NONE	NONE	
	General Electric Co., Stock	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gerald D. Kleczka

	America Online/Time Warner Stock	$1,001 - $15,000	NONE	NONE	
	American Online/Time Warner Co., Stock	None	NONE	NONE	S
	Cisco Systems Inc, Stock	$1,001 - $15,000	NONE	NONE	
	Microsoft Corp., Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Oracle Corp., Stock	$1,001 - $15,000	NONE	NONE	
	Yahoo Inc., Stock	$1,001 - $15,000	NONE	NONE	
	Janus Stratvalue Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
SP	Janus Growth & Income Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Brandes Investment Parners Inc. (attached)	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P/S
	Calpine Corp., Stock	$1,001 - $15,000	NONE	NONE	
	Siebel Systems, Inc., Stock	$1,001 - $15,000	NONE	NONE	
	Raymond James Money Market	$1,001 - $15,000	INTEREST	$1 - $200	
	Motorola Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	3765 Sierra Dr, Merritt Island, FL (rental property)	$100,001 - $250,000	RENT	$15,001 - $50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gerald D. Kleczka

	Prudential Financial Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	JP Morgan Chase Co., Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Altria Corp., Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	SBC Comm Inc, Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Schering Plough Corp., Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	General Motors Corp., Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE IV - TRANSACTIONS

Name Gerald D. Kleczka

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Brandes Investment Partners Inc, Stock (attached)	PS	2003	$15,001 - $50,000
	Altria Corp., Stock	P	1/14/03	$1,001 - $15,000
	General Motors Corp., Stock	P	1/14/03	$1,001 - $15,000
	JP Morgan Chase & Co., Stock	P	1/14/03	$1,001 - $15,000
	SBC Comm., Inc., Stock	P	1/14/03	$1,001 - $15,000
	Schering Plough Corp., Stock	P	9/18/03	$1,001 - $15,000
	Lincoln State Bank, Milwaukee, WI	S	2/28/03	$1,001 - $15,000
	Kohls Co. Stock	S	12/9/03	$1,001 - $15,000
	Harley Davidson Inc, Stock	S	6/30/03	$1,001 - $15,000
	America Online/ Time Warner	S	12/9/03	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Gerald D. Kleczka

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Countrywide Home Loans, Dallas, TX	Mortgage, 3765 Sierra Dr, Merritt Island, FL (rental property)	$100,001 - $250,000

Investment Portfolio Report for November 28 to December 31, 2003

Account ID: 78607474

GERALD D KLECZKA
(BII)
4200 CHRISTINE PL
ALEXANDRIA VA 22311-1109000

Financial Advisor:
MIKE PEREZ
(321) 728-7103
Michael.Perez
@Raymonddames.com
700 SOUTH BABCOCK STREET
SUITE 101
MELBOURNE, FL 32901
(800) 838-4488
Branch ID: 34M 3505

Statement copies to
BRANDES INVESTMENT PARTNERS L

PRIMARY OBJECTIVE -
Growth
RISK TOLERANCE - Medium
TIME HORIZON - < 5 Years
SECONDARY OBJECTIVE -

RISK TOLERANCE -
TIME HORIZON -

Account Value

Assets Listed in Your Portfolio:	Asset Allocation %	Current Value
CASH/CASH EQUIVALENTS	3.7 %	$3,142.85
MONEY MARKET FUNDS	0.0 %	0.00
EQUITIES/OPTIONS	96.3 %	81,773.12
MUTUAL FUNDS	0.0 %	0.00
CLOSED-END FUNDS	0.0 %	0.00
FIXED INCOME SECURITIES	0.0 %	0.00
INSURANCE/ANNUITIES	0.0 %	0.00
REIT's/TANGIBLES	0.0 %	0.00
TOTAL ASSETS	100.0 %	$84,915.97

Liabilities:	
Ready Access Balance (Margin)	$0.00
Short Sales	0.00
Total Liabilities	$0.00

PORTFOLIO NET VALUE:	
Current	$84,915.97
Prior Statement	$79,216.90
12/31/02	$59,888.40

Income & Expense Summary

Income/Receipts:	This Statement	This Year
INTEREST - TAXABLE	$1.16	$3.08
INTEREST - NON-TAXABLE	0.00	0.00
DIVIDENDS - HERITAGE MONEY MKT FDS	0.00	0.00
DIVIDENDS - TAXABLE	178.35	1,573.42
DIVIDENDS - NON-TAXABLE	0.00	0.00
INCOME - MUTUAL FUNDS	0.00	0.00
RECEIPT of PRINCIPAL/CAPITAL	0.00	0.00
OTHER INCOME/RECEIPTS	0.00	76.46
TOTAL INCOME & RECEIPTS	$179.51	$1,652.96

Expenses:		
Interest-Ready Access(Margin)/Other	$0.00	$0.00
Taxes withheld	5.22	68.74
Dividend/Interest charged	0.00	0.00
Total Expense	$5.22	$68.74
Net Income/Receipts and Expenses	$174.29	$1,584.22
Net Cash Deposits/(Withdrawals)	$0.00	$25.43

If you have any questions, or would like to update your Investment Profile information, please contact your Financial Advisor at (321) 728-7103 or call our Client Services Department at 1-800-647-SERV (7378) Monday - Friday, 8 AM - 6 PM Eastern.

50523983-012

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
110	ALCATEL SPONSORED ADR (FRANCE)		16.857	12.850	1,413.50	(440.77) (23.77)%		
	SYMBOL: ALA							
	85 ALA	12/13/01	17.756		1,092.25	(417.01)		
	25 ALA	02/26/02	13.797		321.25	(23.68)		
30	ALTRIA GROUP INCORPORATED	12/03/01	46.816	54.420	1,632.60	228.12 16.24 %	82	5.00%
	SYMBOL: MO							
75	ARCHER DANIELS MIDLAND COMPANY	12/03/01	15.480	15.220	1,141.50	(19.50) (1.68)%	18	1.58%
	SYMBOL: ADM							
130	BAE SYSTEMS PLC SPONSORED ADR (UNITED KINGDOM)		15.001	12.048	1,566.24	(383.89) (19.69)%	80	5.08%
	SYMBOL: BAESY							
	60 BAESY	12/09/01	18.300		722.88	(375.12)	37	
	70 BAESY	10/03/03	12.174		843.36	(8.82)	43	
125	BANCO BILBAO VIZCAYA ARGENTARI SPONSORED ADR (SPAIN)	12/03/01	12.124	13.850	1,731.25	215.75 14.24 %	43	2.46%
	SYMBOL: BBV							
30	BAYERISCHE HYPO-UND VEREINSBK SPONSORED ADR (GERMANY)		29.828	23.335	700.05	(194.79) (21.77)%		
	SYMBOL: HVMGY							
	15 HVMGY	03/07/02	29.721		350.03	(95.79)		
	15 HVMGY	04/03/02	29.935		350.03	(99.00)		
45	BELLSOUTH CORPORATION		29.386	28.300	1,273.50	(48.87) (3.70)%	45	3.53%
	SYMBOL: BLS							
	20 BLS	07/01/02	32.269		566.00	(79.38)	20	
	25 BLS	10/28/02	27.080		707.50	30.50	25	
30	BRASIL TELECOM PARTICIPACOES S A SPONS ADR REP PFD SH (BRAZIL)	12/03/01	37.659	37.800	1,134.00	4.23 0.37 %	6	0.49%
	SYMBOL: BRP							
40	BRISTOL MYERS SQUIBB COMPANY	04/05/02	29.040	28.600	1,144.00	(17.60) (1.52)%	45	3.92%
	SYMBOL: BMY							

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
45	CIT GROUP INCORPORATED		22.982	35.950	1,617.75	583.56	22	1.34%
	SYMBOL: CIT					56.43 %		
	20 CIT	07/11/02	23.000		719.00	259.00	10	
	25 CIT	07/30/02	22.967		898.75	324.58	12	
175	CENTRAIS ELETRICAS BRASILEIRAS	01/04/02	6.244	8.406	1,471.05	378.35	15	0.99%
	SPONSORED ADR (BRAZIL)					34.63 %		
	SYMBOL: CAIFY							
110	DEUTSCHE TELEKOM AG		14.816	18.130	1,994.30	364.54		
	SPONSORED ADR (GERMANY)					22.37 %		
	SYMBOL: DT							
	65 DT	12/13/01	16.747		1,178.45	89.90		
	45 DT	05/03/02	12.026		815.85	274.68		
45	DUKE ENERGY CORPORATION	07/03/02	19.294	20.450	920.25	52.02	50	5.38%
	SYMBOL: DUK					5.99 %		
20	E ON AG SPONSORED ADR	04/03/02	51.440	65.440	1,308.80	280.00	31	2.36%
	SYMBOL: EON					27.22 %		
110	EL PASO CORPORATION	01/16/03	9.800	8.190	900.90	(177.10)	18	1.95%
	SYMBOL: EP					(16.43)%		
45	ELECTRONIC DATA SYSTEMS NEW	10/22/02	13.914	24.540	1,104.30	478.17	27	2.44%
	SYMBOL: EDS					76.37 %		
50	FORD MTR COMPANY DEL COM PAR	03/01/02	15.201	16.000	800.00	39.95	20	2.50%
	$0.01					5.26 %		
	SYMBOL: F							
35	GLAXOSMITHKLINE PLC		40.004	46.620	1,631.70	231.56	45	2.77%
	SPONSORED ADR					16.54 %		
	(UNITED KINGDOM)							
	SYMBOL: GSK							
	25 GSK	07/31/02	39.196		1,165.50	185.60	32	
	10 GSK	09/10/03	42.025		466.20	45.95	13	
50	GOODYEAR TIRE & RUBBER COMPANY	12/03/01	22.224	7.860	393.00	(718.20)		
	SYMBOL: GT					(64.63)%		
25	HITACHI LIMITED	12/03/01	74.936	60.070	1,501.75	(371.65)	12	0.79%
	ADR 10 COM (JAPAN)					(19.84)%		
	SYMBOL: HIT							

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
7	HYPO REAL ESTATE HLDG AG		26.137	24.786	173.50	(9.46)		
	SPONSORED ADR (GERMANY)					(5.17)%		
	SYMBOL: HREHY							
	3.250 HREHY	03/07/02	26.037		80.55	(4.07)		
	3.750 HREHY	04/03/02	26.224		92.95	(5.39)		
61	ING GROUP N V SPONSORED ADR		17.530	23.410	1,428.01	358.68	50	3.53%
	(NETHERLANDS)					33.54 %		
	SYMBOL: ING							
	60 ING	06/05/03	17.480		1,404.60	355.80	50	
	1 ING	09/26/03	20.520		23.41	2.89	1	
25	J P MORGAN CHASE & COMPANY	07/15/02	28.856	36.730	918.25	196.85	34	3.70%
	SYMBOL: JPM					27.29 %		
40	KT CORPORATION SPONSORED ADR	04/04/02	24.003	19.070	762.80	(197.32)	14	1.89%
	SYMBOL: KTC					(20.55)%		
160	KONINKLIJKE AHOLD N V		9.417	7.760	1,241.60	(265.12)		
	SPON ADR NEW (NETHERLANDS)					(17.60)%		
	SYMBOL: AHO							
	70 AHO	11/18/02	11.999		543.20	(296.73)		
	90 AHO	12/17/03	7.409		698.40	31.59		
60	KROGER COMPANY	10/15/02	14.500	18.510	1,110.60	240.60		
	SYMBOL: KR					27.66 %		
60	LAFARGE COPPEE S A	06/05/03	16.394	22.370	1,342.20	358.56	29	2.18%
	SPON ADR NEW					36.45 %		
	SYMBOL: LR							
25	LOEWS CORPORATION	12/03/01	57.230	49.450	1,236.25	(194.50)	15	1.21%
	SYMBOL: LTR					(13.59)%		
625	LUCENT TECHNOLOGIES	08/08/02	1.456	2.840	1,775.00	865.00		
	INCORPORATED					95.05 %		
	SYMBOL: LU							
50	MARKS & SPENCER GROUP PLC	12/11/03	29.213	31.041	1,552.05	91.40	53	3.41%
	SPONSORED ADR					6.26 %		
	SYMBOL: MAKSY							

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
25	MERCK & COMPANY INCORPORATED	11/25/03	41.520	46.200	1,155.00	117.00	37	3.20%
	SYMBOL: MRK					11.27 %		
95	MICRON TECHNOLOGY INCORPORATED		15.760	13.470	1,279.65	(217.55)		
	SYMBOL: MU					(14.53)%		
	55 MU	09/06/02	17.750		740.85	(235.40)		
	40 MU	11/25/03	13.024		538.80	17.84		
30	MILLEA HOLDINGS INCORPORATED ADR	12/03/01	39.200	67.111	2,013.33	837.33	11	0.53%
	SYMBOL: MLEA					71.20 %		
220	MITSUBISHI TOKYO FINL GROUP SPONSORED ADR (JAPAN)	12/03/01	6.798	7.830	1,722.60	227.04	7	0.38%
	SYMBOL: MTF					15.18 %		
70	MOTOROLA INCORPORATED	12/03/01	16.800	14.000	980.00	(196.00)	11	1.14%
	SYMBOL: MOT					(16.67)%		
30	NESTLE S A SPONSORED ADR (SWITZERLAND)		53.281	62.462	1,873.86	275.43	32	1.70%
	SYMBOL: NSRGY					17.23 %		
	20 NSRGY	01/27/03	51.352		1,249.24	222.20	21	
	10 NSRGY	09/24/03	57.139		624.62	53.23	11	
95	NIPPON TELEG & TEL CORPORATION SPONSORED ADR (JAPAN)	12/03/01	19.678	24.560	2,333.20	463.79	19	0.80%
	SYMBOL: NTT					24.81 %		
55	PETROLEO BRASILEIRO SA PETROBR SPONSORED ADR (BRAZIL)	12/03/01	20.531	26.660	1,466.30	337.10	10	0.65%
	SYMBOL: PBR.A					29.85 %		
105	REPSOL YPF S A SPONSORED ADR (SPAIN)	12/03/01	14.379	19.550	2,052.75	542.96	38	1.85%
	SYMBOL: REP					35.96 %		
20	REUTERS GROUP PLC SPONSORED ADR (UNITED KINGDOM)	04/09/02	46.945	25.349	506.98	(431.92)	19	3.73%
	SYMBOL: RTRSY					(46.00)%		
40	SBC COMMUNICATIONS INCORPORATED	12/03/01	37.933	26.070	1,042.80	(414.52)	50	4.79%
	SYMBOL: SBC					(31.27)%		

2622

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
50	SAFEWAY INCORPORATFD COM NEW SYMBOL: SWY	09/03/02	25.353	21.910	1,095.50	(172.15) (13.58)%		
115	SCHERING PLOUGH CORPORATION SYMBOL: SGP		27.601	17.390	1,999.85	(1,174.27) (37.00)%	25	1.27%
	65 SGP	12/03/01	36.193		1,130.35	(1,222.20)	14	
	50 SGP	10/06/03	16.431		869.50	47.95	11	
40	SHERWIN WILLIAMS COMPANY SYMBOL: SHW	12/03/01	27.990	34.740	1,389.60	270.00 24.12 %	25	1.78%
50	SPRINT CORPORATION COM FON GROUP SYMBOL: FON	12/03/01	21.899	16.420	821.00	(273.95) (25.02)%	25	3.05%
235	SUMITOMO MITSUI FINL GROUP INCORPORATED ADR (JAPAN) SYMBOL: SMFJY	10/28/02	3.712	5.328	1,252.08	379.76 43.53 %	9	0.69%
15	TELE CENTRO OESTE CELULAR PARTCIPACOES S A ADR PFD (BRAZIL) SYMBOL: TRO			9.850	147.75			
33	TELECOM ITALIA S P A NEW SPON ADR ORD (ITALY) SYMBOL: TI	01/30/03	22.896	29.700	980.10	224.53 29.72 %		
45	TELECOMUNICACOES BRASILEIRAS S SPONSORED ADR (BRAZIL) SYMBOL: TBH	12/03/01	36.200	33.820	1,521.90	(107.10) (6.57)%	28	1.87%
45	TELEFONICA S A SPONSORED ADR (SPAIN) SYMBOL: TEF	12/03/01	37.672	44.190	1,988.55	293.31 17.30 %		
45	TELEFONOS DE MEXICO S A SPON ADR ORD L (MEXICO) SYMBOL: TMX	12/03/01	33.290	33.030	1,486.35	(11.70) (0.78)%	51	3.42%
80	TENET HEALTHCARE CORPORATION SYMBOL: THC	12/03/03	15.500	16.050	1,284.00	44.00 3.55 %		

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2623

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
55	TOYS R US INCORPORATED SYMBOL: TOY	12/03/01	20.990	12.640	695.20	(459.25) (39.78)%		
30	UST INCORPORATED SYMBOL: UST	12/03/01	35.976	35.690	1,070.70	(8.58) (0.79)%	60	5.60%
20	UNILEVER N V N Y SHS NEW (NETHERLANDS) SYMBOL: UN	07/18/03	55.016	64.900	1,298.00	197.68 17.97 %	30	2.31%
50	UNISYS CORPORATION SYMBOL: UIS	12/03/01	11.882	14.850	742.50	148.40 24.98 %		
25	VERIZON COMMUNICATIONS SYMBOL: VZ	12/03/01	47.590	35.080	877.00	(312.75) (26.29)%	39	4.39%
120	VOLKSWAGEN AG SPONSORED ADR (GERMANY) SYMBOL: VLKAY	07/11/03	8.369	11.188	1,342.56	338.28 33.68 %	27	2.01%
180	XEROX CORPORATION SYMBOL: XRX	12/03/01	8.332	13.800	2,484.00	984.24 65.63 %		
75	ZURICH FINL SVCS SPONSORED ADR (SWITZERLAND) SYMBOL: ZFSVY	12/03/01	25.563	14.393	1,079.48	(837.75) (43.70)%		
30	DAIMLERCHRYSLER AG ORD (GERMANY) SYMBOL: DCX		32.420	46.220	1,386.60	414.00 42.57 %	48	3.49%
	15 DCX	03/11/03	27.383		693.30	282.56	24	
	15 DCX	09/10/03	37.458		693.30	131.43	24	
Total value for priced Stocks					**$81,773.12**	**$3,724.17**	**$1,469**	
Total value for priced Equities/Options					**$81,773.12**	**$3,724.17**	**$1,469**	
TOTAL VALUE FOR PRICED PORTFOLIO & PROJECTED INCOME					**$84,815.87**	**$3,724.17**	**$1,481**	

The cost information provided is believed to be accurate but should not be used for tax reporting purposes. Please read the following conditions as they may pertain to one or more of your investments: any gain/loss information shown for fixed income Unit Investment Trusts reflects cost information that is adjusted for return of principal; if cost information has not been adjusted for return of principal, then gain/loss information is not shown; Master Limited Partnership gain/loss information does not reflect return of principal; mutual fund reinvestments of dividend or capital gain distributions are excluded from 'Amount Invested', but are included in 'Total Cost Basis'; Discount bonds and bonds purchased at a premium are not adjusted for bond discount accretion or bond premium amortization; unrealized gains/losses are not calculated for zero discount coupon bonds.

2624

REALIZED CAPITAL GAINS AND LOSSES

SHORT TERM

Quantity	Description	Symbol/CUSIP	OPENING TRANSACTION Date	OPENING TRANSACTION Value	CLOSING TRANSACTION Date	CLOSING TRANSACTION Value	Gain/(Loss)
525	NORTEL NETWORKS CORPORATION	NT	06/06/02	740.25	05/30/03	1,658.92	918.67
0.149	ABN AMRO HLDG NV	ABN	06/04/03	2.63	06/04/03	2.90	0.27
0.008	TELECOM ITALIA S P A NEW	TI	01/30/03	0.20	08/21/03	0.22	0.02
0.056	ABN AMRO HLDG NV	ABN	09/10/03	0.98	09/11/03	1.01	0.03
0.579	ING GROUP N V SPONSORED ADR	ING	09/26/03	11.88	09/29/03	11.50	(0.38)
Total Short Term Gain/(Loss)				$755.84		$1,674.55	$918.81

LONG TERM

Quantity	Description	Symbol/CUSIP	OPENING TRANSACTION Date	OPENING TRANSACTION Value	CLOSING TRANSACTION Date	CLOSING TRANSACTION Value	Gain/(Loss)
35	EXELON CORPORATION	EXC	12/03/01	1,562.96	01/15/03	1,893.11	330.15
35	DU PONT E I DE NEMOURS &	DD	12/03/01	4,528.10	02/25/03	1,260.89	(3,267.21)
0.900	TELEFONICA S A	TEF	12/03/01	34.58	03/18/03	24.22	(10.36)
0.900	TELEFONICA S A	TEF	12/03/01	33.91	05/22/03	28.79	(5.12)
50	HEWLETT PACKARD COMPANY	HPQ	12/03/01	1,089.71	07/01/03	1,052.98	(36.73)
20	HSBC HLDGS PLC	HBC	12/03/01	1,198.54	07/15/03	1,224.94	26.40
30	B A S F A G SPONSORED ADR	BF	12/03/01	1,131.75	09/04/03	1,404.68	272.93
40	MCDONALDS CORPORATION	MCD	12/03/01	1,083.46	09/18/03	950.20	(133.26)
70	EDISON INTERNATIONAL	EIX	03/04/02	1,144.25	09/23/03	1,330.86	186.61
0.500	HYPO REAL ESTATE HLDG AG	HREHY	03/07/02	13.02	10/13/03	7.82	(5.20)
60	AMERICA MOVIL S A DE C V	AMX	12/03/01	1,031.35	11/19/03	1,508.92	477.57
60	PG&E CORPORATION	PCG	12/03/01	1,114.60	11/21/03	1,474.44	359.84
75	UNISYS CORPORATION	UIS	12/03/01	891.12	11/24/03	1,222.44	331.32
40	WASTE MGMT INCORPORATED DEL	WMI	12/03/01	1,157.64	11/26/03	1,158.58	0.94
30	AMERICA MOVIL S A DE C V	AMX	12/03/01	515.68	12/11/03	784.75	269.07
35	TYCO INTERNATIONAL LIMITED NEW	TYC	02/15/02	936.25	12/15/03	881.98	(54.27)

2625

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

5

2004 MAY 14 PM 12: 30

OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES

(Office Use Only)

John Paul Kline JR.
(Full Name)

10085 170th Street West
(Mailing Address)

Lakeville MN 55044

Daytime Telephone: (202) 225-2271

Filer Status	☑ Member of the U.S. House of Representatives — State: MN District: 2	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☑
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John Kline	5/13/04

2626

Name	JOHN KLINE	Page 2 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
SP	U.S. GOVERNMENT (DFAS)	MILITARY RETIREMENT	

For payments to charity in lieu of honoraria, use Schedule II.

2627

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
SP, DC, JT	Examples: SP Mega Corp. Stock	X	DIVIDENDS	$201 – $1,000	P
	DC Simon & Schuster	Indefinite	RENT; Royalties	$100,001 – $1,000,000	
	JT 1st Bank of Paducah, KY accounts	X	INTEREST	$2,501 – $5,000	
JT	MORGAN STANLEY PREMIER MONEY MKT	X	INTEREST	$1 – $200	
JT	AIM INT'L GLOBAL GROWTH	X			
JT	DIAMETRICS MEDICAL INC	X			S
JT	FIDELITY FIXED INCOME	X	INTEREST	$201 – $1,000	
JT	FRANKLIN VALUE	X	DIVIDENDS	$1 – $200	
JT	STELLENT INC	X			

For additional assets and unearned income, use next page.

2628

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHN KLINE

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	MORGAN STANLEY PREMIER MONEY MARKET								X				X					
SP	AIM INT'L GLOBAL GROWTH		X									X						
SP	HARBOR FUND CAPITAL		X					X										
SP	THIRD AVENUE VALUE		X					X										
SP	TWEEDY BROWNE FUND		X					X					X					
SP	ALLIANCE BERNSTEIN GROWTH		X									X						
SP	ADVANTAGE FIXED ANNUITY			X					X									
SP	LINCOLN FINANCIAL ANNUITY		X					X					X					
SP	SONTRAMED STOCK		X									X						
SP	HOUSTON COUNTY SHELDON FARM (530 ACRES)			X									X					
SP	AMERICAN EXPRESS ANNUITY		X						X				X					
	MORGAN STANLEY PREMIER MONEY MARKET			X					X									
	SONTRA MED STOCK		X									X						
	SELECTED AMERICAN SHARES		X					X					X					
	ALLIANCE BERNSTEIN GROWTH											X						
	PFIZER STOCK		X					X					X					

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SCHEDULE IV — TRANSACTIONS

Name JOHN KLINE

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
JT	DIAMETRICS MEDICAL INC STOCK		X		12-30-03	X									

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2630

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

(31)
HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2004 MAY 12 PM 12:55
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Joseph K. Knollenberg
(Full Name)

1130 Park Place Court
(Mailing Address)

Bloomfield Hills, MI 48302

Daytime Telephone: 202-225-5802

Filer Status	[X] Member of the U.S. House of Representatives — State: Michigan District: 9th	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/12/04

2631

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Joseph K. Knollenberg

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X										X								P
DC	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
	The Private Bank Bloomfield Hills, MI					X										X							X									
	Congressional Fed C.U. Washington, DC			X										Non Interest Bearing Account							X											
	Farm-Thru Helen K. Knollenberg Tr Matoon, IL			X										Farm Interest									X									
	Researh-Electro Optics Boulder, Co (7,680 shs)						X							X							X											

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Joseph K. Knollenberg

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Mutual Funds held through Fidelity Brokerage Services LLC Boston, MA (see attached YE stmnts) #X35-088080)							X						X				*, P
	(Note: Was initial financial services LLC to report activity/income)																	
SP	Securities held through National Financial Svs LLC WS Griffith Securities Inc 3131 Camino Del Rio North Ste. 400, San Diego, CA (see attached YE stmts) WRN-016403			X				X						X				*
	Securities held through UBS Financial Serv, Inc-Retirement Wilson Kemp & Assoc Inc. Investment Counsel Detroit, MI (see attached YE stmts)					X		X	X						X			P, S
	Lower Level Rental – 304 N. Carolina Washington, DC																	

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* Additional purchases through dividend reinvestment.

SCHEDULE IV — TRANSACTIONS

Name Joseph K. Knollenberg | Page 4 of 6

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
	Activity through Fidelity Brokerage Services, LLC-Dividends Reinvested #X35-088080				*	X									
SP	Activity through National Financial Services, LLC-WS Griffith Securities, Inc. #WRN-016403-Dividends Reinvested				*	X									
	Activity through UBS Financial Svcs Retirement Wilson, Kemp & Assoc, Inc Investment Counsel	X			Various				X						
			X		Various	X									
	(See Year-End Statements for all above transactions)														

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* Dividends are reinvested throughout the year as dividends and capital gains are received.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
	Fidelity Brokerage Services, LLC	Margin Account					
	HSBC Mortgage Corp., Buffalo, NY (This mortgage was paid off with refinancing proceeds)	Mortgage on Rental Unit		X			
	Private Bank Mortgage Co. Bloomfield Hills, MI	Current Mortgage-Rental Unit		X			

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Excluds: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Public Governance Institute Bipartisan Retreat	Feb 28-Mar 2	Wash DC - West Viginia-Wash DC	Y	N	Y	N

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2636

Fidelity INVESTMENTS

FIDELITY **PREFERRED RETIREMENT** SERVICES℠

ENV# 235313685

JOSEPH K KNOLLENBERG
JOSEPH K KNOLLENBERG LVG TRUST
1130 PARK PLACE CT
BLOOMFIELD MI 48302-1459

2003 Investment Report

January 1, 2003 - December 31, 2003

Online	Fidelity.com
FAST(sm)-Automated Telephone	800-544-5555
Preferred Retirement Services	800-544-6565

Your Asset Allocation on Dec 31, 2003

Stock	87%
Bond	13%
Short-term	0%

▶ New this year, a summary of your realized gain and loss, cost basis, and tax-exempt income from Fidelity funds information for the past year, if applicable, will be mailed with your Form(s) 1099. Now more of the information you need to prepare your taxes will be consolidated on your tax form. Form(s) 1099 and IRA summary statement, Form 5498, are sent under separate cover in January.

Fidelity Account℠ X35-088080 JOSEPH K KNOLLENBERG LVG TRUST U/A 06/25/71 JOSEPH K KNOLLENBERG TRUSTEE

2003 Account Summary

Beginning market value as of Jan 1	$200,289.98
Margin interest paid	-5,103.56
Change in investment value	58,003.33
Change in debit balance	5,103.56
Ending market value as of Dec 31	258,293.31
Debit balance	-97,309.14
Ending Net Value	**$160,984.17**
Your commission schedule	Silver
Account eligible trades from Jan 2003 - Dec 2003	0

Income Summary

Taxable	
Ordinary Dividends	
Dividends	$2,658.00
Tax-exempt	
Dividends	953.58
Total	**$3,611.58**

37

2637

FIDELITY **PREFERRED RETIREMENT** SERVICES℠

2003 Investment Report

January 1, 2003 - December 31, 2003

Fidelity Account℠ X35-088080 JOSEPH K KNOLLENBERG LVG TRUST U/A 06/25/71 JOSEPH K KNOLLENBERG TRUSTEE

Holdings (Symbol) as of 12/31	% of Holdings	Performance December 31, 2003	Income Earned	Quantity	Price per Unit	Total Cost Basis	Total Value
Stock Funds							
FIDELITY STOCK SELECTOR (FDSSX)	13%		$190.49	1,596.936	$20.990	$39,438.64	$33,519.69
FIDELITY EQUITY INCOME II (FEQTX)	16%		423.07	1,775.553	22.780	42,151.21	40,447.10
FIDELITY FUND (FFIDX)	30%		598.57	2,735.381	28.080	73,131.09	76,809.50
JERWEIS EMERGING GROWTH PORTFOLIO (OBEGX)	10%		0.00	917.638	27.050	27,005.72	24,822.10
PBHG GROWTH (PBHGX)	7%		0.00	962.537	17.810	26,399.16	17,142.78
VANGUARD WINDSOR II (VWNFX)	12%		609.17	1,167.500	26.490	27,822.15	30,927.07
Bond Funds							
FIDELITY HIGH INCOME (SPHIX)	4%	*30-day yield: 6.43%*	836.70	1,271.431	8.940	14,488.85	11,366.59
SPARTAN MI MUNICIPAL INCOME (FMHTX)	9%	*30-day yield: 3.23%*	953.58	1,903.313	12.220	21,604.72	23,258.48
Total Market Value as of December 31, 2003							**$258,293.31**
2003 Income Earned			**$ 3,611.58**				
Debit balance							**-97,309.14**
Total Net Value							**$160,984.17**

- Position held in margin account.

Transaction Details of Core Account

Core Account - *Fidelity MI Muni Money Market*

Description	Amount	Balance
Beginning		-$92,205.58
Investment Activity		
Securities bought	-$3,611.58	
Income	3,611.58	
Margin interest	-5,103.58	
Subtotal of Investment Activity	- $5,103.56	
Ending		-$97,309.14

2638

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Fidelity INVESTMENTS

Brokerage Name and Address:
FIDELITY BROKERAGE SERVICES LLC
82 DEVONSHIRE STREET - T2J
BOSTON, MA 02109

2003 Tax Reporting Statement		
Account No.	Taxpayer ID	Page
X35-088080	321-34-1325	1 of 2

Payer's Name and Address:
NATIONAL FINANCIAL SERVICES LLC
200 LIBERTY ST. 5TH FLR
NEW YORK, NY 10281-5500

0088737 02 AT 0.817 **AUTO T2 9 0886 46302-146930 R A 01

JOSEPH K KNOLLENBERG
JOSEPH K KNOLLENBERG LVG TRUST
1130 PARK PLACE CT
BLOOMFIELD MI 46302-1459

Federal ID Number: 04-3523567

Customer Service: 800-544-6666
Visit Us Online: Fidelity.com

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Form 1099-DIV *	2003 Dividends and Distributions	OMB NO. 1545-0110 Copy B for Recipient	Amount
1a Total Ordinary Dividends			2,658.00
1b Qualified Dividends			1,821.30
2a Total Capital Gain Distributions (Includes 2b - 2f)			0.00
2b Capital Gains that represent Post-May 5 Gain			0.00
2c Capital Gains that represent Qualified 5-Year Gain			0.00
2d Capital Gains that represent Unrecaptured Section 1250 Gain			0.00
2e Capital Gains that represent Section 1202 Gain			0.00
2f Capital Gains that represent Collectibles (28%) Gain			0.00
3 Non-Taxable Distributions			0.00
4 Federal Income Tax Withheld			0.00
5 Investment Expenses			0.00
6 Foreign Tax Paid			0.00
7 Foreign Country or U.S. Possession			
8 Cash Liquidation Distributions			0.00
9 Non-Cash Liquidation Distributions			0.00

Form 1099-INT *	2003 Interest Income	OMB NO. 1545-0112 Copy B for Recipient	Amount
1 Interest Income not Included in Line 3			0.00
2 Early Withdrawal Penalty			0.00
3 Interest on U.S. Savings Bonds and Treas. Obligations			0.00
4 Federal Income Tax Withheld			0.00
5 Investment Expenses			0.00
6 Foreign Tax Paid			0.00
7 Foreign Country or U.S. Possession			

Form 1099-MISC *	2003 Miscellaneous Income	OMB NO. 1545-0115 Copy B for Recipient	Amount
2 Royalties			0.00
4 Federal Income Tax Withheld			0.00

Summary of 2003 Proceeds From Broker and Barter Exchange Transactions	Amount
Gross Proceeds Less Commissions	0.00†
Federal Income Tax Withheld	0.00†

†Gross Proceeds from each of your security transactions are reported individually to the IRS. Refer to the 1099-B section of this statement. Report gross proceeds individually for each security on the appropriate IRS tax return. Do not report gross proceeds in aggregate.

Summary of 2003 Original Issue Discount	Amount
Total Original Issue Discount	0.00‡
Total Original Issue Discount on U.S. Treasury Obligations	0.00‡

‡Original Issue Discount (OID) amounts are reported individually to the IRS. Refer to the Original Issue Discount detail section of this statement.

*This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and it is not reported. Please see the enclosed important instructions.

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Fidelity Investments

1099 Supplemental Information		
Account No.	Taxpayer ID.	Page
X35-088080	321-34-1325	1 of 4

JOSEPH K KNOLLENBERG
JOSEPH K KNOLLENBERG LVG TRUST
1130 PARK PLACE CT
BLOOMFIELD MI 48302-1459

Customer Service: 800-544-6666
Visit Us Online: Fidelity.com

Detail Information — 1099-DIV — Dividends and Distributions

Description	CUSIP	Date	Amount	Total
This detail information is not reported to the IRS. It is provided for your assistance in tax return preparation.				
Total Ordinary Dividends - LINE 1a				
Dividend Distributions				
FIDELITY HIGH INCOME	316146406	01/31/03	55.53	
		02/28/03	50.54	
		03/31/03	57.41	
		04/30/03	51.58	
		05/30/03	59.05	
		06/30/03	54.81	
		07/31/03	57.95	
		08/29/03	58.73	
		09/30/03	56.57	
		10/31/03	58.80	
		11/28/03	57.87	
		12/31/03	217.86	836.70
		Total Dividend Distributions		836.70
Qualified Dividends - LINE 1b				
FIDELITY STOCK SELECTOR	316066307	12/05/03	190.49	190.49
FIDELITY EQUITY INCOME II	316145101	03/07/03	105.25	
		06/06/03	88.03	
		09/12/03	88.25	
		12/19/03	141.54	423.07
FIDELITY FUND	316153105	03/07/03	135.57	
		06/06/03	81.53	
		09/12/03	163.27	
		12/19/03	218.20	598.57
VANGUARD WINDSOR II	922018205	06/20/03	285.76	[illegible]
		12/19/03	323.41	609.17
		Total Qualified Dividend Distributions		1,821.30
		Total Ordinary Dividends - LINE 1a (Includes 1a & 1b)		2,658.00 #

Distributions from short-term gains are non-qualified dividends. A portion of the distribution declared as a short-term gain may be taxed as a qualified dividend and subject to the lower capital gain tax rate. Any portion of the short-term gain distribution which is taxed at the capital gain rate is included as qualified dividends on Line 1b.

3.2

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2003 Supplemental Information		
Account No.	Taxpayer ID	Page
X35-088080	321-34-1325	2 of 4

Fidelity

JOSEPH K KNOLLENBERG
JOSEPH K KNOLLENBERG LVG TRUST
1130 PARK PLACE CT
BLOOMFIELD MI 48302-1459

Customer Service: 800-544-6666
Visit Us Online: Fidelity.com

Other Information For Tax Return Preparation

The information on this and the following pages is not reported to the IRS. It is provided for your assistance in tax return preparation.

	Amount
Tax-Exempt Dividends and Interest	953.58
Margin Interest Paid	5,103.58
Return of Principal	0.00
Accrued Interest Paid on Purchases	0.00
Short-Term Realized Gain/Loss	0.00
Long-Term Realized Gain/Loss	0.00

Detail Information — Tax-Exempt Dividends and Interest

This information is not reported to the IRS. It is provided for your assistance in tax return preparation.

Description	CUSIP	Date	Tax-Exempt Amount	Total
Tax-Exempt Dividends				
SPARTAN MI MUNICIPAL INCOME	316412204	01/31/03	79.55	
		02/28/03	71.66	
		03/31/03	81.04	
		04/30/03	78.92	
		05/30/03	81.79	
		06/30/03	78.51	
		07/31/03	80.74	
		08/29/03	82.37	
		09/30/03	78.12	
		10/31/03	80.56	
		11/28/03	78.76	
		12/31/03	81.56	953.58 #
			Total Tax-Exempt Dividends	953.58
			Total Tax-Exempt Dividends and Interest	953.58

- See additional details in Tax-Exempt Income from Fidelity Funds section which follows.

3

3.3

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Fidelity

1099-INC20 Supplemental Information		
Account No.	Taxpayer ID	Page
X35-088080	321-34-1325	3 of 4

JOSEPH K KNOLLENBERG
JOSEPH K KNOLLENBERG LVG TRUST
1130 PARK PLACE CT
BLOOMFIELD MI 48302-1459

Customer Service: 800-544-6666
Visit Us Online: Fidelity.com

Detail Information — Tax-Exempt Income from Fidelity Funds

This information is not reported to the IRS. It is provided for your assistance in tax return preparation.

Description / CUSIP	Federal Tax-Exempt Dividends	State/Local Tax-Exempt Dividends	State/Local Taxable Dividends	Alternative Minimum Tax
SPARTAN MI MUNICIPAL INCOME / 316412204	953.58	953.58	0.00	21.10
Totals	953.58	953.58	0.00	21.10

3

Detail Information — Margin Interest Paid

This information is not reported to the IRS. It is provided for your assistance in tax return preparation.

Description	Date	Total
MARGIN INTEREST @ 5.325% AVG B	01/20/03	286.41
MARGIN INTEREST @ 5.325% AVG B	02/20/03	424.11
MARGIN INTEREST @ 5.325% AVG B	03/20/03	384.83
MARGIN INTEREST @ 5.325% AVG B	04/20/03	427.82
MARGIN INTEREST @ 5.325% AVG B	05/20/03	415.82
MARGIN INTEREST @ 5.325% AVG B	06/22/03	459.54
MARGIN INTEREST @ 5.325% AVG B	07/01/03	125.94
MARGIN INTEREST @ 5.325% AVG B	07/20/03	265.88
MARGIN INTEREST @ 5.325% AVG B	08/20/03	435.60
MARGIN INTEREST @ 5.325% AVG B	09/21/03	451.71
MARGIN INTEREST @ 5.325% AVG B	10/20/03	411.30
MARGIN INTEREST @ 5.325% AVG B	11/20/03	441.55
MARGIN INTEREST @ 5.325% AVG B	12/21/03	443.58
MARGIN INTEREST @ 5.325% AVG B	12/30/03	129.37
	Total Margin Interest Paid	5,103.58

34

031231 280 001103079
WS GRIFFITH SECURITIES, INC.
3131 CAMINO DEL RIO NORTH
SUITE 400
SAN DIEGO, CA 92108

Account Number: WRN-016403

WS Griffith

SANDRA R KNOLLENBERG TTEE
SANDRA R KNOLLENBERG LIVING TR
U/A 4/19/00
1130 PARK PLACE CT
BLOOMFIELD HILLS MI 48302

YOUR INVESTMENT REPRESENTATIVE
M BRASSER/FBANK BLOOMFIELD
RRP: 4CX

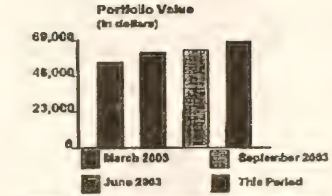
FOR QUESTIONS OR UP-TO-DATE ACCOUNT INFORMATION:
Local 248 644 2301

Statement Date: 12/01/03 to 12/31/03

SNAPSHOT

TOTAL PORTFOLIO
$68,692.76

PORTFOLIO VALUE	This Period	Last Period
Cash and Cash Equivalents	$8,828.39	$8,813.46
Securities	$59,863.37	$57,528.38
TOTAL PORTFOLIO VALUE	$68,692.76	$66,341.84



A portfolio value less than $100.00 may not be displayed.

ACCOUNT ACTIVITY	This Period	Year to Date
Net Trading	($1,190.17)	($1,323.57)
Net Core Fund Activity	($15.93)	($187.70)
Net Income and Expenses	$1,206.10	$1,511.27

LEGEND
() Numbers in parentheses are debits or subtractions
NFS = National Financial Services LLC

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: WRN-016403
Account Name: KNOLLENBER

Statement Date: 12/01/2003 to 12/31/2003

WS Griffith

SUMMARY

ALERT: Taxable income is determined based on information available to NFS at the time the statement was prepared, and is subject to change. Final information on taxation of interest and dividends is available on Form 1099-Div, which is mailed in January of the subsequent year.

Cash and Cash Equivalents		
Money Markets	$8,820.39	$8,813.46
Securities		
Equities		
Equity	$13,039.20	$12,620.36
Mutual Funds		
Equity	$46,824.17	$45,008.02
Total Securities	$59,863.37	$57,628.38
TOTAL PORTFOLIO VALUE	$68,692.76	$66,341.84

BEGINNING BALANCE	$0.00	
Trading		
Securities Purchased	($1,190.17)	($1,323.57)
NET TRADING	($1,190.17)	($1,323.57)
Core Fund Activity		
Core Funds Purchased	($15.93)	($187.70)
NET CORE FUND ACTIVITY	($15.93)	($187.70)
Income and Expenses		
Taxable Income		
Taxable Dividends	$68.96	$374.13
Long-Term Capital Gain	$1,086.91	$1,086.91
Short-Term Capital Gain	$51.23	$51.23
NET TAXABLE INCOME	$1,206.10	$1,511.27
TOTAL INCOME	$1,206.10	$1,511.27
NET INCOME AND EXPENSES	$1,206.10	$1,511.27
ENDING BALANCE	$0.00	

Money Markets	12.85%
Equity	67.15%

Allocations for equities, fixed income, and other categories may include mutual funds and may be net of short positions. NFS has made assumptions concerning how certain mutual funds are allocated. Closed-end mutual funds listed on an exchange may be included in the equity allocation. The chart may not reflect your actual portfolio allocation. Consult your financial advisor prior to making investment decisions.

DETAIL

WS Griffith Securities, Inc.
See last page for important information about your brokerage account and this statement.
Account carried with National Financial Services LLC, Member NYSE, SIPC

WS Griffith

COST BASIS

As a default for open-end mutual funds, NFS determines cost basis using the average cost-single category (ACSC) method. However, if the actual fund position's cost basis tracking method is Identified cost (ID COST), that identification is reflected. For all other securities, NFS determines cost basis using the ID Cost method. NFS' cost basis information may not correspond to a customer's cost basis information records if a customer used the specific shares identification method prior to the time that such method tracking was made available by NFS. Such cost basis, unrealized gain/loss, and holding period information may not reflect all adjustments necessary for tax reporting purposes. Cost basis information for debt securities has not been adjusted for amortization or accretion.

CASH AND CASH EQUIVALENTS 12.85%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/03	Current Market Value	Prior Market Value	Estimated Annual Income
Money Markets						
PRIME FUND - CAPITAL RESERVES CLASS 7 DAY AVG MET YIELD .22% Estimated Yield 0.34% Dividend Option Reinvest Capital Gain Option Reinvest	FPRXX CASH	6,829.39	$1.00	$6,829.39	$6,813.46	$30.72
Total Cash and Cash Equivalents				$6,829.39		$30.72

EQUITIES 18.99%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/03	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
Equity								
AT&T WIRELESS SVCS INC Dividend Option Cash Capital Gain Option Cash	AWE CASH	68	$7.99	$543.32	$610.00		$3,026.53 T	($2,483.21)
CISCO SYS INC Dividend Option Cash Capital Gain Option Cash	CSCO CASH	90	$24.23	$2,328.06	$2,179.20			
DU PONT E I DE NEMOURS & CO Estimated Yield 3.05% Dividend Option Cash Capital Gain Option Cash	DD CASH	41	$45.49	$1,881.49	$1,898.66	$57.40	$2,982.52 T	($1,101.03)
GENERAL ELECTRIC CO Estimated Yield 2.58% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/26/04	GE CASH	84	$30.88	$2,602.32	$2,468.28	$67.20	$2,976.03 T	($373.71)

WS Griffith Securities, Inc.

Account carried with National Financial Services LLC, Member NYSE, SIPC

APR.27.2004 9:45AM

Account Number: WRN-016403
Account Name: KNOLLENBER

Statement Date: 12/01/2003 to 12/31/2003

WS Griffith

EQUITIES 18.99%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/03	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
MICROSOFT CORP Estimated Yield 0.56% Dividend Option Cash Capital Gain Option Cash	MSFT CASH	70	$27.37	$1,916.90	$1,799.70	$11.20	$2,561.32 T	($645.43)
SUN MICROSYSTEMS INC Dividend Option Cash Capital Gain Option Cash	SUNW CASH	72	$4.47	$321.84	$306.72			
WAL-MART STORES INC Estimated Yield 0.67% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/05/04	WMT CASH	65	$53.06	$3,448.25	$3,016.00	$23.40	$3,016.84 T	$432.41
Total Equity				$13,039.20		$169.20	$14,875.24	($4,483.96)
Total Equities				$13,039.20		$169.20	$14,875.24	($4,483.96)

MUTUAL FUNDS 68.16%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/03	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
Equity								
FIDELITY ADVISOR HEALTH CARE CL A Dividend Option Reinvest Capital Gain Option Reinvest	FACDX CASH	710.766	$19.03	$13,528.50	$12,671.95		$14,035.99 T	($507.49)
GABELLI VALUE FD INC Dividend Option Reinvest Capital Gain Option Reinvest	GABVX CASH	960.179	$17.97	$17,012.82	$16,761.45			
JOHN HANCOCK REGIONAL BANK CL A Estimated Yield 1.27% Dividend Option Reinvest Capital Gain Option Reinvest	FRBAX CASH	378.736	$41.63	$15,682.85	$15,374.82	$199.92	$18,869.14 T	($3,027.29)
Total Equity				$46,624.17		$199.92	$32,705.13	($3,494.78)
Total Mutual Funds				$46,624.17		$199.92	$32,705.13	($3,494.78)
Total Securities				$59,663.37		$369.02	$47,580.37	($7,978.74)

APR.27.2004 9:45AM

Account carried with National Financial Services LLC, Member NYSE, SIPC

WS Griffith

TOTAL PORTFOLIO VALUE	$48,892.76	$389.74	$47,894.37	($7,978.74)

[redacted]

NFS determines the appropriate cost basis method for calculating gain and loss based on positions held at the time of sale. As a default for open-end mutual funds, NFS determines cost basis using the average cost single category (ACSC) method. However, if the mutual fund position's cost basis tracking method is identified cost (ID COST), then, unless otherwise instructed, NFS determines cost basis using the first-in, first-out (FIFO) method. For all other securities, NFS determines cost basis using the FIFO method if NFS does not receive appropriate specific share instructions. NFS determines cost basis using the specific share identification (SS) method if NFS receives appropriate specific share instructions; however, such cost basis information may not correspond to a customer's cost basis information records if a customer used the specific share identification method prior to the time that such method tracking was made available by NFS. Such cost basis, associated gain and loss, and holding period information may not reflect all adjustments necessary for tax reporting purposes. Cost basis information for debt securities has not been adjusted for amortization or accretion.

TRADING

Securities Purchased

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Total Cost Basis	Realized Gain (Loss)
12/19/03	CASH	REINVESTMENT	JOHN HANCOCK REGIONAL BANK CL A REINVESTED @ $40.71	1.264	($51.23)	$51.23	
12/19/03	CASH	REINVESTMENT	JOHN HANCOCK REGIONAL BANK CL A REINVESTED @ $40.71	1.303	($53.03)	$53.03	
12/16/03	CASH	REINVESTMENT	JOHN HANCOCK REGIONAL BANK CL A REINVESTED @ $40.71	20.949	($852.62)	$852.62	
12/29/03	CASH	REINVESTMENT	GABELLI VALUE FD INC REINVESTED @ $17.65	12.946	($233.09)	$233.09	
Net Securities Purchased					($1,190.17)		

NET TRADING ($1,190.17)

CORE FUND ACTIVITY

2647

APR.27.2004 9:45AM

WS Griffith Securities, Inc.

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: WRN-016403
Account Name: KNOLLENBER

Statement Date: 12/01/2003 to 12/31/2003

WS Griffith

Core Funds Purchased

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/12/03	CASH	YOU BOUGHT	PRIME FUND - CAPITAL RESERVES CLASS @ 1	14.35	($14.35)
12/31/03	CASH	REINVESTMENT	PRIME FUND - CAPITAL RESERVES CLASS REINVESTED @ $1.00	1.58	($1.58)
Net Core Funds Purchased					($15.93)
NET CORE FUND ACTIVITY					($15.93)

INCOME AND EXPENSES

Taxable Income

Date	Account Type	Transaction	Description	Quantity	Amount
Taxable Dividends					
12/14/03	CASH	DIVIDEND RECEIVED	DU PONT E I DE NEMOURS & CO		$14.35
12/15/03	CASH	DIVIDEND RECEIVED	JOHN HANCOCK REGIONAL BANK CL A		$53.03
12/31/03	CASH	DIVIDEND RECEIVED	PRIME FUND - CAPITAL RESERVES CLASS DIVIDEND RECEIVED		$1.58
Long-Term Capital Gain					
12/15/03	CASH	LONG CAP GAIN	JOHN HANCOCK REGIONAL BANK CL A		$852.82
12/29/03	CASH	LONG CAP GAIN	GABELLI VALUE FD INC		$733.09
Short-Term Capital Gain					
12/15/03	CASH	SHORT CAP GAIN	JOHN HANCOCK REGIONAL BANK CL A		$51.23
Net Taxable Income					$1,206.10
Total Income					$1,206.10
NET INCOME AND EXPENSES					$1,206.10

WS Griffith Securities, Inc.

Account carried with National Financial Services LLC, Member NYSE, SIPC

WS Griffith

[illegible]

As a default for open-end mutual funds, NFS determines cost basis using the average cost-single category (ACSC) method. However, if the mutual fund position's cost basis tracking method is identified cost (ID COST), that identification is reflected. For all other securities, NFS determines cost basis using the ID Cost method. NFS' cost basis information may not correspond to a customer's cost basis information records if a customer used the specific share identification method prior to the time that such method tracking was made available by NFS. Such cost basis, associated gain/loss, and holding period information may not reflect all adjustments necessary for tax reporting purposes. Cost basis information for debt securities has not been adjusted for amortization or accretion. This section is as of trade date.

EQUITIES

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/03	Quantity	Market Value	Cost Basis/ Proceeds		Unrealized Gain (Loss)	Holding Period
AT&T WIRELESS SVCS INC	CASH	05/03/00	AWE	$7.90	68	$543.37	$3,036.53	T	($2,493.21)	LT
CISCO SYS INC	CASH	08/24/01	CSCO	$24.23	56	$2,326.08	Unknown			
DU PONT E I DE NEMOURS & CO	CASH	05/16/89	DD	$45.86	41	$1,881.49	$2,882.52	T	($1,001.03)	LT
GENERAL ELECTRIC CO	CASH	05/04/99	GE	$30.96	64	$2,082.32	$2,979.03	T	($896.71)	LT
MICROSOFT CORP	CASH	05/06/99	MSFT	$27.37	70	$1,915.90	$2,861.32	T	($945.42)	LT
SUN MICROSYSTEMS INC	CASH	08/24/01	SUNW	$4.47	72	$321.84	Unknown			
WAL-MART STORES INC	CASH	06/05/99	WMT	$53.06	65	$3,448.23	$3,015.84	T	$432.41	LT
Total Equities					496	$13,032.30	Unknown			

MUTUAL FUNDS

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/03	Quantity	Market Value	Cost Basis/ Proceeds		Unrealized Gain (Loss)	Holding Period
FIDELITY ADVISOR HEALTH CARE CL A		Various	FACDX	$19.09	710.366	$13,558.50	$14,035.99	T	($497.49)	LT
JOHN HANCOCK REGIONAL BANK CL A		Various	FRBAX	$41.53	27.092	$1,127.84	$1,346.12	T	($218.28)	ST
		Various			348.643	$14,513.81	$17,323.02	T	($2,809.01)	LT
	Position Total		FRBAX		375.735	$15,641.65	$18,669.14		($3,027.29)	
GABELLI VALUE FD INC		Various	GABVX	$17.97	969.179	$17,413.82	Unknown			

WS Griffith Securities, Inc.

Account carried with National Financial Services LLC, Member NYSE, SIPC

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WS|Griffith

MUTUAL FUNDS

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/03	Quantity	Market Value	Cost Basis/ Proceeds	Unrealized Gain/Loss	Holding Period
Total Mutual Funds					2,866.579	$48,624.17	Unknown		

[redacted]

Cost basis and gain(loss) information is included for your convenience and is based on information you provided or that is otherwise known to NFS at the time the statement is issued. The information may not reflect all adjustments or reportable transactions that are required for tax purposes and may not be accurate for tax reporting purposes. It is not a substitute for your own tax records. If you have any questions about your specific tax situation, please consult your tax advisor. Cost basis information is not adjusted for investments in Master Limited Partnerships (MLPs). In order to calculate basis for MLPs, you will need supplemental information from the partnership related to the income and distributions during the period you held your investment.

Y - This information has been provided by a third party. Your Broker/Dealer and NFS are not responsible for its accuracy. LT - Long-Term (Held more than twelve months). ST - Short-term (Held twelve months or less).

2650

WS Griffith Securities, Inc.

Account carried with National Financial Services LLC, Member NYSE, SIPC

0006201 000167976

National Financial Services LLC

Brokerage Name and Address:
WS GRIFFITH SECURITIES, INC.
3131 CAMINO DEL RIO NORTH
SUITE 400
SAN DIEGO, CA 92108

2003 Tax Reporting Statement

Account No.	Taxpayer ID.	Page
WAN-016403	375-38-9786	1 of 2

Payer's Name and Address:
NATIONAL FINANCIAL SERVICES LLC
200 LIBERTY ST. 5TH FLR
NEW YORK, NY 10281-5500

0006201 02 AT 0.617 **AUTO T8 0 0659 48302-146830 C 0 01

SANDRA R KNOLLENBERG LIVING TRUS
SANDRA R KNOLLENBERG
1130 PARK PLACE CT
BLOOMFIELD HILLS MI 48302-1459

Federal ID Number: 04-3523567

Customer Service: 248-644-2301

00002

Form 1099-DIV * 2003 Dividends and Distributions

OMB NO. 1545-0110
Copy B for Recipient

	Amount
1a Total Ordinary Dividends	425.36
1b Qualified Dividends	398.13
2a Total Capital Gain Distributions (Includes 2b - 2f)	1,085.91
2b Capital Gains that represent Post-May 5 Gain	1,037.55
2c Capital Gains that represent Qualified 5-Year Gain	36.95
2d Capital Gains that represent Unrecaptured Section 1250 Gain	0.00
2e Capital Gains that represent Section 1202 Gain	0.00
2f Capital Gains that represent Collectibles (28%) Gain	0.00
3 Non-Taxable Distributions	0.00
4 Federal Income Tax Withheld	0.00
5 Investment Expenses	0.00
6 Foreign Tax Paid	0.00
7 Foreign Country or U.S. Possession	
8 Cash Liquidation Distributions	0.00
9 Non-Cash Liquidation Distributions	0.00

Form 1099-INT * 2003 Interest Income

OMB NO. 1545-0112
Copy B for Recipient

	Amount
1 Interest Income not Included in Line 3	0.00
2 Early Withdrawal Penalty	0.00
3 Interest on U.S. Savings Bonds and Treas. Obligations	0.00
4 Federal Income Tax Withheld	0.00
5 Investment Expenses	0.00
6 Foreign Tax Paid	0.00
7 Foreign Country or U.S. Possession	

Form 1099-MISC * 2003 Miscellaneous Income

OMB NO. 1545-0115
Copy B for Recipient

	Amount
2 Royalties	0.00
4 Federal Income Tax Withheld	0.00

Summary of 2003 Proceeds From Broker and Barter Exchange Transactions

	Amount
Gross Proceeds Less Commissions	0.00†
Federal Income Tax Withheld	0.00†

†Gross Proceeds from each of your security transactions are reported individually to the IRS. Refer to the 1099-B section of this statement. Report gross proceeds individually for each security on the appropriate IRS tax return. Do not report gross proceeds in aggregate.

Summary of 2003 Original Issue Discount

	Amount
Total Original Issue Discount	0.00‡
Total Original Issue Discount on U.S. Treasury Obligations	0.00‡

‡Original Issue Discount (OID) amounts are reported individually to the IRS. Refer to the Original Issue Discount detail section of this statement.

[illegible] information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction

0066201 000187974

National Financial Services LLC

Supplemental Information

Account No.	Taxpayer ID	Page
WRN-016403	375-38-9766	1 of 2

Customer Service: 248-644-2301

SANDRA R KNOLLENBERG LIVING TRUS
SANDRA R KNOLLENBERG
1130 PARK PLACE CT
BLOOMFIELD HILLS MI 48302-1459

Detail Information **1099-DIV — Dividends and Distributions**

Description	CUSIP		Amount
This detail information is not reported to the IRS. It is provided for your assistance in tax return preparation.			
Total Ordinary Dividends - LINE 1a			
Dividend Distributions			
PRIME FUND - CAPITAL RESERVES CLASS	650914203		27.23
		Total Dividend Distributions	27.23
Qualified Dividends - LINE 1b			
DU PONT E I DE NEMOURS & CO	263534109		57.40
GENERAL ELECTRIC CO	369604103		63.84
JOHN HANCOCK REGIONAL BANK CL A	409905106		237.66
MICROSOFT CORP	594918104		16.80
WAL-MART STORES INC	931142103		22.43
		Total Qualified Dividend Distributions	398.13
		Total Ordinary Dividends - LINE 1a (Includes 1a & 1b)	425.36

[B] Distributions from short-term gains are non-qualified dividends. A portion of the distribution declared as a short-term gain may be taxed as a qualified dividend and subject to the lower capital gain tax rate. Any portion of the short-term gain distribution which is taxed at the capital gain rate is included as qualified dividends on Line 1b.

Capital Gain Distributions Subject to 20% Rate Gain			
The portion of Cap. Gain Dists. subject to 20% Rate Gain is equal to Line 2a less amounts shown on lines 2b - 2f.			
JOHN HANCOCK REGIONAL BANK CL A	409905106		11.38
		Total Capital Gain Distributions Subject to 20% Rate Gain	11.38
Capital Gain Distributions (Post-May 5 Gain) - LINE 2b			
GABELLI VALUE FD INC	36240H106		233.09
JOHN HANCOCK REGIONAL BANK CL A	409905106		804.49
		Total Capital Gain Distributions (Post-May 5 Gain) - LINE 2b	1,037.58
Capital Gain Distributions (Qualified 5-Year Gain) - LINE 2c			
JOHN HANCOCK REGIONAL BANK CL A	409905106		36.95
		Total Capital Gain Distributions (Qualified 5-Year Gain) - LINE 2c	36.95
		Total Long-Term Capital Gain Distributions - LINE 2a (Includes 2b - 2f)	1,085.91

3.b

Wilson, Kemp & Associates Inc.
PORTFOLIO SUMMARY
JOSEPH K. KNOLLENBERG, IRA ROLLOVER
A/C #TZ 34389 84
December 31, 2003

Security Type	Total Cost	Market Value	Pct Assets	Est. Annual Income
Equities				
Common Stock				
Cyclical Industries	152,414	217,216	22.25	5,282
Finance	35,099	64,694	6.63	1,428
Science & Technology	199,492	211,885	21.71	2,872
Service & Consumer	149,307	187,556	19.21	3,261
Utilities	52,600	55,890	5.73	2,477
	588,913	737,242	75.53	15,320
	588,913	737,242	75.53	15,320
Bonds				
Government Bonds				
Bonds - Government	200,152	206,375	21.14	9,200
	200,152	206,375	21.14	9,200
Accrued Interest		2,796	0.29	
	200,152	209,171	21.43	9,200
Cash Equivalents				
Cash	29,695	29,695	3.04	110
	29,695	29,695	3.04	110
TOTAL PORTFOLIO	818,761	976,108	100.00	24,630

Wilson, Kemp & Associates Inc.

PORTFOLIO APPRAISAL

JOSEPH K. KNOLLENBERG, IRA ROLLOVER

A/C #TZ 34389 84

December 31, 2003

Quantity	Security	Total Cost	Market Value	Annual Income
Common Stock				
Cyclical Industries				
500	Emerson Electric Company	24,927	32,375	800
1,000	Engelhard Corporation	21,245	29,950	440
450	Kimberly Clark Corp.	21,649	26,590	720
1,000	Marathon Oil Corp.	22,455	33,090	1,000
900	Plum Creek Timber Co. LP	20,593	27,405	1,260
1,500	Rockwell Automation Inc	21,349	53,400	990
600	Transocean Inc.	20,196	14,406	72
		152,414	217,216	5,282
Finance				
700	Charter One Financial	20,705	24,185	728
700	Morgan Stanley	14,394	40,509	700
		35,099	64,694	1,428
Science & Technology				
1,000	Gartner Group	23,377	11,310	0
1,000	Honeywell Int'l Inc.	22,255	33,430	750
1,000	Intel Corporation	19,492	32,050	160
350	International Business Machines	34,573	32,438	224
600	Johnson & Johnson	20,755	30,996	576
60	Medco Health Solutions Com	1,683	2,039	0
500	Merck & Company	29,753	23,100	740
1,000	Microsoft Corporation	29,955	27,370	160
350	Schlumberger Ltd.	17,650	19,152	262
		199,492	211,885	2,872
Service & Consumer				
700	Costco Corporation	20,042	26,026	0
1,000	Disney (Walt) Company	20,842	23,330	210
1,125	Paychex Inc.	20,914	41,850	540
600	Pepsico, Incorporated	19,724	27,972	552

Wilson, Kemp & Associates Inc.

PORTFOLIO APPRAISAL

JOSEPH K. KNOLLENBERG, IRA ROLLOVER

A/C #TZ 34389 84

December 31, 2003

Quantity	Security	Total Cost	Market Value	Annual Income
1,100	Sara Lee Corporation	21,707	23,881	825
2,100	ServiceMaster Company	20,690	24,465	882
800	Vodafone Group PLC	25,388	20,032	252
		149,307	187,556	3,261
Utilities				
700	CINergy Corp.	27,275	27,167	1,316
450	Dominion Resources	25,325	28,723	1,161
		52,600	55,890	2,477
Total Common Stock		588,913	737,242	15,320
Government Bonds				
Bonds - Government				
50,000	U.S. Treasury Notes 7.000% Due 07-15-06	50,517	56,000	3,500
50,000	Federal National Mtg. Assoc. 3.500% Due 10-15-07	49,755	50,141	1,750
50,000	Federal Home Loan Banks 3.750% Due 12-04-07	49,880	50,000	1,875
50,000	Federal Home Loan Banks 4.150% Due 09-05-08	50,000	50,234	2,075
		200,152	206,375	9,200
	Accrued Interest		2,796	
Total Government Bonds		200,152	209,171	9,200
Cash				
	Cash & Equivalents	29,695	29,695	110
TOTAL PORTFOLIO		818,761	976,108	24,630

Wilson, Kemp & Associates Inc.

PURCHASE AND SALE

JOSEPH K. KNOLLENBERG, IRA ROLLOVER

A/C #TZ 34389 84

From 01-01-03 To 12-31-03

Trade Date	Settle Date	Quantity	Security	Unit Price	Amount
PURCHASES					
06-18-03	06-23-03	700	CINergy Corp.	38.96	27,275
06-18-03	06-23-03	1,100	Sara Lee Corporation	19.73	21,707
07-21-03	07-24-03	2,100	ServiceMaster Company	9.85	20,690
08-22-03	09-05-03	50,000	Federal Home Loan Banks 4.150% Due 09-05-08	100.00	50,000
					119,672
SALES					
08-19-03	08-22-03	0	Medco Health Solutions Corp	25.17	8
					8
SHORT COVERS					
01-18-03	01-18-03	10	Call: Intel Corp Jan @ 40 Exp 1-18-03	0.00	0
					0

Wilson, Kemp & Associates Inc.

REALIZED GAINS AND LOSSES

JOSEPH K. KNOLLENBERG, IRA ROLLOVER

A/C #TZ 34389 84

From 01-01-03 Through 12-31-03

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
01-09-02	01-18-03	-10	Call: Intel Corp Jan @ 40 Exp 1-18-03	0	5,055		5,055
12-21-99	08-19-03	0	Medco Health Solutions Com	9	8		-1
TOTAL GAINS						0	5,055
TOTAL LOSSES						0	-1
				9	5,063	0	5,054

TOTAL REALIZED GAIN/LOSS 5,054

Wilson, Kemp & Associates Inc.

INCOME AND EXPENSES

JOSEPH K. KNOLLENBERG, IRA ROLLOVER

A/C #TZ 34389 84

From 01-01-03 Through 12-31-03

Ex-Date	Pay-Date	Security	Amount
Common Stock			
01-02-03	01-02-03	Merck & Company	180
01-02-03	01-02-03	Pepsico, Incorporated	90
01-03-03	01-03-03	Kimberly Clark Corp.	135
01-03-03	01-03-03	Schlumberger Ltd.	66
01-09-03	01-09-03	Disney (Walt) Company	210
01-31-03	01-31-03	Morgan Stanley	161
02-17-03	02-17-03	Paychex Inc.	124
02-18-03	02-18-03	Vodafone Group PLC	103
02-20-03	02-20-03	Charter One Financial	154
02-28-03	02-28-03	Plum Creek Timber Co. LP	315
03-01-03	03-01-03	Intel Corporation	20
03-07-03	03-07-03	Microsoft Corporation	80
03-10-03	03-10-03	Emerson Electric Company	196
03-10-03	03-10-03	Honeywell Int'l Inc.	187
03-10-03	03-10-03	International Business Machines	52
03-10-03	03-10-03	Marathon Oil Corp.	230
03-10-03	03-10-03	Rockwell Automation Inc	247
03-11-03	03-11-03	Johnson & Johnson	123
03-20-03	03-20-03	Dominion Resources	290
03-31-03	03-31-03	Engelhard Corporation	100
03-31-03	03-31-03	Pepsico, Incorporated	90
04-01-03	04-01-03	Merck & Company	180
04-02-03	04-02-03	Kimberly Clark Corp.	153
04-04-03	04-04-03	Schlumberger Ltd.	66
04-30-03	04-30-03	Morgan Stanley	161
05-15-03	05-15-03	Paychex Inc.	124
05-20-03	05-20-03	Charter One Financial	168
05-30-03	05-30-03	Plum Creek Timber Co. LP	315
06-01-03	06-01-03	Intel Corporation	20
06-02-03	06-02-03	Rockwell Automation Inc	247
06-10-03	06-10-03	Emerson Electric Company	196
06-10-03	06-10-03	Honeywell Int'l Inc.	187
06-10-03	06-10-03	International Business Machines	56

Wilson, Kemp & Associates Inc.

INCOME AND EXPENSES

JOSEPH E. KNOLLENBERG, IRA ROLLOVER

A/C #TZ 34389 84

From 01-01-03 Through 12-31-03

Ex-Date	Pay-Date	Security	Amount
06-10-03	06-10-03	Johnson & Johnson	144
06-10-03	06-10-03	Marathon Oil Corp.	230
06-20-03	06-20-03	Dominion Resources	290
06-30-03	06-30-03	Engelhard Corporation	100
06-30-03	06-30-03	Pepsico, Incorporated	96
07-01-03	07-01-03	Merck & Company	180
07-02-03	07-02-03	Kimberly Clark Corp.	153
07-03-03	07-03-03	Schlumberger Ltd.	66
07-31-03	07-31-03	Morgan Stanley	161
08-08-03	08-08-03	Vodafone Group PLC	115
08-15-03	08-15-03	CINergy Corp.	322
08-15-03	08-15-03	Paychex Inc.	124
08-20-03	08-20-03	Charter One Financial	182
08-29-03	08-29-03	Plum Creek Timber Co. LP	315
09-01-03	09-01-03	Intel Corporation	20
09-02-03	09-02-03	Rockwell Automation Inc	247
09-09-03	09-09-03	Johnson & Johnson	144
09-10-03	09-10-03	Emerson Electric Company	196
09-10-03	09-10-03	Honeywell Int'l Inc.	187
09-10-03	09-10-03	International Business Machines	56
09-10-03	09-10-03	Marathon Oil Corp.	250
09-20-03	09-20-03	Dominion Resources	290
09-30-03	09-30-03	Engelhard Corporation	100
09-30-03	09-30-03	Pepsico, Incorporated	96
10-01-03	10-01-03	Merck & Company	185
10-01-03	10-01-03	Sara Lee Corporation	170
10-01-03	10-01-03	Sara Lee Corporation	36
10-02-03	10-02-03	Kimberly Clark Corp.	153
10-03-03	10-03-03	Schlumberger Ltd.	66
10-31-03	10-31-03	Morgan Stanley	161
10-31-03	10-31-03	ServiceMaster Company	220
11-07-03	11-07-03	Microsoft Corporation	160
11-15-03	11-15-03	CINergy Corp.	322
11-17-03	11-17-03	Paychex Inc.	135

Wilson, Kemp & Associates Inc.

INCOME AND EXPENSES

JOSEPH K. KNOLLENBERG, IRA ROLLOVER

A/C #TZ 34389 84

From 01-01-03 Through 12-31-03

Ex-Date	Pay-Date	Security	Amount
11-20-03	11-20-03	Charter One Financial	182
11-26-03	11-26-03	Plum Creek Timber Co. LP	315
12-01-03	12-01-03	Intel Corporation	20
12-08-03	12-08-03	Rockwell Automation Inc	247
12-09-03	12-09-03	Johnson & Johnson	144
12-10-03	12-10-03	Emerson Electric Company	200
12-10-03	12-10-03	Honeywell Int'l Inc.	187
12-10-03	12-10-03	International Business Machines	56
12-10-03	12-10-03	Marathon Oil Corp.	250
12-20-03	12-20-03	Dominion Resources	290
12-31-03	12-31-03	Engelhard Corporation	110
			12,704
Government Bonds			
01-15-03	01-15-03	U.S. Treasury Notes 7.000% Due 07-15-06	1,750
04-15-03	04-15-03	Federal National Mtg. Assoc. 3.500% Due 10-15-07	875
06-04-03	06-04-03	Federal Home Loan Banks 3.750% Due 12-04-07	937
07-15-03	07-15-03	U.S. Treasury Notes 7.000% Due 07-15-06	1,750
10-15-03	10-15-03	Federal National Mtg. Assoc. 3.500% Due 10-15-07	875
12-04-03	12-04-03	Federal Home Loan Banks 3.750% Due 12-04-07	937
			7,125
Cash			
01-02-03	01-02-03	Cash & Equivalents	22
01-27-03	01-27-03	Cash & Equivalents	65
02-24-03	02-24-03	Cash & Equivalents	65
03-25-03	03-25-03	Cash & Equivalents	64
04-24-03	04-24-03	Cash & Equivalents	66

Wilson, Kemp & Associates Inc.

INCOME AND EXPENSES

JOSEPH K. KNOLLENBERG, IRA ROLLOVER

A/C #TZ 34389 84

From 01-01-03 Through 12-31-03

Ex-Date	Pay-Date	Security	Amount
05-23-03	05-23-03	Cash & Equivalents	64
06-24-03	06-24-03	Cash & Equivalents	63
07-25-03	07-25-03	Cash & Equivalents	29
08-25-03	08-25-03	Cash & Equivalents	19
09-24-03	09-24-03	Cash & Equivalents	11
10-27-03	10-27-03	Cash & Equivalents	8
11-21-03	11-21-03	Cash & Equivalents	6
12-24-03	12-24-03	Cash & Equivalents	7
			490
Expense Accounts			
09-09-03	09-09-03	Bank Fee	40
			40
After Fee Performance Expense Accounts			
07-24-03	07-24-03	Wilson, Kemp & Associates Fee	4,155
11-07-03	11-07-03	Wilson, Kemp & Associates Fee	4,271
			8,426
NET INCOME			**11,853**

2661

(38)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2004 APR 21 PM 2:51
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

James Thomas Kolbe (Full Name)	
2266 Rayburn House Office Building Washington, DC 20515 (Mailing Address)	202-225-2542 Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: AZ District: 8	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jim Kolbe	APR. 19, 2004

2662

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	1/3 interest in 300 acres of land, Sonoita, AZ	$100,001 - $250,000	GRAZING FEE	NONE	
	Wright Patman FCU - Checking & Savings, Washington, DC	$1,001 - $15,000	INTEREST	$1 - $200	
	Compass Bank - Checking & Savings, Tucson, AZ	$1,001 - $15,000	INTEREST	$1 - $200	
	Exeter Real Estate Investors XX - Limited Partnership	$15,001 - $50,000	NONE	NONE	
	Rental of Efficiency Apartment attached to Residence, 519 East Capitol Street, SE, Washington, DC	$250,001 - $500,000	RENT	$5,001 - $15,000	
	Golder Ranch Assoc., Limited Partnership - 1/2 unit	$1,001 - $15,000	NONE	NONE	

2663

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

Wood Canyon Corp. - 5% of outstanding stock	$50,001 - $100,000	NONE	NONE	
ABN AMRO Holding (ABN)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
AFLAC (AFL)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
AirGas (ARG)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Albany Molecular Research (AMRI)	None	NONE	NONE	S
Albertsons (ABS)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Alcatel (ALA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Alliance Data Systems (ADS)	$1,001 - $15,000	NONE	NONE	P
Alliance Gaming (AGI)	$1,001 - $15,000	NONE	NONE	P
Altria Group (MO) (formerly Philip Morris)	$1,001 - $15,000	DIVIDENDS	$1 - $200	PE
American International Group (AIG)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
American Movil (AMX)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Amgen (AMGN)	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	PS
AmSurg (AMSG)	$1,001 - $15,000	NONE	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

Anheuser Busch (BUD)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
AOL Time Warner (AOL)	None	NONE	NONE	S
AptarGroup (ATR)	None	NONE	NONE	PS
Archer-Daniels-Midland (ADM)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Astoria Financial (AF)	None	NONE	NONE	S
Automatic Data Processing (ADP)	None	DIVIDENDS/CAPITAL GAINS	$1 - $200	S
Avocent (AVCT)	$1,001 - $15,000	NONE	NONE	P
BAE Systems PLC (BAESY)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Banco Bilbao Vizcaya Argentaria SA (BBV)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
BASF AG (BF)	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
Bay View Capital (BVC)	None	NONE	NONE	S
Bayerische Hypo-und Vereinsbank AG (HVMGY)	$1,001 - $15,000	NONE	NONE	P
Bed Bath & Beyond (BBBY)	$1,001 - $15,000	NONE	NONE	P
BellSouth (BLS)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

Benchmark Electronics (BHE)	$1,001 - $15,000	NONE	NONE	P
Boeing (BA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Brasil Telecom Participacoes SA (BRP)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Bristol-Myers Squibb (BMY)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
British American Tobacco PLC (BTI)	$1,001 - $15,000	NONE	NONE	P
BT Group PLC (BTY)	$1,001 - $15,000	NONE	NONE	P
CACI International (CAI)	$1,001 - $15,000	NONE	NONE	P
Cardinal Health (CAH)	None	DIVIDENDS	$1 - $200	S
Career Education (CECO)	$1,001 - $15,000	NONE	NONE	P
Caremark Rx (CMX)	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	PS
Cemex SA (CX)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Centrais Electricas Brasileiras SA (CAIFY)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Chevron Texaco (CVX)	None	DIVIDENDS	$1 - $200	S
Chiron (CHIR)	None	NONE	NONE	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

	ChoicePoint (CPS)	None	CAPITAL GAINS	$201 - $1,000	S
	Church & Dwight (CHD)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Cisco Systems (CSCO)	$1,001 - $15,000	CAPITAL GAINS	$2,501 - $5,000	PS
	CIT Group (CIT)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Citigroup (C)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	PS
	Coca-Cola (KO)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Cognizant Technology Solutions (CTSH)	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	PS
	Colgate-Palmolive (CL)	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
	Cost Plus (CPWM)	$1,001 - $15,000	NONE	NONE	P
	DaimlerChrysler AG (DCX)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Dell Computer (DELL)	$1,001 - $15,000	NONE	NONE	P
	Deutsche Telekom AG (DT)	$1,001 - $15,000	NONE	NONE	P
	Doral Financial (DRL)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	E I Du Pont De Nemours (DD)	None	DIVIDENDS	$1 - $200	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

	Duke Energy (DUK)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	E.On AG (EON)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	East West Bancorp (EWBC)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Edison International (EIX)	None	CAPITAL GAINS	$1 - $200	S
	Electronic Data Systems (EDS)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	El Paso (EP)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	EMC (EMC)	None	NONE	NONE	S
	Evergreen Resources (EVG)	$1,001 - $15,000	NONE	NONE	P
	Exelon (EXC)	None	CAPITAL GAINS	$201 - $1,000	S
	Expeditors International (EXPD)	None	CAPITAL GAINS	$201 - $1,000	S
	Exxon Mobil (XOM)	None	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	S
	Fannie Mae (FNM)	None	DIVIDENDS	$1 - $200	S
	Financing Corp. CPN FICO Series 14	$1,001 - $15,000	NONE	NONE	
	Financing Corp. CPN FICO Series 15	$1 - $1,000	NONE	NONE	

First Data (FDC)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Fisher Scientific International (FSH)	$1,001 - $15,000	NONE	NONE	P
FleetBoston Financial (FBF)	$1,001 - $15,000	NONE	NONE	P
Ford Motor (F)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Gannett (GCI)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
General Electric (GE)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	S
GlaxoSmithKline PLC (GSK)	$1,001 - $15,000	NONE	NONE	P
Global Payments (GPN)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Golden West Financial (GDW)	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
Goldman Sachs Group (GS)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Goodyear Tire & Rubber (GT)	$1 - $1,000	NONE	NONE	
Great Hall Investment Funds Money Market (GHPX+)	$15,001 - $50,000	DIVIDENDS	$1 - $200	
HCC Insurance Holdings (HCC)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Hewlett-Packard (HPQ)	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

Hitachi LTD (HIT)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Hot Topic (HOTT)	$1,001 - $15,000	NONE	NONE	P
HSBC Holdings PLC (HBC)	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
Hypo Real Estate Holdings (HREHY)	$1,001 - $15,000	NONE	NONE	E
ING Group NV (ING)	$1,001 - $15,000	NONE	NONE	P
Integrated Circuit Systems (ICST)	$1,001 - $15,000	NONE	NONE	P
Intel (INTC)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
International Business Machines (IBM)	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
Interpublic Group (IPG)	None	CAPITAL GAINS	$1,001 - $2,500	S
Johnson & Johnson (JNJ)	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
J P Morgan Chase & Co. (JPM)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Knight Transportation (KNGT)	$1,001 - $15,000	NONE	NONE	P
Kohls (KSS)	$1,001 - $15,000	NONE	NONE	P
Koninklihke Ahold NV (AHO)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

Kroger (KR)	$1,001 - $15,000	NONE	NONE	P
Kronos (KRON)	$1,001 - $15,000	NONE	NONE	
KT (KTC)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
KV Pharmaceutical (KVA)	$1,001 - $15,000	NONE	NONE	P
L 3 Communications Holdings (LLL)	None	CAPITAL GAINS	$201 - $1,000	PS
Legg Mason (LM)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Loews (LTR)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Lowes Companies (LOW)	$1,001 - $15,000	DIVIDENDS/CAPI TAL GAINS	$1 - $200	PS
Lucent Technologies (LU)	$1,001 - $15,000	NONE	NONE	P
Marks & Spencer Group PLC (MAKSY)	$1,001 - $15,000	NONE	NONE	P
Marsh & McLennan (MMC)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Matsushita Electric Industrial LTD (MC)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
May Department Stores (MAY	None	DIVIDENDS	$1 - $200	S
MBNA (KRB)	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

	McDonald's (MCD)	None	CAPITAL GAINS	$1 - $200	S
	Medtronic (MDT)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	PS
	Merck (MRK)	$1,001 - $15,000	NONE	NONE	P
	Micron Technology (MU)	$1,001 - $15,000	NONE	NONE	P
	Microsoft (MSFT)	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
	Millea Holdings (MLEA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Mitsubishi Tokyo Financial Group (MTF)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Morgan Stanley (MWD)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Motorola (MOT)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Nestle SA (NSRGY)	$1,001 - $15,000	NONE	NONE	P
	NetBank (NTBK)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	New York Community Bancorp (NYB)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Nippon Telegraph & Telephone (NTT)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
	Nokia (NOK)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

Nortel Networks (NT)	None	CAPITAL GAINS	$1,001 - $2,500	S
Northern Trust (NTRS)	None	DIVIDENDS/CAPITAL GAINS	$15,001 - $50,000	S
O'Charley's (CHUX)	None	NONE	NONE	S
Omnicare (OCR)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
O'Reilly Automotive (ORLY)	$1,001 - $15,000	NONE	NONE	P
Oracle (ORCL)	$1,001 - $15,000	NONE	NONE	P
Pepsico (PEP)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	S
Performance Food Group (PFGC)	$1,001 - $15,000	NONE	NONE	P
Perot Systems (PER)	None	NONE	NONE	S
Petroleo Brasileiro SA (PBRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Pfizer (PFE)	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
PG&E (PGC)	None	CAPITAL GAINS	$201 - $1,000	S
Philip Morris (MO) (became Altria Group)	None	DIVIDENDS	$1 - $200	
Pitney Bowes (PBI)	None	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

	Portfolio Recovery Associates (PRAA)	$1,001 - $15,000	NONE	NONE	P
	Procter & Gamble (PG)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Province Healthcare (PRV)	None	NONE	NONE	PS
	RBC Dain Rauscher - Cash	None	NONE	NONE	
	Remington Oil & Gas (REM)	$1,001 - $15,000	NONE	NONE	P
	Repsol YPE (REP)	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
	Reuters Group PLC (RTRSY)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Reynolds & Reynolds (REY)	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
	Safeway (SWY)	$1,001 - $15,000	NONE	NONE	P
	SBC Communications (SBC)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Scansource (SCSC)	$1,001 - $15,000	NONE	NONE	P
	Schering-Plough (SGP)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Sherwin-Williams (SHW)	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
	SLM (SLM)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS

Smucker JM (SJM)	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
Sovereign Bancorp (SOV)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Spartech (SEH)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Sprint FON Group (FON)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SRA International (SRX)	$1,001 - $15,000	NONE	NONE	P
State Street (STT)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Stericycle (SRCL)	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	P
Stryker (SYK)	$1,001 - $15,000	NONE	NONE	P
Sumitomo Mitsui Financial (SMFJY)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Sysco (SYY)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Target (TGT)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$15,001 - $50,000	PS
Taro Pharmaceutical Industries LTD (TARO)	$1,001 - $15,000	NONE	NONE	P
Techne (TECH)	$1,001 - $15,000	NONE	NONE	P
Telecom Italia SPA (TI)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

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Telecomunicacoes Brasileiras SA (TBH)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Teleflex (TFX)	None	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	S
Telefonica SA (TEF)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Telefonos de Mexico SA de CV (TMX)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Tenet Healthcare (THC)	$1,001 - $15,000	NONE	NONE	P
Texas Instruments (TXN)	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
3M (MMM)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	PS
Toys R Us (TOY)	$1,001 - $15,000	NONE	NONE	
Tyco International (TYC)	None	NONE	NONE	S
Unilever NV (UN)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Unisys (UIS)	$1,001 - $15,000	NONE	NONE	
UnitedHealth Group (UNH)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
United Natural Foods (UNFI)	$1,001 - $15,000	NONE	NONE	P
United Parcel Service (UPS)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

UST (UST)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
UTI Worldwide (UTIW)	$1,001 - $15,000	NONE	NONE	P
Varian (VARI)	$1,001 - $15,000	NONE	NONE	P
Veridian (VNX)	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	S
Verint Systems (VRNT)	$1,001 - $15,000	NONE	NONE	P
Verizon Communications (VZ)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Volkswagen AG (VLKAY)	$1,001 - $15,000	NONE	NONE	P
Wachovia (WB)	None	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	S
Walgreen (WAG)	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
Wal-Mart Stores (WMT)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	PS
Washington Mutual (WM)	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
Waste Connections (WCN)	$1,001 - $15,000	NONE	NONE	P
Waste Management (WMI)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Wells Fargo (WFC)	None	DIVIDENDS/CAPITAL GAINS	$1 - $200	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

Wolverine World Wide (WWW)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Wyeth (WYE)	None	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	S
Xerox (XRX)	$1,001 - $15,000	NONE	NONE	
Zurich Financial Services (ZFSVY)	$1,001 - $15,000	NONE	NONE	
Alliance Bernstein Premier Growth Fund	$15,001 - $50,000	NONE	NONE	
Alliance Bernstein Worldwide Privatization Fund	$15,001 - $50,000	NONE	NONE	
American National Bank & Trust CD	None	INTEREST	$201 - $1,000	S
ANZ Exchangeable Preferred Stock	None	DIVIDENDS	$1 - $200	S
Banco Bilbao Viczaya Argentaria CD	None	INTEREST	$1 - $200	S
Banco Popular de Puerto Rico CD	$15,001 - $50,000	INTEREST	$201 - $1,000	
BHP Billiton Limited (BHP)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
CIT Group Holdings Bond	None	INTEREST	$1,001 - $2,500	S
Easton Vance Tax Managed Growth Fund	$1,001 - $15,000	NONE	NONE	
Esterline Technologies (ESL)	$1,001 - $15,000	NONE	NONE	

Federal Home Loan Mortgage Corp.	$15,001 - $50,000	NONE	$1 - $200	P
Federal National Mortgage Association	$15,001 - $50,000	INTEREST	$201 - $1,000	P
Household Finance Bond	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
Maricopa County Unified School District Bond	$1,001 - $15,000	INTEREST	$201 - $1,000	P
Merrill Lynch Bank USA Retirement Account	$1,001 - $15,000	INTEREST	$1 - $200	
Merril Lynch Banking Advantage	$15,001 - $50,000	INTEREST	$1 - $200	
Merrill Lynch Basic Value Fund Mutual Fund (Class A) (formerly Class D)	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
Merrill Lynch Basic Value Fund Mutual Fund (Class B)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Merrill Lynch Bond Fund High Income Portfolio	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Merrill Lynch Global Allocation	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
Motorola (MOT)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
Muniyield AZ Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
Pima County Unified School District Bond	$1,001 - $15,000	INTEREST	$201 - $1,000	
South Dakota Housing Development Bond	$1,001 - $15,000	INTEREST	$201 - $1,000	P

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	Venture III Annuity Account	$100,001 - $250,000	NONE	NONE	
	Western Bank of Puerto Rico CD	$1,001 - $15,000	INTEREST	$1 - $200	P
	Scudder Latin America Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	AFLAC (AFL)	P	5/7/03, 9/25/03	$1,001 - $15,000
	AirGas (ARG)	P	4/17/03, 4/23/03, 9/24/03	$1,001 - $15,000
	Albany Molecular Research (AMRI)	S	5/2/03	<$1,000
	Albertsons (ABS)	P	9/24/03	$1,001 - $15,000
	Alliance Data Systems (ADS)	P	10/30/03	$1,001 - $15,000
	Alliance Gaming (AGI)	P	2/3/03, 9/24/03	$1,001 - $15,000
	Altria Group (MO)	P	9/24/03	$1,001 - $15,000
	Altria Group (MO) (formerly Philip Morris)	E	1/27/03	$1,001 - $15,000
	Amgen (AMGN)	P	9/25/03	$1,001 - $15,000
	Amgen (AMGN)	S	5/7/03	<$1,000
	AmSurg (AMSG)	P	11/11/03, 11/12/03, 12/10/03	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Anheuser Busch (BUD)	P	1/30/03, 9/25/03, 10/8/03	$1,001 - $15,000
	AOL Time Warner (AOL)	S	9/25/03	$1,001 - $15,000
	AptarGroup (ATR)	P	9/24/03	$1,001 - $15,000
	AptarGroup (ATR)	S	11/19/03	$1,001 - $15,000
	Archer-Daniels-Midland (ADM)	P	9/24/03	$1,001 - $15,000
	Astoria Financial (AF)	S	4/7/03, 4/9/03, 4/14/03	$1,001 - $15,000
	Automatic Data Processing (ADP)	S	4/7/03, 5/27/03, 9/25/03	$1,001 - $15,000
	Avocent (AVCT)	P	11/14/03, 11/17/03	$1,001 - $15,000
	BAE Systems PLC (BAESY)	P	1/28/03	$1,001 - $15,000
	Bank of America (BAC)	P	1/30/03, 7/7/03, 9/25/03	$1,001 - $15,000
	Bank of America (BAC)	S	11/7/03	$1,001 - $15,000
	BASF AG (BF)	S	11/3/03	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Bay View Capital (BVC)	S	5/16/03, 5/28/03	$1,001 - $15,000
	Bayerische Hypo-und Vereinsbank AG (HVMGY)	P	1/14/03	$1,001 - $15,000
	Bed Bath & Beyond (BBBY)	P	9/25/03	$1,001 - $15,000
	BellSouth (BLS)	P	8/6/03, 10/9/03	$1,001 - $15,000
	Benchmark Electronics (BHE)	P	11/18/03, 11/19/03	$1,001 - $15,000
	Bristol-Myers Squibb (BMY)	P	1/30/03, 9/24/03	<$1,000
	British American Tobacco PLC (BTI)	P	9/24/03	$1,001 - $15,000
	BT Group PLC (BTY)	P	9/24/03	$1,001 - $15,000
	CACI International (CAI)	P	9/24/03	$1,001 - $15,000
	Cardinal Health (CAH)	S	1/21/03, 5/19/03, 8/21/03	$1,001 - $15,000
	Career Education (CECO)	P	9/24/03	$1,001 - $15,000
	Caremark Rx (CMX)	P	9/24/03	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Caremark Rx (CMX)	S	11/19/03	$1,001 - $15,000
	Chevron Texaco (CVX)	S	9/24/03	$1,001 - $15,000
	Chiron (CHIR)	S	2/11/03	$1,001 - $15,000
	ChoicePoint (CPS)	S	3/21/03, 10/6/03, 10/7/03	$1,001 - $15,000
	Church & Dwight (CHD)	P	9/24/03	$1,001 - $15,000
	Cisco Systems (CSCO)	P	8/21/03	<$1,000
	Cisco Systems (CSCO)	S	9/25/03	$1,001 - $15,000
	Citigroup (C)	P	5/7/03, 9/9/03	<$1,000
	Citigroup (C)	S	9/25/03	<$1,000
	Coca-Cola (KO)	P	9/25/03	<$1,000
	Cognizant Technology Solutions (CTSH)	P	9/24/03	$1,001 - $15,000
	Cognizant Technology Solutions (CTSH)	S	1/13/03	<$1,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Colgate-Palmolive (CL)	P	9/25/03	$1,001 - $15,000
	Colgate-Palmolive (CL)	S	1/21/03, 6/11/03	$1,001 - $15,000
	Cost Plus (CPWM)	P	7/28/03, 7/30/03, 9/24/03	$1,001 - $15,000
	DaimlerChrysler AG (DCX)	P	3/24/03, 9/24/03	$1,001 - $15,000
	Dell Computer (DELL)	P	8/21/03, 9/25/03	$1,001 - $15,000
	Deutsche Telekom AG (DT)	P	9/24/03	$1,001 - $15,000
	Doral Financial (DRL)	P	9/24/03	$1,001 - $15,000
	E I Du Pont De Nemours (DD)	S	2/20/03, 9/24/03	$1,001 - $15,000
	Duke Energy (DUK)	P	9/24/03	$1,001 - $15,000
	East West Bancorp (EWBC)	P	8/26/03, 9/18/03, 9/24/03	$1,001 - $15,000
	Edison International (EIX)	S	6/16/03	$1,001 - $15,000
	El Paso (EP)	P	9/24/03	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	EMC (EMC)	S	9/24/03	$1,001 - $15,000
	Evergreen Resources (EVG)	P	1/8/03, 9/24/03	$1,001 - $15,000
	Exelon (EXC)	S	1/7/03	$1,001 - $15,000
	Expeditors International (EXPD)	S	3/13/03, 5/22/03	$1,001 - $15,000
	Exxon Mobil (XOM)	S	9/24/03	$1,001 - $15,000
	Fannie Mae (FNM)	S	5/7/03, 8/7/03, 8/21/03	$1,001 - $15,000
	First Data (FDC)	P	6/2/03, 9/25/03	$1,001 - $15,000
	Fisher Scientific International (FSH)	P	9/24/03	$1,001 - $15,000
	FleetBoston Financial (FBF)	P	9/24/03	$1,001 - $15,000
	Ford Motor (F)	P	9/24/03	$1,001 - $15,000
	Gannett (GCI)	P	8/11/03, 8/21/03, 9/25/03, 11/7/03	$1,001 - $15,000
	General Electric (GE)	S	9/25/03	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	GlaxoSmithKline PLC (GSK)	P	9/24/03	$1,001 - $15,000
	Global Payments (GPN)	P	9/11/03, 9/12/03, 9/24/03	$1,001 - $15,000
	Golden West Financial (GDW)	S	9/24/03	$1,001 - $15,000
	Goldman Sachs Group (GS)	P	6/11/03, 7/7/03, 9/25/03	$1,001 - $15,000
	HCC Insurance Holdings (HCC)	P	9/24/03	$1,001 - $15,000
	Hewlett-Packard (HPQ)	S	7/9/03, 9/24/03	$15,001 - $50,000
	Hot Topic (HOTT)	P	9/24/03	$1,001 - $15,000
	HSBC Holdings PLC (HBC)	S	8/4/03	$1,001 - $15,000
	Hypo Real Estate Holdings (HREHY) (spin-off from HVMGY)	E	10/6/03	<$1, 000
	ING Group NV (ING)	P	9/24/03	$1,001 - $15,000
	Integrated Circuit Systems (ICST)	P	9/24/03	$1,001 - $15,000
	Intel (INTC)	P	5/7/03, 7/7/03, 8/21/03	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Intel (INTC)	S	9/25/03	$1,001 - $15,000
	International Business Machines (IBM)	P	5/7/03	<$1,000
	International Business Machines (IBM)	S	9/25/03	$1,001 - $15,000
	Interpublic Group (IPG)	S	9/24/03	$1,001 - $15,000
	Johnson & Johnson (JNJ)	P	9/25/03	$1,001 - $15,000
	Johnson & Johnson (JNJ)	S	8/21/03	<$1,000
	Knight Transportation (KNGT)	P	2/24/03, 6/17/03, 9/24/03	$1,001 - $15,000
	Kohls (KSS)	P	5/7/03, 5/20/03, 9/25/03, 11/7/03	$1,001 - $15,000
	Koninklihke Ahold NV (AHO)	P	12/16/03	$1,001 - $15,000
	Kroger (KR)	P	9/24/03	$1,001 - $15,000
	KV Pharmaceutical (KVA)	P	11/13/03, 11/14/03	$1,001 - $15,000
	L 3 Communications Holdings (LLL)	P	9/24/03	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	L 3 Communications Holdings (LLL)	S	11/10/03, 11/11/03	$1,001 - $15,000
	Legg Mason (LM)	P	9/24/03	$1,001 - $15,000
	Loews (LTR)	P	9/24/03	$1,001 - $15,000
	Lowes Companies (LOW)	P	9/25/03	$1,001 - $15,000
	Lowes Companies (LOW)	S	9/9/03	<$1,000
	Lucent Technologies (LU)	P	8/6/03	$1,001 - $15,000
	Marks & Spencer Group PLC (MAKSY)	P	7/15/03, 9/24/03	$1,001 - $15,000
	Marsh & McLennan (MMC)	P	9/25/03	$1,001 - $15,000
	May Department Stores (MAY	S	9/24/03	$1,001 - $15,000
	MBNA (KRB)	P	9/25/03	$1,001 - $15,000
	MBNA (KRB)	S	7/7/03	<$1,000
	McDonald's (MCD)	S	10/8/03	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Medtronic (MDT)	P	9/25/03	$1,001 - $15,000
	Medtronic (MDT)	S	1/21/03, 8/7/03	$1,001 - $15,000
	Merck (MRK)	P	11/6/03	$1,001 - $15,000
	Micron Technology (MU)	P	2/24/03	$1,001 - $15,000
	Microsoft (MSFT)	P	9/25/03	$1,001 - $15,000
	Microsoft (MSFT)	S	9/24/03	$1,001 - $15,000
	Morgan Stanley (MWD)	P	8/7/03, 9/25/03	$1,001 - $15,000
	Nestle SA (NSRGY)	P	6/24/03	$1,001 - $15,000
	NetBank (NTBK)	P	9/24/03	<$1,000
	New York Community Bancorp (NYB)	P	9/24/03	$1,001 - $15,000
	Nippon Telegraph & Telephone (NTT)	S	3/19/03	$1,001 - $15,000
	Nokia (NOK)	P	9/25/03	<$1,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Nortel Networks (NT)	S	1/27/03, 6/12/03	$1,001 - $15,000
	Northern Trust (NTRS)	S	9/24/03	$15,001 - $50,000
	O'Charley's (CHUX)	S	7/11/03, 7/14/03	$1,001 - $15,000
	Omnicare (OCR)	P	9/24/03	$1,001 - $15,000
	O'Reilly Automotive (ORLY)	P	9/24/03	$1,001 - $15,000
	Oracle (ORCL)	P	8/21/03, 9/25/03	$1,001 - $15,000
	Pepsico (PEP)	S	6/2/03, 9/25/03	$15,001 - $50,000
	Performance Food Group (PFGC)	P	9/24/03	$1,001 - $15,000
	Perot Systems (PER)	S	6/2/03	$1,001 - $15,000
	Petroleo Brasileiro SA (PBRA)	P	9/24/03	$1,001 - $15,000
	Pfizer (PFE)	P	9/25/03, 11/18/03	$1,001 - $15,000
	Pfizer (PFE)	S	6/11/03	<$1,000

SCHEDULE IV - TRANSACTIONS

Name James Thomas Kolbe

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	PG&E (PGC)	S	11/3/03	$1,001 - $15,000
	Pitney Bowes (PBI)	S	9/24/03	$1,001 - $15,000
	Portfolio Recovery Associates (PRAA)	P	6/3/03, 6/9/03, 9/24/03	$1,001 - $15,000
	Procter & Gamble (PG)	P	9/25/03, 10/8/03	$1,001 - $15,000
	Province Healthcare (PRV)	P	9/24/03	<$1,000
	Province Healthcare (PRV)	S	11/13/03	$1,001 - $15,000
	Remington Oil & Gas (REM)	P	3/12/03, 3/26/03, 9/24/03	$1,001 - $15,000
	Repsol YPE (REP)	S	2/14/03	$1,001 - $15,000
	Reynolds & Reynolds (REY)	P	9/24/03	$1,001 - $15,000
	Reynolds & Reynolds (REY)	S	11/5/03	$1,001 - $15,000
	Safeway (SWY)	P	9/24/03	$1,001 - $15,000
	Scansource (SCSC)	P	1/3/03, 9/24/03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name James Thomas Kolbe

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Sherwin-Williams (SHW)	S	11/3/03	$1,001 - $15,000
	SLM (SLM)	P	9/25/03	<$1,000
	SLM (SLM)	S	7/22/03	<$1,000
	Smucker JM (SJM)	P	9/24/03	$1,001 - $15,000
	Smucker JM (SJM)	S	11/13/03	$1,001 - $15,000
	Sovereign Bancorp (SOV)	P	9/24/03	$1,001 - $15,000
	Spartech (SEH)	P	9/24/03	<$1,000
	SRA International (SRX)	P	7/29/03, 9/24/03, 9/26/03	$1,001 - $15,000
	State Street (STT)	P	9/25/03	$1,001 - $15,000
	Stericycle (SRCL)	P	9/24/03	$1,001 - $15,000
	Stryker (SYK)	P	9/15/03, 9/25/03	$1,001 - $15,000
	Sumitomo Mitsui Financial (SMFJY)	P	2/24/03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name James Thomas Kolbe

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Sysco (SYY)	P	9/25/03	$1,001 - $15,000
	Target (TGT)	P	6/2/03, 9/25/03	$1,001 - $15,000
	Target (TGT)	S	9/24/03	$15,001 - $50,000
	Taro Pharmaceutical Industries LTD (TARO)	P	9/24/03	$1,001 - $15,000
	Techne (TECH)	P	10/15/03, 10/17/03	$1,001 - $15,000
	Telecom Italia SPA (TI)	P	1/30/03, 9/24/03	$1,001 - $15,000
	Teleflex (TFX)	S	9/18/03, 9/24/03	$1,001 - $15,000
	Telefonos de Mexico SA de CV (TMX)	P	9/24/03	$1,001 - $15,000
	Tenet Healthcare (THC)	P	11/19/03	$1,001 - $15,000
	Texas Instruments (TXN)	P	9/25/03	<$1,000
	Texas Instruments (TXN)	S	9/15/03	<$1,000
	3M (MMM)	P	9/25/03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name James Thomas Kolbe

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	3M (MMM)	S	8/21/03	<$1,000
	Tyco International (TYC)	S	12/11/03	$1,001 - $15,000
	Unilever NV (UN)	P	7/24/03, 9/24/03	$1,001 - $15,000
	UnitedHealth Group (UNH)	P	9/25/03	$1,001 - $15,000
	United Natural Foods (UNFI)	P	9/24/03	$1,001 - $15,000
	United Parcel Service (UPS)	P	5/20/03, 9/25/03	$1,001 - $15,000
	UTI Worldwide (UTIW)	P	6/16/03, 9/2/03, 9/24/03	$1,001 - $15,000
	Varian (VARI)	P	9/24/03	$1,001 - $15,000
	Veridian (VNX)	S	8/13/03	$1,001 - $15,000
	Verint Systems (VRNT)	P	6/20/03, 6/24/03, 9/24/03	$1,001 - $15,000
	Volkswagen AG (VLKAY)	P	6/24/03, 10/9/03	$1,001 - $15,000
	Wachovia (WB)	S	9/24/03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name James Thomas Kolbe

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Walgreen (WAG)	PS	9/25/03	<$1,000
	Walgreen (WAG)	S	1/30/03	$1,001 - $15,000
	Wal-Mart Stores (WMT)	PS	9/25/03	<$1,000
	Wal-Mart Stores (WMT)	S	5/7/03	$1,001 - $15,000
	Washington Mutual (WM)	S	9/24/03	$1,001 - $15,000
	Waste Connections (WCN)	P	9/24/03	<$1,000
	Wells Fargo (WFC)	S	7/7/03	$1,001 - $15,000
	Wolverine World Wide (WWW)	P	4/24/03, 6/16/03, 9/24/03	$1,001 - $15,000
	Wyeth (WYE)	S	9/24/03	$1,001 - $15,000
	American National Bank & Trust CD	S	5/27/03	$15,001 - $50,000
	ANZ Exchangeable Preferred Stock	S	12/12/03	$1,001 - $15,000
	Banco Bilbao Viczaya Argentaria CD	S	3/3/03	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name James Thomas Kolbe

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	BHP Billiton Limited (BHP)	P	7/3/03, 12/4/03	<$1,000
	CIT Group Holdings Bond	S	10/15/03	$15,001 - $50,000
	Federal Home Loan Mortgage Corp.	P	10/14/03	$15,001 - $50,000
	Federal National Mortgage Association	P	3/6/03	$15,001 - $50,000
	Maricopa County Unified School District Bond	P	6/3/03	$15,001 - $50,000
	Motorola (MOT)	P	1/16/03, 4/16/03, 7/16/03, 10/16/03	<$1,000
	South Dakota Housing Development Bond	P	4/22/03	$15,001 - $50,000
	Western Bank of Puerto Rico CD	P	4/25/03	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name James Thomas Kolbe

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
U.S.-New Zealand Council	Jan. 10-19	Washington, DC-Auckland, NZ-Washington, DC	Y	Y	N	N/A
World Economic Forum	Jan. 21-27	Washington, DC-Geneva, Switzerland-Davos, Switzerland-Washington, DC	Y	Y	N	N/A
Inter-American Dialogue	Feb. 27-Mar. 4	Washington, DC-Miami, FL-Havana, Cuba-Washington, DC	Y	Y	N	N/A
TransAtlantic Policy Network	Apr. 12-15	Washington, DC-Sotogrande, Spain	Y	Y	N	N/A
Aspen Institute	Jun. 27-Jul. 3	Washington, DC-Helsinki, Finland-Tucson, AZ	Y	Y	N	N/A
Institute of Politics, Kennedy School, Harvard University	Oct. 22-23	Washington, DC-Boston, MA-Tucson, AZ	Y	N	N	N/A

SCHEDULE VIII - POSITIONS

Name James Thomas Kolbe

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Embry-Riddle Aeronautical University, Daytona Beach, FL
Board of Visitors	Weinberg College of Arts & Sciences, Northwestern University, Evanston, IL
Board of Directors	Community Food Bank, Tucson, AZ
Board of Directors	Wright Flight, Tucson, AZ
Board of Directors	International Republican Institute, Washington, DC

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(4)

LEGISLATIVE RESOURCE CENTER
2004 MAY 14 PM 2:54
(Office Use Only)

MC

DENNIS J. KUCINICH (Full Name)

14400 DETROIT AVE. (Mailing Address) (216) 228-8850 Daytime Telephone:

CLEVELAND, OH 44107

Filer Status	☑ Member of the U.S. House of Representatives — State: OH District: 10	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☑ DSK Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☑	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☑	No ☐	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☑
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Dennis J. Kucinich	5/14/04

2700

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
LATE LATE SHOW $200 NAACP (CLEVELAND)	APPEARANCE	2-17-04	$200
BILL MAHER SHOW $800 NAACP (CLEVELAND)	APPEARANCE	3-25-04	$800
THE GEORGE SCHOOL $500 SISTERS OF ST. JOSEPH	SPEECH	5-12-04	$500

This page may be copied if more space is required.

2701

Name DENNIS J. KUCINICH | Page 3 of 4

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Examples:	SP	Mega Corp. Stock				X								X																	P
			Simon & Schuster			Indefinite																									Royalties	
			1st Bank of Paducah, KY accounts															X														
	OH. PUB. EMP. RET. SVC.							✓																							STATE RET. FUND	✓
	AM. CREATION LODGE							✓									✓															
	THIRD FED. S+L							✓											✓												SAVINGS/ CHECKING	✓
	COX.FED. CREDIT UNION							✓											✓													✓

For additional assets and unearned income, use next page.

2702

Name DENNIS J. KUCINICH | Page 4 of 4

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	SHIRLEY PARKER, LA CALIF	PERSONAL LOAN		✓								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NONE		

Use additional sheets if more space is required.

2703

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

Rev. 1/99

HAND DELIVERED

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2004 MAY 14 AM 10: 52
(Office Use Only)

(8)

MC

2704

Ray H. LaHood
(Full Name)

1424 Longworth Building Washington, DC 20515
(Mailing Address)

202-225-6201
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: IL District: 18	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/14/2004

SCHEDULE I - EARNED INCOME

Name Ray H. LaHood

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Council of Independent Colleges	Spouse Salary	N/A
Council of American Overseas Research	Spouse Independent Contractor Fee	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ray H. LaHood

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	National City Savings & Investment Plan	$50,001 - $100,000	Deferred/Not self directed	NA/Retirement	
SP	National City Retirement Plan	$15,001 - $50,000	Deferred/Not self directed	NA-Retirement	
SP	TIAA-CREF	$15,001 - $50,000	Deferred/Not self directed	NA-Retirement	
SP	Morgan Stanley IRA Rollover - 120 Shares General Electric	$1,001 - $15,000	Deferred	$1 - $200	
SP	Morgan Stanley IRA Rollover - 200 shares RLI Corp	$1,001 - $15,000	Deferred	$1 - $200	
SP	Morgan Stanley IRA Rollover - 200 shares - IKON Office Solutions, Inc.	$1,001 - $15,000	Deferred	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ray H. LaHood

SP	Morgan Stanley IRA Rollover - 560 Shares Corning Inc	$1,001 - $15,000	Deferred	$1 - $200	
SP	Money Market within IRA Account	$1,001 - $15,000	Deferred	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Ray H. LaHood

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Morgan Stanley IRA Rollover - Caterpillar-60 shares	S	10/15/03	$1,001 - $15,000
SP	Morgan Stanley IRA Rollover- Caterpillar-200 shares	S	7/24/03	$1,001 - $15,000
SP	Morgan Stanley IRA Rollover - Corning-120 shares	P	6/18/03	$1,001 - $15,000
SP	Morgan Stanley IRA Rollover - Corning -400 shares	P	10/15/03	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Ray H. LaHood

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Morton Comm. Bank/Morton, IL	Student Loan	$50,001 - $100,000
JT	Direct Loans-U. S. Department of Education	Student Loan	$50,001 - $100,000
	Alpha Bank, Toluca, IL	Student Loan	$15,001 - $50,000
	Central IL Bank, Bloomington, IL	Short Term/Misc. Loan	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Ray H. LaHood

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Public Governance Institute	Feb. 28-Mar. 2	Wash., DC-White Sulphur Springs, WV-Wash. DC	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name Ray H. LaHood

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee (Unpaid)	Gallaudet University
Trustee (Unpaid)	Bradley University
Board of Reference (Unpaid)	Chicago Executive Forum

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(9)

2004 APR 29 PM 2:39

HC

(Office Use Only)

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

NICHOLAS V. LAMPSON
(Full Name)

8350 COLLIER RD, BEAUMONT, TX 77706 202-225-6565
(Mailing Address) Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives	State: TEXAS District: 9	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		4/28/04

2712

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	BEAUMONT INDEPENDENT SCHOOL DISTRICT	SPOUSE SALARY	39,000

For payments to charity in lieu of honoraria, use Schedule II.

2713

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
NONE			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A	Block B	Block C	Block D	Block E
		None / A / B / C $1,001 – $15,000 / D $15,001 – $50,000 / E $50,001 – $100,000 / F $100,001 – $250,000 / G $250,001 – $500,000 / H $500,001 – $1,000,000 / I $1,000,001 – $5,000,000 / J $5,000,001 – $25,000,000 / K $25,000,001 – $50,000,000 / Over $50,000,000	DIVIDENDS / RENT / INTEREST / CAPITAL GAINS / EXCEPTED TRUST / QUALIFIED BLIND TRUST / Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	None / I $1 – $200 / II $201 – $1,000 / III $1,001 – $2,500 / IV $2,501 – $5,000 / V $5,001 – $15,000 / VI $15,001 – $50,000 / VII $50,001 – $100,000 / VIII $100,001 – $1,000,000 / IX $1,000,001 – $5,000,000 / X $5,000,001 – $25,000,000 / XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock		X		X																					P
Examples:	Simon & Schuster	Indefinite																								
	1st Bank of Paducah, KY accounts												X	Royalties												
	TEXAS COUNTY AND DISTRICT RETIREMENT SYSTEM						X						X										X			
	THRIFT SAVINGS PLAN						X						X										X			

For additional assets and unearned income, use next page.

2715

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name NICK LAMPSON

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	1038 PARKMEADOW BEAUMONT, TEXAS					X									X											X						
JT	7090 PRUTZMAN #24 BEAUMONT, TEXAS				X										X									X								
JT	7090 PRUTZMAN #25 BEAUMONT, TEXAS				X										X									X								

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SCHEDULE IV — TRANSACTIONS

Name NICK LAMPSON

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10–12–03		X								
SP	KEITH BROUSSARD														
	NOTE RECEIVABLE PAID OFF		X		8-15-03		X								

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2717

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B	C $15,001–$50,000	D	E $100,001–$250,000	F	G $500,001–$1,000,000	H	I $5,000,001–$25,000,000	J	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	HIBERNIA BANK, BEAUMONT, TX	PRUTZMAN TOWNHOMES		X								
JT	GMAC MORTGAGE, WATERLOO, ILL	1038 PARKMEADOW	X									
JT	ESTATE OF W.G. HALL, DICKINSON, TX	PERSONAL LOAN		X								
JT	SOUTHWEST ~~[illegible]~~ BANK, DICKINSON, TX	2ND LIEN ON PARKMEADOW	X									
JT	MBNA MASTERCARD, WILMINGTON, DEL	CREDIT CARD		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
N/A		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	REPORTED ON MAY 15, 2003 REPORT AND SHOULD HAVE BEEN ON THIS ONE:						
	U.S. ASSOC OF FORMER MEMBERS OF CONGRESS (CONG. STUDY GROUP ON GERMANY)	APR 12–APR 18	DC TO GERMANY TO TERRS	Y	Y	Y	N

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2719

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
NONE	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

HAND DELIVERED

2721

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

(9) LEGISLATIVE [illegible]
2004 MAY 14 PM 5:10
MC
(Office Use Only)

James R. Langevin
(Full Name)

109 Cannon Washington, DC 20515
(Mailing Address)

202-225-2735
Daytime Telephone

Filer Status: ☑ Member of the U.S. House of Representative — State: RI — District: 02 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	James Langevin	5·14·04

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James R. Langevin

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Eaton Vance Nat'l Muni Fund	100,000-250,000	DIVIDENDS	$5,001 - $15,000	
Investment Company of America	$50,001 - $100,000	CAPITAL GAINS/Dividends	$201 - $1,000	
MS Aggressive Equity Fund B	$100,001 - $250,000	CAPITAL GAINS	$1 - $200	
Putnam Growth & Income Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
Putnam Voyager Fund	$50,001 - $100,000	CAPITAL GAINS	$1 - $200	
American WA Mutual	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	

2722

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James R. Langevin

	MS Global Utilities Fund B	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
	Muni Enhanced Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	340 Shawmut Ave, Warwick, RI	$100,001 - $250,000	RENT	$5,001 - $15,000	
	15 Jefferson St, Warwick, RI	$100,001 - $250,000	RENT	$5,001 - $15,000	
	Bonnet Shores Beach Cabana	$15,001 - $50,000		NONE	
	Citizens Bank (savings)	$1,001 - $15,000	INTEREST	$1 - $200	
	Nuveen Premium Inc. Mutual Fund	None	DIVIDENDS	$1,001 - $2,500	S
	MFS Municipal Income Trust	None	DIVIDENDS	$201 - $1,000	S
	Dreyfus Strategic Muni Bond Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	65 Chesterfield, Warwick, RI	$100,001 - $250,000	RENT	$5,001 - $15,000	
	17 Scott Ave, Warwick, RI	$100,001 - $250,000	RENT	$5,001 - $15,000	
	25 Scott Ave, Warwick, RI	$100,001 - $250,000	RENT	$5,001 - $15,000	
	15 Temple St, Warwick, RI	None	RENT	$5,001 - $15,000	S
	170 Shannon Drive, Warwick, RI	$100,001 - $250,000	RENT	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James R. Langevin

	83 Elmdale Ave, Warwick, RI	$100,001 - $250,000	RENT	$5,001 - $15,000	
	Sun Life of Canada (insurance policy)	$500,001 - $1,000,000	INTEREST (from principal on premium)	$5,001 - $15,000	
	Sun Life of Canada (results of demutualization of company)	$15,001 - $50,000	Other: (Please specify)	$201 - $1,000	
	US Savings Bonds	$1,001 - $15,000	N/A	NONE	
	International Value Equity B	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	M.S. Charter Campbell	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	M.S. Charter MSFCM	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	M.S. Charter Graham	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Managed Municipals Portfolio	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Munivest Fund II Inc.	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
	Van Kamp Muni Invest SBI	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P

SCHEDULE IV - TRANSACTIONS

Name James R. Langevin

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Dreyfus Strategic Muns. Inc.	S	5-6-03	$15,001 - $50,000
	MFS Municipal Income In SBI	S	5-6-03	$15,001 - $50,000
	Nuveen Premium Inc Mun Fund Inc.	S	10-23-03	$15,001 - $50,000
	VK Growth LG Cap 022	S	5-19-03	$1,001 - $15,000
	VK Select Ten Ind 02-2	S	5-6-03	$1,001 - $15,000
	VK Select Ten Ind 02-3	S	5-19-03	$1,001 - $15,000
	International Value Equity Fd B	S	6-11-03	$1,001 - $15,000
	15 Temple Street, Warwick, RI	S	2-28-03	$100,001 - $250,000
	MS Charter Campbell	P	6-1-03	$1,001 - $15,000
	MSDW Charter DWFCM LP	P	6-1-03	$1,001 - $15,000
	MS Charter Graham	P	6-1-03	$1,001 - $15,000
	Managed Municipals Portfolio	P	10-23-03	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name James R. Langevin

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Munivest Fd II Inc	P	5-6-03	$15,001 - $50,000
	Van Kamp Muni Trust SBI	P	5-6-03	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name James R. Langevin

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Morgan Stanley	Margin Debt	$100,001 - $250,000
	Washington Trust	Mortgage on 170 Shannon Drive, Warwick, RI	$50,001 - $100,000
	Domestic Bank	Mortgage on 340 Shawmut Ave, Warwick RI	$50,001 - $100,000
	Domestic Bank	Mortgage on 17 Scott Street, Warwick, RI	$100,001 - $250,000
	Domestic Bank	Mortgage on 83 Elmdale Ave, Warwick, RI	$100,001 - $250,000

2727

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name James R. Langevin

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
American Israel Education Foundation	Aug. 2-10	Wash, DC - Israel - Wash, DC	Y	Y	N	N/A

SCHEDULE VIII - POSITIONS

Name James R. Langevin

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Festival Ballet
Partner	Future Realty Company

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 JUN 10 PM 3:42
(Office Use Only)
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Dr. Thomas Peter Lantos
(Full Name)

2413 Rayburn House Office Buidling
(Mailing Address)

202=225-3531
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: CA 12 District:	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Tom Lantos	6/10/04

2730

SCHEDULE I – EARNED INCOME

Source	Type	Amount
State of California Public Systems	Pension	14,150
California Teachers Association	Pension	14,462

SCHEDULE III – ASSETS AND "UNEARNED" INCOME

	Asset and or/ Income Source	Value of Asset	Type of Income	Amount of Income	Transaction
	Keogh Retirement – Merrill Lynch (consists of;)				
	(1) Allstate Corp. Del	C	Dividends	II	
	(2) Morgan Stanley Dean Witter Disc.	C	Dividends	II	
	(3) Sears Roebuck	C	Dividends	II	
	(4) US Airways Group Del $1	N/A	N/A		Abandoned April 3, 2003
	(5) ML USA Gov't Reserves	F	Dividends	III	
JT	Merrill Lynch - CMA	D	Interest	II	
	Merrill Lynch IRA (Consists of;)				
	ML USA Gov't Reserves	C	Dividends	II	
SP	Merrill Lynch IRA (consists of;)				
	(1) Boeing	D	Dividends	III	
	(2) ML USA Gov't Reserves	B	Interest	II	
	(3) Cash Account	C	Interest	II	

JT	Wright-Patman Congressional Federal Credit Union – Money Market, Checking and Savings, Account	F	Interest	IV	
JT	Charles Schwab Account (Consists of;)				
	(1) AMR Corp	D	N/A	N/A	
	(2) Apple Computer Inc.	C	N/A	N/A	
	(3) Boeing Co	H	Dividends	VI	
	(4) Disney Walt Hld. Co	E	Dividends	III	
	(5) Eastman Kodak	F	Dividends	V	
	(6) General Electric	E	Dividends	IV	
	(7) Home Depot	A	Dividends	III	P/S
	(8) Northwest Airlines	F	N/A	N/A	
	(9) Oracle Corp.	C	N/A	N/A	
	(10) United Airline Corp. New	D	N/A	N/A	S
	(11) Unisys Corp	A	N/A	N/A	S
	(12) US Airways Group Inc.	A	N/A	N/A	Abandoned April 3, 2003
	(13) WESTJET Airlines Ltd	A	N/A	N/A	S
	(14) Xerox Corp.	F	N/A	N/A	

	(15) Schwab Money Market Fund	G	Dividends	IV	
SP	Multi Unit Apartment Building, 5940 Balzam Vancouver, B.C.	H	Gross Rent	IX	
			Net Rent	IX	
SP	Wachovia Checking (formerly First Union)– Money Market	E	Interest	II	

SCHEDULE IV – TRANSACTIONS

	Asset	Type of Transaction	Date	Amount of Transaction
	Home Depot	P	1/17/03	C
	Home Depot	S	12/22/03	C
	United Airlines Corp	S	12/22/03	B
	Unisys Corp.	S	12/22/03	C
	West Jet	S	12/22/03	C

SCHEDULE VII – TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Dates	Point of Departure – Point of Return	Lodging	Food	Family Member	Any time not at sponsor's expense
William Davidson Institute	Jun. 2 – Jun. 3	Washington, DC – Detroit, MI Detroit, MI – Washington, DC	N	N	N	N
Albanian-American Civic League	Jul. 28 – Aug. 4	Washington, DC – Budapest, Hungary – Podgorica, Montenegro - Washington, DC	Y	Y	Y	N

Schedule VIII – Positions

International Advisory Board (uncompensated)	Peres Peace Center
Board Member (uncompensated)	William Davidson Institute - University of Michigan Business School
International Advisory Board (Uncompensated)	Central European University

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

6

LEGISLATIVE RESOURCE CENTER
2004 MAY 14 PM 3:03

(Office Use Only)

Richard Ray Larsen
(Full Name)

9411 Kingsley Ave Bethesda MD 20814
(Mailing Address)

202-225-2605
Daytime Telephone

Filer Status: [X] Member of the U.S. House of Representatives — State: WA District: 07 [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) [ ] Amendment [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Richard R Larsen	05/11/04

2735

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A Asset and/or Income Source		BLOCK B Value of Asset	BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
			A None	B $1,001 – $15,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock				X								X																		P
			Simon & Schuster		Indefinite																Royalties								X				
			1st Bank of Paducah, KY accounts														X																
JT	Ent Mutual Checking Acct					X											X						X										
JT	Snohomish County PUD Credit Union/Savings			X													X						X										
JT	Congressional Federal Credit Union/Savings				X												X						X										
JT	Congressional Federal Credit Union/Checking			X													X						X										
JT	AXP New Dimensions A				X										X								X										
JT	AXP Diversified Equity Income A				X										X																		

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Richard R. Larson

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
JT	Equity Value A (AXP)		X					X						X						
JT	AXP Partners Small Cap A		X									none	X							
JT	AXP Equity Value B	X																		E
JT	AXP Partners Small Cap B	X																		E
SP	Twelgrid Karlin IRA (AXP TRUST CORP)																			
	AXP Blue Chip Advantage B		X					X		X			N/A	IRA FUND						
SP	Twelgrid Karlin TSCA (AXP Trust Corp)																			
	AXP New Dimensions B		X					X		X										
S	Variable Universal Life		X									NONE	X							
S	Flexible Annuity		X									NONE	X							
S	Richard R. Larson IRA																			
	AXP Diversified Equity Income B		X					X				NONE	N/A	IRA FUND						
	AXP New Dimensions B		X					X				NONE	N/A	IRA FUND						
S	Richard R. Larson Roth IRA																			
	AXP Partners Small Cap Value B		X									NONE	N/A	IRA FUND						

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2737

SCHEDULE IV — TRANSACTIONS

Name Richard Ray Larsen

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,000–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10–12–03		X								
JT	AXP Partners Small Cap Value B (converted to 'A' fund)			X	6/20/03	X									
JT	AXP Equity Value B (converted to 'A' fund)			X	6/20/03	less than $1000									

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2738

Name Richard R. Larsen | Page 4 of 5

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			[redacted]	C $15,001–$50,000	D [redacted]	E $100,001–$250,000	F [redacted]	G $500,001–$1,000,000	H [redacted]	I $5,000,001–$25,000,000	J [redacted]	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Evertrust Bank	Line of Credit		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

Name Richard R. Larsen | Page 5 of 5

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	American Israel Education Foundation	Aug 2-10, 2003	WA DC - Israel - Wash DC	Y	Y	N	N
	New Democrat Network	May 16-18, 2003	WA - DC → Kingsmill VA - WADC	Y	Y	Y	N

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
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(Office Use Only)
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

John B. Larson
(Full Name)

1887 Old Main Street East Hartford, CT 06108
(Mailing Address)

202-225-2265
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: CT District: 1st	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "unearned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/13/04

SCHEDULE I - EARNED INCOME

Name John B. Larson

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Networking Concepts, LLC	Insurance commissions for past services	Gross $50,004 Net $45,714
Larson Lysik, Inc.	Spouse Salary	$5,475
East Hartford Public Schools	Spouse Salary	$250
South Windsor Board of Education	Spouse Salary	$80

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name John B. Larson

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
External Affairs Group of Diageo, North America	Appearance	Mar. 12, 2003	$1,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John B. Larson

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Congressional FCU	$1,001 - $15,000	INTEREST	$1 - $200	
	Fleet Savings Bank	$1,001 - $15,000	INTEREST	$1 - $200	
	Galaxy Money Market Fund	$50,001 - $100,000	INTEREST/DIVIDENDS	$1 - $200	
	COMMON STOCK:				
	Larson & Lysik, Inc.	None	Other: None	NONE	S
	Networking Concepts, LLC	$50,001 - $100,000	Other: See Schedule I	See Schedule I	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John B. Larson

	NON-SELF DIRECTED IRAS:				
	Fleet Bank IRA	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Fleet Bank IRA	$1,001 - $15,000	INTEREST	$1 - $200	
	Fidelity Investments SEP. IRA	$50,001 - $100,000	DIVIDENDS	N/A	

SCHEDULE IV - TRANSACTIONS

Name John B. Larson

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Larson & Lysik Common Stock	S	09-02-03	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John B. Larson

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Public Governance Institute	Feb. 28-Mar. 2	Wash. D.C.-White Sulphur Springs, W.Va. - Wash. D.C.	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name John B. Larson

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	The Old State House
Member	River Front Recapture
Member	Networking Concepts, LLC

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED
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LEGISLATIVE RESOURCE CENTER
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OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Clerk Use Only)

MC

Thomas P. Latham
(Full Name)

178-180th Street
(Mailing Address)

Alexander, Iowa 50420

Daytime Telephone: 202-225-5476

Filer Status	[X] Member of the U.S. House of Representatives — State: Iowa District: 4	[ ] Officer or Employee	Employing Office:
Report Type	[ ] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Thomas P. Latham	May 13, 2004

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Latham Seed Co. Alexander, Iowa	Salary	$6,000

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B [illegible]	C $1,001 – $15,000	D [illegible]	E $50,001 – $100,000	F [illegible]	G $250,001 – $500,000	H [illegible]	I $1,000,001 – $5,000,000	J [illegible]	K $25,000,001 – $50,000,000	L Over [illegible]	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II [illegible]	III $201 – $1,000	IV [illegible]	V $2,501 – $5,000	VI [illegible]	VII [illegible]	VIII $15,001 – $50,000	IX $100,001 – $1,000,000	X [illegible]	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X																		
DC	Simon & Schuster			Indefinite																Royalties									X			P
JT	1st Bank of Paducah, KY accounts						X									X									X							
JT	Mollerman Farm 110.7 A. Alexander, Iowa							X							X										X							
JT	Latimer Farm 93.7 A. Latimer, Iowa						X								X										X							
JT	Blom Farm 76.5 A. Alexander, Iowa						X								X										X							

For additional assets and unearned income, use next page.

2751

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Thomas P. Latham

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: None	A	B	C $1,001 – $15,000	D	E $50,001 – $100,000	F	G $250,001 – $500,000	H	I $1,000,001 – $5,000,000	J	K $25,000,001 – $50,000,000	L	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: None	I	II $201 – $1,000	III	IV $1,001 – $2,500	V $2,501 – $5,000	VI	VII $15,001 – $50,000	VIII	IX $100,001 – $1,000,000	X	XI Over $5,000,000	BLOCK E Transaction P, S, E
	401K Pension Plan Fringe Benefit Design				X												N/A										N/A							
	Individual Retirement Acct Solomon Smith Barney			X													N/A										N/A							
	First Citizens National Bank Clarion, Iowa				X												X								X									
	Municipal Bonds-Solomon Smith Barney-State Univ. of Iowa Revs. Academic O-Cougon				X												X									X								
	Smith Barney Municipal Money Market FD-CL-A		X												X									X										
	Green Circle Inv. Inc. 18% Common Stock Bank Holding Co. For Peoples Trust + Savings Bank								X						X							X												

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Thomas P. Latham

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1,001 - $15,000	C $15,001 - $50,000	E $50,001 - $100,000	G $250,001 - $500,000	I $1,000,001 - $5,000,000	K $25,000,001 - $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	OTHER SALES	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Congressional Federal Credit Union Washington, D.C.			X							X						X										
	Peoples Trust + Savings Bank Adel, Iowa	X									X					X											
	G.E. Life + Annuity Co. Annuity Contract				X							N/A								N/A							
	Latham Seed Co. 1/3 Interest Common Stock, Seed Sales Alexander, Iowa						X								S-Corp Income								X				
	Farm Building Alexander, Iowa			X						X								X									
	DTB Farms, LLC Farm Oper. Partnership Alexander, Iowa 1/3 Share				X										Partnership						X						

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Thomas P. Latham

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Latham Farms, LLC Farm Oper. Partnership Alexander, Iowa 1/3 Share					X														Partnership	X											

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability								
				C $15,001–$50,000		E $100,001–$250,000		G $500,001–$1,000,000		I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X					
	Peoples Trust + Savings Bank Adel, Iowa	Unsecured		X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
N/A		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Chicago Board of Trade + Chicago Mercantile Exchange	Mar 14+15	Wash, D.C. - Boca Raton, FL. - Wash, D.C.	Y	Y	Y	N
	Nuclear Energy Institute	Aug 2-8	Wash, D.C.-Rome, It-Wash, D.C.	Y	Y	Y	2 Days

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Consultant	Latham Seed Co. Alexander, Iowa

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

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2004 MAY 17 PM 5:08

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

Steven C. LaTourette
(Full Name)

2453 Rayburn HOB
(Mailing Address)

Washington D.C.

Daytime Telephone: 202-225-5731

Filer Status: [X] Member of the U.S. House of Representatives — State: Ohio District: 14 [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) [ ] Amendment [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	S C LaTourette	5/14/04

Name Steve LaTourette | Page 2 of 3

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	Transaction P, S, or E
SP	Mega Corp. Stock			X				X										P
DC	Simon & Schuster		Indefinite								Royalties					X		
JT	1st Bank of Paducah, KY accounts								X									
	Thrift Savings								X									

For additional assets and unearned income, use next page.

2759

Name Steve Latourette | Page 2 of 3

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
				C $15,001–$50,000		E $100,001–$250,000		G $500,001–$1,000,000		I $5,000,001–$25,000,000		K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Bank One	Credit Card										

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE C...
04 MAY 18 PM 12:43
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES
INSIDE MAIL
(Office Use Only)

Steven C. LaTourette
(Full Name)
2453 Rayburn HOB
(Mailing Address)
Washington D.C.
Daytime Telephone: 202-225-5731

Filer Status: [X] Member of the U.S. House of Representatives — State: Ohio District: 14 — [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	S. LaTourette	5/14/04

2761

Name Steve LaTourette | Page 2 of 3

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000	L	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – Over $5,000,000	XI	P, S, E
SP	Mega Corp. Stock				X									X																		P
DC	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY accounts						X																									
	Thrift Savings			X												X								X								

For additional assets and unearned income, use next page.

2762

Name Steve Latourette | Page 2 of 3

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Bank One	Credit Card	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

2004 MAY 17 PM 12:41

36

HAND DELIVERED MC

(Office Use Only)

Honorable James Leach
(Full Name)

2186 Rayburn House Office Building Washington, DC 20515 202-225-6
(Mailing Address) Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: Iowa District: 2nd	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
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EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	James A. Leach	5/17/04

2764

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James Leach

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Abbott Labs	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Abbott Labs	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Abbott Labs	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Alcoa Inc.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Alcoa, Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Alcoa, Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

2765

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James Leach

	Amgen	$15,001 - $50,000	DIVIDENDS	NONE	P
	Amn Water Works	None	DIVIDENDS	$1 - $200	S
SP	Anthem	$1,001 - $15,000	DIVIDENDS	NONE	P
	Anthem	$1,001 - $15,000	DIVIDENDS	NONE	P
	Anthem Inc	$15,001 - $50,000	DIVIDENDS	NONE	P
	Bell South Corp	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Boeing Company	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Bristol Myers Squibb Co.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Broadcom Corp	$1,001 - $15,000	DIVIDENDS	NONE	P
	Broadcom Corp	$15,001 - $50,000	DIVIDENDS	NONE	P
SP	Broadcom Corp	$1,001 - $15,000	DIVIDENDS	NONE	P
	Burlington Resources	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
	Carnival Corporation	None	DIVIDENDS	NONE	S
SP	Carnival Corporation	None	DIVIDENDS	NONE	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James Leach

SP	Cendant Corp	$1,001 - $15,000	DIVIDENDS	NONE	P
	Cendant Corp	$15,001 - $50,000	DIVIDENDS	NONE	P
SP	Chevron Texaco	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS
	Chevron Texaco	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS
	Chevron Texaco Corp	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
	Cisco Systems Inc	$50,001 - $100,000	DIVIDENDS	NONE	P
SP	Cisco Systems, Inc.	$15,001 - $50,000	DIVIDENDS	NONE	PS
	Cisco Systems, Inc.	$15,001 - $50,000	DIVIDENDS	NONE	PS
	CMA Money Fund	$100,001 - $250,000	INTEREST	$201 - $1,000	
DC	CMA Money Fund	$1,001 - $15,000	INTEREST	$1 - $200	
SP	CMA Money Fund	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
DC	CMA Money Fund	$1,001 - $15,000	INTEREST	$1 - $200	
SP	CMA Money Fund	$50,001 - $100,000	INTEREST	$201 - $1,000	
	CMA Money Fund	$15,001 - $50,000	INTEREST	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James Leach

	CMA Money Fund	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	CMA Treasury Fund	$15,001 - $50,000	INTEREST	$1 - $200	
	Colgate Palmolive	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS
SP	Colgate Palmolive	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
	Colgate Palmolive	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Congressional Credit Union	$1 - $1,000	INTEREST	NONE	
	Danaher Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Danaher Corp Del	$15,001 - $50,000	Dividend	$1 - $200	
	Danaher Corp Del	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	Danaher Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Dell Computer Corp	$1,001 - $15,000	DIVIDENDS	NONE	PS
	Dell Computer Corp	$15,001 - $50,000	DIVIDENDS	NONE	PS
	Dell Inc	$15,001 - $50,000	DIVIDENDS	NONE	P
	Domtar, Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James Leach

	Entergy Corp NEW	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Entergy Corp.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS
	Entergy Corp.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Ericsn LM Tel	$1,001 - $15,000	DIVIDENDS	NONE	
	Exxon Mobil Corp.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Exxon Mobile	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PS
SP	Exxon Mobile	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS
SP	Federated Department Stores	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Federated Department Stores	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Federated Department Stores	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	First Data Corp	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	Foxley Cattle Company - Sales Contract	$1,000,001 - $5,000,000	CONTRACT PAYMENT	$50,001 - $100,000	
	FPL Group, Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Gannett Co.	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James Leach

	Gannett Co.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS
	Gannett Co.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Gap Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Gap, Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Gap, Inc	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
DC	GATX Corporation	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	GATX Corporation	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Genentech	$15,001 - $50,000	DIVIDENDS	NONE	P
SP	Genentech	$1,001 - $15,000	DIVIDENDS	NONE	P
	Genentech	$15,001 - $50,000	DIVIDENDS	NONE	P
	General Electric	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	P
	General Electric	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	General Electric	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	General Electric	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James Leach

DC	Goldman Sachs	$50,001 - $100,000	DIVIDENDS	NONE	
	Great Plains Energy	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Harley Davidson	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	HCA Inc.	None	DIVIDENDS	$1 - $200	S
	HCA Inc.	None	DIVIDENDS	NONE	S
	Hewlett Packard	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Hewlett Packard	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Hewlett Packard	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Honeywell	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Honeywell	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Honeywell	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	IMC Global Inc. Dev PV	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Intel Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Intel Corp.	$15,001 - $50,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James Leach

	Intel Corp.	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
	Intl Flavors & Fragrances	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Intl Paper Co.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Intl Paper Co.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Intl Paper Co.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Intl. Business Mach.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Intl. Business Mach.	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
SP	Intl. Business Mach.	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
	Johnson & Johnson	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Johnson & Johnson	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Johnson & Johnson	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Johnson & Johnson	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Kellogg Co	None	DIVIDENDS	$1 - $200	S
SP	Kellogg Co	None	DIVIDENDS	$1 - $200	PS

	Leach Family Farms, Inc. - Farm land in Dallas and Mitchell Counties, Iowa	$250,001 - $500,000	DIVIDENDS	$5,001 - $15,000	
DC	Lee Enterprises	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
DC	Lee Enterprises	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
	Lee Enterprises	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Lee Enterprises	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Lee Enterprises, Inc. CLB	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Lockheed Martin Corp	None	DIVIDENDS	NONE	S
SP	Lockheed Martin Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Lowes	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
	Lowes	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	Lowes	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
	Lucent Technologies	$1,001 - $15,000	DIVIDENDS	NONE	
	Mattell, Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	McCleod USA	$1 - $1,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James Leach

DC	McCleod USA	$1 - $1,000	DIVIDENDS	NONE	
	McDonalds	$1,001 - $15,000	DIVIDENDS	NONE	P
	McDonalds	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	McDonalds	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Medtronic	None	DIVIDENDS	$1 - $200	PS
SP	Medtronic	None	DIVIDENDS	$1 - $200	S
	Mehta Tech Holdings, LLC - holder of assets of Mehta Tech Inc - Eldridge, Iowa	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	Mehta Tech, Inc. - maker of fault event recorders in Eldridge, Iowa	$1,000,001 - $5,000,000	INTEREST	$15,001 - $50,000	
	Merck & Co	None	DIVIDENDS	$201 - $1,000	PS
	Merck & Co	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Merck & Co	None	DIVIDENDS	$1 - $200	PS
	Michigan Mun. Bd Auth. Dev	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
SP	Microsoft	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
	Microsoft	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James Leach

	Microsoft	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	P
	ML Eurofund FD CL A	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Motorola, Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Nestle	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Newell Rubbermaid	None	DIVIDENDS	$1 - $200	PS
SP	Newell Rubbermaid	None	DIVIDENDS	$1 - $200	PS
	Nextell Communications	$15,001 - $50,000	DIVIDENDS	NONE	P
	Nextell communications	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Nextell Communications	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Nokia Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Nokia Corp.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Nokia Corp.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Pepsico	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS
SP	Pepsico	$1,001 - $15,000	DIVIDENDS	$1 - $200	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James Leach

	Pepsico	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Pfizer Inc.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Pfizer, Inc.	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	P
	Pfizer, Inc.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PS
	Potash Corp. Saskatchewan	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Regal Beloit Corp.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Retirement Reserves Fund	$1 - $1,000	INTEREST	$1 - $200	
SP	Retirement Reserves Fund	$1 - $1,000	INTEREST	$1 - $200	
	Sara Lee Corp.	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	SBC Communications, Inc.	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Sears Roebuck	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Sears Roebuck	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Sears Roebuck	$1,001 - $15,000	DIVIDENDS	NONE	P
	Standard & Poors Deposit Receipts	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James Leach

SP	Staples	$1,001 - $15,000	DIVIDENDS	NONE	P
	Staples	$15,001 - $50,000	DIVIDENDS	NONE	P
	Staples	$15,001 - $50,000	DIVIDENDS	NONE	PS
SP	Sysco Corporation	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Sysco Corporation	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Sysco Corporation	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	Sysco Corporation	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Target Corp.	None	DIVIDENDS	$1 - $200	PS
	Target Corp.	None	DIVIDENDS	$1 - $200	PS
	Templeton Foreign Fund	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Texas Instruments	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
	Texas Instruments	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	Texas Instruments	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	The Carderock Group Club - Investment Club, Washington DC area	$1 - $1,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James Leach

	Toys R Us	$1,001 - $15,000	DIVIDENDS	NONE	
SP	Union Pacific Corp	None	DIVIDENDS	$1 - $200	PS
	United Technologies	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	P
	United Technologies	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PS
SP	United Technologies	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
	Verizon Communications	None	DIVIDENDS	NONE	PS
	Verizon Communications	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Verizon Communications	None	DIVIDENDS	$201 - $1,000	PS
SP	Viacom Inc	None	DIVIDENDS	$1 - $200	PS
	Vodafone Airtouch	$15,001 - $50,000	DIVIDENDS	$1 - $200	PS
	Wal Mart	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Wal Mart	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	Wal Mart	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Wells Fargo IRA Account	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James Leach

	Wells Fargo IRA Account	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Wyoming Mineral Rights - inherited rights with property in Wyoming	$1,001 - $15,000	RENT	$5,001 - $15,000	
	Zimmer Holdings, Inc.	$1,001 - $15,000	DIVIDENDS	NONE	P

SCHEDULE IV - TRANSACTIONS

Name Honorable James Leach

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
P	Zimmer Holdings, Inc	P	03/20/03	$1,001 - $15,000
SP	Clear Channel Communications	PS	P 02/20/2003 P 03/20/03 S 10/01/03	$1,001 - $15,000
SP	Microsoft	P	S 02/21/03 P 03/07/03 P 03/13/03 S 03/25/03	$1,001 - $15,000
SP	Pepsico	S	02/05/03	$1,001 - $15,000
SP	Sysco Corporation	P	02/11/03 04/10/03 05/30/03 09/10/03	$1,001 - $15,000
	Carnival Corporation	S	02/18/03	$1,001 - $15,000
	Lockhead Martin Corp.	S	02/18/03	$1,001 - $15,000
SP	Abbott Labs	P	03/17/03	$1,001 - $15,000
SP	Apollo Group	PS	P 03/07/03 S 05/30/03	$1,001 - $15,000
SP	Alcoa	P	P 03/13/03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Amgen Inc	P	03/07/03	$1,001 - $15,000
SP	Chevron Texaco	PS	P 03/18/03 S 04/22/03	$1,001 - $15,000
SP	Cisco Systems, Inc	PS	03/18/03 03/20/03 04/10/03 S 07/31/03	$1,001 - $15,000
SP	Colgate Palmolive	PS	P 03/13/03 S 09/19/03	$1,001 - $15,000
SP	Dell Computer Corp	PS	P 03/07/03 P 03/17/03 S 05/21/03 S 07/31/03	$1,001 - $15,000
	Entergy Corp	P	03/13/03	$1,001 - $15,000
SP	Exxon Mobil	PS	P 03/07/03 P 03/13/03 S 11/20/03	$1,001 - $15,000
SP	Gannett Co.	P	03/17/03	$1,001 - $15,000
SP	General Electric	P	03/07/03 03/13/03 03/20/03	$1,001 - $15,000
	INTL Business Mach	PS	P 03/07/03 S 07/31/03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	INTL Paper Co	P	03/17/03	$1,001 - $15,000
	Johnson & Johnson	P	03/07/03	$1,001 - $15,000
SP	Lowes	PS	P 03/19/03 P 03/20/03 S 09/15/03 S 09/23/03 S 10/17/03	$1,001 - $15,000
SP	Merck & Co	PS	P 03/07/03 S 04/30/03 S 05/09/03 P 07/31/03 S 08/19/03	$1,001 - $15,000
SP	Newell Rubbermaid	PS	P 03/20/03 S 06/17/03	$1,001 - $15,000
SP	Nokia	P	03/14/03 03/19/03	$1,001 - $15,000
SP	Pfizer Inc	P	03/13/03 03/17/03 05/30/03 09/10/03	$1,001 - $15,000
	Texas Instruments	P	02/28/03 03/13/03	$1,001 - $15,000
SP	United Technologies	P	03/13/03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Target	PS	S 03/07/03 P 03/19/03 S 05/20/03	$1,001 - $15,000
SP	Verizon Communications	PS	P 03/20/03 S 10/31/03	$1,001 - $15,000
	Vodafone Airtouch	PS	P 02/28/03 P 03/13/03 P 09/12/03 S 12/10/03	$1,001 - $15,000
SP	Viacom	PS	P 03/17/03 P 03/20/03 S 12/05/03	$1,001 - $15,000
SP	Wal Mart	P	P 03/19/03 P 04/10/03 P 05/20/03 P 09/10/03	$1,001 - $15,000
SP	HCA Inc	S	04/10/03	$1,001 - $15,000
SP	Kellogg Co	PS	P 04/10/03 S 09/09/03	$1,001 - $15,000
SP	Mylan	PS	05/01/03 05/30/03 S 09/10/03	$1,001 - $15,000
SP	Du Pont	PS	P 05/08/03 P 07/31/03 S 10/17/03	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Hewlett Packard	P	05/28/03 07/31/03	$1,001 - $15,000
	Burlington Resources	PS	P 06/25/03 S 10/27/03	$1,001 - $15,000
SP	Raytheon Co	PS	P 06/30/03 P 07/31/03 S 11/25/03	$1,001 - $15,000
SP	Danaher Corp	P	07/08/03 09/10/03	$1,001 - $15,000
SP	Oracle Corp	PS	P 07/31/03 S 10/16/03	$1,001 - $15,000
SP	Staples	PS	P 07/31/03 S 10/14/03	$1,001 - $15,000
SP	Amgen Inc	PS	P 09/10/03 S 12/26/03	$1,001 - $15,000
SP	McDonalds	P	09/22/03	$1,001 - $15,000
SP	Broadcom Corp	P	10/03/03	$1,001 - $15,000
SP	Gannett Co	S	10/17/03	$1,001 - $15,000
SP	Intel Corp	P	10/17/03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Anthem	P	10/30/03	$1,001 - $15,000
SP	Sears Roebuck	P	11/04/03	$1,001 - $15,000
SP	Genentech	P	11/10/03	$1,001 - $15,000
SP	Nextell Communications	P	11/24/03	$1,001 - $15,000
SP	Cendant	P	11/25/03	$1,001 - $15,000
SP	Federated Department Stores	P	12/12/03	$1,001 - $15,000
SP	Medtronic	S	12/19/03	$1,001 - $15,000
SP	Gap, Inc	P	12/23/03	$1,001 - $15,000
SP	Honeywell	P	08/19/03	$1,001 - $15,000
	Sysco Corporation	P	02/11/03 05/30/03 09/10/03	$1,001 - $15,000
SP	Carnival Corp	S	02/18/03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Clear Channel Communications	PS	P 02/25/03 P 03/20/03 S 07/31/03 S 10/01/03	$1,001 - $15,000
	Texas Instruments	PS	P 03/05/03 P 03/21/03 S 09/10/03	$1,001 - $15,000
	Apollo Group	PS	P 03/12/03 S 05/30/03	$1,001 - $15,000
	Amgen Inc	PS	P 03/12/03 P 09/10/03 S 04/22/03 S 10/14/03 S 12/26/03	$1,001 - $15,000
	Dell Computer Corp	PS	P 04/10/03 S 10/14/03	$1,001 - $15,000
	HCA Inc	S	03/12/03	$1,001 - $15,000
SP	Intl Business Mach	PS	P 03/12/03 S 07/31/03	$1,001 - $15,000
SP	Johnson & Johnson	P	03/12/03	$1,001 - $15,000
	Merck & Co	PS	03/12/03 07/31/03 S 04/30/03 S 05/09/03 S 08/19/03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Microsoft	P	03/12/03	$1,001 - $15,000
	Nokia Corp	P	03/19/03 03/24/03	$1,001 - $15,000
	Abbott Labs	P	03/20/03	$1,001 - $15,000
	Colgate Palmolive	PS	P 03/20/03 S 07/31/03 S 09/19/03	$1,001 - $15,000
	Dell Computer Corp	PS	P 03/20/03 S 05/21/03 S 07/31/03 S 09/10/03	$1,001 - $15,000
SP	Entergy Corp	PS	P 03/20/03 S 09/10/03	$1,001 - $15,000
	Gannett Co	PS	P 03/20/03 S 07/31/03 S 10/14/03 S 10/17/03	$1,001 - $15,000
SP	Intl Paper Co	P	03/20/03	$1,001 - $15,000
	Medtronic Inc	PS	P 03/17/03 P 03/20/03 S 07/31/03 S 12/19/03	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Pepsi Co	PS	P 03/20/03 S 07/31/03	$1,001 - $15,000
	Pfizer Inc	PS	P 03/20/03 P 05/30/03 P 09/10/03 S 07/31/03	$1,001 - $15,000
SP	Union Pacific Corp	PS	P 03/20/03 S 09/10/03 S 11/26/03	$1,001 - $15,000
	Viacom	PS	P 03/20/03 S 12/05/03	$15,001 - $50,000
	Chevron Texaco	PS	03/20/03 S 04/22/03	$1,001 - $15,000
	Cisco Systems, Inc	PS	P 03/20/03 S 07/31/03 S 09/10/03	$1,001 - $15,000
SP	Texas Instruments	P	P 03/20/03 P 03/5/03	$1,001 - $15,000
	Vodafone GRP	PS	P 03/20/03 P 09/12/03 S 12/10/03	$15,001 - $50,000
	Lowes	PS	P 03/24/03 P 03/25/03 S 07/31/03 S 09/15/03 S 09/23/03 S 10/27/03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Honorable James Leach

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Target Corp	PS	P 03/24/03 S 03/07/03 S 05/20/03	$1,001 - $15,000
	Exxon Mobil	PS	P 03/25/03 S 11/20/03	$1,001 - $15,000
	Newell Rubbermaid	PS	P03/25/03 S 06/17/03	$1,001 - $15,000
	United Techs	PS	P 03/25/03 S 07/31/03 S 10/14/03	$1,001 - $15,000
	Verizon Communications	PS	P 03/25/03 S 10/31/03	$1,001 - $15,000
SP	Viacom	PS	P 03/25/03 S 07/31/03	$1,001 - $15,000
	HCA	PS	P 04/10/03 S 04/21/03	$1,001 - $15,000
	Wal Mart	P	04/10/03 05/20/03 09/10/03	$1,001 - $15,000
	Mylan Lab	PS	P 05/01/03 P 05/30/03 S 09/10/03	$1,001 - $15,000
	Du Pont	PS	P 05/08/03 P 07/31/03 S 10/17/03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Honorable James Leach

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Hewlett Packard	P	05/28/03 07/31/03	$1,001 - $15,000
	Raytheon co	PS	P 06/30/03 P 07/31/03 S 11/25/03	$1,001 - $15,000
	Danaher Corp	P	07/08/03	$1,001 - $15,000
	Oracle	PS	P 07/31/03 S 10/16/03	$1,001 - $15,000
	Staples	PS	07/31/03 S 10/14/03	$1,001 - $15,000
	Kellogg Co	S	09/09/03	$1,001 - $15,000
	Broadcom Corp	P	10/03/03	$1,001 - $15,000
	McDonalds	P	10/14/03	$1,001 - $15,000
	Intel Corp	P	10/17/03	$1,001 - $15,000
	Anthem	P	10/30/03	$1,001 - $15,000
	Sears Roebuck	P	11/04/03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Honorable James Leach

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Genentech	P	11/10/03	$1,001 - $15,000
	Nextell Communications	P	11/24/03	$1,001 - $15,000
	Federated Department Stores	P	12/10/03	$1,001 - $15,000
	GAP, Inc	P	12/23/03	$1,001 - $15,000
	Honeywell	P	08/19/03	$1,001 - $15,000
	Alcoa Inc.	P	04/9/03	$15,001 - $50,000
	Anthem Inc	P	10/27/03 12/19/03	$15,001 - $50,000
	Broadcom Corp	P	08/20/03	$15,001 - $50,000
	Cendant Corp	P	12/02/03 12/19/03	$15,001 - $50,000
	Chevron Texaco Corp	P	04/03/03 04/23/03	$15,001 - $50,000
	Cisco Systems Inc	P	03/25/03 04/03/03 04/09/03 04/10/03 06/24/03	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Honorable James Leach

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Colgate Palmolive	P	04/03/03 08/04/03	$15,001 - $50,000
	Danaher Corp Del	P	06/20/03 06/24/03	$15,001 - $50,000
	Dell Inc	P	04/03/03	$15,001 - $50,000
	Entergy Corp NEW	P	04/03/03	$15,001 - $50,000
	Exxon Mobil Corp.	P	04/03/03 04/09/03 08/04/03	$15,001 - $50,000
	First Data Corp	P	04/09/03 11/17/03	$15,001 - $50,000
	Federated Department Stores	P	12/09/03 12/19/03	$15,001 - $50,000
	Gannett Co.	P	04/03/03 04/23/03	$15,001 - $50,000
	Gap, Inc	P	12/16/03	$15,001 - $50,000
	Genentech	P	11/05/03	$15,001 - $50,000
	General Electric	P	04/03/03 05/21/03 08/04/03 12/19/03	$50,001 - $100,000

SCHEDULE IV - TRANSACTIONS

Name Honorable James Leach

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Honeywell	P	08/06/03	$15,001 - $50,000
	Hewlett Packard	P	05/21/03 05/28/03	$15,001 - $50,000
	Intel Corp.	P	10/14/03	$15,001 - $50,000
	Intl. Business Mach.	P	10/14/03 4/03/03 05/30/03	$15,001 - $50,000
	Intl Paper Co.	P	04/03/03 05/28/03	$15,001 - $50,000
	Johnson & Johnson	P	04/03/03 04/23/03	$15,001 - $50,000
	Lowes	P	04/03/03 05/21/03	$15,001 - $50,000
	McDonalds	P	09/17/03 12/19/03	$15,001 - $50,000
	Microsoft	P	04/03/03 04/23/03 05/21/03	$50,001 - $100,000
	Nextell Communications	P	11/17/03 11/18/03	$15,001 - $50,000
	Pepsico	P	04/03/03	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Honorable James Leach

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Pfizer, Inc.	P	05/21/03 08/01/03 12/19/03	$50,001 - $100,000
	Sears Roebuck	P	10/28/03 12/19/03	$15,001 - $50,000
	Staples	P	07/09/03 08/04/03 12/02/03	$15,001 - $50,000
	Sysco Corporation	P	04/09/03	$15,001 - $50,000
	Texas Instruments	P	04/03/03	$15,001 - $50,000
	United Technologies	P	04/03/03 04/23/03	$50,001 - $100,000
	Wal Mart	P	04/03/03 05/30/03	$15,001 - $50,000
	Abbott Labs	P	04/09/03	$15,001 - $50,000
	Amn Water Works	S	1/10/03	$15,001 - $50,000
	Merck & Co	S	08/06/03	$15,001 - $50,000
	Del Monte Foods	S	03/27/03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Honorable James Leach

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Heinz H J	S	03/27/03	$15,001 - $50,000
	TXU	S	03/27/03	$15,001 - $50,000
	Vivendi Universal	S	03/27/03	$1,001 - $15,000
	Electronic Arts	S	01/13/03	$1,001 - $15,000
	Target Corp	S	05/23/03	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name Honorable James Leach

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Wells Fargo, NA	Mortgage on Maryland Residence	$500,001 - $1,000,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Honorable James Leach

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Trustee Meeting Princeton University	Jan 23-25	Wash., D.C. -- Princeton--Wash., DC	Y	Y	N	NA
Trustee Meeting Princeton University	Apr. 10- 13	Wash., D.C -- Princeton-- Wash., D.C.	Y	Y	N	NA
Princeton Conference Wiliamsburg, VA	June 19-22	Wach., D.C -- Williamsburg-- Wash., D.C	Y	Y	Y	NA
Trustee Meeting Princeton	Nov 13-15	Wash., D.C--Princeton-- Wash., D.C	Y	Y	Y	NA
Kettering Foundation	Dec 10 - 11	Wash., DC -- Dayton--Iowa	Y	Y	N	NA

SCHEDULE VIII - POSITIONS

Name Honorable James Leach

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Vice Chair of the Board	The Century Foundation
Member of the Board	John Hopkins University School of Advanced International Studies Advisory Council
Member of the Board	The Kettering Foundation, Dayton, Ohio
Member of Congressional Advisory committee	John E. Moss Foundation
Member fo Congressional Advisory Committee	The Victims of Communism Memorial Fund
Trustee, Princeton Universiry, Princeton, N.J.	Princeton University, Princeton, N.J.
Member of Congressional Advisory Committee	The Population Institute
Member, Board of Governors	Partnersip For Public Service

FOOTNOTES

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	This asset was purchased on 6/19/89 and was inadvertently omitted on earlier reports.	Lucent Technologies

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

2004 MAY 17 PM 3:59

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Barbara Lee
(Full Name)

1724 Longworth HOB Washington, DC 20215
(Mailing Address)

(202) 225-2661
Daytime Telephone

(Office Use Only)

Filer Status ☑ Member of the U.S. House of Representatives — State: CA — District: 9 — Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) — Amendment — Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐	No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☑	No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑	No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐	No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐	No ☑
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐	No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑	No ☐
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑	No ☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐	No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification

I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.

Signature of Reporting Individual: Barbara Lee

Date (Month, Day, Year): May 14, 2004

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name Barbara Lee

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Third World Press	Article	Dec. 31, 2003	$100
Wellesley Center for Women, Wellesley College	Lecture	Jan. 26, 2003	$2,000
Christians for Justice of United Church of Christ	Speech	July 13, 2003	$500

SCHEDULE Iii - ASSETS AND ' JNEARNED" INCOME

Name Barbara Lee

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
W.G. Parish Co., Inc. Note from sale of business, payments received from Guiltone Properties, Inc.	None	Note Payment	$201 - $1,000	Discharged in company bankruptcy.
Merrill Lynch Cash Management Account	$1,001 - $15,000	INTEREST	$201 - $1,000	
Charles Schwab Money Market Account	$1,001 - $15,000	INTEREST	$1 - $200	
State of California Savings Plus Deferred				
Comp Program - held in the following funds:				
Glenmede Inst. International	$1,001 - $15,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Barbara Lee

T. Rowe Price Mid Cap. Gr.	$1,001 - $15,000		NONE
Domini Social Equity	$15,001 - $50,000		NONE
Vanguard Total Bond Inst.	$15,001 - $50,000		NONE
1250 4th Street SW, Apt. W 400, Washington, D.C. Rental income for parking space (personal residence)	$100,001 - $250,000	RENT	$1,001 - $2,500
Merrill Lynch Retirement Acct - Includes the following assets:			
Merrill Lynch Money Market Account	$1 - $1,000		NONE
Xerox Corp. Stock	$1,001 - $15,000		NONE
Lucent Technologies Inc. Stock	$15,001 - $50,000		NONE
Pimco Small Cap Value Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200
Delaware Trend Fund	$1,001 - $15,000		NONE
Mass. Investors Growth Fund	$1,001 - $15,000		NONE
Van Kamp Growth Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200
California State RFDG Bonds	$1,001 - $15,000	INTEREST	$201 - $1,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Barbara Lee

California State Municipal Bonds (Held in Merrill Lynch Cash Management Account)	$1,001 - $15,000	INTEREST	$201 - $1,000	
U.S. Treasury Bills	$15,001 - $50,000	INTEREST	$1 - $200	P, S

SCHEDULE V - LIABILITIES

Name Barbara Lee

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Barbara Lee

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
National Organization of Legal Service Workers, International Union UAW, Local 2320, AFL-CIO (Speech)	Jan14-15	Oakland--Las Vegas--Oakland	Y	Y	N	N/A
Wellesley Center for Women, Wellesley College (Lecture)	Jan 24-27	San Francisco--Boston--Wash., D.C.	Y	N	N	N/A
Urbanists International/The Center for International Policy (Fact-finding Trip)	Feb. 21-24	Wash., D.C.--Miami--Cuba--Miami--Wash., D.C.	Y	Y	N	N/A
The Faith and Politics Institute (Civil Rights Pilgrimage)	Mar. 7-9	Wash., D.C.--Selma, Alabama--Wash., D.C.	Y	Y	N	N/A
Rainbow Push Coalition (Keynote Speech)	June 22-23	Las Vegas--Chicago--Wash., D.C.	Y	N	N	N/A
Christians For Justice Action of United Church of Christ (Speech)	July 13-14	Wash., D.C.--St. Paul, Minn.--Wash., D.C.	Y	Y	N	N/A
Loretto Community, Sisters of Loretto (Keynote Speech)	July 26-27	Wash., D.C.--St. Louis, MO--Wash., D.C.	Y	Y	N	N/A
Bennett College (Speech)	Oct. 10	Wash., D.C.--Greensboro, NC--Oakland, CA	N	N	N	N/A

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Barbara Lee

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Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Congressional Black Caucus Foundation (Congressional Tri-Caucus Retreat)	Oct. 23-26	Oakland--San Juan, PR--Los Angeles	Y	Y	N	N/A
Houston Peace & Justice Center (Speech)	Nov 15-16	San Francisco--Houston--San Francisco	Y	N	N	N/A

SCHEDULE VIII - POSITIONS

Name Barbara Lee

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Ghana Children's Fund (Nonprofit, Public Benefit Corporation, a Project of the East Bay Community Foundation)
Honorary Chairman	The Global AIDS Alliance (Nonprofit, Public Benefit Corporation)

13

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LEGISLATIVE RESOURCE CENTER

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(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

Sander M. Levin
(Full Name)

2300 Rayburn House Office Bldg. Washington, DC 20515
(Mailing Address)

202-225-4961
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: MI District: 12	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Sander M. Levin	5/12/04

2809

SCHEDULE I - EARNED INCOME

Name Sander M. Levin

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Victoria Levin, National Institute of Mental Health	Spouse Salary	$80,244

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name Sander M. Levin

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Detroit Free Press	Article	May 15, 2003	$100

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Sander M. Levin

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Wright Patman Federal Credit Union	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	1/6 interest in 18 acres, Tyrone Township, Michigan	$15,001 - $50,000	N/A	NONE	
JT	1/2 interest in 78 acres, Tyrone Township, Michigan	$100,001 - $250,000	N/A	NONE	
	1/9 individual interest in commercial real estate, West Maple, Birmingham, Michigan	$100,001 - $250,000	RENT	$15,001 - $50,000	
	5.3% interest in Michigan mineral rights previously held by LNS Co., a general partnership now liquidated (see attached)	$15,001 - $50,000	N/A	$5,001 - $15,000	Royalty

	5.3% interest in LRS Co., a general partnership owning real estate in Romulus and Pontiac Townships, Michigan and accounts receivable	$1,001 - $15,000	CAPITAL GAINS/INTERES T	$5,001 - $15,000	
JT	House, Lot 4, West Tisbury, Mass.	$500,001 - $1,000,000	RENT	$15,001 - $50,000	
JT	Martha's Vineyard Cooperative Bank	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Loan: Clark and Bonnie Barnes, Victoria Rd., Tyrone Township, Livingston Co., Michigan	$1,001 - $15,000	N/A	NONE	
JT	Wachovia Bank (formerly First Union)	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Merrill Lynch IRA (successor to Merrill Lynch IRA accounts as listed on Schedule IV) Contains: American Income Fund of America CL C; American Capital Income Building CL C; American Bond Fund of America CL C	$18,737	DIVIDENDS	$201 - $1,000	Reinvested
	Merrill Lynch CMA Account (Proceeds from spouse inheritance) Contains: American Income Fund of America CL C; American Capital Income Builder CL C; American Mutual Fund Class C; American Tax Exempt Fund of Maryland CL C	$256,736	DIVIDENDS/INTE REST	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Sander M. Levin

	Merrill Lynch IRA (successor to KEOGH plans as listed on Schedule IV) Contains: American Income Fund of America CL C; American Capital Income Builder CL C; American Bond Fund of America CL C	$58,083	DIVIDENDS	$1,001 - $2,500	Reinvested
SP	IRA - Merrill Lynch Fundamental Growth D	None	PROCEEDS	$2,501 - $5,000	
SP	IRA - Merrill Lynch U.S. Govt. Morgage Fund CL D	None	PROCEEDS	$5,001 - $15,000	
	KEOGH - Merrill Lynch Fundamental Growth D	None	PROCEEDS	$2,501 - $5,000	
	KEOGH - Merrill Lynch Basic Value CL D	None	PROCEEDS	$15,001 - $50,000	
	KEOGH - Merrill Lynch Fidelity Advisor Ser VII Overseas Portfolio CL T	None	PROCEEDS	$5,001 - $15,000	
	KEOGH - Merrill Lynch Fidelity Advisor Growth Opportunities Portfolio CL T	None	PROCEEDS	$5,001 - $15,000	
	Wachovia Securities Command Account	$2,800	MONEY MARKET DIVIDENDS	$201 - $1,000	
SP	Amgen	None	PROCEEDS	$30,576	S
SP	Intel	None	PROCEEDS	$36,895	S
SP	Merck & Co.	None	PROCEEDS	$33,234	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Sander M. Levin

SP	Microsoft Corp.	None	PROCEEDS	$51,731.40	S
SP	PepsiCo. Inc.	None	PROCEEDS	$6,072	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

ATTACHMENT:

5.3% interest in Michigan mineral rights previously held by LNS Co., a general partnership now liquidated: Shell Western - income - $58; Quicksilver Pipeline LLC, assignee from previous lease - income - $910; Dominion Midwest Energy, assignee from previous lease - income - $6,048; and Muskegon Development Co., an assignee from previous lease - income - $220. The year-end value of these interests are estimated herein based on latest available information and technical advice.

SCHEDULE IV - TRANSACTIONS

Name Sander M. Levin

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	IRA - Merrill Lynch Fundamental Growth D	S	2-25-03	$1,001 - $15,000
SP	IRA - Merrill Lynch U.S. Govt. Mortgage Fund CL D	S	2-25-03	$1,001 - $15,000
	KEOGH - Merrill Lynch Fundamental Growth D	S	2-25-03	$1,001 - $15,000
	KEOGH - Merrill Lynch Value Fund CL D	S	2-25-03	$15,001 - $50,000
	KEOGH - Merrill Lynch Fidelity Advisor Ser VII Overseas Portfolio CL T	S	2-25-03	$1,001 - $15,000
	KEOGH - Merrill Lynch Fidelity Advisor Growth Opportunities Porfolio CL T	S	2-25-03	$1,001 - $15,000
SP	Amgen	S	1-24-03	$31,347
SP	Intel	S	1-24-03	$37,546
SP	Merck & Co.	S	1-24-03	$32,643
SP	Microsoft Corp.	S	1-24-03	$55,620
SP	PepsiCo. Inc.	S	1-24--03	$6,137

SCHEDULE V - LIABILITIES

Name Sander M. Levin

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Countrywide Home Loans	Mortgage, West Tisbury, Mass.	$250,001 - $500,000
JT	National City Bank (formerly held with Fleet Mortgage)	Equity Loan on house, West Tisbury, Mass.	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Sander M. Levin

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Aspen Institute Congressiontal Program	Jan. 17-22	Wash., DC-Honolulu, Hawaii - Wash., DC	Y	Y	Y	N/A
Detroit Regional Chamber	May 30 - June 1	Mackninac Island, Michigan	Y	Y	N	N/A
Aspen Institute Congressional Program	Dec. 4-7	Wash., DC - Puerto Vallarta, Mexico-Wash., DC	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name Sander M. Levin

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	LRS Company

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

22

INSIDE MAIL

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U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

MC

Jerry Lewis
(Full Name)

2112 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

Daytime Telephone: (202) 225-5861

Filer Status	☒ Member of the U.S. House of Representatives	State: CA District: 41	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

Copy of Financial Disclosure Statement of Spouse is attached

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☒	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jerry Lewis	5/10/04

2821

Name	Jerry Lewis	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Legislators' Retirement System, State of CA.	Legislative Pension	$3,736.08

For payments to charity in lieu of honoraria, use Schedule II.

Name	Page
Jerry Lewis	1 of 1

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
	XYZ Magazine	Article	Aug. 13, 2003	$500
	NONE			

This page may be copied if more space is required.

Name Jerry Lewis

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E
SP,	Examples:	SP	Mega Corp Stock						X								X																P
DC,			Simon & Schuster			Indefinite																Royalties								X			
JT			1st Bank of Paducah, KY accounts						X									X									X						
			8850 Alder Avenue, Sacramento, CA. 95826 An undivided 78% Interest (Proceeds of Sale rec'd in 2004)					NONE										X										X					S
			Charles Schwab IRA Money Mkt. Fund					X										X								X							P

For additional assets and unearned income, use next page.

2824

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jerry Lewis

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Lewis Family Trust 1/4 sh. – copy attached			X				Trust distribution			X		X					
	Congressional Federal Credit Union		X						X									
	Parcel 13 as shown on Map #4116 recorded May 23 1979 Bk 47 Pgs 65 & 66 Sto. & San Bernardino, CA		X						NONE				NONE					
	Penn Mutual Life Ins. Co.								NONE				NONE					
	Legislators' Retirement System, St. of CA.				X			Legislators' Pension			X			X				
	Arrowhead Credit Union San Bernardino, CA.				X				X					X				

This page may be copied if more space is required.

Name Jerry Lewis | Page 1 of 1

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H1 $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
	5850 Alder Avenue, Sacramento, CA 95828, an undivided 78% interest (Proceeds rec'd in 2004)		X		10-29-03					X					
	Charles Schwab - IRA Money Mkt. Fund	X			4-10-03	X									

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Name Jerry Lewis | Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
	Marvin L. Oates, as Trustee of the Marvin L. Oates Trust dated 3/7/85, as amended & restated 12/20/01 & Philip Oates, a married man as his sole & separate property 8615 Elder Creek Rd, Sacramento, CA. 95828	8550 Alder Ave. Sacramento, CA. 95828					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NONE		

Use additional sheets if more space is required.

Name Jerry Lewis | Page 1 of 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Annenberg Fdn. Retreat at Sunnylands	Dec. 5-6	Redlands, Ca. - Rancho Mirage, CA. No travel expense reimbursed	Y	Y	Y	N
	CA. Delegation Retreat						
	General Atomics	May 9-11	Wash. D.C. - New York Wash. D.C	Y	Y	Y	N

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2828

Name	Page
Jerry Lewis	1 of 1

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I

Position	Name of Organization
Board of Directors (Honorary)	Loma Linda Univ. Children's Hospital
Vice President (Honorary)	Muscular Dystrophy Association

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
July 1959	Penn Mutual Life Ins. Co.	Agent Retirement Plan
1968	Legislature's Retirement System	State of CA.

GPO: 2004 91-910 (mec)

Use additional sheets if more space is required.

2829

CONTINUATION OF EDWARD R. LEWIS AND ELAINE L. LEWIS TRUST

The undersigned, Richard J. Lewis, Edward R. Lewis, Jr., C. Jeremy Lewis, and John C. Lewis are the vested sole beneficiaries of the Edward R. Lewis and Elaine L. Lewis Trust executed June 15, 1983, a copy of which is attached hereto. Following the deaths of Edward R. Lewis and Elaine L. Lewis, outright distribution has been made of some of the assets of this Trust, but the assets listed on Schedule A attached hereto continue to be held by C. Jeremy Lewis as Trustee of said Trust for the benefit of all of the undersigned.

The undersigned hereby agree that said property shall continue to be held, administered, and distributed by Trustee of said Trust in accordance with the provisions of said Trust as modified by this instrument.

1. Purpose. The purpose of this Trust as hereby extended shall be for Trustee to manage the assets of this Trust, collect all payments on obligations owed, divide and distribute the proceeds equally between us, liquidate all tangible assets of this Trust, and make distribution of the net proceeds to us.

2. Term. The term of this Trust shall be for so long as necessary to sell the real property and obtain complete payment on all notes and contracts which are the subject of this Trust, or for 10 years from the date of this instrument, whichever first occurs. At the expiration of this Trust, outright distribution shall be made by Trustee to the undersigned in equal shares, if all of them living, or to the successor beneficiaries of each of us as designated on the beneficiary designation of each, if any of us is not living at the time a distribution is to be made.

3. Beneficiary Designations. For so long as each of us are living, all distributions shall be made in equal shares to each of us, with Trustee holding such reserves as Trustee may deem appropriate for the management of the Trust estate. Upon the death of any of us prior to the termination of this Trust, the share of this Trust allocable to such deceased person and all distributions being made for such person shall be delivered to the beneficiaries designated for such person in accordance with the Beneficiary Designation of such deceased person delivered to Trustee. If no Beneficiary Designation has been executed by any of us at the time of the death of any of us or Trustee has not received the original of such Beneficiary Designation when a distribution is to be made, distribution of such deceased person's share shall be made without the need for any probate administration to the beneficiaries of such deceased person's last Will, or if there is no Will, to such deceased beneficiary's intestate heirs according to California's laws of intestate succession. If Trustee has any doubt as to the appropriate distribution to be made, Trustee may, in Trustee's sole discretion, make distribution to the estate of the one of us as t

whom the ambiguity exists or may petition the Court for instructions as to the appropriate distribution. Each of us may modify his Beneficiary Designation at any time by sending to Trustee a duly executed revision and, upon receipt of such change of Beneficiary Designation by Trustee, such change shall be effective.

3.1 Each of us shall be considered as the primary beneficiary of his share of this Trust, and the designated successor beneficiaries shall have no rights or powers concerning this Trust until the interests of such successor beneficiaries vest upon the death of one of us.

3.2 Notwithstanding anything set forth above, Trustee may, in Trustee's sole discretion, at any time elect to distribute all of the assets of this Trust in undivided shares equally between the four primary beneficiaries of the Trust; provided, however, if any of us is then deceased, the distribution of the share of such deceased one of us shall be made to the designated beneficiaries of such deceased person.

4. Additional modifications of Trust. This Trust may be amended at any time by written document executed by the four of us and may be revoked by written document executed by the four of us. It may not be amended or revoked in any other manner.

Executed effective December 1, 1989.

Richard J. Lewis

Edward R. Lewis, Jr.

C. Jeremy Lewis

John C. Lewis

Approved as to form:
GRESHAM, WARNER, SAVAGE, NOLAN & TILDEN

By: Philip M. Savage, III

All assets in the Edward R. and Elaine L. Lewis Trust dated June 15, 1983 remaining following outright distribution after the death of Edward R. Lewis on May 13, 1988, including but not limited to the following:

1. Note dated 5-18-78 in the amount of $11,933.14 by Mark E. Brandlin, at 7% per annum, payments of $125.00 payable on the 1st day of each calendar month, commencing on 5-1-78, secured by deed of trust recorded 9-4-79 as Instrument 1034, in Book 9763, Page 1571. Reconveyed

2. Note dated 7-18-84 in the amount of $31,750.00 by Robert Bresnahan and Carolyn Bresnahan and Carolyn Bresnahan as joint tenants, and Rob Bresnahan, at 7% per annum, payments of $250.00 payable on the 18th day of each calendar month, commencing on 7-18-79, secured by deed of trust recorded 8-27-84 as Instrument 84-204415.

3. Note dated 9-1-78 in the amount of $23,245.00 by Gerald W. Bromley and Phyllis Bromley, joint tenants, at 7% per annum, payments of $175.00 payable on the 1st day of each calendar month, commencing 10-1-78, secured by deed of trust recorded 9-4-79 as Instrument 1035, in Book 9763, Page 1572.

4. Real property in San Bernardino County, CA, subject to contract for sale dated 10-26-81 for $32,000.00 by Earl D. Burdett.

5. Real property in San Bernardino County, CA, subject to contract for sale dated 10-26-81 for $32,000.00 by Earl D. Burdett.

6. Real property in San Bernardino County, CA, subject to contract for sale dated 9-16-85 for $60,000.00 by Glasscamp, Inc.

7. Real property in San Bernardino County, CA, subject to contract for sale dated 9-16-85 for $32,000.00 by Glasscamp, Inc.

8. Note dated 7-22-75 in the amount of $14,400.00 by Alan G. DeWeese and Margaret M. DeWeese, at 7% per annum, payments of $125.00 payable on the 23rd day of each calendar month, commencing 8-23-75, secured by deed of trust recorded 9-4-79 as Instrument 1031, in Book 9763, Page 1568. - Reconveyed

9. Note dated 9-24-80 in the amount of $12,575.00 by Edward W. Heber, Jr., and Judith A. Heber, at 7% per annum, payments of $125.00 payable on the 1st day of each calendar month, commencing on 10-1-80, secured by deed of trust recorded 1-19-81 as Instrument 81-012555.

10. Note dated 5-8-78 in the amount of $14,404.22 by James A. and Earleen K. Helm, at 7% per annum, payments of $125.00 payable on the 5th day of calendar month, commencing 6-5-78, secured by deed of trust recorded 9-4-79 as Instrument 1038, in Book 9763, Page 1575.

11. Real property in San Bernardino County, CA, subject to contract for sale dated 4-20-73 for $12,000.00 by Randy Don Hopkinson & Georgette M. Hopkinson. - Recovered

12. Note dated 12-24-84 in the amount of $31,750.00 by Sam J. Kahn and Betty L. Kahn as joint tenants, and Robert Craig Bennion and La Ree Bennion as joint tenants, at 7% per annum, payments of $250.00 payable on the 30th day of each calendar month, commencing on 6-30-77, secured by deed of trust recorded 1-2-85 as Instrument 85-000662.

13. Real property in San Bernardino County, CA, subject to contract for sale dated 6-13-79 for $32,000.00 by Carl E. Knauff, Peggy Knauff, Randy W. Roach, Barbara Roach, Stephen F. Jaurigue, Kathleen S. Jaurigue, Philippe J. Tatton, and Susan E. Tatton.

14. Note dated 4-2-84 in the amount of $15,775.00 by Harold E. Koenig, at 7% per annum, payments of $125.00 payable on the 15th day of each calendar month commencing on 9-25-76, secured by deed of trust recorded 5-17-84 as Instrument 84-116000.

15. Note dated 8-31-78 in the amount of $14,000.00 by Albert Lara, at 7% per annum, payments of $125.00 payable on the 30th day of each calendar month, commencing 9-30-78, secured by deed of trust recorded 9-20-79 as Instrument 1137, in Book 9775, Page 1620.

16. Real property in San Bernardino County, CA, subject to contract for sale dated 2-4-84 for $12,000.00 by Richard J. and Donna F. Lewis.

17. Real property in San Bernardino County, CA, subject to contract for sale dated 10-16-79 for $32,000.00 by Marilyn L. Mahan.

18. Note dated 4-1-84 in the amount of $23,600.00 by Michael B. Oliver and Sheila R. Oliver, joint tenants, at 7% per annum, payments of $175.00 payable on the 15th day of each calendar month, commencing on 7-15-77, secured by deed of trust recorded 5-17-84 as Instrument 84-116062.

19. Note by Harold J. Petty and Netty L. Petty at 7% per annum secured by deed of trust recorded as Instrument No. 913 on Dec. 19, 1979 in Book 9583, Page 1403.

20. Note dated 9-28-76 in the amount of $14,011.86 by Melvin L. Smith, Jr., and Marilyn A. Smith, joint tenants, at 7% per annum, payments of $125.00 payable on the 21st day of each calendar month, commencing on 10-21-76. Paid off

21. Real property in San Bernardino County, CA, subject to contract for sale dated 5-2-85 for $12,102.28 by Frances M. Stephenson and Austin Shrack.

22. Note dated 4-7-83 in the amount of $28,450.85 by Pauline R. Walton and Bobbie R. Skinner, at 7% per annum, payments of $250.00 payable on the 15th day of each calendar month, commencing 4-15-83, secured by deed of trust recorded 4-19-83 as Instrument 83-082026.

23. Real property in Los Angeles County, CA, subject to contract for sale dated 1-20-88 for $10,000.00 by Rommel Agee.

24. Lot 32 Area 43,608 sq. ft. in Block 265 as delineated on the map entitled Hawaiian Ocean View Estates which said map was filed in the Bureau of Conveyances of the State of Hawaii 4-5-62 as File Plan 787.

25. Improved real property situated in San Bernardino, CA, at 5328 Park Lane, described as: Sold - [illegible]

Lot 2, Tract 5123 as per plat recorded in Book 62 of maps, page 23, records of San Bernardino County, Assessor's Parcel 154-051-37. - Sold in 1991

26. Improved real property situated in San Bernardino, CA, at 5634 Argyle Street, described as: - Sold in 1990

Lot 29, Tract 5095 as per map recorded in Book 75 of Maps, pages 23-24 in the office of the County Recorder of San Bernardino County.

27. Pacific Savings Bank Account # 0535262-0 - Transferred to Ch. Acct.

28. Franklin Fund - Sold in 1991
California Tax-Free Income Fund - Account No. 112043617-24

29. Parcel No. 7 as shown on Parcel Map No. 4416 recorded May 23, 1979 in Parcel Map Book No. 47, pages 65 and 66 in the office of the County of San Bernardino, State of California. Quitclaimed to trust by Richard Lewis 9/17/91.

C. Jeremy Lewis

John C. Lewis

Edward R. Lewis

Richard J. Lewis

30. -

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

Arlene M. Willis
(Full Name)

2112 Rayburn House Office Bldg. (202) 225-5861
(Mailing Address) Daytime Telephone

Washington, D.C. 20515

(Office Use Only)

Filer Status	☐ Member of the U.S. House of Representatives — State: CA District: 41	☒ Officer or Employee	Employing Office: Jerry Lewis	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

Copy of Financial Disclosure Statement of Spouse is attached

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☒	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Arlene M. Willis	5/10/04

2835

Name Arlene M. Willis	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Daly as shown on Statement of Spouse, copy attached		

For payments to charity in lieu of honoraria, use Schedule II.

2836

Name Arlene M Willis	Page 1 of 1

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
NONE			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Arlene M. Willis

Page 1 of 1

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP	Mega Corp. Stock	E: X	DIVIDENDS: X	VI: X	P
DC	Examples: Simon & Schuster	Indefinite	RENT:		
JT	1st Bank of Paducah, KY accounts	F: X	INTEREST: X; Other: Royalties	IX: X	
	All as shown on Statement of Spouse, copy attached				

For additional assets and unearned income, use next page.

SCHEDULE IV — TRANSACTIONS

Name Arlene M. Willis

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock	X			10-12-03		X								
	Property as shown on Statement of Spouse, copy attached +														
	Charles Schwab - IRA money mkt. Fund	X			4-10-05	X									

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2839

Name Arlene M. Willis | Page 1 of ___

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
				C $15,001–$50,000		E $100,001–$250,000		G $500,001–$1,000,000		I $5,000,001–$25,000,000		K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Only as shown on Statement of Spouse, copy attached											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	All as shown on Statement of Spouse, copy attached						

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
NONE	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 5:32
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only):

John Lewis
(Full Name)

1520 Pinehurst Drive Atlanta, GA 30311
(Mailing Address)

404-753-7343
Daytime Telephone:

Filer Status: ☑ Member of the U.S. House of Representatives — State: GA — District: 05 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☐ No ☑	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John Lewis	5-17-04

2843

SCHEDULE I - EARNED INCOME

Name John Lewis

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Clark Atlanta University	Spouse Salary	N/A

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name John Lewis

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
College of Wooster	Speech	May 12, 2003	$2,000
Timberland	Speech	Dec. 15, 2003	$2,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John Lewis

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Lake Michigan College	Jan.13	Atlanta, GA--Souh Bend, IN--Atlanta, GA	N	Y	N	1Day
Columbus Ohio Public Schoools	Jan. 16-17	Atlanta, GA--Colubus, OH--Atlanta, GA	Y	Y	N	2 Days
UAW	Jan. 18-19	Atlanta, GA--Hartford, CT	Y	Y	N	2 Days
Martin Luther King Center	Jan. 19-20	Hartford, CT--Erie, PA--Washington DC	Y	Y	N	2 Days
Brigham and Womens Hospital	Jan. 23-24	Atlanta, GA--Boston, MA--Atlanta, GA	Y	Y	N	2 Days
District Council 37 Education Fund	Feb. 2-3	Washington DC--NY, NY--Washington DC	Y	Y	N	2 Days
Davidson College	Feb. 24	Washington Dc--Charlott, NC--Atlanta, GA	N	Y	N	1 Day
University of Portland	Mar. 14	Atlanta, GA--Portland, OR--Atlanta, GA	N	Y	N	1 Day
JFK Library	April 14-15	Atlanta, GA--Boston, MA--Atlanta, GA	Y	Y	N	1 Day
AFL-CIO	April 27-28	Atlanta, GA--New Orleans, LA-Atlanta, GA	Y	Y	N	2 Days

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John Lewis

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Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member included? (Y/N)	Any time not at sponsor's expense
College of William and Mary	May 10-11	Washington DC--Williamsburg, VA--Wooster, OH	Y	Y	N	2 Days
College of Wooster	May 11-12	Wooster, OH--Washington DC	Y	Y	N	2 Days
Lesley University	May 18-19	Atlanta, GA--Boston, MA-Washington DC	Y	Y	N	2 Days
College of New Rochelle	May 21-22	Washington DC--New York, NY--Washington DC	Y	Y	N	2 Days
Community Leadership Assocation	June 7	Atlanta, GA--St. Paul, MN--Atlanta, GA	N	Y	N	1 Day
Phi Beta Sigma	July 12-13	Atlanta, Ga--Memphis, TN--Atlanta, GA	Y	Y	N	2 Days
Racial Fairness Project	Sep. 13-14	Atlanta, Ga--Cleavland, OH--New York	Y	Y	N	2 Days
Huntington Town Council	Sep. 14-15	New York, NY--Washington DC	Y	Y	N	2 Days
Hunter College	Oct. 9	Washington Dc--New York, NY--Atlanta, GA	N	Y	N	1 Day
Leadership Lincoln	Oct. 20-21	Atlanta, GA--Nebraska--Atlanta, Ga	Y	Y	N	2 Days

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John Lewis

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Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
University of Oregon	Nov. 10-11	Atlanta, GA--Portland, OR--Atlanta, Ga	Y	Y	N	2 Days
Urban Edge	Nov. 20-21	Washington DC-Boston, MA--Washington DC	Y	Y	N	2 Days
Timberland	Dec. 15	Atlanta, Ga--Scottsdale, AZ--Washington DC	N	Y	N	1 Day

HAND DELIVERED (3)

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John Lewis

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Columbus Ohio Public Schoools	Jan. 16-17	Atlanta, GA--Colubus, OH--Atlanta, GA	Y	Y	N	N/A
UAW	Jan. 18-19	Atlanta, GA--Hartford, CT	Y	Y	N	N/A
Martin Luther King Center	Jan. 19-20	Hartford, CT--Erie, PA--Washington DC	Y	Y	N	N/A
Brigham and Womens Hospital	Jan. 23-24	Atlanta, GA--Boston, MA--Atlanta, GA	Y	Y	N	N/A
District Council 37 Education Fund	Feb. 2-3	Washington DC--NY, NY--Washington DC	Y	Y	N	N/A
Davidson College	Feb. 24	Washington Dc--Charlott, NC--Atlanta, GA	N	Y	N	N/A
University of Portland	Mar. 14	Atlanta, GA--Portland, OR--Atlanta, GA	N	Y	N	N/A
JFK Library	April 14-15	Atlanta, GA--Boston, MA--Atlanta, GA	Y	Y	N	N/A
AFL-CIO	April 27-28	Atlanta, GA--New Orleans, LA-Atlanta, GA	Y	Y	N	N/A

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John Lewis

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Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
College of William and Mary	May 10-11	Washington DC--Williamsburg, VA--Wooster, OH	Y	Y	N	N/A
College of Wooster	May 11-12	Wooster, OH--Washington DC	Y	Y	N	N/A
Lesley University	May 18-19	Atlanta, GA--Boston, MA-Washington DC	Y	Y	N	N/A
College of New Rochelle	May 21-22	Washington DC--New York, NY--Washington DC	Y	Y	N	N/A
Community Leadership Assocation	June 7	Atlanta, GA--St. Paul, MN--Atlanta, GA	N	Y	N	N/A
Phi Beta Sigma	July 12-13	Atlanta, Ga--Memphis, TN--Atlanta, GA	Y	Y	N	N/A
Racial Fairness Project	Sep. 13-14	Atlanta, Ga--Cleavland, OH--New York	Y	Y	N	N/A
Huntington Town Council	Sep. 14-15	New York, NY--Washington DC	Y	Y	N	N/A
Hunter College	Oct. 9	Washington Dc--New York, NY--Atlanta, GA	N	Y	N	N/A
Leadership Lincoln	Oct. 20-21	Atlanta, GA--Nebraska--Atlanta, Ga	Y	Y	N	N/A

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John Lewis

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
University of Oregon	Nov. 10-11	Atlanta, GA--Portland, OR--Atlanta, Ga	Y	Y	N	N/A
Urban Edge	Nov. 20-21	Washington DC-Boston, MA--Washington DC	Y	Y	N	N/A
Timberland	Dec. 15	Atlanta, Ga--Scottsdale, AZ--Washington DC	N	Y	N	N/A

SCHEDULE VIII - POSITIONS

Name John Lewis

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Faith and Politics
Board Member	Friends of VISTA, Inc.
Board Member	Committee on Presidental Debates
Board Member	Stennis Center for Public Service
Board Member	Robert F. Kenndy Foundation

2004 JUN 15 PM 4:24
U.S. HOUSE OF REPRESENTATIVES

2004 JUN 15 PM 1:07

5-16-04; 1:08PM;Cong. Ron Lewis DC ;270 755 1580 # 2/ 8

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 AM 11:42
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Ronald Edward Lewis
(Full Name)
553 Lombardy Drive Cecilia, KY 42701
(Mailing Address)
202-225-3501
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative — State: KY District: 02	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calender year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ron Lewis	5-14-04

2853

SCHEDULE I - EARNED INCOME

Name Ronald Edward Lewis

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Ron Lewis for Congress	Spouse Salary	$12,886

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ronald Edward Lewis

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Duplex Apartment: Mockingbird Drive, Radcliff, Kentucky	$50,001 - $100,000	RENT	$5,001 - $15,000	N/A
SP	Alliance Capital Managment Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
DC	Heritage Mid Capital Fund	$1 - $1,000	NONE	NONE	N/A
DC	Flextronics International Limited	$1 - $1,000	NONE	NONE	N/A
SP	Lord Abbett Affiliated (Mutual Fund)	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$1 - $200	N/A
SP	Lord Abbett Bond (Mutual Fund)	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$201 - $1,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ronald Edward Lewis

SP	Munder Future Technology Fund (Mutual Fund)	$1,001 - $15,000	NONE	NONE	N/A
SP	New Economy Fund (Mutual Fund)	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$1 - $200	N/A
SP	Nortel Networks Corp Common Stock	$1 - $1,000	NONE	NONE	N/A
SP	Oppenheimer Main Street Fund (Mutual Fund)	$15,001 - $50,000	DIVIDENDS	$1 - $200	N/A
SP	Oppenheimer Global Growth (Mutual Fund)	$1,001 - $15,000	NONE	NONE	N/A
SP	Oppenheimer Champion Income Fund	$1 - $1,000	DIVIDENDS	$1 - $200	N/A
SP	Templeton Developing Markets Trust Stock	$1 - $1,000	DIVIDENDS	$1 - $200	N/A
SP	Van Kampen Select 10 Industrial Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Van Kampen Strategic 10 Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
SP	Globalstar Telecommunications Limited	$1 - $1,000	NONE	NONE	N/A
SP	Large Scale Biology Corporation	$1 - $1,000	NONE	NONE	N/A
DC	Large Scale Biology Corporation	$1 - $1,000	NONE	NONE	N/A
DC	Hartford Capital Appreciation Fund	$1 - $1,000	NONE	NONE	N/A
DC	Alliance Capital Management Holding	$1 - $1,000	Dividends	$1 - $200	

SCHEDULE V - LIABILITIES

Name Ronald Edward Lewis

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	First Federal Savings Bank	Mortgage on rental property located at Mockingbird Drive, Radcliff, KY	$15,001 - $50,000

RON LEWIS
SECOND DISTRICT, KENTUCKY

COMMITTEE ON WAYS AND MEANS
SUBCOMMITTEE ON HUMAN RESOURCES
SUBCOMMITTEE ON SOCIAL SECURITY
SUBCOMMITTEE ON SELECT REVENUE MEASURES

COMMITTEE ON GOVERNMENT REFORM
SUBCOMMITTEE ON NATIONAL SECURITY, EMERGING THREATS AND INTERNATIONAL RELATIONS

HOUSE REPUBLICAN POLICY COMMITTEE

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE:
2418 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
202-225-3501

DISTRICT OFFICES:
1850 RING ROAD
SUITE 150
ELIZABETHTOWN, KENTUCKY 42701
270-765-4360

1021 CENTER STREET
SUITE 300
BOWLING GREEN, KENTUCKY 42101
270-842-0830

100 WALNUT STREET
SUITE P150
OWENSBORO, KENTUCKY 42301
270-688-9858

TOLL FREE 1-800-357-6876

E-Mail: ron.lewis@mail.house.gov
Website: www.house.gov/ronlewis

May 16, 2004

Mr. Jeff Trandahl
Clerk of the House of Representatives
The Capitol, Room H-154
Washington, D.C. 20515

Dear Mr. Trandahl:

Please find attached a Member Travel Disclosure Form that was inadvertently not submitted at the time of travel. This form was submitted prior to this disclosure but is attached hereto as reference.

Thank you for your attention to this matter. Please do not hesitate to contact me if there are any concerns or questions.

Sincerely,

Angela M. Marcum

RL:amm

✓ *Original* ___ *Amendment*

U.S. House of Representatives

MEMBER/OFFICER TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from private sources for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately funded travel on the annual Financial Disclosure Statements of those persons required to file them. In accordance with clause 5 of House Rule 25, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within 30 days after travel is completed. The Clerk is to make these forms available to the public as soon as possible after they are filed. *Obtain the dollar amounts from the sponsor; if exact dollar amounts are unavailable, provide a good faith estimate.*

Name of Member or Officer (print or type): Congressman Ron Lewis

Name of accompanying family member (if any): Stella Kayl Lewis

Relationship to Member/Officer (check one): ✓ spouse ___ child

Dates of Travel: January 10,11, 2003

Dates at personal expense: 0

Itinerary (Point of departure – destination – return): Kamuala, Hawaii

Sponsor (Who paid for the trip): American Association of Airport Executives

Purpose of Trip: Attend the Annual Aviation Issues Conference to participate in panel discussions about the aviation industry.

2004 MAY -5 PM 12:03
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
LEGISLATIVE RESOURCE CENTER

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For Member or Officer:	$ 0.00	$225.60	$188.56
For accompanying spouse or child:	$ 0.00	$225.60	$188.56

	Other expenses (dollar amount)	Nature of expenses (e.g., taxi, parking, registration fee, etc.)
For Member or Officer:	$0.00	
For accompanying spouse or child:	$0.00	

I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER OR OFFICER: Ron Lewis

DATE: 5/5/04

Version date 4/2003 by Committee on Standards of Official Conduct

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

7

RECEIVED
2004 MAY 17 PM 3:29
(Office Use Only)

MC

Ronald Edward Lewis
(Full Name)

553 Lombardy Drive Cecilia, KY 42701
(Mailing Address)

202-225-3501
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative — State: KY District: 02	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ron Lewis	5-17-04

5-13-04; 1:31PM;Cong. Ron Lewis DC

2860

SCHEDULE I - EARNED INCOME

Name Ronald Edward Lewis

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Ron Lewis for Congress	Spouse Salary	$12,886

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ronald Edward Lewis

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Duplex Apartment: Mockingbird Drive, Radcliff, Kentucky	$50,001 - $100,000	RENT	$5,001 - $15,000	N/A
SP	Alliance Capital Managment Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
DC	Heritage Mid Capital Fund	$1 - $1,000	NONE	NONE	N/A
DC	Flextronics International Limited	$1 - $1,000	NONE	NONE	N/A
SP	Lord Abbett Affiliated (Mutual Fund)	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$1 - $200	N/A
SP	Lord Abbett Bond (Mutual Fund)	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$201 - $1,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ronald Edward Lewis

SP	Munder Future Technology Fund (Mutual Fund)	$1,001 - $15,000	NONE	NONE	N/A
SP	New Economy Fund (Mutual Fund)	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$1 - $200	N/A
SP	Nortel Networks Corp Common Stock	$1 - $1,000	NONE	NONE	N/A
SP	Oppenheimer Main Street Fund (Mutual Fund)	$15,001 - $50,000	DIVIDENDS	$1 - $200	N/A
SP	Oppenheimer Global Growth (Mutual Fund)	$1,001 - $15,000	NONE	NONE	N/A
SP	Oppenheimer Champion Income Fund	$1 - $1,000	DIVIDENDS	$1 - $200	N/A
SP	Templeton Developing Markets Trust Stock	$1 - $1,000	DIVIDENDS	$1 - $200	N/A
SP	Van Kampen Select 10 Industrial Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Van Kampen Strategic 10 Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
SP	Globabistar Telecommunications Limited	$1 - $1,000	NONE	NONE	N/A
SP	Large Scale Biology Corporation	$1 - $1,000	NONE	NONE	N/A
DC	Large Scale Biology Corporation	$1 - $1,000	NONE	NONE	N/A
DC	Hartford Capital Appreciation Fund	$1 - $1,000	NONE	NONE	N/A
DC	Alliance Capital Management Holding	$1 - $1,000	Dividends	$1 - $200	

5-13-04; 1:31PM;Cong. Ron Lew s DC

SCHEDULE V - LIABILITIES

Name Ronald Edward Lewis

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	First Federal Savings Bank	Mortgage on rental property located at Mockingbird Drive, Radcliff, KY	$15,001 - $50,000

5-16-04; 1:08PM;Cong. Ron Lewis DC

RON LEWIS
SECOND DISTRICT, KENTUCKY

COMMITTEE ON WAYS AND MEANS
SUBCOMMITTEE ON HUMAN RESOURCES
SUBCOMMITTEE ON SOCIAL SECURITY
SUBCOMMITTEE ON SELECT REVENUE MEASURES

COMMITTEE ON
GOVERNMENT REFORM
SUBCOMMITTEE ON
NATIONAL SECURITY, EMERGING THREATS AND
INTERNATIONAL RELATIONS

HOUSE REPUBLICAN POLICY COMMITTEE

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE:
2418 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
202-225-3501

DISTRICT OFFICES:
1698 RING ROAD
SUITE 260
ELIZABETHTOWN, KENTUCKY 42701
270-765-4360

1061 CENTER STREET
SUITE 301
BOWLING GREEN, KENTUCKY 42101
270-842-[illegible]

1109 WALNUT STREET
SUITE [illegible]
OWENSBORO, KENTUCKY 42301
270-[illegible]

TOLL FREE 1-800-367-6876

E-Mail: ron.lewis@mail.house.gov
Website: www.house.gov/ronlewis

May 16, 2004

Mr. Jeff Trandahl
Clerk of the House of Representatives
The Capitol, Room H-154
Washington, D.C. 20515

Dear Mr. Trandahl:

Please find attached a Member Travel Disclosure Form that was inadvertently not submitted at the time of travel. This form was submitted prior to this disclosure but is attached hereto as reference.

Thank you for your attention to this matter. Please do not hesitate to contact me if there are any concerns or questions.

Sincerely,

Angela M. Marcum

RL:amm

✔ *Original* ___ *Amendment*

U.S. House of Representatives

MEMBER/OFFICER TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from private sources for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately funded travel on the annual Financial Disclosure Statements of those persons required to file them. In accordance with clause 5 of House Rule 25, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within 30 days after travel is completed. The Clerk is to make these forms available to the public as soon as possible after they are filed. *Obtain the dollar amounts from the sponsor; if exact dollar amounts are unavailable, provide a good faith estimate.*

Name of Member or Officer (print or type): Congressman Ron Lewis

Name of accompanying family member (if any): Stella Kayi Lewis

Relationship to Member/Officer (check one): ✔ spouse ___ child

Dates of Travel: January 10,11, 2003

Dates at personal expense: 0

Itinerary (Point of departure – destination – return): Kamuala, Hawaii

Sponsor (Who paid for the trip): American Association of Airport Executives

Purpose of Trip: Attend the Annual Aviation Issues Conference to participate in panel discussions about the aviation industry.

2004 MAY -5 PM 12: 03
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
LEGISLATIVE RESOURCE CENTER

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For Member or Officer:	$ 0.00	$225.60	$188.56
For accompanying spouse or child:	$ 0.00	$225.60	$188.56

	Other expenses (dollar amount)	Nature of expenses (e.g., taxi, parking, registration fee, etc.)
For Member or Officer:	$0.00	
For accompanying spouse or child:	$0.00	

I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER OR OFFICER: Ron Lewis

DATE: 5/5/04

Version date 4/2003 by Committee on Standards of Official Conduct

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

(9) HAND DELIVERED

2004 JUN 14 PM 5:57

(Office Use Only) MC

John Linder
(Full Name)

Post Office Box 1869
(Mailing Address)

202.225.4272
Daytime Telephone

Duluth GA 30096

Filer Status	☑ Member of the U.S. House of Representatives — State: GA District: 07	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☑	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John Linder	6/14/04

2867

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name John Linder

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	None	A $1 – $1,000	B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000	L	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X									X																		
	Simon & Schuster			Indefinite																	Royalties									X			P
	1st Bank of Paducah, KY accounts						X										X																
	Note from sale Linder Financial Corp								X									X				X											
	Grayling Industries Inc.										X				X															X			
SP	Cash Fund			X																													
JT	Roberts Realty REIT			X											X										X								S
SP	Cedarwild Resort							X								X													X				
	State of GA retirement			X																	Pension												

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John Linder

Page 3 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Note Receivable, AFCCOM commercial lending			X																	X											
	Trademark licensing, Atlanta GA	X																			X											
JT	Money Market Fund (SunTrust)					X									X																	
	Profit Sharing Trust (Fidelity)																								X							
	ATT Corp			X																												
	Coca-Cola				X																											
	Comcast					X																										
	Wachovia					X																										
	Genzyme Transgenics			X																												
	Home Depot						X																									
	Intel						X																									
	IBM						X																									
	Microsoft						X																									
	Pfizer						X																									
	GE						X																									
	WebMD			X																												
	Fidelity Blue Chip Growth			X																												
	Janus 20			X																												
	Money Market			X																												
JT	Time Warner			X																												
JT	IDT Corp			X																												
JT	Vasomedical			X																												

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name John Linder

Page 4 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	Profit Sharing Trust (See below)																															
SP	Cisco				X																											
SP	JDSU			X																												
SP	Qualcomm					X																										
SP	CASH		X																													
SP	Vanguard Growth Index			X																												
SP	Vanguard Health				X																											
SP	Vanguard US Growth			X																												
	Profit Sharing Trust *													X			X									X						
SP	Profit Sharing Trust *													X			X						X									
JT	Profit Sharing Trust *													X		X										X						
JT	Cash				X																											
JT	Schwab 1000 Select							X																								

* Dividends/cap. gains are reported for each trust rather than each stock in the trust

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SCHEDULE IV — TRANSACTIONS

Name John Linder

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	JANUS 20		X		11-24-03										
JT	Schwab 1000 Select	X			01-10-03					X					
	Home Depot	X			10-29-03										

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2871

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Ripon Educational Fund	Aug 10-15	Wash, DC-London-Wash, DC	Y	Y	Y	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Trademark Licensing Inc., Atlanta, GA

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a .ormer employer.

Date	Parties To	Terms of Agreement

GPO 2004 91-910 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(7)

CLERK'S ARCHIVE RESOURCE CENTER
2004 MAY 17 AM 11:26
(Office Use Only)

William Oliver Lipinski
(Full Name)

2188 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

202/225-5701
Daytime Telephone:

Filer Status: ☑ Member of the U.S. House of Representatives — State: IL — District: 03 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1970, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[redacted]	[redacted]

2874

SCHEDULE I - EARNED INCOME

Name William Oliver Lipinski

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
5838 Partners Building	Salary	$3,600
23rd Ward Committeeman	Salary	$12,000
Mitchell & Ness Sporting Goods Company (See Attached Explanation)	Compensation for services 1989-2003	$105,410.50

William Oliver Lipinski
2003 Annual Financial Disclosure
Schedule I Earned Income

Re: Mitchell & Ness Sporting Goods Company

With regards to the income that I received from Mitchell & Ness Sporting Goods Company in 2002 and 2003, the House Committee on Standards of Official Conduct made the following determinations:

- Two–thirds of that income was for my services to Mitchell & Ness and so was "earned" income under the House Rules.

- One-third of that income was for compensation for the use of my property – my collection of sports memorabilia – and so was "unearned" income.

- The "unearned" income is reported on my Schedule III.

For the purposes of the outside earned income limitation, the earned income is attributable under the Rules to each of the years during which I rendered services to Mitchell & Ness, 1989 to 2003, to the extent, in each year, that my other outside earned income was below the limit for the year.

Prior Standards Committee approval is required for any additional income from the company.

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Oliver Lipinski

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	5838 Partners Building	$250,001 - $500,000	RENT	$15,001 - $50,000	
JT	TCF Bank	$1,000,001 - $5,000,000	INTEREST	$5,001 - $15,000	
JT	Polish National Alliance	$1 - $1,000	INTEREST	NONE	
JT	Archer Heights Civic League	$1 - $1,000	INTEREST	$1 - $200	
JT	Chicago Park District Retirement Fund	$1,001 - $15,000	Pension	NONE	
	Mitchell & Ness	None	Compensation for use of property	$50,001 - $100,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Oliver Lipinski

	IRA Charter One	$15,001 - $50,000	INTEREST	NONE	
SP	IRA Charter One	$15,001 - $50,000	INTEREST	NONE	
	Baird	$1,001 - $15,000	INTEREST	NONE	
	American Fund	$15,001 - $50,000	INTEREST	NONE	
	Edward Jones	$1,001 - $15,000	INTEREST	NONE	P

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name William Oliver Lipinski

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Burlington Northern Santa Fe	April 22-24, 2003	Chicago, IL/Klamath Falls, OR/Sacramento, CA	Y	Y	Y	N/A
American Association of Railroads	April 24-27, 2003	Sacramento, CA/Chicago, IL	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name William Oliver Lipinski

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
23rd Ward Committeeman	23rd Ward Democratic Party

William O. Lipinski
3d District, Illinois

COMMITTEE ON
TRANSPORTATION AND INFRASTRUCTURE
RANKING DEMOCRATIC MEMBER
SUBCOMMITTEE ON HIGHWAYS AND TRANSIT
SUBCOMMITTEE ON AVIATION
SUBCOMMITTEE ON RAILROADS

Congress of the United States
House of Representatives
Washington, DC 20515

OFFICES:
2188 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-1303
(202) 225-5701

5832 SOUTH ARCHER AVENUE
CHICAGO, IL 60638
(312) 886-0481

5236 W. 95TH STREET
OAK LAWN, IL 60453
(708) 952-0880

19 W. HILLGROVE
LA GRANGE, IL 60525
(708) 352-0524

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June 1, 2004

Office of the Clerk
H-154, the Capitol

Filed: B-106 Cannon

Re: Amendment Clarification for 2002 Financial Disclosure & Amendment for 2003 Financial Disclosure

To Whom It May Concern:

Pursuant to Ethics Review, I am amending my annual Financial Disclosure for 2002 and 2003 in the following ways:

2002 Schedule IIII should be amended to indicate BAIRD IRA as formerly the Old Kent Bank IRA listed on 2001 filings. Thereby, 2003 Schedule III shall indicate BAIRD IRA as amended for 2002.

2003 Schedule III should also be amended to indicate a purchase of Edward Jones as a SEP (Self-employment plan). Subsequently, Schedule IV should indicate the purchase date of April 10, 2003.

If you should have any questions or concerns, please feel free to contact me at (202) 225-5701. With best wishes and kind regards, I remain

Sincerely,

WILLIAM O. LIPINSKI
Member of Congress

WOL/jls

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U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2002

FORM A
For use by Members, officers, and employees

William Oliver Lipinski
(Full Name)

2188 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

202/225-5701
Daytime Telephone:

HAND DELIVERED
(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives	State: IL District: 03	☐ Officer Or Employee — Employing Office:
Report Type	☐ Annual (May 15)	☑ 2nd Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑	No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐	No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑	No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑	No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐	No ☑
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐	No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☐	No ☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑	No ☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐	No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		7-1-03

2882

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Oliver Lipinski

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	5838 Partners Building 5838 Archer Ave. Chicago, IL 60638	$250,001 - $500,000	RENT	$5,001 - $15,000	
JT	TCF Bank	$50,001 - $100,000	INTEREST	$5,001 - $15,000	
JT	Polish National Alliance	$1 - $1,000	INTEREST	NONE	
JT	Archer Heights Credit Union	$1 - $1,000	INTEREST	NONE	
	Chicago Park District Retirement Fund	$1,001 - $15,000	Other: Pension	NONE	
	IRA Superior Bank	$15,001 - $50,000	INTEREST	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Oliver Lipinski

SP	IRA Superior Bank	$15,001 - $50,000	INTEREST	NONE	
	American Fund	$15,001 - $50,000	Other: Retirement Plan	NONE	
	Mitchell & Ness Sporting Goods Company	None	Other: Compensation for use of property 1989-2002	$5,001 - $15,000	
	BAIRD IRA, formerly Old Kent Bank IRA	$1,001 - $15,000	Other: Retirement Plan	NONE	

William O. Lipinski
3d District, Illinois

COMMITTEE ON
TRANSPORTATION AND INFRASTRUCTURE
RANKING DEMOCRATIC MEMBER,
SUBCOMMITTEE ON HIGHWAYS AND TRANSIT
SUBCOMMITTEE ON AVIATION
SUBCOMMITTEE ON RAILROADS

Congress of the United States

House of Representatives

Washington, DC 20515

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5235 W. 95TH STREET
OAK LAWN, IL 60453
(708) 952-0860

19 W. HILLGROVE
LA GRANGE, IL 60525
(708) 352-0524

May 13, 2004

Office of the Clerk
H-154, the Capitol

Filed: B-106 Cannon

Re: Amendment for 2002 Financial Disclosure

To Whom It May Concern:

In accordance with determinations made by the House Committee on Standards of Official Conduct regarding income I received from Mitchell & Ness Sporting Goods Company in 2002 and 2003, I am amending my annual Financial Disclosure for 2002 in the following ways:

Schedule I should be amended to show income from Mitchell & Ness in the amount of $24,629.33 and amend the type of income to report as compensation for services, 1989 to 2002.

Schedule III, for Mitchell & Ness, the amount of "unearned" income received should be changed to category VI ($5,000-$15,000).

If you should have any questions or concerns, please feel free to contact me at (202) 225-5701. With best wishes and kind regards, I remain

Sincerely,

WILLIAM O. LIPINSKI
Member of Congress

WOL/jls

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

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Frank A. LoBiondo
(Full Name)

14 North Victoria Avenue
(Mailing Address)

Ventnor, New Jersey 08406

Daytime Telephone: (202) 225-6572

Filer Status	[X] Member of the U.S. House of Representatives — State: NJ District: 02	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Frank A LoBiondo	5-11-04

2886

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the Instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B												Block C							Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock				X								X										X								P
			Simon & Schuster			Indefinite															Royalties								X				
			1st Bank of Paducah, KY accounts					X									X									X							
	LoBiondo Brothers Motor Express, Inc. Common St							X														X											
	New York Life Insurance Policy				X																	X											
	Hudson United Bank Common Stock							X														X											
	Wachovia Capital Mgmt. Group IRA Account (formerly First Union)					X									X		X										X						
	Wachovia Bank Cap Mgmt					X									X											X							

For additional assets and unearned income, use next page.

2887

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Frank A. LoBiondo

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 - $1,000; C $1,001 - $15,000; D $15,001 - $50,000; E $50,001 - $100,000; F $100,001 - $250,000; G $250,001 - $500,000; H $500,001 - $1,000,000; I $1,000,001 - $5,000,000; J $5,000,001 - $25,000,000; K $25,000,001 - $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 - $200; III $201 - $1,000; IV $1,001 - $2,500; V $2,501 - $5,000; VI $5,001 - $15,000; VII $15,001 - $50,000; VIII $50,001 - $100,000; IX $100,001 - $1,000,000; X $1,000,001 - $5,000,000; XI Over $5,000,000	P, S, E
	Hudson Natl Bank Acct	C	INTEREST	II	
I	Franklin Growth Class IRA Account	E	DIVIDENDS; INTEREST	III	
	Wachovia Capital Mgmt Group IRA Account	D	DIVIDENDS; INTEREST	IV	
	LoBiondo Bros. Motor Express 401(k) Profit Sharing Plan American Fund Group (invested in Washington Mutual Investors Fund; self-directed)	F	DIVIDENDS; INTEREST	VII	
	Real Estate, Millville NJ, Block 235, Lot 2.02	F		I	

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2888

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Pilots' Association for the Bay & River Delaware	Apr. 6-7	Wash,DC-New York-Wash,DC	Y	Y	N	N

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2889

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Vineland Young Men's Christian Association

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
10-14-1996	LoBiondo Brothers Motor Express, Inc.	Continuing interest in company 401(k) profit sharing plan (self-self directed)

Use additional sheets if more space is required.

HAND DELIVERED

13

LEGISLATIVE RESOURCE CENTER

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(Office Use Only)

2891

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A — For use by Members, officers, and employees

Zoe Lofgren
(Full Name)

695 South 16th Street San Jose, CA 95112
(Mailing Address)

(408) 275-1648
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representative — State: CA, District: 16	☐ Officer Or Employee	Employing Office
Report Type	☑ Annual (May 15) ☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $286 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $286 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependant child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Zoe Lofgren	June 11, 2004

SCHEDULE I - EARNED INCOME

Name Zoe Lofgren

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
John Marshall Collins, P.C.	Spouse Salary	N/A
Collins + Day	Spouse Partnership	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	609 Riverview, Capitola, CA	None	RENT	$5,001 - $15,000	S
DC	Alliance Growth Fund - A & B	$15,001 - $50,000	DIVIDENDS	NONE	
	Bank of America - Checking	$1,001 - $15,000	INTEREST	$1 - $200	
	Bank of America - Savings	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
	California Public Employee Retirement System	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
	Charles Schwab - IRA Money Market Fund	$1,001 - $15,000	INTEREST	$1 - $200	

2893

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

SP	Charles Schwab IRA - MMF	$100,001 - $250,000	INTEREST	$1,001 - $2,500	
SP	Charles Schwab IRA: Applied Materials stock	$1,001 - $15,000	DIVIDENDS	NONE	
	Charles Schwab MMF Capital	$1,001 - $15,000	INTEREST	$1 - $200	
	Charles Schwab MMFunds	$15,001 - $50,000	INTEREST	$1 - $200	
DC	Comerica Bank	$1,001 - $15,000	INTEREST	$1 - $200	
DC	Comerica Bank	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Comerica Bank - checking	$1,001 - $15,000	Other: (No Interest Checking)	NONE	
	Comerica Bank - MMF	$250,001 - $500,000	INTEREST	$2,501 - $5,000	
SP	Greater Bay Bancorp Stock	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
SP	John Marschall Collins PC - profit sharing/Charles Schwab: Greater Bay Bancorp	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	John Marshall Collins - profit sharing/Charles Schwab: JDS Uniphase Corp Stock	$1 - $1,000	DIVIDENDS	NONE	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Antigenics	$1,001 - $15,000	DIVIDENDS	NONE	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Applied Materials	$50,001 - $100,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

SP	John Marshall Collins PC - profit sharing/Charles Schwab: Ballard Power Systems, Inc.	$1,001 - $15,000	DIVIDENDS	NONE	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: BMC Software	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Boeing	None	DIVIDENDS	$1 - $200	S
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Chiron	$1,001 - $15,000	DIVIDENDS	NONE	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: CISCO	$50,001 - $100,000	DIVIDENDS	NONE	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Corning	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Dell	$15,001 - $50,000	DIVIDENDS	NONE	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Disney	None	DIVIDENDS	$1 - $200	S
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Divine, Inc.	None	DIVIDENDS	$1 - $200	S
SP	John Marshall Collins PC - profit sharing/Charles Schwab: General Electric	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Home Depot	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

SP	John Marshall Collins PC - profit sharing/Charles Schwab: IDT Corp	$1,001 - $15,000	DIVIDENDS	NONE	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Intel Corp	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Janus Small Cap Value Investor Shares	$1,001 - $15,000	DIVIDENDS	$1 - $200	Other, Merger, Formerly Berger Small Cap
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Millenium Cell, Inc.	$1,001 - $15,000	DIVIDENDS	NONE	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: MMFund	$100,001 - $250,000	INTEREST	$201 - $1,000	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Morgan Stanley Dean Witter	None	DIVIDENDS	$1 - $200	S
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Motorola	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Nokia	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Oracle	$1,001 - $15,000	DIVIDENDS	NONE	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Pfizer	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

SP	John Marshall Collins PC - profit sharing/Charles Schwab: Savient Pharma	$1 - $1,000	DIVIDENDS	NONE	Other, name change, formerly Biotech General
SP	John Marshall Collins PC - profit sharing/Charles Scnwab: SBS Technologies	$1 - $1,000	DIVIDENDS	NONE	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Sun Microsystems	$1,001 - $15,000	DIVIDENDS	NONE	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Texas Instruments	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	John Marshall Collins PC - profit sharing/Charles Scwab: Qualcom, Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	John Marshall Collins PC - profit sharing: Charles Schwab: Kninklijke Ahold stock	None	DIVIDENDS	NONE	S
	John Marshall Collins PC - stock	$250,001 - $500,000	DIVIDENDS	NONE	
DC	Morgan Stanley American Value Fund C	$1,001 - $15,000	DIVIDENDS	NONE	
DC	Morgan Stanley Global Equity Allocation Fund Class A	$15,001 - $50,000	DIVIDENDS	NONE	
DC	Morgan Stanley Global Equity Allocation Fund Class B	$1,001 - $15,000	DIVIDENDS	NONE	
DC	Smith Barney MMFunds	$1 - $1,000	INTEREST	$1 - $200	
	Wells Fargo/CMA Deferred Compensation Account - CD	$100,001 - $250,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

	Wright Patman Congressional Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Zoe Lofgren

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Corning	S	04-21-03	$1,001 - $15,000
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Disney	S	03-18-03	$1,001 - $15,000
SP	John Marshall Collins PC - profit sharing/Charles Schwab: BMC Software	S	03-18-03	$1,001 - $15,000
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Morgan Stanley Dean Witter	S	06-17-03	$1,001 - $15,000
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Kninkljke Ahold stock	S	03-18-03	< $1,000
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Divine, Inc.	S	03-18-03	< $1,000
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Boeing	S	03-18-03	$1,001 - $15,000
	609 Riverview; Capitola, CA	S	Summer 2003	$500,001 - $1,000,000

SCHEDULE V - LIABILITIES

Name Zoe Lofgren

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Washington Mutual	Mortgage on 609 Riverview, Capitola, CA	$250,001 - $500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Zoe Lofgren

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Public Governance Institute	December 5-7	San Jose, CA - Palm Springs, CA - Washington, D.C.	Y	Y	Y	None

2901

SCHEDULE VIII - POSITIONS

Name Zoe Lofgren

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Mother	Lofgren-Collins Household
Member	Santa Clara University School of Law Board of Visitors
Member	Santa Clara University School of Law International Programs Advisory Committee
Co-Chair	Housing Leadership Advisory Council
Board of Directors	San Jose Technology Museum

SCHEDULE IX - AGREEMENTS

Name Zoe Lofgren

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	California Public Employee Retirement System (through County of Santa Clara, CA)	Continuing Membership (vested), no continuing contribution by employer or employee.
	Wells Fargo Bank & ICMA (through County of Santa Clara, CA)	Savings Plan, no contributions by employer or employee

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2004 MAY 11 PM 12: 28
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

NITA M. LOWEY
(Full Name)

2329 RAYBURN HOUSE OFFICE BUILDING WASHINGTON, DC 20515
(Mailing Address)

202-225-6506
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: NY District: 18	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Nita M. Lowey	5/11/2004

2904

SCHEDULE I - EARNED INCOME

Name NITA M. LOWEY

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Lowey Dannenberg Bemporad & Selinger, P.C.	Spouse Salary	N/A
NY State & Local Employees Retirement System	NML Pension	$9,148.08

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name NITA M. LOWEY

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	3M or Minnesota Mining*****	$50,001 - $100,000	Dividends	$1 - $200	
JT	Acme Comm. Inc.****	$1,001 - $15,000	CAPITAL GAINS	NONE	P
JT	AIG*****	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Alliance Muni Tr****	$50,001 - $100,000	Interest	$201 - $1,000	
JT	American Express*****	$15,001 - $50,000	Dividends	$201 - $1,000	
JT	Amgen*****	$50,001 - $100,000	CAPITAL GAINS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name NITA M. LOWEY

JT	Anadarko*****	None	CAPITAL GAINS	NONE	S
JT	Anheuser Busch*****	$50,001 - $100,000	CAPITAL GAINS	NONE	
JT	AOL Time Warner, see "Time Warner" below	None	N/A	NONE	Other
SP	Arbiter Partners	$500,001 - $1,000,000	CAPITAL GAINS	NONE	
	Bear Stearns (see attached)	$1,000,001 - $5,000,000	Interest	$50,001 - $100,000	
SP	Cadigan Partners	$250,001 - $500,000	CAPITAL GAINS	NONE	P
JT	Chase Bank (checking)	$1,001 - $15,000	Interest	$1 - $200	
JT	Cisco Systems*****	$15,001 - $50,000	CAPITAL GAINS	NONE	P
JT	Citicorp Investment Services/Fiserv/Landmark Fund	$500,001 - $1,000,000	Interest	NONE	
JT	Citigroup*****	$15,001 - $50,000	CAPITAL GAINS	$201 - $1,000	
JT	Coach, Inc*****	$15,001 - $50,000	CAPITAL GAINS	NONE	P
SP	Crowley Maritime****	$1,001 - $15,000	CAPITAL GAINS	NONE	
	Crown Castle (TWRS)*******	None	CAPITAL GAINS	NONE	Other - See FN 7
SP	Diker Micro-Value Fund	$100,001 - $250,000	CAPITAL GAINS	N/A	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name NITA M. LOWEY

JT	Ebay*****	$50,001 - $100,000	CAPITAL GAINS	NONE	P
JT	EGS Partners	None	Dividends/Capital Gains	N/A	Other- See FN 4
JT	Gannett*****	$50,001 - $100,000	CAPITAL GAINS	NONE	
JT	H & R Block*****	$15,001 - $50,000	CAPITAL GAINS	NONE	
SP	Ingalls & Snyder Value Fund	$1,000,001 - $5,000,000	Interest/Capital Gains	N/A	
SP	Interpool Inc.****	$15,001 - $50,000	Dividends	$201 - $1,000	
SP	IRA - Federated (formerly The Kaufmann Fund	$1,000,001 - $5,000,000	NA	NONE	
	IRA - Salomon Smith Barney/CC	$100,001 - $250,000	N/A	N/A	
SP	IRA - Tweedy Browne	$250,001 - $500,000	NA	N/A	
JT	Lebenthal NY Muni Bond Fund	$100,001 - $250,000	Interest	N/A	
JT	Lebenthal Portfolio of NY Tax-Free Bonds (attached)	$1,000,001 - $5,000,000	Interest	$50,001 - $100,000	
SP	LivePerson	$50,001 - $100,000	N/A	N/A	Other - See FN 5
SP	Lowey Dannenberg Bemporad & Selinger Profit Sharing Plan	$1,000,001 - $5,000,000	Capital Gains/Dividends/Interest	N/A	
SP	Lowey Dannenberg Bemporad & Selinger, P.C.	$1,000,001 - $5,000,000	Interest in law firm	N/A	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name NITA M. LOWEY

SP	Lowey Family Investment LLC/A (see footnote 1)	$250,001 - $500,000	CAPITAL GAINS	N/A	
	Lowey Family Investment LLC/A (see footnote 1)	$500,001 - $1,000,000	CAPITAL GAINS	N/A	
SP	Lowey Family Investment LLC/B (see footnote 1)	$1,000,001 - $5,000,000	CAPITAL GAINS	N/A	
JT	M.D. Sass Multistrategy	$1,000,001 - $5,000,000	DIVIDENDS/CAPITAL GAINS	N/A	
JT	M.D. Sass Reenterprise Fund	$250,001 - $500,000	Capital Gains	N/A	
JT	Merrill Lynch*****	None	DIVIDENDS	NONE	S
JT	Microsoft*****	$15,001 - $50,000	CAPITAL GAINS	NONE	
SP	MMI Investments/ Millcap Advisors	$1,000,001 - $5,000,000	INTEREST/CAPITAL GAINS	N/A	P
SP	MONY Gp****	$15,001 - $50,000	CAPITAL GAINS	NONE	Other - See FN 2
SP	Navigators Group****	$15,001 - $50,000	CAPITAL GAINS	NONE	
JT	New York Times*****	$15,001 - $50,000	CAPITAL GAINS	NONE	
SP	Newell Rubbermaid****	$1,001 - $15,000	CAPITAL GAINS	NONE	P
SP	Newhall Ppshp****	$100,001 - $250,000	Dividends	$1,001 - $2,500	
SP	Newmont Mining****	$100,001 - $250,000	CAPITAL GAINS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name NITA M. LOWEY

	NYS Def. Compensation Plan	$50,001 - $100,000	NA	Retirement Plan Payments	
	NYS Retirement Plan	N/A	Retirement plan payments	$5,001 - $15,000	
SP	Oppenheimer & Close Limited Partnership	$250,001 - $500,000	CAPITAL GAINS	N/A	
SP	Oppenheimer & Spence Investment Pp.	$500,001 - $1,000,000	Dividends/Capital Gains	N/A	
SP	P. Oppenheimer Investment Pp.	$250,001 - $500,000	Dividends/Capital Gains	N/A	
SP	Peoples Pfd Cap****	None	Dividends	$2,501 - $5,000	S
JT	Pfizer*****	$15,001 - $50,000	CAPITAL GAINS	NONE	
SP	Santa Monica Ptnrs	$250,001 - $500,000	Capital Gains	N/A	
JT	Schlumberger*****	None	DIVIDENDS	NONE	S
SP	Seacor Smit****	$15,001 - $50,000	CAPITAL GAINS	NONE	
JT	Texas Instruments*****	$15,001 - $50,000	CAPITAL GAINS	NONE	P
JT	Time Warner*****	$15,001 - $50,000	CAPITAL GAINS	NONE	Other- See FN 3
JT	Travelers*****	$1,001 - $15,000	CAPITAL GAINS	NONE	Other
JT	Walgreen*****	$15,001 - $50,000	CAPITAL GAINS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name NITA M. LOWEY

JT	Walmart*****	$15,001 - $50,000	CAPITAL GAINS	NONE	
JT	Wells Fargo*****	$15,001 - $50,000	CAPITAL GAINS	NONE	
SP	Wellsford RI Ppty****	$15,001 - $50,000	CAPITAL GAINS	NONE	Other - See FN 2

SCHEDULE IV - TRANSACTIONS

Name NITA M. LOWEY

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Acme Comm. Inc.****	P	9/30/03	$1,001 - $15,000
SP	Newell Rubbermaid****	P	11/19/03	$1,001 - $15,000
SP	Wellsford RI Ppty****	Other	1/1/03	$15,001 - $50,000
SP	MONY Gp****	Other	1/1/03	$15,001 - $50,000
JT	Cisco Systems*****	P	9/17/03	$15,001 - $50,000
JT	Coach, Inc*****	P	11/4/03	$15,001 - $50,000
JT	Ebay*****	P	2/21/03	$15,001 - $50,000
JT	Texas Instruments*****	P	9/17/03	$15,001 - $50,000
JT	Time Warner*****	Other	1/1/03	$15,001 - $50,000
JT	Travelers*****	Other	1/1/03	$1,001 - $15,000
SP	Cadigan Partners	P	1/1/03	$250,001 - $500,000
SP	Diker Micro-Value Fund	P	7/1/03	$100,001 - $250,000

SCHEDULE IV - TRANSACTIONS

Name NITA M. LOWEY

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	LivePerson	Other	1/1/03	$50,001 - $100,000
SP	MMI Investments/Millcap Advisors	P	3/1/03	$1,000,001 - $5,000,000
JT	Anadarko*****	S	11/4/03	$15,001 - $50,000
JT	Merrill Lynch*****	S	2/21/03	$15,001 - $50,000
JT	Schlumberger*****	S	2/21/03	$15,001 - $50,000
SP	Peoples Pfd Cap****	S	5/16/03	$15,001 - $50,000

2913

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name NITA M. LOWEY

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Harvard Univ.	Jan. 16-19	NY-Aventura, FL-NY	Y	Y	Y	N
Lexington Institute	Mar. 6-11	Washington DC-W. Pa.-Wash. DC	Y	Y	Y	N
Alaska Rainforest Campaign	May 24-30	NY-Alaska-NY	Y	Y	Y	N
Aspen Institute	June 27-July 5	NY-Helsinki-NY	Y	Y	Y	2 Days
Aspen Institute	Dec. 4-Dec. 7	NY-Punta Mita Mexico-NY	Y	Y	Y	N

2914

FOOTNOTES

Name NITA M. LOWEY

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Key to asterisks: **** Oppenheimer & Close Acct. *****Neuberger & Berman/LP Acct.	
2	Schedule III	****MONY Gp and Wellsford RI Ppty positions in this account were incorrectly listed as sold in 2002; they were not sold and remain in the account.	MONY Gp**** Wellsford RI Ppty****
3	Schedule III	***** Time Warner and Travelers positions in this account were incorrectly listed as sold in 2002; they were not sold and remain in the account	Time Warner***** Travelers*****
4	Schedule III	EGS Partners - Value declined to zero during 2003	EGS Partners
5	Schedule III	LivePerson Inc. Common stock received as legal fees	LivePerson
6	Schedule III	Lowey Family Investment LLC consists of two accounts, A and B. At 12/31/03, member owned a 22.54% interest in account A, which is invested in securities on a discretionary basis by the account manager at Brownstone Investment Group. SP owned a 7.71% interest in account A. Neither member nor SP directs investments in account A. SP also owns an interest in account B, which is invested in Brownstone Investment Group LLC, a privately owned broker-dealer firm. Member has no investment in account B. Account statement attached.	Lowey Family Investments
7	Schedule III	Sold in 2002 -- kept on list in error	Crown Castle (TWRS)*******

BEAR STEARNS

OFFICE SERVICING YOUR ACCOUNT
Bear, Stearns & Co. Inc.
[illegible] Securities
[illegible] 10170
[illegible] 217

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NITA LOWEY

ACCOUNT EXECUTIVE GREENBERG,GREENBERG
VISIT OUR WEBSITE www.bearstearns.com

STATEMENT PERIOD November 29, 2003
THROUGH December 31, 2003

ACCOUNT NUMBER 842-44267 MRS
TAXPAYER NUMBER On File
LAST STATEMENT November 28, 2003

What's In This Statement

Financial Summary	3
Bonds With 60-Day Horizon	3
Your Portfolio Holdings	4
Transaction Detail	10
Your Messages	12

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NITA LOWEY
105 BEVERLY ROAD
RYE NY 10580-1902

Your Portfolio at a Glance

TOTAL VALUE OF SECURITIES THIS PERIOD	1,084,841
LONG ACCRUED INTEREST	14,500
NET CREDIT BALANCE	33,951
NET EQUITY THIS PERIOD	$1,133,292
NET EQUITY LAST STATEMENT	1,126,934
CHANGE SINCE LAST STATEMENT	6,359

There are no "Stop Loss" orders or other pending buy or sell open orders on file for your account.

Market Value of Your Portfolio

Important Message

If any information regarding 2003 interest, dividends, miscellaneous income, gross proceeds or original issue discount is required to be reported to the IRS for this account, a Consolidated Form 1099 will be mailed to you by February 2, 2004 or a 1042S by March 15, 2004.

Please report any difference or non-receipt of checks or stocks, indicated as delivered to you, to Client Services at 800-634-1428, or write to Client Services at Bear, Stearns Securities Corp., One Metrotech Center North, Brooklyn, N.Y. 11201-3859.

SIPC This summary is for informational purposes only. It is not intended as a tax document. This statement should be retained for your records. See reverse side for important information.

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2916

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NITA LOWEY

GUIDE TO YOUR STATEMENT

Your statement may contain the following sections:

Your Portfolio at a Glance: Reflects the net equity of your account at the close of the statement period, the net equity of your last statement and any change since the last statement.

Market Value of Your Portfolio: A graph reflecting the change in the market value of your account portfolio from the market value reflected on your last statement (Cash/Cash Equivalent, Equities, Mutual Funds, Fixed Income, Other)

Cash Flow Analysis and Cash Balance

Summary: Both show your opening and closing balances. Cash Flow Analysis reflects the categories of cash activity. Cash Balance Summary reflects the cash balances by account type. *Opening Balance* is the credit or debit carried over from the previous period's closing balance. *Closing Balance* is the combination of the total debits and credits for the statement period together with the opening cash balance. A debit balance (money you owe us) is indicated by a minus sign in these sections.

Income Summary: Reflects the total dividend, interest and other income amounts for the statement period and year to date. Any tax withheld, margin interest and miscellaneous charges are included here if applicable.

Distribution Summary: Reflects returns on capital, liquidations, and other income for the statement period and current year.

Retirement Plans: Reflects the contributions received and distributions paid during this statement period as well as for the previous year.

Your Portfolio Allocation: A pie chart dividing your asset allocations (Cash/Cash Equivalent, Equities, Mutual Funds, Fixed Income, Other)

Portfolio Composition: Reflects a summary of cash/cash equivalent, equities, mutual funds, and fixed income for the statement period.

Bonds with 60 - Day Horizon: Reflects bonds that will mature or are subject to redemption within the next 60 days.

Your Portfolio Holdings: Reflects cash and all securities in your account. Estimated annual income is based on the dividend or income expected to be received annually. Accrued interest represents interest earned but not yet paid or collected on fixed income securities since the last coupon date. There is no guarantee that this interest will be paid by the issuer. Current yield is calculated by dividing the estimated annual income by the market value of the investment and represents an estimated current yield only.

Market Prices/Bond Ratings.
The market value of your holdings are as of the last business day of the statement period. Prices for determining market values represent estimates. These estimates are obtained from multiple sources, including Bear Stearns, its affiliates and outside services. Pricing estimates may be based on bids prices within the bid/offer spread, closing prices or matrix methodology that uses data relating to other securities whose prices are more ascertainable to produce a hypothetical price based on the estimated yield spread relationship between the securities. Pricing estimates do not constitute bids for any securities. Actual prices realized at sale may be more or less than those shown on your statement. Bond ratings are received from outside sources. While we believe our sources for market values and bond ratings to be reliable, we cannot guarantee their accuracy.
The total cost basis for each security position and the unrealized gain/loss are provided solely as a general indication of performance and should not be used for tax purposes or otherwise relied upon without the assistance of your tax advisor. With respect to security positions received into your account, cost basis information, if any, has been provided by you.
Cost basis information relating to securities positions consisting of more than twenty tax lots (purchases and re-investments) is displayed in the aggregate as one short term and one long term tax lot. Further information is available from your broker.
Cost basis information has been provided by you or by a third party on your behalf, and not by Bear Stearns.
Cost basis information relating to your mutual funds holdings, regardless of the number of tax lots, is displayed in the aggregate as one short term tax lot and one long term tax lot. Further information is available from your broker.

† The original cost basis of this position has been adjusted to reflect amortization or accretion.

Transaction Details: Reflects all transactions settling or processed for your account this statement period.

Trades Executed But Not Yet Settled: This section will reflect any trades not yet settled by the statement closing date. The settlement date is indicated in the first column.

IMPORTANT NOTES

Dividend Income: Dividends credited to your account may include capital gains, non-taxable dividends and/or dividends on foreign stock. You may wish to consult your tax advisor with regard to your tax liability on these dividend credits.

Methods of Computing Interest on Debit Balances:
Interest is charged on a day by day basis for any day that there is a net debit balance in your overall account. The calculation is made on a 360-day basis at the rate or rates shown on the statement. Interest rates may be changed from time to time with fluctuating money market rates or for other reasons.

Customer free credit balances may be used in the firm's business subject to the limitation of 17CFR Section 240.15c3-3 under the Securities Exchange Act of 1934. You have the right to receive from us in the course of normal business operation, upon demand, the delivery of:
a) any free credit balances to which you are entitled
b) any fully-paid securities to which you are entitled
c) any securities purchased on margin upon full payment of any indebtedness to us

If this is a margin account and we maintain a special memorandum account for you, this is a combined statement of your general account and special memorandum account maintained for you under Section 220.8 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of this separate account, as required by Regulation T, is available for your inspection.

For Option Accounts: Further information with respect to commissions and other charges related to the execution of listed options transactions has been included on confirmations of such transactions previously furnished to you and such information will be made available to you promptly upon written request.

Bearer Bonds: If any securities held by us for your account are bearer obligations which have been issued since December 31, 1982 with original maturities of more than one year, we agree that we will satisfy the conditions set forth in subdivisions (i), (ii) and (iii) of Treasury Regulation Section 1.165-12 (c) (3) and covenant that we will comply with the requirements of Treasury Regulation Section 1.165-12(c) (1) (iii) concerning the delivery of such bearer obligations.

Financial Statements: A financial statement of our firm is available for your personal inspection at our office, or a copy will be mailed to you upon written request.

Custody: Whether we are your broker or act as a clearing agent for your broker, we carry your account and act as your custodian for funds and securities, once received by us, which have been deposited directly with or through your broker or otherwise or as a result of transactions we process for your account. Inquiries concerning the positions and balances in your account may be directed to our Client Service Department at 347-643-2578. If your account is introduced by another broker, all other inquiries regarding your account and the activity therein should be directed to such broker.

PLEASE PROMPTLY NOTIFY YOUR ACCOUNT EXECUTIVE IN WRITING OF ANY MATERIAL CHANGES IN YOUR FINANCIAL CIRCUMSTANCES OR INVESTMENT OBJECTIVES.

Reportable to the Internal Revenue Service: As required by law, at year end, we will report to you and to the Internal Revenue Service and to certain states, certain information on sales (including short sales), dividends and various types of interest that have been credited to your account.

Statement Frequency: Statements will be mailed to customers who have transactions during the statement period affecting money balances and/or security positions. All other accounts will be sent statements at least four times during a calendar year provided that the account contains a money or security balance.

Please promptly notify the office servicing your account in writing of any change of address. The office servicing your account can be found on page 1.

Kindly include your account number(s) on all correspondence.

Bear, Stearns Securities Corp. ("BSSC"), a member of the Securities Investor Protection Corporation ("SIPC"), provides account protection for the net equity of a customer's funds and securities positions. SIPC provides $500,000 of primary net equity protection, including $100,000 for claims for cash ("SIPC Coverage"). Visit www.sipc.org for more information about SIPC Coverage. BSSC provides the additional protection ("Excess SIPC") through Travelers Casualty & Surety Company. Account protection applies when a SIPC member firm fails financially and is unable to meet its obligations to its securities customers, but does not apply to losses from the rise or fall in the market value of investments or to SIPC ineligible assets such as options, futures, foreign exchange transactions, or any investment contracts that are not registered on securities.

2917

BEAR STEARNS

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NITA LOWEY

STATEMENT PERIOD November 29, 2003
THROUGH December 31, 2003

ACCOUNT NUMBER 042-44287 MR3
TAXPAYER NUMBER On File
LAST STATEMENT November 28, 2003

Cash Flow Analysis

	THIS PERIOD
Opening Balance	**$29,780.74**
Dividends/Interest	4,170.59
Amount Credited	**$4,170.59**
Net Cash Activity	4,170.59
Closing Balance	**$33,951.33**

Income Summary

	THIS PERIOD	YEAR TO DATE
Dividends	134.97	518.84
Other Dividends	206.25	2,475.00
Muni Bond Int.	3,826.25	50,615.00
Credit Balance Int.	3.12	13.86
Total	**$4,170.59**	**$53,622.70**

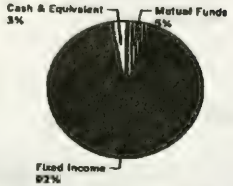
Cash Balance Summary

	OPENING	CLOSING
Cash	29,780.74	33,951.33
Net Cash Balance	**$29,780.74**	**$33,951.33**

Portfolio Composition

Cash/Cash Equivalent	33,951
Mutual Funds	55,968
Fixed Income	1,028,873
Total	**$1,118,792**

Your Portfolio Allocation



Unshaded portions denote debit balance and/or short market values. The allocation percentage is derived from the absolute market value of your portfolio

Bonds With 60-Day Horizon

BONDS WITH MATURITY DATES WITHIN 60 DAYS

MATURITY DATE	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	INTEREST RATE (%)
02/15/04	NEW YORK ST MED CARE F/F AGY	64988JDD9	30,000	4.900

These bonds will also appear in Your Portfolio Holdings section.

BEAR STEARNS

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NITA LOWEY

STATEMENT PERIOD	November 29, 2003
THROUGH	December 31, 2003
ACCOUNT NUMBER	843-44287 NK3
TAXPAYER NUMBER	On File
LAST STATEMENT	November 28, 2003

Your Portfolio Holdings

The total cost basis for each security position and the unrealized gain/loss are provided solely as a general indication of performance and should not be used for tax purposes or otherwise relied upon without the assistance of your tax advisor.

CASH & CASH EQUIVALENTS

DESCRIPTION	TYPE	QUANTITY	PRICE	MARKET VALUE	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	CURRENT YIELD (%)
CASH BALANCE	CASH			33,951	33,951			
TOTAL CASH & CASH EQUIVALENTS				$33,951	$33,951	$0		

MUTUAL FUNDS

A maximum of two tax lots per position will be reported in the mutual funds section. These will be the aggregate totals of the long-term holdings and the short-term holdings respectively.

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	CURRENT YIELD (%)
BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC SYMBOL: BLN	CASH		3,300	16.96	55,968	13.25	Please provide this information	LT		
TOTAL MUTUAL FUNDS					$55,968		$0	$0	$0	

2919

BEAR STEARNS

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Bear, Stearns & Co. Inc.
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(212) 272-1000

NITA LOWEY

STATEMENT PERIOD November 29, 2003
THROUGH December 31, 2003

ACCOUNT NUMBER 042-44287 NR3
TAXPAYER NUMBER On File
LAST STATEMENT November 28, 2003

Your Portfolio Holdings (continued)

FIXED INCOME

Municipal Bonds

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS		ESTIMATED ANNUAL INCOME	CURRENT YIELD (%)	ACCRUED INTEREST
NEW YORK ST MED CARE F/F AGY ST LUKES-ROOSEVELT H&P FHA MTG REV-A DATED DATE 07/01/93 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT DUE 02/15/2004 4.900% FA 15 CUSIP: 64988JDD9 RATING: MOODY AA2 S&P AAA	CASH	01/04/96	30,000	100.46	30,137	100.00	30,000 §	137	LT	1,470	4.88	555
HARRISON N Y G/O BBNK QUALIFIED DATED DATE 06/15/92 BOOK ENTRY ONLY DUE 08/15/2004 5.400% JD 15 CUSIP: 41536GRA6 RATING: MOODY AA1	CASH	01/01/90	50,000	101.94	50,970	99.24	Please provide this information		LT	2,700	5.30	120
NEW YORK ST DORM AUTH REVS N Y METHODIST HOSP DATED DATE 01/15/99 BOOK ENTRY ONLY AMBAC INSURED DUE 07/01/2004 4.500% JJ 01 CUSIP: 64983QZC4 RATING: MOODY AAA S&P AAA	CASH	01/29/99	50,000	101.66	50,830	100.29	50,144 §	686	LT	2,250	4.43	1,125
NEW YORK CITY G/O RFDG SER A DATED DATE 07/28/94 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT DUE 08/01/2005 6.000% FA 01 CALL 08/01/04 101.500 CUSIP: 649853NV0 RATING: MOODY A2 S&P A	CASH	07/22/94	25,000	103.88	25,969	99.83	24,957 §	1,012	LT	1,500	5.78	625
NEW YORK CITY G/O SER H DATED DATE 01/28/97 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT AMBAC INSURED DUE 08/01/2005 5.000% FA 01 CUSIP: 64966SV80 RATING: MOODY AAA S&P AAA	CASH	01/17/97	30,000	105.69	31,706	99.94	29,981 §	1,725	LT	1,500	4.73	625

STATEMENT PERIOD November 29, 2003
THROUGH December 31, 2003

ACCOUNT NUMBER 642-44287 MR3
TAXPAYER NUMBER On File
LAST STATEMENT November 28, 2003

Your Portfolio Holdings (continued)

Municipal Bonds (continued)

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	CURRENT YIELD (%)	ACCRUED INTEREST
LONG ISLAND PWR AUTH N Y ELEC SYS REV DATED DATE 10/15/98 BOOK ENTRY ONLY FSA INSURED DUE 04/01/2006 5.000% AO 01 CUSIP: 542690HK3 RATING: MOODY AAA S&P AAA	CASH	08/10/99	15,000	107.57	16,136	100.41	15,061 $	1,075 LT	750	4.65	188
NEW YORK ST DORM AUTH REVS ST JOHNS UNIV DATED DATE 10/15/96 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT MBIA INSURED DUE 07/01/2006 4.900% JJ 01 CUSIP: 649836NP4 RATING: MOODY AAA S&P AAA	CASH	10/21/96	25,000	107.85	26,963	99.71	24,926 $	2,037 LT	1,225	4.54	613
NEW YORK N Y G/O SER A DATED DATE 07/15/97 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT FGIC INSURED DUE 08/01/2006 4.600% FA 01 CUSIP: 649667JU7 RATING: MOODY AAA S&P AAA	CASH	08/05/97	50,000	107.23	53,614	100.00	50,001 $	3,613 LT	2,300	4.29	958
METROPOLITAN TRANSN AUTH N Y DEDICATED TAX FD SER-A DATED DATE 10/01/96 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT MBIA INSURED DUE 04/01/2007 5.000% AO 01 CUSIP: 59259NAL7 RATING: MOODY AAA S&P AAA	CASH	08/16/99	25,000	109.72	27,431	100.81	25,202 $	2,229 LT	1,250	4.56	313
PUERTO RICO ELEC PWR AUTH PWR REV SER-X DATED DATE 08/15/95 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT MBIA INSURED DUE 07/01/2007 5.200% JJ 01 CUSIP: 745268TM4 RATING: MOODY AAA S&P AAA	CASH	08/17/95	30,000	110.87	33,262	99.87	29,961 $	3,301 LT	1,560	4.69	780

2921

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NITA LOWEY

STATEMENT PERIOD November 29, 2003
THROUGH December 31, 2003

ACCOUNT NUMBER 642-44237 NKS
TAXPAYER NUMBER On File
LAST STATEMENT November 28, 2003

Your Portfolio Holdings (continued)

Municipal Bonds (continued)

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	CURRENT YIELD (%)	ACCRUED INTEREST
NEW YORK ST G/O SER B DATED DATE 03/01/98 BOOK ENTRY ONLY DUE 03/01/2008 5.000% MS 01 CUSIP: 649787J85 RATING: MOODY A2 S&P AA	CASH	05/01/00	25,000	110.79	27,698	100.01	25,001 §	2,697 LT	1,250	4.51	417
NEW YORK STATE DORM AUTH REV STATE UNIV EDL FACS DATED DATE 08/01/93 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT DUE 05/15/2008 5.500% MN 15 CUSIP: 649834NY1 RATING: MOODY A3 S&P AA-	CASH	01/18/00	50,000	112.66	56,332	101.05	50,526 §	5,806 LT	2,750	4.88	351
NEW YORK CITY G/O NEW MONEY SER E DATED DATE 11/21/96 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT PAR CALL 08/01/2008 FGIC INSURED DUE 08/01/2009 5.300% FA 01 CALL 08/01/06 101.500 CUSIP: 649665D86 RATING: MOODY AAA S&P AAA	CASH	06/29/00	40,000	109.60	43,841	101.56	40,624 §	3,217 LT	2,120	4.84	883
NEW YORK CITY G/O-SER G RFDG DATED DATE 01/09/96 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT PAR CALL 02/01/2008 DUE 02/01/2010 5.750% FA 01 CALL 02/01/06 101.500 CUSIP: 649664HX0 RATING: MOODY A2 S&P A	CASH	02/01/00	50,000	106.25	53,127	101.54	50,768 §	2,359 LT	2,875	5.41	1,198
NEW YORK N Y G/O SER A DATED DATE 07/15/97 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT PAR CALL 08/01/2009 DUE 08/01/2010 5.250% FA 01 CALL 08/01/07 101.000 CUSIP: 649667JY9 RATING: MOODY A2 S&P A	CASH	11/01/00	45,000	107.21	48,244	101.40	45,628 §	2,616 LT	2,363	4.90	984

2922

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NITA LOWEY

STATEMENT PERIOD November 29, 2003
THROUGH December 31, 2003

ACCOUNT NUMBER 942-44287 MR3
TAXPAYER NUMBER On File
LAST STATEMENT November 28, 2003

Your Portfolio Holdings (continued)

Municipal Bonds (continued)

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	CURRENT YIELD (%)	ACCRUED INTEREST
NEW YORK N Y CITY TRANSITIONAL FIN AUTH FUTURE TAX SECD-SER C DATED DATE 04/11/01 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT DUE 02/01/2011 4.375% FA 01 CUSIP: 649716Z86 RATING: MOODY AA2 S&P AA+	CASH	04/05/01	20,000	107.35	21,471	99.91	19,982 §	1,489 LT	875	4.08	365
NEW YORK ST TWY AUTH SVC CONTRACT REV LOC HWY & BRDG-SER B DATED DATE 09/01/98 BOOK ENTRY ONLY PAR CALL 04/01/2010 MBIA INSURED DUE 04/01/2013 5.375% AO 01 CALL 04/01/08 101.000 CUSIP: 650017JW7 RATING: MOODY AAA S&P AAA	CASH	01/18/00	50,000	111.95	55,973	98.37	49,187	6,786 LT	2,688	4.80	672
NEW YORK ST ENVIRONMENTAL FACS CORP ST REVOLVING FDS SER C DATED DATE 07/12/01 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT PAR CALL 06/15/2011 DUE 06/15/2013 4.550% JD 15 CUSIP: 64985MTX4 RATING: MOODY AAA S&P AAA	CASH	06/21/01	80,000	107.15	85,718	99.85	79,883 §	5,835 LT	3,640	4.25	182
NEW YORK ST TWY AUTH SVC CONTRACT REV LOC HWY & BRIDGE SER A DATED DATE 08/01/99 BOOK ENTRY ONLY MBIA INSURED DUE 04/01/2015 5.750% AO 01 PRE-REFUNDED 04/01/09 @ 101.00 CUSIP: 650017LX2 RATING: MOODY AAA S&P AAA	CASH	01/18/00	50,000	116.72	58,359	100.01	50,003	8,357 LT	2,875	4.93	719

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NITA LOWEY

STATEMENT PERIOD November 29, 2003
THROUGH December 31, 2003

ACCOUNT NUMBER 042-44287 [illegible]
TAXPAYER NUMBER On File
LAST STATEMENT November 28, 2003

Your Portfolio Holdings (continued)

Municipal Bonds (continued)

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	CURRENT YIELD (%)	ACCRUED INTEREST
NEW YORK ST URBAN DEV CORP REV CORRTL FACS SVC CONTRACT SER B DATED DATE 11/01/98 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT AMBAC INSURED DUE 01/01/2017 5.000% JJ 01 PRE-REFUNDED 01/01/09 @ 101.00 CUSIP: 650034LY5 RATING: MOODY AAA S&P AAA	CASH	06/18/02	35,000	112.82	39,486	101.30	35,455 $	4,031 LT	1,750	4.43	875
METROPOLITAN TRANSN AUTH N Y DEDICATED TAX FD SER A DATED DATE 08/15/02 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT PAR CALL 11/15/2012 FSA INSURED DUE 11/15/2018 4.500% MN 15 CUSIP: 59259NLH7 RATING: MOODY AAA S&P AAA	CASH	08/07/02	60,000	103.17	61,903	99.58	59,746 $	2,157 LT	2,700	4.36	345
METROPOLITAN TRANSN AUTH N Y REV SER A DATED DATE 05/30/02 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT PAR CALL 11/15/2012 DUE 11/15/2019 5.000% MN 15 CUSIP: 59259RCJ1 RATING: MOODY A2 S&P A	CASH	05/09/02	50,000	103.40	51,699	99.68	49,842 $	1,857 LT	2,500	4.84	319
TRIBOROUGH BRDG & TUNL AUTH N Y REVS GEN PURP SER A DATED DATE 11/15/01 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT PAR CALL 01/01/2012 DUE 01/01/2023 5.000% JJ 01 CUSIP: 896029CC5 RATING: MOODY AA3 S&P AA-	CASH	02/11/02	50,000	103.40	51,698	100.01	50,002 $	1,696 LT	2,500	4.84	1,250

2924

STATEMENT PERIOD November 29, 2003
THROUGH December 31, 2003

ACCOUNT NUMBER 042-44287 NOR3
TAXPAYER NUMBER On File
LAST STATEMENT November 28, 2003

Your Portfolio Holdings (continued)

Municipal Bonds (continued)

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	CURRENT YIELD (%)	ACCRUED INTEREST
NEW YORK N Y CITY MUN WTR FIN AUTH WTR & SER D DATED DATE 06/15/01 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT PAR CALL 06/15/2012 DUE 06/15/2025 5.250% JD 15 CALL 06/15/11 101.000 CUSIP: 649TOKQJ9 RATING: MOODY AA2 S&P AA	CASH	10/12/01	25,000	105.22	26,300	101.49	25,373 §	933 LT	1,313	4.99	58
Total Municipal Bonds					$1,028,073		$912,253	$65,051	$46,704		$14,500
TOTAL FIXED INCOME					$1,028,073		$912,253	$65,051	$46,704		$14,500

YOUR TOTAL ACCRUED INTEREST	$14,500
YOUR TOTAL ESTIMATED ANNUAL INCOME	$46,704
YOUR PRICED PORTFOLIO HOLDINGS	$1,110,722

Transaction Detail

DIVIDENDS

DATE	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	RATE (%)	DEBIT AMOUNT	CREDIT AMOUNT
12/01/03	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC REC 11/14/03 PAY 12/01/03	BLN	3,300	0.0625		206.25

2925

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NITA LOWEY

STATEMENT PERIOD November 29, 2003
THROUGH [redacted]

ACCOUNT NUMBER 042-44287 [redacted]
TAXPAYER NUMBER On File
LAST STATEMENT November 28, 2003

Transaction Detail (continued)

DIVIDENDS (continued)

DATE	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	RATE (%)	DEBIT AMOUNT	CREDIT AMOUNT
12/31/03	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC S/T CAP GNS 3300 SHS REC 12/15/03 PAY 12/31/03	BLN	3,300	0.0086		28.38
12/31/03	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC L/T CAP GNS 3300 SHS REC 12/15/03 PAY 12/31/03	BLN	3,300	0.0323		106.59
TOTAL						$341.22

INTEREST

DATE	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	RATE (%)	DEBIT AMOUNT	CREDIT AMOUNT
12/15/03	HARRISON N Y Q/O BANK QUALIFIED DUE 06/15/2004 5.400 REG INT ON 50000 BND REC 11/28/03 PAY 12/15/03	415360RA6	50,000	5.4000		1,350.00
12/15/03	NEW YORK N Y CITY MUN WTR FIN AUTH WTR & SER D DUE 06/15/2025 5.250 REG INT ON 25000 BND REC 12/01/03 PAY 12/15/03	64970KQJ9	25,000	5.2500		656.25
12/15/03	NEW YORK ST ENVIRONMENTAL FACS CORP ST REVOLVING FDS SER C DUE 06/15/2013 4.550 REG INT ON 80000 BND REC 12/01/03 PAY 12/15/03	64985MTX4	80,000	4.5500		1,820.00
12/22/03	INTEREST ON CREDIT BALANCE ACCT 042-44287 AT 00.12500% 11/21 - 12/20					3.12
TOTAL						$3,829.37

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NITA LOWEY

STATEMENT PERIOD	November 29, 2003
THROUGH	December 31, 2003
ACCOUNT NUMBER	843-44287 NRS
TAXPAYER NUMBER	On File
LAST STATEMENT	November 29, 2003

Your messages

As part of the National Association of Securities Dealer's (NASD) Investor Education Program, you may obtain information on the NASD's Public Disclosure Program by contacting the NASD at telephone number (800) 289-9999 or via the internet address which is www.nasdr.com. In addition, a brochure explaining the Public Disclosure Program is available from the NASD upon request.

Excess SIPC Account Protection Update
Bear, Stearns Securities Corp. ("BSSC"), a member of the Securities Investor Protection Corporation ("SIPC"), provides account protection for the net equity of a customer's funds and securities positions. SIPC provides $500,000 of primary net equity protection, including $100,000 for claims for cash ("SIPC Coverage"). Visit www.sipc.org for more information about SIPC Coverage. BSSC provides the additional protection ("Excess SIPC") through a commercial insurer. Effective February 16, 2004, the commercial insurer for Excess SIPC will change to Customer Asset Protection Company ("CAPCO"), a licensed New York insurance company. Account protection applies when a SIPC member firm fails financially and is unable to meet its obligations to its securities customers, but does not apply to losses from the rise or fall in the market value of investments or to SIPC ineligible assets such as options, futures, foreign exchange transactions, or any investment contracts that are not registered as securities.

STOP ****** End of Statement ******

2927

Client Statement
Through The Courtesy Of:

LEBENTHAL
A Division of Advest, Inc.

Securities Account

For The Period:
December 1 - December 31, 2003

Account Number:
WXA-235180-34

Your Financial Advisor: JAMES LEBENTHAL
LEBENTHAL, DIV. OF ADVEST, INC
120 BROADWAY 12TH FLOOR
NEW YORK NY 10271
Phone: 212-482-4499

STEPHEN LOWEY &
NITA M LOWEY JT TEN
105 BEVERLY ROAD
RYE NY 10580-1902

Total Account Net Worth

	OPENING	CLOSING
Priced Securities Value	$1,759,410.35	$1,756,065.21
Money Mkt Funds/Bank Dep. Bal.	$83,649.71	$85,887.73
Cash Balance	$.01	$.90
Total Net Worth	**$1,843,060.07**	**$1,841,953.84**

Income & Distributions

	THIS PERIOD	YEAR TO DATE
Municipal Money Fund Dividends	$25.02	$405.16
Municipal Income	$2,823.06	$83,678.15
Total Income	**$2,848.08**	**$84,083.31**

Cash Activity Highlights

	THIS PERIOD	YEAR TO DATE
Opening Cash, MM Fnd/Bank Dep. Bal.	$83,649.72	
Income & Distributions In Cash	$2,238.91	$76,237.07
Funds Added	$.00	$.00
Net Securities Bought/Sold	$.00	($163,666.42)
Funds Withdrawn	$.00	$.00
Miscellaneous	$.00	$46.05
Closing Cash, MM Fnd/Bank Dep. Bal.	$85,888.63	

Please see reverse side

2928

Portfolio Detail

	SYMBOL	QUANTITY	CURRENT PRICE		CURRENT VALUE	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUALIZED INCOME	CURRENT YIELD	COMMENTS
Municipal Bonds-85.1% of Portfolio									
CALLED NEW YORK ST URBAN DEV CORP REV RF CORRECTIONAL CAP FACS SER A RO JJ 5.250 01/01/2021 DTD 01/01/94 FULLY CALLED 01/01/2004 AT 102.00 BOOK ENTRY ONLY		35,000	102.000	E	$35,700.00	$919	$1,838	5.15%	Rating: A3/AA- CUSIP 650033E90
NEW YORK ST DORM AUTH REVS CITY UNIV-3RD GEN RES-SER 2 RO JJ 6.400 07/01/2007 DTD 11/15/94 PREREFUNDED 07/01/2004 AT 102.00 BOOK ENTRY ONLY		100,000	104.677	E	$104,677.00	$3,200	$6,400	6.11%	Rating: AAA/AAA MBIA Insured CUSIP 6498346G8
NEW YORK ST URBAN DEV CORP REV CORRECTIONAL CAP FACS-SER 5 RO JJ 6.000 01/01/2009 DTD 08/01/95 PREREFUNDED 01/01/2005 AT 102.00 BOOK ENTRY ONLY		150,000	106.880	E	$160,320.00	$4,500	$9,000	5.61%	Rating: A3/AAA CUSIP 650033W90
NEW YORK ST MED CARE FACS FIN AGY REV NEW YORK DOWNTOWN HOSP SER A 1995 RO FA 6.500 08/15/2005 DTD 03/01/95 PREREFUNDED 02/15/2005 AT 102.00 BOOK ENTRY ONLY		75,000	107.962	E	$80,971.50	$1,842	$4,875	6.02%	Rating: AAA/AAA CUSIP 64988KGG6
MUNICIPAL ASSISTANCE CORP CITY OF NY SERIES J PUBLIC BENEFIT CORP RO JJ 5 1/8 07/01/2005 DTD 04/15/97 BOOK ENTRY ONLY		25,000	105.818	E	$26,454.50	$641	$1,281	4.84%	Rating: AA1/AA+ CUSIP 626190V33
WESTCHESTER CNTY N Y INDL DEV AGY RESOURCE RECOVERY REV RESCO CO PROJ RO JJ 5.000 07/01/2005 DTD 12/26/96 SUBJECT TO SPECIAL REDEMPTION BY ISSUER BOOK ENTRY ONLY SUBJECT TO ALTERNATIVE MINIMUM TAX		250,000	104.974	E	$262,435.00	$6,250	$12,500	4.76%	Rating: AAA/AAA AMBAC Insured CUSIP 957375BJ6
NEW YORK ST MED CARE FACS FIN AGY REV MENTAL HLTH SVCS FACS SER D RO FA 5.400 02/15/2008 DTD 07/01/95 PREREFUNDED 08/15/2005 AT 102.00 BOOK ENTRY ONLY		250,000	108.527	E	$271,317.50	$5,100	$13,500	4.98%	Rating: AAA/AAA MBIA Insured CUSIP 64988KUA3
NEW YORK CITY GENERAL OBLIGATION FISCAL 1997 SERIES A RO FA 6.000 08/01/2006 DTD 07/11/96 BOOK ENTRY ONLY		100,000	109.816	E	$109,816.00	$2,500	$6,000	5.46%	Rating: A2/A CUSIP 6496646D6
WESTCHESTER CNTY N Y		35,000	112.977	E	$39,541.95	$299	$1,794	4.54%	Rating: AAA/AAA

Portfolio Detail (CONTINUED)

	SYMBOL	QUANTITY	CURRENT PRICE		CURRENT VALUE	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUALIZED INCOME	CURRENT YIELD	COMMENTS
Municipal Bonds-95.1% of Portfolio		**(continued)**							
UNLTD TAX GENL OBLIG RO MN 5.125 11/01/2008 DTD 11/01/99 BOOK ENTRY ONLY									CUSIP 95736UGQ1
NEW YORK ST ENVIRONMENTAL FACS CORP POLLUTN CTL REV WTR REVOLVING FD SER B RO JD 5.100 12/15/2008 DTD 03/01/97 CALL 12/15/2007 102.00 BOOK ENTRY ONLY		50,000	112.111	E	$56,055.50	$113	$2,550	4.55%	Rating: AAA/AAA CUSIP 649850Q72
NEW YORK N Y SER G GENL OBLIG RO FA 3.800 08/01/2009 DTD 01/22/03 BOOK ENTRY ONLY		25,000	103.718	E	$25,929.50	$396	$950	3.66%	Rating: A2/A CUSIP 64966B5B5
THE CITY OF NEW YORK GO BONDS FISCAL 2003 SERIES E RO FA 3.300 08/01/2009 DTD 10/30/03 1ST CPN 02/01/04 BOOK ENTRY ONLY		25,000	101.170	E	$25,292.50	$140	$825	3.26%	Rating: A2/A CUSIP 64966CVH1
PORT AUTHORITY OF NEW YORK & NEW JERSEY CONSOLIDATED BONDS EIGHTY SIXTH SERIES RO JJ 5.200 07/01/2012 DTD 07/01/93 BOOK ENTRY ONLY		35,000	112.406	E	$39,342.10	$910	$1,820	4.63%	Rating: A1/AA- CUSIP 733580ZP2
TRIBOROUGH BRDG & TUNL AUTH N Y SPL OBLIG RFDG SER A RO JJ 5.250 01/01/2013 DTD 07/15/98 BOOK ENTRY ONLY ESCROWED TO MATURITY		25,000	112.033	E	$28,008.25	$656	$1,313	4.69%	Rating: AAA/AAA FGIC Insured CUSIP 896033MK0
DORMITORY AUTHORITY OF THE STATE OF NEW YORK MOUNT SINAI NYU HEALTH REV SER 2000 RO JJ 6.000 07/01/2013 DTD 04/15/00 CALL 07/01/2010 101.00 BOOK ENTRY ONLY		40,000	97.671	E	$39,068.40	$1,200	$2,400	6.14%	Rating: BA1/BB CUSIP 64983WT30
NEW YORK N Y SER J UNLTD TAX GENL OBLIG RO MN 5.250 05/15/2014 DTD 06/15/99 CALL 05/15/2009 101.00 BOOK ENTRY ONLY		50,000	109.987	E	$54,993.50	$335	$2,625	4.77%	Rating: AAA/AAA MBIA Insured CUSIP 649669Y44
NEW YORK STATE GENERAL OBLIGATION BONDS 2003D RO JD 4.000 06/15/2014 DTD 06/26/03 CALL 06/15/2013 100.00 1ST CPN 12/15/03		50,000	102.615	E	$51,307.50	$89	$2,000	3.90%	Rating: A2/AA CUSIP 649787C55

2930

Portfolio Detail (CONTINUED)

	SYMBOL	QUANTITY	CURRENT PRICE		CURRENT VALUE	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUALIZED INCOME	CURRENT YIELD	COMMENTS
Municipal Bonds-85.1% of Portfolio			**(continued)**						
BOOK ENTRY ONLY									
NEW YORK ST DORM AUTH REVS MONTEFIORE MED CTR FHA RO FA 5.250 02/01/2015 DTD 12/01/96 CALL 02/01/2007 102.00 BOOK ENTRY ONLY		35,000	109.279	E	$38,247.65	$766	$1,838	4.81%	Rating AAA/AAA AMBAC Insured CUSIP 649836TZ6
NEW YORK ST MTG AGY REV HOMEOWNER MTG SER 98 RO AO 5.050 10/01/2017 DTD 06/01/01 CALL 04/01/2011 100.00 BOOK ENTRY ONLY		25,000	105.374	E	$26,343.50	$316	$1,263	4.79%	Rating AA1/NR CUSIP 64988PLV6
NEW YORK N Y SER F GENL OBLIG RO JJ 5.300 01/15/2026 DTD 01/22/03 CALL 01/15/2013 100.00 BOOK ENTRY ONLY		25,000	103.526	E	$25,881.50	$611	$1,325	5.12%	Rating A2/A CUSIP 64966B4M2
WESTCHESTER CNTY N Y HEALTH CARE CORP REV SR LIEN SER A RO MN 6.000 11/01/2030 DTD 11/01/00 CALL 11/01/2010 100.00 BOOK ENTRY ONLY		40,000	104.310	E	$41,724.00	$400	$2,400	5.75%	Rating A3/A CUSIP 957366AB3
METROPOLITAN TRANSN AUTH N Y REV SER U RO MN 5 1/8 11/15/2031 DTD 05/30/02 CALL 11/15/2012 100.00 BOOK ENTRY ONLY		25,000	102.103	E	$25,525.75	$164	$1,281	5.02%	Rating A2/A CUSIP 59259RCN2
Total		**1,470,000**			**$1,568,953.10**	**$31,347**	**$79,778**		
Fixed Income Mutual Funds-10.2% of Portfolio									
LEBENTHAL FUNDS INC NEW YORK MUNICIPAL BOND FUND	LENYX	22,301.801	8.390		$187,112.11		$8,028	4.29%	Reinvest Div/Cap Gain
Total					**$187,112.11**		**$8,028**		
TOTAL PRICED SECURITIES VALUE					**$1,756,065.21**	**$31,347**	**$87,806**		

2931

RTMATHAC-WXA-00008

Portfolio Detail (CONTINUED)

	SYMBOL	QUANTITY	CURRENT PRICE	CURRENT VALUE	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUALIZED INCOME	CURRENT YIELD	COMMENTS
Money Mkt Funds/Bank Dep. Accts.-4.7% of Portfolio								
BOSTON ADVISORS NEW YORK MUNICIPAL MONEY MARKET FUND CLASS 1		85,887.730	1.000	$85,887.73		$421	.49%	7-Day Yield
TOTAL MONEY MKT FUNDS/BANK DEP. ACCTS.				**$85,887.73**		**$421**		

Account Activity

	DATE	TRANSACTION	QUANTITY	PRICE/COMMENTS	AMOUNT DEBITED	AMOUNT CREDITED
Income and Distributions						
LEBENTHAL FDS NY MBF	12/12	Interest		Municipal Income For Reinvestment		$609.17
INT RECEIVED ON 50,000 BONDS OF NYS GO BDS 2003D RO JD 4.00 06/15/2014	12/15	Interest		Municipal Income		$938.89
INT RECEIVED ON 50,000 BONDS OF NY ST ENV FACS CRPRO JD 5.10 12/15/2008	12/15	Interest		Municipal Income		$1,275.00
BOSTON ADV NY MUNINT REIN 11/17 - 12/15	12/18	Municipal Money Fund Income		For Reinvestment		$25.02
Reinvestment Activity						
LEBENTHAL FDS NY MBF	12/12	Purchased	72.520	$8.40	$609.17	

Money Fund/Bank Deposit Sweep Activity

	DATE	TRANSACTION	QUANTITY	PRICE/COMMENTS	AMOUNT DEBITED	AMOUNT CREDITED
BOSTON ADV NY MUNINT REIN 11/17 - 12/15	12/18	Purchased	25.020	1.00	$25.02	
BOSTON AD NY T/E FD AUTO SUBSCRIPTION	12/19	Purchased	2,213	1.00	$2,213.00	

2932

Through The Courtesy Of:

WXA-235180 Page 6 of 6

LEBENTHAL
A Division of Advest, Inc.

Unless otherwise indicated, the securities and balances reflected upon this statement are carried by our clearing firm, Wexford Clearing Services, LLC ("Wexford"). We perform front-office services and Wexford performs back-office functions. Front-office services include such matters as the opening and approval of accounts, the acceptance and transmittal of orders for execution and the provision of investment advice and recommendations in light of investment objectives and financial circumstances of the account. We also exclusively supervise personnel directly or indirectly involved with securities transactions and all the business handled by our personnel in light of applicable rules and regulations which govern the securities industry.

If you have any inquiries regarding this arrangement or have not received the Correspondent Allocation of Responsibility letter describing the division of responsibilities indicated above please contact Wexford at 212-778-7041. All other inquiries or complaints concerning your account should be addressed directly to Advest, Inc. at the address and telephone number on the front of this statement. You can view Wexford's most recent Statement of Financial Condition at www.wexfordclearing.com or at our site www.advest.com.

Your statement may contain the following sections:

Total Account Net Worth represents the net value of the account at the close of the statement period, except where otherwise indicated. "Available for Checkwriting, VISA and Loans" (Premier Accounts Margin Only) reflects the approximate amount available as of the period ending date and should be reduced by any pending checks and VISA charges not yet cleared. For all other Margin Accounts, this is the approximate amount available for withdrawal and loans. A margin loan is a variable rate loan secured by your account.

Gain (Loss) Summary reflects realized gains (losses) from purchases, sales or other dispositions of securities transactions for the statement period and year to date. Also reflected are estimated unrealized gains (losses) on securities held as of the close of the statement period.

Income & Distributions reflects the total of dividends, interest, partnership distributions and other income credited to your account during this statement period as well as year to date. Return of Principal information appears separately and is not included as part of your "Total Income." The schedule K-1 showing the taxpayer's share of the net income or loss will be provided directly from the partnership to the investor.

Cash Activity Highlights displays Funds Added and Funds Withdrawn for the current statement period and year to date. Included are Federal Funds wires, Automated Clearing House (ACH) funds and journal entries between separate accounts. All Margin Accounts (including Premier Accounts) reflect any margin interest charged to your account during this period and year to date. Interest charges appear in a separate section for non-Premier Accounts.

Asset Composition reflects the percentage breakdown of priced "long" positions displayed within the Portfolio Detail section. This pie chart does not display short positions, unpriced positions and cash credits (debits).

Tax Information (Retirement Accounts) reflects the tax reportable contributions received for the current and prior IRS reportable years; as well as distributions for the current calendar year.

Portfolio Detail reflects all securities holdings and other investments in your account. Unless otherwise specified, all securities are "long." Prices in the Portfolio Detail are obtained from various quotation services which we believe to be reliable, but we cannot guarantee their accuracy. These prices are based on the closing prices for "exchange-listed" securities or are generally the "bid price" for unlisted securities at month end. Unlisted preferred securities are priced via evaluation models and valuation risk factors. They do not necessarily represent the prices at which those securities could have been bought or sold. Certain inactively traded securities are not priced and are not included in the Priced Securities Value total. The markets for some fixed income, preferred and restricted securities may not be liquid, and prices may be approximations or estimates. Prices may be based on recent transactions or bids, if available, independent quotation services that use computerized valuation formulas to calculate prices based on institutional quantities, or estimates. Neither prices nor approximations represent quotations and prices for retail quantities of some fixed income securities, including but not limited to mortgage-backed securities, are likely to be different than institutional prices. All fixed income securities may be callable. If the security is called it may affect the yield you receive. Certain Unit Investment Trusts that are marginable are valued at the sponsor's repurchase price. Those not marginable are generally valued at the public offering price. Yields on priced Unit Investment Trusts exclude accrued interest.

Estimated Accrued Interest is the estimated amount that would be received upon a sale. In most cases, it is calculated from the date of the last coupon payment (or dated date) up through the closing date of this statement. Estimated Annualized Income is based upon the dividend or interest expected to be received annually. Current Yield is derived by dividing Estimated Annualized Income by the current value of the security. With regard to Mutual Funds, Estimated Annualized Income and Current Yield are based upon the historical distributions during the preceding twelve month period. These usually exclude Long Term Capital Gains or Losses, Gains or Losses on Currency Transactions, and may represent a Return of Capital. This information was obtained from outside services believed to be reliable, but no independent verification was made. Past performance is not indicative of future results and, therefore, it is not intended to project or predict future distributions. For money market funds, the current yield refers to a simple annualized yield for an identified seven calendar day period. Yield and share price will fluctuate from time to time and are not necessarily representative of future income or dividends.

Positions are grouped (e.g., stocks and bonds) and are shown in alphabetical order with the appropriate quantity of shares or principal amount of bonds. Restricted positions may not be marginable or transferable, and generally cannot be sold until restrictive legend is lifted.

Special Notice should be taken of the following notes which may appear on your Statement:

- ** specifies that listed options are available for this security.
- E specifies that the price shown is an estimate derived from a pricing matrix or model. Actual price may be higher or lower, if sold prior to maturity. For information, call your Financial Advisor.
- ▼ This position is shown at face value (par). If available, current market price may be significantly different.
- M indicates securities held in a margin account.
- & the price reflected represents the net asset value of the Fund per limited partner unit exclusive of unallocated, unrealized profits from the most recent financial statements.
- ◆ indicates that the value of those securities has been reduced to reflect any anticipated principal payments that will be credited on the next payment date. Anticipated principal payments may be displayed as a separate line of detail for mortgage backed securities that carry amortized value (AV) factors.

Realized and Unrealized Gains (Losses) display securities by individual lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect certain capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. **Although the cost basis shown may have been adjusted, your cost basis may require additional adjustments. Note: we do not represent the calculations of realized and unrealized gains (losses) as an official tax accounting of such figures. For tax purposes, you should rely on your own records and the Consolidated Statement (Form 1099).**

Account Activity reflects all settled transactions in your account in chronological sequence, during the statement period. In the Dividend Reinvestment section fractional shares in Closed End Funds and Equity Securities are book entry only and cannot be transferred or delivered.

Premier Account Card Activity (Premier Accounts Only) reflects all Premier Account VISA transactions, including cash access, during the statement period. **Checking and Billpay (Premier Accounts Only)** reflect Premier Account CHECKS paid and Billpay payments made on your behalf during the statement period. An asterisk (*) indicates a check appearing out of sequence.

Money Fund Purchases and Redemptions will itemize all Premier Account Fund transactions during the statement period.

IMPORTANT NOTES Our clearing firm is a member of Securities Investor Protection Corporation (SIPC). SIPC protects most securities in the majority of, but not all accounts (for instance, Broker/Dealer and accounts held by Banks for their own benefit are not covered) up to $500,000 (including up to $100,000 for cash), in the unlikely event of a SIPC liquidation. In addition, our clearing firm maintains a "Total Equity" Excess of SIPC program, which provides coverage for the total amount of cash and fully paid-for securities. This coverage does not protect your account from losses that result from fluctuations in the market value of your investments. Neither we nor our clearing firm is a bank and securities offered through us are not backed or guaranteed by any bank or insured by the FDIC unless otherwise expressly indicated. Funds placed in deposit accounts at FDIC-insured institutions are insured by the FDIC up to $100,000 (principal and accrued interest) when aggregated with other funds held in each insurable capacity at each depository institution.

If yours is a margin account, this is a combined statement of your Margin Account and Special Memorandum Account maintained for you under Section 220.6 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the Special Memorandum Account as required by Regulation T is available for your inspection at your request.

Any free credit balance, while properly accounted for on our books or records, is not segregated from other cash balances held by this corporation (with the exception of regulated commodity accounts) and may be used in the normal conduct of business. Your free credit balance is payable to you upon written notification to us.

Our interest period runs from the third Friday of the last month to the third Thursday of the current month. Interest is calculated on the average net daily debit balance which includes any credit and debit balances in your cash, escrow and margin accounts during the interest period. The interest charge is determined by multiplying the average net daily debit balance by the rate of interest and a fraction, the numerator of which is the number of days the debit existed and the denominator of which is three hundred sixty (360). This statement will show the average net daily balance and the interest rate used to arrive at the amount of interest charged. Interest is charged in accordance with the interest computation schedule previously provided to you pursuant to rule 10b-16 of the Securities Exchange Act of 1934. Interest is charged on the debit balance in your account and such interest charges, if not paid, will be added to the debit balance in your account for the next period.

If account statements and other correspondence mailed to you are returned by the Postal Service as undeliverable and we are unable to locate you through reasonable efforts, a safekeeping fee of $25 per quarter is charged. These fees fairly reflect the costs incurred by our clearing firm in safeguarding inactive and abandoned accounts and are not refundable. Information regarding all charges in your account will be made available to you upon request. A financial statement of this organization will be mailed to you upon request. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in confirmations of such transactions previously furnished to you, and such information will be made available to you promptly upon request of your Financial Advisor. This statement is an official record of your account. If you have any questions concerning the accuracy of this information, please contact us immediately. All account statements sent to you shall be considered binding upon you if not objected to in writing within ten days. You are requested to advise us promptly in writing of any material change in your investment objectives or financial condition. Written notices should be sent to the manager of the office servicing your account. Please notify your Financial Advisor of any change of address.

The NASDR's Public Disclosure Program provides certain information about the disciplinary history of NASD members and their associated personnel. You can access this information by calling the NASDR hotline number (1-800-289-9999) or by visiting the NASDR Web Site at www.nasdr.com. (7880 Rev 08-03)

NTXXXAC-WXA-00022

Wexford Clearing Services, LLC

031231 280 031026341
BROWNSTONE INVESTMENT GROUP
THROUGH THE COURTESY OF
WALL STREET FINANCIAL
633 THIRD AVENUE, 27TH FLOOR
NEW YORK, NY 10017

MR. STEPHEN LOWEY
105 BEVERLY ROAD
RYE NY 10580

Account Number: NW7-000060

FOR THE ACCOUNT OF:
LOWEY FAMILY INVESTMENT LLC
26 CHIEFTANS ROAD
GREENWICH CT 06831

YOUR ACCOUNT EXECUTIVE IS
DOUGLAS B. LOWEY
RR#: 100

BROWNSTONE INVESTMENT GROUP
Member NASD, SIPC

FOR QUESTIONS OR UP-TO-DATE ACCOUNT INFORMATION:
Local 212 750 9555

Statement Date: 12/01/03 to 12/31/03

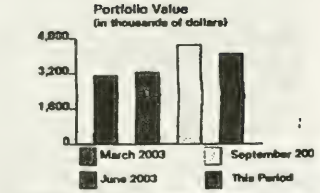
SNAPSHOT

TOTAL PORTFOLIO
$4,194,731.82

PORTFOLIO VALUE	This Period	Prior Period
Cash and Cash Equivalents	$2,018,645.71	$2,120,393.61
Securities	$2,176,086.11	$2,367,443.88
TOTAL PORTFOLIO VALUE	$4,194,731.82	$4,487,837.49

Cash and Cash Equivalents will include margin debit and credit balances

Your portfolio contains unpriced positions. The securities may be unpriced for various reasons including but not limited to unavailability of pricing or the security may not have value. Please contact your broker/dealer for further information



A portfolio value less than $100.00 may not be displayed

ACCOUNT ACTIVITY	This Period	Year-To-Date
Net Trading	($131,750.50)	($1,452,574.33)
Net Core Fund Activity	$828,567.76	$1,497,845.54
Net Additions and Withdrawals	$0.00	$677,813.60
Net Income and Expenses	$30,002.60	$529,037.06
Net Miscellaneous Activity	$0.00	($12.01)

MARGIN PROFILE	This Period
Margin Balance	$1,618,719.86
Equity Buying Power	$0.00
Available to Withdraw	$0.00
Available to Borrow	$0.00

Balances and margin availability are reflected as of the closing date of this statement. Please consult with your broker/dealer prior to trading as these amounts may have changed

LEGEND
( ) Numbers in parentheses are debits or subtractions
NFS = National Financial Services LLC

Account carried with National Financial Services LLC, Member NYSE, SIPC

2934

BROWNSTONE INVESTMENT GROUP
Member NASD, SIPC

SUMMARY

ALERT: Taxable income is determined based on information available to NFS at the time the statement was prepared, and is subject to change. Final information on taxation of interest and dividends is available on Form 1099-Div, which is mailed in January of the subsequent year.

PORTFOLIO VALUE	This Period	Prior Period
Cash and Cash Equivalents		
Cash	$2,018,594.86	$1,291,775.00
Money Markets	$50.85	$828,618.61
Securities		
Equities		
Equity	$163,334.11	$101,631.38
Fixed Income		
Corporate Bonds	$2,012,752.00	$2,265,812.50
Total Securities	$2,176,086.11	$2,367,443.88
TOTAL PORTFOLIO VALUE	$4,194,731.82	$4,487,837.49

ACCOUNT ACTIVITY	This Period	Year-To-Date
BEGINNING BALANCE	$1,291,775.00	
Trading		
Securities Purchased	($484,788.00)	($5,035,776.08)
Securities Sold	$383,037.50	$3,449,139.00
Redemptions	$0.00	$136,619.00
Less Total Accrued Interest Earned	$0.00	($2,756.25)
NET TRADING	($101,750.50)	($1,452,574.33)
Core Fund Activity		
Core Funds Purchased	($54,643.85)	($3,899,650.81)
Core Funds Sold	$883,211.61	$5,397,496.35
NET CORE FUND ACTIVITY	$828,567.76	$1,497,845.54
Additions and Withdrawals		
Deposits	$0.00	$550,000.00
Other Additions and Withdrawals	$0.00	$127,813.60
NET ADDITIONS AND WITHDRAWALS	$0.00	$677,813.60
Income and Expenses		
Taxable Income		
Taxable Dividends	$50.85	$5,040.11
Taxable Interest	$4,424.00	$45,759.09
NET TAXABLE INCOME	$4,474.85	$50,799.20
Non-Taxable Income		
Return of Principal	$25,527.75	$478,237.86
NET NON-TAXABLE INCOME	$25,527.75	$478,237.86

PORTFOLIO ALLOCATION

Cash and Cash Equivalents	48.12%
Equities	3.90%
Fixed Income	47.98%

Allocations for equities, fixed income, and other categories may include mutual funds and may be net of short positions. NFS has made assumptions concerning how certain mutual funds are allocated. Closed-end mutual funds listed on an exchange may be included in the equity allocation. The chart may not reflect your actual portfolio allocation. Consult your broker/dealer prior to making investment decisions.

2935

Account carried with National Financial Services LLC, Member NYSE, SIPC
See last page for important information about your brokerage account and this statement.

BROWNSTONE INVESTMENT GROUP
Member NASD, SIPC

TOTAL INCOME	$30,002.60	$529,037.06
NET INCOME AND EXPENSES	$30,002.60	$529,037.06
NET MISCELLANEOUS ACTIVITY	$0.00	($12.01)
ENDING BALANCE	$2,018,594.66	

REALIZED GAIN (LOSS)	This Period	Year-to-Date
Short-Term Gain	$0.00	$445,590.18
Short-Term Loss	$172,064.13	$594,383.34
Short-Term Disallowed Loss	$0.00	$0.00
NET SHORT-TERM	($172,064.13)	($148,793.16)
Long-Term Gain	$215,545.00	$297,619.35
Long-Term Loss	$26,493.37	$26,493.37
Long-Term Disallowed Loss	$0.00	$0.00
NET LONG-TERM	$189,051.63	$271,125.98

The above section is a summary of realized gains and losses for transactions in your account as of the trade date These figures exclude transactions where cost basis information is incomplete

NFS provides cost basis and associated realized gain and loss information to customers as a courtesy service Such information may not reflect all adjustments necessary for tax reporting purposes Customers should verify cost basis and corresponding gain/loss information provided by NFS against their own records when calculating reportable gain or loss resulting from a sale NFS does not report cost basis information to the IRS and is not responsible for the accuracy of cost basis and gain/loss information reported by the customer to Federal, state, and other taxing authorities

NFS determines the appropriate cost basis method for calculating gain and loss based on positions held at the time of sale. As a default for open-end mutual funds, NFS determines cost basis using the average cost-single category (ACSC) method However, if the mutual fund position's cost basis tracking method is identified cost (ID COST), then, unless otherwise instructed, NFS determines cost basis using the first-in, first-out (FIFO) method. For all other securities, NFS determines cost basis using the FIFO method if NFS does not receive appropriate specific share instructions NFS determines cost basis using the specific share identification (SS) method if NFS receives appropriate specific share instructions; however, such cost basis information may not correspond to a customer's cost basis information records if a customer used the specific share identification method prior to the time that such method tracking was made available by NFS

Customers should consult with their tax advisors for further information

Account carried with National Financial Services LLC, Member NYSE, SIPC

BROWNSTONE INVESTMENT GROUP
Member NASD, SIPC

DETAIL

PORTFOLIO VALUE

As a default for open-end mutual funds, NFS determines cost basis using the average cost-single category (ACSC) method. However, if the mutual fund position's cost basis tracking method is identified cost (ID COST), that identification is reflected. For all other securities, NFS determines cost basis using the ID Cost method. NFS' cost basis information may not correspond to a customer's cost basis information records if a customer used the specific share identification method prior to the time that such method tracking was made available by NFS. Such cost basis, associated gain/loss, and holding period information may not reflect all adjustments necessary for tax reporting purposes. Cost basis information for debt securities has not been adjusted for amortization or accretion.

CASH AND CASH EQUIVALENTS 48.12%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/03	Current Market Value	Prior Market Value	Estimated Annual Income
Cash						
NET CASH POSITION				$2,018,594.83	$1,291,775.00	
Money Markets						
PRIME FUND - CAPITAL RESERVES CLASS 7 DAY AVG NET YIELD .22% Estimated Yield 0.35%	FPRXX CASH	50.85	$1.00	$50.85	$828,818.81	$0.18
Total Cash and Cash Equivalents				$2,018,645.71		$0.18

EQUITIES 3.90%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/03	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
Equity								
HEALTHSOUTH CORP FORMERLY HEALTHSOUTH REHAB CP Dividend Option Reinvest Capital Gain Option Reinvest	HLSH CASH	25,000	$4.55	$113,750.00	$98,500.00		$77,520.00	$38,230.00
INTERMEDIA COMMS INC PFD SER B EXCH 13.50%	ICJPQ CASH	1,000	$46.75	$46,750.00	$100.00		$110,020.00	($63,270.00)
NTL EUROPE INC	NTEU CASH	8,070	$0.01	$80.70	$80.70			
LORAL SPACE & COMMUNICATIONS LTD WTS EXP 12/28/2006 ISIN #BMG584821800 (BERMUDA)	LORWQ CASH	1,480	$0.01	$14.80	$44.40			

Account carried with National Financial Services LLC, Member NYSE, SIPC

2937

BROWNSTONE INVESTMENT GROUP
Member NASD, SIPC

EQUITIES 3.90%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/03	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
MCLEODUSA INC WTS EXP 04/16/2007	MCLDW CASH	5,589	$0.49	$2,738 61	$2,906 28			
Total Equity				$163,334.11			$187,540.00	($27,040.00
Total Equities				$163,334.11			$187,540.00	($27,040.00

FIXED INCOME 47.98%

For an explanation of fixed income pricing, please see the last page.

Description	Symbol/Cusip Account Type	Quantity	Estimated Price on 12/31/03	Estimated Current Market Value	Estimated Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
Corporate Bonds								
CHIQUITA BRANDS INTL INC 9.125% 03/01/2004 SR NT	170032AK2 MARGIN	250,000	unavailable	unavailable	unavailable		$208,175 00	
GLOBALSTAR L P/GLOBALSTAR CAP 10 750% 11/01/2004 SR NT	379383AP9 CASH	5,000,000	$0 50	$25,000.00	unavailable		$62,550 00	($37,550 00
ORBITAL IMAGING CORP SR NT 11 625% 03/01/2005	68556FAE1 CASH	500,000	$66 00	$330,000.00	$310,000 00		$279,725 00	$50,275 00
FEDERAL MOGUL CORP NT 7.375% 01/15/2006	313549AQ0 CASH	1,000,000	$22.00	$220,000 00	$195,000 00		$162,550 00	$57,450 00
ADELPHIA COMMUNICATIONS CORP 6.000% 02/15/2006 SUB NT CONV	006848BG9 CASH	1,000,000	$44 25	$442,500 00	unavailable		$432,238 00	$10,262 00
POLAROID CORP NT 11.500% 02/15/2006 MAKE WHOLE CALL	731095AF2 CASH	1,250,000	$24 25	$303,125 00	$262,500 00		$75,031 25	$228,093 75
FEDERAL MOGUL CORP NT 7.750% 07/01/2006 MAKE WHOLE CALL	313549AL1 SHORT	(1,000,000)	$22.00	($220,000.00)	($195,000 00)		$189,325 00	($30,675 00)
ORION NETWORK SYS INC 11.250% 01/15/2007 SR NT	68628KAC8 CASH	750,000	$52.25	$391,875 00	$390,000.00		$358,300 00	$35,575 00
ORION NETWORK SYS INC 0 000% 01/15/2007 SR NT	68628KAD6 CASH	250,000	$52.25	$130,625 00	$130,000.00		$110,675 00	$19,950 00
POLAROID CORP MTN BE FR 7.250% 01/15/2007	73109PAB7 SHORT	(750,000)	$24.25	($181,875.00)	($157,500 00)		$152,400 00	($29,475 00)
OWENS CORNING NT 7 000% 03/15/2009 MAKE WHOLE CALL	69073FAE3 CASH	650,000	$43.50	$282,750 00	$255,125 00		$300,675 00	($17,925 00)
PSINET INC US$ SR NT 11.000% 08/01/2009	69363VAB3 MARGIN	500,000	$5.25	$26,250 00	$25,000 00		$778 00	$25,472 00
EXODUS COMMUNICATIONS INC 10.750% 12/15/2009 SR NT	302088AH2 CASH	750,000	unavailable	unavailable	unavailable		$3,656 03	

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: NW7-000060
Account Name: LOWEYF

Statement Date: 12/01/2003 to 12/31/2003

BROWNSTONE INVESTMENT GROUP
Member NASD, SIPC

FIXED INCOME 47.98%

For an explanation of fixed income pricing, please see the last page.

Description	Symbol/Cusip Account Type	Quantity	Estimated Price on 12/31/03	Estimated Current Market Value	Estimated Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
FOCAL COMMUNICATIONS CORP 11.875% 01/15/2010 SR NT	344155AE8 CASH	3,500,000	$7.50	$262,500.00	$280,000.00		$214,410.00	$48,090.00
NORTHPOINT COMMUNICATIONS GRP 12.875% 02/15/2010 SR NT	666610AB6 CASH	2,000,000	$0.0001	$2.00	$422,500.00			
Total Corporate Bonds		15,650,000		$2,012,752.00			$2,548,488.28	$359,542.75
Total Fixed Income		15,650,000		$2,012,752.00			$2,548,488.28	$359,542.75
Total Securities				$2,178,083.11			$2,738,028.28	$332,502.75
TOTAL PORTFOLIO VALUE				$4,194,731.62		$0.18	$2,738,028.28	$332,502.75

ACCOUNT ACTIVITY

NFS determines the appropriate cost basis method for calculating gain and loss based on positions held at the time of sale. As a default for open-end mutual funds, NFS determines cost basis using the average cost-single category (ACSC) method. However, if the mutual fund position's cost basis tracking method is identified cost (ID COST), then, unless otherwise instructed, NFS determines cost basis using the first-in, first-out (FIFO) method. For all other securities, NFS determines cost basis using the FIFO method if NFS does not receive appropriate specific share instructions. NFS determines cost basis using the specific share identification (SS) method if NFS receives appropriate specific share instructions, however, such cost basis information may not correspond to a customer's cost basis information records if a customer used the specific share identification method prior to the time that such method tracking was made available by NFS. Such cost basis, associated gain and loss, and holding period information may not reflect all adjustments necessary for tax reporting purposes. Cost basis information for debt securities has not been adjusted for amortization or accretion.

TRADING

Securities Purchased

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Total Cost Basis	Realized Gain (Loss)
12/05/03	CASH	YOU BOUGHT	GLOBALSTAR L P/GLOBALSTAR CAP 10.750% 11/01/2004 SR NT DISCR @ 1.25	5,000,000	[$62,550.00]	$62,550.00	

Account carried with National Financial Services LLC, Member NYSE, SIPC

2939

Account Number: NW7-000080
Account Name: LOWEYF

Statement Date: 12/01/2003 to 12/31/2003

BROWNSTONE INVESTMENT GROUP
Member NASD, SIPC

Securities Purchased

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Total Cost Basis	Realized Gain (Loss)
12/23/03	CASH	YOU BOUGHT	ADELPHIA COMMUNICATIONS CORP 6 000% 02/15/2006 SUB NT CONV DISCR @ 43 2188	1,000,000	($432,238 00)	$432,238 00	
Net Securities Purchased					($494,788.00)		

Securities Sold

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Total Cost Basis	Realized Gain (Loss)
12/04/03	MARGIN	YOU SOLD	GLOBAL CROSSING HLDGS LTD 9.625% 05/15/2008 SR NT DISCR @ 10 LT Gain $215,545.00	(3,000,000)	$299,950 00	$84,405 00	$215,545.00
12/05/03	CASH	YOU SOLD	GLOBALSTAR L P/GLOBALSTAR CAP 11 375% 02/15/2004 SR NT DISCR @ 1.25 LT Loss $28,493.37 ST Loss $112,589.13	(2,555,000)	$31,887.50	$170,970 00	($139,082 50
12/05/03	CASH	YOU SOLD	GLOBALSTAR L P/GLOBALSTAR CAP 11.500% 06/01/2005 SR NT DISCR @ 1 25 ST Loss $59,475 00	(2,500,000)	$31,200 00	$90,675 00	($59,475.00
Net Securities Sold					$363,037.50		

NET TRADING ($131,750.50)

CORE FUND ACTIVITY

Core Funds Purchased

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/05/03	CASH	YOU BOUGHT	PRIME FUND - CAPITAL RESERVES CLASS @ 1	50,843	($50,843 00)
12/15/03	CASH	YOU BOUGHT	PRIME FUND - CAPITAL RESERVES CLASS @ 1	3,750	($3,750 00)

Account carried with National Financial Services LLC, Member NYSE, SIPC

2940

BROWNSTONE INVESTMENT GROUP
Member NASD, SIPC

Core Funds Purchased

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/31/03	CASH	YOU BOUGHT	PRIME FUND - CAPITAL RESERVES CLASS @ 1	50 85	($50 85)
Net Core Funds Purchased					**($54,843.85)**

Core Funds Sold

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/03/03	CASH	YOU SOLD	PRIME FUND - CAPITAL RESERVES CLASS @ 1	(508,275)	$508,275 00
12/08/03	CASH	YOU SOLD	PRIME FUND - CAPITAL RESERVES CLASS @ 1	(24,777.75)	$24,777 75
12/12/03	CASH	YOU SOLD	PRIME FUND - CAPITAL RESERVES CLASS @ 1	(40,000)	$40,000 00
12/23/03	CASH	YOU SOLD	PRIME FUND - CAPITAL RESERVES CLASS @ 1	(310,158 86)	$310,158 86
Net Core Funds Sold					**$883,211.61**

NET CORE FUND ACTIVITY **$828,567.76**

INCOME AND EXPENSES

Taxable Income

Date	Account Type	Transaction	Description	Quantity	Amount
Taxable Dividends					
12/31/03	CASH	DIVIDEND RECEIVED	PRIME FUND - CAPITAL RESERVES CLASS DIVIDEND RECEIVED		$50 85
Taxable Interest					
11/28/03	CASH	INTEREST	TULTEX CORP SR NT 9 625% 04/15/2007		$4,424 00
Net Taxable Income					**$4,474.85**

Account carried with National Financial Services LLC, Member NYSE, SIPC

2941

GLOSSARY **Short Account Balances**-If you have sold securities under the short sale rule, we have, in accordance with regulations, segregated the proceeds from such transactions in your Short Account. Any market increases or decreases from the original sale price will be marked to the market and will be transferred to your Margin Account on a weekly basis

Market Value - The Total Market Value has been calculated out to 8 decimal places, however, the individual unit price is displayed at 5 decimal places. This amount represents values obtained from various quotation services, which may in turn, obtain appraisals based on closing prices and/or the mean bid and ask on the last day of the statement period. Accordingly, the accuracy of such prices cannot be guaranteed. The securities may be unpriced for various reasons including, but not limited to, unavailability of pricing or the securities may not have value. Securities are identified on the front of the statement. Investment decisions should be made only after consulting your broker/dealer for an actual quote

Estimated Annual Income - When available, the current interest or most recently declared dividends for certain securities are annualized to create the Estimated Annual Income figure. These figures are estima only, based on mathematical calculations of available data, and have been obtained from informa providers believed to be reliable, but no assurance can be made as to accuracy. Since the interest dividend rates are subject to change at any time, and may be affected by current and future econo political, and business conditions, they should not be relied on for making investment or trading decisions.

Estimated Value - If this statement contains an estimated value, you should be aware that this value may based on a limited number of trades or quotes. Therefore, you may not be able to sell these securities a price equal or near to the value shown. However, the broker/dealer furnishing this statement may not refuse accept your order to sell these securities. Also, the amount you receive from a sale generally will be redu by the amount of any commissions or similar charges. If an estimated value is not shown for a security value could not be determined because of lack of information

CUSTOMER SERVICE Inquiries or concerns regarding your brokerage account or the activity therein should be directed to your broker/dealer at the telephone number and address reflected on the front of this statement. National Financial Services LLC ("NFS") carries your brokerage account and acts as your custodian for funds and securities deposited with us directly by you, through your broker/dealer, or as a result of transactions we process for your account. Inquiries concerning the positions and balances in your brokerage account only may be directed to NFS at (617) 563-5977. When contacting either NFS or your broker/dealer, remember to include your entire brokerage account number to ensure a prompt reply. Please notify the service center of your broker/dealer promptly in writing of any change of address. Please review your statement and report any discrepancies immediately

ADDITIONAL INFORMATION Customer free credit balances are not segregated and may be used in NFS business, subject to the limitations of 17CFR Section 240.15c3-2 under the Securities and Exchange Act of 1934. You have the right to receive from NFS in the course of normal business operations, subject to open commitments in any of your brokerage accounts, any free credit balances to which you are entitled or any fully paid securities to which you are entitled and any securities purchased on margin upon full payment of any indebtedness to NFS. Interest on free credit balances awaiting reinvestment may be paid out at rates that may vary with current short-term money market rates and/or your brokerage account balances, set at the discretion of your broker/dealer and/or NFS

Options Customers. Each transaction confirmation previously delivered to you contains full information about commissions and other charges. If you require further information, please contact your broker/dealer. Assignments of American and European-style options are allocated among customer short positions pursuant to a random allocation procedure, a description of which is available upon request. Short positions in American-style options are liable for assignment at any time. The writer of a European-style option is subject to exercise assignment only during the exercise period. You should advise your broker/dealer promptly of any material change in your investment objectives of financial situation. **Splits, Dividends, and Interest.** Expected stock split, next dividend payable, and next interest payable information has been provided by third parties and may be subject to change. Information for certain securities may be missing if not received from third parties in time for printing. NFS is not responsible for inaccurate, incomplete, or missing information. Please consult your broker/dealer for more information about expected stock split, next dividend payable, and next interest payable for certain securities

Equity Dividend Reinvestment Customers. Shares credited to your brokerage account resulted from transactions effected as agent by either: 1) Your broker/dealer for your investment account, or 2) through the Depository Trust Company (DTC) dividend reinvestment program. For broker/dealer effected transactions, the time of the transactions, the exchange upon which these transactions occurred and the name of the person from whom the security was purchased will be furnished upon written request. NFS may have acted as market maker in effecting trades in "over-the-counter" securities.

Retirement Contributions/Distributions. A summary of retirement contributions/distributions is displayed for you in the activity summary section of your statement

Income Reporting. NFS reports earnings from investments in Traditional IRAs, Rollover IRAs, SEP-IRAs and, Keoghs as tax-deferred income. Earnings from Roth IRAs are reported as tax-free income, since distributions may be tax-free after meeting the 5 year aging requirement and certain other conditions.

A financial statement of NFS is available for your personal inspection at it's office or a copy of it will be mailed to you upon your written request.

Statement Mailing. NFS will mail statements to customers who have had transactions that affect their cash balances or security positions held in their brokerage accounts during the last monthly reporting period. All other brokerage customers will receive customer statements at least four times during a calendar year as long as the brokerage account contains cash or securities balances. Please keep these statements for your records, as they will help you prepare your income tax returns

Loads and Fees. In addition to sales loads and 12b-1 fees described in the prospectus, NFS or your broker/dealer may receive compensation up to 35 basis points of the average daily net assets of certain mutual funds in connection with your purchase of those mutual funds and/or the on-going maintenance of your brokerage account with respect to those shares. The compensation is paid by the mutual fund and/or affiliate. Additional information about the source and amount of the compensation will be furnished to upon written request

Fixed Income. The prices given for fixed income securities on this statement are approximations, not act market bids or prices and are provided only as a general guide

Margin. If you have applied for margin privileges and been approved, you may borrow money from NFS exchange for pledging the assets in your account as collateral for any outstanding margin loan. The amo you may borrow is based on the value of securities in your margin account, which are identified on y statement. If you have a margin account, this is a combined statement of your margin account and spec memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board Governors of the Federal Reserve Board. The permanent record of the separate account, as required Regulation T, is available for your inspection upon request

NYSE and NASD. All transactions are subject to the constitution, rules, regulations, customs, usages, rulin and interpretations of the exchange market and it's clearing house, if any, where the transactions executed, and of the New York Stock Exchange (NYSE) and of the National Association of Securities Deale Inc ("NASD"). The NASD requires that we notify you in writing of the availability of an investor brochure t includes information describing NASD Regulation's Public Disclosure Program ("Program"). To obtain brochure or more information about the Program or NASD Regulation, contact the NASD Regulation Pub Disclosure Program Hotline at (800) 289-9999 or access the NASD's web site at www.nasdr.com

New York Stock Exchange Rule 382 requires that your broker/dealer and NFS allocate between them certi functions regarding the administration of your brokerage account. The following is a summary of t allocation services performed by your broker/dealer and NFS. A more complete description is available up request

Your broker/dealer is responsible for: (1) obtaining and verifying brokerage account information' a documentation, (2) opening, approving and monitoring your brokerage account, (3) transmitting timely a accurate instructions to NFS with respect to your brokerage account, (4) determining the suitability investment recommendations and advice, (5) operating, and supervising your brokerage account and its o activities in compliance with applicable laws and regulations including compliance with margin ru pertaining to your margin account, if applicable, and (6) maintaining required books and records for services that it performs

NFS shall, at the direction of your broker/dealer: (1) execute, clear and settle transactions process through NFS by your broker/dealer, (2) prepare and send transaction confirmations and periodic statements your brokerage account (unless your broker/dealer has undertaken to do so). Certain pricing and ot information may be provided by your broker/dealer or obtained from third parties, which has not been verif by NFS, (3) act as custodian for funds and securities received by NFS on your behalf, (4) follow instructions of your broker/dealer with respect to transactions and the receipt and delivery of funds a securities for your brokerage account, and (5) extend margin credit for purchasing or carrying securities margin. Your broker/dealer is responsible for ensuring that your brokerage account is in compliance w federal, industry and NFS margin rules, and for advising you of margin requirements. NFS shall maintain required books and records for the services it performs

Securities in accounts carried by National Financial Services LLC ("NFS"), a Fidelity Investments compa are protected by Securities Investor Protection Corporation ("SIPC") up to $500,000 (including cash clai limited to $100,000). For details, please see www.sipc.org. NFS has arranged for additional insura protection for cash and securities to supplement its SIPC coverage. This additional protection covers to account net equity in excess of the $500,000/$100,000 coverage provided by SIPC. Neither coverage prote against a decline in the market value of securities. Assets Held Away are not covered by SIPC

Mutual funds and/or other securities are not backed or guaranteed by any bank, nor are they insured by t FDIC and involve investment risk including possible loss of principal

End of Statement

031101

2942

HAND DELIVERED

(4)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2004 MAY 14 PM 5:51
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

Frank D. Lucas
(Full Name)

P O. Box 1039 Cheyenne, OK 73628
(Mailing Address)

202-225-5565
Daytime Telephone:

Filer Status
☑ Member of the U.S. House of Representatives — State: OK — District: 03
☐ Officer Or Employee — Employing Office:

Report Type
☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Frank D. Lucas	5-13-2004

2943

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Frank D. Lucas

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Panhandle Royalty Co. Stock	$1,001 - $15,000	Dividends	$1 - $200	
JT	Security State Bank, Cheyenne, OK	$1,001 - $15,000	Interest	$1 - $200	
SP	Western Farm Bureau IRA	$1,001 - $15,000	Interest	$1 - $200	
	Apache Corporation	None	Royalty	$201 - $1,000	
	Kerr-McGee Oil & Gas	None	Royalty	$1,001 - $2,500	
	ST Mary Operating Co.	None	Royalty	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Frank D. Lucas

	BP America Production Co. (formerly Amoco Production Co.)	None	Royalty	$5,001 - $15,000	
	Cimarex Energy Co.	None	Royalty	$201 - $1,000	
	103 acres-Mineral Rights, Roger Mills, OK	$100,001 - $250,000	See above individual companies	See above companies	
	480 acres-Farmland,House,Equip.,&Cattle ,Cheyenne,OK	$100,001 - $250,000	Farm Income	$50,001 - $100,000 Gross	
JT	320 acres-Farmland, Roger Mills Co., OK	$50,001 - $100,000	Farm Income	See above income	
JT	508 acres-Farmland, Roger Mills Co., OK	$100,001 - $250,000	Farm Income	See above income	
DC	Security State Bank Cheyenne, OK	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Oklahoma Teacher Retirement	$1,001 - $15,000	None	NONE	
SP	Texas Teacher Retirement	$1,001 - $15,000	None	NONE	
	Public Employee Retirement	$15,001 - $50,000	None	NONE	
JT	Enogex Inc.	None	Gas Well Surface Damage & Pipeline Rightway Easement	$15,001 - $50,000	

SCHEDULE V - LIABILITIES

Name Frank D. Lucas

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Security State Bank Cheyenne, Oklahoma	Mortgage on 320 acres Farmland, Roger Mills Co., OK	$15,001 - $50,000
JT	Security State Bank Cheyenne, Oklahoma	Mortgage on 508 acres Farmland, Roger Mill Co., OK	$100,001 - $250,000
JT	Security State Bank Cheyenne, Oklahoma	Note for JD 4020 tractor	$10,001 - $15,000
JT	Security State Bank Cheyenne, Oklahoma	Operating Note (farm operating loan)	$15,001 - $50,000
SP	MBNA America	Revolving Credit Account	$10,001 - $15,000
	First USA Bank, N.A.	Revolving Credit Account	$10,001 - $15,000

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

2004 MAY 14 AM 10:42

9

MC

Kenneth Ray Lucas
(Full Name)

993 Riva Ridge Ct., Union, Ky. 41091 859/384-3345
(Mailing Address) Daytime Telephone

HAND DELIVERED

Filer Status	☑ Member of the U.S. House of Representatives	State: Kentucky District: 4th	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Kenneth R Lucas	5/12/04

2947

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Lincoln National (formerly Cigna)	Renewals	19,194.
Fortis		6308.
UNUM		694.
Manulife		2009.
Lincoln Nat. Life & Annuity		5892.
Mass Mutual		360.
Delta		1559.
Cross Assoc.		7179.
Southeastern		192.
United		266.
First Colony		65.
Kentucky Retirement System	Pension	18419.
Cigna (Conn. Gen. Life)	"	722.

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
	XYZ Magazine	Article	Aug. 13, 2003	$500

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Kenneth R. Lucas

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X																		P
DC	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X																
	Fifth Third Bank stock									X										dividends							X					
SP	" " " "							X												"						X						
	IRA-SEI investments								X																		N/A					
	" Stifel Bank Stock									X																	N/A					
SP	" Fountain Sq. Fund				X																						N/A					
	" Premier Asset Mgt. Fd							X																			N/A					

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Kenneth R. Lucas

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	IRA - Market Indexed Funds				X						dividends		X					
	DHW & Associates					X												
	U.S. 25 - Richwood, Ky + I-71 Interchange - Verona, Ky																	
	Real estate investment																	
JT	Real estate - Foxtail Creek Ct., Bonita Springs, FLA					X												
JT	Timeshare real estate Port Royal - Hilton Head, S.C.			X				X					X					
	Installment sale of practice to son			Unearned							Installment sale			X				

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SCHEDULE IV — TRANSACTIONS

Name Kenneth R. Lucas | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								

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2952

Name Kenneth R. Lucas | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
JT	First Financial BK. Hebron, Ky	R.E. mortgage - Foxtail Creek Ct., Bonita Sp., Fla.		X			
	First Financial BK., Hebron, Ky.	note - line of credit	X				

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Public Governance Inst.	2/28-3/2-03	Wash, DC.-Greenbrier W.Va. Wash. D.C	Y	Y	Y	N
	U.S. China Intern ~~Leadership~~ Parliamentary Exchange	1/10-1/60-03	Wash, D.C. Shang-Hai Hong Kong-Wash. D.C.	Y	Y	Y	N
	Microsoft-legislative study	7/26-7/29-03	Cincinnati, O-Seattle-Wash Cinti, O.	Y	Y	Y	N
	Democrat Leadership Council	9/12-9/14-03	Wash, D.C. Island, MI MACKINAC-WASH DC	Y	Y	Y	N
	AMERICAN ISRAEL Educ. Fdn.	8/2-8/10-03	Wash. D.C. Tel Aviv Israel - Wash DC	Y	Y	Y	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
License Holder	Sagemark Consulting - Lincoln Financial (formerly Cigna)
Director	The Drees Company
"	Northern Ky Univ. Fdn.
"	Florence Christian Services, Inc.
Partner	DLLW Associates

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
2/1/67	Kenneth R. Lucas v Cigna (and successors)	Payment of vested renewal commissions to Mr. Lucas / or spouse for life.

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

2955

COMMITTEE ON FINANCIAL SERVICES
Subcommittee on Capital Markets, Insurance, and Government Sponsored Enterprises
Subcommittee on Financial Institutions and Consumer Credit

COMMITTEE ON AGRICULTURE
Subcommittee on Livestock and Horticulture

SELECT COMMITTEE ON HOMELAND SECURITY
Subcommittee on Emergency Preparedness and Response
Subcommittee on Cybersecurity, Science, and Research & Development

Congress of the United States
House of Representatives
KEN LUCAS
FOURTH DISTRICT, KENTUCKY

WASHINGTON OFFICE:
1205 Longworth H.O.B.
Washington, D.C. 20515
(202) 225-3465

DISTRICT OFFICES:
277 Buttermilk Pike
Fort Mitchell, KY 41017
(859) 426-0080

Fed. Bldg., Suite 236
1405 Greenup Ave.
Ashland, KY 41101
(606) 324-9898

June 3, 2004

HAND DELIVERED

MC

The Honorable Jeff Trandahl
Clerk
U.S. House of Representations
B-106 Cannon House Office Building
Washington, D.C. 20515

Dear Sir:

When I submitted my Financial Disclosure Statement for Calendar Year 2003, I failed to note the amount of income for two assets on page 5 of 9. That page should be amended as follows:

- For the asset described as "DLW & Associates," Block D, "Amount of Income" should be marked as "None."
- For the asset described as "JT Real Estate – Foxtail Creek Ct., Bonita Springs, Fla," Block D, "Amount of Income" should be marked as "None."

Thank you for your assistance in correcting this inadvertent error.

Sincerely,

Ken Lucas

Ken Lucas
Member of Congress

2004 JUN -3 PM 4:02

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 AM 11:55
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Stephen F. Lynch
(Full Name)

55 G Street, Apt. 2 South Boston, MA 02127
(Mailing Address)

(202) 225-8273
Daytime Telephone:

Filer Status	☒ Member of the U.S. House of Representatives — State: MA District: 9th	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/15/04

2957

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	South Boston Community Health Center	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
	XYZ Magazine	Article	Aug. 13, 2003	$500
	NA			

This page may be copied if more space is required.

Name Stephen F. Lynch | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1–$1,000	C $1,001–$15,000	D $15,001–$50,000	E $50,001–$100,000	F $100,001–$250,000	G $250,001–$500,000	H $500,001–$1,000,000	I $1,000,001–$5,000,000	J $5,000,001–$25,000,000	K $25,000,001–$50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1–$200	III $201–$1,000	IV $1,001–$2,500	V $2,501–$5,000	VI $5,001–$15,000	VII $15,001–$50,000	VIII $50,001–$100,000	IX $100,001–$1,000,000	X $1,000,001–$5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp Stock					X								X																		
DC, *Examples:*	Simon & Schuster			Indefinite																Royalties				X					X			P
JT	1st Bank of Paducah, KY accounts						X									X									X							
	Cisco Sys Inc			X																NA	X											
	EMC Corp. Mass			X																NA	X											
	Intel Corp			X																NA		X										
	American Opportunities F.D.		X																	NA	X											
	Pioneer Equity Inc. A			X																NA	X											
	Pioneer Mid-Cap Growth A			X																NA	X											

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Stephen F. Lynch

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
JT	3 Jason Terrace													X				
JT	55 B Street				X									X				

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2961

SCHEDULE IV — TRANSACTIONS

Name Stephen F. Lynch

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	NA														

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
SP	Sallie Mae, Pennsylvania	Student Loan					
JT	Mt. Washington Bank, MA	Mortgage - 55 G St., Boston, MA					
JT	Mt. Washington Bank, MA	Mortgage - 3 Jason Terrace					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NA		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NA						

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Incorporator, Appointer Ex Officio	South Boston Betterment Trust
Board Member	Colonel Daniel Marr Boys and Girls Club
Board Member	Children's Museum
Board Member	Friends For Children
Board Member	South Boston Boys and Girls Club

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
NA	NA	NA

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(10)

2004 JUN 14 AM 10: 56

U.S. (Office Use Only) CLERK OF THE HOUSE OF REPRESENTATIVES

Denise Lorraine Majette
(Full Name)

1517 Longworth Building
(Mailing Address)

Washington, DC 20515

Daytime Telephone: (202) 225-1605

Filer Status	[X] Member of the U.S. House of Representatives — State: Georgia District: Fourth	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X] No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Denise L. Majette	

2966

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	RJ Mitchell & Associates	Spouse (self-employed)	29,000
	Family Realty, Inc.	Spouse (self-employed)	37,000

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
Cornerstone Baptist Church (grant to Women's Day organization)	Speech	10/12/2003	$1,000

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		A None; B $1,000 – $15,000; C $15,001 – $50,000; D $50,001 – $100,000; E $100,001 – $250,000; F $250,001 – $500,000; G $500,001 – $1,000,000; H $1,000,001 – $5,000,000; I $5,000,001 – $25,000,000; J $25,000,001 – $50,000,000; K Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAIN; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT (Examples)	SP Mega Corp. Stock	E	DIVIDENDS X	VI	P
	Simon & Schuster	Indefinite	INTEREST X; Royalties	IX	
	1st Bank of Paducah, KY accounts	F		VI	
	Home Depot Stock	B	DIVIDENDS X	II	
	Prudential Financial (IRA)	C	DIVIDENDS X	II	
	Aim Investments	B	CAPITAL GAIN X	II	
	First Clearing, LLC (money market only)	A	DIVIDENDS X	II	
	Georgia Merit System	C	DIVIDENDS X	III	
	Nationwide Retirement Solutions	E	CAPITAL GAIN X	VI	

↳ includes several funds listed separately in prior years.

For additional assets and unearned income, use next page.

2969

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Denise L. Majette

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1-$1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Fidelity OTC Portfolio			X										X			X					X										
	Vanguard Windsor II			X										X			X					X										
	State Judges Retirement Fund					X										X	X					X										
JT	State Emp. Credit Union Acct			X												X	X					X										
	Citizens Trust Bank				X											X	X					X										
SP	Rental Income - Camp Valley					X								X			X										X					
SP	Rental Income - 1500 Langston					X								X			X									X						

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SCHEDULE IV — TRANSACTIONS

Name Denise L. Majette | Page 6 of 7

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
	Aim Investments (dividend reinvestments and additional $300 monthly)	X			Monthly	X									
SP	1500 Langston Avenue - rental property	X			1/14/2003				X						
SP	1759 Langston Avenue - rental property	X			1/22/2003				X						
SP	1742 Evans Avenue - rental property	X			1/27/2003				X						
SP	1060 Orlando Avenue - rental property	X			1/27/2003				X						

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	Novastar Mortgage, Inc.	Mortgage on 6750 Camp Valley Road, Riveldale, GA 30296				X						
	MBNA America	Unsecured Line of Credit		X								
SP	EMC Mortgage Corporation	Mortgage on 1500 Langston Avenue				X						
SP	EverHome Mortgage Company	Mortgage on 1759 Langston Avenue				X						
SP	One Source Mortgage	Mortgage on 1742 Evans Avenue				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example. Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NONE		

Use additional sheets if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	One Source Mortgage	Mortgage on 1060 Orlando Avenue				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	American Israel Education Foundation	Aug 2-10, 2003	ATL-NJ-Germany-Tel Aviv Tel Aviv-Germany-NY-ATL	Y	Y	Y	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
NONE	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO 2004 91-910 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(15)

2004 MAY 17 PM 1:01

(Office Use Only)

Carolyn B. Maloney
(Full Name)

49 East 92nd Street
(Mailing Address)

New York, NY 10128

Daytime Telephone: (212) 289-9450

Filer Status	[x] Member of the U.S. House of Representatives	State: New York District: 14	[ ] Officer or Employee	Employing Office:
Report Type	[x] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [x]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [x]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [x]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [x]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [x]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [x]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [x]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [x]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [x]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [x]
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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Carolyn B. Maloney	5-13-04

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Chromium Industries, Inc., Chicago, IL	Spouse	N/A
CHW Maloney & Co., Incorporated, New York, NY	Spouse	N/A
The Wall Street Fund, New York, NY	Spouse	N/A
HSBC Funds Trust & HSBC Mutual Funds Trust, New York, NY	Spouse	N/A
Interpool, Inc., Princeton, NJ - Options & Director Fees	Spouse	N/A
Beechtree Park, Inc. (A family-owned business)	Management fees	20,000

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
None			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A	Block B	Block C	Block D	Block E
		A None; C $1,001 – $15,000; E $50,001 – $100,000; G $250,001 – $500,000; I $1,000,001 – $5,000,000; K $25,000,001 – $50,000,000	DIVIDENDS; INTEREST; EXCEPTED TRUST; PARTNERSHIP, SUB-S INCOME; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; III $201 – $1,000; V $2,501 – $5,000; VII $15,001 – $50,000; IX $100,001 – $1,000,000; XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock	X	X		P
	Simon & Schuster	Indefinite			
	1st Bank of Paducah, KY accounts		X	X	
	Stock-Chromium Industries, Inc.-Chicago, IL			X	
	CD-First Coastal Bank-Virginia Beach, VA	X	X	X	
	Rental Property & Residence-49 East 92 Street, New York, NY	X		X	
	Rental Property-Single Family, 279 West Road, New Canaan, CT	X		X	
	Albright & Maloney Partnership, 10 High St., Boston, MA			X	
	HPB Enterprises, T/A Albemarle Plantation-Real Estate Dev.-Hertford, NC	X	X		

Royalties

For additional assets and unearned income, use next page.

2979

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Carolyn B. Maloney

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	PARTNERSHIP, SUB-S INCOME	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Stock-Aldo Farms of NC, Inc., Virginia Beach, VA							X											
	Stock-The Wall Street Fund		X					X						X					
	Stock-Roll Finishing, Mt. Vernon, IN			X							X		X						
	Stock-JTP, Inc.-Land Investment, Jonestown Road, Chesapeake, VA			X									X						
	Citibank, N.A. Checking							X											
	Alliance Capital Reserve (Money Market Fund)							X											
	Shares-Dreyfus Money Market Funds							X											
	Undivided Interest-Land-96 acres-Route 64, Southampton County, VA												X						
*	Stock-Beechtree Park, Inc., T/A Holiday Travel Park, Virginia Beach, VA-S-Corp election 1/1/01				X				X		X					X			
	Stock-CHW Maloney & Co., Inc.		X					X											
	Stock-Holiday Village, Inc.-Real Estate, Virginia Beach, VA			X									X						
	Fleet Bank-Checking		X										X						
	Chase Manhattan Bank-Checking		X										X						
	Citibank Checking		X						X										
	R. G. Bosher Irrevocable Life Ins. Trust-Insurance Trust-common stock-ManuLife							X					X						
DC	HPB Enterprises, T/A Albemarle Plantation-Real estate-75% income interest, Hertford, NC														X				
*	Bosher Family Partnership L.P., Virginia Beach, VA																		

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name: Carolyn B. Maloney

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 - $15,000	E $50,001 - $100,000	G $250,001 - $500,000	I $1,000,001 - $5,000,000	K $25,000,001 - $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	PARTNERSHIP, SUB-S INCOME	Other Type of Income (Specify) OPTIONS	BLOCK D Amount of Income: I None	III $201 - $1,000	V $2,501 - $5,000	VII $15,001 - $50,000	IX $100,001 - $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Undivided 1/5 interest-real estate-Rose Hall, Jamaica-Columns LLC												X						
	Stock-Birdneck Country Club Apt., Inc.			X									X						
* SP	Bosher Family Partnership L.P.-Virginia Beach, VA													X					
* DC	Bosher Family Partnership L.P.-Virginia Beach, VA																		
*	Stock-Sea Bay Development Corp.-S-corp election 1/1/01								X		X			X					
	Chromium Industries-loan			X					X										
	Green Sea Farms, LLC-Chesapeake, VA										X								
	Minnewawa Assoc. L.P., Blue Mountain Lake, NY				X										X				
	Interpool, Inc., Princeton, NJ-stock options			X								X	X						
SP	HPB Enterprises, T/A Albemarle Plantation-Real estate - .75%		X						X		X				X				
* DC	Stock-Sea Bay Development Corp.-S-corp election 1/1/01 - 1.239380%			X					X		X			X					
* SP	Stock-Sea Bay Development Corp.-S-corp election 1/1/01 - .239%								X		X			X					
	Citibank-market rate plus Money Market account								X										P
	U. S. Treasury Notes								X										
	Common Stocks -																		
	A.T.&T.		X					X					X						
	A.T.&T. Wireless		X					X					X						
	Agere Systems																		S
	Allstate Corp.			X				X											

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name: Carolyn B. Maloney

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Avaya Inc.																	S
	BP PLC			X				X										
	CSX Corp.							X					X					
	Comcast		X					X				X						
	Colgate-Palmolive				X			X										
	Dole Food																	S
	Donnelley RR & Sons			X				X										
	El Paso Corp.		X					X										
	Exxon Mobile			X				X										
	Fortune Brands			X				X										
	Gallaher Crp PLC			X				X										
	Heinz H. J. Co.							X						X				
	IBM			X				X					X					
	Lucent Tech																	S
	Merck & Co.				X			X										
	Morgan Stanley			X				X										
	PNC Finl Svcs.							X						X				
	Pepsico							X										
	Plum Creek Timber Co.			X				X						X				
	Public Svc Enterprise							X					X					
	Quest																	S
	SBC Comm.							X										

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (If needed)

Name: Carolyn B. Maloney

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Sears							X					X					
	Verizon							X						X				
	Del Monte Foods		X					X				X						E
	Allied Capital Corp.							X										
	Medco Health Solutions							X				X						E
	Mutual Funds –																	
	Eaton Vance Tax Mg.					X		X	X									
	Wachovia Money Market Fund				X				X									
	*See attached schedules of holdings																	

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2983

SCHEDULE IV — TRANSACTIONS

Name: Carolyn B. Maloney

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10–12–03	X				
	Dole Food, Inc.	X	3-31-03					
	United States Treasury Notes		2-15-03	X				
		X	3-31-03	X				
	Lucent Technology	X	11-18-03					
	Quest Communications Int'l	X	11-18-03					
	Merck & Co. Inc.	X	12- 5-03					

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
	Citibank, N.A.	Mortgage - 49 East 92nd St., New York, NY					
	Washington Mutual Saving Bank	Mortgage - 279 West Road, New Canaan Bank					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
None		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Public Governance Institute	Feb. 28 - Mar. 2	Wash., D.C.-White Sulfur Springs, WV-Wash., D.C.	Y	Y	N	N
	American Israel Education Foundation	Aug. 2 - Aug. 10	New York-Israel-New York	Y	Y	Y	N
	Cornell Medical College & Qatar Foundation	Oct. 8 - Oct. 13	New York-Doha-New York	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	HPB Enterprises, T/A Albemarle Plantation, Hertford, NC
Partner	Bosher Family Partnership, L.P., Virginia Beach, VA
Member	Green Sea Farms, L.L.C., Virginia Beach, VA
Manager	Beechtree Park, Inc.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

GPO: 2004 91-810 (mac)

Use additional sheets if more space is required.

Carolyn B. Maloney
49 East 92nd Street
New York, New York 10128

ATTACHMENT TO SCHEDULE III, PAGE 5 OF 12
FINANCIAL DISCLOSURE STATEMENT
FOR THE YEAR DECEMBER 31, 2003

BEECHTREE PARK, INC.

Beechtree Park, Inc. as noted on Schedule III, page 5 of the 2003 financial disclosure represents a family owned closely held S-corporation located in Virginia Beach, Virginia. The corporation invests in real estate to be developed into commercial and residential sites. All of the properties are located in Virginia Beach and Chesapeake, Virginia.

Carolyn B. Maloney
49 East 92nd Street
New York, New York 10128

ATTACHMENT TO SCHEDULE III, PAGES 5 & 6 OF 12
FINANCIAL DISCLOSURE STATEMENT
FOR THE YEAR DECEMBER 31, 2003

The following is a listing of the real estate holdings of the Bosher Family Partnership as of December 31, 2003:

1. 82.78 acres of land in Ocala, Florida.
2. Parcel #10-11-23-0000-0030-0000 located in Palatka, Florida.
3. Two residential lots in Edenton, North Carolina.
4. 76.00 acres of land in Chowan County, North Carolina.
5. 107.00 acres of land in Elizabeth City, North Carolina.
6. 26 acres of land in Elizabeth City, North Carolina.

Carolyn B. Maloney
49 East 92nd Street
New York, New York 10128

ATTACHMENT TO SCHEDULE III, PAGE 6 OF 12
FINANCIAL DISCLOSURE STATEMENT
FOR THE YEAR DECEMBER 31, 2003

SEA BAY DEVELOPMENT CORP.

Sea Bay Development Corp as noted on Schedule III, page 6 of the 2003 financial disclosure represents a family closely held S-corporation located in Virginia Beach, Virginia. The corporation invests in rental real estate both commercial and residential and land for future development. All of the properties are located in Virginia Beach and Norfolk, Virginia.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2004 MAY 11 AM 9:48
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Donald A. Manzullo
(Full Name)

192 E Lightsville Rd
Eganiel 61047
(Mailing Address)

202-225-5676
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: IL District: 16	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Donald A Manzullo	5/7/04

2991

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
FREDA J. MANZULLO, SPOUSE	SUBSTITUTE TEACHING TRINITY HIGH SCHOOL	LESS THAN $400.00 FOR 2003

For payments to charity in lieu of honoraria, use Schedule II.

Name DONALD MANZULLO | Page 3 of 6

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the Instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp Stock					X								X																		P
Examples:	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY accounts															X									X							
JT	OFFICE $1,400 WASH ST.																															
SP	OREGON, FL						X																				X					
	STOCK - DONALD MANZULLO LAW OFFICE - sole shareholder		X																		X											
	FARM - EGAN, FL					X									X					OPERATING RELATED					X							
*	DONALD MANZULLO PC PENSION TRUST							X						X		X	X											X				
*	DONALD MANZULLO IRA			X										X		X	X						X									
SP*	FREDA MANZULLO IRA			X										X		X	X						X									

For additional assets and unearned income, use next page. * SEE ATTACHMENT

2993

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Donna Manzullo

Page 4 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP*	Stocks, bonds, cash			X				X	X					X				
DC*	Neil Manzullo		X					X	X	X			X					
DC*	Noel Manzullo							X	X	X			X					
DC*	Katie Manzullo							X	X	X			X					

*SEE ATTACHMENTS

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SCHEDULE IV — TRANSACTIONS

Name

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
	See ATTACHMENT TO Sch IV, which lists all transactions and amounts														

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PRESIDENT	DONALD MANZULLO PC
TRUSTEE	DONALD PC PENSION TRUST - sole shareholder
TRUSTEE	MF Charitable TRUST - dormant

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

Schedule III Addenda

Donald A Manzullo PC Pension Trust

Mutual Funds in Pension plan, D Manzullo, Trustee, Wachovia Securities. Value of each fund is between $15,000 and $50,000

Dreyfus Appreciation, FMI Focus, ING Fund, Janus Midcap, Julius Baer Int., Pimco Int Bond, Royce Premier, TCW Galileo Select Equity, T Rowe Price Midcap, Torray Fund Inst., Vanguard Windsor, Western Asset Core

Funds sold in 2003: Artisan Int Fund, 11/03, Morgan Stanley Inst Value, 5/03, Berger Midcap 11/03; Vanguard Total Bond Mkt, 9/03

Funds purchased in 2003: Torray Fund, 5/03, Western Asset Core, 9/03, Julius Baer Int, 11/03

Donald Manzullo IRA

Mutual Funds at Wachovia Securities. Value of each fund $1 to $1,000

Dreyfus Appreciation, FMI Focus, ING Fund, Janus Midcap, Julius Baer Int., Royce Premier, TCW Galileo Select Equity, T Rowe Price Midcap, Torray Fund Inst., Vanguard Windsor

Funds sold in 2003: Artisan Int Fund, 11/03, Morgan Stanley Inst Value, 5/03 , Berger Midcap, 11/03

Funds purchased in 2003: Torray Fund, 5/03, Julius Baer Int, 11/03

Donald Manzullo Roth IRA

Janus Mercury $1,000 to $15,000
Janus Strategic Value $1,000 to $15,000

Freda J. Manzullo IRA

Mutual Funds at Wachovia Securities. Each fund $1 to $1,000 except as noted

Dreyfus Appreciation, FMI Focus, *ING Fund, Janus Midcap, *Julius Baer Int., Royce Premier, *TCW Galileo Select Equity, T Rowe Price Midcap, Torray Fund Inst., *Vanguard Windsor (*$1,000 to $15,000)

Funds sold in 2003: Artisan Int Fund, 11/03, Morgan Stanley Inst Value, 5/03 , Berger Midcap, 11/03

Funds purchased in 2003: Torray Fund, 5/03, Julius Baer Int, 11/03

Freda J. Manzullo Roth IRA

Janus Fund $1 to $1,000
Janus Mercury Fund $1,000 to $15,000
Janus Strategic Value $1,000 to $15,000

Donald and Freda Manzullo Jt Wachovia Securities

Evergreen Money Market $15,000 to $50,000
EDS $1,000 to $15,000
Hasbro, $1 to $1,000
Wal Mart, $1,000 to $15,000
Walgreen $1,000 to $15,000

Sears, Roebuck Bond due 2/01/11 7%, $1,000 to 15,000
Sears, Roebuck Bond due 5/l/09 6.25%, $1,000 to $15,000
GMAC due 8/15/04 6.00%, $1,000 to $15,000
FHLMC 8.5% due 11/15/24, $1,000 to $15,000
FHLMC 7.37% due l0/l5/26, $1,000 to $15,000

Sold in 2003: LaSalle Natl Bank step down 6%, due 11/27/19, $1,000 to $15,000 sold on 1/03. Citicorp notes sold on redemption date 6/01/03, 7.125%, $1,000 to $15,000. FHLMC 7.4% due 5/15/26, $1 to$1,000, sold 9/03. FNMA 7% due 12/25/21 $1,000 to $15,000, sold 4/03.

Following are all $1,000 to $15,000: Janus Mercury Fund, Janus Enterprise Fund. Wright Patman Federal CU, Stillman Valley Natl Bank

Neil Manzullo

Educational IRA (total value below $500), Wachovia Securities. Mutual Funds: Dreyfus Appreciation, FMI Focus, ING Fund, Janus Midcap, Julius Baer Int., Royce Premier, TCW Galileo Select Equity, T Rowe Price Midcap, Torray Fund Inst., Vanguard Windsor

Funds sold in 2003: Artisan Int Fund, 11/03, Berger Mid Cap, 11/03, Morgan Stanley Inst Value, 5/03
Funds purchased in 2003: Torray Fund, 5/03, Julius Baer Int, ll/03

Educational IRA: Janus Mercury $1 to $1,000

Savings: (all $1,000 to $15,000) Janus Mercury, Janus Enterprize, Delaware Select Growth

Noel Manzullo

Educational IRA (total value below $500), Wachovia Securities. Mutual Funds: Dreyfus Appreciation, FMI Focus, ING Fund, Janus Midcap, Julius Baer Int., Royce Premier, TCW Galileo Select Equity, T Rowe Price Midcap, Torray Fund Inst., Vanguard Windsor

Funds sold in 2003: Artisan Int Fund, 11/03, Berger Mid Cap, 11/03, Morgan Stanley Inst Value, 5/03

Funds purchased in 2003: Torray Fund, 5/03, Julius Baer Int, ll/03

Savings: Mutual funds: Janus Mercury, $1,000 to $15,000; Janus Enterprise, $1 to $1,000; Delaware Aggressive Growth, $1,000 to $15,000
College Illinois Bonds, $1,000 to $15,000. College IL prepaid tuition, $15,000 to $50,000

Katie Manzullo

Educational IRA (total value below $500), Wachovia Securities. Mutual Funds: Dreyfus Appreciation, FMI Focus, ING Fund, Janus Midcap, Julius Baer Int., Royce Premier, TCW Galileo Select Equity, T Rowe Price Midcap, Torray Fund Inst., Vanguard Windsor

Funds sold in 2003: Artisan Int Fund, 11/03, Berger Mid Cap, 11/03, Morgan Stanley Inst Value, 5/03

Funds purchased in 2003: Torray Fund, 5/03, Julius Baer Int, ll/03

Savings: Mutual funds: Janus Mercury, $1,000 to $15,000; Janus Enterprise, $1 to $1,000; Delaware Aggressive Growth, $1,000 to $15,000
College Illinois Bonds, $1,000 to $15,000. College IL prepaid tuition, $15,000 to $50,000

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A For use by Members, officers, and employees

HAND DELIVERED (6)

LEGISLATIVE RESOURCE CENTER

2004 MAY 17 PM 5:19

(Office Use Only)

3000

Edward J. Markey
(Full Name)

2108 Rayburn HOB Washington, DC 20515
(Mailing Address)

202-225-2836
Daytime Telephone:

Filer Status: ☑ Member of the U.S. House of Representative — State: MA — District: 07 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Edward J. Markey	5/17/04

SCHEDULE I - EARNED INCOME

Name Edward J. Markey

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Spouse: US Public Health Service, US Department of Health and Human Services	Spouse Salary	N/A
Spouse: Private Practice of Medicine	Fees	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward J. Markey

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Cong. Federal Credit Union Accts	$50,001 - $100,000	INTEREST	$201 - $1,000	
Rydex OTC Investor Class	$15,001 - $50,000	NONE	NONE	
Janus Global Technology Fund	$1,001 - $15,000	NONE	NONE	
Salomon Smith Barney, IRA-NASDAQ 100 Trust (QQQ)	$1,001 - $15,000	NONE	NONE	
Firsthand Technology Value Fund	$1,001 - $15,000	NONE	NONE	
Fidelity Money Market Fund	$1 - $1,000	NONE	NONE	

3002

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward J. Markey

JT	First Union Bank Acct	$50,001 - $100,000	INTEREST	$201 - $1,000
SP	First Union Bank Acct	$15,001 - $50,000	INTEREST	$1 - $200
SP	Morgan Stanley Dean Witter IRA - S+P Depository Receipts	$1,001 - $15,000	NONE	NONE
SP	Morgan Stanley Dean Witter IRA - Liquid Asset Fund	$1 - $1,000	INTEREST	$1 - $200
SP	Morgan Stanley Dean Witter IRA - NASDAQ 100 Trust Series I	$1,001 - $15,000	NONE	NONE
SP	TIAA-CREF Retirement Annuity	$100,001 - $250,000	NONE	NONE
SP	Fidelity Municipal Money Market	$100,001 - $250,000	DIVIDENDS	$201 - $1,000
SP	Janus Global Technology Fund	$15,001 - $50,000	NONE	NONE
SP	Janus Olympus	$1,001 - $15,000	NONE	NONE
SP	Rydex OTC Fund	$100,001 - $250,000	NONE	NONE
SP	R.S. Emerging Growth Fund	$15,001 - $50,000	NONE	NONE
SP	Firsthand Technology Value Fund	$50,001 - $100,000	NONE	NONE
SP	Nevis Fund	$1,001 - $15,000	NONE	NONE
SP	PHBG Technology	$1,001 - $15,000	NONE	NONE

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Edward J. Markey

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
World Economic Forum	Jan. 21 - 27	Washington - Zurich - Washington	Y	Y	Y	1 day
Visiting Nurses Assn. Of America	April 21 - 24	Boston - Ft. Lauderdale - Boston	Y	Y	N	1 day
Aspen Institute	May 26 - June 1	NYC - Rome - Washington	Y	Y	Y	0

SCHEDULE VIII - POSITIONS

Name Edward J. Markey

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board member	Boston College Law School Board of Advisors (uncompensated)

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(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A — For use by Members, officers, and employees

Jim Marshall
(Full Name)

586 Orange Street Macon, GA 31201
(Mailing Address)

Daytime Telephone: 4787420085

Filer Status	☑ Member of the U.S. House of Representative — State: GA District: 3	☐ Officer Or Employee — Employing Office	A $200 penalty shall be assessed against anyone who files more than 30 days late.
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $286 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions—	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jim Marshall	5/13/04

3006

SCHEDULE I - EARNED INCOME

Name Jim Marshall

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Office of the Chapter 13 trustee	Spouse's salary	N/A
Veteran's Benefits	Disability Benefits	$4,816

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jim Marshall

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	12.5 % of 46.5 acres in Wilkinsons County	$1 - $1,000	CAPITAL GAINS	NONE	
	7.5% Of Minerals 125 acres, Wilkinson County, GA	$1,001 - $15,000	CAPITAL GAINS	NONE	
	Am Cent Ulra IC	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
SP	Bidwell Cash	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Branch Banking and Trust	$50,001 - $100,000	Interest	$1 - $200	
SP	Cardinal Health	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jim Marshall

JT	Cisco Systems	$1,001 - $15,000	CAPITAL GAINS	NONE	
SP	Coca Cola	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$201 - $1,000	
	CREF Bond Market	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	CREF Global Equities	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
	CREF Growth	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
	CREF Stock (Retirement)	$100,001 - $250,000	CAPITAL GAINS	$2,501 - $5,000	
SP	Fedex	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	NONE	
	Fideltiy Contrafund	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
	Gartmore Money Mkt Fd Ps	$1,001 - $15,000	INTEREST	$1 - $200	
	Gartmore Nationwide Fund D	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
SP	Home Depot	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS	$1,001 - $2,500	
	McGinnis Capital LP	$100,001 - $250,000	CAPITAL GAINS	NONE	P
JT	Merrill Lynch Cash Management Account	$50,001 - $100,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jim Marshall

	Merrill Lynch Managed Retirement Account 744-40U10	$50,001 - $100,000	CAPITAL GAINS	NONE	
	Merrill Lynch Managed Retirement Account 744-4OU11	$100,001 - $250,000	CAPITAL GAINS	NONE	
SP	Merrill Lynch Managed Retirement Account 744-4UO8	$50,001 - $100,000	CAPITAL GAINS	NONE	
SP	Merrill Lynch Managed Retirement Account 744UO9	$50,001 - $100,000	CAPITAL GAINS	NONE	
JT	Merrill Lynch Municipal Bond Fund	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
SP	Merrill Lynch Retirement Reserves C's IRA	$50,001 - $100,000	INTEREST	$1 - $200	
SP	Met Life Affiliates	$15,001 - $50,000	INTEREST	$201 - $1,000	
SP	Oracle	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
SP	Pfizer	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$201 - $1,000	
SP	Professional Women's Investment Group	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$1 - $200	
	Prudential Utilities Fund	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
JT	T. Rowe Price Science and Technology Fund	$1 - $1,000	CAPITAL GAINS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jim Marshall

JT	T. Rowe Price Tax Exempt Money Market	$15,001 - $50,000	DIVIDENDS	$1 - $200	
JT	T. Rowe Price Tax Free Short Intermediate	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Temp Foreign FND Cls A	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
	TIAA Real Estate	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
	TIAA Traditonal(Retirement)	$250,001 - $500,000	INTEREST	$5,001 - $15,000	

SCHEDULE IV - TRANSACTIONS

Name Jim Marshall

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	McGinnis Capital LP	P	09/01/2003	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Jim Marshall

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
American Israel Education Foundation	August 2-10, 2003	Atlanta to Tel Aviv to Jeruslahem to Tel Aviv and to Atlanta	Y	Y	Y	n/a
Confederation of Indian Industry	January 5-9, 2004	Atlanta to Delhi--Agra-Hdrerabad-Mumbai-Atlanta	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name Jim Marshall

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Communities in Schools c/0 Bibb County BOE, Macon, GA
President	Macon Heritage Housing c/o Foundation, Macon, Ga
Counsel (Pro Bono)	Better Business Bureau Macon, GA

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(9)

LEGISLATIVE OFFICE COUNSEL

2004 MAY 14 PM 1:15

MC

(Office Use Only)

James David Matheson
(Full Name)

410 Cannon House Office Building
(Mailing Address)

202-225-3011
Daytime Telephone

Washington, DC 20515

Filer Status	☒ Member of the U.S. House of Representatives — State: UTAH District: 02	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	James David Matheson	May 15, 2004

3015

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	University of Utah	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(a) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000	L Indefinite	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $201 – $1,000	III $1,001 – $2,500	IV $2,501 – $5,000	V $5,001 – $15,000	VI $15,001 – $50,000	VII $50,001 – $100,000	VIII $100,001 – $1,000,000	IX $1,000,001 – $5,000,000	X Over $5,000,000	XI	Transaction P, S, E
SP	Examples: SP Mega Corp Stock					X								X																		P
	Simon & Schuster												Indefinite							Royalties								X				
	1st Bank of Paducah, KY accounts						X									X																
	Baldor Electric			X										X								X										P P-Div Reinvest
	Coin Collection			X																	X											
	Fidelity Advisor Growth Opportunity Fund			X										X								X										
	Fidelity Asset Manager Fund			X										X								X										P and Div. Reinvest
	Fidelity Balanced Fund				X									X									X									P and Div. Reinvest
	Fidelity Cash Reserves			X										X								X										

For additional assets and unearned income, use next page.

3017

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name James D. Matheson

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Equitable Variable Life Insurance Mutual Funds																				
	(1) AXA Premier VIP Core Bond		X					X					X								P and Div Reinvest
	(2) Alliance Small Cap Growth		X						X				X								"
	(3) Alliance Common Stock		X					X	X				X								"
	(4) Alliance Equity Index			X				X	X				X								"
	(5) Alliance International		X						X				X								"
	(6) MFS Emerging Growth		X						X				X								"
	Fidelity Export + Multinational		X					X	X				X								"
	Fidelity Low Priced Stock			X				X	X					X							"
	Fidelity Spartan Muni Income		X					X	X					X							P-Div Reinvest
	Fidelity Spartan US Equity Fund				X			X	X					X							P and Div Reinvest
	Fidelity Unique 70% Equity Portfolio			X				X	X				X								P and Div Reinvest
	Imation Corp Stock		X					X					X								P-Div Reinvest
	Northeast Investors Trust		X					X						X							P and Div Reinvest
	Pfizer Stock (formerly Pharmacia)		X					X					X								P-Div Reinvest
	Qualcomm Inc. Stock			X				X					X								P-Div Reinvest
	Seligman Communications And Information Fund		X									X									
	TIAA CREF Growth Fund			X								X									P
	T. Rowe Price Science + Technology		X									X									P
	T. Rowe Price Small Cap Stock		X						X			X		X							P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name James D. Matheson

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	OTHER BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Vanguard Emerging Mkt. Index			X										X			X					X										P - Reinvest
	Vanguard Small Cap Stock Index			X										X			X					X										"
	Vanguard Total Stock Mkt. Index			X										X			X						X									"
	Vanguard Wellesley Income Fund			X										X			X						X									"
	Wasatch Micro Cap Fund					X											X								X							P and Div Reinvest
	Zions Bank Checking			X												X						X										

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SCHEDULE IV — TRANSACTIONS

Name James D. Matheson

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock	X			10-12-03		X								
	Baldor Electric Stock	X			12-23-03	X									
	Computer Network Technology Corp Stock		X		11-26-03	X									
	Liberty Newport Tiger Fund		X		12-31-03	X									
	Columbia Strategic Value Fund		X		11-24-03	X									
	Equitable Variable Life Insurance Mutual Funds														
	(1) Guaranteed Interest Account	X	X		6-11-03 12-19-03	X X									
	(2) AXA Premier VIP Core Bond	X			6-11-03 12-19-03	X X									
	(3) Alliance Small Cap Growth	X			12-19-03	X									
	(4) Alliance Common Stock		X		12-19-03	X									
	(5) Alliance Equity Index	X			12-19-03 12-22-03	X X									
	(6) Alliance International		X		12-22-03	X									
	(7) EQ High Yield Fund	X			6-11-03	X									
	(8) MFS Emerging Growth		X		12-19-03	X									
	Fidelity Balanced Fund	X			12-16-03	X									
	Fidelity Low Priced Stock Fund	X			12-16-03		X								
	Invesco Health Sciences Fund		X		11-24-03		X								

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3020

SCHEDULE IV — TRANSACTIONS

Name James D. Matheson | Page 7 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: A $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	Mutual Qualified Fund		X		11-25-03		X								
	Northeast Investors Trust	X			Monthly Interest Quarter Remit	X									
	Pepsico Stock		X		11-26-03	X									
	Putnam Fund for Growth + Income		X		11-26-03	X									
	Putnam Global Growth Fund		X		11-26-03	X									
	Putnam Voyager Fund		X		11-26-03	X									
	Putnam Discovery Growth (formerly Voyager II)		X		12-31-03	X									
	TIAA CREF Growth Fund	X			Semi-monthly	X									
	T. Rowe Price Science + Technology Fund	X	X		monthly 11-24-03	X									
	T. Rowe Price Small Cap Stock Fund		X		11-24-03	X									
	Ultimate Electronics Stock		X		11-26-03	X									
	Vanguard Small Cap Stock Index		X		11-25-03	X									
	Vanguard Total Stock Mkt. Index		X		11-25-03	X									
	Vanguard Wellesley Income Fund		X		11-25-03	X									
	Wasatch Micro Cap Fund	X			Monthly 10-10-03	X									
	Fidelity Asset Manager Fund	X			Semi-Monthly	X									

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3021

Name James D. Matheson | Page 8 of 9

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
	Fidelity Spartan U S Equity Fund	X			Semi-monthly	X									

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3022

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Community Financial Services Association of America	June 27-29	Wash DC - Jackson Hole, WY	Y	Y	Y	N

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3023

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

2004 MAY 14 PM 4:29 (8)

(Office Use Only)

ROBERT TAKEO MATSUI
(Full Name)

US House of Representatives 2310 Rayburn HOB Washington, DC 20515
(Mailing Address)

(202) 225-7163
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: CA, District: 05	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Robert T. Matsui	May 14, 2004

3024

SCHEDULE I - EARNED INCOME

Name ROBERT TAKEO MATSUI

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Collier, Shannon, Scott, PLLC	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT TAKEO MATSUI

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Wright Patman Congressional Federal Credit Union	$1 - $1,000	INTEREST	$1 - $200	
SP	Bank of America-checking	$1 - $1,000	INTEREST	$1 - $200	
JT	White House Federal Credit Union	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	Sierra Pacific Resources Common Stock	None	DIVIDENDS	NONE	S
JT	CMA Fund - Merrill Lynch	$15,001 - $50,000	DIVIDENDS	$1 - $200	
JT	US Treasury Notes	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT TAKEO MATSUI

SP	Farm, Dinuba, CA (50% interest)	$250,001 - $500,000	RENT	$2,501 - $5,000	
JT	Lucent Technologies Inc.	None	DIVIDENDS	NONE	S
JT	US Treasury Notes	$1,001 - $15,000	INTEREST	$201 - $1,000	Other (redeemed)
SP	Checking Account-Bank of America, Dinuba, CA (proceeds of Dinuba residence sale included)	$50,001 - $100,000	INTEREST	$1 - $200	
SP	Cash Maximizer Account-Bank of America, Dinuba, CA (50% interest)	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Vortran Medical Technology 1, Inc.	$1 - $1,000	INTEREST	NONE	
JT	Westmed Holding Company	$1,001 - $15,000	INTEREST	NONE	
SP	Residence, Dinuba, CA (50% interest)	None	RENT	NONE	S
JT	Walt Disney Co.	None	DIVIDENDS	$1 - $200	S
JT	Procter Gamble	None	DIVIDENDS	$1 - $200	S
JT	Vivendi Universal	None	DIVIDENDS	$1 - $200	S
JT	Comcast Corp. SPL CL A	None	DIVIDENDS	NONE	S
SP	Oracle Corp.	$1,001 - $15,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT TAKEO MATSUI

SP	Flextronics Intl, Ltd.	$1,001 - $15,000	DIVIDENDS	NONE	
SP	Sun Financial Group bank account (proceeds from father's life insurance)	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	Agere	None	CAPITAL GAINS	NONE	S
JT	American Investment Co. Of America	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Van Kampen	$1,001 - $15,000	DIVIDENDS	NONE	
SP	Greenbrier real estate project investment, AKT North Natomas partnership, CA	$50,001 - $100,000		NONE	P

SCHEDULE IV - TRANSACTIONS

Name ROBERT TAKEO MATSUI

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Sierra Pacific Resources Common Stock	S	10-7-03	Less than $1,000
JT	Walt Disney Co.	S	10-7-03	$1,001 - $15,000
JT	US Treasury Notes	Other	8-15-03	$1,001 - $15,000
JT	Lucent Technologies Inc.	S	10-7-03	Less than $1,000
JT	Procter Gamble	S	10-7-03	$1,001 - $15,000
JT	Vivendi Universal	S	10-7-03	Less than $1,000
JT	Comcast Corp. SPL CL A	S	10-7-03	$1,001 - $15,000
JT	Agere	S	10-7-03	Less than $1,000
SP	Greenbrier real estate project investment, AKT North Natomas partnership, CA	P	10-03	$50,001 - $100,000
SP	Residence, Dinuba, CA (50% interest)	S	6-19-03	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name ROBERT TAKEO MATSUI

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Japanese American Citizens League (travel disclosure filed 10/3/03)	Sept. 13	Sacramento, CA-Burbank, CA	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name ROBERT TAKEO MATSUI

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Regents	Smithsonian Institution

ROBERT T. MATSUI
FIFTH DISTRICT, CALIFORNIA

COMMITTEE ON
WAYS AND MEANS

SUBCOMMITTEE ON
SOCIAL SECURITY
RANKING DEMOCRAT

WHIP AT LARGE

HAND DELIVERED

Congress of the United States
House of Representatives
Washington, DC 20515–0505

WASHINGTON OFFICE
2310 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515–0505
(202) 225–7163

DISTRICT OFFICE
12-600 FEDERAL COURTHOUSE
501 I STREET
SACRAMENTO, CA 95814
(916) 498–5600

www.house.gov/matsui

May 20, 2004

Honorable Jeff Trandahl
The Clerk, U.S. House of Representatives
Legislative Resource Center
B106 Cannon House Office Building
Washington, D.C. 20515

MC

Dear Mr. Trandahl:

This is to request that my Financial Disclosure Statement for Calendar Year 2003, filed on May 14, 2004, be amended to include a spouse asset and transaction inadvertently omitted in my disclosure statement as shown below.

SCHEDULE III – ASSETS AND "UNEARNED" INCOME

Spouse asset and/or Income Source: Valley View Investors, LLC (CA real estate investment)
Value: $15,001-$50,000
Amount of Income: None
Transaction: Purchase

SCHEDULE IV – TRANSACTIONS

Spouse transaction: Valley View Investors, LLC (CA real estate investment)
Type of Transaction: Purchase
Date: 1-03
Amount of Transaction: $15,001-$50,000

If you have any questions, please contact me at ext. 5-7163.

Sincerely,

Robert T. Matsui

ROBERT T. MATSUI
Member of Congress

2004 JUN -1 PM 2:58
U.S. HOUSE OF REPRESENTATIVES

THIS STATIONERY PRINTED ON PAPER MADE WITH RECYCLED FIBERS

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 12 AM 9:25
(Office Use Only)

Carolyn McCarthy
(Full Name)

493 Nancy Road, Mineola, NY 11501 (516) 739-3008
(Mailing Address) (Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives — State: New York District: 4th	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Carolyn McCarthy	5/12/04

3033

Name Carolyn McCarthy	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	None		

For payments to charity in lieu of honoraria, use Schedule II.

3034

Name Carolyn McCarthy	Page 1 of 1

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
None			

This page may be copied if more space is required.

3035

Name Carolyn McCarthy

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	UNEARNED INCOME	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X																		P
DC	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts															X																
	See Attached																															
	Brokerage Statements																															

For additional assets and unearned income, use next page.

Client Statement

WACHOVIA SECURITIES

Retirement Account ★

Wachovia Securities, LLC, member NYSE & SIPC

For The Period:
December 1 - December 31, 2003

Account Number:

Total Account Net Worth

	OPENING	CLOSING
Priced Securities Value	$79,227.36	$82,276.18
Money Mkt Funds/Bank Dep. Bal.	$305.00	$305.00
Cash Balance	$.50	$.57
Total Net Worth	$79,632.86	$82,581.75

Your Financial Advisor: ROSMAN & STERN
1305 FRANKLIN AVENUE
SUITE 300
GARDEN CITY NY 11530
Phone: 516-741-4850

E-mail Address: lyle.s.rosman@wachoviasec.com
wayne.stern@wachoviasec.com

Your Branch Manager: SEAN FARRELL

ROBERT J ECKHARDT & CO.,P.C
ONE HUNTINGTON QUADRANGLE,4S14
MELVILLE NY 11747

Gain (Loss) Summary

(PLEASE REFER TO DETAILED SECTIONS)

Year To Date Realized Gain (Loss)	$4,115.39
Unrealized Gain (Loss) As Of December 31	$16,786.49

Income & Distributions

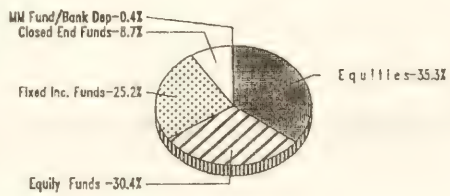
	THIS PERIOD	YEAR TO DATE
Money Fund Dividends	$.07	$1.44
Dividends	$187.92	$1,638.83
Capital Gain Distribution	$.00	$16.57
Total Income	$187.99	$1,656.84

Copy of Statement for: WACHOVIA SECURITIES C/F
HON CAROLYN MCCARTHY
IRA DTD 06/14/94
493 NANCY RD

Cash Activity Highlights

	THIS PERIOD	YEAR TO DATE
Opening Cash, MM Fnd/Bank Dep. Bal.	$305.50	
Income & Distributions In Cash	$.07	$732.09
Funds Added	$.00	$3,500.00
Net Securities Bought/Sold	$.00	($3,969.71)
Funds Withdrawn	$.00	$.00
Closing Cash, MM Fnd/Bank Dep. Bal.	$305.57	

Asset Composition



3037

Client Statement

WACHOVIA SECURITIES

Retirement Account ☆

Wachovia Securities, LLC, member NYSE & SIPC

For The Period:
December 1 - December 31, 2003

HON CAROLYN MCCARTHY

Account Number

Tax Information

	2003	2002
IRA CONTRIBUTION	$3,500.00	$3,500.00

Portfolio Detail

	SYMBOL	QUANTITY	CURRENT PRICE	CURRENT VALUE	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUALIZED INCOME	CURRENT YIELD	COMMENTS
Equities-35.3% of Portfolio								
GENERAL ELECTRIC CO	GE	400	30.980 **	$12,392.00		$320	2.58%	
PROCTER & GAMBLE CO	PG	168	99.880 **	$16,779.84		$306	1.82%	
Total				**$29,171.84**		**$626**		
Equity Mutual Funds-30.4% of Portfolio								
ALLIANCE BERNSTEIN SELECT INVESTOR BIOTECHNOLOGY PORTFOLIO FUND CL B	AIBBX	4,889	5.140	$25,129.46				Reinvest Div/Cap Gain
Total				**$25,129.46**				
Fixed Income Mutual Funds-25.2% of Portfolio								
PIONEER HIGH YIELD FUND CLASS B	TBHYX	1,735.701	11.980	$20,793.70		$1,211	5.82%	Reinvest Div/Cap Gain
Total				**$20,793.70**		**$1,211**		
Closed End Funds-8.7% of Portfolio								
PIMCO CORPORATE INCOME FUND	PCN	453.644	15.830	$7,181.18		$579	8.06%	On Reinvestment
Total				**$7,181.18**		**$579**		
TOTAL PRICED SECURITIES VALUE				**$82,276.18**		**$2,416**		
Money Mkt Funds/Bank Dep. Accts.-.4% of Portfolio								
COMMAND GOVERNMENT FUND CLASS A		305	1.000	$305.00		$1	.45%	7-Day Yield
TOTAL MONEY MKT FUNDS/BANK DEP. ACCTS.				**$305.00**		**$1**		

3038

Important Message about Your Unrealized Gains (Losses)

This section presents estimated unrealized gains or losses for your information only, and should not be used for tax purposes. We suggest you review it for accuracy and contact your Financial Advisor with any questions before making any investment decisions. Purchases of foreign securities reflect local (legacy) currency in the "Price or Adj Cost" column, while "Cost or Other Basis" and "Unrealized Gain (Loss)" are in US dollars. In instances where a gain (loss) has not been calculated, section and summary totals may not reflect a comprehensive view of your complete portfolio.

Unrealized Gains (Losses) Detail

	ORIGINAL TRADE DATE	QUANTITY	PRICE OR ADJ COST	CURRENT PRICE	COST OR OTHER BASIS	CURRENT VALUE	UNREALIZED GAIN OR (LOSS)
Equities							
GENERAL ELECTRIC CO	01-17-95	400	8.7083	30.980	$3,493.57	$12,392.00	$8,898.43
PROCTER & GAMBLE CO	01-17-95	168	31.2566	99.880	$5,251.11	$16,779.84	$11,528.73
Total		568			$8,744.68	$29,171.84	$20,427.16
Equity Mutual Funds							
ALLIANCE BERNSTEIN SELECT INVESTOR	04-20-01	3,758	6.920	5.140	$26,005.36	$19,316.12	($6,689.24)
BIOTECHNOLOGY PORTFOLIO FUND CL B	12-10-02	1,131	4.010	5.140	$4,540.56	$5,813.34	$1,272.78
		4,889			$30,545.92	$25,129.46	($5,416.46)
Cost of Current Holdings (excluding reinvestments)				$30,545.92			
Current Value of Total Holdings (including reinvestments)				$25,129.46			
Total		4,889			$30,545.92	$25,129.46	($5,416.46)

If a portion of your fund position was sold or converted, the "Current Value of Total Holdings" may include reinvestments from previously held positions.

Fixed Income Mutual Funds							
PIONEER HIGH YIELD FUND CLASS B	06-10-03	1,691.196	11.160	11.980	$18,879.00	$20,260.53	$1,381.53
	Reinvests	44.505	11.373	11.980	$506.17	$533.17	$27.00
		1,735.701			$19,385.17	$20,793.70	$1,408.53
Cost of Current Holdings (excluding reinvestments)				$18,879.00			
Current Value of Total Holdings (including reinvestments)				$20,793.70			
Total		1,735.701			$19,385.17	$20,793.70	$1,408.53

If a portion of your fund position was sold or converted, the "Current Value of Total Holdings" may include reinvestments from previously held positions.

3039

Unrealized Gains (Losses) Detail (CONTINUED)

	ORIGINAL TRADE DATE	QUANTITY	PRICE OR ADJ COST	CURRENT PRICE	COST OR OTHER BASIS	CURRENT VALUE	UNREALIZED GAIN OR (LOSS)
Closed End Funds							
PIMCO CORPORATE INCOME FUND	12-18-01	420	15.000	15.830	$6,305.25	$6,648.60	$343.35
	Reinvests	33.644	15.119	15.830	$508.67	$532.58	$23.91
		453.644			$6,813.92	$7,181.18	$367.26
Total		453.644			$6,813.92	$7,181.18	$367.26
TOTAL UNREALIZED GAINS (LOSSES)					$65,489.69	$82,276.18	$16,786.49

3040

Client Statement

WACHOVIA SECURITIES pg 6 of 43

Securities Account ★

Wachovia Securities, LLC, member NYSE & SIPC

For The Period:
December 1 - December 31, 2003

Account Number:

Total Account Net Worth

	OPENING	CLOSING
Priced Securities Value	$241,379.17	$249,008.81
Money Mkt Funds/Bank Dep. Bal.	$7,987.55	$8,189.75
Cash Balance	$.97	$.57
Total Net Worth	$249,367.69	$257,199.13

Your Financial Advisor: ROSMAN & STERN

E-mail Address: tyle.s rosman@wachoviasec.com
wayne stern@wachoviasec.com

Your Investment Advisor: Rittenhouse Large Cap Equity

ROBERT J ECKHARDT & CO.,P.C
ONE HUNTINGTON QUADRANGLE,4S14
MELVILLE NY 11747

Gain (Loss) Summary

(PLEASE REFER TO DETAILED SECTIONS)

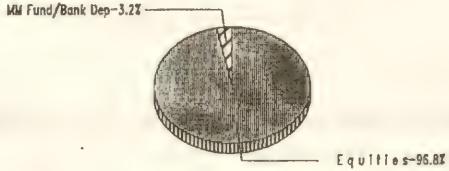
Year To Date Realized Gain (Loss)	($1,075.10)
Unrealized Gain (Loss) As Of December 31	$9,601.18

Copy of Statement for: HON CAROLYN MC CARTHY
493 NANCY RD
MINEOLA NY 11501-1124

Income & Distributions

	THIS PERIOD	YEAR TO DATE
Money Fund Dividends	$4.20	$48.55
Dividends	$197.60	$2,629.87
Total Income	$201.80	$2,678.42

Asset Composition



Cash Activity Highlights

	THIS PERIOD	YEAR TO DATE
Opening Cash, MM Fnd/Bank Dep. Bal.	$7,988.52	
Income & Distributions In Cash	$201.80	$2,669.17
Funds Added	$.00	$.00
Net Securities Bought/Sold	$.00	$3,253.56
Funds Withdrawn	$.00	$.00
Miscellaneous	$.00	($4,502.57)
Closing Cash, MM Fnd/Bank Dep. Bal.	$8,190.32	

Client Statement

WACHOVIA SECURITIES

Securities Account ★

Wachovia Securities, LLC, member NYSE & SIPC

ie Period:
mber 1 - December 31, 2003

HON CAROLYN MC CARTHY

Account Number:

rtfolio Detail

	SYMBOL	QUANTITY	CURRENT PRICE		CURRENT VALUE	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUALIZED INCOME	CURRENT YIELD	COMMENTS
ies-96.8% of Portfolio									
: INC	AFL	105	36.180	**	$3,798.90		$34	.89%	
ICAN INTERNATIONAL GROUP INC	AIG	161	66.280	**	$10,671.08		$42	.39%	
N INC	AMGN	123	61.790	**	$7,600.17				
JSER BUSCH COMPANIES INC	BUD	73	52.680	**	$3,845.64		$64	1.66%	
ATH AND BEYOND INCORPORATED	BBBY	86	43.350	**	$3,728.10				
) SYSTEMS INC	CSCO	376	24.230	**	$9,110.48				
ROUP INC	C	226	48.540	**	$10,970.04		$316	2.88%	
COLA CO DELAWARE	KO	61	50.750	**	$3,095.75		$54	1.74%	
ATE PALMOLIVE CO	CL	96	50.050	**	$4,804.80		$92	1.91%	
INC	DELL	162	33.980	**	$5,504.76				
DATA CORP	FDC	139	41.090	**	$5,711.51		$11	.19%	
ETT CO INC	GCI	75	89.160	**	$6,687.00		$75	1.12%	
RAL ELECTRIC CO	GE	373	30.980	**	$11,555.54		$298	2.58%	
MAN SACHS GROUP INC	GS	58	98.730	**	$5,726.34		$58	1.01%	
CORP	INTC	267	32.050	**	$8,557.35		$21	.25%	
NATIONAL BUSINESS MACHINES	IBM	92	92.680	**	$8,526.56		$59	.69%	
ON & JOHNSON	JNJ	126	51.660	**	$6,509.16		$121	1.86%	
CORP	KSS	115	44.940	**	$5,168.10				
S COMPANIES INC	LOW	67	55.390	**	$3,711.13		$8	.22%	
& MCLENNAN COS INC	MMC	99	47.890	**	$4,741.11		$123	2.59%	
CORP	KRB	223	24.850	**	$5,541.55		$89	1.61%	
RONIC INC	MDT	141	48.610	**	$6,854.01		$41	.60%	
SOFT CORP WASHINGTON	MSFT	346	27.370	**	$9,470.02		$55	.58%	
AN STANLEY	MWD	77	57.870	**	$4,455.99		$77	1.73%	
CORP SPONSORED AMERICAN RECEIPT ONE ADR REP ONE SHS	NOK	203	17.000	**	$3,451.00		$52	1.51%	
E CORP	ORCL	296	13.230	**	$3,916.08				
O INC	PEP	127	46.620	**	$5,920.74		$81	1.37%	
R INC	PFE	337	35.330	**	$11,906.21		$229	1.92%	
TER & GAMBLE CO	PG	65	99.880	**	$6,492.20		$118	1.82%	

3042

2168B-MAC-032P1

Portfolio Detail (CONTINUED)

	SYMBOL	QUANTITY	CURRENT PRICE	CURRENT VALUE	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUALIZED INCOME	CURRENT YIELD	COMMENTS
Equities-96.8% of Portfolio		(continued)						
SLM CORP	SLM	58	37.680	$2,185.44		$39	1.78%	
STATE STREET CORP	STT	107	52.080 **	$5,572.56		$64	1.15%	
STRYKER CORP	SYK	49	85.010 **	$4,165.49		$7	.17%	
SYSCO CORP	SYY	197	37.230 **	$7,334.31		$102	1.39%	
TARGET CORP	TGT	137	38.400 **	$5,260.80		$38	.72%	
TEXAS INSTRUMENTS INC	TXN	144	29.380 **	$4,230.72		$12	.28%	
UNITED PARCEL SERVICE CLASS B	UPS	92	74.550 **	$6,858.60		$92	1.34%	
UNITEDHEALTH GROUP INC	UNH	100	58.180 **	$5,818.00		$2	.03%	
WAL MART STORES INC	WMT	121	53.050 **	$6,419.05		$44	.69%	
WALGREEN CO	WAG	174	36.380 **	$6,330.12		$30	.47%	
3M CO	MMM	80	85.030 **	$6,802.40		$106	1.56%	
TOTAL PRICED SECURITIES VALUE				**$249,008.81**		**$2,654**		
Money Mkt Funds/Bank Dep. Accts.-3.2% of Portfolio								
FIRST NATIONAL MONEY MKT FUND		8,189.750	1.000	$8,189.75		$41	.50%	7-Day Yield
TOTAL MONEY MKT FUNDS/BANK DEP. ACCTS.				**$8,189.75**		**$41**		

3043

Client Statement

WACHOVIA SECURITIES

COMMAND Account ★

Wachovia Securities, LLC, member NYSE & SIPC

or The Period:
ecember 1 - December 31, 2003

Account Number:

Total Account Net Worth

	OPENING	CLOSING
Priced Securities Value	$222,646.75	$223,178.95
Money Mkt Funds/Bank Dep. Bal.	$26,641.00	$26,265.00
Cash Balance	$7,620.93	$6,714.69
Total Net Worth	$256,908.68	$256,158.64
Available For Checkwriting And Visa		$32,805.23

Gain (Loss) Summary

(PLEASE REFER TO DETAILED SECTIONS)

Year To Date Realized Gain (Loss)	$4,090.50
Unrealized Gain (Loss) As Of December 31	($424.28)

Income & Distributions

	THIS PERIOD	YEAR TO DATE
Money Fund Dividends	$9.44	$282.17
Bank Deposit Interest	$.22	$.22
Dividends	$649.94	$1,624.85
Interest	$.00	$3,270.83
Municipal Income	$1,517.16	$9,056.23
Total Income	$2,176.76	$14,234.30

Cash Activity Highlights

	THIS PERIOD	YEAR TO DATE
Opening Cash, MM Fnd/Bank Dep. Bal.	$34,261.93	
Income & Distributions In Cash	$1,949.60	$11,379.74
Funds Added	$6,773.01	$148,891.40
Net Securities Bought/Sold	$.00	($100,000.25)
Net Redemptions/Exchanges	$.00	$102,865.83
Funds Withdrawn	($1,747.40)	($40,048.18)
Visa Card Activity	($4,805.71)	($34,442.35)
Checking & Billpay	($3,451.74)	($109,364.89)
Miscellaneous	$.00	($15.00)
Closing Cash, MM Fnd/Bank Dep. Bal.	$32,979.69	

Your Financial Advisor: ROSMAN & STERN
1305 FRANKLIN AVENUE
SUITE 300
GARDEN CITY NY 11530
Phone: 516-741-4850

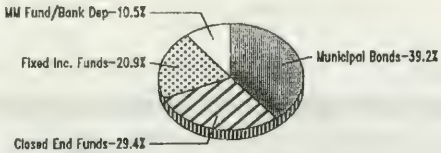
E-mail Address: lyle.s.rosman@wachoviasec.com
wayne.stern@wachoviasec.com

Your Branch Manager: SEAN FARRELL

ROBERT J ECKHARDT & CO.,P.C
ONE HUNTINGTON QUADRANGLE,4S14
MELVILLE NY 11747

Copy of Statement for: HON CAROLYN MCCARTHY
493 NANCY ROAD
MINEOLA NY 11501-1124

Asset Composition



'ortfolio Detail

	SYMBOL	QUANTITY	CURRENT PRICE	CURRENT VALUE	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUALIZED INCOME	CURRENT YIELD	COMMENTS
ınicipal Bonds-39.2% of Portfolio								
ıRWICK VALLEY CENT SCH DIST N Y G.O. RANGE CNTY SERIES A O JD 5.100 06/15/2004 DTD 06/15/99 OOK ENTRY ONLY		40,000	101.835 E	$40,734.00	$91	$2,040	5.01%	Rating: AAA/N/R MBIA Insured
W YORK CITY TRANSITIONAL FIN AUTH EV FUTURE TAX SECD SER B FISCAL 1998 O MN 4.750 11/15/2017 DTD 01/22/98 ALL 05/15/2008 101 00 OOK ENTRY ONLY		55,000	103 695 E	$57,032.25	$334	$2,613	4.58%	Rating AA2/AA+
tal		95,000		$97,766.25	$425	$4,653		
ced Income Mutual Funds-20.9% of Portfolio								
·CHESTER FUND MUNICIPALS INC CLASS B	RMUBX	2,964.824	17.600	$52,180.90		$2,829	5.42%	Reinvest Div/Cap Gain
tal				$52,180.90		$2,829		
ɔsed End Funds-29.4% of Portfolio								
TON VANCE INSURED NEW YORK UNICIPAL BOND FUND	ENX	1,800	13.650	$24,570.00		$1,620	6.59%	
VEEN PFD & CONV INCOME FD 2	JQC	3,333	14.600	$48,661.80		$3,900	8.01%	
tal				$73,231.80		$5,520		
TAL PRICED SECURITIES VALUE				$223,178.95	$425	$13,002		
ɔney Mkt Funds/Bank Dep. Accts.-10.5% of Portfolio								
MMAND BANK DEPOSIT SWEEP		9,088	1 000	$9,088.00		$59	.65%	7-Day Yield
MMAND GOVERNMENT FUND CLASS A		17,177	1 000	$17,177.00		$77	.45%	7-Day Yield
TAL MONEY MKT FUNDS/BANK DEP. ACCTS.				$26,265.00		$136		

3045

Securities Account ★ **This Period Account History** WACHOVIA SECURITIES

Wachovia Securities, LLC, Member NYSE, SIPC

FOR THE PERIOD:
Dec 1 - Dec 31, 2003

ACCOUNT NUMBER:

TOTAL ACCOUNT NET WORTH	OPENING	CLOSING
Priced Securities Value	$270,197.87	$278,203.23
Money Mkt Fnds/Bank Dep Bal.	$18,993.00	$25,548.00
Cash Balance	$.11	$10.72
Total Net Worth	$289,190.98	$303,761.95

GAIN (LOSS) SUMMARY	(PLEASE REFER TO DETAILED SECTIONS)
This Period Realized Gain (Loss)	($2,528.30)
Year To Date Realized Gain (Loss)	($4,113.58)
Unrealized Gain (Loss) As Of December 31	$31,131.69

INCOME & DISTRIBUTIONS	THIS PERIOD	YEAR TO DATE
Money Fund Dividends	$9.66	$177.51
Dividends	$307.07	$4,199.27
Total Income	$316.73	$4,376.78

CASH ACTIVITY HIGHLIGHTS	THIS PERIOD	YEAR TO DATE
Opening Cash, MM Fnd/Bank Dep Bal	$18,993.11	
Income & Distributions In Cash	$316.73	$4,332.72
Funds Added	$.00	$.00
Net Securities Bought/Sold	$6,248.88	($3,897.78)
Net Redemptions/Exchanges	$.00	$44.58
Funds Withdrawn	$.00	$.00
Miscellaneous	$.00	($5,140.92)
Closing Cash, MM Fnd/Bank Dep Bal	$25,558.72	

Your Financial Advisor: ROSMAN & STERN
PLEASE CONTACT
YOUR FINANCIAL PROFESSIONAL

E-Mail Address: kyle.a.rosman@wachoviasec.com
wayne.stern@wachoviasec.com

Your Investment Advisor: Davis Advisors

HON CAROLYN MCCARTHY
493 NANCY RD
MINEOLA NY 11501-1124

Trading authorization has been given to: DAVIS ADVISORS

ASSET COMPOSITION
EQUITIES - 91.6% MM FUND/BANK DEP - 8.4%

Information contained herein is based on data obtained from recognized statistical services, issuer reports or communications or other sources, believed to be reliable. However, such information has not been verified by us, and we do not make any representations as to its accuracy or completeness. Any statements nonfactual in nature constitute only current opinions, which are subject to change.

Securities Account ★ This Period Account History WACHOVIA SECURITIES

Wachovia Securities, LLC, member NYSE/SIPC

FOR THE PERIOD:
Dec 1 - Dec 31, 2003

HON CAROLYN MCCARTHY

ACCOUNT NUMBER:

PORTFOLIO DETAIL

	SYMBOL	QUANTITY	CURRENT PRICE		CURRENT VALUE	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUALIZED INCOME	CURRENT YIELD	COMMENTS
EQUITIES-91.6% OF PORTFOLIO									
AGERE SYS INC CL A	AGRA	380	3.050	**	$1,159.00				CUSIP 00845V100
ALTRIA GROUP INC	MO	370	54.420	**	$20,135.40		$1,006	5.00%	CUSIP 02209S103
AMERICAN EXPRESS CO	AXP	350	48.230	**	$16,880.50		$140	.83%	CUSIP 025816109
AMERICAN INTERNATIONAL GROUP INC	AIG	275	66.280	**	$18,227.00		$72	.40%	CUSIP 026874107
AON CORP	AOC	130	23.940	**	$3,112.20		$78	2.51%	CUSIP 037389103
BANK ONE CORP	ONE	275	45.590	**	$12,537.25		$275	2.19%	CUSIP 06423A103
BERKSHIRE HATHAWAY INC CLASS B HLDG CO	BRKB	6	2,815.000	**	$16,890.00				CUSIP 084670207
BLOCK H & R INC	HRB	75	55.370	**	$4,152.75		$60	1.44%	CUSIP 093671105
CITIGROUP INC	C	285	48.540	**	$13,833.90		$399	2.88%	CUSIP 172967101
CONOCOPHILLIPS	COP	120	65.570	**	$7,868.40		$206	2.62%	CUSIP 20825C104
COSTCO WHOLESALE CORP	COST	290	37.180	**	$10,782.20				CUSIP 22160K105
DEVON ENERGY CORP	DVN	120	57.260	**	$6,871.20		$24	.35%	CUSIP 25179M103
DIAGEO PLC NEW	DEO	105	52.860	**	$5,550.30		$176	3.17%	CUSIP 25243Q205
AMERN DEPOSITARY RCPT EA REPTG 4 ORD SHS									
DUN & BRADSTREET CORP DEL NEW	DNB	85	50.710	**	$4,310.35				CUSIP 26483E100
EOG RESOURCES INC	EOG	95	46.170	**	$4,386.15		$19	.43%	CUSIP 26875P101
FIFTH THIRD BANCORP	FITB	40	59.100	**	$2,364.00		$48	1.95%	CUSIP 316773100
GANNETT CO INC	GCI	25	89.160	**	$2,229.00		$25	1.12%	CUSIP 364730101
GOLDEN WEST FINL CORP DELAWARE	GDW	110	103.190	**	$11,350.90		$44	.39%	CUSIP 381317106
HCA INC	HCA	55	42.960		$2,362.80		$4	.17%	CUSIP 404119109
HERSHEY FOODS CORP	HSY	40	76.990	**	$3,079.60		$63	2.05%	CUSIP 427866108
HSBC HOLDINGS PLC SPONSORED AMERICAN DEPOSTARY RECEIPT	HBC	184	78.820	**	$14,502.88		$630	4.34%	CUSIP 404280406
KRAFT FOODS INC CL A	KFT	75	32.220	**	$2,416.50		$54	2.23%	CUSIP 50075N104

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Securities Account ★ **This Period Account History** WACHOVIA SECURITIES

Wachovia Securities, LLC, member NYSE, SIPC

FOR THE PERIOD:
Dec 1 - Dec 31, 2003

HON CAROLYN MCCARTHY

ACCOUNT NUMBER:

PORTFOLIO DETAIL (CONTINUED)

	SYMBOL	QUANTITY	CURRENT PRICE		CURRENT VALUE	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUALIZED INCOME	CURRENT YIELD	COMMENTS
EQUITIES-91.6% OF PORTFOLIO (CONTINUED)									
LEXMARK INTERNATIONAL INC CLA	LXK	65	78.640	**	$5,111.60				CUSIP 529771107
LILLY ELI & CO	LLY	75	70.330	**	$5,274.75		$107	2.03%	CUSIP 532457108
LLOYDS TSB GROUP PLC SPONSORED ADR	LYG	100	32.550		$3,255.00		$221	6.79%	CUSIP 539439109
LOEWS CORP	LTR	129	49.450	**	$6,379.05		$77	1.21%	CUSIP 540424108
MARRIOTT INTERNATIONAL INC NEW CLASS A	MAR	70	46.200	**	$3,234.00		$21	.65%	CUSIP 571903202
MICROSOFT CORP WASHINGTON	MSFT	105	27.370	**	$2,873.85		$17	.59%	CUSIP 594918104
MOODYS CORP	MCO	75	60.550	**	$4,541.25		$23	.51%	CUSIP 615369105
MORGAN STANLEY	MWD	95	57.870	**	$5,497.65		$95	1.73%	CUSIP 617446448
OCCIDENTAL PETROLEUM CORP	OXY	90	42.240	**	$3,801.60		$94	2.47%	CUSIP 674599105
PFIZER INC	PFE	95	35.330	**	$3,356.35		$65	1.94%	CUSIP 717081103
PROGRESSIVE CORP OHIO	PGR	155	83.590		$12,956.45		$16	.12%	CUSIP 743315103
SEALED AIR CORP NEW	SEE	225	54.140		$12,181.50				CUSIP 81211K100
TRANSATLANTIC HOLDINGS INC	TRH	67	80.800	**	$5,413.60		$29	.54%	CUSIP 893521104
UNITED PARCEL SERVICE CLASS B	UPS	40	74.550	**	$2,982.00		$40	1.34%	CUSIP 911312106
VULCAN MATERIALS CO	VMC	65	47.570	**	$3,092.05		$64	2.07%	CUSIP 929160109
WELLS FARGO AND CO NEW	WFC	225	58.890	**	$13,250.25		$405	3.06%	CUSIP 949746101
TOTAL PRICED SECURITIES VALUE					$278,203.23		$4,595		
MONEY MKT FUNDS/BANK DEP. ACCTS.-8.4% OF PORTFOLIO									
COMMAND BANK DEPOSIT SWEEP		6,710	1.000		$6,710.00		$44	.65%	7-Day Yield CUSIP 111CKD927
COMMAND MONEY FUND CLASS AX		18,838	1.000		$18,838.00		$96	.51%	7-Day Yield CUSIP 900950973
TOTAL MONEY MKT FUNDS/BANK DEP. ACCTS.					$25,548.00		$140		

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Print

Back To Top

Dividends Received on Securities

EX-DATE or PAYMENTS	PAYOR	DOLLAR AMOUNT	TAX CLASS	COMMENTS
2	COMMAND MONEY FUND CLASS A	.16		
10	COMMAND GOVERNMENT FUND CLASS A	1.28		
12/27/02	GENERAL ELECTRIC CO	76.00		
02/26/03	GENERAL ELECTRIC CO	76.00		
06/26/03	GENERAL ELECTRIC CO	76.00		
09/25/03	GENERAL ELECTRIC CO	76.00		
01/15/03	PIMCO CORPORATE INCOME FUND	44.63		
02/12/03	PIMCO CORPORATE INCOME FUND	44.63		
03/12/03	PIMCO CORPORATE INCOME FUND	44.95		
04/09/03	PIMCO CORPORATE INCOME FUND	45.27		
05/14/03	PIMCO CORPORATE INCOME FUND	45.59		
06/11/03	PIMCO CORPORATE INCOME FUND	45.90		
07/09/03	PIMCO CORPORATE INCOME FUND	46.21		
08/13/03	PIMCO CORPORATE INCOME FUND	46.55		
09/10/03	PIMCO CORPORATE INCOME FUND	46.90		
10/08/03	PIMCO CORPORATE INCOME FUND	47.23		
11/12/03	PIMCO CORPORATE INCOME FUND	47.56		
12/10/03	PIMCO CORPORATE INCOME FUND	47.88		
12/24/03	PIMCO CORPORATE INCOME FUND	76.61		
12/24/03	PIMCO CORPORATE INCOME FUND	39.88		
12/24/03	PIMCO CORPORATE INCOME FUND	94.23		
	PIONEER HIGH YIELD FUND CLASS B	50.97		
07/31/03	PIONEER HIGH YIELD FUND CLASS B	87.69		
	PIONEER HIGH YIELD FUND CLASS B	87.87		
09/30/03	PIONEER HIGH YIELD FUND CLASS B	85.07		
	PIONEER HIGH YIELD FUND CLASS B	69.52		
	PIONEER HIGH YIELD FUND CLASS B	92.48		
12/31/03	PIONEER HIGH YIELD FUND CLASS B	91.02		
	PIONEER HIGH YIELD FUND CLASS B	16.00		RECLASSIFIED FROM NON-QUALIFIED INC
11/24/03	PIONEER HIGH YIELD FUND CLASS B	16.57		RECLASSIFIED FROM CAPITAL GAINS 20%
01/22/03	PROCTER & GAMBLE CO	68.86		
04/15/03	PROCTER & GAMBLE CO	68.86		
07/16/03	PROCTER & GAMBLE CO	76.44		

10/22/03	PROCTER & GAMBLE CO	76.44
02/12/03	SMUCKER J M CO NEW	.60
05/15/03	SMUCKER J M CO NEW	.69
TOTAL INCOME - DIVIDENDS		$1,958.58

Back To Top

Reinvestment Activity

DESCRIPTION	SHARES PURCHASED	AVG. PRICE PER SHARE	REINVEST AMOUNT
PIMCO CORPORATE INCOME FUND	33.644	15.12	508.67
PIONEER HIGH YIELD FUND CLASS B	52.103	11.46	597.19
TOTAL REINVESTED AMOUNT			$1,105.86

3050

Back To Top

Realized Gain (Loss) Summary

Estimated realized gain(loss) figures are displayed for your information. These sections reflect gains(losses) starting from when they were first included on your 2003 statement. Realized gains(losses) displayed here may not correspond to those previously displayed on your monthly statements due to subsequent adjustments to cost bases. For more information on how estimated realized gains or losses are calculated, please consult the enclosed brochure. Since Wachovia Securities is not a tax advisor, you should consult your personal tax advisor to determine how this information may apply to your situation.

SHORT TERM			LONG TERM		
Gain	(Loss)	Net(*)	Gain	(Loss)	Net(*)
$0.00	$0.00	$0.00	$4,115.39	$0.00	$4,115.39

(*) In instances where a gain(loss) has not been calculated, section and summary totals may not accurately reflect the total gains(losses) of your complete portfolio.

2003 Consolidated Statement

Securities Account

IMPORTANT TAX INFORMATION:
Please Retain

WACHOVIA SECURITIES

For the Period: Jan 1 - Dec 31, 2003

Account Number:

Important Tax Information. This is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Wachovia Securities, LLC. Federal ID Number: 27-0058684
One New York Plaza, New York, NY 10292-2010

Your Federal ID Number:

Your Financial Advisor:
ROSMAN & STERN
PLEASE CONTACT
YOUR FINANCIAL PROFESSIONAL
888-774-1774

HON CAROLYN MC CARTHY
493 NANCY RD
MINEOLA NY 11501-1124

IMPORTANT TAX INFORMATION:

This document contains information you will need to file your 2003 tax returns. We suggest that you retain this material in your permanent files.

99-DIV Dividends and Distributions - 2003

OMB NO.1545-0110

1a Total ordinary dividends	2,678.42	3 Nontaxable distributions	0.00
1b Qualified dividends	2,629.87	4 Federal income tax withheld	0.00
2a Total capital gain distribution	0.00	5 Investment expenses	0.00
2b Post-May 5 capital gain distr.	0.00	6 Foreign tax paid	9.25
2c Qualified 5-year gain	0.00	7 Foreign country or U.S. possession	various
2d Unrecaptured Section 1250 gain	0.00	8 Liquidation distributions - cash	0.00
2e Section 1202 gain	0.00	9 Liquidation distributions - non-cash (Fair Market Value)	0.00
2f Collectibles (28%) gain	0.00		

99-B Proceeds From Broker and Barter Exchange Transactions - Summary

1a Date of sale	see below	5 Description	see below
1b CUSIP Number	see below	6a Profit or (loss) realized in 2003	0.00
2 Stocks, bonds, etc. Reported to IRS gross proceeds less commissions	59,019.46	7 Unrealized profit or (loss) on open contracts - 12/31/02	0.00
3 Bartering	0.00	8 Unrealized profit or (loss) on open contracts - 12/31/03	0.00
4 Federal income tax withheld	0.00	9a Aggregate profit or (loss)	0.00

The figure on line 2 is composed of the item(s) reported on FORM 1099-B below. Each item is reported to the Internal Revenue Service individually.

1099-B Proceeds From Broker and Barter Exchange Transactions - 2003

OMB NO.1545-0715

DESCRIPTION (LINE 3)	CUSIP (LINE 1b)	QUANTITY	TRADE DATE (LINE 1a)	AMOUNT WITHHELD (LINE 4)	NET PROCEEDS (LINE 2)	EXPLANATION	REPORTED ON
AMGEN INC PSI MAKES MARKET UNSOLICITED	031162100	25	05/02/2003		1,568.00	SOLD	1099-B
AUTOMATIC DATA PROCESSING INC UNSOLICITED	053015103	62	05/02/2003		2,098.90	SOLD	1099-B
AUTOMATIC DATA PROCESSING INC UNSOLICITED	053015103	100	05/21/2003		3,335.64	SOLD	1099-B
BANK OF AMERICA CORP UNSOLICITED	060505104	59	11/11/2003		4,449.22	SOLD	1099-B
CARDINAL HEALTH INC UNSOLICITED	14149Y108	21	01/27/2003		1,172.63	SOLD	1099-B
CARDINAL HEALTH INC UNSOLICITED	14149Y108	31	05/15/2003		1,808.78	SOLD	1099-B

3051

2003 Consolidated Statement

Securities Account

IMPORTANT TAX INFORMATION:
Please Retain

WACHOVIA SECURITIES

HON CAROLYN MC CARTHY Jan 1 - Dec 31, 2003 Account Number: Page 2 of 13

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1099-B Proceeds From Broker and Barter Exchange Transactions - 2003

OMB NO.1545-0715

DESCRIPTION (LINE 5)	CUSIP (LINE 1b)	QUANTITY	TRADE DATE (LINE 1a)	AMOUNT WITHHELD (LINE 4)	NET PROCEEDS (LINE 2)	EXPLANATION	REPORTED ON
CARDINAL HEALTH INC UNSOLICITED	14149Y108	99	08/18/2003		5,954.03	SOLD	1099-B
COLGATE PALMOLIVE CO UNSOLICITED	194162103	32	01/27/2003		1,621.57	SOLD	1099-B
COLGATE PALMOLIVE CO UNSOLICITED	194162103	27	06/06/2003		1,582.95	SOLD	1099-B
FANNIE MAE UNSOLICITED	313586109	31	05/02/2003		2,242.87	SOLD	1099-B
FANNIE MAE UNSOLICITED	313586109	37	08/04/2003		2,282.56	SOLD	1099-B
FANNIE MAE UNSOLICITED	313586109	38	08/18/2003		2,355.93	SOLD	1099-B
JOHNSON & JOHNSON UNSOLICITED	478160104	23	08/18/2003		1,161.53	SOLD	1099-B
LOWES COMPANIES INC UNSOLICITED	548661107	49	09/08/2003		2,634.90	SOLD	1099-B
MBNA CORP UNSOLICITED	55262L100	115	07/01/2003		2,339.53	SOLD	1099-B
MEDTRONIC INC UNSOLICITED	585055106	36	01/27/2003		1,584.68	SOLD	1099-B
MEDTRONIC INC UNSOLICITED	585055106	48	08/04/2003		2,495.31	SOLD	1099-B
PEPSICO INC UNSOLICITED	713448108	50	05/29/2003		2,200.20	SOLD	1099-B
PFIZER INC UNSOLICITED	717081103	44	06/06/2003		1,471.30	SOLD	1099-B
SLM CORP UNSOLICITED	78442P106	25	07/17/2003		1,017.91	SOLD	1099-B
SLM CORP UNSOLICITED	78442P106	31	07/18/2003		1,262.68	SOLD	1099-B
TEXAS INSTRUMENTS INC UNSOLICITED	882508104	68	09/12/2003		1,595.66	SOLD	1099-B
3M CO UNSOLICITED	88579Y101	12	08/18/2003		1,730.64	SOLD	1099-B
WAL MART STORES INC UNSOLICITED	931142103	44	05/02/2003		2,450.62	SOLD	1099-B

3052

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1099-B Proceeds From Broker and Barter Exchange Transactions - 2003

OMB NO.1545-0715

DESCRIPTION (LINE 5)	CUSIP (LINE 1b)	QUANTITY	TRADE DATE (LINE 1a)	AMOUNT WITHHELD (LINE 4)	NET PROCEEDS (LINE 2)	EXPLANATION	REPORTED ON
WALGREEN CO UNSOLICITED	931422109	53	02/04/2003		1,536.50	SOLD	1099-B
WELLS FARGO AND CO NEW UNSOLICITED	949746101	101	07/01/2003		5,064.92	SOLD	1099-B
Totals				$0.00	$59,019.46		

Only the information above the dotted line will be reported, as required, to the Internal Revenue Service (IRS). Nothing contained on the following pages will be reported to the IRS and is for informational purposes only.

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2003 Consolidated Statement

Securities Account

IMPORTANT TAX INFORMATION:
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WACHOVIA SECURITIES

HON CAROLYN MC CARTHY Jan 1 - Dec 31, 2003 Account Number:

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EX-DATE OR PAYMENTS	PAYOR	DOLLAR AMOUNT	TAX CLASS	COMMENTS	LINE(S)	REPORTED ON
02/12/03	AFLAC INC	4.97	INCOME		1a,1b	1099-DIV
05/13/03	AFLAC INC	7.35	INCOME		1a,1b	1099-DIV
08/12/03	AFLAC INC	8.40	INCOME		1a,1b	1099-DIV
11/10/03	AFLAC INC	8.40	INCOME		1a,1b	1099-DIV
03/05/03	AMERICAN INTERNATIONAL GROUP INC	7.57	INCOME		1a,1b	1099-DIV
06/04/03	AMERICAN INTERNATIONAL GROUP INC	7.57	INCOME		1a,1b	1099-DIV
09/03/03	AMERICAN INTERNATIONAL GROUP INC	10.47	INCOME		1a,1b	1099-DIV
12/03/03	AMERICAN INTERNATIONAL GROUP INC	10.47	INCOME		1a,1b	1099-DIV
02/06/03	ANHEUSER BUSCH COMPANIES INC	8.58	INCOME		1a,1b	1099-DIV
05/07/03	ANHEUSER BUSCH COMPANIES INC	8.58	INCOME		1a,1b	1099-DIV
08/07/03	ANHEUSER BUSCH COMPANIES INC	9.68	INCOME		1a,1b	1099-DIV
11/06/03	ANHEUSER BUSCH COMPANIES INC	16.06	INCOME		1a,1b	1099-DIV
12/11/02	AUTOMATIC DATA PROCESSING INC	19.44	INCOME		1a,1b	1099-DIV
03/12/03	AUTOMATIC DATA PROCESSING INC	19.44	INCOME		1a,1b	1099-DIV
03/05/03	BANK OF AMERICA CORP	19.20	INCOME		1a,1b	1099-DIV
06/04/03	BANK OF AMERICA CORP	19.20	INCOME		1a,1b	1099-DIV
09/03/03	BANK OF AMERICA CORP	47.20	INCOME		1a,1b	1099-DIV
12/27/02	CARDINAL HEALTH INC	3.78	INCOME		1a,1b	1099-DIV
03/28/03	CARDINAL HEALTH INC	3.25	INCOME		1a,1b	1099-DIV
06/27/03	CARDINAL HEALTH INC	2.97	INCOME		1a,1b	1099-DIV
13	CAT NTL MONEY FD	48.55	INCOME		1a	1099-DIV
01/30/03	CITIGROUP INC	29.40	INCOME		1a,1b	1099-DIV
05/01/03	CITIGROUP INC	29.40	INCOME		1a,1b	1099-DIV
07/31/03	CITIGROUP INC	68.95	INCOME		1a,1b	1099-DIV
10/30/03	CITIGROUP INC	79.10	INCOME		1a,1b	1099-DIV
03/12/03	COCA COLA CO DELAWARE	13.42	INCOME		1a,1b	1099-DIV
06/11/03	COCA COLA CO DELAWARE	13.42	INCOME		1a,1b	1099-DIV
09/11/03	COCA COLA CO DELAWARE	13.42	INCOME		1a,1b	1099-DIV
11/26/03	COCA COLA CO DELAWARE	13.42	INCOME		1a,1b	1099-DIV
01/30/03	COLGATE PALMOLIVE CO	22.14	INCOME		1a,1b	1099-DIV
04/23/03	COLGATE PALMOLIVE CO	29.52	INCOME		1a,1b	1099-DIV
07/23/03	COLGATE PALMOLIVE CO	23.04	INCOME		1a,1b	1099-DIV

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EX-DATE OR PAYMENTS	PAYOR	DOLLAR AMOUNT	TAX CLASS	COMMENTS	LINE(S)	REPORTED ON
10/22/03	COLGATE PALMOLIVE CO	23.04	INCOME		1a,1b	1099-DIV
01/29/03	FANNIE MAE	41.34	INCOME		1a,1b	1099-DIV
04/28/03	FANNIE MAE	41.34	INCOME		1a,1b	1099-DIV
07/29/03	FANNIE MAE	33.75	INCOME		1a,1b	1099-DIV
12/30/02	FIRST DATA CORP	1.90	INCOME		1a,1b	1099-DIV
03/28/03	FIRST DATA CORP	1.90	INCOME		1a,1b	1099-DIV
06/27/03	FIRST DATA CORP	2.78	INCOME		1a,1b	1099-DIV
09/29/03	FIRST DATA CORP	2.78	INCOME		1a,1b	1099-DIV
09/10/03	GANNETT CO INC	11.60	INCOME		1a,1b	1099-DIV
12/27/02	GENERAL ELECTRIC CO	70.87	INCOME		1a,1b	1099-DIV
02/26/03	GENERAL ELECTRIC CO	70.87	INCOME		1a,1b	1099-DIV
06/26/03	GENERAL ELECTRIC CO	70.87	INCOME		1a,1b	1099-DIV
09/25/03	GENERAL ELECTRIC CO	70.87	INCOME		1a,1b	1099-DIV
07/25/03	GOLDMAN SACHS GROUP INC	14.60	INCOME		1a,1b	1099-DIV
10/23/03	GOLDMAN SACHS GROUP INC	14.60	INCOME		1a,1b	1099-DIV
05/05/03	INTEL CORP	1.22	INCOME		1a,1b	1099-DIV
08/05/03	INTEL CORP	3.58	INCOME		1a,1b	1099-DIV
11/05/03	INTEL CORP	5.34	INCOME		1a,1b	1099-DIV
02/06/03	INTERNATIONAL BUSINESS MACHINES	11.25	INCOME		1a,1b	1099-DIV
05/07/03	INTERNATIONAL BUSINESS MACHINES	14.72	INCOME		1a,1b	1099-DIV
08/06/03	INTERNATIONAL BUSINESS MACHINES	14.72	INCOME		1a,1b	1099-DIV
11/06/03	INTERNATIONAL BUSINESS MACHINES	14.72	INCOME		1a,1b	1099-DIV
02/13/03	JOHNSON & JOHNSON	30.55	INCOME		1a,1b	1099-DIV
05/16/03	JOHNSON & JOHNSON	35.76	INCOME		1a,1b	1099-DIV
08/15/03	JOHNSON & JOHNSON	35.76	INCOME		1a,1b	1099-DIV
11/14/03	JOHNSON & JOHNSON	30.24	INCOME		1a,1b	1099-DIV
01/15/03	LOWES COMPANIES INC	2.90	INCOME		1a,1b	1099-DIV
04/15/03	LOWES COMPANIES INC	2.90	INCOME		1a,1b	1099-DIV
07/16/03	LOWES COMPANIES INC	2.90	INCOME		1a,1b	1099-DIV
10/15/03	LOWES COMPANIES INC	2.01	INCOME		1a,1b	1099-DIV
12/11/02	MBNA CORP	23.66	INCOME		1a,1b	1099-DIV
03/12/03	MBNA CORP	27.04	INCOME		1a,1b	1099-DIV

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2003 Consolidated Statement

Securities Account

IMPORTANT TAX INFORMATION:
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WACHOVIA SECURITIES

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EX-DATE OR PAYMENTS	PAYOR	DOLLAR AMOUNT	TAX CLASS	COMMENTS		LINE(S)	REPORTED ON
06/11/03	MBNA CORP	27.04	INCOME			1a,1b	1099-DIV
09/11/03	MBNA CORP	22.30	INCOME			1a,1b	1099-DIV
01/02/03	MARSH & MCLENNAN COS INC	27.72	INCOME			1a,1b	1099-DIV
04/07/03	MARSH & MCLENNAN COS INC	27.72	INCOME			1a,1b	1099-DIV
07/07/03	MARSH & MCLENNAN COS INC	30.69	INCOME			1a,1b	1099-DIV
10/10/03	MARSH & MCLENNAN COS INC	30.69	INCOME			1a,1b	1099-DIV
12/31/02	MEDTRONIC INC	14.06	INCOME			1a,1b	1099-DIV
04/02/03	MEDTRONIC INC	11.81	INCOME			1a,1b	1099-DIV
07/01/03	MEDTRONIC INC	13.70	INCOME			1a,1b	1099-DIV
10/01/03	MEDTRONIC INC	10.22	INCOME			1a,1b	1099-DIV
02/19/03	MICROSOFT CORP WASHINGTON	27.68	INCOME			1a,1b	1099-DIV
10/15/03	MICROSOFT CORP WASHINGTON	55.36	INCOME			1a,1b	1099-DIV
10/08/03	MORGAN STANLEY	17.71	INCOME			1a,1b	1099-DIV
03/28/03	NOKIA CORP S/ADR	61.64	INCOME	INCLUDES FOREIGN TAX OF	$9.25	1a,1b,6	1099-DIV
12/04/02	PEPSICO INC	26.55	INCOME			1a,1b	1099-DIV
03/12/03	PEPSICO INC	26.55	INCOME			1a,1b	1099-DIV
06/11/03	PEPSICO INC	20.32	INCOME			1a,1b	1099-DIV
09/10/03	PEPSICO INC	20.32	INCOME			1a,1b	1099-DIV
01/15/03	PFIZER INC	52.20	INCOME			1a,1b	1099-DIV
05/14/03	PFIZER INC	52.20	INCOME			1a,1b	1099-DIV
08/13/03	PFIZER INC	45.60	INCOME			1a,1b	1099-DIV
11/12/03	PFIZER INC	45.60	INCOME			1a,1b	1099-DIV
01/22/03	PROCTER & GAMBLE CO	21.32	INCOME			1a,1b	1099-DIV
04/15/03	PROCTER & GAMBLE CO	21.32	INCOME			1a,1b	1099-DIV
07/16/03	PROCTER & GAMBLE CO	23.66	INCOME			1a,1b	1099-DIV
10/22/03	PROCTER & GAMBLE CO	29.58	INCOME			1a,1b	1099-DIV
03/05/03	SLM CORP	9.50	INCOME			1a,1b	1099-DIV
06/04/03	SLM CORP	19.38	INCOME			1a,1b	1099-DIV
09/03/03	SLM CORP	9.86	INCOME			1a,1b	1099-DIV
12/03/03	SLM CORP	9.86	INCOME			1a,1b	1099-DIV
12/30/02	STATE STREET CORP	13.91	INCOME			1a,1b	1099-DIV
03/28/03	STATE STREET CORP	13.91	INCOME			1a,1b	1099-DIV

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EX-DATE OR PAYMENTS	PAYOR	DOLLAR AMOUNT	TAX CLASS	COMMENTS	LINE(S)	REPORTED ON
06/27/03	STATE STREET CORP	14.98	INCOME		1a,1b	1099-DIV
09/29/03	STATE STREET CORP	14.98	INCOME		1a,1b	1099-DIV
12/31/02	SYSCO CORP	21.67	INCOME		1a,1b	1099-DIV
04/02/03	SYSCO CORP	21.67	INCOME		1a,1b	1099-DIV
07/01/03	SYSCO CORP	21.67	INCOME		1a,1b	1099-DIV
10/01/03	SYSCO CORP	21.67	INCOME		1a,1b	1099-DIV
02/18/03	TARGET CORP	4.50	INCOME		1a,1b	1099-DIV
05/16/03	TARGET CORP	4.50	INCOME		1a,1b	1099-DIV
08/18/03	TARGET CORP	9.59	INCOME		1a,1b	1099-DIV
11/18/03	TARGET CORP	9.59	INCOME		1a,1b	1099-DIV
01/29/03	TEXAS INSTRUMENTS INC	4.51	INCOME		1a,1b	1099-DIV
04/28/03	TEXAS INSTRUMENTS INC	4.51	INCOME		1a,1b	1099-DIV
07/29/03	TEXAS INSTRUMENTS INC	4.51	INCOME		1a,1b	1099-DIV
10/29/03	TEXAS INSTRUMENTS INC	3.06	INCOME		1a,1b	1099-DIV
02/19/03	3M CO	34.32	INCOME		1a,1b	1099-DIV
05/21/03	3M CO	34.32	INCOME		1a,1b	1099-DIV
08/20/03	3M CO	26.40	INCOME		1a,1b	1099-DIV
11/19/03	3M CO	26.40	INCOME		1a,1b	1099-DIV
11/21/02	UNITED PARCEL SERVICE CLASS B	6.84	INCOME		1a,1b	1099-DIV
02/20/03	UNITED PARCEL SERVICE CLASS B	14.07	INCOME		1a,1b	1099-DIV
05/15/03	UNITED PARCEL SERVICE CLASS B	14.07	INCOME		1a,1b	1099-DIV
08/21/03	UNITED PARCEL SERVICE CLASS B	23.00	INCOME		1a,1b	1099-DIV
03/28/03	UNITEDHEALTH GROUP INC	1.50	INCOME		1a,1b	1099-DIV
12/18/02	WAL MART STORES INC	12.38	INCOME		1a,1b	1099-DIV
03/19/03	WAL MART STORES INC	14.85	INCOME		1a,1b	1099-DIV
06/18/03	WAL MART STORES INC	10.89	INCOME		1a,1b	1099-DIV
10/02/03	WAL MART STORES INC	10.89	INCOME		1a,1b	1099-DIV
02/13/03	WALGREEN CO	6.53	INCOME		1a,1b	1099-DIV
05/19/03	WALGREEN CO	6.53	INCOME		1a,1b	1099-DIV
08/18/03	WALGREEN CO	7.50	INCOME		1a,1b	1099-DIV
11/13/03	WALGREEN CO	7.50	INCOME		1a,1b	1099-DIV
02/05/03	WELLS FARGO AND CO NEW	30.30	INCOME		1a,1b	1099-DIV

3057

2003 Consolidated Statement

Securities Account

IMPORTANT TAX INFORMATION:
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WACHOVIA SECURITIES

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EX-DATE OR PAYMENT#	PAYOR	DOLLAR AMOUNT	TAX CLASS	COMMENTS	LINE(S)	REPORTED ON
05/07/03	WELLS FARGO AND CO NEW	30.30	INCOME		1a,1b	1099-DIV
Total Income - Dividends		$2,678.42				

The line numbers referenced for individual items above comprise the totals which appear on the actual Form 1099-DIV, reflected on the first page of this statement. The Ex-Dividend Date (EX-DATE) has been provided for each dividend payment, when applicable, to assist you in determining whether a dividend is eligible for the lower tax rate based on your holding period for that security.
In other instances, the total number of payments has been provided instead of the EX-DATE. You should consult your personal tax advisor to determine how this infomation may apply to your situation.

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DESCRIPTION	QUANTITY	RECEIVED/ CHARGED	DELIVERED/ CREDITED	AMOUNT CHARGED	AMOUNT CREDITED	EXPLANATION
MICROSOFT CP WASH STOCK SPLIT	173	02/21				STOCK DIV
SLM CORP STOCK SPLIT	76	06/26				STOCK DIV
3M CO STOCK SPLIT	40	10/03				STOCK DIV
UNITEDHEALTH GRP INC STOCK SPLIT	50	06/24				STOCK DIV

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Estimated realized gain(loss) figures are displayed for your information. These sections reflect gains(losses) starting from when they were first included on your 2003 statement and therefore may not include all transactions reported on 1099-B. Realized gains(losses) displayed here may not correspond to those previously displayed on your monthly statements due to subsequent adjustments to cost bases. In addition, there may be transactions reflected in both the Summary and following Detail sections, which are not reportable on 1099-B (e.g., options and certain money market transactions). For more information on how estimated realized gains or losses are calculated, please consult the enclosed brochure. Since Wachovia Securities is not a tax advisor, you should consult your personal tax advisor to determine how this information may apply to your situation.

Short Term			Long Term		
Gain	(Loss)	Net (*)	Gain	(Loss)	Net (*)
$615.28	($107.45)	$507.83	$5,351.79	($6,934.72)	($1,582.93)

(*) In instances where a gain(loss) has not been calculated, section and summary totals may not accurately reflect the total gains(losses) of your complete portfolio.

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	ORIGINAL TRADE DATE	CLOSING DATE	QUANTITY	PROCEEDS	COST BASIS	REALIZED GAIN OR (LOSS)
Short Term						
LOWES COMPANIES INC	10-24-02	09-08-03	49	$2,634.90	$2,182.75	$452.15
BANK OF AMERICA CORP	02-03-03	11-11-03	30	$2,262.32	$2,099.19	$163.13
BANK OF AMERICA CORP	07-01-03	11-11-03	29	$2,186.90	$2,294.35	($107.45)
Total Short Term Realized Gains (Losses)				$7,084.12	$6,576.29	$507.83
Long Term						
CARDINAL HEALTH INC	10-26-00	01-27-03	21	$1,172.63	$1,258.09	($85.46)
COLGATE PALMOLIVE CO	10-24-97	01-27-03	20	$1,013.48	$721.25	$292.23
COLGATE PALMOLIVE CO	04-26-01	01-27-03	12	$608.09	$648.60	($40.51)
MEDTRONIC INC	11-26-97	01-27-03	36	$1,584.68	$846.40	$738.28
WALGREEN CO	05-03-00	02-04-03	20	$579.81	$550.83	$28.98
WALGREEN CO	05-03-00	02-04-03	2	$57.98	$55.08	$2.90
WALGREEN CO	04-26-01	02-04-03	31	$898.71	$1,306.96	($408.25)
AMGEN INC	05-24-00	05-02-03	8	$501.76	$460.78	$40.98
AMGEN INC	10-26-00	05-02-03	17	$1,066.24	$1,135.75	($69.51)
AUTOMATIC DATA PROCESSING INC	10-24-97	05-02-03	37	$1,252.57	$948.13	$304.44
AUTOMATIC DATA PROCESSING INC	04-26-01	05-02-03	25	$846.33	$1,324.75	($478.42)
FANNIE MAE	10-24-97	05-02-03	16	$1,157.61	$782.00	$375.61
FANNIE MAE	04-26-01	05-02-03	15	$1,085.26	$1,202.85	($117.59)
WAL MART STORES INC	04-03-01	05-02-03	25	$1,392.40	$1,229.77	$162.63
WAL MART STORES INC	04-26-01	05-02-03	19	$1,058.22	$966.91	$91.31
CARDINAL HEALTH INC	10-26-00	05-16-03	18	$1,050.26	$1,078.37	($28.11)

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	ORIGINAL TRADE DATE	CLOSING DATE	QUANTITY	PROCEEDS	COST BASIS	REALIZED GAIN OR (LOSS)
Long Term (continued)						
CARDINAL HEALTH INC	01-18-01	05-15-03	13	$758.52	$820.31	($61.79)
AUTOMATIC DATA PROCESSING INC	04-26-01	05-21-03	55	$1,834.60	$2,914.45	($1,079.85)
AUTOMATIC DATA PROCESSING INC	09-06-01	05-21-03	45	$1,501.04	$2,248.20	($747.16)
PEPSICO INC	07-26-01	05-29-03	50	$2,200.20	$2,268.46	($68.26)
COLGATE PALMOLIVE CO	04-26-01	06-06-03	27	$1,582.95	$1,459.35	$123.60
PFIZER INC	10-24-97	06-06-03	44	$1,471.30	$1,053.25	$418.05
MBNA CORP	04-04-01	07-01-03	20	$406.87	$415.51	($8.64)
MBNA CORP	04-26-01	07-01-03	95	$1,932.66	$2,249.11	($316.45)
WELLS FARGO AND CO NEW	04-26-01	07-01-03	56	$2,808.27	$2,586.08	$222.19
WELLS FARGO AND CO NEW	09-06-01	07-01-03	40	$2,005.91	$1,815.20	$190.71
WELLS FARGO AND CO NEW	06-06-02	07-01-03	5	$250.74	$249.75	$0.99
SLM CORP	07-26-01	07-17-03	25	$1,017.91	$656.11	$361.80
SLM CORP	07-26-01	07-18-03	17	$692.44	$446.16	$246.28
SLM CORP	01-29-02	07-18-03	14	$570.24	$403.72	$166.52
FANNIE MAE	04-26-01	08-04-03	37	$2,282.56	$2,967.03	($684.47)
MEDTRONIC INC	11-26-97	08-04-03	48	$2,495.31	$1,128.53	$1,366.78
CARDINAL HEALTH INC	01-18-01	08-18-03	9	$541.28	$567.90	($26.62)
CARDINAL HEALTH INC	04-26-01	08-18-03	55	$3,307.79	$3,602.50	($294.71)
CARDINAL HEALTH INC	09-06-01	08-18-03	30	$1,804.25	$2,208.90	($404.65)
CARDINAL HEALTH INC	06-06-02	08-18-03	5	$300.71	$316.50	($15.79)
FANNIE MAE	04-26-01	08-18-03	3	$185.99	$240.57	($54.58)
FANNIE MAE	09-06-01	08-18-03	35	$2,169.94	$2,661.40	($491.46)
JOHNSON & JOHNSON	07-25-01	08-18-03	23	$1,161.53	$1,203.78	($42.25)
3M CO	04-30-02	08-18-03	12	$1,730.64	$1,513.13	$217.51
TEXAS INSTRUMENTS INC	08-07-00	09-12-03	12	$281.59	$680.85	($399.26)

3061

Securities Account

Please Retain

WACHOVIA SECURITIES

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	ORIGINAL TRADE DATE	CLOSING DATE	QUANTITY	PROCEEDS	COST BASIS	REALIZED GAIN OR (LOSS)
Long Term (continued)						
TEXAS INSTRUMENTS INC	11-15-00	09-12-03	30	$703.97	$1,433.72	($729.75)
TEXAS INSTRUMENTS INC	04-26-01	09-12-03	26	$610.10	$891.28	($281.18)
Total Long Term Realized Gains (Losses)				$51,935.34	$53,518.27	($1,582.93)

2003 Consolidated Statement

Securities Account

IMPORTANT TAX INFORMATION:
Please Retain

WACHOVIA SECURITIES

For the Period: Jan 1 - Dec 31, 2003

Account Number:

Important Tax Information. This is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Wachovia Securities, LLC. Federal ID Number: 27-0058684
One New York Plaza, New York, NY 10292-2010

Your Federal ID Number:

Your Financial Advisor:
ROSMAN & STERN
PLEASE CONTACT
YOUR FINANCIAL PROFESSIONAL
888-774-1774

HON CAROLYN MCCARTHY
493 NANCY RD
MINEOLA NY 11501-1124

IMPORTANT TAX INFORMATION:

This document contains information you will need to file your 2003 tax returns. We suggest that you retain this material in your permanent files.

99-DIV Dividends and Distributions - 2003

OMB NO.1545-0110

1a Total ordinary dividends	4,376.78	3 Nontaxable distributions	0.00
1b Qualified dividends	4,199.27	4 Federal income tax withheld	0.00
2a Total capital gain distribution	0.00	5 Investment expenses	0.00
2b Post-May 5 capital gain distr.	0.00	6 Foreign tax paid	44.06
2c Qualified 5-year gain	0.00	7 Foreign country or U.S. possession	various
2d Unrecaptured Section 1250 gain	0.00	8 Liquidation distributions - cash	0.00
2e Section 1202 gain	0.00	9 Liquidation distributions - non-cash (Fair Market Value)	0.00
2f Collectibles (28%) gain	0.00		

99-B Proceeds From Broker and Barter Exchange Transactions - Summary

1a Date of sale	see below	5 Description	see below
1b CUSIP Number	see below	6a Profit or (loss) realized in 2003	0.00
2 Stocks, bonds, etc. Reported to IRS gross proceeds less commissions	33,344.28	7 Unrealized profit or (loss) on open contracts - 12/31/02	0.00
3 Bartering	0.00	8 Unrealized profit or (loss) on open contracts - 12/31/03	0.00
4 Federal income tax withheld	0.00	9a Aggregate profit or (loss)	0.00

The figure on line 2 is composed of the item(s) reported on FORM 1099-B below. Each item is reported to the Internal Revenue Service individually.

1099-B Proceeds From Broker and Barter Exchange Transactions - 2003

OMB NO.1545-0715

DESCRIPTION (LINE 5)	CUSIP (LINE 1b)	QUANTITY	TRADE DATE (LINE 1a)	AMOUNT WITHHELD (LINE 4)	NET PROCEEDS (LINE 2)	EXPLANATION	REPORTED ON
AGERE SYS INC CL A UNSOLICITED	00845V100	305	10/07/2003		997.35	SOLD	1099-B
AMERICAN EXPRESS CO UNSOLICITED	025816109	80	10/07/2003		3,716.00	SOLD	1099-B
CITIGROUP INC UNSOLICITED	172967101	30	10/07/2003		1,425.00	SOLD	1099-B
CONOCOPHILLIPS UNSOLICITED	20825C104	25	10/07/2003		1,407.75	SOLD	1099-B
DOVER CORP DELAWARE UNSOLICITED	260003108	20	10/07/2003		752.80	SOLD	1099-B
DOVER CORP DELAWARE UNSOLICITED	260003108	95	11/26/2003		3,625.99	SOLD	1099-B

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3063

2003 Consolidated Statement

Securities Account

IMPORTANT TAX INFORMATION:
Please Retain

WACHOVIA SECURITIES

HON CAROLYN MCCARTHY Jan 1 - Dec 31, 2003 Account Number:

OMB NO.1545-0715

'oceeds ansactions NTINUED) 1099-B Proceeds From Broker and Barter Exchange Transactions - 2003

DESCRIPTION (LINE 5)	CUSIP (LINE 1b)	QUANTITY	TRADE DATE (LINE 1a)	AMOUNT WITHHELD (LINE 4)	NET PROCEEDS (LINE 2)	EXPLANATION	REPORTED ON
GOLDEN WEST FINL CORP DELAWARE UNSOLICITED	381317106	15	10/07/2003		1,396.65	SOLD	1099-B
HSBC HLDGS SADR CASH IN LIEU	404280406		04/02/2003		43.77	EXCHANGED	1099-B
LEXMARK INTERNATIONAL INC CLA UNSOLICITED	529771107	15	10/07/2003		988.20	SOLD	1099-B
LILLY ELI & CO UNSOLICITED	532457108	55	10/07/2003		3,440.80	SOLD	1099-B
MEDCO HEALTH SOLUTIONS INC UNSOLICITED	58405U102	6	10/02/2003		157.50	SOLD	1099-B
MERCK & CO UNSOLICITED	589331107	50	10/01/2003		2,554.94	SOLD	1099-B
MICROSOFT CORP WASHINGTON UNSOLICITED	594918104	35	10/07/2003		1,024.84	SOLD	1099-B
RADIOSHACK CORP UNSOLICITED	750438103	90	07/28/2003		2,426.75	SOLD	1099-B
SAFEWAY INC UNSOLICITED	786514208	130	12/11/2003		2,622.89	SOLD	1099-B
TRANSATLANTIC HOLDINGS INC UNSOLICITED	893521104	15	10/07/2003		1,099.20	SOLD	1099-B
TRAVELERS PROPERTY CASUALTY NEW CLASS A UNSOLICITED	89420G109	13	06/04/2003		211.25	SOLD	1099-B
TRAVELERS PROPERTY CASUALTY CL B UNSOLICITED	89420G406	27	06/04/2003		437.95	SOLD	1099-B
UNITED PARCEL SERVICE CLASS B UNSOLICITED	911312106	35	04/23/2003		2,109.05	SOLD	1099-B
UNITED PARCEL SERVICE CLASS B UNSOLICITED	911312106	20	10/07/2003		1,302.40	SOLD	1099-B
WELLS FARGO AND CO NEW UNSOLICITED	949746101	30	10/07/2003		1,603.20	SOLD	1099-B
Totals				$0.00	$33,344.28		

Only the information above the dotted line will be reported, as required, to the Internal Revenue Service (IRS). Nothing contained on the following pages will be reported to the IRS and is for informational purposes only.

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EX-DATE OR PAYMENTS	PAYOR	DOLLAR AMOUNT	TAX CLASS	COMMENTS	LINE(S)	REPORTED ON
03/12/03	ALTRIA GROUP INC	211.20	INCOME		1a,1b	1099-DIV
06/11/03	ALTRIA GROUP INC	211.20	INCOME		1a,1b	1099-DIV
09/11/03	ALTRIA GROUP INC	224.40	INCOME		1a,1b	1099-DIV
12/31/02	AMERICAN EXPRESS CO	34.40	INCOME		1a,1b	1099-DIV
04/02/03	AMERICAN EXPRESS CO	34.40	INCOME		1a,1b	1099-DIV
07/01/03	AMERICAN EXPRESS CO	43.00	INCOME		1a,1b	1099-DIV
10/01/03	AMERICAN EXPRESS CO	43.00	INCOME		1a,1b	1099-DIV
03/05/03	AMERICAN INTERNATIONAL GROUP INC	12.93	INCOME		1a,1b	1099-DIV
06/04/03	AMERICAN INTERNATIONAL GROUP INC	12.93	INCOME		1a,1b	1099-DIV
09/03/03	AMERICAN INTERNATIONAL GROUP INC	17.88	INCOME		1a,1b	1099-DIV
12/03/03	AMERICAN INTERNATIONAL GROUP INC	17.88	INCOME		1a,1b	1099-DIV
02/10/03	AON CORP	19.50	INCOME		1a,1b	1099-DIV
04/29/03	AON CORP	19.50	INCOME		1a,1b	1099-DIV
08/01/03	AON CORP	19.50	INCOME		1a,1b	1099-DIV
10/31/03	AON CORP	19.50	INCOME		1a,1b	1099-DIV
12/11/02	BANK ONE CORP	42.00	INCOME		1a,1b	1099-DIV
03/12/03	BANK ONE CORP	50.40	INCOME		1a,1b	1099-DIV
06/11/03	BANK ONE CORP	50.40	INCOME		1a,1b	1099-DIV
09/10/03	BANK ONE CORP	60.00	INCOME		1a,1b	1099-DIV
09/08/03	BLOCK H & R INC	10.00	INCOME		1a,1b	1099-DIV
01/30/03	CITIGROUP INC	63.00	INCOME		1a,1b	1099-DIV
05/01/03	CITIGROUP INC	63.00	INCOME		1a,1b	1099-DIV
07/31/03	CITIGROUP INC	110.25	INCOME		1a,1b	1099-DIV
10/30/03	CITIGROUP INC	99.75	INCOME		1a,1b	1099-DIV
5	COMMAND MONEY FUND CLASS A	82.58	INCOME		1a	1099-DIV
02/18/03	CONOCOPHILLIPS	58.00	INCOME		1a,1b	1099-DIV
04/28/03	CONOCOPHILLIPS	58.00	INCOME		1a,1b	1099-DIV
07/29/03	CONOCOPHILLIPS	58.00	INCOME		1a,1b	1099-DIV
10/29/03	CONOCOPHILLIPS	51.60	INCOME		1a,1b	1099-DIV
03/13/03	DEVON ENERGY CORP	6.00	INCOME		1a,1b	1099-DIV
04/22/03	DEVON ENERGY CORP	6.00	INCOME		1a,1b	1099-DIV
09/12/03	DEVON ENERGY CORP	6.00	INCOME		1a,1b	1099-DIV

3065

2003 Consolidated Statement

Securities Account

IMPORTANT TAX INFORMATION:
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WACHOVIA SECURITIES

HON CAROLYN MCCARTHY Jan 1 - Dec 31, 2003 Account Number:

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EX-DATE OR PAYMENTS	PAYOR	DOLLAR AMOUNT	TAX CLASS	COMMENTS		LINE(S)	REPORTED ON
12/12/03	DEVON ENERGY CORP	6.00	INCOME			1a,1b	1099-DIV
03/05/03	DIAGEO PLC NEW ADR	71.45	INCOME	INCLUDES FOREIGN TAX OF	$7.15	1a,1b,6	1099-DIV
09/17/03	DIAGEO PLC NEW ADR	189.12	INCOME	INCLUDES FOREIGN TAX OF	$18.91	1a,1b,6	1099-DIV
09/17/03	DIAGEO PLC NEW ADR	123.91	INCOME	INCLUDES FOREIGN TAX OF	$12.39	1a,1b,6	1099-DIV
09/17/03	DIAGEO PLC NEW ADR	189.12-	INCOME	INCLUDES FOREIGN TAX OF	$18.91-	1a,1b,6	1099-DIV
02/26/03	DOVER CORP DELAWARE	15.53	INCOME			1a,1b	1099-DIV
05/28/03	DOVER CORP DELAWARE	15.53	INCOME			1a,1b	1099-DIV
08/27/03	DOVER CORP DELAWARE	17.25	INCOME			1a,1b	1099-DIV
11/25/03	DOVER CORP DELAWARE	14.25	INCOME			1a,1b	1099-DIV
01/15/03	EOG RESOURCES INC	3.80	INCOME			1a,1b	1099-DIV
04/14/03	EOG RESOURCES INC	3.80	INCOME			1a,1b	1099-DIV
07/15/03	EOG RESOURCES INC	4.75	INCOME			1a,1b	1099-DIV
10/15/03	EOG RESOURCES INC	4.75	INCOME			1a,1b	1099-DIV
12/10/02	GANNETT CO INC	6.00	INCOME			1a,1b	1099-DIV
03/05/03	GANNETT CO INC	6.00	INCOME			1a,1b	1099-DIV
06/04/03	GANNETT CO INC	6.00	INCOME			1a,1b	1099-DIV
09/10/03	GANNETT CO INC	6.25	INCOME			1a,1b	1099-DIV
02/12/03	GOLDEN WEST FINL CORP DELAWARE	10.63	INCOME			1a,1b	1099-DIV
05/13/03	GOLDEN WEST FINL CORP DELAWARE	10.63	INCOME			1a,1b	1099-DIV
08/13/03	GOLDEN WEST FINL CORP DELAWARE	10.63	INCOME			1a,1b	1099-DIV
11/12/03	GOLDEN WEST FINL CORP DELAWARE	11.00	INCOME			1a,1b	1099-DIV
08/20/03	HSBC HLDGS SADR	188.40	INCOME			1a,1b	1099-DIV
02/21/03	HERSHEY FOODS CORP	13.10	INCOME			1a,1b	1099-DIV
05/21/03	HERSHEY FOODS CORP	13.10	INCOME			1a,1b	1099-DIV
08/21/03	HERSHEY FOODS CORP	15.80	INCOME			1a,1b	1099-DIV
11/21/03	HERSHEY FOODS CORP	15.80	INCOME			1a,1b	1099-DIV
12/27/02	HOUSEHOLD INTL EXM	73.75	INCOME			1a,1b	1099-DIV
03/26/03	HOUSEHOLD INTL EXM	256.47	INCOME			1a,1b	1099-DIV
12/19/02	KRAFT FOODS INC CL A	11.25	INCOME			1a,1b	1099-DIV
03/12/03	KRAFT FOODS INC CL A	11.25	INCOME			1a,1b	1099-DIV
06/25/03	KRAFT FOODS INC CL A	11.25	INCOME			1a,1b	1099-DIV
09/11/03	KRAFT FOODS INC CL A	13.50	INCOME			1a,1b	1099-DIV

3066

Securities Account

IMPORTANT TAX INFORMATION:
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EX-DATE OR PAYMENTS	PAYOR	DOLLAR AMOUNT	TAX CLASS	COMMENTS		LINE(S)	REPORTED ON
02/12/03	LILLY ELI & CO	43.55	INCOME			1a,1b	1099-DIV
05/13/03	LILLY ELI & CO	43.55	INCOME			1a,1b	1099-DIV
08/13/03	LILLY ELI & CO	43.55	INCOME			1a,1b	1099-DIV
11/12/03	LILLY ELI & CO	25.13	INCOME			1a,1b	1099-DIV
02/26/03	LLOYDS TSB GROUP PLC SPONSORED ADR	166.27	INCOME	INCLUDES FOREIGN TAX OF	$16.63	1a,1b,6	1099-DIV
08/13/03	LLOYDS TSB GROUP PLC SPONSORED ADR	76.40	INCOME	INCLUDES FOREIGN TAX OF	$7.64	1a,1b,6	1099-DIV
08/13/03	LLOYDS TSB GROUP PLC SPONSORED ADR	78.91	INCOME	INCLUDES FOREIGN TAX OF	$7.89	1a,1b,6	1099-DIV
08/13/03	LLOYDS TSB GROUP PLC SPONSORED ADR	76.40-	INCOME	INCLUDES FOREIGN TAX OF	$7.64-	1a,1b,6	1099-DIV
02/27/03	LOEWS CORP	11.85	INCOME			1a,1b	1099-DIV
05/29/03	LOEWS CORP	11.85	INCOME			1a,1b	1099-DIV
08/28/03	LOEWS CORP	19.35	INCOME			1a,1b	1099-DIV
11/26/03	LOEWS CORP	19.35	INCOME			1a,1b	1099-DIV
12/23/02	MARRIOTT INTERNATIONAL INC NEW CLASS A	4.90	INCOME			1a,1b	1099-DIV
03/31/03	MARRIOTT INTERNATIONAL INC NEW CLASS A	4.90	INCOME			1a,1b	1099-DIV
06/23/03	MARRIOTT INTERNATIONAL INC NEW CLASS A	5.25	INCOME			1a,1b	1099-DIV
09/29/03	MARRIOTT INTERNATIONAL INC NEW CLASS A	5.25	INCOME			1a,1b	1099-DIV
12/04/02	MERCK & CO	18.00	INCOME			1a,1b	1099-DIV
03/05/03	MERCK & CO	18.00	INCOME			1a,1b	1099-DIV
06/04/03	MERCK & CO	18.00	INCOME			1a,1b	1099-DIV
09/03/03	MERCK & CO	18.50	INCOME			1a,1b	1099-DIV
02/19/03	MICROSOFT CORP WASHINGTON	11.20	INCOME			1a,1b	1099-DIV
10/15/03	MICROSOFT CORP WASHINGTON	16.80	INCOME			1a,1b	1099-DIV
02/18/03	MOODYS CORP	3.38	INCOME			1a,1b	1099-DIV
05/16/03	MOODYS CORP	3.38	INCOME			1a,1b	1099-DIV
08/18/03	MOODYS CORP	3.38	INCOME			1a,1b	1099-DIV
11/18/03	MOODYS CORP	3.38	INCOME			1a,1b	1099-DIV
01/08/03	MORGAN STANLEY	21.85	INCOME			1a,1b	1099-DIV
04/09/03	MORGAN STANLEY	21.85	INCOME			1a,1b	1099-DIV
07/09/03	MORGAN STANLEY	21.85	INCOME			1a,1b	1099-DIV
10/08/03	MORGAN STANLEY	21.85	INCOME			1a,1b	1099-DIV
01/15/03	PFIZER INC	7.50	INCOME			1a,1b	1099-DIV
05/14/03	PFIZER INC	14.25	INCOME			1a,1b	1099-DIV

3067

Wachovia Securities, LLC, member NYSE & SIPC

2003 Consolidated Statement

Securities Account

IMPORTANT TAX INFORMATION:
Please Retain

WACHOVIA SECURITIES

HON CAROLYN MCCARTHY Jan 1 - Dec 31, 2003 Account Number: Page 6 of 11

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EX-DATE OR PAYMENTS	PAYOR	DOLLAR AMOUNT	TAX CLASS	COMMENTS	LINE(S)	REPORTED ON
08/13/03	PFIZER INC	14.25	INCOME		1a,1b	1099-DIV
11/12/03	PFIZER INC	14.25	INCOME		1a,1b	1099-DIV
12/19/02	PHILIP MORRIS COS INC	211.20	INCOME		1a,1b	1099-DIV
03/12/03	PROGRESSIVE CORP OHIO	2.50	INCOME		1a,1b	1099-DIV
06/11/03	PROGRESSIVE CORP OHIO	2.50	INCOME		1a,1b	1099-DIV
09/10/03	PROGRESSIVE CORP OHIO	2.50	INCOME		1a,1b	1099-DIV
12/10/03	PROGRESSIVE CORP OHIO	3.88	INCOME		1a,1b	1099-DIV
03/05/03	TRANSATLANTIC HOLDINGS INC	8.20	INCOME		1a,1b	1099-DIV
06/04/03	TRANSATLANTIC HOLDINGS INC	8.20	INCOME		1a,1b	1099-DIV
09/03/03	TRANSATLANTIC HOLDINGS INC	9.02	INCOME		1a,1b	1099-DIV
11/25/03	TRANSATLANTIC HOLDINGS INC	7.37	INCOME		1a,1b	1099-DIV
02/03/03	TRAVELERS PPTY CAS A	.78	INCOME		1a,1b	1099-DIV
05/02/03	TRAVELERS PPTY CAS A	.78	INCOME		1a,1b	1099-DIV
02/03/03	TRAVELERS PROPERTY CASUALTY CL B	1.62	INCOME		1a,1b	1099-DIV
05/02/03	TRAVELERS PROPERTY CASUALTY CL B	1.62	INCOME		1a,1b	1099-DIV
8	COMMAND MONEY FUND CLASS AX	94.93	INCOME		1a	1099-DIV
11/21/02	UNITED PARCEL SERVICE CLASS B	18.05	INCOME		1a,1b	1099-DIV
02/20/03	UNITED PARCEL SERVICE CLASS B	19.95	INCOME		1a,1b	1099-DIV
05/15/03	UNITED PARCEL SERVICE CLASS B	12.60	INCOME		1a,1b	1099-DIV
08/21/03	UNITED PARCEL SERVICE CLASS B	15.00	INCOME		1a,1b	1099-DIV
02/26/03	VULCAN MATERIALS CO	15.93	INCOME		1a,1b	1099-DIV
05/21/03	VULCAN MATERIALS CO	15.93	INCOME		1a,1b	1099-DIV
08/25/03	VULCAN MATERIALS CO	15.93	INCOME		1a,1b	1099-DIV
11/24/03	VULCAN MATERIALS CO	15.93	INCOME		1a,1b	1099-DIV
02/05/03	WELLS FARGO AND CO NEW	76.50	INCOME		1a,1b	1099-DIV
05/07/03	WELLS FARGO AND CO NEW	76.50	INCOME		1a,1b	1099-DIV
08/06/03	WELLS FARGO AND CO NEW	114.75	INCOME		1a,1b	1099-DIV
11/05/03	WELLS FARGO AND CO NEW	101.25	INCOME		1a,1b	1099-DIV

3068

Total Income - Dividends $4,376.78

The line numbers referenced for individual items above comprise the totals which appear on the actual Form 1099-DIV, reflected on the first page of this statement. The Ex-Dividend Date (EX-DATE) has been provided for each dividend payment, when applicable, to assist you in determining whether a dividend is eligible for the lower tax rate based on your holding period for that security.
In other instances, the total number of payments has been provided instead of the EX-DATE. You should consult your personal tax advisor to determine how this infomation may apply to your situation.

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PAYMENTS	PAYOR		DOLLAR AMOUNT	TAX CLASS	COMMENTS	REPORTED ON
	CMND BNK DEPOSIT SWEEP	12/01 - 12/31	2.90	INCOME	REPORTED 2003, PAYABLE 2004	

Total Income - Other Interest $2.90

3069

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Estimated realized gain(loss) figures are displayed for your information. These sections reflect gains(losses) starting from when they were first included on your 2003 statement and therefore may not include all transactions reported on 1099-B. Realized gains(losses) displayed here may not correspond to those previously displayed on your monthly statements due to subsequent adjustments to cost bases. In addition, there may be transactions reflected in both the Summary and following Detail sections, which are not reportable on 1099-B (e.g., options and certain money market transactions). For more information on how estimated realized gains or losses are calculated, please consult the enclosed brochure. Since Wachovia Securities is not a tax advisor, you should consult your personal tax advisor to determine how this information may apply to your situation.

Short Term			Long Term		
Gain	(Loss)	Net (*)	Gain	(Loss)	Net (*)
$0.42	($4.98)	($4.56)	$1,833.04	($5,942.06)	($4,109.02)

(*) In instances where a gain(loss) has not been calculated, section and summary totals may not accurately reflect the total gains(losses) of your complete portfolio.

Realized Gain (Loss) Detail

	ORIGINAL TRADE DATE	CLOSING DATE	QUANTITY	PROCEEDS	COST BASIS	REALIZED GAIN OR (LOSS)
Short Term						
TRAVELERS PROPERTY CASUALTY NEW CLASS A	08-15-02	06-04-03	2.593	$42.14	$41.72	$0.42
TRAVELERS PROPERTY CASUALTY CL B	08-15-02	06-04-03	5.326	$86.39	$91.37	($4.98)

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	ORIGINAL TRADE DATE	CLOSING DATE	QUANTITY	PROCEEDS	COST BASIS	REALIZED GAIN OR (LOSS)
Short Term (continued)						
Total Short Term Realized Gains (Losses)				$128.53	$133.09	($4.56)
Long Term						
HSBC HOLDINGS PLC SPONSORED AMERICAN DEPOSTARY RECEIPT	04-27-01	04-02-03	0.825	$43.77	$99.87	($56.10)
UNITED PARCEL SERVICE CLASS B	04-27-01	04-23-03	35	$2,109.05	$1,998.50	$110.55
TRAVELERS PROPERTY CASUALTY NEW CLASS A	04-27-01	06-04-03	8.031	$130.50	$181.72	($51.22)
TRAVELERS PROPERTY CASUALTY NEW CLASS A	09-04-01	06-04-03	2.376	$38.61	$49.47	($10.86)
TRAVELERS PROPERTY CASUALTY CL B	04-27-01	06-04-03	16.792	$272.37	$405.02	($132.65)
TRAVELERS PROPERTY CASUALTY CL B	09-04-01	06-04-03	4.882	$79.19	$108.35	($29.16)
RADIOSHACK CORP	01-15-02	07-28-03	90	$2,426.75	$2,646.01	($219.26)
MEDCO HEALTH SOLUTIONS INC	09-04-01	08-25-03	0.030	$0.81	$0.88	($0.07)
MERCK & CO	09-04-01	10-01-03	35	$1,788.46	$2,183.84	($395.38)
MERCK & CO	01-03-02	10-01-03	15	$766.48	$833.07	($66.59)
MEDCO HEALTH SOLUTIONS INC	01-03-02	10-02-03	1.809	$47.49	$47.06	$0.43
MEDCO HEALTH SOLUTIONS INC	09-04-01	10-02-03	4.191	$110.01	$122.48	($12.47)
AGERE SYS INC CL A	04-27-01	10-07-03	305	$997.35	$1,973.35	($976.00)
AMERICAN EXPRESS CO	04-27-01	10-07-03	80	$3,716.00	$3,437.60	$278.40
CITIGROUP INC	04-27-01	10-07-03	30	$1,425.00	$1,413.05	$11.95
CONOCOPHILLIPS	05-16-01	10-07-03	25	$1,407.75	$1,600.81	($193.06)
DOVER CORP DELAWARE	04-27-01	10-07-03	20	$752.80	$763.00	($10.20)

3071

Securities Account

IMPORTANT TAX INFORMATION:
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	ORIGINAL TRADE DATE	CLOSING DATE	QUANTITY	PROCEEDS	COST BASIS	REALIZED GAIN OR (LOSS)
Long Term (continued)						
GOLDEN WEST FINL CORP DELAWARE	04-27-01	10-07-03	15	$1,396.65	$882.75	$513.90
LEXMARK INTERNATIONAL INC CLA	04-27-01	10-07-03	15	$988.20	$906.75	$81.45
LILLY ELI & CO	04-27-01	10-07-03	55	$3,440.80	$4,448.95	($1,008.15)
MICROSOFT CORP WASHINGTON	07-30-02	10-07-03	35	$1,024.84	$838.47	$186.37
TRANSATLANTIC HOLDINGS INC	04-27-01	10-07-03	15	$1,099.20	$1,067.50	$31.70
UNITED PARCEL SERVICE CLASS B	04-27-01	10-07-03	15	$976.80	$856.50	$120.30
UNITED PARCEL SERVICE CLASS B	09-04-01	10-07-03	5	$325.60	$274.90	$50.70
WELLS FARGO AND CO NEW	04-27-01	10-07-03	30	$1,603.20	$1,408.50	$194.70
DOVER CORP DELAWARE	04-27-01	11-26-03	50	$1,908.42	$1,907.50	$0.92
DOVER CORP DELAWARE	09-04-01	11-26-03	25	$954.21	$883.50	$70.71
DOVER CORP DELAWARE	08-15-02	11-26-03	20	$763.36	$582.40	$180.96
SAFEWAY INC	12-10-01	12-11-03	80	$1,614.09	$3,324.03	($1,709.94)
SAFEWAY INC	05-20-02	12-11-03	45	$907.92	$1,873.80	($965.88)
SAFEWAY INC	05-30-02	12-11-03	5	$100.88	$205.95	($105.07)
Total Long Term Realized Gains (Losses)				$33,216.56	$37,325.58	($4,109.02)

3072

2003 Consolidated Statement

COMMAND Account

IMPORTANT TAX INFORMATION:
Please Retain

WACHOVIA SECURITIES

For the Period: Jan 1 - Dec 31, 2003

Account Number:

Important Tax Information. This is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Wachovia Securities, LLC. Federal ID Number: 27-0058684
One New York Plaza, New York, NY 10292-2010

Your Federal ID Number:

Your Financial Advisor:
ROSMAN & STERN
1305 FRANKLIN AVENUE
SUITE 300
GARDEN CITY NY 11530
516-741-4850

HON CAROLYN MCCARTHY
493 NANCY ROAD
MINEOLA NY 11501-1124

IMPORTANT TAX INFORMATION:

This document contains information you will need to file your 2003 tax returns. We suggest that you retain this material in your permanent files.

099-DIV Dividends and Distributions - 2003

OMB NO.1545-0110

1a Total ordinary dividends	1,907.02	3 Nontaxable distributions	0.00
1b Qualified dividends	0.00	4 Federal income tax withheld	0.00
2a Total capital gain distribution	0.00	5 Investment expenses	0.00
2b Post-May 5 capital gain distr.	0.00	6 Foreign tax paid	0.00
2c Qualified 5-year gain	0.00	7 Foreign country or U.S. possession	
2d Unrecaptured Section 1250 gain	0.00	8 Liquidation distributions - cash	0.00
2e Section 1202 gain	0.00	9 Liquidation distributions - non-cash (Fair Market Value)	0.00
2f Collectibles (28%) gain	0.00		

099-INT Interest Income - 2003

OMB NO.1545-0112

1 Interest income not included on line 3	3,275.78	4 Federal income tax withheld	0.00
2 Early withdrawal penalty	0.00	5 Investment expenses	0.00
3 Interest on U.S. Savings Bonds and Treasury Obligations	0.00	6 Foreign tax paid	0.00
		7 Foreign country or U.S. possession	

099-B Proceeds From Broker and Barter Exchange Transactions - Summary

1a Date of sale	see below	5 Description	see below
1b CUSIP Number	see below	6a Profit or (loss) realized in 2003	0.00
2 Stocks, bonds, etc. Reported to IRS gross proceeds less commissions	101,470.00	7 Unrealized profit or (loss) on open contracts - 12/31/02	0.00
3 Bartering	0.00	8 Unrealized profit or (loss) on open contracts - 12/31/03	0.00
4 Federal income tax withheld	0.00	9a Aggregate profit or (loss)	0.00

The figure on line 2 is composed of the item(s) reported on FORM 1099-B below. Each item is reported to the Internal Revenue Service individually.

Proceeds Transactions

1099-B Proceeds From Broker and Barter Exchange Transactions - 2003

OMB NO.1545-0715

DESCRIPTION (LINE 5)	CUSIP (LINE 1b)	QUANTITY	TRADE DATE (LINE 1a)	AMOUNT WITHHELD (LINE 4)	NET PROCEEDS (LINE 2)	EXPLANATION	REPORTED ON
CALLED PACIFIC BELL DEBENTURES RO FA 7.500 02/01/2033 DTD 02/01/93	69403ZAQ6R	50,000	06/16/2003		51,470.00	FULL CALL	1099-B
WARWICK VALLEY CENT SCH DIST N Y G.O. ORANGE CNTY SERIES B	936733DL5R	50,000	06/16/2003		50,000.00	MATURITY	1099-B

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2003 Consolidated Statement

COMMAND Account

IMPORTANT TAX INFORMATION:
Please Retain

WACHOVIA SECURITIES

Totals		$0.00	$101,470.00

Only the information above the dotted line will be reported, as required, to the Internal Revenue Service (IRS). Nothing contained on the following pages will be reported to the IRS and is for informational purposes only.

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EX-DATE OR PAYMENTS	PAYOR	DOLLAR AMOUNT	TAX CLASS	COMMENTS	LINE(S)	REPORTED ON
2	COMMAND MONEY FUND CLASS A	56.13	INCOME		1a	1099-DIV
12	COMMAND GOVERNMENT FUND CLASS A	226.04	INCOME		1a	1099-DIV
08/14/03	NUVEEN PFD & CONV INCOME FD 2	324.97	INCOME		1a	1099-DIV
09/11/03	NUVEEN PFD & CONV INCOME FD 2	324.97	INCOME		1a	1099-DIV
10/10/03	NUVEEN PFD & CONV INCOME FD 2	324.97	INCOME		1a	1099-DIV
11/12/03	NUVEEN PFD & CONV INCOME FD 2	324.97	INCOME		1a	1099-DIV
12/11/03	NUVEEN PFD & CONV INCOME FD 2	324.97	INCOME		1a	1099-DIV

Total Income - Dividends $1,907.02

The line numbers referenced for individual items above comprise the totals which appear on the actual Form 1099-DIV, reflected on the first page of this statement. The Ex-Dividend Date (EX-DATE) has been provided for each dividend payment, when applicable, to assist you in determining whether a dividend is eligible for the lower tax rate based on your holding period for that security.
In other instances, the total number of payments has been provided instead of the EX-DATE. You should consult your personal tax advisor to determine how this infomation may apply to your situation.

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PAYMENTS	PAYOR	DOLLAR AMOUNT	TAX CLASS	COMMENTS
12	EATON V INSD NY MBF	1,620.00	MUNICIPAL	
2	NYC TRANS FIN AUTHRO MN 4.75 11/15/2017	2,612.50	MUNICIPAL	
7	ROCHESTER FUND MUNICIPALS INC CLASS B	1,458.73	MUNICIPAL	
2	WARWICK CSD NY A RO JD 5.10 06/15/2004	2,040.00	MUNICIPAL	
1	WARWICK CSD NY B RO JD 5.30 06/15/2003	1,325.00	MUNICIPAL	

Total Income - Municipal $9,056.23

Wachovia Securities is required to report this municipal income to the state of New York.

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DESCRIPTION	SHARES PURCHASED	AVG. PRICE PER SHARE	REINVEST AMOUNT
ROCHESTER FUND MUNICIPALS INC CLASS B	84.942	17.17	1,458.73

Total Reinvested $1,458.73

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PAYMENTS	PAYOR	DOLLAR AMOUNT	TAX CLASS	COMMENTS	REPORTED ON
1	COMMAND BANK DEPOSIT SWEEP	.22	INCOME		1099-INT

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2003 Consolidated Statement

COMMAND Account

IMPORTANT TAX INFORMATION:
Please Retain

WACHOVIA SECURITIES

HON CAROLYN MCCARTHY Jan 1 - Dec 31, 2003 Account Number:

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PAYMENTS	PAYOR	DOLLAR AMOUNT	TAX CLASS	COMMENTS	REPORTED ON
	CMND BNK DEPOSIT SWEEP 12/01 - 12/31	4.73	INCOME	REPORTED 2003, PAYABLE 2004	1099-INT
1	CLD PAC BELL RO FA 7.50 02/01/2033	1,875.00	INCOME		1099-INT
1	CLD PAC BELL RO FA 7.50 02/01/2033	1,395.83	ACCRUED INT		1099-INT
Total Income - Other Interest		$3,275.78			

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Estimated realized gain(loss) figures are displayed for your information. These sections reflect gains(losses) starting from when they were first included on your 2003 statement and therefore may not include all transactions reported on 1099-B. Realized gains(losses) displayed here may not correspond to those previously displayed on your monthly statements due to subsequent adjustments to cost bases. In addition, there may be transactions reflected in both the Summary and following Detail sections, which are not reportable on 1099-B (e.g., options and certain money market transactions). For more information on how estimated realized gains or losses are calculated, please consult the enclosed brochure. Since Wachovia Securities is not a tax advisor, you should consult your personal tax advisor to determine how this information may apply to your situation.

Short Term			Long Term		
Gain	(Loss)	Net (*)	Gain	(Loss)	Net (*)
$0.00	$0.00	$0.00	$4,090.50	$0.00	$4,090.50

(*) In instances where a gain(loss) has not been calculated, section and summary totals may not accurately reflect the total gains(losses) of your complete portfolio.

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	ORIGINAL TRADE DATE	CLOSING DATE	QUANTITY	PROCEEDS	COST BASIS	REALIZED GAIN OR (LOSS)
Long Term						
CALLED PACIFIC BELL DEBENTURES RO FA 7.500 02/01/2033 DTD 02/01/93 FULLY CALLED 06/15/2003 AT 102.94	07-05-96	06-16-03	50,000	$51,470.00	$47,379.50	$4,090.50
WARWICK VALLEY CENT SCH DIST N Y G.O. ORANGE CNTY SERIES B RO JD 5.300 06/15/2003 DTD 06/15/99 BOOK ENTRY ONLY	06-30-99	06-16-03	50,000	$50,000.00	$50,000.00	$0.00
Total Long Term Realized Gains (Losses)				$101,470.00	$97,379.50	$4,090.50

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3077

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Carolyn McCarthy

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; EXCEPTED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	Rental House Westhampton, NY	K	X	X	

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3078

SCHEDULE IV — TRANSACTIONS

Name Carolyn McCarthy | Page 1 of 8

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	Type of Transaction: SALE	Type of Transaction: EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10–12–03		X								
	See Attached Brokerage Statements														

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Trading Activity

DESCRIPTION	QUANTITY	TRADE DATE	SETTLEMENT DATE	NET COST	NET PROCEEDS	EXPLANATION	ACCRUED INTEREST
FINANCING CORPORATION CPN FICO STRIPS SER 13 INT PMT ON 9.60% 2018 RO XX 0.000 12/27/2014 DTD 01/03 89	25,000	06/10/2003	06/13/2003		14,806.25	SOLD	
PIONEER HIGH YIELD FUND CLASS B	1,691.196	06/10/2003	06/13/2003	18,879.00		BOUGHT	
SMUCKER J M CO NEW	3	06/10/2003	06/13/2003		103.04	SOLD	

Back To Top

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DESCRIPTION	QUANTITY	TRADE DATE	SETTLEMENT DATE	NET COST	NET PROCEEDS	EXPLANATION	ACCRUED INTEREST
AFLAC INC	34	05/02/2003	05/07/2003	1,112.83		BOUGHT	
AMGEN INC	25	05/02/2003	05/07/2003		1,568.00	SOLD	
ANHEUSER BUSCH COMPANIES INC	44	02/04/2003	02/07/2003	2,086.39		BOUGHT	
ANHEUSER BUSCH COMPANIES INC	29	10/09/2003	10/15/2003	1,464.21		BOUGHT	
AUTOMATIC DATA PROCESSING INC	62	05/02/2003	05/07/2003		2,098.90	SOLD	
AUTOMATIC DATA PROCESSING INC	100	05/21/2003	05/27/2003		3,335.64	SOLD	
BANK OF AMERICA CORP	30	02/03/2003	02/06/2003	2,099.19		BOUGHT	
BANK OF AMERICA CORP	29	07/01/2003	07/07/2003	2,294.35		BOUGHT	
BANK OF AMERICA CORP	59	11/11/2003	11/14/2003		4,449.22	SOLD	
CARDINAL HEALTH INC	21	01/27/2003	01/30/2003		1,172.63	SOLD	
CARDINAL HEALTH INC	31	05/15/2003	05/20/2003		1,808.78	SOLD	
CARDINAL HEALTH INC	99	08/18/2003	08/21/2003		5,954.03	SOLD	
CISCO SYSTEMS INC	61	08/18/2003	08/21/2003	1,114.56		BOUGHT	
CITIGROUP INC	50	05/02/2003	05/07/2003	1,969.39		BOUGHT	
CITIGROUP INC	29	09/08/2003	09/11/2003	1,298.30		BOUGHT	
COLGATE PALMOLIVE CO	32	01/27/2003	01/30/2003		1,621.57	SOLD	
COLGATE PALMOLIVE CO	27	06/06/2003	06/11/2003		1,582.95	SOLD	
DELL INC	43	08/18/2003	08/21/2003	1,426.99		BOUGHT	
FANNIE MAE	31	05/02/2003	05/07/2003		2,242.87	SOLD	
FANNIE MAE	37	08/04/2003	08/07/2003		2,282.56	SOLD	
FANNIE MAE	38	08/18/2003	08/21/2003		2,355.93	SOLD	
FIRST DATA CORP	44	05/29/2003	06/03/2003	1,835.97		BOUGHT	

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DESCRIPTION	QUANTITY	TRADE DATE	SETTLEMENT DATE	NET COST	NET PROCEEDS	EXPLANATION	ACCRUED INTEREST
GANNETT CO INC	30	08/05/2003	08/08/2003	2,314.30		BOUGHT	
GANNETT CO INC	16	08/19/2003	08/22/2003	1,248.00		BOUGHT	
GANNETT CO INC	29	11/17/2003	11/20/2003	2,476.13		BOUGHT	
GOLDMAN SACHS GROUP INC	41	06/06/2003	06/11/2003	3,654.01		BOUGHT	
GOLDMAN SACHS GROUP INC	17	07/01/2003	07/07/2003	1,408.12		BOUGHT	
INTEL CORP	61	05/02/2003	05/07/2003	1,145.92		BOUGHT	
INTEL CORP	118	07/01/2003	07/07/2003	2,448.68		BOUGHT	
INTEL CORP	88	08/18/2003	08/21/2003	2,283.85		BOUGHT	
INTERNATIONAL BUSINESS MACHINES	17	05/02/2003	05/07/2003	1,464.65		BOUGHT	
JOHNSON & JOHNSON	23	08/18/2003	08/21/2003		1,161.53	SOLD	
KOHLS CORP	41	05/02/2003	05/07/2003	2,274.24		BOUGHT	
KOHLS CORP	47	05/16/2003	05/21/2003	2,471.24		BOUGHT	
KOHLS CORP	27	11/10/2003	11/14/2003	1,370.74		BOUGHT	
LOWES COMPANIES INC	49	09/08/2003	09/11/2003		2,634.90	SOLD	
MBNA CORP	115	07/01/2003	07/07/2003		2,339.53	SOLD	
MEDTRONIC INC	36	01/27/2003	01/30/2003		1,584.68	SOLD	
MEDTRONIC INC	48	08/04/2003	08/07/2003		2,495.31	SOLD	
MORGAN STANLEY	77	08/04/2003	08/07/2003	3,489.99		BOUGHT	
ORACLE CORP	100	08/18/2003	08/21/2003	1,214.27		BOUGHT	
PEPSICO INC	50	05/29/2003	06/03/2003		2,200.20	SOLD	
PFIZER INC	44	06/06/2003	06/11/2003		1,471.30	SOLD	
PFIZER INC	33	11/19/2003	11/24/2003	1,126.73		BOUGHT	
PROCTER & GAMBLE CO	13	10/09/2003	10/15/2003	1,243.30		BOUGHT	
SLM CORP	25	07/17/2003	07/22/2003		1,017.91	SOLD	
SLM CORP	31	07/18/2003	07/23/2003		1,262.68	SOLD	
STRYKER CORP	49	09/08/2003	09/11/2003	3,638.27		BOUGHT	
TARGET CORP	62	05/29/2003	06/03/2003	2,224.67		BOUGHT	
TEXAS INSTRUMENTS INC	68	09/12/2003	09/17/2003		1,595.66	SOLD	
3M CO	12	08/18/2003	08/21/2003		1,730.64	SOLD	
UNITED PARCEL SERVICE CLASS B	25	05/16/2003	05/21/2003	1,566.61		BOUGHT	
WAL MART STORES INC	44	05/02/2003	05/07/2003		2,450.62	SOLD	
WALGREEN CO	53	02/04/2003	02/07/2003		1,536.50	SOLD	

3082

Wachovia Securities, LLC, member NYSE & SIPC

2003 Consolidated Statement

Securities Account

IMPORTANT TAX INFORMATION:
Please Retain

WACHOVIA SECURITIES

HON CAROLYN MC CARTHY Jan 1 - Dec 31, 2003 Account Number:

Trading Activity (CONTINUED)

DESCRIPTION	QUANTITY	TRADE DATE	SETTLEMENT DATE	NET COST	NET PROCEEDS	EXPLANATION	ACCRUED INTEREST
WELLS FARGO AND CO NEW	101	07/01/2003	07/07/2003		5,064.92	SOLD	

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DESCRIPTION	QUANTITY	TRADE DATE	SETTLEMENT DATE	NET COST	NET PROCEEDS	EXPLANATION	ACCRUED INTEREST
AGERE SYS INC CL A	305	10/07/2003	10/10/2003		997.35	SOLD	
ALTRIA GROUP INC	40	10/07/2003	10/10/2003	1,790.80		BOUGHT	
AMERICAN EXPRESS CO	80	10/07/2003	10/10/2003		3,716.00	SOLD	
AMERICAN INTERNATIONAL GROUP INC	50	02/04/2003	02/07/2003	2,518.77		BOUGHT	
BANK ONE CORP	40	01/02/2003	01/07/2003	1,507.04		BOUGHT	
BANK ONE CORP	35	10/07/2003	10/10/2003	1,407.35		BOUGHT	
BERKSHIRE HATHAWAY INC CLASS B HLDG CO	3	10/07/2003	10/10/2003	7,557.00		BOUGHT	
BLOCK H & R INC	50	08/11/2003	08/14/2003	2,122.40		BOUGHT	
BLOCK H & R INC	25	10/07/2003	10/10/2003	1,093.50		BOUGHT	
CITIGROUP INC	30	10/07/2003	10/10/2003		1,425.00	SOLD	
CONOCOPHILLIPS	25	10/07/2003	10/10/2003		1,407.75	SOLD	
DOVER CORP DELAWARE	20	10/07/2003	10/10/2003		752.80	SOLD	
DOVER CORP DELAWARE	95	11/26/2003	12/02/2003		3,625.99	SOLD	
FIFTH THIRD BANCORP	40	10/07/2003	10/10/2003	2,347.56		BOUGHT	
GOLDEN WEST FINL CORP DELAWARE	15	10/07/2003	10/10/2003		1,396.65	SOLD	
HCA INC	55	11/14/2003	11/19/2003	2,219.90		BOUGHT	
HSBC HOLDINGS PLC SPONSORED AMERICAN DEPOSTARY RECEIPT	25	10/07/2003	10/10/2003	1,767.00		BOUGHT	
LEXMARK INTERNATIONAL INC CLA	15	10/07/2003	10/10/2003		988.20	SOLD	
LILLY ELI & CO	55	10/07/2003	10/10/2003		3,440.80	SOLD	

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2003 Consolidated Statement

Securities Account

IMPORTANT TAX INFORMATION:
Please Retain

WACHOVIA SECURITIES

HON CAROLYN MCCARTHY Jan 1 - Dec 31, 2003 Account Number: Page 8 of 11

Trading Activity (CONTINUED)

DESCRIPTION	QUANTITY	TRADE DATE	SETTLEMENT DATE	NET COST	NET PROCEEDS	EXPLANATION	ACCRUED INTEREST
LOEWS CORP	50	06/19/2003	06/24/2003	2,331.26		BOUGHT	
MEDCO HEALTH SOLUTIONS INC	6	10/02/2003	10/07/2003		157.50	SOLD	
MERCK & CO	50	10/01/2003	10/06/2003		2,554.94	SOLD	
MICROSOFT CORP WASHINGTON	35	10/07/2003	10/10/2003		1,024.84	SOLD	
OCCIDENTAL PETROLEUM CORP	90	09/09/2003	09/12/2003	3,162.02		BOUGHT	
PFIZER INC	45	04/24/2003	04/29/2003	1,395.00		BOUGHT	
PROGRESSIVE CORP OHIO	55	10/07/2003	10/10/2003	4,019.95		BOUGHT	
RADIOSHACK CORP	90	07/28/2003	07/31/2003		2,426.75	SOLD	
SAFEWAY INC	130	12/11/2003	12/16/2003		2,622.89	SOLD	
SEALED AIR CORP NEW	35	10/07/2003	10/10/2003	1,758.75		BOUGHT	
TRANSATLANTIC HOLDINGS INC	15	10/07/2003	10/10/2003		1,099.20	SOLD	
TRAVELERS PROPERTY CASUALTY NEW CLASS A	13	06/04/2003	06/09/2003		211.25	SOLD	
TRAVELERS PROPERTY CASUALTY CL B	27	06/04/2003	06/09/2003		437.95	SOLD	
UNITED PARCEL SERVICE CLASS B	35	04/23/2003	04/28/2003		2,109.05	SOLD	
UNITED PARCEL SERVICE CLASS B	20	10/07/2003	10/10/2003		1,302.40	SOLD	
WELLS FARGO AND CO NEW	30	10/07/2003	10/10/2003		1,603.20	SOLD	

3085

Trading Activity

DESCRIPTION	QUANTITY	TRADE DATE	SETTLEMENT DATE	NET COST	NET PROCEEDS	EXPLANATION	ACCRUED INTEREST
NUVEEN PFD & CONV INCOME FD 2	3,333	06/25/2003	06/30/2003	50,000.25		BOUGHT	
ROCHESTER FUND MUNICIPALS INC CLASS B	2,879.882	06/10/2003	06/13/2003	50,000.00		BOUGHT	

Fees and Other Charges

DATE	DESCRIPTION	AMOUNT	EXPLANATION	REPORTED ON
08/12	STOP PAYMENT CK 2226 REF323294	15.00		
Total Fees and Other Charges		$15.00		

Redemptions, Exchanges & Other Activity

DESCRIPTION	QUANTITY	RECEIVED/ CHARGED	DELIVERED/ CREDITED	AMOUNT CHARGED	AMOUNT CREDITED	EXPLANATION
CALLED PACIFIC BELL DEBENTURES RO FA 7.500 02/01/2033 DTD 02/01/93	50,000		06/16		51,470.00	FULL CALL
WARWICK VALLEY CENT SCH DIST N Y G.O. ORANGE CNTY SERIES B	50,000		06/16		50,000.00	MATURITY
Total Redemptions, Exchanges & Other Activity					$101,470.00	

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SCHEDULE V — LIABILITIES

Name Carolyn McCarthy | Page 1 of 1

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Hampton State Bank	Mortgage on Westhampton Rental House			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
None		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Association of Government						
Attorneys in Capital						
Litigation	July 29-31	NY - New Orleans - San Francisco	Y	Y	N	N
International Women:						
Democracy Center	Jan 11-16	Wash. DC - Belfast, Ireland - DC	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	New Yorkers Against Gun Violence
Board Member	The Lab School of Washington

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

3

LEGISLATIVE RESOURCE CENTER

2004 MAY 17 PM 5:48

HOUSE OF REPRESENTATIVES

(Office Use Only)

Karen McCarthy
(Full Name)

1436 Longworth Bldg Washington, DC 20515
(Mailing Address)

202-225-4535
Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives — State: MO District: 5	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Karen McCarthy	5/17/04

3090

Name Karen McCarthy | Page 2 of 3

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock			X				X										P
DC	Simon & Schuster	Indefinite									Royalties					X		
JT	1st Bank of Paducah, KY accounts								X									
	IRA Waddell Reed KC,MO		X						N/A						N/A			
	Country Club Bank KC,MO			X					X						N/A			
	State of MO retirement System		X						N/A						N/A			
	State of MO compensation plan		X						N/A						N/A			

Examples: SP — Mega Corp. Stock; DC — Simon & Schuster; JT — 1st Bank of Paducah, KY accounts

For additional assets and unearned income, use next page.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Aspen Institute: Conference on the Global Environment	May 26–May 31	Kansas City-Rome- KC	Y	Y	N	N

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3092

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(5)

2004 MAY 17 PM 4:08

(Office Use Only)

MC

Betty McCollum
(Full Name)

1029 Longworth H.O.B. 202 225 6631
(Mailing Address) (Daytime Telephone)

Washington D.C.

Filer Status	[X] Member of the U.S. House of Representatives — State: MN District: 4	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Betty McCollum	05/17/04

3093

Name Betty McCollum | Page 2 of 5

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
Diageo Group	apperance	Mar. 18, 2003	$1,000

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the Instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Examples:		Block A	Block B	Block C	Block D	Block E
				A None; B $1,001 – $15,000; C $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAIN; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $201 – $1,000; III $1,001 – $2,500; IV $2,501 – $5,000; V $5,001 – $15,000; VI $15,001 – $50,000; VII $50,001 – $100,000; VIII $100,001 – $1,000,000; IX $1,000,001 – $5,000,000; X Over $5,000,000; XI	P, S, E
SP		Mega Corp. Stock		E	DIVIDENDS: X		P
		Simon & Schuster	Indefinite		INTEREST: X	Royalties	
		1st Bank of Paducah, KY accounts					
		~~[illegible]~~					
		Goldman Sachs Growth & Income		X	X	X	
		Goldman Sachs Capital Growth		X	X	X	
		Goldman Sachs Core Small Cap Equity		X	X	X	
		Goldman Sachs Growth opty.		X	X	X	
		John Hancock US Global Leaders Growth		X	X	X	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Betty McCollum Page 5 of 5

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	ING Mid Cap opportunities			X										X							X												
	Oppenheimer Quest opp Value			X										X																			
	Oppenheimer Quest balanced			X										X								X											

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Harvard University	Jan 16-19	Ireland - Florida - D.C	Y	Y	N	N
	Int'l Women's Democracy Ctr.	Jan. 11-16	D.C. - Ireland	Y	Y	N	N
	CARE	Apr. 21-29	D.C. - Peru - D.C.	Y	Y	N	N
	Elizabeth Glaser Pediatric Aids Foundation	Aug. 9-19	Norway - South Africa - Mpls.	Y	Y	N	3 days

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3097

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2004 MAY 12 AM 9:42
CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

THADDEUS George McCotter
(Full Name)

18430 Golfview Livonia, Mi 48152-2877
(Mailing Address)

Daytime Telephone: 202-225-8171

Filer Status	☒ Member of the U.S. House of Representatives	State: Michigan District: 11	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

Page 1 of 9

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☒	No ☐
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Thaddeus A. McCotter	5/12/04

3098

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
U.S. House of Representatives	Salary	131622
St. Mary's Mercy Hospital	Spouse - Salary	2171

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
NA			

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Name THADDEUS G. McCOTTER | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X										X								
DC, Examples:	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X									X							
	STATE OF MICHIGAN-RETIREMENT Yield ENHANCED SHORT TERM INV				X																N/A											
JT	AXP CASH MANAGEMENT			X										X								X										
	156 SHARES DAMIER CHRYSLER Stock IRA		X											X								X										
	AXP- DIVERSE BOND FUND IRA				X																N/A											
	AXP · BLUE CHIP ADV IRA			X																	N/A											
	AXP GLOBAL EQUITY IRA			X																	N/A											

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED TRUST	CLOSE ED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	AXP - DIVBFD EQ INCOME IRA				X																NA											
	AXP - SMALL CAP ADV IRA				X																NA											
	AXP - Select Equity - IRA			X																	NA											
SP	AXP - Equity Select - IRA			X																	NA											
SP	AXP. Select Fund IRA			X																	NA											
SP	AXP - Cash Manage IRA			X																	NA											
SP	AXP - Small Cap ADV - IRA			X																	NA											
SP	AXP - European Equity - IRA			X																	NA											
SP	AXP - Part Intl. Both IRA Select Value			X																	NA											
DC	AXP - New Dimensions (CESA)			X																	NA											
DC	AXP - New Dimensions (CESA)			X																	NA											
DC	AXP - New Dimensions (CESA)			X																	NA											
DC	AXP - Equity Select (UGMA)				X									X							X											
DC	AXP - Small Cap (UGMA)			X										X							X											
DC	AXP - Growth Fund (UGMA)			X										X							X											
DC	AXP - New Dimension (UGMA)			X										X							X											

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SCHEDULE IV — TRANSACTIONS

Name Thaddeus G McCotter | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
DC	AXP - Equity Value		X		4-22-03	X									
DC	AXP - Equity Select FUND	X			4-22-03	X									
DC	AXP - Strategic Aggressive FUND		X		4-22-03	X									
DC	AXP - Growth FUND	X			4-22-03	X									
DC	AXP - Threadneedle Global Equity FUND		X		4-22-03	X									
DC	AXP - Small Cap. ADV. FUND	X			4-22-03	X									

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3103

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
	N/A						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
Georgia J. McCotter (mother)	Contribution To ESA FBO Emila McCotter	$2000-
Georgia J. McCotter (mother)	Contribution To ESA FBO Timothy McCotter	$2000-
Georgia J. McCotter (mother)	Contribution To ESA FBO George McCotter	$2000-

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NA –						

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
NA	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NA	

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

6

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2004 MAY 13 PM 3:31

MC

(Office Use Only)

A $200 penalty shall be assessed against anyone who files more than 30 days late.

James Otis McCrery III
(Full Name)

2104 Rayburn HOB Washington, DC 20515
(Mailing Address)

(202) 225-2777
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative	State: LA District 04	☐ Officer Or Employee — Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions-	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	J. M. McCrery, M.C.	05/12/2004

3107

SCHEDULE I - EARNED INCOME

Name James Otis McCrery III

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Louisiana State University Shreveport	Spouse Salary	N/A

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name James Otis McCrery III

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
American Council for Capital Formation	Speech	July 17, 2003	$2,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Otis McCrery III

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
IRA, AmSouth Bank Shreveport, LA	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
Land, Rapides Parish, LA (undivided 1/6 interest in approximately 62 acres)	$1,001 - $15,000	OIL & GAS ROYALTIES	$201 - $1,000	
Land, Vernon Parish, LA (undivided 1/2 interest in approximately 36 acres including residence and 12 unit apartment complex)	$100,001 - $250,000	NONE	NONE	
Land, DeSoto Parish, LA (undivided interest in approximately 160 acres)	$1 - $1,000	NONE	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Otis McCrery III

	Fidelity Advisor Equity Growth Mutual Fund, AmSouth Bank, Shreveport, LA	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Fidelity Advisor Equity Income	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	American Europacific Growth	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Putnam International Growth	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	ASO Prime Money Market	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Fidelity Advisor 529	$15,001 - $50,000	CAPITAL GAINS	$1,001 - $2,500	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name James Otis McCrery III

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Ripon Educational Fund	Aug. 10-15	Shreveport, LA--London, England--Shreveport, LA	Y	Y	Y	N/A
I-49 International Coalition	Oct. 23-24	Texarkana, TX--Kansas City, MO--Joplin, MO--Fort Smith, AR--Shreveport, LA--Doddridge, AR--Shreveport, LA	N	Y	N	N/A

104

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

Honorable James A. McDermott
(Full Name)

1035 Longworth Building Washington, DC 20515
(Mailing Address)

202-225-3106
Daytime Telephone:

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representative — State: WA District: 07	☐ Officer Or Employee	Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	James A. McDermott	5-17-04

3113

SCHEDULE I - EARNED INCOME

Name Honorable James A. McDermott

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Soha & Lang, Attorney at Law	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A. McDermott

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $3,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Soha & Lang, P.S. 401 (k) Retirement Plan (91-1742107)	$100,001 - $250,000	INTEREST	N/A	
SP	SSB Account (443-07421-16) (See Attached)	None	DIVIDENDS/INTEREST	$201 - $1,000	S
SP	SSB IRA Custodian (443-67927-19) (See Attached)	$50,001 - $100,000	DIVIDENDS/INTEREST	$201 - $1,000	
SP	The Vanguard Group (018369947) (See Attached)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	King County Credit Union	$100,001 - $250,000	INTEREST	$201 - $1,000	
	State of Washington Retirement Account	$15,001 - $50,000	INTEREST	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A. McDermott

	Carlyle Real Estate L.P. XIII	$1,001 - $15,000	INTEREST	$201 - $1,000	
	State of Washington Deferred Compensation Program	$15,001 - $50,000	DIVIDENDS/INTEREST/INTEREST	NONE	
	Fidelity Bankers Life Annuity	$1,001 - $15,000	INTEREST	$201 - $1,000	
	TIAA/CREF Annuity	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Wells Fargo Bank IRA (W57047027) (See Attached)	$100,001 - $250,000	INTEREST/DIVIDENDS	$2,501 - $5,000	
	Stone & Youngberg (LTP 803325-1G) (See Attached)	$1,001 - $15,000	INTEREST	$1 - $200	P
	KeyBank IRA	$1,001 - $15,000	INTEREST	$201 - $1,000	P

SCHEDULE IV - TRANSACTIONS

Name Honorable James A. McDermott

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	SSB Account (443-07421-16) (See Attached)	S	1/27/03-8/6/03	$1,001 - $15,000
SP	SSB IRA Custodian (443-67927-19) (See Attached)	P	1/1/03-12/31/03	$1,001 - $15,000
SP	SSB IRA Custodian (443-67927-19) (See Attached)	S	1/1/03-12/31/03	$15,001 - $50,000
SP	The Vanguard Group (018369947) (See Attached)	P	4/15/03-12/31/03	$1,001 - $15,000
	Stone & Youngberg (LTP 803325-1G) (See Attached)	P	11/1/03-12/31/03	$1,001 - $15,000
	Wells Fargo Bank IRA (W57047027) (See Attached)	P	1/1/03-12/31/03	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Honorable James A. McDermott

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
IMDI Congressional Visits to Singapore Program	Jan 18-24, 2003	MRU-SIN-NRT-ATL	Y	Y	N	N/A
SEIU Executive Board (Spch)	Jan 24-25, 2003	ATL-DCA	Y	N	N	N/A
Americans for Democratic Action	Feb 25-26, 2003	DCA-FLL-DCA	Y	N	N	N/A
Miami International Film Festival (MIFF)	Feb 28-Mar 2, 2003	DCA-MIA-DCA	Y	Y	N	N/A
Harvard Medical School Division of AIDS	April 13-16, 2003	DCA-PAP-SEA	Y	Y	N	N/A
Nelson A. Rockefeller Center, Dartmouth College	Apr 27-28, 2003	SEA-BOS	Y	Y	N	N/A
Americans for Democratic Action	April 28-29, 2003	BOS-DCA	Y	N	N	N/A
Andrew Levine Productions (Spch)	May 7-8, 2003	DCA-LGA-DCA	Y	Y	N	N/A
American Psychiatric Assn (Spch)	May 16-17, 2003	IAD-SFO-SEA-IAD	Y	Y	N	N/A
CA Democratic Council	Aug 1-2, 2003	SEA-FAT-SEA	Y	N	N	N/A

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Honorable James A. McDermott

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Veterans for Peace	Aug 8-9, 2003	SEA-SFO-SEA	Y	Y	N	N/A
Foundation of Professional Development & the Southern African HIV Clinicians Society	Aug 10-Sep 2, 2003	SEA-SFO-FRA-JNB-FRA-IAD (paid from the Member's personal funds)	Y	Y	Y	12 days
Americans for Democratic Action	Oct 17-19, 2003	DCA-BTV-DCA	Y	N	N	N/A
Korea-United States Exchange Council	Nov 29-Dec 2, 2003	SEA-ICN-NRT-IAD	Y	Y	N	N/A
US-Japan Legislative Exchange Program	Dec 2-Dec 6, 2003	N/A	Y	Y	N	N/A

SCHEDULE VIII - POSITIONS

Name Honorable James A. McDermott

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Commissioner	Japan-US Friendship Commission
Member, Board of Sponsors	Washington Physicians for Social Responsibility
Member, Visiting Committee	The Henry M. Jackson School of International Studies, University of Washington
Member, International Programs Advisory Committee	National Primate Research Center, University of Washington
Member, International Advisory Board	Digital Partners
Member, Advisory Council	Greater Seattle Vietnam Association
Member, Advisory Committee	Seattle-Chongqing Sister City Association
Member (ex-officio), Board of Directors	Washington State China Relations Council
Member, Foundation Associates	Pacific Science Center
Member, Advisory Board	Childhaven
Member, Advisory Committee	Tom Wales Endowment Fund
Member, Advisory Board	Tom C. Wales Foundation

3a

SMITH BARNEY citigroup

Ref: 00007782 00071139

Client Statement
Forms 1099 and 2003 Year End Summary

443M044650742100000778 2 304054CA01 YGLFO005A
THERESE M HANSEN
443 1820 9TH AVE W
465 SEATTLE WA 98119-2946

Account Number 443-07421-16 465 SS # 475-70-4495

Corrected Copy as of 03/01/04

Important income tax return document enclosed. For tax advice, please consult your tax advisor.

If you have questions about your Forms 1099 or Year End Summary, call our toll-free number, Monday through Friday, 9:00 a.m. to 5:00 p.m. EST., 1(800) 727-1099

Your partnership investments and certain trusts generate Schedule K-1's which will be sent directly to you by the partnership/trust. If you have any questions, please call the partnership's or trust's investor services department.

The following items have been changed:

Reference number 150001900 has been changed in section ***Details of 1099 Reported Dividends and Distributions***

3121

3b

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Corrected Copy as of 03/01/04
Ref: 00007782 00071140

Client Statement
Forms 1099 for 2003

THERESE M HANSEN
Account Number 443-07421-16 465 SS # 475-70-4495

Payer

CITIGROUP GLOBAL MKTS INC.
388 GREENWICH STREET
NEW YORK, NEW YORK 10013
Attn: Tax Reporting Service Center
Tax ID Number 11-2418191

Your Financial Consultant

THE DONNELLY-ADAMS GROUP
CITIGROUP GLOBAL MKTS INC.
TWO UNION SQUARE
601 UNION ST STE3400
SEATTLE, WA 98101
Branch Office : 206-628-4400
Email: william.j.donnelly@smithbarney.com

THERESE M HANSEN
1820 9TH AVE W
SEATTLE WA
98119-2946

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-INT Interest Income 2003

(OMB No.1545-0112)

Interest income not included in box 3	Early withdrawal penalty	Interest on US Savings Bonds and Treas. obligations	Federal income tax withheld	Investment expenses	Foreign tax paid	Foreign country or US possession
$ 147.78		$ 2.72				
Box 1	Box 2	Box 3	Box 4	Box 5	Box 6	Box 7

1099-DIV Dividends and Distributions 2003

(OMB No.1545-0110)

Total ordinary dividends	Qualified dividends	Total capital gain distributions	Post-May 5 capital gain distr.	Qualified 5-year gain	Unrecaptured sec. 1250 gain	Section 1202 gain
$ 43.16	$ 36.55	$ .05	$ .03		$ .02	
Box 1a	Box 1b	Box 2a	Box 2b	Box 2c	Box 2d	Box 2e

Collectibles (28%) gain	Nontaxable distributions	Federal income tax withheld	Investment expenses	Foreign tax paid	Foreign country or US possession	Cash liquidation distributions	Non cash liquidation distributions
	$ .95			$ .26	Various		
Box 2f	Box 3	Box 4	Box 5	Box 6	Box 7	Box 8	Box 9

3122

SMITH BARNEY citigroup

Corrected Copy as of 03/01/04
Ref: 00007762 00071141

Client Statement Forms 1099 for 2003

3c

THERESE M HANSEN
Account Number 443-07421-16 465 SS # 475-70-4495

Payer

CITIGROUP GLOBAL MKTS INC.
388 GREENWICH STREET
NEW YORK, NEW YORK 10013
Attn: Tax Reporting Service Center
Tax ID Number 11-2418191

Your Financial Consultant

THE DONNELLY-ADAMS GROUP
CITIGROUP GLOBAL MKTS INC.
TWO UNION SQUARE
601 UNION ST STE3400
SEATTLE, WA 98101
Branch Office : 206-628-4400
Email: william.t.donnelly@smithbarney.com

THERESE M HANSEN
1820 9TH AVE W
SEATTLE WA
98119-2946

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B Proceeds from Broker and Barter Exchange Transactions 2003

(OMB#1545-0715)

Gross proceeds from each transaction are individually reported to the IRS after deducting commissions. For bond transactions, accrued income is also deducted. Taxable accrued interest is reported on Form 1099-INT and included in your "Details of 1099 Reported Interest Income".

Reference number	Date of sale (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 5)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
120000100	01/27/03	G3930E101001	5	GLOBALSANTAFE CORP	$ 21.82	$ 109.09	
120000300	08/06/03	Y2573F102001	8	FLEXTRONICS INTL LTD USD	11.01	88.07	
120000400	08/06/03	026874107000	5	AMERICAN INTL GROUP INC	62.35	311.73	
120000600	08/06/03	03073E105000	3	AMERISOURCEBERGEN CORP	59.01	177.02	
120000900	08/06/03	031162100000	3	AMGEN INC	66.13	198.38	
120001100	08/06/03	038222105000	5	APPLIED MATERIALS INC DELAWARE	18.63	93.14	
120001200	08/06/03	055622104001	3	BP PLC SPONS ADR	41.38	124.07	
120001400	03/06/03	071813109000	8	BAXTER INTL INC	27.28	218.22	
120001800	08/06/03	099709107000	10	BORDERS GROUP INC	18.02	180.19	
120002000	08/06/03	151290889001	10	CEMEX S.A.SPON ADR NEW	23.21	232.08	
120002200	08/06/03	172474108000	3	CINERGY CORP	33.41	100.22	
120002300	04/07/03	17275R102000	55	CISCO SYS INC	13.90	764.45	
120002400	08/06/03	17275R102000	35	CISCO SYS INC	17.60	615.97	
120002600	03/06/03	22160K105000	5	COSTCO WHOLESALE CORP NEW	29.14	145.69	

3123

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Ref: 00007762 00071142

Client Statement Forms 1099 for 2003

3d

THERESE M HANSEN
Account Number 443-07421-16 465 SS # 475-70-4495

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B Proceeds from Broker and Barter Exchange Transactions 2003 - *continued*

(OMB#1545-0715)

Reference number	Date of sale (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 5)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
120002700	03/21/03	25179M103000	1	DEVON ENERGY CORP NEW	$ 47.839	$ 47.83	
120002800	04/24/03	25179M103000	2	DEVON ENERGY CORP NEW	49.003	98.00	
120003000	06/06/03	254687106000	8	WALT DISNEY CO	21.58	172.63	
120003200	05/09/03	285661104000	10	ELECTRONIC DATA SYS CORP NEW	17.55	175.49	
120003300	06/06/03	3134A4RA40B0	1,000	FEDERAL HOME LOAN MTG CORP GLOBAL REFERENCE NOTES- DTD 08/19/2002 DUE 09/15/2005 RATE 2.875	101.00	1,010.00	
120003600	06/11/03	313400301000	4	FEDERAL HOME LN MTG CORP	50.25	200.99	
120003700	06/06/03	31359MKW20B0	1,000	FEDERAL NATL MTG ASSN DTD 10/2/01 DUE 09/15/2004 RATE 3.500	101.625	1,016.25	
120003800	06/06/03	31359MLC50B0	1,000	FEDERAL NATL MTG ASSN DTD 10/17/01 DUE 10/17/2006 RATE 4.500	100.312	1,003.13	
120003900	06/06/03	31359MMA80B0	1,000	FEDERAL NATL MTG ASSN DEBS BK/ENTRY DTD 01/18/2002 DUE 01/20/2007 RATE 5.000	101.375	1,013.75	
120004100	06/06/03	339030108000	5	FLEETBOSTON FINANCIAL CORP	29.48	147.39	
120004300	06/06/03	416515104000	5	HARTFORD FINL SVCS GROUP INC	50.06	250.28	
120004700	06/06/03	428236103000	10	HEWLETT PACKARD CO	19.11	191.09	
120004900	06/06/03	437076102000	5	HOME DEPOT INC	30.71	153.54	
120005100	06/06/03	458140100000	10	INTEL CORP	23.93	239.28	
120005200	06/06/03	459200101000	3	INTL BUSINESS MACHINES CORP	78.00	236.98	
120005300	05/28/03	464287861000	3	ISHARES TRUST S&P EUR 350	51.70	155.09	
120005600	06/06/03	478160104000	5	JOHNSON & JOHNSON	50.03	250.13	

3124

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Client Statement
Forms 1099 for 2003

3e

THERESE M HANSEN
Account Number 443-07421-16 465 SS # 475-70-4485

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B Proceeds from Broker and Barter Exchange Transactions 2003 *continued* (OMB#1545-0715)

Reference number	Date of sale (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 3)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
120005700	03/19/03	494550106000	3	KINDER MORGAN ENERGY PRTNRS LP	$ 36.335	$ 109.00	
120005900	08/06/03	500472303001	8	KONINKLIJKE PHILIPS ELECTRONCIS NS SPON ADR NEW	20.78	166.23	
120006000	01/27/03	501044101000	8	KROGER CO	15.163	121.30	
120006100	08/06/03	571748102000	5	MARSH & MCLENNAN CO3 INC	48.59	242.93	
120006300	04/07/03	594918104000	15	MICROSOFT CORP	25.97	389.53	
120006400	08/06/03	594918104000	15	MICROSOFT CORP	25.59	383.83	
120006800	08/06/03	654902204001	12	NOKIA CORP SPONSORED ADR	14.09	169.07	
120006900	03/06/03	693475105000	3	PNC FINANCIAL SERVICES GROUP	47.23	141.68	
120006900	08/06/03	717081103000	5	PFIZER INC	32.52	162.59	
120007100	08/06/03	724479100000	3	PITNEY BOWES INC	37.55	112.64	
120007200	04/24/03	806857108001	5	SCHLUMBERGER LTD	41.55	207.74	
120007300	08/06/03	82567D104000	3	SHURGARD STORAGE CTRS INC CL A	33.54	100.61	
120007400	08/06/03	871829107000	8	SYSCO CORP	29.24	233.90	
120007900	08/06/03	91324P102000	4	UNITEDHEALTH GROUP INC	47.67	190.67	
120008200	08/06/03	939322103000	7	WASHINGTON MUTUAL INC	38.48	269.20	
120008300	08/06/03	949746101000	4	WELLS FARGO & CO NEW	49.76	199.03	
Totals						$ 12,920.13	

3125

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Client Statement
2003 Year End Summary

3f

THERESE M HANSEN
Account Number 443-07421-16 465 SS # 475-70-4495

We are pleased to provide you with this overview of activity in your account this year. This information will help you understand the performance of your investments and manage your account with the assistance of your Financial Consultant. This information is not provided to the IRS.

Value of your portfolio

Description	Amount
Value of your account on 12/31/03	$ 0.00
Value of your account on 12/31/02	$ 10,133.90

Earnings summary 2003

Due to IRS reporting requirements, these figures may not be the same as totals reported on your monthly statement.

Description	1099 Reported	1099 Exempt	Total
Interest *	$ 150.50		$ 150.50
Other dividends **	7.61		7.61
Qualified dividends	36.55		36.55
Totals	$ 194.66		$ 194.66

**If you received accrued interest, it is included in this amount.*
***If you received money funds earnings, capital gain distributions or non-taxable distributions, they are included in this amount.*

Additional summary information 2003

Description	Amount
Other Income	$ 1.88
Total Additional Income	$ 1.88

Summary of miscellaneous tax withheld 2003

The following taxes have been withheld in compliance with U.S. federal or foreign regulations.

Description	Amount
Foreign tax paid	$ .26
Total	$ .26

2003 YEAR END SUMMARY

3126

SMITH BARNEY citigroup

Corrected Copy as of 03/01/04
Ref: 00007782 00071145

Client Statement
2003 Year End Summary

3g

THERESE M HANSEN
Account Number 443-07421-16 465 SS # 475-70-4495

Details of 1099 Reported Interest Income 2003

The following details show how we derived your total interest reported on Form 1099-INT.
Amounts in Box 1 and Box 3 include any taxable accrued interest received.

Reference number	Description	Interest income not included in box 3 (Box 1)	Early withdrawal penalty (Box 2)	Interest on US Savings Bonds and Treas. obligations (Box 3)	Federal income tax withheld (Box 4)	Investment expenses (Box 5)	Foreign tax paid (Box 6)
130000100	FEDERAL HOME LOAN MTG CORP GLOBAL REFERENCE NOTES- DTD 08/16/2002 DUE 09/15/2005 RATE 2.875	$ 27.79					
130000200	FEDERAL NATL MTG ASSN DTD 10/2/01 DUE 09/15/2004 RATE 3.500	31.31					
130000300	FEDERAL NATL MTG ASSN DTD 10/17/01 DUE 10/17/2006 RATE 4.500	58.25					
130000400	FEDERAL NATL MTG ASSN DEBS BK/ENTRY DTD 01/16/2002 DUE 01/20/2007 RATE 5.000	52.38					
130000500	CITIBANK NA BANK DEPOSIT PROGRAM	.07					
130000600	U S TREASURY BILL DTD 10/24/2002 R/MD 04/24/2003			2.72			
Totals in Boxes 1-6		$ 147.78		$ 2.72			

	Amount
Total reported interest from all products (Box 1 and Box 3)	$ 150.50

2003 YEAR END SUMMARY

3127

SMITH BARNEY citigroup

Corrected Copy as of 03/01/04
Ref: 00007782 00071146

Client Statement
2003 Year End Summary

3h

THERESE M HANSEN
Account Number 443-07421-16 465 SS # 475-70-4495

Details of 1099 Reported Dividends and Distributions 2003, Boxes 1a - 2e

The following details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed for dividends include dividend reinvestments, money fund earnings, and accrued dividends received.

Reference number	Description	Total Ordinary Dividends: Dividends* (Box 1a)	Total Ordinary Dividends: Short term capital gain*	Qualified dividends (Box 1b)	Total capital gain distributions (Box 2a)	Post-May 5 capital gain distribution (Box 2b)	Qualified 5-year gain (Box 2c)	Unrecaptured Sec. 1250 gain (Box 2d)	Section 1202 gain (Box 2e)
150000100	*** GLOBALSANTAFE CORP	$ .16		$ .16					
150000200	AMERICAN INTL GROUP INC	.48		.48					
150000300	*** BP PLC SPONS ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	2.50		2.50					
150000400	CINERGY CORP	1.38		1.38					
150000500	DEVON ENERGY CORP NEW	.25		.25					
150000600	FLEETBOSTON FINANCIAL CORP	1.75		1.75					
150000700	HARTFORD FINL SVCS GROUP INC	2.43		2.43					
150000800	HEWLETT PACKARD CO	1.12		1.12					
150000900	HOME DEPOT INC	.60		.60					
150001000	INTEL CORP	.20		.20					
150001100	INTL BUSINESS MACHINES CORP	1.41		1.41					
150001200	JOHNSON & JOHNSON	.72		.72					
150001300	MARSH & MCLENNAN COS INC	4.35		4.35					
150001400	MICROSOFT CORP	2.40		2.40					
150001500	PNC FINANCIAL SERVICES GROUP	2.88		2.88					
150001600	PFIZER INC	1.50		1.50					
150001700	PITNEY BOWES INC	.90		.90					
150001800	*** SCHLUMBERGER LTD	1.88		1.88					
150001900	SHURGARD STORAGE CTRS INC CL A	3.83			.05	.03		.02	
150002000	SB MONEY FUNDS CASH PORT CL A	2.78							
150002100	SYSCO CORP	2.64		2.64					

2003 YEAR END SUMMARY

3128

THERESE M HANSEN
Account Number 443-07421-16 465 SS # 475-70-4495

Details of 1099 Reported Dividends and Distributions 2003: Boxes 1a - 2e continued

Reference number	Description	Total Ordinary Dividends: Dividends* (Box 1a)	Total Ordinary Dividends: Short term capital gain*	Qualified dividends (Box 1b)	Total capital gain distributions (Box 2a)	Post-May 5 capital gain distribution (Box 2b)	Qualified 5-year gain (Box 2c)	Unrecaptured Sec. 1250 gain (Box 2d)	Section 1202 gain (Box 2e)
150002200	WASHINGTON MUTUAL INC	$ 2.80		$ 2.80					
150002300	WELLS FARGO & CO NEW	4.20		4.20					
Totals		$ 43.16		$ 38.55	$ .05	$ .03		$ .02	

*The total of these two categories equals the amount reported in Form 1099-DIV, Box 1a.

Please note:
- *"Dividends" includes both Qualified and non-qualified dividends received.*
- *"Total capital gain distributions" is a total of Pre May 6 capital gain distributions and the amounts reported in Boxes 2b through 2e.*

Details of 1099 Reported Dividends and Distributions 2003: Boxes 2f - 9

The following details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed for dividends include dividend reinvestments, money fund earnings, and accrued dividends received.

Reference number	Description	Collectibles (28%) gain (Box 2f)	Nontaxable distributions (Box 3)	Federal income tax withheld (Box 4)	Investment expenses (Box 5)	Foreign tax paid (Box 6)	Foreign country or US possession (Box 7)	Cash liquidation distributions (Box 8)	Non cash liquidation distributions (Box 9)
150000300	*** BP PLC SPONS ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT					$ .28			
150001900	SHURGARD STORAGE CTRS INC CL A		.95						
Totals			$ .95			$ .28			

Details of Additional Summary Information 2003

Other Income

This income consists of securities which are subject to K-1 reporting by the partnership/trust.

Reference number	Description	Amount
170000100	KINDER MORGAN ENERGY PRTNRS LP	$ 1.88
Total		$ 1.88

2003 YEAR END SUMMARY

3129

SMITH BARNEY citigroup

Corrected Copy as of 03/01/04
Ref: 00007782 00071148

Client Statement
2003 Year End Summary

3j

THERESE M HANSEN
Account Number 443-07421-16 465 SS # 475-70-4495

Details of Short Term Gain (Loss) 2003

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Post May 5 Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000100	5	GLOBALSANTAFE CORP	10/08/02	01/27/03	$ 109.09	$ 112.65	($ 3.56)	$ 0.00	$ 0.00
		We acted as your agent in this transaction.				$ 112.65	($ 3.56)	$ 0.00	$ 0.00
125000200	8	FLEXTRONICS INTL LTD USD	04/07/03	08/06/03	88.07	72.40	15.67	15.67	0.00
		We acted as your agent in this transaction.				72.40	15.67	15.67	0.00
125000400	3	AMERISOURCEBERGEN CORP	06/10/03	08/06/03	177.02	198.75	(21.73)	(21.73)	0.00
		We acted as your agent in this transaction.				198.75	(21.73)	(21.73)	0.00
125000500	1	AMGEN INC	03/17/03	08/08/03	66.13	58.38	7.75	7.75	0.00
		We acted as your agent				58.38	7.75	7.75	0.00
	2	in this transaction.	05/07/03		132.25	119.16	13.09	13.09	0.00
						119.16	13.09	13.09	0.00
125000600	5	APPLIED MATERIALS INC DELAWARE	04/03/03	08/06/03	93.14	68.65	24.49	24.49	0.00
		We acted as your agent in this transaction.				68.65	24.49	24.49	0.00
125000700	3	BP PLC SPONS ADR	10/08/02	08/06/03	124.07	116.61	7.46	7.46	0.00
		We acted as your agent in this transaction.				116.61	7.46	7.46	0.00
125000800	8	BAXTER INTL INC	04/29/03	08/06/03	218.22	177.27	40.95	40.95	0.00
		We acted as your agent in this transaction.				177.27	40.95	40.95	0.00
125000900	5	BORDERS GROUP INC	03/17/03	08/08/03	90.10	72.45	17.65	17.65	0.00
		We acted as your agent				72.45	17.65	17.65	0.00
	2	in this transaction.	06/03/03		36.04	33.58	2.46	2.46	0.00
						33.58	2.46	2.46	0.00
	3		06/13/03		54.05	50.87	3.18	3.18	0.00
						50.87	3.18	3.18	0.00
125001000	10	CEMEX S.A.SPON ADR NEW	05/13/03	08/08/03	232.08	216.89	15.19	15.19	0.00
		We acted as your agent in this transaction.				216.89	15.19	15.19	0.00

- 2003 - - - - - YEAR END - SUMMARY

3130

Client Statement
2003 Year End Summary

3k

THERESE M HANSEN
Account Number 443-07421-16 465 SS # 475-70-4495

Details of Short Term Gain/(Loss) 2003 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Post May 5 Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001100	3	CINERGY CORP We acted as your agent in this transaction.	07/10/03	08/06/03	$ 100.22	$ 104.25 $ 104.25	($ 4.03) ($ 4.03)	($ 4.03) ($ 4.03)	$ 0.00 $ 0.00
125001400	5	COSTCO WHOLESALE CORP NEW We acted as your agent in this transaction.	03/17/03	08/06/03	145.69	153.27 153.27	(7.58) (7.58)	(7.58) (7.58)	0.00 0.00
125001500	1	DEVON ENERGY CORP NEW We acted as your agent in this transaction.	12/10/02	03/21/03	47.83	48.66 48.66	(.83) (.83)	0.00 0.00	0.00 0.00
125001600	2	DEVON ENERGY CORP NEW We acted as your agent in this transaction.	12/10/02	04/24/03	98.00	97.31 97.31	.69 .69	0.00 0.00	0.00 0.00
125001700	8	WALT DISNEY CO We acted as your agent in this transaction.	04/07/03	06/06/03	172.63	143.76 143.76	28.87 28.87	28.87 28.87	0.00 0.00
125001800	10	ELECTRONIC DATA SYS CORP NEW We acted as your agent in this transaction.	03/17/03	05/09/03	175.49	156.34 156.34	19.15 19.15	19.15 19.15	0.00 0.00
125001900	1,000	FEDERAL HOME LOAN MTG CORP GLOBAL REFERENCE NOTES- DTD 08/19/2002 DUE 09/15/2005 RATE 2.875	11/26/02	08/06/03	1,010.00	1,008.13 1,008.16	1.87 3.84	1.87 3.84	0.00 3.84
125002000	2 2	FEDERAL HOME LN MTG CORP We acted as your agent in this transaction.	04/07/03 06/10/03	06/11/03	100.50 100.49	112.14 112.14 100.95 100.95	(11.64) (11.64) (.46) (.46)	(11.64) (11.64) (.46) (.46)	0.00 0.00 0.00 0.00
125002100	1,000	FEDERAL NATL MTG ASSN DTD 10/2/01 DUE 09/16/2004 RATE 3.500 YIELD 2.005MTY	11/26/02	03/06/03	1,016.25	1,026.88 1,016.63	(10.63) (.38)	(10.63) (.38)	0.00 (.38)
125002200	1,000	FEDERAL NATL MTG ASSN DTD 10/17/01 DUE 10/17/2006 RATE 4.500 YIELD/CL 2.845 101703 100.000	11/26/02	03/03/03	1,003.13	1,024.06 1,020.00	(20.93) (16.87)	(20.93) (16.87)	0.00 (16.87)

2003 YEAR END SUMMARY

3131

THERESE M HANSEN
Account Number 443-07421-16 465 SS # 475-70-4495

Details of Short Term Gain (Loss) 2003 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Post May 5 Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002300	1,000	FEDERAL NATL MTG ASSN DEBS BK/ENTRY OTD 01/18/2002 DUE 01/20/2007 RATE 5.000	11/26/02	08/06/03	$ 1,013.75	$ 1,035.63 $ 1,030.07	($ 21.88) ($ 16.32)	($ 21.88) ($ 16.32)	$ 0.00 ($ 16.32)
125002400	5	FLEETBOSTON FINANCIAL CORP We acted as your agent in this transaction.	04/07/03	08/06/03	147.39	130.00 130.00	17.39 17.39	17.39 17.39	0.00 0.00
125002500	3	HARTFORD FINL SVCS GROUP INC We acted as your agent in this transaction.	10/08/02	08/06/03	150.17	118.71 118.71	31.46 31.46	31.46 31.46	0.00 0.00
	2		06/13/03		100.11	99.59 99.59	.52 .52	.52 .52	0.00 0.00
125002600	4	HEWLETT PACKARD CO We acted as your agent in this transaction.	02/27/03	08/06/03	76.44	62.41 62.41	14.03 14.03	14.03 14.03	0.00 0.00
	1		04/07/03		19.11	16.38 16.38	2.73 2.73	2.73 2.73	0.00 0.00
	5		05/06/03		95.54	87.70 87.70	7.84 7.84	7.84 7.84	0.00 0.00
125002700	5	HOME DEPOT INC We acted as your agent in this transaction.	01/21/03	08/06/03	153.54	109.18 109.18	44.36 44.36	44.36 44.36	0.00 0.00
125002800	10	INTEL CORP We acted as your agent in this transaction.	05/13/03	08/06/03	239.28	198.29 198.29	40.99 40.99	40.99 40.99	0.00 0.00
125003000	3	ISHARES TRUST S&P EUR 350 We acted as your agent in this transaction.	12/10/02	05/28/03	155.09	144.60 144.60	10.49 10.49	10.49 10.49	0.00 0.00
125003100	3	JOHNSON & JOHNSON We acted as your agent in this transaction.	03/17/03	08/06/03	150.08	168.16 168.16	(18.08) (18.08)	(18.08) (18.08)	0.00 0.00
	2		06/03/03		100.05	107.27 107.27	(7.22) (7.22)	(7.22) (7.22)	0.00 0.00
125003200	3	KINDER MORGAN ENERGY PRTNRS LP We acted as your agent in this transaction.	10/08/02	03/18/03	109.00	92.37 92.37	16.63 16.63	0.00 0.00	0.00 0.00
125003300	8	KONINKLIJKE PHILIPS ELECTRONCIS NS SPON ADR NEW. We acted as your agent in this transaction.	06/24/03	08/06/03	166.23	152.41 152.41	13.82 13.82	13.82 13.82	0.00 0.00

2003 YEAR END SUMMARY

3132

SMITH BARNEY citigroup

Corrected Copy as of 03/01/04
Ref: 00007782 00071151

Client Statement
2003 Year End Summary

3m

THERESE M HANSEN
Account Number 443-07421-16 485 SS # 475-70-4495

Details of Short Term Gain (Loss) 2003 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Post May 5 Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003400	8	KROGER CO	12/11/02	01/27/03	$ 121.30	$ 119.76	$ 1.54	$ 0.00	$ 0.00
		We acted as your agent in this transaction.				$ 119.76	$ 1.54	$ 0.00	$ 0.00
125003500	5	MARSH & MCLENNAN COS INC	10/08/02	08/06/03	242.93	199.80	43.13	43.13	0.00
		We acted as your agent in this transaction.				199.80	43.13	43.13	0.00
125003800	12	NOKIA CORP SPONSORED ADR	04/07/03	08/06/03	169.07	186.00	(16.93)	(16.93)	0.00
		We acted as your agent in this transaction.				186.00	(16.93)	(16.93)	0.00
125003900	3	PNC FINANCIAL SERVICES GROUP	04/07/03	08/06/03	141.68	132.69	8.99	8.99	0.00
		We acted as your agent in this transaction.				132.69	8.99	8.99	0.00
125004100	3	PITNEY BOWES INC	04/15/03	08/06/03	112.64	102.68	9.96	9.96	0.00
		We acted as your agent in this transaction.				102.68	9.96	9.96	0.00
125004200	3	SCHLUMBERGER LTD	10/08/02	04/24/03	124.64	108.45	16.19	0.00	0.00
		We acted as your agent				108.45	16.19	0.00	0.00
	2	in this transaction.	10/16/02		83.10	78.24	4.86	0.00	0.00
						78.24	4.86	0.00	0.00
125004300	3	SHURGARD STORAGE CTRS INC CL A	10/08/02	08/06/03	100.61	89.10	11.51	11.51	0.00
		We acted as your agent in this transaction.				89.10	11.51	11.51	0.00
125004400	8	SYSCO CORP	12/10/02	08/06/03	233.90	240.36	(6.46)	(6.46)	0.00
		We acted as your agent in this transaction.				240.36	(6.46)	(6.46)	0.00
125004500	4	UNITEDHEALTH GROUP INC	04/23/03	08/06/03	190.67	182.09	8.58	8.58	0.00
		We acted as your agent in this transaction.				182.09	8.58	8.58	0.00
125004600	5	WASHINGTON MUTUAL INC	06/10/03	08/06/03	192.29	211.45	(19.16)	(19.16)	0.00
		We acted as your agent				211.45	(19.16)	(19.16)	0.00
	2	in this transaction.	06/24/03		76.91	85.11	(8.20)	(8.20)	0.00
						85.11	(8.20)	(8.20)	0.00
125004700	4	WELLS FARGO & CO NEW	12/10/02	08/06/03	199.03	185.55	13.48	13.48	0.00
		We acted as your agent in this transaction.				185.55	13.48	13.48	0.00
Total					$ 10,055.04	$ 9,717.39	$ 337.65	$ 302.13	$ 0.00
						$ 9,695.55	$ 360.49	$ 323.97	($ 29.73)

2003 YEAR END SUMMARY

3133

SMITH BARNEY citigroup

Corrected Copy as of 03/01/04
Ref: 00007782 00071152

Client Statement
2003 Year End Summary

3N

THERESE M HANSEN
Account Number 443-07421-16 465 SS # 475-70-4495

Details of Long Term Gain (Loss) 2003

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Post May 5 Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000300	5	AMERICAN INTL GROUP INC We acted as your agent in this transaction.	04/10/01	08/06/03	$ 311.73	$ 385.00 $ 385.00	($ 73.27) ($ 73.27)	($ 73.27) ($ 73.27)	$ 0.00 $ 0.00
125001200	55	CISCO SYS INC We acted as your agent in this transaction.	01/19/00	04/07/03	764.46	3,119.77 3,119.77	(2,355.31) (2,355.31)	0.00 0.00	0.00 0.00
125001300	35	CISCO SYS INC We acted as your agent in this transaction.	01/19/00	08/08/03	615.97	1,985.31 1,985.31	(1,369.34) (1,369.34)	(1,369.34) (1,369.34)	0.00 0.00
125002900	3	INTL BUSINESS MACHINES CORP We acted as your agent in this transaction.	05/12/00	08/06/03	236.98	312.38 312.38	(75.40) (75.40)	(75.40) (75.40)	0.00 0.00
125003600	15	MICROSOFT CORP We acted as your agent in this transaction.	05/12/00	04/07/03	389.53	517.97 517.97	(128.44) (128.44)	0.00 0.00	0.00 0.00
125003700	15	MICROSOFT CORP We acted as your agent in this transaction.	05/12/00	08/06/03	383.83	517.97 517.97	(134.14) (134.14)	(134.14) (134.14)	0.00 0.00
125004000	5	PFIZER INC We acted as your agent in this transaction.	04/18/01	08/05/03	162.59	205.00 205.00	(42.41) (42.41)	(42.41) (42.41)	0.00 0.00
Total					$ 2,868.09	$ 7,043.40 $ 7,043.40	($ 4,175.31) ($ 4,175.31)	($ 1,694.56) ($ 1,694.56)	$ 0.00 $ 0.00

- - - - - - - - - - 2003 YEAR END SUMMARY

3134

SMITH BARNEY citigroup

Corrected Copy as of 03/01/04
Ref: 00007782 00071153

Client Statement
2003 Year End Summary

30

THERESE M HANSEN
Account Number 443-07421-16 465 SS # 475-70-4495

Details of Accrued Income 2003

This section shows your accrued income paid or received as a result of purchases and sales.

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
120003300	FEDERAL HOME LOAN MTG CORP GLOBAL REFERENCE NOTES- DTD 09/19/2002 DUE 09/15/2005 RATE 2.875	08/06/03		$ 11.34 *
120003700	FEDERAL NATL MTG ASSN DTD 10/2/01 DUE 09/15/2004 RATE 3.500	08/06/03		13.61 *
120003800	FEDERAL NATL MTG ASSN DTD 10/17/01 DUE 10/17/2006 RATE 4.500	08/06/03		13.75 *
120003900	FEDERAL NATL MTG ASSN DEBS BK/ENTRY DTD 01/18/2002 DUE 01/20/2007 RATE 5.000	08/06/03		2.36 *
Total				$ 41.26

** This amount reported to the IRS on Form 1099-INT.*

2003 YEAR END SUMMARY

3135

SMITH BARNEY citigroup

Ref: 00005025 00031905

Individual Retirement Account
December 1 - December 31, 2003 3

443L034658792700000 5025 303365A001 PCAFO016A
THERESE M HANSEN
CGM IRA CUSTODIAN
1820 8TH AVE W
SEATTLE WA 98119-2946

Account number 443-67927-19 465

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Consultant
THE DONNELLY-ADAMS GROUP
TWO UNION SQUARE
601 UNION ST STE3400
SEATTLE, WA 98101
206-628-4400
Email: william.j.donnelly@smithbarney.com
www.smithbarney.com

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Account value

	Last period	This period	%
Money fund	$ 5,982.76	$ 5,489.90	9.85
Common stocks & options	42,799.59	44,094.69	79.11
Accrued interest on bonds/CDs	61.39	82.26	.15
Government bonds	6,071.24	6,071.90	10.89
Total value	$ 54,914.98	$ 55,738.85	100.00
Total value (excluding accrued interest)	$ 54,853.59	$ 55,656.59	
Fair Market Value 12/31/03		$ 55,738.85	

Cash, money fund, bank deposits

	This period	This year
Opening balance	$ 5,982.76	
Securities bought and other subtractions	(1,354.00)	
Securities sold and other additions	854.96	
Withdrawals	0.00	(842.45)
Dividends credited	3.61	
Money fund earnings reinvested	2.37	
Closing balance	$ 5,489.90	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Earnings summary

	This period	This year
Interest	$ 0.00	$ 327.10
Dividends	4.10	169.89
Money fund earnings	2.37	43.57
Total	$ 6.47	$ 570.56

Additional summary information

	This period	This year
Other income	$ 0.00	$ 8.13
FRGN tax withheld	.29	1.92

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 54,853.59	$ 45,147.27
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	(842.45)
Beginning value net of deposits/withdrawals	54,853.59	44,304.82
Total income	6.47	576.69
Asset appreciation	798.63	10,773.18
Total value as of 12/31/2003(excl. accr. int.)	$ 55,656.69	$ 55,656.69
Total return	$ 803.10	$ 11,351.87

3136

SMITH BARNEY citigroup

Ref: 00005025 00031906

Individual Retirement Account
December 1 - December 31, 2003 3

THERESE M HANSEN

Account number 443-67927-19 465

Gain/loss summary	This period	This year
Original Realized gain or (loss)	($ 582.48)	$ 619.36 LT $ 292.28 ST
Adjusted Realized gain or (loss)	(582.48)	619.36 LT 340.41 ST
Capital gain or (loss) (realized)	(582.48)	959.77
Unrealized gain or (loss)	439.79	*Not applicable*

IRA ACTIVITY SUMMARY

We report to the IRS IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are reported separately, based on information you provided.

	2003	2002	Since Inception*
Contributions			
Non-deductible	$ 0.00	$ 0.00	$ 2,000.00
Unspecified	0.00	0.00	6,000.00
Total contributions	$ 0.00	$ 0.00	$ 8,000.00
Rollovers and Transfers			
Rollover	0.00	0.00	66,467.62

**Note: This column is provided for informational purposes only. It reflects activity from your account opening date or 01/01/94, whichever is later, unless you provide details of prior activity. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Consultant if you wish to adjust this column. We are not responsible for any information you provide.*

PRIMARY BENEFICIARIES

Please contact your Financial Consultant for the documents necessary to change or update your beneficiary information.

JAMES MCDERMOTT

3137

Individual Retirement Account
December 1 - December 31, 2003

3

THERESE M HANSEN

Account number 443-67927-19 465

PORTFOLIO DETAIL

Dividend yield is the estimated annual income generated by securities in your account, including current dividend, divided by the security's market price at the end of the statement period.
We do not guarantee the accuracy of prices reflected on this statement nor do these prices represent levels at which securities can be bought or sold.
Please note: unrealized gain/(loss) is being calculated for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Money fund

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated income (annualized)
5,489.90	DREYFUS LIQUID ASSETS INC	$ 5,489.90		.457%	$ 25.11
Total money fund		$ 5,489.90	$ 0.00	.457%	$ 25.11

Common stocks & options

The research rating for Standard & Poor's may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
25	WILLIS GROUP HOLDINGS LTD	WSH	05/30/03	$ 786.21	$ 31.448	$ 34.07	$ 851.75	$ 65.54 ST		
20			06/05/03	649.44	32.472	34.07	681.40	31.96 ST		
7			07/08/03	205.80	29.399	34.07	238.49	32.69 ST		
53				1,641.45	31.566		1,771.64	130.19	1.627	29.90
12	ADVANCEPCS	ADVP	03/18/03	356.48	29.707	52.79	633.48	277.00 ST		
8			06/05/03	287.73	35.966	52.79	422.32	134.59 ST		
20				644.21	32.211		1,055.80	411.59		
15	AMERICAN INTL GROUP INC	AIG	04/10/01	1,155.00	77.00	66.28	994.20	(160.80) LT		
10	RATING: A+		06/24/03	567.48	56.747	66.28	662.80	95.32 ST		
25				1,722.48	68.899		1,657.00	(65.48)	.392	6.50
15	AMGEN INC	AMGN	07/27/00	1,049.55	69.969	61.79	926.85	(122.70) LT		
5	RATING: B		11/22/02	238.62	47.723	61.79	308.95	70.33 LT		
20				1,288.17	64.409		1,235.80	(52.37)		
45	APPLIED MATERIALS INC DELAWARE RATING: B-	AMAT	11/15/01	878.40	19.52	22.44	1,009.80	131.40 LT		
10	AUTOZONE INC RATING: B+	AZO	12/10/03	787.33	78.733	85.21	852.10	64.77 ST		
22	BARR LABORATORIES INC RATING: B	BRL	11/01/02	836.89	38.402	76.95	1,692.90	854.01 LT		

3138

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
20	BIOGEN IDEC INC RATING: B-	BIIB	12/11/02	$ 684.85	$ 34.242	$ 36.70	$ 734.00	$ 49.15 LT		
12	CARDINAL HEALTH INC RATING: A+	CAH	03/18/03	666.66	55.555	61.16	733.92	67.26 ST	.196	1.44
125	CISCO SYS INC RATING: B+	CSCO	06/29/00	7,656.25	61.25	24.23	3,028.75	(4,627.50) LT		
25	COMCAST CORP CL A RATING: C	CMCSA	06/26/03	769.46	30.778	32.79	819.75	50.29 ST		
10			09/18/03	314.10	31.41	32.79	327.90	13.80 ST		
35				1,083.56	30.959		1,147.65	64.09		
25	COOPER CAMERON CORP RATING: B-	CAM	09/18/03	1,158.56	46.342	46.60	1,165.00	6.44 ST		
5			10/28/03	211.93	42.386	46.60	233.00	21.07 ST		
30				1,370.49	45.683		1,398.00	27.51		
30	COSTCO WHOLESALE CORP NEW RATING: B+	COST	08/13/03	1,046.47	34.548	37.18	1,115.40	68.93 ST		
5			09/04/03	164.15	32.83	37.18	185.90	21.75 ST		
35				1,212.62	34.646		1,301.30	88.68		
25	D R HORTON INC RATING: A	DHI	06/24/03	735.00	29.40	43.26	1,081.50	346.50 ST	.647	7.00
12	DELL INC RATING: B+	DELL	01/21/03	297.00	24.75	33.98	407.76	110.76 ST		
12	ELECTRONIC ARTS RATING: B+	ERTS	12/13/02	336.82	28.068	47.68	572.16	235.34 LT		
14			12/20/02	368.86	26.348	47.68	667.52	298.64 LT		
4			06/24/03	147.68	36.916	47.68	190.72	43.06 ST		
30				853.36	28.445		1,430.40	577.04		
50	INTEL CORP RATING: A	INTC	06/29/00	3,300.00	66.00	32.05	1,602.50	(1,697.50) LT	.249	4.00
20	INTERACTIVECORP RATING: B+	IACI	06/23/03	729.34	36.467	33.93	678.60	(50.74) ST		
15			08/26/03	526.50	35.10	33.93	508.95	(17.55) ST		
5			12/11/03	152.62	30.523	33.93	169.65	17.03 ST		
40				1,408.46	35.212		1,357.20	(51.26)		
10	LEHMAN BROTHERS HOLDINGS INC RATING: A-	LEH	05/30/03	720.00	72.00	77.22	772.20	52.20 ST		
5			06/25/03	353.25	70.65	77.22	386.10	32.85 ST		

3139

Individual Retirement Account
December 1 - December 31, 2003 3

THERESE M HANSEN

Account number 443-67927-19 465

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
3	LEHMAN BROTHERS HOLDINGS INC	LEH	12/11/03	$ 216.96	$ 72.318	$ 77.22	$ 231.66	$ 14.70 ST		
18	RATING: A-			1,290.21	71.678		1,389.96	99.75	.621	8.64
12	LENNAR CORP	LEN	05/30/03	798.00	66.50	96.00	1,152.00	354.00 ST		
3	CLASS A		06/24/03	224.22	74.738	96.00	288.00	63.78 ST		
15	RATING: A-			1,022.22	68.148		1,440.00	417.78	.52	7.50
15	MEDTRONIC INC	MDT	06/29/00	709.69	47.312	48.61	729.15	19.46 LT		
10	RATING: A-		07/09/03	467.90	46.769	48.61	486.10	18.20 ST		
25				1,177.59	47.104		1,215.25	37.66	.596	7.25
135	MICROSOFT CORP	MSFT	04/03/98	3,141.49	23.257	27.37	3,694.95	553.46 LT	.584	21.60
	RATING: B+									
33	NEW YORK COMMUNITY BANCORP	NYB	12/10/02	701.52	21.472	38.05	1,255.65	554.13 LT	2.628	33.00
	RATING: A-									
25	NOKIA CORP SPONSORED ADR	NOK	03/18/03	374.17	14.966	17.00	425.00	50.83 ST		
15			05/29/03	270.00	18.00	17.00	255.00	(15.00) ST		
10			07/24/03	151.69	15.169	17.00	170.00	18.31 ST		
50				795.86	15.917		850.00	54.14	1.517	12.90
25	NOVELLUS SYS INC	NVLS	05/10/02	1,168.75	46.75	42.05	1,051.25	(117.50) LT		
	RATING: B-									
55	STARBUCKS CORP	SBUX	07/27/98	684.32	12.429	33.16	1,823.80	1,139.48 LT		
	RATING: B+									
26	SYMANTEC CORP	SYMC	03/21/03	549.43	21.132	34.50	897.00	347.57 ST		
	RATING: B-									
15	TEVA PHARMACEUTICAL INDS	TEVA	03/19/03	602.10	40.14	56.71	850.65	248.55 ST		
5	LTD ADR		06/13/03	274.90	54.98	56.71	283.55	8.65 ST		
20				877.00	43.85		1,134.20	257.20	.407	4.62
25	VERITAS SOFTWARE CORP	VRTS	01/31/02	1,069.25	42.77	37.02	925.50	(143.75) LT		
	RATING: C									
55	VODAFONE GROUP PLC SP ADR	VOD	06/13/03	1,132.68	20.594	25.04	1,377.20	244.52 ST	1.222	16.83

3140

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
25	WASHINGTON MUTUAL INC RATING: A-	WM	04/03/98	$ 840.49	$ 33.597	$ 40.12	$ 1,003.00	$ 162.51 LT		
10			08/05/03	422.78	42.277	40.12	401.20	(21.58) ST		
5			12/11/03	197.09	39.418	40.12	200.60	3.51 ST		
40				1,460.38	36.509		1,604.80	144.44	4.087	65.60
8	WELLPOINT HEALTH NETWORKS INC RATING: B+	WLP	10/08/02	614.88	76.86	96.99	775.92	161.04 LT		
6			08/05/03	507.83	84.639	96.99	581.94	74.11 ST		
14				1,122.71	80.194		1,357.86	235.15		
15	XILINX INC RATING: B	XLNX	04/03/03	379.47	25.298	38.63	579.45	199.98 ST		
Total common stocks and options				$ 43,842.04			$ 44,064.60	$ 2,003.86 LT	.91	$ 1,112.60
								($ 2,040.60) ST		$ 229.76

Bonds

Unrealized gains & losses have been adjusted to account for the accretion of OID (original issue discount), the amortization of premium, and/or the accretion of market discount.

Call features shown indicate the next regularly scheduled call date and price. Your holdings may be subject to other redemption features including sinking funds or extraordinary calls.

The research rating for Standard & Poor's and Moody's Investors Service may be shown for certain fixed income securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Your Financial Consultant will be pleased to provide you with further information or assistance in interpreting research ratings.

Government bonds

Amount	Description	Date acquired	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip. income (annualized)	Ordinary income/ Capital gain/(loss)
2,000	FEDERAL NATL MTG ASSN DTD 10/2/01 INT: 03.500% MATY: 09/15/2004	11/26/02	$ 2,053.75 $ 2,021.30	$ 102.687 $ 101.065	101.563 $ 20.61	$ 2,031.26	($ 22.49) LT $ 9.96 LT	3.445 $ 70.00	$ 0.00 $ 9.96
2,000	FEDERAL HOME LOAN MTG CORP GLOBAL REFERENCE NOTES- DTD 08/19/2002 INT: 02.875% MATY: 09/15/2005	11/26/02	2,016.25 2,010.02	100.812 100.501	101.844 16.93	2,036.88	20.63 LT 26.86 LT	2.822 57.50	0.00 26.86

3141

Government bonds *continued*

Amount	Description	Date acquired	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
2,000	FEDERAL NATL MTG ASSN DEBS BK/ENTRY DTD 01/18/2002 INT: 05.000% MATY: 01/20/2007 Next call on 01/20/04 @ 100.000	11/26/02	$ 2,071.25 $ 2,053.64	$ 103.562 $ 102.682	100.188 $ 44.72	$ 2,003.76	($ 67.49) ($ 49.88)	LT LT	4.99 $ 100.00	$ 0.00 ($ 49.88)
Total government bonds			$ 9,141.25		$ 92.20	$ 9,071.00	$ 0.00	LT	.74	$ 0.00
8,000			$ 9,084.36				($ 13.03)	LT	$ 227.50	($ 13.03)
Total Portfolio Value			$ 9,[illegible]			$ 9,043.[illegible]	$ 2,043.[illegible]	LT	.85	$ 0.00
							($ 2,[illegible])	LT	$ 479.50	($ 13.00)

TRANSACTION DETAILS *(For dates of transactions, please see the activity and cash activity dates)*

Investment activity

Date	Activity	Description	Quantity	Price	Amount
12/01/03	Sold	BIOVAIL CORP We acted as your agent in this transaction.	-45	$ 19.00	$ 854.96
12/15/03	Bought	AUTOZONE INC We acted as your agent in this transaction.	10	78.733	-787.33
12/16/03	Bought	INTERACTIVECORP We acted as your agent in this transaction.	5	30.523	-152.62
12/16/03	Bought	LEHMAN BROTHERS HOLDINGS INC We acted as your agent in this transaction.	3	72.318	-216.95
12/16/03	Bought	WASHINGTON MUTUAL INC We acted as your agent in this transaction.	5	39.418	-197.09
Total securities bought and other subtractions					$ -1,354.00
Total securities sold and other additions					$ 854.96

Money fund activity

Opening money fund balance $ 6,982.76

Date	Activity	Description	Amount
12/02/03	Autoinvest	DREYFUS LIQUID ASSETS INC	855.90

All transactions are traded at $1.00 per share.

Date	Activity	Description	Amount
12/16/03	Redemption	DREYFUS LIQUID ASSETS INC	-[illegible]

3142

Individual Retirement Account
December 1 - December 31, 2003 3

THERESE M HANSEN

Account number 443-67927-19 465

Money fund activity *continued*

Date	Activity	Description	Amount
12/15/03	Redemption	DREYFUS LIQUID ASSETS INC	-786.15
12/22/03	Autoinvest	DREYFUS LIQUID ASSETS INC	1.63
		MONEY FUND EARNINGS REINVESTED (SEE DETAILS UNDER EARNINGS DETAILS)	2.37
		Closing balance	$ 5,489.90

EARNINGS DETAILS

(c) the tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
12/01/03	INTEL CORP	CASH DIV ON 50.0000 SHS	$ 1.00		$ 1.00
12/12/03	TEVA PHARMACEUTICAL INDS LTD ADR	FOREIGN TAX W/HELD $ 0.29 CASH DIV ON 20.0000 SHS	1.47		1.18
12/19/03	AMERICAN INTL GROUP INC	TAX HELD BY FGN GOVTS .29 CASH DIV ON 25.0000 SHS	1.63		1.63
Total dividends credited to account					$ 3.81
FRGN tax withheld					.29
Total dividends earned			$ 4.10	$ 0.00	$ 4.10

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
12/31/03	DREYFUS LIQUID ASSETS INC	REINVESTED FOR PERIOD 11/29/03-12/31/03 33 DAYS AVERAGE YIELD .45 %.	$ 2.37		$ 2.37
Total earnings from money fund				$ 0.00	$ 2.37

3143

SMITH BARNEY citigroup

Ref: 00005025 00031913

Individual Retirement Account
December 1 - December 31, 2003

3

THERESE M HANSEN

Account number 443-67927-19 465

GAIN/LOSS DETAIL

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Trades are allocated using the FIFO (first in-first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Realized gain or loss

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
BIOVAIL CORP	09/04/03	20	$ 787.89	$ 39.39	19.00	($ 407.91) ST	($ 407.91) ST	($ 407.91)
We acted as your agent	11/25/03 Sold				379.98			$ 0.00
In this transaction.	10/09/03	25	649.55	25.98	19.00	(174.57) ST	(174.57) ST	(174.57)
	11/25/03 Sold				474.93			0.00
	Total	45	$ 1,437.44		$ 854.93	($ 582.48)	($ 582.48)	($ 582.48)
Total Short Term this period								$ 0.00
Total realized gain or (loss) this period						($ 582.48)	($ 582.48)	
Total realized Capital gain or (loss) this period			$ 1,437.44		$ 854.93	($ 582.48)	($ 582.48)	
Total Long Term year-to-date								($ 582.48)
Total Short Term year-to-date						$ 619.35	$ 619.35	
Total realized gain or (loss) year-to-date						$ 292.28	$ 340.41	
Total realized Capital gain or (loss) year-to-date			$ 17,781.07		$ 18,310.84	$ 911.94	$ 959.77	
								$ 959.77

Message: *We have available at no cost brochures describing our investment advisory services and those of any other investment advisors managing your account. If you would like copies, please ask your Financial Consultant or Portfolio Manager.*

Message: *Please contact your Financial Consultant or Portfolio Manager if there have been any changes in your financial situation or investment objective, or if you wish to impose any restrictions or modify existing restrictions on the management of your account.*

Message: *Your Performance Monitor, reviewing your managed account, is normally available within 30 days of the end of the quarter. This monitor includes a description of your portfolio performance, an asset allocation summary and other details concerning your investments. For further information on the monitor, please contact us.*

Message: *Please note that Smith Barney is required by law to report the December 31, 2003 Fair Market Value of this account to the Internal Revenue Service. Smith Barney will forward this information to the IRS on or before May 31, 2004 on IRS Form 5498.*

Message: *IRA IMPORTANT NOTICE INSERT: Please review and retain the Notice regarding updates to your Smith Barney IRA or ESA that is included with your statement this month.*

SMITH BARNEY citigroup

*** Therese M Hansen
CGM IRA Custodian
1820 9th Ave W
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2003 Realized Gain/Loss

Prepared by THE DONNELLY-ADAMS GROUP

As of 05/12/2004

Ph. 206-628-4400

Acct. 443-67927-19

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
SMITH INTERNATIONAL INC DB	SII	35.000	12/3/01	796.25	3/21/03	1,189.96	393.71
Long Term Realized Gain/Loss				$7,801.85		$8,421.21	$619.36

2003 Short & Long Term Realized Gain/Loss:	Totals:	$17,758.16	$18,719.62	$961.46

** Gain/Loss is only calculated when an original cost basis is available.

The above summary/prices/quotes/statistics have been obtained from sources believed reliable but are not necessarily complete and cannot be guaranteed. The information contained in monthly account statements and confirmations reflects all transactions processed by Smith Barney, and as such supersedes all other reports for financial and tax purposes. Smith Barney is a division and service mark of Citigroup Global Markets Inc. Member SIPC.

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2003 Realized Gain/Loss

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As of 05/12/2004

Ph. 206-628-4400

Acct. 443-67927-19

Long Term

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
EMERSON ELECTRIC CO	EMR	10.000	10/ 8/02	444.20	10/28/03	542.79	98.59
HOME DEPOT INC	HD	23.000	8/29/00	1,152.32	6/27/03	750.27	(402.05)
INTL BUSINESS MACHINES CORP	IBM	10.000	6/29/00	1,105.63	10/28/03	893.49	(212.14)
MICROSOFT CORP	MSFT	15.000	4/ 3/98	349.06	6/26/03	384.43	35.37
PFIZER INC	PFE	9.000	4/18/01	369.00	2/ 4/03	267.83	(101.17)
	PFE	6.000	7/ 2/01	245.33	2/ 4/03	178.56	(66.77)
	Totals:	15.000		614.33		446.39	(167.94)
QUALCOMM INC	QCOM	25.000	6/29/00	1,535.94	1/16/03	959.68	(576.26)
STARBUCKS CORP	SBUX	140.000	7/27/98	1,741.91	2/ 5/03	3,102.16	1,360.25
	SBUX	5.000	7/27/98	62.21	10/28/03	152.04	89.83
	Totals:	145.000		1,804.12		3,254.20	1,450.08

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2003 Realized Gain/Loss

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As of 05/12/2004

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Acct. 443-67927-19

Description	Symbol/CUSIP	Quantity	Purchased	Cost Basis	Sold	Net Proceeds	Gain/Loss
CONCORD EFS INC	CE	65.000	10/ 8/02	898.30	8/27/03	917.75	19.45
EXPRESS SCRIPTS INC.COMMON	ESRX	12.000	7/24/03	789.25	9/ 4/03	724.48	(64.77)
FLEXTRONICS INTL LTD USD	FLEX	35.000	3/21/03	338.45	9/30/03	494.17	155.72
HOME DEPOT INC	HD	27.000	10/ 8/02	685.80	6/27/03	880.76	194.96
ISHARES TRUST S&P EUR 350	IEV	15.000	12/10/02	723.00	5/28/03	775.46	52.46
KINDER MORGAN ENERGY PRTNRS LP	KMP	13.000	10/ 8/02	400.27	3/19/03	472.35	72.08
NEW YORK COMMUNITY BANCORP	NYB	0.333	12/10/02	7.09	5/29/03	8.78	1.69
SHURGARD STORAGE CTRS INC CL A	SHU	15.000	10/ 8/02	446.85	5/13/03	503.02	56.17
SYMANTEC CORP	SYMC	2.000	3/21/03	84.53	9/30/03	126.91	42.38
Short Term Realized Gain/Loss				5,356.11		5,503.11	147.00

3147

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2003 Realized Gain/Loss

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As of 05/12/2004

Ph. 206-628-4400

Acct. 443-67927-19

Short Term

Description	Symbol/CUSIP	Quantity	Purchased	Cost	Sold	Net Proceeds	Gain/Loss
FEDERAL NATL MTG ASSN	31359MLC50E0	2,000.000	11/26/02	2,000.00	10/17/03	2,000.00	0.00
Coupon: 4.500% Maturity: 10/17/2006							
APACHE CORP	APA	15.750	10/ 8/02	835.35	3/18/03	952.85	117.50
	APA	4.250	11/22/02	212.52	3/18/03	257.12	44.60
	APA	1.000	11/22/02	50.00	4/17/03	59.99	9.99
	Totals:	21.000		1,097.87		1,269.96	172.09
BARR LABORATORIES INC	BRL	0.500	11/ 1/02	27.96	3/21/03	25.97	(1.99)
BIOVAIL CORP	BVF	20.000	9/ 4/03	787.89	11/25/03	379.98	(407.91)
	BVF	25.000	10/ 9/03	649.55	11/25/03	474.98	(174.57)
	Totals:	45.000		1,437.44		854.96	(582.48)
COOPER CAMERON CORP	CAM	25.000	10/ 8/02	1,019.50	3/21/03	1,243.84	224.34

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3148

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2003 Realized Gain/Loss

Prepared by THE DONNELLY-ADAMS GROUP

As of 05/12/2004

Ph. 206-628-4400

Acct. 443-67927-19

Long Term

Description	Symbol/CUSIP	Quantity	Date Acq	Cost Basis	Date Sold	Proceeds	Gain/Loss
EMERSON ELECTRIC CO	EMR	10.000	10/ 8/02	444.20	10/28/03	542.79	98.59
HOME DEPOT INC	HD	23.000	8/29/00	1,152.32	6/27/03	750.27	(402.05)
INTL BUSINESS MACHINES CORP	IBM	10.000	6/29/00	1,105.63	10/28/03	893.49	(212.14)
MICROSOFT CORP	MSFT	15.000	4/ 3/98	349.06	6/26/03	384.43	35.37
PFIZER INC	PFE	9.000	4/18/01	369.00	2/ 4/03	267.83	(101.17)
	PFE	6.000	7/ 2/01	245.33	2/ 4/03	178.56	(66.77)
	Totals:	15.000		614.33		446.39	(167.94)
QUALCOMM INC	QCOM	25.000	6/29/00	1,535.94	1/16/03	959.68	(576.26)
STARBUCKS CORP	SBUX	140.000	7/27/98	1,741.91	2/ 5/03	3,102.16	1,360.25
	SBUX	5.000	7/27/98	62.21	10/28/03	152.04	89.83
	Totals:	145.000		1,804.12		3,254.20	1,450.08

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2003 Realized Gain/Loss

Prepared by THE DONNELLY-ADAMS GROUP

As of 05/12/2004

Ph. 206-628-4400

Acct. 443-67927-19

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
SMITH INTERNATIONAL INC DB	SII	35.000	12/3/01	796.25	3/21/03	1,189.96	393.71
Long Term Realized Gain/Loss				$796.25		$1,189.96	$619.36

2003 Short & Long Term Realized Gain/Loss:	Totals:	$17,758.16	$18,719.62	$961.46

** Gain/Loss is only calculated when an original cost basis is available.

The above summary/prices/quotes/statistics have been obtained from sources believed reliable but are not necessarily complete and cannot be guaranteed. The information contained in monthly account statements and confirmations reflects all transactions processed by Smith Barney, and as such supersedes all other reports for financial and tax purposes. Smith Barney is a division and service mark of Citigroup Global Markets Inc. Member SIPC.

SMITH BARNEY citigroup

*** Theresa M Hansen
CGM IRA Custodian
1820 9th Ave W
Seattle WA 98119-2946

2003 Realized Gain/Loss

As of 05/12/2004

Prepared by THE DONNELLY-ADAMS GROUP
Ph. 206-628-4400

Acct. 443-67927-19

Description	Symbol/CUSIP	Quantity	Purchased	Cost/Basis	Sold	Proceeds	Gain/Loss
CONCORD EFS INC	CE	65.000	10/ 8/02	898.30	8/27/03	917.75	19.45
EXPRESS SCRIPTS INC.COMMON	ESRX	12.000	7/24/03	789.25	9/ 4/03	724.48	(64.77)
FLEXTRONICS INTL LTD USD	FLEX	35.000	3/21/03	338.45	9/30/03	494.17	155.72
HOME DEPOT INC	HD	27.000	10/ 8/02	685.80	6/27/03	880.76	194.96
ISHARES TRUST S&P EUR 350	IEV	15.000	12/10/02	723.00	5/28/03	775.46	52.46
KINDER MORGAN ENERGY PRTNRS LP	KMP	13.000	10/ 8/02	400.27	3/19/03	472.35	72.08
NEW YORK COMMUNITY BANCORP	NYB	0.333	12/10/02	7.09	5/29/03	8.78	1.69
SHURGARD STORAGE CTRS INC CL A	SHU	15.000	10/ 8/02	446.85	5/13/03	503.02	56.17
SYMANTEC CORP	SYMC	2.000	3/21/03	84.53	9/30/03	126.91	42.38
Short Term Realized Gain				[illegible]		[illegible]	[illegible]

3151

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SMITH BARNEY citigroup

*** Therese M Hansen
CGM IRA Custodian
1820 9th Ave W
Seattle WA 98119-2946

2003 Realized Gain/Loss

Prepared by THE DONNELLY-ADAMS GROUP

As of 05/12/2004

Acct. 443-67927-19

Ph. 206-628-4400

Description	Symbol/CUSIP	Quantity	Date Acquired	Cost	Date Sold	Proceeds	Gain/Loss
Short Term							
FEDERAL NATL MTG ASSN	31359MLC50H0	2,000.000	11/26/02	2,000.00	10/17/03	2,000.00	0.00
Coupon: 4.500% Maturity: 10/17/2006							
APACHE CORP	APA	15.750	10/ 8/02	835.35	3/18/03	952.85	117.50
	APA	4.250	11/22/02	212.52	3/18/03	257.12	44.60
	APA	1.000	11/22/02	50.00	4/17/03	59.99	9.99
	Totals:	21.000		1,097.87		1,269.96	172.09
BARR LABORATORIES INC	BRL	0.500	11/ 1/02	27.96	3/21/03	25.97	(1.99)
BIOVAIL CORP	BVF	20.000	9/ 4/03	787.89	11/25/03	379.98	(407.91)
	BVF	25.000	10/ 9/03	649.55	11/25/03	474.98	(174.57)
	Totals:	45.000		1,437.44		854.96	(582.48)
COOPER CAMERON CORP	CAM	25.000	10/ 8/02	1,019.50	3/21/03	1,243.84	224.34

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PORTFOLIO SUMMARY

THERESE M HANSEN
1820 9TH AVENUE W
SEATTLE WA 98119-2946

Statement number: 018369947
(800) 662-2739 - Client Services
www.vanguard.com Website
(800) 662-6273 - Tele-Account

TOTAL OF ALL ACCOUNTS	Value on 12/31/2002	Value on 12/31/2003
	$ 0.00	$ 3,517.21

RETIREMENT PLANS	CUSIP	Ticker	Value on 12/31/2002	Value on 12/31/2003
Federal Money Mkt Fund (IRA)	922906-30-0	VMFXX	$ 0.00	$ 1,005.55
Calvert Social Index Fund (IRA)	921910-30-3	VCSIX	0.00	1,259.29
500 Index Fund Inv (IRA)	922908-10-8	VFINX	0.00	1,252.37
Total retirement plans			$ 0.00	$ 3,517.21

IRA income year-to-date	$ 30.99
2003 contributions to IRA	$ 0.00
2002 contributions to IRA	3,000.00
2003 distributions from IRA	0.00

Portfolio allocation	
Short-term investments	28.6%
Bonds	0.0
Stocks	71.4
	100.0%

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THE Vanguard GROUP.

TRANSACTION DETAIL

THERESE M HANSEN

(800) 662-2739 - Client Services
Statement number: 018369947

TRANSACTION ACTIVITY

Vanguard is required to report the value of your IRA as of 12/31/2003 to the IRS

Vanguard 500 Index Fund Investor Shares (IRA)

Fund / Account no. 0040 / 09964672575

Trade date	Transaction description	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/2002	$ 0.00	$ 81.15		.000
4/15	2002 employee contribution	1,000.00	82.30	12.151	12.151
4/15	Custodial fee paid ach	0.00			12.151
	Custodial fee	-10.00			
4/15	2002 employee contribution rev	-1,000.00	82.30	-12.151	.000
4/15	Custodial fee reimbursed ach	0.00			.000
	Custodial fee	10.00			
4/21	2002 employee contribution	1,000.00	82.41	12.134	12.134
4/29	Custodial fee paid ach	0.00			12.134
	Custodial fee	-10.00			
6/20	Income dividend .30	1.14	91.94	.012	12.146
	Acct maint fee	-2.50			
9/26	Income dividend .36	1.87	92.08	.020	12.166
	Acct maint fee	-2.50			
12/26	Income dividend .47	3.22	101.15	.032	12.198
	Acct maint fee	-2.50			
	Balance on 12/31/2003	$ 1,252.37	$ 102.67		12.198

Year-to-date Income dividends	Short-term gains / Long-term gains	Contributions & Distributions	Year and type	Distribution payable date
$ 13.73	$ 0.00	$ 0.00	2003 contributions	1/02/2004
	0.00	1,000.00	2002 contributions	
		0.00	2003 distributions	

Vanguard Calvert Social Index Fund (IRA)

Fund / Account no. 0213 / 09964672575

Trade date	Transaction description	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/2002	$ 0.00	$ 5.82		.000
4/15	2002 employee contribution	1,000.00	5.95	168.067	168.067
4/15	Custodial fee paid ach	0.00			168.067
	Custodial fee	-10.00			
4/15	2002 employee contribution rev	-1,000.00	5.95	-168.067	.000
4/15	Custodial fee reimbursed ach	0.00			.000
	Custodial fee	10.00			
4/21	2002 employee contribution	1,000.00	5.98	167.224	167.224
4/29	Custodial fee paid ach	0.00			167.224
	Custodial fee	-10.00			
12/12	Income dividend .07	1.71	7.29	.235	167.459
	Acct maint fee	-10.00			
	Balance on 12/31/2003	$ 1,259.29	$ 7.52		167.459

THE Vanguard GROUP

TRANSACTION DETAIL

THERESE M HANSEN

TRANSACTION ACTIVITY (CONTINUED)

Vanguard is required to report the value of your IRA as of 12/31/2003 to the IRS

Vanguard Calvert Social Index Fund (IRA)

Fund / Account no. 0213 / 09984672575

Year-to-date Income dividends	Short-term gains / Long-term gains	Contributions & Distributions	Year and type	Distribution payable date
$ 11.71	$ 0.00	$ 0.00	2003 contributions	12/15/2003
	0.00	1,000.00	2002 contributions	
		0.00	2003 distributions	

Vanguard Federal Money Market Fund (IRA)

Fund / Account no. 0033 / 09984672575

Trade date	Transaction description	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/2002	$ 0.00	$ 1.00		.000
4/15	2002 employee contribution	1,000.00	1.00	1,000.000	1,000.000
4/15	Custodial fee paid ach	0.00			1,000.000
	Custodial fee	-10.00			
4/15	2002 employee contribution rev	-1,000.00	1.00	-1,000.000	.000
4/15	Custodial fee reimbursed ach	0.00			.000
	Custodial fee	10.00			
4/21	2002 employee contribution	1,000.00	1.00	1,000.000	1,000.000
4/29	Custodial fee paid ach	0.00			1,000.000
	Custodial fee	-10.00			
4/30	Income dividend	0.23	1.00	.230	1,000.230
5/30	Income dividend	0.76	1.00	.760	1,000.990
6/30	Income dividend	0.71	1.00	.710	1,001.700
7/31	Income dividend	0.68	1.00	.680	1,002.380
8/29	Income dividend	0.64	1.00	.640	1,003.020
9/30	Income dividend	0.63	1.00	.630	1,003.650
10/31	Income dividend	0.64	1.00	.640	1,004.290
11/28	Income dividend	0.62	1.00	.620	1,004.910
12/31	Income dividend	0.64	1.00	.640	1,005.550
	Balance on 12/31/2003	$ 1,005.55	$ 1.00		1,005.550

Year-to-date Income dividends	Contributions & Distributions	Year and type	Annualized yield	Compound annual yield	Distribution payable date
$ 5.55	$ 0.00	2003 contributions	0.76%	0.76%	1/02/2004
	1,000.00	2002 contributions			
	0.00	2003 distributions			

6

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Retirement Account

MB 03 105045 38826 H 653 A

WELLS FARGO BANK TRUSTEE
JAMES A MCDERMOTT
710 6TH ST SE
WASHINGTON DC 20003-2607

Financial Consultant: AM29	Last Statement Date: November 30, 2003
JANE SUZICK 999 3RD AVENUE SEATTLE WA 98104 (206) 515-2222	Account Number: W57047027

December 1-31, 2003

Portfolio Summary

	Current Value	Previous Value
Money Market Funds/Cash	$73,423.27	$73,109.38
Stocks	$152,613.27	$147,889.74
Total Portfolio Value	**$226,036.54**	**$220,999.12**

Activity Summary

	Amount
Total Asset Value as of November 30, 2003	**$220,999.12**
Cash/Money Market Activity for December	
Closing Balance as of 11/30/03	$73,109.38
Income	$313.89
Closing Balance as of 12/31/03	**$73,423.27**
Net Change Cash/Money Market Activity	**$313.89**
Changes in Securities Value for December	
Value of Priced Securities as of 11/30/03	$147,889.74
Value of Priced Securities as of 12/31/03	$152,613.27
Net Change Securities Value	**$4,723.53**
Total Asset Value as of December 31, 2003	**$226,036.54**

Income Summary

	December 31, 2003	Year To Date
Taxable Money Market Funds	$23.54	$418.97
Equity Securities & Mutual Funds	$290.35	$2,566.55
Total Income	**$313.89**	**$2,985.52**

Investment and Insurance Products:
- Are NOT insured by the FDIC or any other federal government agency
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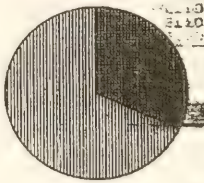
WELLS FARGO INVESTMENTS

Member New York Stock Exchange

WELLS FARGO BANK IRA C/F
JAMES A MCDERMOTT
710 9TH ST SE

Account number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 515-2222

Current Portfolio Allocation



32 % Cash & Money Market Funds
68 % Stocks

Important Information About Your Account

This statement contains the 12/31 IRA value that will be sent to the Internal Revenue Service. Please retain this statement for your records. If year-end pricing changes after 12/31, you will receive a Form 5498 in May. Please refer to the insert for details.

Portfolio Value

CASH & MONEY MARKET FUNDS 32%

Quantity	Description	Market Price	Market Value	Estimated Annual Income
73,423.27	WF MONEY MARKET FD CL A SHARES 30 Day Yield: 0.33%	1.00	$73,423.27	$277
	Cash & Money Market Funds Subtotal		$73,423.27	$277

STOCKS 68%

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annual Income
800	ALBERTSONS INCORPORATED	ABS	$22.65	Long	$18,120.00	$608
40	AMGEN INC	AMGN	61.79	Long	2,471.60	
80	APPLIED MATERIALS INC	AMAT	22.44	Long	1,795.20	
470	CISCO SYSTEMS INC	CSCO	24.23	Long	11,388.10	
485	HOME DEPOT INC	HD	35.49	Long	17,212.65	
200	ICOS CORPORATION	ICOS	41.28	Long	8,256.00	135

WELLS FARGO INVESTMENTS
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WELLS FARGO BANK IRA C/F
JAMES A MCDERMOTT
710 9TH ST SE
Account number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 515-2222

Portfolio Value (continued)

STOCKS (continued) 68%

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annual Income
200	INTEL CORP	INTC	32.05	Long	6,410.00	16
15	INTERNATIONAL BUSINESS MACHINE CORP	IBM	92.68	Long	1,390.20	9
1,000	MARATHON OIL CORP	MRO	33.09	Long	33,090.00	1,000
48	MEDCO HEALTH SOLUTIONS INC	MHS	33.99	Long	1,631.52	
400	MERCK & CO INC	MRK	46.20	Long	18,480.00	592
300	MICROSOFT CORP	MSFT	27.37	Long	8,211.00	48
40	NOVELLUS SYS INC	NVLS	42.05	Long	1,682.00	
300	T C F FINANCIAL CORP	TCB	51.35	Long	15,405.00	390
1,000	TRIQUINT SEMICONDUCTOR INC	TQNT	7.07	Long	7,070.00	
	Stocks Subtotal				$152,613.27	$2,798

TOTAL PORTFOLIO VALUE

	Total Market Value	Total Estimated Annual Income
TOTAL PORTFOLIO VALUE	$226,036.54	$3,075

Activity Details

PURCHASES AND SALES

SETTLED TRADES

Trade Date	Description		Settle Date	Activity	Quantity	Price	Amount
12/01/03	WF MONEY MARKET FD	CL A SHARES	12/01/03	PURCHASE		$1.00	($97.50)
12/02/03	WF MONEY MARKET FD	CL A SHARES	12/02/03	PURCHASE		1.00	(4.00)
12/11/03	WF MONEY MARKET FD	CL A SHARES	12/11/03	PURCHASE		1.00	(252.40)
12/19/03	WF MONEY MARKET FD	CL A SHARES	12/19/03	PURCHASE		1.00	(33.95)
12/31/03	WF MONEY MARKET FD	CL A SHARES		REINVEST DIV			(23.54)
	TOTAL						($411.39)

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JAMES A MCDERMOTT
710 9TH ST SE
Account number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 515-2222

Activity Details (continued)

INCOME

MONEY MARKET FUNDS

Date	Description		Activity	Amount
12/31/03	WF MONEY MARKET FD	CL A SHARES	DIVIDEND	$23.54
	TOTAL			$23.54

STOCKS

Date	Description	Activity	Amount
12/01/03	INTEL CORP	DIVIDEND	$4.00
12/10/03	INTL BUSINESS MACH CRP	DIVIDEND	2.40
12/10/03	MARATHON OIL CORP	DIVIDEND	250.00
12/18/03	HOME DEPOT INC	DIVIDEND	33.95
	TOTAL		$290.35

Cash Flow Analysis

CHRONOLOGICAL TRANSACTION SUMMARY

Date	Description	Activity	Quantity	Price	Debit	Credit	Balance
	Beginning Cash Balance						$97.50
12/01/03	INTEL CORP	DIVIDEND				$4.00	$101.50
12/01/03	WF MONEY MARKET FD	PURCHASE		1.00	(97.50)		4.00
12/02/03	WF MONEY MARKET FD	PURCHASE		1.00	(4.00)		0.00
12/10/03	INTL BUSINESS MACH	DIVIDEND				2.40	2.40
12/10/03	MARATHON OIL CORP	DIVIDEND				250.00	252.40
12/11/03	WF MONEY MARKET FD	PURCHASE		1.00	(252.40)		0.00
12/18/03	HOME DEPOT INC	DIVIDEND				33.95	33.95
12/19/03	WF MONEY MARKET FD	PURCHASE		1.00	(33.95)		0.00
12/31/03	WF MONEY MARKET FD	DIVIDEND				23.54	23.54
12/31/03	WF MONEY MARKET FD	REINVEST DIV			(23.54)		0.00
	Ending Cash Balance						$0.00

WELLS FARGO INVESTMENTS
Member New York Stock Exchange

WELLS FARGO BANK IRA C/F
JAMES A MCDERMOTT
710 9TH ST SE
Account number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 515-2222

IRA Account Summary & Beneficiary Designation

	Current Tax Year	Previous Tax Year
Contributions	$0.00	N/A
Distributions	$0.00	N/A
Rollovers	$0.00	N/A
Tax Withheld	$0.00	N/A

12/31 Fair Market Value: $226,036.54
This information is being furnished to the Internal Revenue Service.

According to our records, you have designated the following beneficiaries for your retirement account. This section is provided for your informational purposes only, and reflects the latest entry in our system as of the date this statement was produced. At the time of death, assets will be dispersed based upon the latest written designation on file. If this information is incorrect or incomplete, please call your financial consultant.

Please note, if your account is a Coverdell Education Savings Account (formerly Education IRA), please disregard this section. Wells Fargo Investments, LLC Education Savings Account plan agreements do not allow these types of beneficiary designations.

Primary Beneficiary (ies)	Relationship	Percentage
JAMES A C MCDERMOTT	NON-SPOUSE	50.00%
KATHERINE E MCDERMOTT	NON-SPOUSE	50.00%

Contingent Beneficiary (ies)	Relationship	Percentage
THERESE M HANSEN - SPOUSE	SPOUSE	100.00%

WELLS FARGO INVESTMENTS
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Regional Office/Kenzie Division
Customer Operations
P.O. Box [illegible] 57117-5275

Retirement Account

MB 01 108194 76835 H 376 A

WELLS FARGO BANK IRA C/F
JAMES A MCDERMOTT
710 9TH ST SE
WASHINGTON DC 20003-2904

Financial Consultant: AM29	Last Statement Date: October 31, 2003
JANE SUZICK 999 THIRD AVE STE 4000 SEATTLE WA 98104 (206) 515-2222	Account Number: W57047027

Portfolio Summary

	Current Value	Previous Value
Money Market Funds/Cash	$73,109.38	$79,288.22
Stocks	$147,889.74	$146,840.65
Total Portfolio Value	**$220,999.12**	**$226,128.87**

Activity Summary November 2003

	Amount
Total Asset Value as of October 31, 2003	**$226,128.87**
Cash/Money Market Activity for November	
Closing Balance as of 10/31/03	$79,288.22
Income	$321.16
Withdrawals	($6,500.00)
Closing Balance as of 11/30/03	**$73,109.38**
Net Change Cash/Money Market Activity	**($6,178.84)**
Changes In Securities Value for November	
Value of Priced Securities as of 10/31/03	$146,840.65
Value of Priced Securities as of 11/30/03	$147,889.74
Net Change Securities Value	**$1,049.09**
Total Asset Value as of November 30, 2003	**$220,999.12**

Income Summary

	November 30, 2003	Year To Date
Taxable Money Market Funds	$23.66	$395.43
Equity Securities & Mutual Funds	$297.50	$2,276.20
Total Income	**$321.16**	**$2,671.63**

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WELLS FARGO INVESTMENTS
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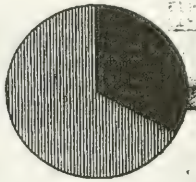
WELLS FARGO BANK IRA C/F
JAMES A MCDERMOTT
710 9TH ST SE
Account number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 515-2222

Current Portfolio Allocation



33 % Cash & Money Market Funds
67 % Stocks

Portfolio Value

CASH & MONEY MARKET FUNDS

Quantity	Description	Market Price	Market Value	Estimated Annual Income
	CASH BALANCE		$97.50	
73,011.88	WF MONEY MARKET FD CL A SHARES 30 Day Yield: 0.37%	1.00	$73,011.88	$270
	Cash & Money Market Funds Subtotal		**$73,109.38**	**$270**

STOCKS

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annual Income
800	ALBERTSONS INCORPORATED	ABS	$21.28	Long	$17,024.00	$608
40	AMGEN INC	AMGN	57.62	Long	2,304.80	
80	APPLIED MATERIALS INC	AMAT	24.24	Long	1,939.20	
470	CISCO SYSTEMS INC	CSCO	22.70	Long	10,669.00	

WELLS FARGO INVESTMENTS
Member New York Stock Exchange

WELLS FARGO BANK IRA C/F
JAMES A MCDERMOTT
710 9TH ST SE
Account number: W570470271
Financial Consultant: JANE SUZICK
Phone Number: (206) 515-2222

Portfolio Value (continued)

STOCKS (continued)

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annual Income
485	HOME DEPOT INC	HD	36.76	Long	17,828.60	135
200	ICOS CORPORATION	ICOS	45.17	Long	9,034.00	
200	INTEL CORP	INTC	33.54	Long	6,708.00	16
15	INTL BUSINESS MACH CRP	IBM	90.54	Long	1,358.10	9
1,000	MARATHON OIL CORP	MRO	29.61	Long	29,610.00	1,000
48	MEDCO HEALTH SOLUTIONS INC	MHS	36.43	Long	1,748.64	
400	MERCK & CO INC	MRK	40.60	Long	16,240.00	592
300	MICROSOFT CORP	MSFT	25.71	Long	7,713.00	48
40	NOVELLUS SYS INC	NVLS	43.76	Long	1,750.40	
300	T C F FINANCIAL CORP	TCB	52.74	Long	15,822.00	390
1,000	TRIQUINT SEMICONDUCTOR INC	TQNT	8.14	Long	8,140.00	
	Stocks Subtotal				$147,889.74	$2,798

TOTAL PORTFOLIO VALUE

	Total Market Value	Total Estimated Annual Income
TOTAL PORTFOLIO VALUE	**$220,999.12**	**$3,068**

Activity Details

PURCHASES AND SALES

SETTLED TRADES

Trade Date	Description		Settle Date	Activity	Quantity	Price	Amount
11/10/03	WF MONEY MARKET FD	CL A SHARES	11/10/03	PURCHASE		$1.00	($48.00)
11/12/03	WF MONEY MARKET FD	CL A SHARES	11/12/03	PURCHASE		1.00	(152.00)
11/24/03	WF MONEY MARKET FD	CL A SHARES	11/24/03	SALE		1.00	6,500.00
11/28/03	WF MONEY MARKET FD	CL A SHARES		REINVEST DIV			(23.66)
	TOTAL						$6,276.34

WELLS FARGO INVESTMENTS

Member New York Stock Exchange

WELLS FARGO BANK IRA C/F
JAMES A MCDERMOTT
710 9TH ST SE

Account number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 515-2222

Activity Details (continued)

[redacted]

MONEY MARKET FUNDS

Date	Description		Activity	Amount
11/28/03	WF MONEY MARKET FD	CL A SHARES	DIVIDEND	$23.66
	TOTAL			$23.66

STOCKS

Date	Description	Activity	Amount
11/07/03	MICROSOFT CORP	DIVIDEND	$48.00
11/10/03	ALBERTSONS INCORPORATED	DIVIDEND	152.00
11/28/03	T C F FINANCIAL CORP	DIVIDEND	97.50
	TOTAL		$297.50

Cash Flow Analysis

[redacted]

Date	Description	Activity	Quantity	Price	Debit	Credit	Balance
	Beginning Cash Balance						$0.00
11/07/03	MICROSOFT CORP	DIVIDEND				$48.00	$48.00
11/10/03	ALBERTSONS INCORPORATED	DIVIDEND				152.00	200.00
11/10/03	WF MONEY MARKET FD	PURCHASE		1.00	(48.00)		152.00
11/12/03	WF MONEY MARKET FD	PURCHASE		1.00	(152.00)		0.00
11/21/03	TRANSFER CASH BALANCE	TRANSFER			(6,500.00)		(6,500.00)
11/24/03	WF MONEY MARKET FD	SALE		1.00		6,500.00	0.00
11/28/03	T C F FINANCIAL CORP	DIVIDEND				97.50	97.50
11/28/03	WF MONEY MARKET FD	DIVIDEND				23.66	121.16
11/28/03	WF MONEY MARKET FD	REINVEST DIV			(23.66)		97.50
	Ending Cash Balance						$97.50

WELLS FARGO INVESTMENTS
Member New York Stock Exchange

WELLS FARGO BANK IRA C/F
JAMES A MCDERMOTT
710 9TH STREE
Account number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 515-2222

IRA Account Summary & Beneficiary Designation

	Current Tax Year	Previous Tax Year
Contributions	$0.00	N/A
Distributions	$0.00	N/A
Rollovers	$0.00	N/A
Tax Withheld	$0.00	N/A

According to our records, you have designated the following beneficiaries for your retirement account. This section is provided for your informational purposes only, and reflects the latest entry in our system as of the date this statement was produced. At the time of death, assets will be dispersed based upon the latest written designation on file. If this information is incorrect or incomplete, please call your financial consultant.

Please note, if your account is a Coverdell Education Savings Account (formerly Education IRA), please disregard this section. Wells Fargo Investments, LLC Education Savings Account plan agreements do not allow these types of beneficiary designations.

Primary Beneficiary (ies)	Relationship	Percentage
JAMES A C MCDERMOTT	NON-SPOUSE	50.00%
KATHERINE E MCDERMOTT	NON-SPOUSE	50.00%

Contingent Beneficiary (ies)	Relationship	Percentage
THERESE M HANSEN - SPOUSE	SPOUSE	100.00%

3165

Wells Fargo Investments
Member New York Stock Exchange

Regent MacKenzie Division
Operations
P.O. [illegible]
[illegible] SD 57117-5275

Retirement Account

MB 01 108776 39815 H 379 A

WELLS FARGO BANK IRA C/F
JAMES A MCDERMOTT
710 9TH ST SE
WASHINGTON DC 20003-2303

Financial Consultant: AM20	Last Statement Date:
JANE SUZICK 999 THIRD AVE STE 4000 SEATTLE WA 98104 (206) 515-2222	September 30, 2003 Account Number: W57047027

Portfolio Summary

	Current Value	Previous Value
Money Market Funds/Cash	$79,288.22	$79,116.09
Stocks	$146,840.65	$139,352.34
Total Portfolio Value	**$226,128.87**	**$218,468.43**

Income Summary

	October 31, 2003	Year To Date
Taxable Money Market Funds	$24.13	$371.77
Equity Securities & Mutual Fonds	$148.00	$1,978.70
Total Income	**$172.13**	**$2,350.47**

Activity Summary October 2003

	Amount
Total Asset Value as of September 30, 2003	**$218,468.43**
Cash/Money Market Activity for October	
Closing Balance as of 09/30/03	$79,116.09
Income	$172.13
Closing Balance as of 10/31/03	**$79,288.22**
Net Change Cash/Money Market Activity	**$172.13**
Changes in Securities Value for October	
Value of Priced Securities as of 09/30/03	$139,352.34
Value of Priced Securities as of 10/31/03	$146,840.65
Net Change Securities Value	**$7,488.31**
Total Asset Value as of October 31, 2003	**$226,128.87**

Investment and Insurance Products:
- Are NOT insured by the FDIC or any other federal government agency
- Are NOT deposits of or guaranteed by the Bank or any Bank Affiliate
- May Lose Value

Wells Fargo Investments, LLC (member NYSE/SIPC), a non-bank affiliate of Wells Fargo & Company.

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You may also review your account information online at http://www.wellsfargo.com. Ask us for details

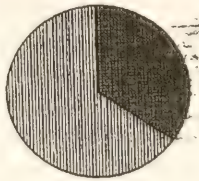
WELLS FARGO INVESTMENTS

Member New York Stock Exchange

JAMES A MCDERMOTT
710 9TH ST SE

Account number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 515-2222

Current Portfolio Allocation



35 % Cash & Money Market Funds
65 % Stocks

Portfolio Value

CASH & MONEY MARKET FUNDS 35%

Quantity	Description	Market Price	Market Value	Estimated Annual Income
79,288.22	WF MONEY MARKET FD CL A SHARES 30 Day Yield: 0.36%	$1.00	$79,288.22	$283
	Cash & Money Market Funds Subtotal		$79,288.22	$283

STOCKS 65%

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annual Income
800	ALBERTSONS INCORPORATED	ABS	$20.29	Long	$16,232.00	$608
40	AMGEN INC	AMGN	61.76	Long	2,470.40	
80	APPLIED MATERIALS INC	AMAT	23.33	Long	1,866.40	
470	CISCO SYSTEMS INC	CSCO	20.93	Long	9,837.10	
485	HOME DEPOT INC	HD	37.07	Long	17,978.95	135
200	ICOS CORPORATION	ICOS	46.72	Long	9,344.00	

WELLS FARGO INVESTMENTS
Member New York Stock Exchange

WELLS FARGO BANK IRA C/F
JAMES A MCDERMOTT
710 9TH ST SE
Account number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 515-2222

Portfolio Value (continued)

STOCKS (continued) 65%

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annual Income
200	INTEL CORP	INTC	32.95	Long	6,590.00	16
15	INTL BUSINESS MACH CRP	IBM	89.48	Long	1,342.20	9
1,000	MARATHON OIL CORP	MRO	29.57	Long	29,570.00	1,000
48	MEDCO HEALTH SOLUTIONS INC	MHS	33.20	Long	1,593.60	
400	MERCK & CO INC	MRK	44.25	Long	17,700.00	592
300	MICROSOFT CORP	MSFT	26.14	Long	7,842.00	48
40	NOVELLUS SYS INC	NVLS	41.25	Long	1,650.00	
300	T C F FINANCIAL CORP	TCB	52.18	Long	15,654.00	390
1,000	TRIQUINT SEMICONDUCTOR INC	TQNT	7.17	Long	7,170.00	
	Stocks Subtotal				$146,840.65	$2,798

TOTAL PORTFOLIO VALUE

	Total Market Value	Total Estimated Annual Income
TOTAL PORTFOLIO VALUE	$226,128.87	$3,081

Activity Details

PURCHASES AND SALES

SETTLED TRADES

Trade Date	Description		Settle Date	Activity	Quantity	Price	Amount
10/02/03	WF MONEY MARKET FD	CL A SHARES	10/02/03	PURCHASE		$1.00	($148.00)
10/31/03	WF MONEY MARKET FD	CL A SHARES		REINVEST DIV			(24.13)
	TOTAL						($172.13)

WELLS FARGO INVESTMENTS
Member New York Stock Exchange

WELLS FARGO BANK IRA C/F
JAMES A MCDERMOTT
710 9TH ST SE

Account number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 515-2222

Activity Details (continued)

INCOME

MONEY MARKET FUNDS

Date	Description		Activity	Amount
10/31/03	WF MONEY MARKET FD	CL A SHARES	DIVIDEND	$24.13
	TOTAL			$24.13

STOCKS

Date	Description	Activity	Amount
10/01/03	MERCK & CO INC	DIVIDEND	$148.00
	TOTAL		$148.00

Cash Flow Analysis

CHRONOLOGICAL TRANSACTIONS

Date	Description	Activity	Quantity	Price	Debit	Credit	Balance
	Beginning Cash Balance						$0.00
10/01/03	MERCK & CO INC	DIVIDEND				$148.00	$148.00
10/02/03	WF MONEY MARKET FD	PURCHASE		1.00	(148.00)		0.00
10/31/03	WF MONEY MARKET FD	DIVIDEND				24.13	24.13
10/31/03	WF MONEY MARKET FD	REINVEST DIV			(24.13)		0.00
	Ending Cash Balance						$0.00

WELLS FARGO INVESTMENTS
Member New York Stock Exchange

WELLS FARGO BANK IRA C/F
JAMES A MCDERMOTT
710 9TH ST SE

Account number: W67047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 515-2222

IRA Account Summary & Beneficiary Designation

	Current Tax Year	Previous Tax Year
Contributions	$0.00	N/A
Distributions	$0.00	N/A
Rollovers	$0.00	N/A
Tax Withheld	$0.00	N/A

According to our records, you have designated the following beneficiaries for your retirement account. This section is provided for your informational purposes only, and reflects the latest entry in our system as of the date this statement was produced. At the time of death, assets will be dispersed based upon the latest written designation on file. If this information is incorrect or incomplete, please call your financial consultant.

Please note, if your account is a Coverdell Education Savings Account (formerly Education IRA), please disregard this section. Wells Fargo Investments, LLC Education Savings Account plan agreements do not allow these types of beneficiary designations.

Primary Beneficiary (ies)	Relationship	Percentage
JAMES A C MCDERMOTT	NON-SPOUSE	50.00%
KATHERINE E MCDERMOTT	NON-SPOUSE	50.00%

Contingent Beneficiary (ies)	Relationship	Percentage
THERESE M HANSEN - SPOUSE	SPOUSE	100.00%

WELLS FARGO INVESTMENTS

Member New York Stock Exchange

Regan MacKenzie Division
Ra[illegible] Operations
P[illegible]
S[illegible] 97117-5275

MB 01 107059 89671 H 382 A

WELLS FARGO BANK NA CUF
JAMES A MCDERMOTT
710 9TH ST SE
WASHINGTON DC 20003-2804

Retirement Account

September 1, 2003 - September 30, 2003

Financial Consultant: AM29
JANE SUZICK
999 THIRD AVE STE 4000
SEATTLE WA 98104
(206) 515-2222

Last Statement Date:
August 31, 2003

Account Number:
W57047027

Portfolio Summary

	Current Value	Previous Value
Money Market Funds/Cash	$79,116.09	$78,803.17
Stocks	$139,352.34	$138,676.55
Total Portfolio Value	**$218,468.43**	**$217,479.72**

Income Summary

	September 30, 2003	Year To Date
Taxable Money Market Funds	$22.57	$347.64
Equity Securities & Mutual Funds	$290.35	$1,830.70
Total Income	**$312.92**	**$2,178.34**

Activity Summary

	Amount
Total Asset Value as of August 31, 2003	**$217,479.72**
Cash/Money Market Activity for September	
Closing Balance as of 08/31/03	$78,803.17
Income	$312.92
Closing Balance as of 09/30/03	**$79,116.09**
Net Change Cash/Money Market Activity	**$312.92**
Changes in Securities Value for September	
Value of Priced Securities as of 08/31/03	$138,676.55
Value of Priced Securities as of 09/30/03	$139,352.34
Net Change Securities Value	**$675.79**
Total Asset Value as of September 30, 2003	**$218,468.43**

Investment and Insurance Products:
- Are NOT insured by the FDIC or any other federal government agency
- Are NOT deposits of or guaranteed by Wells Fargo Bank or any Bank Affiliate
- May Lose Value

Wells Fargo Investments, LLC (member NYSE/SIPC), a non-bank affiliate of Wells Fargo & Company.

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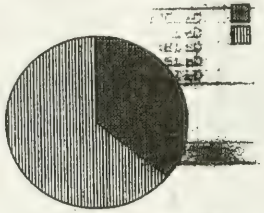
WELLS FARGO INVESTMENTS

Member New York Stock Exchange

September 1, 2003 - September 30, 2003

Account Number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 515-2222

Current Portfolio Allocation



36 % Cash & Money Market Funds
64 % Stocks

Important Information About Your Account

A reminder for IRA clients turning 70 ½ or older this year: Clients past their first required distribution year must take required distribution from their IRAs by December 31, 2003. Clients turning 70 ½ this year must take their required distributions before April 1, 2004. Thank you for allowing us to serve your retirement needs.

Portfolio Value

CASH & MONEY MARKET

Quantity	Description	Market Price	Market Value	Estimated Annual Income
79,116.09	WF MONEY MARKET FD CL A SHARES 30 Day Yield=0.35%	1.00	$79,116.09	$275
	Cash & Money Market Funds Subtotal		**$79,116.09**	**$275**

STOCKS

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annual Income
800	ALBERTSONS INCORPORATED	ABS	$20.57	Long	$16,456.00	$608
40	AMGEN INC	AMGN	64.52	Long	2,580.80	
80	APPLIED MATERIALS INC	AMAT	18.13	Long	1,450.40	
470	CISCO SYSTEMS INC	CSCO	19.59	Long	9,207.30	
485	HOME DEPOT INC	HD	31.85	Long	15,447.25	
200	ICOS CORPORATION	ICOS	38.35	Long	7,670.00	135

3172

WELLS FARGO INVESTMENTS

Member New York Stock Exchange

September 1, 2003 - September 30, 2003

Account Number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 515-2222

Portfolio Value (continued)

STOCKS (continued)

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annual Income
200	INTEL CORP	INTC	27.52	Long	5,504.00	16
15	INTL BUSINESS MACH CRP	IBM	88.33	Long	1,324.95	9
1,000	MARATHON OIL CORP	MRO	28.50	Long	28,500.00	1,000
48	MEDCO HEALTH SOLUTIONS INC	MHS	25.93	Long	1,244.64	
400	MERCK & CO INC	MRK	50.62	Long	20,248.00	592
300	MICROSOFT CORP	MSFT	27.80	Long	8,340.00	48
40	NOVELLUS SYS INC	NVLS	33.60	Long	1,344.00	
300	T C F FINANCIAL CORP	TCB	47.95	Long	14,385.00	390
1,000	TRIQUINT SEMICONDUCTOR INC	TQNT	5.65	Long	5,650.00	
	Stocks Subtotal				$139,352.34	$2,798

TOTAL PORTFOLIO VALUE

	Total Market Value	Total Estimated Annual Income
TOTAL PORTFOLIO VALUE	$218,468.43	$3,073

Activity Details

PURCHASES AND SALES

SETTLED TRADES

Trade Date	Description		Settle Date	Activity	Quantity	Price	Amount
09/02/03	WF MONEY MARKET FD	CL A SHARES	09/02/03	PURCHASE		$1.00	($97.50)
09/03/03	WF MONEY MARKET FD	CL A SHARES	09/03/03	PURCHASE		1.00	(4.00)
09/11/03	WF MONEY MARKET FD	CL A SHARES	09/11/03	PURCHASE		1.00	(252.40)
09/19/03	WF MONEY MARKET FD	CL A SHARES	09/19/03	PURCHASE		1.00	(33.95)
09/30/03	WF MONEY MARKET FD	CL A SHARES		REINVEST DIV			(22.57)
	TOTAL						($410.42)

WELLS FARGO INVESTMENTS

Member New York Stock Exchange

September 1, 2003 - September 30, 2003

Account Number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 515-2222

Activity Details (continued)

INCOME

MONEY MARKET FUNDS

Date	Description		Activity	Amount
09/30/03	WF MONEY MARKET FD	CL A SHARES	DIVIDEND	$22.57
	TOTAL			$22.57

STOCKS

Date	Description	Activity	Amount
09/02/03	INTEL CORP	DIVIDEND	$4.00
09/10/03	INTL BUSINESS MACH CRP	DIVIDEND	2.40
09/10/03	MARATHON OIL CORP	DIVIDEND	250.00
09/18/03	HOME DEPOT INC	DIVIDEND	33.95
	TOTAL		$290.35

Cash Flow Analysis

CHRONOLOGICAL TRANSACTION SUMMARY

Date	Description	Activity	Quantity	Price	Debit	Credit	Balance
	Beginning Cash Balance						$97.50
09/02/03	INTEL CORP	DIVIDEND				$4.00	$101.50
09/02/03	WF MONEY MARKET FD	PURCHASE		1.00	(97.50)		4.00
09/03/03	WF MONEY MARKET FD	PURCHASE		1.00	(4.00)		0.00
09/10/03	INTL BUSINESS MACH CRP	DIVIDEND				2.40	2.40
09/10/03	MARATHON OIL CORP	DIVIDEND				250.00	252.40
09/11/03	WF MONEY MARKET FD	PURCHASE		1.00	(252.40)		0.00
09/18/03	HOME DEPOT INC	DIVIDEND				33.95	33.95
09/19/03	WF MONEY MARKET FD	PURCHASE		1.00	(33.95)		0.00
09/30/03	WF MONEY MARKET FD	DIVIDEND				22.57	22.57
09/30/03	WF MONEY MARKET FD	REINVEST DIV			(22.57)		0.00
	Ending Cash Balance						$0.00

WELLS FARGO INVESTMENTS

Member New York Stock Exchange

September 1, 2003 - September 30, 2003

Account Number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 515-2222

IRA Account Summary & Beneficiary Designation

	Current Tax Year	Previous Tax Year
Contributions	$0.00	N/A
Distributions	$0.00	N/A
Rollovers	$0.00	N/A
Tax Withheld	$0.00	N/A

According to our records, you have designated the following beneficiaries for your retirement account. This section is provided for your informational purposes only, and reflects the latest entry in our system as of the date this statement was produced. At the time of death, assets will be dispersed based upon the latest written designation on file. If this information is incorrect or incomplete, please call your financial consultant.

Please note, if your account is a Coverdell Education Savings Account (formerly Education IRA), please disregard this section. Wells Fargo Investments, LLC Education Savings Account plan agreements do not allow these types of beneficiary designations.

Primary Beneficiary (ies)	Relationship	Percentage
JAMES A C MCDERMOTT	NON-SPOUSE	50.00%
KATHERINE E MCDERMOTT	NON-SPOUSE	50.00%

Contingent Beneficiary (ies)	Relationship	Percentage
THERESE M HANSEN - SPOUSE	SPOUSE	100.00%

3175

Member New York Stock Exchange

Retirement Account

MB 01 109529 51176 H 370 A

WELLS FARGO BANK IRA CUS
JAMES A MCDERMOTT
710 9TH ST SE
WASHINGTON DC 20003

August 1, 2003 - August 31, 2003

Financial Consultant: AM29
JANE SUZICK
999 THIRD AVE STE 4000
SEATTLE WA 98104
(206) 515-2222

Last Statement Date:
July 31, 2003

Account Number:
W57047027

Portfolio Summary

	Current Value	Previous Value
Money Market Funds/Cash	$78,803.17	$78,524.79
Stocks	$138,676.55	$134,055.05
Total Portfolio Value	**$217,479.72**	**$212,579.84**

Income Summary

	August 31, 2003	Year To Date
Taxable Money Market Funds	$23.07	$325.07
Equity Securities & Mutual Funds	$249.50	$1,540.35
Total Income	**$272.57**	**$1,865.42**

Activity Summary

	Amount
Total Asset Value as of July 31, 2003	**$212,579.84**
Cash/Money Market Activity for August	
Closing Balance as of 07/31/03	$78,524.79
Income	$272.57
Other	$5.81
Closing Balance as of 08/31/03	**$78,803.17**
Net Change Cash/Money Market Activity	**$278.38**
Changes in Securities Value for August	
Value of Priced Securities as of 07/31/03	$134,055.05
Value of Priced Securities as of 08/31/03	$138,676.55
Net Change Securities Value	**$4,621.50**
Total Asset Value as of August 31, 2003	**$217,479.72**

Investment and Insurance Products:
- Are NOT insured by the FDIC or any other federal government agency
- Are NOT deposits of or guaranteed by the Bank or any Bank Affiliate
- May Lose Value

Wells Fargo Investments, LLC (member NYSE/SIPC), a non-bank affiliate of Wells Fargo & Company.

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You may also review your account information online at

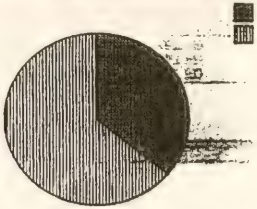
WELLS FARGO INVESTMENTS

Member New York Stock Exchange

August 1, 2003 - August 31, 2003

Account Number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 515-2222

Current Portfolio Allocation



36 % Cash & Money Market Funds
64 % Stocks

Important Information About Your Account

We are pleased to announce that we now include ratings for preferred stocks, municipal bonds and corporate bonds in the description sections of your statement, when available. If you have any questions, please contact us at the telephone number listed on this statement.

Portfolio Value

CASH & MONEY MARKET FUNDS

Quantity	Description	Market Price	Market Value	Estimated Annual Income
	CASH BALANCE		$97.50	
78,705.67	WF MONEY MARKET FD CL A SHARES	1.00	$78,705.67	$271
	30 Day Yield: [illegible]			
	Cash & Money Market Funds Subtotal		$78,803.17	$271

STOCKS

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annual Income
800	ALBERTSONS INCORPORATED	ABS	$21.02	Long	$16,816.00	$608
40	AMGEN INC	AMGN	65.94	Long	2,637.60	
80	APPLIED MATERIALS INC	AMAT	21.63	Long	1,730.40	
470	CISCO SYSTEMS INC	CSCO	19.14	Long	8,995.80	
485	HOME DEPOT INC	HD	32.16	Long	15,597.60	135

3177

WELLS FARGO INVESTMENTS
Member New York Stock Exchange

August 1, 2003 - August 31, 2003

Account Number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 515-2222

Portfolio Value (continued)

STOCKS (continued)

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annual Income
200	ICOS CORPORATION	ICOS	38.90	Long	7,780.00	
200	INTEL CORP	INTC	28.59	Long	5,718.00	1
15	INTL BUSINESS MACH CORP	IBM	82.01	Long	1,230.15	
1,000	MARATHON OIL CORP	MRO	27.89	Long	27,890.00	1,00
48	MEDCO HEALTH SOLUTIONS INC	MHS	26.70	Long	1,281.60	
400	MERCK & CO INC	MRK	50.32	Long	20,128.00	59
300	MICROSOFT CORP	MSFT	26.52	Long	7,956.00	2
40	NOVELLUS SYS INC	NVLS	39.96	Long	1,598.40	
300	T C F FINANCIAL CORP	TCB	45.99	Long	13,797.00	39
1,000	TRIQUINT SEMICONDUCTOR	TQNT	5.52	Long	5,520.00	
	Stocks Subtotal				**$138,676.55**	**$2,77**

TOTAL PORTFOLIO VALUE

	Total Market Value	Total Estimated Annual Income
TOTAL PORTFOLIO VALUE	**$217,479.72**	**$3,04**

Activity Details

PURCHASES AND SALES

SETTLED TRADES

Trade Date	Description		Settle Date	Activity	Quantity	Price	Amount
08/12/03	WF MONEY MARKET FD	CL A SHARES	08/12/03	PURCHASE		$1.00	($152.00)
08/29/03	WF MONEY MARKET FD	CL A SHARES		REINVEST DIV			(23.07)
08/29/03	WF MONEY MARKET FD	CL A SHARES	08/29/03	PURCHASE		1.00	(5.81)
	TOTAL						**($180.88)**

WELLS FARGO INVESTMENTS
Member New York Stock Exchange

August 1, 2003 - August 31, 2003

Account Number: W67047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 515-2222

Activity Details (continued)

INCOME

MONEY MARKET FUNDS

Date	Description		Activity	Amount
08/29/03	WF MONEY MARKET FD	CL A SHARES	DIVIDEND	$23.07
	TOTAL			$23.07

STOCKS

Date	Description	Activity	Amount
08/11/03	ALBERTSONS INCORPORATED	DIVIDEND	$152.00
08/29/03	T C F FINANCIAL CORP	DIVIDEND	97.50
	TOTAL		$249.50

MISCELLANEOUS TRANSACTIONS

Transaction Date	Description	Symbol/ Cusip	Activity	Quantity	Credit	Debit
08/28/03	MEDCO HEALTH SOLUTIONS INC 081903 400	58405U102	CASH IN LIEU		$5.81	

Cash Flow Analysis

CHRONOLOGICAL TRANSACTION SUMMARY

Date	Description	Activity	Quantity	Price	Debit	Credit	Balance
	Beginning Cash Balance						$0.00
08/11/03	ALBERTSONS INCORPORATED	DIVIDEND				$152.00	$152.00
08/12/03	WF MONEY MARKET FD	PURCHASE		1.00	(152.00)		0.00
08/20/03	MEDCO HEALTH SOLUTIONS	STOCK DIV	48				0.00
08/28/03	MEDCO HEALTH SOLUTIONS	CASH IN LIEU				5.81	5.81
08/29/03	T C F FINANCIAL CORP	DIVIDEND				97.50	103.31
08/29/03	WF MONEY MARKET FD	DIVIDEND				23.07	126.38
08/29/03	WF MONEY MARKET FD	REINVEST DIV			(23.07)		103.31
08/28/03	WF MONEY MARKET FD	PURCHASE		1.00	(5.81)		97.50
	Ending Cash Balance						$97.50

WELLS FARGO INVESTMENTS

Member New York Stock Exchange

August 1, 2003 - August 31, 2003

Account Number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 515-2222

IRA Account Summary & Beneficiary Designation

	Current Tax Year	Previous Tax Year
Contributions	$0.00	N/A
Distributions	$0.00	N/A
Rollovers	$0.00	N/A
Tax Withheld	$0.00	N/A

According to our records, you have designated the following beneficiaries for your retirement account. This section is provided for your informational purposes only, and reflects the latest entry in our system as of the date this statement was produced. At the time of death, assets will be dispersed based upon the latest written designation on file. If this information is incorrect or incomplete, please call your financial consultant.

Please note, if your account is a Coverdell Education Savings Account (formerly Education IRA), please disregard this section. Wells Fargo Investments, LLC Education Savings Account plan agreements do not allow these types of beneficiary designations.

Primary Beneficiary (ies)	Relationship	Percentage
JAMES A C MCDERMOTT	NON-SPOUSE	50.00%
KATHERINE E MCDERMOTT	NON-SPOUSE	50.00%

Contingent Beneficiary (ies)	Relationship	Percentage
THERESE M HANSEN - SPOUSE	SPOUSE	100.00%

WELLS FARGO INVESTMENTS

Member New York Stock Exchange

...gris Division
...rations
...117-5275

Retirement Account

MB 01 111378 35054 H 303 A

WELLS FARGO BANK IRA CF
JAMES A MCDERMOTT
710 9TH ST SE
WASHINGTON DC 20003-2804

July 1, 2003 - July 31, 2003

Financial Consultant: AM29
JANE SUZICK
999 THIRD AVE STE 4000
SEATTLE WA 98104
(206) 343-5000

Last Statement Date:
June 30, 2003

Account Number:
W57047027

Portfolio Summary

	Current Value	Previous Value
Money Market Funds/Cash	$78,524.79	$90,979.92
Stocks	$134,055.05	$119,820.80
Total Portfolio Value	**$212,579.84**	**$210,800.72**

Activity Summary

	Amount
Total Asset Value as of June 30, 2003	**$210,800.72**
Cash/Money Market Activity for July	
Closing Balance as of 06/30/03	$90,979.92
Income	$171.64
Securities Bought	($12,626.77)
Closing Balance as of 07/31/03	**$78,524.79**
Net Change Cash/Money Market Activity	**($12,455.13)**
Changes in Securities Value for July	
Value of Priced Securities as of 06/30/03	$119,820.80
Securities Bought	$12,626.77
Value of Priced Securities as of 07/31/03	$134,055.05
Net Change Securities Value	**$14,234.25**
Total Asset Value as of July 31, 2003	**$212,579.84**

Income Summary

	July 31, 2003	Year To Date
Taxable Money Market Funds	$27.64	$302.00
Equity Securities & Mutual Funds	$144.00	$1,290.85
Total Income	**$171.64**	**$1,592.85**

Investment and Insurance Products
- Are NOT insured by the FDIC or any other federal government agency
- Are NOT deposits of or guaranteed by Wells Fargo Bank or any Bank Affiliate
- May Lose Value

Wells Fargo Investments, LLC (member NYSE/SIPC), a non-bank affiliate of Wells Fargo & Company.

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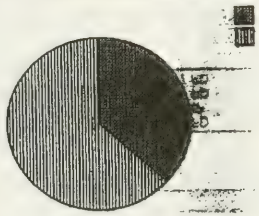
3181

Member New York Stock Exchange

July 1, 2003 - July 31, 2003

Account Number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 343-5000

Current Portfolio Allocation



37 % Cash & Money Market Funds
63 % Stocks

Portfolio Value

CASH & MONEY MARKET FUNDS

Quantity	Description	Market Price	Market Value	Estimated Annual Income
78,524.79	WF MONEY MARKET FD CL A SHARES 30 Day Yield: 0.393%	$1.00	$78,524.79	$308
	Cash & Money Market Funds Subtotal		$78,524.79	$308

STOCKS

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annual Income
800	ALBERTSONS INCORPORATED	ABS	$18.86	Long	$15,088.00	$608
40	AMGEN INC	AMGN	69.43	Long	2,777.20	
80	APPLIED MATERIALS INC	AMAT	19.46	Long	1,556.80	
470	CISCO SYSTEMS INC	CSCO	19.49	Long	9,160.30	
485	HOME DEPOT INC	HD	31.20	Long	15,132.00	116
200	ICOS CORPORATION	ICOS	43.46	Long	8,692.00	

WELLS FARGO INVESTMENTS

Member New York Stock Exchange

July 1, 2003 - July 31, 2003

Account Number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 343-5000

Portfolio Value (continued)

STOCKS (continued) 63%

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annua Income
200	INTEL CORP	INTC	24.89	Long	4,978.00	16
15	INTL BUSINESS MACH CRP	IBM	81.25	Long	1,218.75	9
1,000	MARATHON OIL CORP	MRO	25.74	Long	25,740.00	1,000
400	MERCK & CO INC	MRK	55.28	Long	22,112.00	69
300	MICROSOFT CORP	MSFT	26.41	Long	7,923.00	2
40	NOVELLUS SYS INC	NVLS	35.80	Long	1,432.00	
300	T C F FINANCIAL CORP	TCB	45.65	Long	13,695.00	39
1,000	TRIQUINT SEMICONDUCTOR	TQNT	4.55	Long	4,550.00	
	Stocks Subtotal				$134,055.05	$2,75

TOTAL PORTFOLIO VALUE

	Total Market Value	Tot Estimate Annu Incom
TOTAL PORTFOLIO VALUE	$212,579.84	$3,06

Activity Details

PURCHASES AND SALES

SETTLED TRADES

Trade Date	Description		Settle Date	Activity	Quantity	Price	Amount
07/02/03	WF MONEY MARKET FD	CL A SHARES	07/02/03	PURCHASE		$1.00	($144.00)
07/08/03	T C F FINANCIAL CORP		07/11/03	PURCHASE	300	41.27	(12,626.77)
07/11/03	WF MONEY MARKET FD	CL A SHARES	07/11/03	SALE		1.00	12,626.77
07/31/03	WF MONEY MARKET FD	CL A SHARES		REINVEST DIV			(27.64)
	TOTAL						($171.64)

Member New York Stock Exchange

July 1, 2003 - July 31, 2003

Account Number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 343-5000

Activity Details (continued)

INCOME

MONEY MARKET FUNDS

Date	Description		Activity	Amount
07/31/03	WF MONEY MARKET FD	CL A SHARES	DIVIDEND	$27.64
	TOTAL			$27.64

STOCKS

Date	Description	Activity	Amount
07/01/03	MERCK & CO INC	DIVIDEND	$144.00
	TOTAL		$144.00

Cash Flow Analysis

CHRONOLOGICAL TRANS[illegible]ARY

Date	Description	Activity	Quantity	Price	Debit	Credit	Balance
	Beginning Cash Balance						$0.00
07/01/03	MERCK & CO INC	DIVIDEND				$144.00	$144.00
07/02/03	WF MONEY MARKET FD	PURCHASE		1.00	(144.00)		0.00
07/08/03	T C F FINANCIAL CORP	PURCHASE	300	41.27	(12,626.77)		(12,626.77)
07/11/03	WF MONEY MARKET FD	SALE		1.00		12,626.77	0.00
07/31/03	WF MONEY MARKET FD	DIVIDEND				27.64	27.64
07/31/03	WF MONEY MARKET FD	REINVEST DIV			(27.64)		0.00
	Ending Cash Balance						$0.00

WELLS FARGO INVESTMENTS
Member New York Stock Exchange

July 1, 2003 - July 31, 2003

Account Number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 343-5000

IRA Account Summary & Beneficiary Designation

	Current Tax Year	Previous Tax Year
Contributions	$0.00	N/A
Distributions	$0.00	N/A
Rollovers	$0.00	N/A
Tax Withheld	$0.00	N/A

According to our records, you have designated the following beneficiaries for your retirement account. This section is provided for your informational purposes only, and reflects the latest entry in our system as of the date this statement was produced. At the time of death, assets will be dispersed based upon the latest written designation on file. If this information is incorrect or incomplete, please call your financial consultant.

Please note, if your account is a Coverdell Education Savings Account (formerly Education IRA), please disregard this section. Wells Fargo Investments, LLC Education Savings Account plan agreements do not allow these types of beneficiary designations.

Primary Beneficiary (ies)	Relationship	Percentage
JAMES A C MCDERMOTT	NON-SPOUSE	50.00%
KATHERINE E MCDERMOTT	NON-SPOUSE	50.00%

Contingent Beneficiary (ies)	Relationship	Percentage
THERESE M HANSEN - SPOUSE	SPOUSE	100.00%

Wells Fargo Investments
Member New York Stock Exchange
[redacted] Division
[redacted]
[redacted] 571-17-5275

Retirement Account

MB 02 113054 79586 H 838 A

WELLS FARGO BANK [redacted] C/F
JAMES A MCDERMOTT [redacted]
710 9TH ST SE
WASHINGTON DC 20003-2004

June 1, 2003 - June 30, 2003

Financial Consultant: AM29
JANE SUZICK
999 THIRD AVE STE 4000
SEATTLE WA 98104
(206) 343-5000

Last Statement Date:
May 31, 2003

Account Number:
W57047027

Portfolio Summary

	Current Value	Previous Value
Money Market Funds/Cash	$90,979.92	$90,676.52
Stocks	$119,820.80	$117,177.15
Total Portfolio Value	**$210,800.72**	**$207,853.67**

Activity Summary

	Amount
Total Asset Value as of May 31, 2003	**$207,853.67**
Cash/Money Market Activity for June	
Closing Balance as of 05/31/03	$90,676.52
Income	$303.40
Closing Balance as of 06/30/03	**$90,979.92**
Net Change Cash/Money Market Activity	**$303.40**
Changes in Securities Value for June	
Value of Priced Securities as of 05/31/03	$117,177.15
Value of Priced Securities as of 06/30/03	$119,820.80
Net Change Securities Value	**$2,643.65**
Total Asset Value as of June 30, 2003	**$210,800.72**

Income Summary

	June 30, 2003	Year To Date
Taxable Money Market Funds	$37.90	$274.36
Equity Securities & Mutual Funds	$265.50	$1,146.85
Total Income	**$303.40**	**$1,421.21**

Investment and Insurance Products
- Are NOT insured by the FDIC [redacted] federal government agency
- Are NOT deposits of or guaranteed [redacted] Bank or any Bank Affiliate
- May Lose Value

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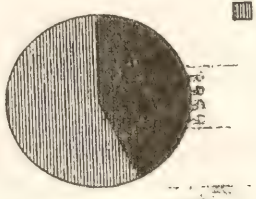
WELLS INVESTMENT

Member New York Stock Exchange

June 1, 2003 - June 30, 2003

Account Number: WS7047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 343-5000

Current Portfolio Allocation



43 % Cash & Money Market Funds
57 % Stocks

Important Information About Your Account

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Portfolio Value

CASH & MONEY MARKET FUNDS

Description	Market Price	Market Value	Estimated Annual Income
WF MONEY MARKET FD CL A SHARES 3.842 30 Day Yield: 0.51%	$1.00	$90,979.92	$462
Cash & Money Market Funds Subtotal		$90,979.92	$462

STOCKS

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annual Income
800	ALBERTSONS INCORPORATED	ABS	$19.20	Long	$15,360.00	$608
40	AMGEN INC	AMGN	65.94	Long	2,637.60	
80	APPLIED MATERIALS INC	AMAT	15.84	Long	1,267.20	
470	CISCO SYSTEMS INC	CSCO	16.79	Long	7,891.30	
485	HOME DEPOT INC	HD	33.12	Long	16,063.20	116
200	ICOS CORPORATION	ICOS	36.87	Long	7,374.00	

WELLS FARGO INVESTMENTS

Member New York Stock Exchange

June 1, 2003 - June 30, 2003

Account Number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 343-5000

Portfolio Value (continued)

STOCKS (continued)

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimate Annu Incom
200	INTEL CORP	INTC	20.81	Long	4,162.00	1
15	INTL BUSINESS MACH CRP	IBM	82.50	Long	1,237.50	
1,000	MARATHON OIL CORP	MRO	26.35	Long	26,350.00	92
400	MERCK & CO INC	MRK	60.55	Long	24,220.00	57
300	MICROSOFT CORP	MSFT	25.64	Long	7,692.00	2
40	NOVELLUS SYS INC	NVLS	36.65	Long	1,466.00	
1,000	TRIQUINT SEMICONDUCTOR	TQNT	4.10	Long	4,100.00	
	Stocks Subtotal				$119,820.80	$2,26

TOTAL PORTFOLIO VALUE

	Total Market Value	Tot Estimate Annu Incon
TOTAL PORTFOLIO VALUE	$210,800.72	$2,73

Activity Details

PURCHASES AND SALES

SETTLED TRADES

Trade Date	Description		Settle Date	Activity	Quantity	Price	Amount
06/03/03	WF MONEY MARKET FD	CL A SHARES	06/03/03	PURCHASE		$1.00	($4.00)
06/11/03	WF MONEY MARKET FD	CL A SHARES	06/11/03	PURCHASE		1.00	(232.40)
06/27/03	WF MONEY MARKET FD	CL A SHARES	06/27/03	PURCHASE		1.00	(29.10)
06/30/03	WF MONEY MARKET FD	CL A SHARES		REINVEST DIV			(37.90)
	TOTAL						($303.40)

WELLS FARGO INVESTMENTS

Member New York Stock Exchange

June 1, 2003 - June 30, 2003

Account Number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 343-5000

Activity Details (continued)

INCOME

MONEY MARKET FUNDS

Date	Description		Activity	Amount
06/30/03	WF MONEY MARKET FD	CL A SHARES	DIVIDEND	$37.90
	TOTAL			$37.90

STOCKS

Date	Description	Activity	Amount
06/02/03	INTEL CORP	DIVIDEND	$4.00
06/10/03	INTL BUSINESS MACH CRP	DIVIDEND	2.40
06/10/03	MARATHON OIL CORP	DIVIDEND	230.00
06/26/03	HOME DEPOT INC	DIVIDEND	29.10
	TOTAL		$265.50

Cash Flow Analysis

CHRONOLOGICAL TRANSACTION SUMMARY

Date	Description	Activity	Quantity	Price	Debit	Credit	Balance
	Beginning Cash Balance						$0.00
06/02/03	INTEL CORP	DIVIDEND				$4.00	$4.00
06/03/03	WF MONEY MARKET FD	PURCHASE	1.00		(4.00)		0.00
06/10/03	INTL BUSINESS MACH CORP	DIVIDEND				2.40	2.40
06/10/03	MARATHON OIL CORP	DIVIDEND				230.00	232.40
06/11/03	WF MONEY MARKET FD	PURCHASE	1.00		(232.40)		0.00
06/26/03	HOME DEPOT INC	DIVIDEND				29.10	29.10
06/27/03	WF MONEY MARKET FD	PURCHASE	1.00		(29.10)		0.00
06/30/03	WF MONEY MARKET FD	DIVIDEND				37.90	37.90
06/30/03	WF MONEY MARKET FD	REINVEST DIV			(37.90)		0.00
	Ending Cash Balance						$0.00

WELLS FARGO INVESTMENTS

Member New York Stock Exchange

June 1, 2003 - June 30, 2003

Account Number: WS7047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 343-5000

IRA Account Summary & Beneficiary Designation

	Current Tax Year	Previous Tax Year
Contributions	$0.00	N/A
Distributions	$0.00	N/A
Rollovers	$0.00	N/A
Tax Withheld	$0.00	N/A

According to our records, you have designated the following beneficiaries for your retirement account. This section is provided for your information purposes only, and reflects the latest entry in our system as of the date this statement was produced. At the time of death, assets will be dispersed based upon the latest written designation on file. If this information is incorrect or incomplete, please call your financial consultant.

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Primary Beneficiary (ies)	Relationship	Percentage
JAMES A C MCDERMOTT	NON-SPOUSE	50.00%
KATHERINE E MCDERMOTT	NON-SPOUSE	50.00%

Contingent Beneficiary (ies)	Relationship	Percentage
THERESE M HANSEN - SPOUSE	SPOUSE	100.00%

WELLS FARGO INVESTMENTS

Member New York Stock Exchange

Wenzie Division
Operations
D 57117-6275

Retirement Account

MB 01 111968 51210 H 400 A

WELLS FARGO BANK IRA C/F
JAMES A MCDERMOTT
710 9TH ST SE
WASHINGTON DC 20003-2004

May 1, 2003 - May 31, 2003

Financial Consultant: AM29
JANE SUZICK
999 THIRD AVE STE 4000
SEATTLE WA 98104
(206) 343-5000

Last Statement Date:
April 30, 2003

Account Number:
W57047027

Portfolio Summary

	Current Value	Previous Value
Money Market Funds/Cash	$90,676.52	$90,482.25
Stocks	$117,177.15	$108,829.35
Total Portfolio Value	**$207,853.67**	**$199,311.60**

Activity Summary

	Amount
Total Asset Value as of April 30, 2003	**$199,311.60**
Cash/Money Market Activity for May	
Closing Balance as of 04/30/03	$90,482.25
Income	$194.27
Closing Balance as of 05/31/03	**$90,676.52**
Net Change Cash/Money Market Activity	**$194.27**
Changes in Securities Value for May	
Value of Priced Securities as of 04/30/03	$108,829.35
Value of Priced Securities as of 05/31/03	$117,177.15
Net Change Securities Value	**$8,347.80**
Total Asset Value as of May 31, 2003	**$207,853.67**

Income Summary

	May 31, 2003	Year To Date
Taxable Money Market Funds	$42.27	$236.46
Equity Securities & Mutual Funds	$152.00	$881.35
Total Income	**$194.27**	**$1,117.81**

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3191

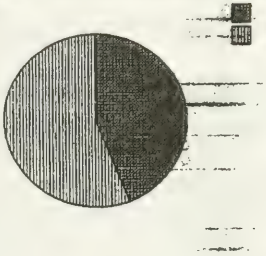
INVESTMENTS

Member New York Stock Exchange

May 1, 2003 - May 31, 2003

Account Number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 343-5000

Current Portfolio Allocation



44 % Cash & Money Market Funds
56 % Stocks

Important Information About Your Account

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Portfolio Value

CASH & MONEY MARKET FUNDS 44%

Description	Market Price	Market Value	Estimated Annual Income
WF MONEY MARKET FD CL A SHARES 30 Day Yield: 0.55%	$1.00	$90,676.52	$498
Cash & Money Market Funds Subtotal		$90,676.52	$498

STOCKS 56%

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annual Income
800	ALBERTSONS INCORPORATED	ABS	$20.87	Long	$16,696.00	$608
40	AMGEN INC	AMGN	64.74	Long	2,589.60	
80	APPLIED MATERIALS INC	AMAT	15.56	Long	1,244.80	
470	CISCO SYSTEMS INC	CSCO	16.41	Long	7,712.70	
485	HOME DEPOT INC	HD	32.49	Long	15,757.65	116
200	ICOS CORPORATION	ICOS	31.65	Long	6,330.00	

WELLS FARGO INVESTMENTS
Member New York Stock Exchange

May 1, 2003 - May 31, 2003

Account Number: W570470275
Financial Consultant: JANE SUZIC
Phone Number: (206) 343-5000

Portfolio Value (continued)

STOCKS (continued) 56%

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annual Income
200	INTEL CORP	INTC	20.82	Long	4,164.00	16
15	INTL BUSINESS MACH CRP	IBM	88.04	Long	1,320.60	9
1,000	MARATHON OIL CORP	MRO	25.73	Long	25,730.00	920
400	MERCK & CO INC	MRK	55.58	Long	22,232.00	576
300	MICROSOFT CORP	MSFT	24.61	Long	7,383.00	24
40	NOVELLUS SYS INC	NVLS	34.67	Long	1,386.80	
1,000	TRIQUINT SEMICONDUCTOR	TQNT	4.63	Long	4,630.00	
	Stocks Subtotal				$117,177.15	$2,269

TOTAL PORTFOLIO VALUE

	Total Market Value	Total Estimated Annual Income
TOTAL PORTFOLIO VALUE	$207,853.67	$2,767

Activity Details

PURCHASES AND SALES

SETTLED TRADES

Trade Date	Description		Settle Date	Activity	Quantity	Price	Amount
05/13/03	WF MONEY MARKET FD	CL A SHARES	05/13/03	PURCHASE		$1.00	($152.00)
05/30/03	WF MONEY MARKET FD	CL A SHARES		REINVEST DIV			(42.27)
	TOTAL						($194.27)

INCOME

MONEY MARKET FUNDS

Date	Description		Activity	Amount
05/30/03	WF MONEY MARKET FD	CL A SHARES	DIVIDEND	$42.27
	TOTAL			$42.27

WELLS FARGO INVESTMENTS

Member New York Stock Exchange

May 1, 2003 - May 31, 2003

Account Number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 343-5000

Activity Details (continued)

INCOME (continued)

STOCKS

Date	Description	Activity	Amount
05/12/03	ALBERTSONS INCORPORATED	DIVIDEND	$152.00
	TOTAL		$152.00

Cash Flow Analysis

CHRONOLOGICAL TRANSACTION SUMMARY

Date	Description	Activity	Quantity	Price	Debit	Credit	Balance
	Beginning Cash Balance						$0.00
05/12/03	ALBERTSONS INCORPORATED	DIVIDEND				$152.00	$152.00
05/13/03	WF MONEY MARKET FD	PURCHASE		1.00	(152.00)		0.00
05/30/03	WF MONEY MARKET FD	DIVIDEND				42.27	42.27
05/30/03	WF MONEY MARKET FD	REINVEST DIV			(42.27)		0.00
	Ending Cash Balance						$0.00

IRA Account Summary & Beneficiary Designation

	Current Tax Year	Previous Tax Year
Contributions	$0.00	N/A
Distributions	$0.00	N/A
Rollovers	$0.00	N/A
Tax Withheld	$0.00	N/A

According to our records, you have designated the following beneficiaries for your retirement account. If this information is incorrect or incomplete, please call your financial consultant. Please note, if your account is a Coverdell Education Savings Account (formerly Education IRA), Wells Fargo Investments, LLC plan agreements do not allow for the designation of a beneficiary on these accounts. Please disregard this section.

Primary Beneficiary (ies)	Relationship	Percentage
JAMES A C MCDERMOTT	NON-SPOUSE	50.00%
KATHERINE E MCDERMOTT	NON-SPOUSE	50.00%

Contingent Beneficiary (ies)	Relationship	Percentage
THERESE M HANSEN - SPOUSE	SPOUSE	100.00%

Member New York Stock Exchange

Division

Retirement Account

MB 01 110137 11433 H 394

WELLS FARGO BANK IRA C/F
JAMES A MCDERMOTT
710 9TH ST SE
WASHINGTON DC 20003-2604

April 1, 2003 - April 30, 2003

Financial Consultant: AM29
JANE SUZICK
999 THIRD AVE STE 4000
SEATTLE WA 98104
(206) 343-5000

Last Statement Date:
March 31, 2003

Account Number:
W57047027

Portfolio Summary

	Current Value	Previous Value
Money Market Funds/Cash	$90,482.25	$90,295.91
Stocks	$108,829.35	$101,533.85
Total Portfolio Value	**$199,311.60**	**$191,829.76**

Activity Summary

		Amount
Total Asset Value as of March 31, 2003		$191,829.76
Cash/Money Market Activity for April		
Closing Balance as of 03/31/03	$90,295.91	
Income	$186.34	
Closing Balance as of 04/30/03	$90,482.25	
Net Change Cash/Money Market Activity		$186.34
Changes in Securities Value for April		
Value of Priced Securities as of 03/31/03	$101,533.85	
Value of Priced Securities as of 04/30/03	$108,829.35	
Net Change Securities Value		$7,295.50
Total Asset Value as of April 30, 2003		$199,311.60

Income Summary

	April 30, 2003	Year To Date
Taxable Money Market Funds	$42.34	$194.19
Equity Securities & Mutual Funds	$144.00	$729.35
Total Income	**$186.34**	**$923.54**

Investment and Insurance Products:
- Are NOT insured by the FDIC [illegible] federal government agency
- Are NOT deposits of or guaranteed [illegible] any Bank Affiliate
- May Lose Value

Wells Fargo Investments, LLC (member NYSE/SIPC), a non-bank affiliate of Wells Fargo & Company

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You may also review your account information online at http://www.wellsfargo.com. Ask us for details.

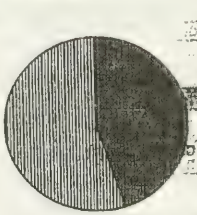
3195

Member New York Stock Exchange

April 1, 2003 - April 30, 2003

Account Number: W57047027
Financial Consultant: JANE SUZIK
Phone Number: (206) 343-2000

Current Portfolio Allocation



45 % Cash & Money Market Funds
55 % Stocks

Important Information About Your Account

VIEW THIS STATEMENT ONLINE!
Even better, set up your account to discontinue the mailing of this paper statement! Once signed on to your full service brokerage account, go to **Accounts**, select **Online Statements and Records**, choose **Delivery Preferences** and click **Online Only**. Reduce paper clutter in your life today!

Portfolio Value

CASH & MONEY MARKET FUNDS

Description	Market Price	Market Value	Estimated Annual Income
WF MONEY MARKET FD CL A SHARES 30 Day Yield: 0.57%	$1.00	$90,482.25	$515
Cash & Money Market Funds Subtotal		$90,482.25	$515

STOCK RESERVE

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annual Income
800	ALBERTSONS INCORPORATED	ABS	$19.86	Long	$15,888.00	$608
40	AMGEN INC	AMGN	61.30	Long	2,452.00	
80	APPLIED MATERIALS INC	AMAT	14.62	Long	1,169.60	
470	CISCO SYSTEMS INC	CSCO	15.00	Long	7,050.00	
485	HOME DEPOT INC	HD	28.13	Long	13,643.05	116
200	ICOS CORPORATION	ICOS	26.74	Long	5,348.00	

WELLS FARGO | WEST RINVS

Member New York Stock Exchange

April 1, 2003 - April 30, 2003

Account Number: W67047027-1
Financial Consultant: JANE SUZICK
Phone Number: (206) 343-5000

Portfolio Value (continued)

STOCKS (continued)

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annual Income
200	INTEL CORP	INTC	18.37	Long	3,674.00	16
15	INTL BUSINESS MACH CRP	IBM	84.90	Long	1,273.50	9
1,000	MARATHON OIL CORP	MRO	22.77	Long	22,770.00	920
400	MERCK & CO INC	MRK	58.18	Long	23,272.00	576
300	MICROSOFT CORP	MSFT	25.56	Long	7,668.00	24
40	NOVELLUS SYS INC	NVLS	28.03	Long	1,121.20	
1,000	TRIQUINT SEMICONDUCTOR	TQNT	3.50	Long	3,500.00	
	Stocks Subtotal				$108,829.35	$2,269

TOTAL PORTFOLIO VALUE

	Total Market Value	Total Estimated Annual Income
TOTAL PORTFOLIO VALUE	$199,311.60	$2,784

Activity Details

PURCHASES AND SALES

SETTLED TRADES

Trade Date	Description		Settle Date	Activity	Quantity	Price	Amount
04/02/03	WF MONEY MARKET FD	CL A SHARES	04/02/03	PURCHASE		$1.00	($144.00)
04/30/03	WF MONEY MARKET FD	CL A SHARES		REINVEST DIV			(42.34)
	TOTAL						($186.34)

INCOME

MONEY MARKET FUNDS

Date	Description		Activity	Amount
04/30/03	WF MONEY MARKET FD	CL A SHARES	DIVIDEND	$42.34
	TOTAL			$42.34

INVESTMENTS

Member New York Stock Exchange

April 1, 2003 - April 30, 2003

Account Number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 343-5000

Activity Details (continued)

[illegible] (continued)

STOCKS

Date	Description	Activity	Amount
04/01/03	MERCK & CO INC	DIVIDEND	$144.00
	TOTAL		$144.00

Cash Flow Analysis

CHRONOLOGICAL TRANSACTIONS

Date	Description	Activity	Quantity	Price	Debit	Credit	Balance
	Beginning Cash Balance						$0.00
04/01/03	MERCK & CO INC	DIVIDEND				$144.00	$144.00
04/02/03	WF MONEY MARKET FD	PURCHASE		1.00	(144.00)		0.00
04/30/03	WF MONEY MARKET FD	DIVIDEND				42.34	42.34
04/30/03	WF MONEY MARKET FD	REINVEST DIV			(42.34)		0.00
	Ending Cash Balance						$0.00

IRA Account Summary & Beneficiary Designation

	Current Tax Year	Previous Tax Year
Contributions	$0.00	N/A
Distributions	$0.00	N/A
Rollovers	$0.00	N/A
Tax Withheld	$0.00	N/A

According to our records, you have designated the following beneficiaries for your retirement account. If this information is incorrect or incomplete, please call your financial consultant. Please note, if your account is a Coverdell Education Savings Account (formerly Education IRA), Wells Fargo Investments, LLC plan agreements do not allow for the designation of a beneficiary on these accounts. Please disregard this section.

Primary Beneficiary (ies)	Relationship	Percentage
JAMES A C MCDERMOTT	NON-SPOUSE	50.00%
KATHERINE E MCDERMOTT	NON-SPOUSE	50.00%

Contingent Beneficiary (ies)	Relationship	Percentage
THERESE M HANSEN - SPOUSE	SPOUSE	100.00%

WELLS FARGO INVESTMENTS Division

Member New York Stock Exchange

MB 01 112237 68130 H 434

WELLS FARGO BANK IRA C/F
JAMES A MCDERMOTT
710 9TH ST SE
WASHINGTON DC 20003-2804

March 1, 2003 - March 31, 2003

Financial Consultant: AM29
JANE SUZICK
999 THIRD AVE STE 4000
SEATTLE WA 98104
(206) 343-5000

Last Statement Date:
February 28, 2003

Account Number:
W57047027

Portfolio Summary

	Current Value	Previous Value
Money Market Funds/Cash	$90,295.91	$89,959.10
Stocks	$101,533.85	$100,329.10
Total Portfolio Value	**$191,829.76**	**$190,288.20**

Activity Summary

		Amount
Total Asset Value as of February 28, 2003		$190,288.20
Cash/Money Market Activity for March		
Closing Balance as of 02/28/03	$89,959.10	
Income	$336.81	
Closing Balance as of 03/31/03	$90,295.91	
Net Change Cash/Money Market Activity		$336.81
Changes in Securities Value for March		
Value of Priced Securities as of 02/28/03	$100,329.10	
Value of Priced Securities as of 03/31/03	$101,533.85	
Net Change Securities Value		$1,204.75
Total Asset Value as of March 31, 2003		$191,829.76

Income Summary

	March 31, 2003	Year To Date
Taxable Money Market Funds	$47.46	$151.85
Equity Securities & Mutual Funds	$289.35	$585.35
Total Income	**$336.81**	**$737.20**

Investment and Insurance Product
- Are NOT insured by the FDIC or [illegible] government agency
- Are NOT deposits of or guaranteed [illegible] any Bank Affiliate
- May Lose Value

Wells Fargo Investments, LLC (member NYSE/SIPC), a non-bank affiliate of Wells Fargo & Company.

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3199

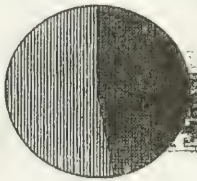
WELLS FARGO INVESTMENTS

Member New York Stock Exchange

March 1, 2003 - March 31, 2003

Account Number: WS7047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 343-6600

Current Portfolio Allocation



47 % Cash & Money Market Funds
53 % Stocks

Important Information About Your Account

Effective April 17, 2003 the fee for foreign securities transactions is $75 per trade, in addition to applicable commissions or other charges. Please contact us at the telephone number on this statement with any questions.

Portfolio Value

CASH & MONEY MARKET FUNDS

Quantity	Description	Market Price	Market Value	Estimated Annual Income
	WF MONEY MARKET FD CL A SHARES 30 Day Yield: 0.62%	$1.00	$90,295.91	$559
	Cash & Money Market Funds Subtotal		$90,295.91	$559

STOCKS

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annual Income
800	ALBERTSONS INCORPORATED	ABS	$18.85	Long	$15,080.00	$608
40	AMGEN INC	AMGN	57.55	Long	2,302.00	
80	APPLIED MATERIALS	AMAT	12.58	Long	1,006.40	
470	CISCO SYSTEMS	CSCO	12.98	Long	6,100.60	
485	HOME DEPOT INC	HD	24.36	Long	11,814.60	
200	ICOS CORPORATION	ICOS	18.71	Long	3,742.00	

WELLS FARGO INVESTMENTS

Member New York Stock Exchange

March 1, 2003 - March 31, 2003

Account Number: W5704702
Financial Consultant: JANE SUZICK
Phone Number: (206) 343-5000

Portfolio Value (continued)

STOCKS (continued)

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annual Income
200	INTEL CORP	INTC	16.28	Long	3,256.00	16
15	INTL BUSINESS MACH CRP	IBM	78.43	Long	1,176.45	9
1,000	MARATHON OIL CORP	MRO	23.97	Long	23,970.00	920
400	MERCK & CO INC	MRK	54.78	Long	21,912.00	576
300	MICROSOFT CORP	MSFT	24.21	Long	7,263.00	24
40	NOVELLUS SYS INC	NVLS	27.27	Long	1,090.80	
1,000	TRIQUINT SEMICONDUCTOR	TQNT	2.82	Long	2,820.00	
	Stocks Subtotal				$101,533.85	$2,269

TOTAL PORTFOLIO VALUE

	Total Market Value	Total Estimated Annual Income
TOTAL PORTFOLIO VALUE	$191,829.76	$2,828

Activity Details

PURCHASES AND SALES

SETTLED TRADES

Trade Date	Description		Settle Date	Activity	Quantity	Price	Amount
03/04/03	WF MONEY MARKET FD	CL A SHARES	03/04/03	PURCHASE		$1.00	($4.00)
03/10/03	WF MONEY MARKET FD	CL A SHARES	03/10/03	PURCHASE		1.00	(24.00)
03/11/03	WF MONEY MARKET FD	CL A SHARES	03/11/03	PURCHASE		1.00	(232.25)
03/28/03	WF MONEY MARKET FD	CL A SHARES	03/28/03	PURCHASE		1.00	(29.10)
03/31/03	WF MONEY MARKET FD	CL A SHARES		REINVEST DIV			(47.46)
	TOTAL						($336.81)

3201

Account Number: W5704702F
Financial Consultant: JANE SUZICK
Phone Number: (206) 343-5000

Member New York Stock Exchange

Activity Details (continued)

INCOME

MONEY MARKET FUNDS

Date	Description		Activity	Amount
03/31/03	WF MONEY MARKET FD	CL A SHARES	DIVIDEND	$47.46
	TOTAL			$47.46

STOCKS

Date	Description	Activity	Amount
03/03/03	INTEL CORP	DIVIDEND	$4.00
03/07/03	MICROSOFT CORP	DIVIDEND	24.00
03/10/03	INTL BUSINESS MACH CRP	DIVIDEND	2.25
03/10/03	MARATHON OIL CORP	DIVIDEND	230.00
03/27/03	HOME DEPOT INC	DIVIDEND	29.10
	TOTAL		$289.35

Cash Flow Analysis

CHRONOLOGICAL TRANSACTIONS

Date	Description	Activity	Quantity	Price	Debit	Credit	Balance
	Beginning Cash Balance						$0.00
03/03/03	INTEL CORP	DIVIDEND				$4.00	$4.00
03/04/03	WF MONEY MARKET FD	PURCHASE		1.00	(4.00)		0.00
03/07/03	MICROSOFT CORP	DIVIDEND				24.00	24.00
03/10/03	INTL BUSINESS MACH CRP	DIVIDEND				2.25	26.25
03/10/03	MARATHON OIL CORP	DIVIDEND				230.00	256.25
03/10/03	WF MONEY MARKET FD	PURCHASE		1.00	(24.00)		232.25
03/11/03	WF MONEY MARKET FD	PURCHASE		1.00	(232.25)		0.00
03/27/03	HOME DEPOT INC	DIVIDEND				29.10	29.10
03/28/03	WF MONEY MARKET FD	PURCHASE		1.00	(29.10)		0.00
03/31/03	WF MONEY MARKET FD	DIVIDEND				47.46	47.46
03/31/03	WF MONEY MARKET FD	REINVEST DIV			(47.46)		0.00
	Ending Cash Balance						$0.00

WELLS FARGO INVESTMENTS

Member New York Stock Exchange

March 1, 2003 - March 31, 2003

Account Number: W57047027
Financial Consultant: JANE SUZICH
Phone Number: (206) 341-5000

IRA Account Summary & Beneficiary Designation

	Current Tax Year	Previous Tax Year
Contributions	$0.00	N/A
Distributions	$0.00	N/A
Rollovers	$0.00	N/A
Tax Withheld	$0.00	N/A

According to our records, you have designated the following beneficiaries for your retirement account. If this information is incorrect or incomplete, please call your financial consultant. Please note, if your account is a Coverdell Education Savings Account (formerly Education IRA), Wells Fargo Investments, LLC plan agreements do not allow for the designation of a beneficiary on these accounts. Please disregard this section.

Primary Beneficiary (ies)	Relationship	Percentage
JAMES A C MCDERMOTT	NON-SPOUSE	50.00%
KATHERINE E MCDERMOTT	NON-SPOUSE	50.00%

Contingent Beneficiary (ies)	Relationship	Percentage
THERESE M HANSEN - SPOUSE	SPOUSE	100.00%

WELLS FARGO INVESTMENTS

Member New York Stock Exchange

Regulatory Clearance Division
Customer Operations
[illegible]
[illegible] 67117-5275

MB 01 110682 24047 H 407 A

WELLS FARGO BANK/IRA C/F
JAMES A MCDERMOTT
710 9TH ST SE
WASHINGTON DC 20003-2804

February 1, 2003 - February 28, 2003

Financial Consultant: AM29
JANE SUZICK
999 THIRD AVE STE 4000
SEATTLE WA 98104
(206) 343-5000

Last Statement Date:
January 31, 2003

Account Number:
W57047027

Portfolio Summary

	Current Value	Previous Value
Money Market Funds/Cash	$89,959.10	$89,760.99
Stocks	$100,329.10	$100,452.40
Total Portfolio Value	**$190,288.20**	**$190,213.39**

Activity Summary

		Amount
Total Asset Value as of January 31, 2003		**$190,213.39**
Cash/Money Market Activity for February		
Closing Balance as of 01/31/03	$89,760.99	
Income	$198.11	
Closing Balance as of 02/28/03	$89,959.10	
Net Change Cash/Money Market Activity		$198.11
Changes in Securities Value for February		
Value of Priced Securities as of 01/31/03	$100,452.40	
Value of Priced Securities as of 02/28/03	$100,329.10	
Net Change Securities Value		($123.30)
Total Asset Value as of February 28, 2003		**$190,288.20**

Income Summary

	February 28, 2003	Year To Date
Taxable Money Market Funds	$46.11	$104.39
Equity Securities & Mutual Funds	$152.00	$296.00
Total Income	**$198.11**	**$400.39**

Investment and Insurance Products:
- Are NOT insured by the FDIC or any other federal government agency
- Are NOT deposits of or guaranteed by Wells Fargo Bank or any Bank Affiliate
- May Lose Value

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3204

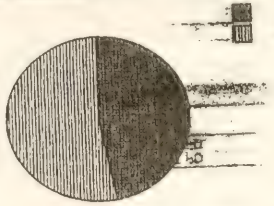
WELLS FARGO INVESTMENTS

Member New York Stock Exchange

February 1, 2003 - February 28, 2003

Account Number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 343-5000

Current Portfolio Allocation



47 % Cash & Money Market Funds
53 % Stocks

Portfolio Value

CASH & MONEY MARKET FUNDS

Quantity	Description	Market Price	Market Value	Estimated Annual Income
	WF MONEY MARKET FD CL A SHARES 30 Day Yield: 0.82%	$1.00	$89,959.10	$601
	Cash & Money Market Funds Subtotal		$89,959.10	$601

STOCKS

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annual Income
800	ALBERTSONS INCORPORATED	ABS	$18.83	Long	$15,064.00	$608
40	AMGEN INC	AMGN	54.64	Long	2,185.60	
80	APPLIED MATERIALS INC	AMAT	12.98	Long	1,038.40	
470	CISCO SYSTEMS INC	CSCO	13.98	Long	6,570.60	
485	HOME DEPOT INC	HD	23.45	Long	11,373.25	116
200	ICOS CORPORATION	ICOS	19.95	Long	3,990.00	

WELLS FARGO INVESTMENTS
Member New York Stock Exchange

Account Number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 343-5000

Portfolio Value (continued)

STOCKS (continued)

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annual Income
200	INTEL CORP	INTC	17.26	Long	3,452.00	16
15	INTL BUSINESS MACH CORP	IBM	77.95	Long	1,169.25	9
1,000	MARATHON OIL CORP	MRO	23.09	Long	23,090.00	920
400	MERCK & CO INC	MRK	52.75	Long	21,100.00	576
300	MICROSOFT CORP	MSFT	23.70	Long	7,110.00	24
40	NOVELLUS SYS INC	NVLS	29.15	Long	1,166.00	
1,000	TRIQUINT SEMICONDUCTOR	TQNT	3.02	Long	3,020.00	
	Stocks Subtotal				$100,329.10	$2,269

TOTAL PORTFOLIO VALUE

	Total Market Value	Total Estimated Annual Income
TOTAL PORTFOLIO VALUE	$190,288.20	$2,870

Activity Details

PURCHASES AND SALES

SETTLED TRADES

Trade Date	Description		Settle Date	Activity	Quantity	Price	Amount
02/11/03	WF MONEY MARKET FD.	CL A SHARES	02/11/03	PURCHASE		$1.00	($152.00)
02/28/03	WF MONEY MARKET FD	CL A SHARES		REINVEST DIV			(46.11)
	TOTAL						($198.11)

INCOME

MONEY MARKET FUNDS

Date	Description		Activity	Amount
02/28/03	WF MONEY MARKET FD	CL A SHARES	DIVIDEND	$46.11
	TOTAL			$46.11

Activity Details (continued)

INCOME (continued)

STOCKS

Date	Description	Activity	Amount
02/10/03	ALBERTSONS INCORPORATED	DIVIDEND	$152.00
	TOTAL		$152.00

Cash Flow Analysis

CHRONOLOGICAL TRANSACTION SUMMARY

Date	Description	Activity	Quantity	Price	Debit	Credit	Balance
	Beginning Cash Balance						$0.00
02/10/03	ALBERTSONS INCORPORATED	DIVIDEND				$152.00	$152.00
02/11/03	WF MONEY MARKET FD	PURCHASE		1.00	(152.00)		0.00
02/18/03	MICROSOFT CORP	STOCK DIV	150				0.00
02/28/03	WF MONEY MARKET FD	DIVIDEND				46.11	46.11
02/28/03	WF MONEY MARKET FD	REINVEST DIV			(46.11)		0.00
	Ending Cash Balance						$0.00

IRA Account Summary & Beneficiary Designation

	Current Tax Year	Previous Tax Year
Contributions	$0.00	N/A
Distributions	$0.00	N/A
Rollovers	$0.00	N/A
Tax Withheld	$0.00	N/A

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JAMES A C MCDERMOTT	NON-SPOUSE	50.00%
KATHERINE E MCDERMOTT	NON-SPOUSE	50.00%

Contingent Beneficiary (ies)	Relationship	Percentage
THERESE M HANSEN - SPOUSE	SPOUSE	100.00%

3207

Member New York Stock Exchange

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...rations
...57117-5275

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WELLS FARGO BANK IRA C/F
JAMES A MCDERMOTT
710 9TH ST SE
WASHINGTON DC 20003-2004

January 1, 2003 - January 31, 2003

Financial Consultant: AM29
JANE SUZICK
999 THIRD AVE STE 4000
SEATTLE WA 98104
(206) 343-5000

Last Statement Date:
December 31, 2002

Account Number:
W57047027

Portfolio Summary

	Current Value	Previous Value
Money Market Funds/Cash	$89,760.99	$89,558.71
Stocks	$100,452.40	$104,601.40
Total Portfolio Value	**$190,213.39**	**$194,160.11**

Activity Summary

		Amount
Total Asset Value as of December 31, 2002		**$194,160.11**
Cash/Money Market Activity for January		
Closing Balance as of 12/31/02	$89,558.71	
Income	$202.28	
Closing Balance as of 01/31/03	**$89,760.99**	
Net Change Cash/Money Market Activity		**$202.28**
Changes in Securities Value for January		
Value of Priced Securities as of 12/31/02	$104,601.40	
Value of Priced Securities as of 01/31/03	$100,452.40	
Net Change Securities Value		**($4,149.00)**
Total Asset Value as of January 31, 2003		**$190,213.39**

Income Summary

	January 31, 2003	Year To Date
Taxable Money Market Funds	$58.28	$58.28
Equity Securities & Mutual Funds	$144.00	$144.00
Total Income	**$202.28**	**$202.28**

Investment and Insurance Products:
- Are NOT insured by the FDIC or any other federal government agency
- Are NOT deposits of or guaranteed by the Bank or any Bank Affiliate
- May Lose Value

Wells Fargo Investments, LLC (member NYSE/SIPC), a non-bank affiliate of Wells Fargo & Company.

See Page 2 for important messages about your account.
You may also review your account information online at http://www.wellsfargo.com. Ask us for details.

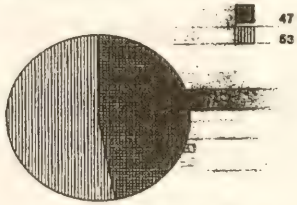
WELLS FARGO INVESTMENTS

Member New York Stock Exchange

January 1, 2003 - January 31, 2003

Account Number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 343-6000

Current Portfolio Allocation



47 % Cash & Money Market Funds
53 % Stocks

Important Information About Your Account

If your account contains instructions to periodically purchase or redeem mutual fund shares, you will no longer receive trade confirmations for these transactions beginning February 17, 2003. This activity will continue to appear in your statement. Thank you for your business.

Portfolio Value

CASH & MONEY MARKET FUNDS

Quantity	Description	Market Price	Market Value	Estimated Annual Income
	WF MONEY MARKET FD CL A SHARES 30 Day Yield: [illegible]	$1.00	$89,760.99	$686
	Cash & Money Market Funds Subtotal		$89,760.99	$686

STOCKS

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annual Income
800	ALBERTSONS INCORPORATED	ABS	$21.50	Long	$17,200.00	$608
40	AMGEN INC	AMGN	50.96	Long	2,038.40	
80	APPLIED MATERIALS INC	AMAT	11.97	Long	957.60	
470	CISCO SYSTEMS INC	CSCO	13.37	Long	6,283.90	
485	HOME DEPOT INC	HD	20.90	Long	10,136.50	116
200	ICOS CORPORATION	ICOS	24.55	Long	4,910.00	

3209

WELLS FARGO INVESTMENTS

Member New York Stock Exchange

January 1, 2003 - January 31, 2003

Account Number: W57047027
Financial Consultant: JANE SUZICK
Phone Number: (206) 343-5000

Portfolio Value (continued)

STOCKS (continued)

Quantity	Description	Symbol	Market Price	Long/ Short	Market Value	Estimated Annual Income
200	INTEL CORP	INTC	15.70	Long	3,140.00	16
15	INTL BUSINESS MACH CORP	IBM	78.20	Long	1,173.00	9
1,000	MARATHON OIL CORP	MRO	20.90	Long	20,900.00	920
400	MERCK & CO INC	MRK	55.39	Long	22,156.00	576
150	MICROSOFT CORP	MSFT	47.46	Long	7,119.00	24
40	NOVELLUS SYS INC	NVLS	29.45	Long	1,178.00	
1,000	TRIQUINT SEMICONDUCTOR	TQNT	3.26	Long	3,260.00	
	Stocks Subtotal				$100,452.40	$2,269

TOTAL PORTFOLIO VALUE

	Total Market Value	Total Estimated Annual Income
TOTAL PORTFOLIO VALUE	$190,213.39	$2,955

Activity Details

PURCHASES AND SALES

SETTLED TRADES

Trade Date	Description		Settle Date	Activity	Quantity	Price	Amount
01/03/03	WF MONEY MARKET FD	CL A SHARES	01/03/03	PURCHASE		$1.00	($144.00)
01/31/03	WF MONEY MARKET FD	CL A SHARES		REINVEST DIV			(58.28)
	TOTAL						($202.28)

INCOME

MONEY MARKET FUNDS

Date	Description		Activity	Amount
01/31/03	WF MONEY MARKET FD	CL A SHARES	DIVIDEND	$58.28
	TOTAL			$58.28

WELLS FARGO INVESTMENTS

Member New York Stock Exchange

January 1, 2003 - January 31, 2003

Account Number: W57047022
Financial Consultant: JANE SUZICIE
Phone Number: (206) 342-5000

Activity Details (continued)

STOCKS

Date	Description	Activity	Amount
01/02/03	MERCK & CO INC	DIVIDEND	$144.00
	TOTAL		$144.00

Cash Flow Analysis

Date	Description	Activity	Quantity	Price	Debit	Credit	Balance
	Beginning Cash Balance						$0.00
01/02/03	MERCK & CO INC	DIVIDEND				$144.00	$144.00
01/03/03	WF MONEY MARKET FD	PURCHASE		1.00	(144.00)		0.00
01/31/03	WF MONEY MARKET FD	DIVIDEND				58.28	58.28
01/31/03	WF MONEY MARKET FD	REINVEST DIV			(58.28)		0.00
	Ending Cash Balance						$0.00

IRA Account Summary & Beneficiary Designation

	Current Tax Year	Previous Tax Year
Contributions	$0.00	N/A
Distributions	$0.00	N/A
Rollovers	$0.00	N/A
Tax Withheld	$0.00	N/A

According to our records, you have designated the following beneficiaries for your retirement account. If this information is incorrect or incomplete, please call your financial consultant. Please note, if your account is a Coverdell Education Savings Account (formerly Education IRA), Wells Fargo Investments, LLC plan agreements do not allow for the designation of a beneficiary on these accounts. Please disregard this section.

Primary Beneficiary (ies)	Relationship	Percentage
JAMES A C MCDERMOTT	NON-SPOUSE	50.00%
KATHERINE E MCDERMOTT	NON-SPOUSE	50.00%

Contingent Beneficiary (ies)	Relationship	Percentage
THERESE M HANSEN - SPOUSE	SPOUSE	100.00%

3211

STONE & YOUNGBERG

Retirement Account

For The Period:
December 1 - December 31, 2003

Account Number:
LTP-803325-1G

Priced Securities Value	$9,802.51	$9,901.18
Money Mkt Funds/Bank Dep. Bal.	$.00	$252.83
Cash Balance (Cash Debt)	($105.21)	$.00
Total Net Worth	**$9,697.30**	**$10,154.01**

Income & Distributions

Municipal Money Fund Dividends	$1.83	$1.83
Interest	$116.91	$116.91
Total Income	**$118.74**	**$118.74**
Return Of Principal	**$239.30**	**$239.30**

Cash Activity Highlights

Opening Cash, MM Fnd/Bank Dep. Bal.	($105.21)	
Income & Distributions In Cash	$358.04	$358.04
Funds Added	$.00	$10,000.00
Net Securities Bought/Sold	$.00	($10,105.21)
Funds Withdrawn	$.00	$.00
Closing Cash, MM Fnd/Bank Dep. Bal.	$252.83	

Your Account Executive: SAIN/BENNER
STONE & YOUNGBERG LLC
15260 VENTURA BOULEVARD
SHERMAN OAKS CA 91403
Phone: 818-789-2663

WEXFORD CLEARING C/F
JAMES MCDERMOTT SR
IRA ROLLOVER DTD 10/27/03
1820 9TH AVE W
SEATTLE WA 98119-2946

3212

P-068452

Retirement Account

For The Period:
December 1 - December 31, 2003

JAMES MCDERMOTT SR

Account Number:
LTP-803326-1G

Tax Information

	2003	2002
ROLLOVER DEPOSIT	$3,500.00	

Portfolio Detail

	SYMBOL	QUANTITY	CURRENT PRICE	CURRENT VALUE	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUALIZED INCOME	CURRENT YIELD	COMMENTS
Mortgage & Asset-Backed Securities-97.5% of Portfolio								
FHLMC REMIC SERIES 2708 SQ POOL 2708SQ FLOATING RATE CP MP 14.028 12/15/2032 DTD 11/01/03 1ST CPN 12/15/03 CURRENT PRINCIPAL IS $9,760.70		10,000	101.4393 E	$9,901.18	$114	$1,369	13.83%	Amort. Value .9760695000 CUSIP 31394MAN0
TOTAL PRICED SECURITIES VALUE				**$9,901.18**	**$114**	**$1,369**		
Money Mkt Funds/Bank Dep. Accts.-2.5% of Portfolio								
CASH TRUST SERIES CALIFORNIA MUNICIPAL SERIES		252.830	1.000	$252.83		$1	.54%	7-Day Yield
TOTAL MONEY MKT FUNDS/BANK DEP. ACCTS.				**$252.83**		**$1**		

Account Activity

	DATE	TRANSACTION	QUANTITY	PRICE/COMMENTS	AMOUNT DEBITED	AMOUNT CREDITED
Income and Distributions						
CTS CA MUNI CASH INT 11/01 - 11/30	12/01	Municipal Money Fund Income		Income		$1.83
INT RECEIVED ON 10,000 CERTIF OF FHLMC REM 2708SQ CP MP14.02 12/15/2032	12/15	Interest		Income		$116.91
PRIN RECEIVED ON 10,000 CERTIF OF FHLMC REM 2708SQ CP MP14.02 12/15/2032	12/15	Credit		Principal		$239.30

3213

Retirement Account

STONE & YOUNGBERG

For The Period:
December 1 - December 31, 2003

JAMES MCDERMOTT SR

Account Number:
LTP-803326-1G

[redacted]

	DATE	TRANSACTION	QUANTITY	PRICE/COMMENTS	AMOUNT DEBITED	AMOUNT CREDITED
CTS CAL MUNI CASH AUTO SUBSCRIPTION	12/16	Purchased	252.830	1.00	$252.83	

	2003	2002
ROLLOVER DEPOSIT	$3,500.00	

	SYMBOL	QUANTITY	CURRENT PRICE	CURRENT VALUE	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUALIZED INCOME	CURRENT YIELD	COMMENTS
Mortgage & Asset-Backed Securities-100.0% of Portfolio								
FHLMC REMIC SERIES 2708 SQ POOL 2708SQ FLOATING RATE CP MP 14.028 12/15/2032 DTD 11/01/03 1ST CPN 12/15/03		10,000	98.0251 E	$9,802.51	$117	$1,403	14.31%	CUSIP 31394MAN0
TOTAL PRICED SECURITIES VALUE				$9,802.51	$117	$1,403		

	DATE	TRANSACTION	QUANTITY	PRICE/COMMENTS	AMOUNT DEBITED	AMOUNT CREDITED
Securities Purchases & Sales						
FHLMC REMIC SERIES 2708 SQ POOL 2708SQ FLOATING RATE CP MP 14.028 12/15/2032 DTD 11/01/03 1ST CPN 12/15/03 AS OF 11/24/03 ACCRUED INTEREST PAID: $105.21	11/28	Purchased	10,000	100.00	$10,105.21	
Total Amount Debited for Securities Purchased					**$10,105.21**	
Total Amount Credited for Securities Sold						
Net Amount for Securities Transactions					**$10,105.21**	
Funds Added & Withdrawn						
ROLLOVER DEPOSIT FUNDS RECEIVED	11/04	Credit				$3,500.00
TRANSFER IN DEPOSIT WELLS FARGO INVESTMENT LLC	11/21	Credit				$8,500.00

3215

LTP-005187

YOUNGBERG

Retirement Account

For The Period:
November 1 - November 30, 2003

JAMES MCDERMOTT SR

Account Number:
LTP-803325-1G

[redacted]

	DATE	TRANSACTION	QUANTITY	PRICE/COMMENTS	AMOUNT DEBITED	AMOUNT CREDITED
CTS CAL MUNI CASH AUTO SUBSCRIPTION	11/05	Purchased	3,500	1.00	$3,500.00	
CTS CAL MUNI CASH AUTO SUBSCRIPTION	11/24	Purchased	6,500	1.00	$6,500.00	
CTS CAL MUNI CASH AUTO REDEMPTION	11/28	Sold	- 10,000	1.00		$10,000.00

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

6

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 4:50
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

James P. McGovern
(Full Name)

393 Burncoat Street
Worcester MA 01606
(Mailing Address)

202-225-6101
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: MA District: 03	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	James P. McGovern	5.17.04

3217

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Cancer Research and Prevention Foundation	Spouse Salary	

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B											Block C						Block D											Block E
			A None	B ~~$1,001 – $15,000~~	C $1,001 – $15,000	D ~~$15,001 – $50,000~~	E $50,001 – $100,000	F ~~$100,001 – $250,000~~	G $250,001 – $500,000	H ~~$500,001 – $1,000,000~~	I $1,000,001 – $5,000,000	J ~~$5,000,001 – $25,000,000~~	K $25,000,001 – $50,000,000	L ~~Over $50,000,000~~	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income of Farm Income)	I None	II ~~$1 – $200~~	III $201 – $1,000	IV ~~$1,001 – $2,500~~	V $2,501 – $5,000	VI ~~$5,001 – $15,000~~	VII $15,001 – $50,000	VIII ~~$50,001 – $100,000~~	IX $100,001 – $1,000,000	X ~~$1,000,001 – $5,000,000~~	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock				X								X																		P
			Simon & Schuster		Indefinite																Royalties									X			
			1st Bank of Paducah, KY accounts					X									X																
JT	25% interest in family vacation home					X										X								X									
SP	Janus Fund X				X										X										X								
SP	Janus Fund 20				X										X									X									
SP	Partner Fund		X														X							X									
SP	Congressional FCU Money Market						X										X									X							
DC	Raymond James Financial Services Inc.				X										X								X										

For additional assets and unearned income, use next page.

3219

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name James McGovern

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset										BLOCK C Type of Income					BLOCK D Amount of Income										BLOCK E Transaction
		A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	I None	III $201 – $1,000	IV $1,501 – $2,500	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	P, S, E
	1225 Massachusetts Ave Washington DC #1 basement rental unit																		

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3220

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
JT	Fleet Mortgage, Providence RI	25% interest in family vacation home	X				
JT	Countrywide Mortgage, Calabasas CA	1225 Massachusetts Ave Washington DC			X		

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Center for International Policy	Jan 10-12	DC - LA - DC	Y	Y	N	N
	Washington Office on Latin America	Feb 14-20	DC - Bogota - DC	Y	Y	N	N
	Aspen Institute	Feb 28-Mar 2	DC - WV - DC	Y	Y	Y	N
	MA College of Pharmacy	Mar 7-11	DC - Cuba - DC	Y	Y	N	N
	Faith and Politics Institute	Sept. 12-14	DC - Bethesda - DC	Y	Y	Y	N

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

9

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 2:12
(Office Use Only)

John M. McHugh
(Full Name)

PO Box 863 Glenmont Manor NY 13674
(Mailing Address)

Daytime Telephone: (315)-465-5261

Filer Status	☒ Member of the U.S. House of Representatives	State: District:	☐ Officer or Employee — Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John M. McHugh	5/6/04

3223

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	None		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
NONE			

This page may be copied if more space is required.

Name JOHN M. McHugh | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Transaction P, S, E
SP	Examples: Mega Corp. Stock					X								X																		P
	Simon & Schuster			Indefinite																Royalties									X			
	1st Bank of Paducah, KY accounts						X									X																
	KEY Bank Stock NA			X										X								X										
	KEY Bank NA CD			X												X							X									
	Community Savings CD			X												X							X									
	HSBC Savings		X													X						X										
	Congressional Fed. Credit Union				X											X							X									
	State Employees Fed. Credit Union		X													X						X										

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHN M. McHugh

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	L	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II	III $201 – $1,000	IV	V $2,501 – $5,000	VI	VII $15,001 – $50,000	VIII	IX $100,001 – $1,000,000	X	XI Over $5,000,000	BLOCK E Transaction P, S, E
	FEDERAL CREDIT UNION DEPOSIT ACCOUNT		X							X				X										

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3227

SCHEDULE IV — TRANSACTIONS

Name JOHN M. McHugh

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10–12–03		x								
	NONE														

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability								
				C $15,001–$50,000		E $100,001–$250,000		G $500,001–$1,000,000		I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X					
	None										

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
None		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NONE						

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3230

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
MEMBER - BOARD OF VISITORS	UNITED STATES MILITARY ACADEMY AT WEST POINT

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

Use additional sheets if more space is required.

HAND DELIVERED

7

LEGISLATIVE RECORDS CENTER
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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

(Office Use Only)

Scott McInnis
(Full Name)

320 Cannon House Office Building Washington, DC 20510
(Mailing Address)

202 225 4761
Daytime Telephone:

Filer Status
☑ Member of the U.S. House of Representatives — State: CO — District: 03
☐ Officer Or Employee — Employing Office: Congressman Scott McInnis

Report Type
☑ Annual (May 15) ☐ Amendment ☐ Termination
Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,030 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☑ No ☐
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	SL	5/13/04

3232

SCHEDULE I - EARNED INCOME

Name Scott McInnis

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Friends of Scott McInnis	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Scott McInnis

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Alpine Bank of Colorado Savings	$15,001 - $50,000	INTEREST	$2,501 - $5,000	
JT	Bank of Colorado (f.k.a. Colorado National Bank) IRAJs	$15,001 - $50,000	INTEREST	$2,501 - $5,000	
JT	1st Federal Savings (deferred income)	$100,001 - $250,000	DIVIDENDS/INTEREST	N/A	
SP	David Smith Ranch Stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Alpine Bank IRA	$1,001 - $15,000	INTEREST	N/A	
JT	Invest Partnership (owns 50% listed value)				

3234

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Scott McInnis

	Grand Valley Water Shares	$100,001 - $250,000	RENT	$2,501 - $5,000	
	Invest 1	$50,001 - $100,000	None	NONE	
	LTIC, Inc. Investments (Denver)	$50,001 - $100,000	None	NONE	
	AG Edward Savings	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Alpine Banks, Savings	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Applewood MHP (Sterling)	$100,001 - $250,000	None	NONE	
JT	S & L McInnis LLP 1% consists of Alpine Bank Stock	$15,001 - $50,000	INTEREST/DIVIDENDS	$1 - $200	
DC	Andrea McInnis Irrevocable trust note that remaining children are self-sufficient non dependent therefore their trusts are no longer reported.	$1,000,001 - $5,000,000	INTEREST/DIVIDENDS	$15,001 - $50,000	
SP	Health Trio	$1,001 - $15,000	NONE	NONE	
JT	Alpine Bank C.D.	$15,001 - $50,000	INTEREST	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Scott McInnis

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Health-trio stock	P	11-02-03	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Scott McInnis

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependant child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
American Enterprise Institute	June 19 - 22	Denver-Vail	Y	Y	Y	N/A
NASDAQ	April 2-4	Washington D.C-Miami-Grand Junction, CO	Y	Y	Y	N/A
Burlinton Northern Santa Fe Railroad	January 14-16	Grand Junction -Sante Fe NM-Solona Beach, CA	Y	Y	Y	N/A
Association of American Railroads	January 16-19	Solona, CA-La Jolla, CA	Y	Y	Y	N/A

SCHEDULE IX - AGREEMENTS

Name Scott McInnis

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
upon departure	Hogan and Hartson	Legal Services

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

3

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 13 PM 1:28
MC
CLERK OF THE HOUSE
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Mike McIntyre
(Full Name)

1701 North Chestnut Street
Lumberton NC 28
(Mailing Address)

Daytime Telephone: 202-225-2731

Filer Status: [X] Member of the U.S. House of Representatives — State: NC District: 07 — [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mike McIntyre	May 13, 2004

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Mike McIntyre

Page 1 of 1

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B: None	A	C: $1,001 – $15,000	E: $50,001 – $100,000	G: $250,001 – $500,000	I: $1,000,001 – $5,000,000	K: $25,000,001 – $50,000,000	Block C: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: None	I	III: $201 – $1,000	V: $2,501 – $5,000	VII: $15,001 – $50,000	IX: $100,001 – $1,000,000	XI: Over $5,000,000	Block E: P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock			X				X											
			Simon & Schuster		Indefinite								Royalties						X		P
			1st Bank of Paducah, KY accounts								X										
	IRA BB&T, Lumberton NC															A					
SP	IRA, BB&T, Lumberton NC				X											A					
JT	Checking Acct. BB&T Lumberton NC				X						X										
DC	BB&T Stock				X					X					X						
DC	General Electric Co. Stock				X					X											
DC	Pfizer Inc.				X					X											

For additional assets and unearned income, use next page.

Name Mike McIntyre | Page 1 of 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Microsoft Corp.	July 26-29	Wilmington, NC – Seattle, WA Seattle, WA – Raleigh, NC	Y	Y	Y	N
	Democratic Leadership Council	Sept. 12-14	Wash. DC – Mackinac Island, MI – Wash. DC	Y	Y	Y	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

13

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 JUN -2 PM 5:25
U.S. HOUSE OF REPRESENTATIVES

Howard P. "Buck" McKeon
(Full Name)

2351 Rayburn H.O.B., Wa. D.C.
(Mailing Address)

202-225-1956
Daytime Telephone

(Office use only)

Filer Status	☒ Member of the U.S. House of Representatives — State: Ca. District: 25	☐ Officer or Employee	Employing Office:
Report Type	☐ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Howard P. McKeon	6-1-04

3242

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	McKeon for Congress	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset											Block C: Type of Income						Block D: Amount of Income											Block E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:* SP Mega Corp. Stock					X								X																		P
	Simon & Schuster			Indefinite																Royalties									X			
	1st Bank of Paducah, KY accounts						X									X										X						
JT	Dean Witter IRA (42904)																															
	Corinthian College (Common Stock)			X																	X											P
	Covad Comm. Grp. "			X																	X											P, S
	General Electric "			X										X									X									P
	Nextel "																				X											P, S
	Oracle "			X																	X											P

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Howard P. "Buck" McKeon

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B [illegible]	C $1,001 - $15,000	D [illegible]	E $50,001 - $100,000	F [illegible]	G $250,001 - $500,000	H [illegible]	I $1,000,001 - $5,000,000	J [illegible]	K $25,000,001 - $50,000,000	L [illegible]	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED TRUST	OTHER TYPE OF INCOME (TRUST)	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
JT	Rite Aid Corp. (Common Stock)			X																	X											P
	Sure beam "																				X											P
	Williams Co. "															X							X									P, S
	Dean Witter IRA (42405)																															
	Covad Comm. (Common Stock)			X																	X											P, S
	General Electric "				X									X								X										P
	Intel "			X																	X											P, S
	McDonalds "			X																	X											P
	Nextel "				X																X											P
	Oracle "			X																	X											S
	Rite Aid "			X																	X											P, S
	Sure beam "																				X											P
	MSDW - IRA (018699)																															
	Nextel (Common Stock)			X																	X											
	Oracle "			X																	X											
	Sure beam "		X																		X											
	Williams "			X																	X											P
	MSDW - IRA (018713)																															
	Corinthian College (Common Stock)			X																	X											P
	General Electric "			X										X								X										P
	Nextel "			X																	X											P

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3245

SCHEDULE IV — TRANSACTIONS

Name Howard P. "Buck" McKeon | Page 5 of 13

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10-12-03		X								
JT	Ford Motor Co. – Common Stock		X		8-7-03	X									
	" " " " "		X		9-22-03	X									
	Covad " "	X			9-22-03	X									
	Williams Cos. " "	X			10-14-03	X									
	Disney Co. " "		X		8-7-03	X									
	Ford Motor Co. " "		X		8-7-03	X									
	Nextel " "	X			8-7-03	X									
	General Electric " "	X			8-7-03	X									
	Williams Co. " "		X		11-17-03										
	Corinthian College " "	X			12-5-03	X									
	Nextel " "	X			1-16-03	X									
	Williams Co " "	X			1-16-03	X									
	Nationwide Health " "		X		1-31-03	X									
	Disney Co. " "		X		3-11-03	X									
	Williams Co. " "		X		3-11-03	X									
	Ford Motor Co. " "	X			3-12-03	X									

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SCHEDULE IV — TRANSACTIONS

Name Howard P. "Buck" McKeon

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock		10–12–03	X				
JT	Surebeam Common Stock		3-12-03					
	Williams Co. " "		4-2-03					
	" " " "		4-21-03					
	Surebeam " "		4-21-03					
	Williams Co. " "		4-22-03					
	Ford Motor Co. " "	X	5-16-03					
	Surebeam " "		5-16-03					
	Rite Aid " "		5-16-03					
	Corning " "		5-22-03					
	Nextel " "	X	"					
	Ford Motor Co. " "		"					
	Corning " "	X	"					
	Nextel " "		6-9-03					
	Williams Co. " "		"					
	Rite Aid " "		"					
	General Electric " "		"					

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MSDW 042905

3247

SCHEDULE IV — TRANSACTIONS

Name Howard P. "Buck" McKeon | Page 7 of 13

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10–12–03	X				
JT	Oracle Corp. Common Stock	X	8-7-03					
	Intel " "		"					
	Rite Aid " "	X	9-3-03					
	Ford Motor Co. " "	X	"					
	Rite Aid " "	X	"					
	Intel " "		9-16-03					
	General Electric " "		"					
	Covad " "		"					
	Motorola " "		9-22-03					
	Covad " "	X	10-2-03					
	" " "		10-3-03					
	" " "		"					
	Motorola " "		"					
	Williams Co. " "		"					
	Intel " "	X	11-3-03					
	Motorola " "	X	"					

MSDW 012905

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3248

SCHEDULE IV — TRANSACTIONS

Name Howard P. "Buck" McKeon | Page 8 of 13

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	Example: Moya Corporation Common Stock		10–12–03	X				
JT	Nextel Common Stock		11-4-03					
	Williams Co. " "	X	11-7-03					
	General Electric " "		"					
	Williams Co. "	X	"					
	General Electric " "		11-14-03					
	Mc Donalds "		"					
	Rite Aid " "		"					
	Mc Donalds " "		11-17-03					
	Williams " "	X	"					
	Rite Aid " "		12-1-03					
	Alliance Tech B Mut. Fund	X	4-3-03					
	MSDW Inform. Fund B " "	X	"					
	" Amer Opp. B " "	X	"					
	Williams Co. Common Stock		5-15-03					
	Corning " "		"					
	Corning " "	X	5-22-03					

MSDW 042905

MSDW - 042904

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3249

SCHEDULE IV — TRANSACTIONS

Name Howard P. "Buck" McKeon | Page 9 of 13

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
JT	Nextel Common Stock	X	5-22-03					
	" " "		6-9-03					
	Fresh Del Monte " "							
	Nextel " "		6-10-03					
	Fresh Del Monte " "	X	7-17-03					
	Westport Resources " "		8-7-03					
	" " " "	X	9-3-03					
	Covad " "		9-16-03					
	Oracle " "		"					
	Nationwide Health Prop. " "	X	9-23-03					
	" " " " "	X	"					
	Motorola " "		"					
	Covad " "		"					
	" " "	X	10-2-03					
	" " "		10-3-03					
	" " "		"					

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3250

SCHEDULE IV — TRANSACTIONS

Name Howard P. "Buck" McKeon | Page 10 of 13

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: Purchase	Type of Transaction: SALE	Type of Transaction: Exchange	Date (MO/DAY/YR)	Amount of Transaction: C $15,001-$50,000	Amount of Transaction: E $100,001-$250,000	Amount of Transaction: G $500,001-$1,000,000	Amount of Transaction: I $5,000,001-$25,000,000	Amount of Transaction: J $25,000,001-$50,000,000	Amount of Transaction: K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10-12-03	X					
JT	Nationwide Health Prop. Common Stock		X		10-3-03						
	" " " " "		X		"						
	" " " " "		X		10-6-03						
	Motorola " "				"						
	Qovad " "				"						
	Corinthian Colleges " "				10-7-03						
	Motorola " "				10-8-03						
	Rite Aid " "				"						
	Intel " "		X		11-3-03						
	Motorola " "		X		"						
	Rite Aid " "				11-4-03						
	General Electric " "				"						
	Williams Co. " "				"						
	" " " "		X		11-7-03						
	Rite Aid " "				"						
	McDonalds " "				11-11-03						

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3251

SCHEDULE IV — TRANSACTIONS

Name Howard P. "Buck" McKeon | Page 11 of 13

Charles Schwab 6176-9218

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
JT	Covad Common Stock	X	4-1-03	(Ja	tha	100		
	Intel " "	X	"					
	Rite Aid " "	X	"					

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3252

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			[illegible]	C $15,001–$50,000	[illegible]	E $100,001–$250,000	F [illegible]	G $500,001–$1,000,000	H [illegible]	I $5,000,001–$25,000,000	J [illegible]	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Peter Dorsch, Whittier, Ca.	Loan			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

3253

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

9

HAND DELIVERED

2004 MAY 17 PM 2:50

(Office Use Only) MC

Michael Robert McNulty
(Full Name)

86 West Street, Green Island, New York 12183
(Mailing Address)

202-225-5076
Daytime Telephone:

Filer Status	[x] Member of the U.S. House of Representatives — State: NY District: 21	[ ] Officer or Employee	Employing Office:
Report Type	[x] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [x]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [x]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [x]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [x]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [x]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [x]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [x]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [x]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [x]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [x]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [x]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Michael R. McNulty	May 14 2004

3255

Name	Michael R. McNulty	Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Green Island Insurance	Reneal Commissions	$1,825
	NYS Office of Court Administration	Spouse Salary	NA
	NYS Retirement System	State Retirement Income	$3,715.80

For payments to charity in lieu of honoraria, use Schedule II.

3256

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
The Connell Company	Speech	April 27, 2004	$2,000

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
SP, DC, JT	Examples:	A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $200 – $201 – $1,000; III $1,001 – $2,500; IV $2,501 – $5,000; V $5,001 – $15,000; VI $15,001 – $50,000; VII $50,001 – $100,000; VIII $100,001 – $1,000,000; IX $1,000,001 – $5,000,000; X Over $5,000,000	P, S, E
SP	Mega Corp. Stock		X		P
	Simon & Schuster	Indefinite		Royalties	
	1st Bank of Paducah, KY accounts	X	X	X	
	Green Island Insurance	X		Renewal Commissions	
	Fleet Financial Group	X	X		

For additional assets and unearned income, use next page.

3258

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael R. McNulty

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset										BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B [illegible]	C $1,001 – $15,000	D [illegible]	E $50,001 – $100,000	F [illegible]	G $250,001 – $500,000	H [illegible]	I $1,000,001 – $5,000,000	J [illegible]	K $25,000,001 – $50,000,000	L [illegible]	DIVIDENDS	RENTS AND ROYALTIES	INTEREST	EXCEPTED TRUST	[illegible]	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P. S. E

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3259

SCHEDULE IV — TRANSACTIONS

Name Michael R. McNulty

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								

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3260

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
				C $15,001–$50,000		E $100,001–$250,000		G $500,001–$1,000,000		I $5,000,001–$25,000,000		K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Connell Company	April 27	Albany, NY - Newark, NJ - Washington, DC	N	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Capital Region Technology Development Council (not compensated)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

HAND DELIVERED

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LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 5:27
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

3264

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

Martin T. Meehan
(Full Name)

126 Belmont Avenue
Lowell, MA 01852
(Mailing Address)

202-225-3411
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: MA District: 5	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Martin Meehan	5-16-04

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Lawrence General Hospital	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as Income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock				X								X										X								P
			Simon & Schuster			Indefinite															Royalties								X			
			1st Bank of Paducah, KY accounts					X									X																
JT	Congressional FCU					X											X					X											
	Legg Mason IRA				X													X					X										
SP	Legg Mason IRA					X												X						X									
SP	Fidelity IRA					X												X						X									
JT	Sovereign Bank				X												X					X											

For additional assets and unearned income, use next page.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Faith and Politics Institute*	Mar. 7-9	Wash., D.C. - Alabama - Wash., DC	Y	Y	Y	N
	Panetta Institute	Nov. 7-9	Boston - San Francisco - Boston	Y	Y	Y	N

* Same trip as reported on 2002 disclosure. This trip took place in 2003.

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(5)

LEGISLATIVE RESOURCE CENTER
2004 MAY 24 PM 4:50
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Kendrick B. Meek
(Full Name)

14441 N.W. 13th Court
Miami, Florida 33167
(Mailing Address)

202-225-4506
Daytime Telephone:

Filer Status	☒ Member of the U.S. House of Representatives — State: FL District: 17	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒ No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒ No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒ No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒ No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Kendrick B. Meek	5/17/04

3268

Name Kendrick B. Meek	Page 2 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	United Teachers of Dade	spouse salary	NA
	L Meek Consulting	spouse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

3269

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:* SP — Mega Corp Stock					X								X																		
	DC — Simon & Schuster		Indefinite																	Royalties												P
	JT — 1st Bank of Paducah, KY accounts						X									X																
SP	Equitable Mutual Fund Charlotte, N.C.			X										X								X										
SP	Pan American 401-K New Orleans, LA						X							X			X								X							
JT	Washington Mutual Bank Account			X										X									X									

For additional assets and unearned income, use next page.

3270

Name Kendrick B. Meek | Page 4 of 5

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability	C $15,001–$50,000	D	E $100,001–$250,000	F	G $500,001–$1,000,000	H	I $5,000,001–$25,000,000	J	K Over $50,000,000
	Example. First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	US Dept of Education	student loan			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NA		

Use additional sheets if more space is required.

3271

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Public Governance Institute	Feb 28 – March 2	Wash. DC — White Sulfur Springs, WV	Y	Y	Y	N
	University of Qatar	April 11	Wash. D.C – Doha, Qatar	Y	Y	Y	N
	Confederation of Indian Industry	April 16 – 18	Doha, Qatar — Mumbai, India — Delhi, India — Miami, FL	Y	Y	Y	N
	American Israel Education Found.	Aug 2–10	Wash. D.C — Tel Aviv Israel — Wash D.C.	Y	Y	Y	N
	Vitas Innovative hospice Care	Sept 22-23	Miami, FL — Chicago Ill. — Wash. D.C.	Y	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A Page 1 of 4

For use by Members, officers, and employees

HAND DELIVERED

4

LEGISLATIVE RECORDS AND EXPENSES

2004 MAY 17 PM 5:40

MC

(Office Use Only)

Gregory W. Meeks
(Full Name)

660 Grassmere Terrace Far Rockaway, NY 11691
(Mailing Address)

202-225-3461
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: New York District: 6	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes	X	No		
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes		No	X	
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes	X	No		
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes		No	X	
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes		No	X	
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes		No	X	
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes	X	No		
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes		No	X	
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes		No	X	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes		No	X
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes		No	X

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/17/04

3273

SCHEDULE I - EARNED INCOME

Name Gregory W. Meeks

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Nassau County Executive Office	Spouse Salary	$35,183
Joseph P. Addabbo Health Center	Spouse - Consulting	$7,500

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gregory W. Meeks

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Merrill Lynch Global	$15,001 - $50,000	DIVIDENDS/DIVIDENDS	NONE	
Merrill Lynch Latin American Fund	$1 - $1,000	CAPITAL GAINS	$5,001 - $15,000	
Nicolas Applegate Core Growth	$15,001 - $50,000	INTEREST	$521	

3275

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Gregory W. Meeks

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Inter-America Economic Council	Jan. 15-19	Wash, DC-Antigua-Barbados-Wash, DC	Y	Y	Y	No
NASDAQ Stock Market	Mar. 28-31	Wash, DC-Miami-Wash, DC	Y	Y	Y	No
Jewish Community Relations Council	Aug. 17-22	New York-Israel-New York	Y	Y	N	No
Cong. Black Caucus Foundation	Oct. 24-26	New York-Puerto Rico-New York	Y	Y	Y	No
Carib News	Nov. 7-9	New York-Bahamas-New York	Y	Y	Y	No
Upliftment Jamaica	Dec. 19-21	New York - Kingston Jamaica-New York	Y	Y	Y	No
Cong. Black Caucus Foundation	May 27-30	New York - Nigeria-New York	Y	Y	N	No

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2002

FORM A
For use by Members, officers, and employees

HAND DELIVERED

9

MC 2004 MAY 14 AM 10: 06
(Office Use Only)

Robert Menendez
(Full Name)

1034 Clinton Street #108 Hoboken, NJ 07030
(Mailing Address)

202-225-7919
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: NJ District: 13	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		May 12, 2004

3277

SCHEDULE I - EARNED INCOME

Name Robert Menendez

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Union City County Board of Education	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert Menendez

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	1806 West St. -Union City, NJ	$100,001 - $250,000	RENT	$15,001 - $50,000	
JT	535 41st St - Union City, NJ	None	RENT	$15,001 - $50,000	S
JT	Hamilton Savings Bank - savings	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Hamilton Savings Bank - checking	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Congressional Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Fidelity Growth (403)	$100,001 - $250,000		NONE	

3279

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert Menendez

JT	Advanced Micro Dev	$1,001 - $15,000		NONE	
DC	Time Warner	$1,001 - $15,000		NONE	
JT	AT&T	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	AT&T Wireless	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	S
JT	Bank One	$1,001 - $15,000		NONE	
JT	Comcast Group	$1,001 - $15,000		NONE	
DC	Global Crossing	$1 - $1,000		NONE	
JT	Global Crossing	$1 - $1,000		NONE	
DC	Hudson United Bank	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S - partial
JT	Intel	$1,001 - $15,000		NONE	
JT	Kimberly Clark	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Maytag	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Morgan Stanley & CO	$15,001 - $50,000	DIVIDENDS	$1 - $200	
JT	Motorola	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert Menendez

JT	National Semicon	$1,001 - $15,000		NONE	
DC	Network Appliance Inc.	$1,001 - $15,000		NONE	
DC	Nicholas Applegate Growth Fund	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	S
DC	Pepsico	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
DC	Pfizer	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
DC	Prudential Jennison Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
DC	Prudential Tech Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Prudential Money Mkt Funds	$15,001 - $50,000	INTEREST	$1 - $200	
DC	Prudential Money Mkt fund	$1,001 - $15,000	INTEREST	$1 - $200	
DC	PSE&G	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Sentex	$1 - $1,000	CAPITAL GAINS	$1 - $200	S
JT	Spanish Broadcasting System	$1,001 - $15,000		NONE	
JT	Vitesse Semiconductor Corp	$1,001 - $15,000		NONE	
JT	Xetel	$1 - $1,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert Menendez

DC	Zenith Corp	None		NONE	
SP	Oppenheimer IRA- 403	$15,001 - $50,000		NONE	
SP	Oppenheimer IRA- 403	$15,001 - $50,000		NONE	
DC	Walt Disney	$1 - $1,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
JT	Walt Disney	$1 - $1,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
SP	State of NJ Pension		Indefinite		

SCHEDULE IV - TRANSACTIONS

Name Robert Menendez

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	535 41st St - Union City, NJ	S	5-19-03	$250,001 - $500,000**
DC	Walt Disney	S	12-05-03	$1,001 - $15,000
JT	Walt Disney	S	12-05-03	$1,001 - $15,000
JT	AT&T Wireless	S	12-19-03	$1,001 - $15,000
DC	Nicholas Applegate Growth Fund	S	12-05-03	$1,001 - $15,000
DC	Prudential Jennison fund	S	12-05-03	$1,001 - $15,000
JT	Sentex	S	12-19-03	$1,001 - $15,000
DC	Hudson United Bank (partial sale)	S	12-05-03	$1,001 - $15,000

**Proceeds held in trust for future distribution

SCHEDULE V - LIABILITIES

Name Robert Menendez

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Hamilton Savings Bank	Mortgage on 41st Street - Union City, NJ	$100,001 - $250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Robert Menendez

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Maritime Trades Dept, AFL-CIO	Feb 19-22	Newark, NJ -- Miami, FL -- Newark, NJ	Y	Y	N	N/A
Association of American Railroads	April 24-27	Newark, NJ -- Los Angles, CA-- Monterey, CA -- Newark, NJ	Y	Y	Y	N/A

7

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HOUSE OF REPRESENTATIVES

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A For use by Members, officers, and employees

John L. Mica
(Full Name)

2195 Via Tuscany Winter Park, FL 32789
(Mailing Address)

202-225-4035
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: FL, District: 7	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/14/04

3286

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John L. Mica

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
15 3rd St, NE Wash. DC, Units 2,4,5 & two garage units	$500,001 - $1,000,000	RENT	$5,001 - $15,000	
305 E Capitol St. SE Washington DC 50% interest, rental unit	$250,001 - $500,000	RENT	$5,001 - $15,000	
Park Lake Woods, Condo Rental, units 8B, 9B, Maitland, FL	$100,001 - $250,000	RENT	$5,001 - $15,000	
2195 Via Tuscany, Winter Park FL	$500,001 - $1,000,000	None, personal residence	NONE	
Lot 21 & 22,Chetola Estates, Blowing Rock, NC	$500,001 - $1,000,000	None, personal residence	NONE	
1305 S. Atlantic Ave, Unit 130, Cocoa Beach, FL	$100,001 - $250,000	RENT	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John L. Mica

	U.S. Senate Federal Credit Union	$15,001 - $50,000	INTEREST	$1 - $200	
	Wright Patman Congressional Credit Union	$1 - $1,000	INTEREST	$1 - $200	
	Metropolitan Life (IRA)	$100,001 - $250,000	INTEREST	NONE	
	Wachovia Bank, Winter Park, FL Accounts	$50,001 - $100,000	INTEREST	$201 - $1,000	
	Southern Community Bank, Winter Park, FL, Accounts	$500,001 - $1,000,000	INTEREST	$15,001 - $50,000	
	Estate of Anna Mica Binghamton, NY	$1,001 - $15,000	None	NONE	
	Townhouse Lots, 9, 10, 11, 12, Temple Trail Village Subdivision, Winter Park, FL	$100,001 - $250,000	Other: (Please specify)	$100,001 - $1,000,000	S
	Commerce National Bank of Florida, Winter Park, Accounts	$1,001 - $15,000		NONE	

SCHEDULE IV - TRANSACTIONS

Name John L. Mica

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Townhouse Lots, 9, 10, 11, 12, Temple Trail Village Subdivision, Winter Park, FL	S	1/10/2003	$100,001 - $250,000

SCHEDULE V - LIABILITIES

Name John L. Mica

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	American Savings Bank	Mortgage on Units 8B & 9B, Park Lake Woods Condominium	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John L. Mica

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Public Governance Institute	Feb. 28-Mar. 2	Washington, D.C. – White Sulphur Springs, WV–Wash., D.C.	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name John L. Mica

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Executor	Estate of Anna Mica, Binghamton, NY

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A For use by Members, officers, and employees

MICHAEL H. MICHAUD
(Full Name)

111 Main Street East Millinocket, ME 04430
(Mailing Address)

202-225-6306
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative — State: ME District: 02	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐	No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐	No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑	No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐	No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑	No ☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐	No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑	No ☐
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑	No ☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐	No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Michael H. Michaud	5/13/04

3293

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MICHAEL H. MICHAUD

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
6.5 Acres of land, Midway	$1,001 - $15,000	N/A	NONE	
IRA - East Mill Federal Credit Union	$15,001 - $50,000	DIVIDENDS/INTEREST	N/A	
401(k) - Fidelity Equity Income Fund	$15,001 - $50,000	DIVIDENDS/INTEREST	N/A	
401(k) Fidelity Magellan Fund	$15,001 - $50,000	DIVIDENDS/INTEREST	N/A	
Maine State Retirement	$15,001 - $50,000	N/A	N/A	
IRA - Bowater Retirement (Rolled over into Fidelity Cash Reserves - IRA)	$1,001 - $15,000	N/A	N/A	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MICHAEL H. MICHAUD

	East Hill Federal Credit Union	$15,001 - $50,000	INTEREST	$1 - $200	
	Maine State Employees Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	
JT	M & M Partnership, 26 Greenwood St., Augusta, ME	$50,001 - $100,000	RENT	$5,001 - $15,000	
JT	109 Maine Street, East Millinocket, ME	$15,001 - $50,000	RENT	$1,001 - $2,500	
JT	111 Main Street, East Millinocket, ME	$15,001 - $50,000	RENT	$1,001 - $2,500	
	IRA - Fidelity Cash Reserves	$50,001 - $100,000	INTEREST	N/A	

SCHEDULE V - LIABILITIES

Name MICHAEL H. MICHAUD

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Peoples Heritage Bank, Fort Kent	Mortgage on 26 Greenwood St., Augusta, ME	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name MICHAEL H MICHAUD

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Microsoft	July 26-29	Wash., DC - Seattle, WA - Wash., DC	Y	Y	N	N/A

SCHEDULE VIII - POSITIONS

Name MICHAEL H. MICHAUD

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member (Honorary)	Eastern Maine Development Corporation
Board Member	Maine Partnership
Board Member (Honorary)	East Mill Federal Credit Union

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A — For use by Members, officers, and employees

Congresswoman Juanita Millender-McDonald
(Full Name)

1514 Longworth House Office Building Washington, DC 20515
(Mailing Address)

(202) 225-7924
Daytime Telephone

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: CA, District: 37	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics In Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Juanita Millender-McDonald	5-17-04

3299

SCHEDULE I - EARNED INCOME

Name Congresswoman Juanita Millender-McDonald

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Los Angeles County	Spouse's Retirement Income	N/A
Roofing Consultant	Spouse's Consulting Fees	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congresswoman Juanita Millender McDonald

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Gene Jacquot Racing Stable (formerly Don Roberts Racing Stable)	$15,001 - $50,000	CAPITAL GAINS	$5,001 - $15,000	
JT	The Fox Group/Century Prop. Accounts	$15,001 - $50,000		NONE	
	Los Angeles Teachers Credit Union Accounts	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Holden Group Deferred Comp. Account	$100,001 - $250,000		NONE	
SP	County Employees Credit Union Accounts	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
	United Investors Life Policy (formerly reported as United Mutual Funds)	$50,001 - $100,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congresswoman Juanita Millender-McDonald

SP	2nd Baptist Credit Union Accounts	$15,001 - $50,000	INTEREST	$1 - $200	
JT	US Savings Bonds	$15,001 - $50,000		NONE	
	Thrift Savings Plan 401(k) Account	$100,001 - $250,000		NONE	
	Union Bank Accounts	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
SP	Horizons Deferred Comp. Account	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
JT	Bank of America Accounts	$1,001 - $15,000		NONE	
JT	850-856 W. 129th Street, Gardena, CA (Rental)	$500,001 - $1,000,000	RENT	$15,001 - $50,000	
JT	1236 W. 64th Street, Los Angeles, CA	$250,001 - $500,000		NONE	
JT	803 N. Grandee, Compton, CA (Rental)	$500,001 - $1,000,000	RENT	$15,001 - $50,000	
JT	Unimproved land near Lancaster, CA	$100,001 - $250,000		NONE	
	225B "C" Street, Washington, D.C.	$500,001 - $1,000,000		NONE	
	California State Teachers Retirement System Retirement Account	$50,001 - $100,000	N/A		
	California Credit Union (Savings)	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	California Credit Union (Share Cert.)	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congresswoman Juanita Millender-McDonald

	California Public Employees Retirement System Retirement Account	$15,001 - $50,000	N/A		
	Congressional Credit Union (Savings)	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE V - LIABILITIES

Name Congresswoman Juanita Millender-McDonald

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Chase Manhattan Mortgage Co., Columbus, Ohio	Mortgage on 225B "C" Street, Washington, D.C.	$100,001 - $250,000
	Bank of America, Carson, California	Mortgage on 850-856 W. 129th Street, Gardena, CA	$100,001 - $250,000

SCHEDULE VIII - POSITIONS

Name Congresswoman Juanita Millender-McDonald

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Board of Directors	Harbor College Foundation (noncompensated position)
Member, Board of Directors	Southern Christian Leadership Conference (noncompensated position)
Member, Commission	National Commission on Teaching and America's Future (noncompensated position)
Executive Director	League of African American Women (noncompensated position)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A — For use by Members, officers, and employees

LEGISLATIVE RECEIVED 2004 MAY 17 PM 5:07

Ralph Bradley Miller
(Full Name)

2306 Beechridge Road Raleigh, NC 27608
(Mailing Address)

(202) 225-3032
Daytime Telephone:

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: NC, District: 13	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Brad Miller	5-17-2004

3306

SCHEDULE I - EARNED INCOME

Name Ralph Bradley Miller

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
ArtsTogether	spouse salary	$38,000
various nonprofit organizations	spouse consulting fees for organizational development for nonprofit organizations	$20,000
Traveller's Insurance	attorney fees from structured settlement	$1,590

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ralph Bradley Miller

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $6,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	SmithBarney citigroup simplified employee pension plan	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
SP	SmithBarney citigroup IRA rollover	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	Equivest self-funded retirement	$15,001 - $50,000	*	NONE	
SP	American Funds	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	American Funds	$1,001 - $15,000	DIVIDENDS	$1 - $200	

3308

SCHEDULE V - LIABILITIES

Name Ralph Bradley Miller

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	RBC Centura	mortgage on 111 Lion's Paw, Holden Beach (member and spouse own 1/2 interest in vacation home)	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Ralph Bradley Miller

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Public Governance Institute	Feb 28 - Mar. 2	Wash.,D.C.–WSS, W.V. -- Raleigh, NC	Y	Y	Y	N/A
NASDAQ	Mar. 14	Wash., DC – NYC, NY -- Raleigh, NC	N	Y	N	N/A
American Israel Education Foundation	Aug 2 – 10	Raleigh, NC – Israel -- Raleigh, NC	Y	Y	N	N/A
Chicago Exchanges	Oct. 26 – 27	Raleigh, NC -- Chicago -- Raleigh, NC	Y	Y	N	N/A

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

9

LEGISLATIVE RESOURCE CENTER
2004 MAY 11 PM 1:09
U.S. HOUSE OF REPRESENTATIVES

Candice Sue Miller
(Full Name)

28840 Old North River Road
(Mailing Address)

202-225-2106
Daytime Telephone

Harrison Township MI 48045

(House Use Only) HC

Filer Status	☒ Member of the U.S. House of Representatives	State: MI District: 10	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Candice S. Miller	May-7-04

3311

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Michigan	Spouse Salary	NA
	County of Macomb	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
None			

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Name Candice L. Miller | Page 49 of

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of Income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		None; A $1,001 – $15,000; B $15,001 – $50,000; C $50,001 – $100,000; D $100,001 – $250,000; E $250,001 – $500,000; F $500,001 – $1,000,000; G $1,000,001 – $5,000,000; H $5,000,001 – $25,000,000; I $25,000,001 – $50,000,000; J Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	None; I $201 – $1,000; II $1,001 – $2,500; III $2,501 – $5,000; IV $5,001 – $15,000; V $15,001 – $50,000; VI $50,001 – $100,000; VII $100,001 – $1,000,000; VIII $1,000,001 – $5,000,000; IX Over $5,000,000	P, S, E
SP, DC, JT *Examples:* SP	Mega Corp. Stock	X	X		P
DC	Simon & Schuster	Indefinite	Royalties	X	
JT	1st Bank of Paducah, KY accounts		X		
JT	Raymond James	X		X	
	Janus/JNL Aggressive	X			
	Janus/JNL Global	X			
	Putnam/JNL Value Equity	X			
	IRA Raymond James;	X		X	
	AIM Premier Equity Fund	X			

For additional assets and unearned income, use next page.

3314

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Candice L. Miller Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	IRA Raymond James											N/A						
	AIM Premier Equity Fund																	
	401K - State of Michigan											X						
SP	401K - State of Michigan											N/A						
SP	State of Michigan										Retirement	N/A						
	457 Macomb County											X						
	Putnam Inv Fund Class A																	
	Selma Growth Fund Inc Cl A																	
JT	1st of Michigan											X						
	EV Mich Muni Fd Cl B		X															
	EV Tax Managed Growth Fd B		X															
SP	IRA 1st of Michigan											N/A						
	Inv Co of America Cl B		X															
	Putnam New Ops Fd Cl A		X															
	Wm Cons Growth P Cl B		X															
	IRA 1st of Michigan											X						
	Invest Cons Growth Cl B		X															
	Wms Cons Growth Cl B		X															
JT	Accounts - Huntington Bank								X				X					

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3315

SCHEDULE IV — TRANSACTIONS

Name Candice S Miller | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10–12–03		X								
	None														

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
				C $15,001–$50,000		E $100,001–$250,000		G $500,001–$1,000,000		I $5,000,001–$25,000,000		K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	None											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
None		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

SCHEDULE III — ASSETS AND UNEARNED INCOME

Continuation Sheet (If needed)

Name: Lemmons - Hunter

2004 MAY 17 PM 5:03

HAND DELIVERED ✓ MC

SP, DO, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: None	A $1 - $1,000	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001 - $250,000	F $250,001 - $500,000	G $500,001 - $1,000,000	H $1,000,001 - $5,000,000	I $5,000,001 - $25,000,000	J $25,000,001 - $50,000,000	K Over $50,000,000	L	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: None	I $1 - $200	II $201 - $1,000	III $1,001 - $2,500	IV $2,501 - $5,000	V $5,001 - $15,000	VI $15,001 - $50,000	VII $50,001 - $100,000	VIII $100,001 - $1,000,000	IX $1,000,001 - $5,000,000	X Over $5,000,000	XI	BLOCK E Transactions P, S, E
SP	IRA Raymond James				X																	N/A													
	DIM Premier Equity Fund																																		
	401k - State of Michigan							X														X													
SP	401K - State of Michigan							X														N/A													
SP	State of Michigan																				Retirement	N/A													
	457 - Macomb County			X																		X													
	Putnam Inv Fund Class A																																		
	Selma Growth Fund Inc Cl A																																		
JT	1st of Michigan																					X													
	EV Mich Muni FD Cl B			X																															
	EV Tax Managed Growth FD B				X																														
SP	IRA 1st of Michigan																					N/A													
	Inv Co of America Cl B			X																															
	Putnam New Opp Fd Cl A			X																															
	Wm Cons Growth P Cl B			X																															
	IRA 1st of Michigan																					X													
	Invest Cons Growth Cl B			X																															
	Wms Cons Growth Cl B			X																															
JT	Accounts - Huntington Bank						X									X								X											

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

15

HAND DELIVERED

2004 MAY 11 AM 10: 01

MC

U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

Gary G. Miller
(Full Name)

721 S. Brea Canyon Road, Suite 7
(Mailing Address)

Diamond Bar, California

(909) 594-6966
Daytime Telephone:

Cathleen Miller

Filer Status	[X] Member of the U.S. House of Representatives	State: California District: 42	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5-10-04

3321

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	*Examples:* Mega Corp. Stock					X								X									X									P
DC	Simon & Schuster			Indefinite																Royalties								X				
JT	1st Bank of Paducah, KY accounts						X									X									X							
	IRA's Mainstay Equity Index Fund				X																"NA"											
	Hartford Intl Opportunity CL			X																	"NA"											
	Hartford Midcap Fund Class B			X																	"NA"											
	Cisco Systems INC.			X																	X											
	Sprint Corp			X										X								X										

For additional assets and unearned income, use next page.

3322

Continuation Sheet (if needed)

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	SEP IRA				
	Edward Jones Money Market	C	DIVIDENDS	II	
	Bank of America Corp	C	DIVIDENDS	III	
	General Electric Co.	C	DIVIDENDS	III	
	Gillette Co.	C	DIVIDENDS	II	
	Home Depot Inc.	C	DIVIDENDS	II	
	Intel Corp.	C	DIVIDENDS	II	
	Microsoft Corp	D	DIVIDENDS	II	
	Motorola Inc.	C	DIVIDENDS	II	
	Sprint Corp Fon Group	C	DIVIDENDS	II	
SP	IRA's				
	Mainstay Equity Index Fund	C		"NA"	
	Hartford Intl Opportunity C	1B C		"NA"	
	Hartford Midcap Fund Class	B C		"NA"	
SP	SEP IRA's				
	Edward Jones Money Market	A	DIVIDENDS	II	
	Hartford Dividend & Growth	B D	DIVIDENDS	II	

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3323

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Gary G. Miller

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Bank of America					x										x						x										
	Quaker City Bank						x									x										x						
	Pomona First Federal										x					x													x			
	Vineyard Bank			x												x										x						
	Southern Pacific Bank	x														x						x										
	Salomon Smith Barney MM					x										x							x									
	Edward Jones Money Market			x										x								x										
	Anchor National Life Ins.Co/ Sun America			x																	x											
	Target Corp.	x												x			x						x			x						S
	Investment Co. of Amer. CL					x								x			x						xx									
	Foothill/Eastern Trans Corridor Agy CA Munibond				x											x								x								
	Calif. Statewide Cmntys DEV Auth Wtr. & Wastewater REV Muni Bond				x											x								x								

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SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	APN 239 193 11 Vacant Land Industrial 5 ac. in Rialto,CA	H: x		I: x	
	Lot 15 Tract #23483 Diamond Bar,CA Improved residential lot 1+ acre	H: x		I: x	
	Lot 16 Tract #23483 Diamond Bar,CA Improved residential lot 1+ acre	H: x		I: x	
	Havadjia Holding,Inc.Riverside,CA Note secrued by Deed of Trust	H: x	INTEREST: x	VIII: x	
	G. Miller Development Co. Common Stock	H: x		I: x	

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name Gary G. Miller

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 - $1,000; C $1,001 - $15,000; D $15,001 - $50,000; E $50,001 - $100,000; F $100,001 - $250,000; G $250,001 - $500,000; H $500,001 - $1,000,000; I $1,000,001 - $5,000,000; J $5,000,001 - $25,000,000; K $25,000,001 - $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 - $200; III $201 - $1,000; IV $1,001 - $2,500; V $2,501 - $5,000; VI $5,001 - $15,000; VII $15,001 - $50,000; VIII $50,001 - $100,000; IX $100,001 - $1,000,000; X $1,000,001 - $5,000,000; XI Over $5,000,000	P, S, E
JT	Stock Holdings:				
	American Airlines	D		I	
	Citigroup Inc	A	DIVIDENDS, CAPITAL GAINS	III, VI	S
	Ford Motor Company	A	DIVIDENDS, CAPITAL GAINS	V, VII	S
	General Electric Co.	H	DIVIDENDS	VI	
	Intel Corp	A	DIVIDENDS, CAPITAL GAINS	II, VI	S
	United Airlines	E		I	
	Citibank Bank Program	E	INTEREST, CAPITAL GAINS	III	
	SB Money Fds Cash Port CL A	D	DIVIDENDS	II	
	AT & T Wireless Services	A	CAPITAL GAINS	II	S
	H & R Block INc.	A	DIVIDENDS, CAPITAL GAINS	II, III	S
	ChevronTexaco Corp.	C	DIVIDENDS	II	
	Colgate Palmolive Co	A	DIVIDENDS	II	S
	Columbia Sportswear Co.	A	CAPITAL GAINS	III	S
	Concord EFS Inc.	C		I	P
	Deere & Co.	A	DIVIDENDS, CAPITAL GAINS	II, III	S
	Electronic Data Sys Corp New	A	DIVIDENDS, CAPITAL GAINS	II, III	SPS
	Host Marriott Corp New	A		I	S
	Intel Corp	C	DIVIDENDS	II	
	Intl Business Machines Corp	A	DIVIDENDS	II	S
	Johnson & Johnson	A	DIVIDENDS	II	S

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Gary G. Miller

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Kimberly Clark Corp	X												X								X										S
	Lincoln National Corp-Ind-	X												X								X										S
	Microsoft Corp			X										X								X										S P
	Occidental Petroleum Corp	X												X			X						XX									S
	Oracle Corp	X																			X											S
	Pfizer Inc.	X												X								X										S
	Procter & Gamble Co	X												X			X					X	X									S
	Prudential Financial Inc	X															X						X									S
	Qualcomm Inc			X										X								X										
	Target Corp			X										X								X										
	United Parcel Service CL B	X												X								X										S
	Washington Mutual Inc	X												X			X					X	X									P S
	Closed end funds																															
	ISHARES TR S & P Midcap 400	X																			X											S
	NASDAQ 100 Trust Ser 1	X																			X											S
	MFS CHARTER INCOME TRUST	X												X			X					X	X									S
	MFS INTERMEDIATE INCM TR			X										X									X									
	MFS MULTIMARKET INCM TR			X										X			X						XX									
	American Intl Group Inc			X										X								X										P
	Amerisourcebergen Corp			X										X			X					X		X								PSP
	Anglogold LTD Spon ADR			X																	X											P

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3327

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Gary G. Miller

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Bank of America Corp			x										x								x										P
	Checkfree Corp			x																	x											P
	Chicos Fas Inc			x																	x											P
	Costco Wholesale Corp New			x																	x											P
	Interactivecorp			x																	x											P
	Merck & Co Inc			x																	x											P
	Netease.Com Inc ADR			x																	x											P
	Newmont Mining Corp			x										x								x										P
	Quicksilver Inc.			x																	x											P
	Riteaid Corp			x																	x											P
	SPX Corp			x																	x											P
	Texas Instruments Inc.			x										x								x										P
	Unitedhealth Group Inc			x																	x											P
	United Technologies Corp	x												x								x										PS
	Amgen Inc.	x															x							x								PS
	Bank One Corp	x												x			x					x	x									PS
	BEA Systems Inc	x															x							x								PS
	Chubb Corp.	x															x						x									PS
	Netflix Inc	x															x							x								PS

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SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
	American Intl Group Inc	x			5-08-03	x									
	Amerisourcebergen Corp	x			7-25-03	x									
		x			9-23-03	x									
	Anglogold Ltd Spon ADR	x			9-26-03	x									
	Bank of America Corp	x			10-27-03	x									
	Checkfree Corp	x			12-23-03	x									
	Chicos Fas Inc	x			8-20-03	x									
	Concord EFS Inc	x			1-28-03	x									
	Costco Wholesale Corp New	x			8-06-03	x									
	Interactivecorp	x			8-28-03	x									
	Merck & Co Inc	x			11-14-03	x									
	Microsoft Corp	x			1-28-03	x									
	Netease.Com Inc ADR	x			12-16-03	x									
	Newmont Mining Corp	x			9-23-03	x									
		x			9-26-03	x									
	Quiksilver Inc.	x			6-09-03	x									
		x			7-10-03	x									
	Rite Aid Corp.	x			12-18-03	x									
	SPX Corp.	x			10-28-03	x									

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3329

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
	Texas Instruments Inc.	X			09-23-03	X									
	Unitedhealth Group Inc.	X			04-25-03	X									
	AT & T Wireless Services Inc	X	X		01-28-03 11-18-03	X									
	Amerisourcebergen Corp	X X			4-25-03 5-25-03	X X									
			X		6-06-03	X									
	Amgen Inc	X X			12-30-02 1-28-03	X X									
			X		4-28-03	X									
	Amgen Inc	X	X		5-7-03 12-08-03	X X									
	Bank One Corp.	X	X		4-25-03 9-19-03	X X									
	Bea Systems Inc.	X	X		6-24-03 7-16-03	X X									
	Bea Systems Inc.	X	X		8-04-03 9-05-03	X X									
	H & R Block Inc.	X X			11-19-02 1-23-03	X X									
		X	X		1-28-03 10-16-03	X X									
	Chubb Corp.	X	X		4-25-03 5-01-03	X X									
	Colgate Palmolive Co.		X		10-23-03 8-19-03	X X									
	Columbia Sportswear Co.	X	X		1-28-03 4-25-03	X X									

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3330

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
	Deere & Co	x			11-11-02	x									
		x			1-28-03	x									
			x		9-15-03	x									
	Electronic Data Sys Corp New	x			1-28-03	x									
			x		11-18-03	x									
	Ishares TR S&P Midcap 400 Index FD	x			5-20-02	x									
			x		1-28-03	x									
	MFS Charter Income Trust SBI	x			7-23-02	x									
			x		1-28-03	x									
	MFS Charter Income Trust SBI	x			7-23-02	x									
			x		6-10-03	x									
	MFS Intermediate INCM TR SBI	x			7-23-02	x									
			x		1-28-03	x									
	MFS Multimarket INCM TR SBI	x			7-23-02	x									
			x		1-28-03	x									
	NASDAQ 100 Trust Ser 1	x			5-20-02	x									
			x		5-08-03	x									
	Netflix Inc.	x			10-01-03	x									
			x		10-08-03	x									
	Netflix Inc.	x			10-01-03	x									
			x		10-13-03	x									
	Occidental Petroleum Corp-Del	x			10-14-02	x									
			x		05-07-03	x									
	Pfizer Inc.	x			10-18-02	x									
		x			1-28-03	x									
			x		8-20-03	x									
	Procter & Gamble Co	x			10-23-02	x									
			x		8-06-03	x									
	Prudential Financial Inc.	x			1-28-03	x									
			x		11-05-03	x									

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SCHEDULE IV — TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	United Technologies Corp.	X			04-22-03	X									
		X	X		10-01-03	X									
	Washington Mutual Inc.	X			01-28-03	X									
		X	X		12-10-03	X									
	AT&T Wireless Services Inc.	X			02-01-01	X									
		X			11-30-01	X									
			X		11-18-03	X									
	Columbia Sportswear Co	X			03-12-02	X									
			X		04-25-03	X									
	Electronic Data Sys Corp New	X			08-23-02	X									
			X		11-18-03	X									
	Host Marriott Corp New	X			05-24-01	X									
			X		04-10-03	X									
	INTEL Corp	X			10-13-00	X									
			X		07-09-03	X									
	INTL Business Machines Corp	X			04-08-02	X									
			X		04-25-03	X									
	Johnson & Johnson	X			10-18-02	X									
			X		10-29-03	X									
	Kimberly Clark Corp	X			03-04-02	X									
			X		08-28-03	X									
	Lincoln National Corp -IND-	X			12-04-00	X									
			X		10-01-03	X									
	Microsoft Corp	X			04-17-00	X									
		X			05-11-00	X									
			X		10-21-03	X									
	NASDAQ 100 Trust Ser 1	X			05-20-02	X									
			X		06-24-03	X									

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SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
	Oracle Corp	X			01-31-00	X									
		X			07-26-01	X									
			X		05-08-03	X									
	Prudential Financial Inc.	X			10-08-02	X									
			X		11-05-03	X									
	United Parcel Service CL B	X			11-23-99	X									
		X			02-03-00	X									
			X		04-25-03	X									
	Washington Mutual Inc	X			10-14-02	X									
			X		12-10-03	X									

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3333

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
	General Electric Co	X			03-05-03										
	Citigroup Inc		X		12-09-02 04-15-03				X X						
	Ford Motor Company	X X			7-11-02 7-24-02		X	X							
		X	X		3-05-03 6-02-03				X X						
	Intel Corp	X X			7-24-02 9-03-02		X								
			X		3-17-03				X						
	Target Corp	X	X		7-07-00 11-19-03		X X								

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	G. Miller Development Co. (Corporation)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2003 85-137 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 JUN -9 AM 10: 21
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

6

George Miller
(Full Name)

2205 Rayburn House Office Building
Washington, DC 20515
(Mailing Address)

Daytime Telephone: 202-225-2095

Filer Status	[X] Member of the U.S. House of Representatives	State: California District:	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	[ ]	[X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	[ ]	[X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	[X]	[ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	[X]	[ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	[X]	[ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	[ ]	[X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	[X]	[ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	[ ]	[X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	[ ]	[X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	[ ]	[X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	[ ]	[X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	George Miller	6-8-04

3336

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: George Miller

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U S Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENTS; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	Examples:				
SP	Mega Corp Stock		X		
	Simon & Schuster	Indefinite			P
	1st Bank of Paducah, KY accounts		X	Royalties	
	127 D St., SE, Wash., DC				
	IRA Acct. - W.R. Hambrect Co.		X		
SP	IRA Acct. - W.R. Hambrect Co.		X		
	Cong. Fed. Credit Union Savings Acct., Wash., DC		X		
	From refinance of 127 D St		X		
	GE Interest Plus Savings Acct - transfer from Cong. Fed. Credit Union		X		
	T. Rowe Price Media & Telefund - 232 shares		X		

SP, DC, JT	Block A	Block C	Block D
		DIVIDENDS	I None
SP	Mega Corp Stock	X	
	Simon & Schuster		IV $1,001 – $2,500
	1st Bank of Paducah, KY accounts		VI $5,001 – $15,000
	127 D St., SE, Wash., DC	X	
	IRA Acct. - W.R. Hambrect Co.	X	
SP	IRA Acct. - W.R. Hambrect Co.	X	
	Cong. Fed. Credit Union Savings Acct., Wash., DC		
	From refinance of 127 D St		
	GE Interest Plus Savings Acct - transfer from Cong. Fed. Credit Union		
	T. Rowe Price Media & Telefund - 232 shares		

SP, DC, JT	Block A	Block C	Block D
		INTEREST	VII $15,001 – $50,000
SP	Mega Corp Stock		
	Simon & Schuster		IX $100,001 – $1,000,000
	1st Bank of Paducah, KY accounts	X	
	127 D St., SE, Wash., DC	X	
	IRA Acct. - W.R. Hambrect Co.	X	VII $15,001 – $50,000
SP	IRA Acct. - W.R. Hambrect Co.	X	
	Cong. Fed. Credit Union Savings Acct., Wash., DC		
	From refinance of 127 D St	X	
	GE Interest Plus Savings Acct - transfer from Cong. Fed. Credit Union	X	
	T. Rowe Price Media & Telefund - 232 shares	X	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name George Miller

Page 3 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Stock Fund, S.I.F.E. Trust Walnut Creek, CA (IRA)				X									X																		
SP	Citicorp			X																	X											
SP	EMC Corp			X																	X											
	Investec Wired Index Fund			X																	X											
SP	Tipco Software - 125 shares																				X											
	Oracle - 75 shares		X																		X											
SP	US Starcom - 43 shares			X																	X											
SP	US Starcom - 40 shares																				X											
SP	Pacificnet.com - 110 shares		X																		X											

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3338

SCHEDULE IV — TRANSACTIONS

Name George Miller

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SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
JT	Citicorp Mortgage (refinance) 127 D St., SE, Wash., DC				8/03				X						
SP	Tipco Software - 125 shares		X		10/10/03	X									
	Oracle - 75 shares		X		10/14/03	X									
SP	US Starcom - 43 shares	X			10/14/03	X									
SP	US Starcom - 40 shares	X			10/24/03	X									
SP	Pacificnet.com - 110 shares	X			12/31/03	X									

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3339

SCHEDULE V — LIABILITIES

Name George Miller

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.										
	General Electric Capital Mortgage St. Louis, Missouri (refinance)	mortgage on 127 D St., SE Wash., DC				X						
JT	Cong. Fed. Credit Union - Home Equity	home equity on 127 D St., SE Wash., DC		X								
JT	Citicorp	mortgage on 127 D St., SE Wash., DC refinance				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Aspen Institute Congressional Program (Conf. on US-China Relations)	Jan.17-21	SF-Lanai,Hawaii-SF	Y	Y	N	N
Fred Friendly Seminars	Jan.21-22	SF-New York-SF	Y	Y	N	N
Aspen Institute Congressional Program (Conf. on Education Reform)	Feb.14-19	DC-Montego Bay, Jamaica-SF (George Miller) SF-Montego Bay, Jamaica-SF (Cynthia Miller)	Y	Y	Y	N
Aspen Institute Congressional Program (Conf. on US National Security & Global Environment)	May 24-June 1	DC-Rome-DC (George Miller) DC-Rome-SF (Cynthia Miller)	Y	Y	Y	2 days
Aspen Institute Congressional Program (Conf. on US-Russia Relations)	Aug.10-20	SF-Moscow-Paris-SF	Y	Y	Y	4 days

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 AM 11:31
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

Jefferson Bingham Miller
(Full Name)

2936 Fox Pond Trail Pace, FL 32571
(Mailing Address)

850-994-5667
Daytime Telephone:

(Office Use Only)

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Filer Status: ☑ Member of the U.S. House of Representatives — State: FL District: 01 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts– Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions– Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	JM-5 JM	5-15-04

3342

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jefferson Bingham Miller

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Alger Small Cap	$1,001- $15,000		NONE	
	Alger Capital Appreciation	$1, 001 - $15,000		NONE	
	Vanguard Specialized Health	$15,001 - $50,000		NONE	
JT	2.5 Acres Hwy 182, Chumuckla unimproved	$15,001 - $50,000		NONE	
JT	Brunner-Malone Rd., Milton	$15,001 - $50,000	INTEREST/Other: contract for deed	$1,001 - $2,500	
JT	Fain-Malone Rd., Milton	$15,001 - $50,000	INTEREST/Other: contract for deed	$1,001 - $2,500	

3343

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jefferson Bingham Miller

JT	Garrison-Parker Rd., Milton	$15,001 - $50,000	INTEREST/Other: contract for deed	$201 - $1,000	
JT	Kurtz-Parker Rd., Milton	$15,001 - $50,000	INTEREST/Other: contract for deed	$1,001 - $2,500	
JT	1/2 interest Barreson-Roeville Rd., Milton	$15,001 - $50,000	INTEREST/Other: contract for deed	$1,001 - $2,500	
JT	Reichart-Malone Rd., Milton	$15,001 - $50,000	INTEREST/Other: contract for deed	$2,501 - $5,000	
JT	Semon-Parker Rd., Milton (2 lots combined from 2002)	$15,001 - $50,000	INTEREST/Other: contract for deed	$2,501 - $5,000	
JT	Regions Bank Checking	$1,001 - $15,000		NONE	
JT	Bank of Pensacola Checking	$250,001 - $500,000		NONE	
JT	CH Energy-New York	$1,001 - $15,000	Other: stock	$1 - $200	
JT	1/2 interest Kline-Malone Rd., Milton	$15,001 - $50,000	INTEREST/Other: contract for deed	$1,001 - $2,500	
JT	1/2 interest 2.5 acres. Highway 191 unimproved	$1,001 - $15,000		NONE	
JT	6 acres Parker Rd. Unimproved	$15,001 - $50,000		NONE	
JT	7 acres Malone Rd. Unimproved	$15,001 - $50,000		NONE	
SP	Vanguard Health Care Fund Int. (IRA)	$1,001 - $15,000		NONE	
SP	FRS-Select U.S. Stock Market Index Fund	$15,001 - $50,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jefferson Bingham Miller

SP	Vanguard US Growth Fund (IRA)	$1,001 - $15,000		NONE	
SP	Fidelity Contra Fund	$15,001 - $50,000		NONE	
SP	Invesco Dynamics Fund	$1,001 - $15,000		NONE	
SP	Dryfus Emerging Lenders	$1,001 - $15,000		NONE	
SP	Franklin Small-Mid Cap Growth 1 Fund A	$1,001 - $15,000		NONE	
SP	Janus Worldwide Fund	$1,001 - $15,000		NONE	
SP	Vanguard Total Stock Market Index Fund	$1,001 - $15,000		NONE	
SP	Janus Mercury Fund	$1,001 - $15,000		NONE	
JT	1/2 interest Vance-Malone Rd, Milton	$15,001 - $50,000	INTEREST/Other: contract for deed	$1,001 - $2,500	
	1/2 int. 160 acres Anderson Ln., Milton unimproved	None		$500,001 - $1,000,000	S

SCHEDULE IV - TRANSACTIONS

Name Jefferson Bingham Miller

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	1/2 int. 160 Acres Anderson Ln. Milton unimproved	S	8- 01- 03	$500,001 - $1,000,000

SCHEDULE V - LIABILITIES

Name Jefferson Bingham Miller

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Bank of Pensacola 400 West Garden Street Pensacola, FL 32501 real estate mortgage	Mortgage Paid off 8- 04 - 03	$50,001 - $100,000
JT	Bank of Pensacola 400 West Garden Street Pensacola, FL 32501 unsecure	Mortgage Paid off 8-01 -03	$50,001 - $100,000

3347

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Jefferson Bingham Miller

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
American Israel Education Foundation	Aug. 23-31	Pensacola, FL - Israel - Pensacola, FL	Y	Y	Y	N/A
Winn-Dixie	Nov 7-Nov 9	Washington, DC-Jacksonville, FL	Y	Y	N	N/A

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

Hon. Alan B. Mollohan
(Full Name)

2302 Rayburn Building
(Mailing Address)

(202) 225-4172
Daytime Telephone

2004 MAY 17 PM 1:51
(Office Use Only)

Filer Status ☒ Member of the U.S. House of Representatives — State: West Virginia District: 1st ☐ Officer or Employee — Employing Office:

Report Type ☐ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month Day Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Alan B. Mollohan	5-17-04

3349

Name Mollohan	Page 2 of 11

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Remington, Inc.	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

3350

Name Mollohan | Page 3 of 11

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
SP, DC, JT	*Examples:* SP Mega Corp. Stock	X (E: $50,001 – $100,000)	X (DIVIDENDS)	X (III: $1,001 – $2,500)	P
	Simon & Schuster	Indefinite	Royalties (Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	X (IX: $100,001 – $1,000,000)	
	1st Bank of Paducah, KY accounts		X (INTEREST)	X (VI: $5,001 – $15,000)	
JT	Rental Property: 60 G St., SW / Washington, DC 20024	X (G: $250,001 – $500,000)	X (RENT)	X (VI: $5,001 – $15,000)	
JT	Rental Property: 725 Mt. Vernon Ave. / Fairmont, WV 26554	X (E: $50,001 – $100,000)	X (RENT)	X (VI: $5,001 – $15,000)	

For additional assets and unearned income, use next page.

3351

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Mollohan

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	25% Ownership: Remington, Inc. (Property ownership & management Washington, DC)										Sub S Corp. Income								X				
SP	25% Ownership: Remington, Inc. (Property ownership & management/ Washington, DC)										Sub S Corp. Income								X				
	1/3 Ownership: MNM Holdings LLC (WV Real Estate -- inherited)				X						LLC Partnership					X							
	1/3 Ownership: D&M Investments, Inc. (Ramada Inn/ Morgantown, WV -- inherited)											X											
SP	1/3 Ownership: D&M Investments, Inc. (Ramada Inn/ Morgantown, WV -- inherited)											X											
SP	City of Fairmont: WV Sewer Revenue Bonds			X					X						X								

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Mollohan

Page 5 of 11

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
		A None; C $1,001 – $15,000; E $50,001 – $100,000; G $250,001 – $500,000; I $1,000,001 – $5,000,000; K $25,000,001 – $50,000,000	DIVIDENDS; INTEREST; EXCEPTED TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	
SP	Kanawha County, WV: Building Revenue Bonds			X	
SP	Huntington National Bank: Money Market Account	X	X	X	
JT	Huntington National Bank: Certificate of Deposit	X	X	X	
SP	Paine Webber Putman Global Growth IRA	X	NA		
JT	Property Ownership: Management: Bald Head Island, NC			X	
JT	Property Ownership: Bald Head Island, NC (Lot #5A1)		X	X	P
JT	Property Ownership: Canaan Valley, WV (Dry Fork District)		X	X	P

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3353

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Mollohan

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $201 – $1,000	III $1,001 – $2,500	IV $2,501 – $5,000	V $5,001 – $15,000	VI $15,001 – $50,000	VII $50,001 – $100,000	VIII $100,001 – $1,000,000	IX $1,000,001 – $5,000,000	X Over $5,000,000	XI	BLOCK E Transaction: P, S, E
JT	Property Ownership: Canaan Valley, WV (Winterset Lot)			X								X											P
SP	1/5 Int. Coal, Oil, Gas/ 6.5 Ac. Linn Lick Center Dist. Gilmer										Royalty		X										
SP	1/5 Int. Coal, Oil, Gas/27 Ac. Linn Lick Center Dist. Gilmer										Royalty		X										
SP	3.33 % Int. Oil, Gas/35 Ac. Bull Fork, De Kalb Dist. Gilmer		X								Royalty		X										
SP	5/32 Int. Oil, Gas/242 Ac. NS Kanawha, Glenville Dist. Gilmer										Royalty				X								

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Name Mollohan | Page 7 of 11

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
JT	Property Purchase: Lot #521 / Bald Head Island, NC	X			11-4-03				X						
JT	Property Purchase: Wintersot Lot / Canaan Valley, WV	X			1-25-03			X							
JT	Property Purchase: Dry Fort District / Canaan Valley, WV	X			10-22-03				X						

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3355

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B	C $15,001–$50,000	D	E $100,001–$250,000	F	G $500,001–$1,000,000	H $1,000,001–$1,500,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	United Bank	Mortgage: Remington, Inc.							X			
JT	United Bank	Mortgage: 60 G St. / Washington, DC					X					
JT	Huntington National Bank	Installment Loan		X								
JT	Central Carolina Bank	Construction Loan: BHI, NC						X				
JT	Cooperative Bank	Property Purchase: BHI, NC					X					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

Name Mollohan | Page 9 of 11

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			[redacted]	C $15,001–$50,000	[redacted]	E $100,001–$250,000	[redacted]	G $500,001–$1,000,000	[redacted]	I $5,000,001–$25,000,000	[redacted]	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Central Carolina Bank	Property Purchase: BHI, NC				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Gaiker Foundation	November 7-12	Wash., D.C. – Bilbao, Spain	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Secretary	Robert H. Mollohan Family Charitable Foundation, Inc.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2004 91-910 (mec)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

2003 9

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2004 MAY 13 PM 12: 33
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Dennis W. Moore
(Full Name)

8319 Mullen Road, Lenexa, KS 66215
(Mailing Address)

202.225.2865
Daytime Telephone

Filer Status	☒ Member of the U.S. House of Representatives — State: KS District: 03	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Dennis Moore	5-13-04

3360

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Midwest Perinatal Associates	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
NONE			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Dennis W. Moore

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
		A None; B $1 - $1,000; C $1,001 - $15,000; D $15,001 - $50,000; E $50,001 - $100,000; F $100,001 - $250,000; G $250,001 - $500,000; H $500,001 - $1,000,000; I $1,000,001 - $5,000,000; J $5,000,001 - $25,000,000; K $25,000,001 - $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 - $200; III $201 - $1,000; IV $1,001 - $2,500; V $2,501 - $5,000; VI $5,001 - $15,000; VII $15,001 - $50,000; VIII $50,001 - $100,000; IX $100,001 - $1,000,000; X $1,000,001 - $5,000,000; XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock	E	DIVIDENDS	IV	P
DC	Simon & Schuster	Indefinite	Royalties	IX	
JT	1st Bank of Paducah, KY accounts	F	INTEREST	VI	
	ALL America (IRA)	E	DIVIDENDS; INTEREST	N/A	
	American Century (IRA)	D	INTEREST	N/A	
	American Funds	D	DIVIDENDS	IV	
	Fidelity Cash Res. (IRA)	E	DIVIDENDS	N/A	
	ICMA Retirement (IRA)	E	DIVIDENDS; INTEREST	N/A	
	INVESCO (IRA)	D	DIVIDENDS	N/A	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Dennis W. Moore

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Vanguard MM (IRA)								X			N/A						
	Sun Co. Stock		X					X					X					
	USAA		X						X									
	U.S. Gov. Thrift Saving		X					X						X				
JT	Capitol Federal Savings								X				X					
SP	Merrill Lynch Espp		X					X				N/A						
SP	All America (IRA)							X				N/A						
SP	Life Time Stun. Ret.								X			N/A						

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3364

SCHEDULE IV — TRANSACTIONS

Name Dennis W. Moore

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	NONE														

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability							
				C $15,001–$50,000		E $100,001–$250,000		G $500,001–$1,000,000		I $5,000,001–$25,000,000		K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X					
	N/A										

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
N/A		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
NY STOCK EXCHANGE	June 2-3	WASH. D.C, - NY, NY NY, NY - WASH. D.C.	Y	Y	Y	N
MICROSOFT CAMPUS	July 26-29	WASH. DC., - REDMOND, WA REDMOND, WA - WASH. DC	Y	Y	Y	N
Wine Institute Congressional Wine Caucus	Oct. 17-20	WASH. D.C.; - NAPPA VALLEY, CA NAPPA VALLEY, CA - WASH., DC.	Y	Y	Y	N
U.S. ASIA Foundation	Oct. 23-28	WASH. D.C. - BEIJING - SHIJIAZHUNG - XIBAIPO - WASH. D.C.	Y	Y	Y	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
N/A	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 4:22

MC

(Office Use Only)

JAMES P. MORAN
(Full Name)

2411 North Lincoln Street, Arlington, Virginia 22207 202-225-4376
(Mailing Address) Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: Va. District: 8th	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [X]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	James P. Moran	May 15, 2004

3369

SCHEDULE IV — TRANSACTIONS

Name: James P. Moran

Page ___ of ___

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: [redacted]	SALE	[redacted]	Date (MO/DAY/YR)	Amount of Transaction: B [redacted]	C $15,001–$50,000	D [redacted]	E $100,001–$250,000	F [redacted]	G $500,001–$1,000,000	H [redacted]	I $5,000,001–$25,000,000	J [redacted]	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10–12–03		X								
	See attached list of transactions														

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SCHEDULE D
(Form 1040)
Department of the Treasury
Internal Revenue Service (99)

Capital Gains and Losses

▶ Attach to Form 1040. ▶ See Instructions for Schedule D (Form 1040).
▶ Use Schedule D-1 to list additional transactions for lines 1 and 8.

OMB No. 1545-0074
2003
12

Name(s) shown on Form 1040: JAMES P MORAN

Your social security number: 030-32-3146

Short-Term Capital Gains and Losses – Assets Held One Year or Less

(a) Description of property (Example 100 shares XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold (Mo, day, yr)	(d) Sales price (see instructions)	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)	(g) Post-May 5 gain or (loss)* (see below)
1 10-CALL OXB FEB 460 S&P 100 INDEX	01/24/03	02/25/03	0.	5,138.	-5,138.	
20-CALL OXB FEB 465 S&P 100 INDEX	01/22/03	02/25/03	0.	14,267.	-14,267.	
20-PUT OXB JAN 465 S&P 100 INDEX	01/15/03	01/16/03	2,839.	6,191.	-3,352.	
20-PUT OXB JAN 470 S&P 100 INDEX	01/14/03	01/15/03	11,752.	7,402.	4,350.	
2 Enter your short-term totals, if any, from Schedule D-1, line 2		2	11,233.		-4,493.	-1,024.
3 **Total short-term sales price amounts.** Add lines 1 and 2 in column (d)		3	25,824.			
4 Short-term gain from Form 6252 and short-term gain or (loss) from Forms 4684, 6781, and 8824				4		
5 Net short-term gain or (loss) from partnerships, S corporations, estates, and trusts from Schedule(s) K-1				5		
6 Short-term capital loss carryover. Enter the amount, if any, from line 8 of your 2002 Capital Loss Carryover Worksheet				6	-296,031.	
7a Combine lines 1 through 5 in column (g). If the result is a loss, enter the result. Otherwise, enter -0-. **Do not** enter more than zero				7a		-1,024.
b **Net short-term capital gain or (loss).** Combine lines 1 through 6 in column (f)				b	-318,931.	

Long-Term Capital Gains and Losses – Assets Held More Than One Year

(a) Description of property (Example 100 shares XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold (Mo, day, yr)	(d) Sales price (see instructions)	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)	(g) Post-May 5 gain or (loss)* (see below)
8						
9 Enter your long-term totals, if any, from Schedule D-1, line 9		9				
10 **Total long-term sales price amounts.** Add lines 8 and 9 in column (d)		10				
11 Gain from Form 4797, Part I; long-term gain from Forms 2439 and 6252; and long-term gain or (loss) from Forms 4684, 6781, and 8824				11		
12 Net long-term gain or (loss) from partnerships, S corporations, estates, and trusts from Schedule(s) K-1				12		
13 Capital gain distributions. See instrs				13		
14 Long-term capital loss carryover. Enter the amount, if any, from line 13 of your 2002 Capital Loss Carryover Worksheet				14	-11,202.	
15 Combine lines 8 through 13 in column (g). If zero or less, enter -0-				15		
16 **Net long-term capital gain or (loss).** Combine lines 8 through 14 in column (f)				16	-11,202.	

Next: Go to Part III on page 2.

*Include in column (g) all gains and losses from column (f) from sales, exchanges, or conversions (including installment payments received) after May 5, 2003. However, do not include gain attributable to unrecaptured section 1250 gain, 'collectibles gains and losses' (as defined in the instructions) or eligible gain on qualified small business stock (see instrs).

BAA For Paperwork Reduction Act Notice, see Form 1040 Instructions.

Schedule D (Form 1040) 2003

FDIA0612 01/16/04

SCHEDULE D-1
(Form 1040)
Department of the Treasury
Internal Revenue Service (99)

Continuation Sheet for Schedule D (Form 1040)

▶ See instructions for Schedule D (Form 1040).
▶ Attach to Schedule D to list additional transactions for lines 1 and 8.

OMB No. 1545-0074
2003
12A

Name(s) shown on Form 1040: JAMES P MORAN

Your social security number: 030-32-3146

[redacted] **Short-Term Capital Gains and Losses – Assets Held One Year or Less**

(a) Description of property (Example: 100 shares XYZ Co)	(b) Date acquired (Mo., day, yr)	(c) Date sold (Mo., day, yr)	(d) Sales price (see instructions)	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year. Subtract (e) from (d)	(g) Post-May 5 gain or (loss)* (see page 2)
1 25-PUT QQQ SEP 26 NASDAQ 100 TRUST	09/04/03	09/09/03	4,179.	5,203.	-1,024.	-1,024.
50-CALL QAV FEB 25 NASDAQ 100 TRUST	01/24/03	02/20/03	879.	5,212.	-4,333.	
50-PUT QAV JAN 28 NASDAQ 100 TRUST	01/14/03	01/15/03	6,175.	5,311.	864.	
2 **Totals.** Combine columns (d), (f) and (g). Enter here and on Schedule D, line 2 .. ▶ 2			11,233.	[redacted]	-4,493.	-1,024.

BAA For Paperwork Reduction Act Notice, see Form 1040 instructions. FDIA0656 09/22/03 Schedule D-1 (Form 1040) 2003

SCHEDULE V — LIABILITIES

Name	James P. Moran	Page ___ of

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B [redacted]	C $15,001–$50,000	D [redacted]	E $100,001–$250,000	F [redacted]	G $500,001–$1,000,000	H [redacted]	I $5,000,001–$25,000,000	J [redacted]	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Wright Patman Federal Credit Union	Line of Credit		X								
	Wright Patman Federal Credit Union	Revolving charge	X									
	Lewis Law Firm	Legal fees - divorce			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

Name James P. Moran | Page ___ of ___

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Democratic Caucus Issues Conference	1/30-2/1	Washington-Nemacolin, Pa.-Washington	Y	Y	N	No
	Boston Group for Reconciliation in Venezuela	6/29-7/4	Washington-Nantucket, Mass.-Washington	Y	Y	N	No

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

9

Jerry Moran
(Full Name)

2758 Thunderbird Drive Hays, KS 67601
(Mailing Address)

(202) 225-2715
Daytime Telephone:

2004 MAY 17 PM 1:49

MC

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives	State: KS District: 1	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☑ No ☐	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jerry Moran	05-17-04

3375

SCHEDULE I - EARNED INCOME

Name Jerry Moran

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
United School District 489 (Hays, Kansas)	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jerry Moran

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	AIM Value B, Mutual Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	NONE	
JT	Boeing Company, Stock	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Capital Income Builder A, Mutual Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
	Capital World Growth & Income A, Mutual Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
JT	Chevron Texaco, Stock	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
JT	Emprise Bank, N.A. Accounts (Hays, Kansas)	$1,001 - $15,000	INTEREST	$1 - $200	

3377

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jerry Moran

	Evergreen Money Market, Mutual Fund	$1 - $1,000	DIVIDENDS	$1 - $200	
JT	Evergreen Money Market, Mutual Fund	$1 - $1,000	DIVIDENDS	NONE	
JT	Exxon Mobil, Stock	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
	Federated Growth Strategies A, Mutual Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	NONE	
SP	Fidelity Growth Opportunity T, Mutual Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Fidelity Growth Opportunity T, Mutual Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
JT	Franklin Gold Fund, Mutual Fund	$1 - $1,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
DC	Golden Plains Credit Union Accounts (Hays, Kansas)	$1 - $1,000	INTEREST	$1 - $200	
JT	Halliburton Company, Stock	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Income Fund of America B, Mutual Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
SP	Income Fund of America B, Mutual Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
	ING Life Insurance Annuity	$15,001 - $50,000	DIVIDENDS/INTEREST	$201 - $1,000	
	Investment Company of America A, Mutual Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
SP	Lincoln Life and Growth and Income Mutual Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jerry Moran

SP	Lincoln Life Special Opportunities Mutual Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	MFS Massachusetts Inv B, Mutual Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	NONE	
DC	Missouri College Savings Bond	$1,001 - $15,000	INTEREST	NONE	
	Pfizer Inc., Stock	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
SP	Putnam Global Equity B, Mutual Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
JT	Schlumberger LTD, Stock	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
DC	Series E U.S. Bonds	$1,001 - $15,000	INTEREST	NONE	
DC	Sunflower Bank, N.A. Accounts (Hays, Kansas)	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Transocean Sedco, Stock	$1 - $1,000	DIVIDENDS/CAPITAL GAINS	NONE	

SCHEDULE IV - TRANSACTIONS

Name Jerry Moran

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Capital Income Builder A, Mutual Fund	P	12-03-03	$15,001 - $50,000
	Investment Company of America A, Mutual Fund	P	12-03-03	$15,001 - $50,000
	Income Fund of America B, Mutual Fund	P	01-07-03	$1,001 - $15,000
SP	Income Fund of America B, Mutual Fund	P	01-07-03	$1,001 - $15,000

SCHEDULE VI - GIFTS

Name Jerry Moran

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Mr. Ross Beach, Hays, Kansas	Air Transportation (determination of personal friendship received from Committee on Standards)	$285

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Jerry Moran

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Farm Credit Council	Jan. 19-21	Denver--San Francisco--Denver	Y	Y	N	N/A
Chicago Mercantile Exchange/Chicago Board of Trade	May 5-6	Kansas City--Chicago--Washington, D.C.	Y	Y	N	N/A
Farmer's Rice Cooperative	May 27-28	Kansas City--Denver--Sacramento--Denver--Kansas City	Y	Y	N	N/A
New York Mercantile Exchange	June 15-16	Kansas City--New York--Washington, D.C.	Y	Y	N	N/A
CoBank	Aug. 5-6	Hays--Denver--Hays	Y	Y	Y	N/A
Delta Council	Sept. 22-23	Kansas City--Memphis--Washington, D.C.	Y	Y	N	N/A
Chicago Mercantile Exchange/Chicago Board of Trade	Oct. 6-7	Kansas City--Chicago--Washington, D.C.	Y	Y	N	N/A
Agricultural Retailer's Association	Dec. 3-5	Hays, Kansas--Nashville--Hays, Kansas	Y	Y	N	N/A

SCHEDULE VIII - POSITIONS

Name Jerry Moran

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Fort Hays State University Endowment Association (Hays, Kansas)
Governor	Board of Governors, University of Kansas School of Law (Lawrence, Kansas)
Executive Member	The Eisenhower Foundation (Abilene, Kansas)
Executive Board Member	Coronado Area Council Boy Scouts of America (Salina, Kansas)
Commissioner	Dwight D. Eisenhower Memorial Commission (Washington, D.C.)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

13

2004 MAY 20 AM 11:41

(Office Use Only)

TIMOTHY F. MURPHY
(Full Name)

504 WASHINGTON ROAD (OFFICE)
(Mailing Address)

PITTSBURGH, PA 15228

Daytime Telephone: 412-344-5583

Filer Status: [X] Member of the U.S. House of Representatives — State: PA District: 18 [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) [ ] Amendment [ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Timothy F. Murphy	5-13-2004

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	INTER-CARE PSYCHIATRIC SERVICES	SPOUSE SALARY	NA
	BOMBARDIER TRANSPORTATION	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
NONE			

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B											Block C							Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – Over $5,000,000	XI	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock				X								X																	P
			Simon & Schuster		Indefinite																Royalties								X			
			1st Bank of Paducah, KY accounts					X									X															
SP	PIMCO TOTAL RETURN				X										X									X								P
SP	MASTERS SELECT INST.				X										X							X										P
SP	HARBOR CAPITAL APPRECIATION				X										X									X								
SP	OAKMARK I				X										X									X								P
SP	COLUMBIA ACORN Z				X										X									X								P
SP	AMERICAN AAHC Small Cap Value Inst.				X										X									X								P

For additional assets and unearned income, use next page.

3387

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name TIMOTHY F. MURPHY

Page 4 of 12

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B [illegible]	C $1,001 – $15,000	D [illegible]	E $50,001 – $100,000	F [illegible]	G $250,001 – $500,000	H [illegible]	I $1,000,001 – $5,000,000	J [illegible]	K $25,000,001 – $50,000,000	L [illegible]	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II [illegible]	III $201 – $1,000	IV [illegible]	V $2,501 – $5,000	VI [illegible]	VII $15,001 – $50,000	VIII [illegible]	IX $100,001 – $1,000,000	X [illegible]	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	MFS Total Return B				X									X								X									
SP	George Putnam of Boston B				X									X							X										
SP	Money Market			X												X															
DC	SSGA Emerging Markets			X										X							X										
DC	Pimco Total Return Instl			X										X								X									
DC	Masters Select International				X									X						X											P
DC	Enterprise Growth A			X										X						X											S
DC	Harbor Capital Appreciation			X										X						X											P
DC	Oakmark I			X										X							X										P
DC	Money Market			X												X															
DC	Pimco Low Duration Instl			X										X							X										
DC	Columbia Acorn Z			X										X							X										P
DC	American A Adv Small Cap Value Instl			X										X								X									P
	SSGA Emerging Markets			X										X							X										P
	Masters Select International				X									X						X	X										P
	Harbor Capital Appreciation				X									X							X										P
	Oakmark I				X									X							X										P
	Brazos Real Estate Securities Y			X										X								X									
	Pimco Low Duration Instl.			X										X							X										
	Columbia Acorn Z			X										X							X										P
	American A Adv Small Cap			X										X								X									P
	Blue Ridge Energy Inc.			X										X						X											

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3388

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name TIMOTHY E. MURPHY

Page 5 of 12

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	SSGA Emerging Markets		X					X						X					
	Pimco Total Return Instl							X						X					P
	Artisan International							X						X					
	Oakmark International							X					X						P
	American Funds Growth Fnd F		X					X					X						P
	Harbor Capital Appreciation							X					X						
	TCW Galileo Select Equity I		X					X				X							P
	Clipper							X						X					P
	Davis NY Venture A							X					X						
	Brazos Real Estate Securities Y		X					X						X					
	Pimco Low Duration Instl		X					X						X					
	ABN AMRO Veredus Aggressive Growth							X				X							P
	Segfund Small Co. B							X						X					P
	Ariel							X				X							P
	Third Avenue Value							X					X						S
JT	Pimco Total Return Instl		X					X						X					
JT	Masters Select International		X					X				X							P
JT	Harbor Capital Appreciation		X					X				X							P
JT	Oakmark I		X					X					X						S
JT	Columbia Acorn Z		X					X					X						P
JT	American Addl. Small Cap Value Instl.		X					X					X						P

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SCHEDULE IV — TRANSACTIONS

Name Timothy F. Murphy | Page 6 of 12

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
SP	American AAdv Small Cap Value Instl	X			3-5-03	X									
SP	Columbia Acorn Z	X			3-5-03	X									
SP	Masters Select International	X			3-5-03	X									
SP	Oakmark I	X			3-5-03	X									
SP	Pimco Total Return Instl	X			3-5-03	X									
SP	Artisan International		X		3-5-03	X									
SP	Davis NY Venture A		X		3-5-03	X									
SP	Fremont US Micro Cap Instl		X		3-5-03	X									
SP	Royce Low Priced Stock		X		3-5-03	X									
SP	T. Rowe Price Int'l Bond		X		3-5-03	X									
SP	Loomis Sayles Bond Instl		X		3-5-03	X									
DC	American AAdv Small Cap Value Instl	X			3-5-03	X									
DC	Harbor Capital Appreciation	X			3-5-03	X									
DC	Columbia Acorn Z	X			3-5-03	X									
DC	Masters Select International	X			3-5-03	X									
DC	Oakmark I	X			3-5-03	X									

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SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock		10-12-03	X				
DC	Artisan International	X	3-5-03					
DC	Brazos Real Estate Securities Y	X	3-5-03					
DC	Enterprise Growth A	X	3-5-03					
DC	Fremont US Micro Cap Instl	X	3-5-03					
DC	Neuberger Bergman Focus	X	3-6-03					
DC	Rogge Low Priced Stock	X	3-5-03	X				
DC	Brazos Real Estate Securities Y	X	7-16-03	X				
JT	American AFdv Small Cap Value Instl		1-23-03	X				
JT	Harbor Capital Appreciation		1-24-03	X				
JT	Columbia Acorn Z		1-24-03	X				
JT	Masters Select International		1-24-03	X				
JT	Artisan International	X	1-23-03	X				
JT	Artisan Mid Cap	X	1-23-03	X				
JT	Longleaf Partners	X	1-23-03	X				
JT	Oakmark I	X	1-23-03	X				
JT	Vanguard US Growth	X	1-24-03	X				

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SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock		10-12-03	X				
	ABN AMRO Veredus Aggressive Growth		3-21-03	X				
	American Funds Growth F		3-21-03	X				
	Ariel		3-21-03	X				
	Clipper		3-21-03		X			
	Oakmark International I		3-21-03		X			
	Pimco Total Return Instl		3-21-03		X			
	Sentinel Small Company A		3-21-03	X				
	TCW Galileo Select Equity I		3-21-03	X				
	BT Investment Funds Intl Equity	X	3-21-03	X				
	Fremont US Micro-Cap Instl	X	3-21-03		X			
	Loomis Sayles Bond Instl	X	3-24-03		X			
	MFS Total Return B	X	3-21-03	X				
	Oppenheimer Quest Value A	X	3-21-03		X			
	Third Avenue Value	X	3-21-03	X				
	Vanguard Growth Index	X	3-24-03	X				

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SCHEDULE IV — TRANSACTIONS

Name TIMOTHY F. MURPHY

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	American AAdv Small Cap Value Instl		3-10-03					
	Harbor Capital Appreciation		3-10-03					
	Columbia Acorn Z		3-10-03					
	Masters Select International		3-10-03	X				
	Oakmark I		3-10-03					
	SSGA Emerging Markets		3-10-03					
	Artisan International	SALE	3-10-03					
	BT Investment Funds Int'l Equity	SALE	3-10-03					
	Davis NY Venture A	SALE	3-10-03	X				
	Fremont US Micro Cap Instl	SALE	3-11-03					
	Loomis Sayles Bond Instl	SALE	3-11-03					
	Royce Low Priced Stock	SALE	3-10-03					
	TCW Galileo Select Equity I	SALE	3-10-03					
	Vanguard Growth Index	SALE	3-11-03					

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
				C $15,001 – $50,000		E $100,001 – $250,000		G $500,001 – $1,000,000		I $5,000,001 – $25,000,000		K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NONE											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NONE						

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARD MEMBER	WESTERN PENNSYLVANIA HISTORICAL SOCIETY (NP)
BOARD MEMBER	PITTSBURGH CHILDREN'S MUSEUM (NP)
BOARD MEMBER	PITTSBURGH PUBLIC THEATRE (NP)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 3:05
(Office Use Only): HC

JOHN P. MURTHA, JR.
(Full Name)

2238 WOODCREST DRIVE
JOHNSTOWN, PA 15905
(Mailing Address) Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: PA District: 12TH	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	X	MAY 12, 2004

3397

Name JOHN P. MURTHA, JR. | Page 1 of 3

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B											Block C							Block D											Block E
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $201 - $1,000	III $1,001 - $2,500	IV $2,501 - $5,000	V $5,001 - $15,000	VI $15,001 - $50,000	VII $50,001 - $100,000	VIII $100,001 - $1,000,000	IX $1,000,001 - $5,000,000	X Over $5,000,000	XI	P, S, E
SP, DC, JT	*Examples:* SP Mega Corp. Stock					X								X										X							P
	Simon & Schuster		Indefinite																	Royalties								X			
	1st Bank of Paducah, KY accounts						X									X															
JT	CHARLES SCHWAB			X										X																	
SP	CONGRESSIONAL FCU			X												X						X									
	WESBANCO, INC.				X									X										X							P (DIVIDEND REINVESTMENT)
	FIRST COMMONWEALTH BANK			X												X					N/A	–	IRA								
	CONGRESSIONAL FCU			X												X					N/A	–	IRA								
SP	CONGRESSIONAL FCU			X												X					N/A	–	IRA								

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHN P. MURTHA, JR.

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	FIRST COMMONWEALTH BANK		X						X			N/A – NR						
	CONGRESSIONAL FCU								X									
JT	FIRST COMMONWEALTH BANK		X						X									
SP	EVERGREEN-U.S. GOVT. (DECREASE IN VALUE BELOW REPORTING THRESHOLD																	
SP	EVERGREEN-FDS GR. FD.							X				X						
	AXA FINANCIAL							X										

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SCHEDULE IV — TRANSACTIONS

Name JOHN P. MURTHA, JR. | Page 3 of 3

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset		Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		X			10–12–03		X								
	WESBANCO, INC.	QUARTERLY DIVIDEND REINVESTMENT	X			1/02/03										
	WESBANCO, INC.		X			4/01/03										
	WESBANCO, INC.					7/01/03	X									
	WESBANCO, INC.		X			10/01/03										

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 4:41
MC
(Office Use Only)

MARILYN N MUSGRAVE
(Full Name)

15484 ROAD 18 1/2, FORT MORGAN, CO 80701
(Mailing Address)

202-225-4676
Daytime Telephone

Filer Status	☒ Member of the U.S. House of Representatives — State: CO District: 04	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒ No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒ No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒ No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒ No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Marilyn N. Musgrave	5/15/04

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	COLORADO FARM BUREAU MUTUAL INS. CO.	SPOUSE SALARY	NA
	STEVE MUSGRAVE AGENCY: INSURANCE SALES	SPOUSE SELF-EMPLOYMENT	NA
	MUSGRAVE BALE SERVICE: AGRICULTURAL BUSINESS	SPOUSE SELF-EMPLOYMENT	NA

For payments to charity in lieu of honoraria, use Schedule II.

3402

Name	Page of

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
	XYZ Magazine	Article	Aug. 13, 2003	$500

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A		Block B	Block C																															Block E
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples: SP Mega Corp. Stock					X								X																				P
	Simon & Schuster		Indefinite																	Royalties								X						
	1st Bank of Paducah, KY accounts						X									X																		
	AA. GEN. FINANCIAL - BOSTON, MA				X											X																		
	401(a) - MUT. FUNDS - NOT SELF-DIR																																	
	REAL ESTATE: 24867 - 3RD ST.				X																													
	GOLETON, CO 80622																																	
	FARM BUREAU FIN., DES MOINES IA			X												X																		
	IRA - MUT. FUNDS - NOT SELF-DIR.																																	
	PERA of CO, DENVER, CO: 403(b)			X												X																		
	MUTUAL FUNDS - NOT SELF-DIR																																	
	CHECKING ACCOUNT: FIRST			X												X																		
J	MOR. GRAD STATE BANK																																	
	CHECKING ACCOUNT: FARMERS			X												X																		
J	STATE BANK of FT. MORGAN																																	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name MARILYN N MUSGRAVE

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	$1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	FARM-91 ACRES: ADJACENT HOME					X														CROP SALES						X						
SP	FARM BUREAU FIN - DES MOINES, IA IRA - MUTUAL FUNDS - NOT SELF-DIRECTED					X										X																
SP	CO. FARM BUREAU M.I.C., DENVER, CO: 401 (K) - MUTUAL FUNDS - NOT SELF-DIRECTED				X											X																
SP	BALE WAGON - FARM MACHINERY			X																SELF EMPL.												

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Name	Page ___ of ___

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset		Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:*	Mega Corporation Common Stock				10–12–03		X								

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $15,000 or less	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	FORT MORGAN STATE BANK	MORTG. ON 24967-3RD ST-GALETON		X								
JT	MBNA AMERICA	REVOLVING CHARGE ACCOUNT		X								
JT	BANK of COLORADO, FT MORGAN, CO	MORTGAGE-FARM, FT. MORGAN				X						
JT	BANK ONE	REVOLVING CHARGE ACCOUNT		X								
	DISCOVER CARD	CREDIT CARD										
SP	AMERICAN EXPRESS CENTURION BANK	NON-SECURED LOAN		X								
SP	FORT MORGAN STATE BANK	BUS. LOAN-MUSGRAVE BALE SERV	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	AMERICAN ISRAELI EDUC. FOUNDATION	AUG 23-31	DENVER - NY-TEL AVIV-NY-DENVER	Y	Y	Y	N

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Name	Page ___ of ___

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED
3

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 AM 11:29
(Office Use Only)

MC

SUELLEN WILKINS MYRICK
(Full Name)

9169 BONNIE BRIAR CIRCLE
CHARLOTTE, NC 28277
(Mailing Address)

Daytime Telephone: 202-225-1976

Filer Status: [X] Member of the U.S. House of Representatives — State: NC District: 09 [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) [ ] Amendment [ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Suellen Wilkins Myrick	5/13/04

3410

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Sveltlen Wilkins Mynzk

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

SP, DC, JT	Examples:	SP	Mega Corp. Stock
			Simon & Schuster
			1st Bank of Paducah, KY accounts

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E, P
					X								X										X					X			
	Indefinite																		Royalties												
						X									X																
JT Hartford Money Market		X													X						X										
JT Putnam Money Market				X											X							X									
JT Solomon Bros Cash Mgmt			X												X						X										

For additional assets and unearned income, use next page.

Name Swellen Wilkins (Nyack) Page 2 of 2

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Heidelberg College, Tiffin, OH

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO. 2004 91-910 (mac)

Use additional sheets if more space is required.

3412

SUE MYRICK
9TH DISTRICT, NORTH CAROLINA

COMMITTEES
RULES
SUBCOMMITTEE ON
LEGISLATIVE AND BUDGET PROCESS

FINANCIAL SERVICES
(ON LEAVE)

E-MAIL: myrick@mail.house.gov
WEBSITE: http://www.house.gov/myrick

Congress of the United States
House of Representatives
Washington, DC 20515

☐ 1030 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-1976
FAX: (202) 225-3389

DISTRICT OFFICES:

☐ 6525 MORRISON BOULEVARD
SUITE 402
CHARLOTTE, NC 28211
(704) 362-1060
FAX: (704) 367-0852

☐ 318 SOUTH STREET
SUITE B
GASTONIA, NC 28052
(704) 861-1976
FAX: (704) 864-2445

June 9, 2004

The Honorable Jeff Trandahl
Clerk, U.S. House of Representatives
Legislative Resource Center
B106 Cannon House Office Building
Washington, DC 20515

MC

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 JUN -9 PM 3:02
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Dear Mr. Trandahl:

Please accept this letter as an amendment to my 2002 Financial Disclosure Statement.

I inadvertently said that I had information to list on the Schedule 1 box. In fact, neither me nor my spouse had earned income of $200 or more from any source during the reporting period. I should have selected the "no" box.

I apologize for the error and for any confusion it may have caused. Please contact me or Ashley Hoy, my Administrative Assistant, if you have any questions.

Sincerely,

Sue Myrick

Sue Myrick
Member of Congress

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

7

LEGISLATIVE RESOURCE CENTER

2004 MAY 17 PM 4:40

MC

(Office Use Only)

JERROLD LEWIS NADLER
(Full Name)

315 West 70th Street, Apt. 3C
New York, N.Y. 10023
(Mailing Address)

(202) 225-5635
(202) 367-7350
Daytime Telephone:

Filer Status	☒ Member of the U.S. House of Representatives — State: N.Y. District: 8	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jerrold Nadler	5/16/04

3414

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	New York City Comptroller's Office	Spouse Salary	NA
	New York State	Pension	#19258

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
		A None; B $1,001 – $15,000; C $15,001 – $50,000; D $50,001 – $100,000; E $100,001 – $250,000; F $250,001 – $500,000; G $500,001 – $1,000,000; H $1,000,001 – $5,000,000; I $5,000,001 – $25,000,000; J $25,000,001 – $50,000,000; K $50,000,001 – $100,000,000; L Over $100,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT *Examples:*	SP Mega Corp. Stock	F	DIVIDENDS		P
	Simon & Schuster	B	Royalties	IX	
	1st Bank of Paducah, KY accounts	F	INTEREST		
SP	Smith Barney Money Funds	D	DIVIDENDS	II	
SP	Citibank NA Bank Deposit Program	C	INTEREST		
	U.S. Savings Bonds	C	INTEREST	I	
JT	HSBC Bank (USA)	D	INTEREST	III	
DC	Chase Bank	C	INTEREST		
DC	Smith Barney	D	DIVIDENDS; INTEREST	II	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jerrold Lewis Nadler

Page 4 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
DC	Ameriqas Partners, LP		X								Partnership Income		X					
DC	Histadrut Charitable Remainder Trust	X										X						
DC	NY Series D Bond		X									X						

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3417

SCHEDULE IV — TRANSACTIONS

Name Jerrold Lewis Nadler | Page 5 of 7

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
SP, DC, JT	Asset	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	[redacted]	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10-12-03		X								
DC	NYC Gen'l Obligation Bond	Matured			2/1/03	X									
DC	NYC G.O. Ser. D Bond			X	2/1/04	X									

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Faith and Politics Institute	3/7-3/9/03	D.C. — Montgomery, Birmingham, Selma, Alabama — D.C.	Y	Y	N	N
Association of American Railroads	4/24-4/27/03	NYC — Pebble Beach, Cal. — NYC	Y	Y	Y	N
American Israel Education Foundation	8/2-8/12/03	NYC — Tel Aviv, Israel — NYC	Y	Y	Y	2 Days

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
National Vice President	Bnai Zion Foundation
Chair	East of Hudson Rail Freight Task Force
Advisory Board	West Side Peace Action

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

GRACE F. NAPOLITANO
(Full Name)

1609 LONGWORTH
WASHINGTON, D.C.
(Mailing Address)

202-225-5256
Daytime Telephone

2004 JUN 14 AM 9:41

(Office Use Only) MC

Filer Status: [X] Member of the U.S. House of Representatives — State: California District: 38 — [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month Day Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Grace F. Napolitano	6-09-04

3421

Name Grace F. Napolitano | Page 2 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Ford Retirement Pension Plan	Pension	16,675
	State of California Public Employees Retirement	Pension	5319
	United States Railroad Retirement System	Pension - Spouse	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name

Page 3 of 5

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

SP, DC, JT		Block A	Block B	Block C	Block D	Block E
			A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP	Examples: Mega Corp. Stock		X	X		P
	Simon & Schuster	Indefinite				
	1st Bank of Paducah, KY accounts			INTEREST: X	Royalties: X	
	FORD MTR CO DEL COM (F)		X	X		P
	VISTEON CORP COM (VC)	X		X	(reinvested) X	
	The GIRLS (SOLE Proprietorship) Jewelry Sales-Nwk		X		(reinvested) X	
	LOAN TO NAPOLITANO FOR CONG		X	INTEREST: X	Sales X	

For additional assets and unearned income, use next page.

3423

SCHEDULE IV — TRANSACTIONS

Name

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	FORD MOTOR	X			5-02-03		X								
	Select Food		X		4-02-03	X									
	Fidelity Blue Chip		X		4-02-03		X								

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3424

Name GRACE F. NAPOLITANO Page 5 of 5

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

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Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
SONY MUSIC	OCT. 24-26	L.A.-SAN JUAN, PUERTO RICO-L.A	Y	Y	N	N
METROPOLITAN WATER DIST. of So. CA.	MAY 26-27	L.A.- SACRAMENTO - L.A.	Y	Y	N	N
ENVIRONMENTAL WATER CAUCUS	MAY 28	SACRAMENTO-THORNTON-SAC	N	Y	N	N
Public GOVERNANCE INSTITUTE	DEC. 5-7	NORWALK-RANCH. MIRAGE-NOVA	Y	Y	N	N
Congressional CAUCUS INSTITUTE	JAN. 21-26	WASH, DC-RIO GRANDE, PUERTO RICO, WASH. D.C.	Y	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 12 PM 3:12
(Office Use Only)

Richard E. Neal
(Full Name)
2133 Rayburn House Office Building
(Mailing Address)
Washington DC 20515
Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives	State: MA District: Second	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Richard E. Neal	5/12/04

3426

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Commonwealth of Massachusetts (University of Massachusetts)	Approved Teaching Fee	$19,990.00
	Commonwealth of Massachusetts	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Richard E. Neal | Page 3 of 4

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	Block B	Block C	Block D	Block E
SP	Mega Corp. Stock		X		P
	Simon & Schuster	Indefinite			
	1st Bank of Paducah, KY accounts			Royalties	
	Sun America Money Market		X		
	Bank North (Was Springfield Institute for Savings)		X		
	Springfield, MA Credit Union	X			
	Congressional Federal Credit union	X			
	City of Springfield Retirement Fund	X	NA	NA	

Block B columns: A None; B $1,001 – $15,000; C $15,001 – $50,000; D $50,001 – $100,000; E $100,001 – $250,000; F $250,001 – $500,000; G $500,001 – $1,000,000; H $1,000,001 – $5,000,000; I $5,000,001 – $25,000,000; J $25,000,001 – $50,000,000; K Over $50,000,000; L

Block C columns: DIVIDENDS; RENT; INTEREST; CAPITAL GAIN; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)

Block D columns: I None; II $200 – $201 – $1,000; III $1,001 – $2,500; IV $2,501 – $5,000; V $5,001 – $15,000; VI $15,001 – $50,000; VII $50,001 – $100,000; VIII $100,001 – $1,000,000; IX $1,000,001 – $5,000,000; X Over $5,000,000; XI

For additional assets and unearned income, use next page.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Invest to Compete Alliance	July 4 – July 6	Newport, Rhode Island (by private car)	Y	Y	N	N
Chubb Corporation	December 16 - December 17	Springfield, MA to New York City (by private car)	Y	Y	N	N
American Burn Association	December 17 - December 18	(Combined trip with previous) New York City (by private car)	Y	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(9)

LEGISLATIVE RECORDS / ENTRY

2004 MAY 17 PM 5:16

MC (Office Use Only)

George R. Nethercutt, Jr.
(Full Name)

2443 Rayburn House Office Bldg.
(Mailing Address)

202-225-2006
Daytime Telephone

Washington, DC 20510

Filer Status	☒ Member of the U.S. House of Representatives	State: WA District: 05	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☒	No ☐	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒ No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	George R. Nethercutt, Jr.	5-15-04

3430

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	U.S. Department of Commerce Jan - April 2003	Spouse Salary	NA
	Jack Ferguson Associates May - December 2003	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
	XYZ Magazine	Article	Aug. 13, 2003	$500
	Petrocard - Seattle, WA	Speech	4-11-03	$500

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Transaction P, S, E
SP	*Examples:* Mega Corp Stock					X								X																		P
DC	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X																
	E. 706 Highland Blvd Spokane WA							X							X												X					
	Fen Mar Partnership - Summerville SC						X								X												X					
	Van Kampen American Trust American Pace Fund			X										NA	IRA						NA											
	Dean Witter American Opportunities Fund - Morgan Stanley				X									NA	IRA						NA											
	Capital Guardian Trust Company Growth Fund of America				X									NA	IRA						NA											
	Dean Witter American Value Fund Morgan Stanley				X									NA	IRA						NA											

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name George R. Nethercutt, Jr

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B [illegible]	C $1,001 – $15,000	D [illegible]	E $50,001 – $100,000	F [illegible]	G $250,001 – $500,000	H [illegible]	I $1,000,001 – $5,000,000	J [illegible]	K $25,000,001 – $50,000,000	L [illegible]	BLOCK C Type of Income: DIVIDENDS	RENTS	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1,001 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Capital Guardian Trust Company New Perspective Fund				X									NA		IRA					NA											
	Capital Guardian Trust Company American Mutual Fund				X									NA		IRA					NA											
	Capital Guardian Trust Co Pacific Growth Fund				X									NA		IRA					NA											
	Capital Guardian Trust Company New Perspective Fund			X										NA		IRA					NA											
	Piper Jaffray Money Market-US Bank			X										NA		IRA					NA											
	BioKey Intl.				X									NA		IRA					NA											
	Congressional Federal Credit Union			X													X						X									
	First Union Bank-Wachovia			X													X						X									
	Thrift Savings Acct.-US House			X										NA		IRA					NA											

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SCHEDULE IV — TRANSACTIONS

Name George R. Nethercutt, Jr | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction		Date (MO/DAY/YR)	Amount of Transaction									
		[redacted]	SALE	[redacted]	[redacted]	C $15,001–$50,000	[redacted]	E $100,001–$250,000	F [redacted]	G $500,001–$1,000,000	[redacted]	I $5,000,001–$25,000,000	[redacted]	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock			10–12–03		X								
	None	NA												

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Washington Mutual Bank - Spokane WA	mortgage on E. 706 Highland Blvd. Spokane WA				X						
	Washington Trust Bank - Spokane WA	line of credit			X							
	Wachovia National Bank - Summerville SC	Fan Mae mortgage - 300 N. Main Summerville SC		X								
	Visa	revolving charge		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
None		

Use additional sheets if more space is required.

SCHEDULE VII --TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	None						

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Spokane Neighborhood Action Programs (nonprofit) Spokane, WA (uncompensated)
Executor	Estate of Carl Swanson (deceased) Spokane, WA

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

Use additional sheets if more space is required.

LEGISLATIVE RESOURCE CENTER

2004 MAY 12 PM 3:54

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U.S. HOUSE OF REPRESENTATIVES

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MC

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

ROBERT RANDOLPH NEUGEBAUER
(Full Name)

1026 LONGWORTH BUILDING, WASHINGTON, DC 20515
(Mailing Address)

202-225-4005
Daytime Telephone:

(9) (Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: TX District: 19	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Randy Neugebauer	5.11.04

3439

SCHEDULE I - EARNED INCOME

Name ROBERT RANDOLPH NEUGEBAUER

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
OLD TOWN, INC., dba PRESTIGE HOMES	SALARY	$62,500 (EARNED BEFORE CURRENT LEGISLATIVE EMPLOYMENT - NOT SUBJECT TO OUTSIDE EARNED INCOME LIMIT
OLD TOWN, INC., dba PRESTIGE HOMES	SPOUSE SALARY	N/A
OLD TOWN, INC., dba PRESTIGE HOMES	CONSULTING FEE	$1,060 (EARNED BEFORE CURRENT LEGISLATIVE EMPLOYMENT-NOT SUBJECT TO OUTSIDE EARNED INCOME LIMIT

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	STATE NATIONAL BANK, LUBBOCK, TEXAS, ACCOUNTS	$1 - $1,000	INTEREST	$1 - $200	
JT	PEOPLES BANK, LORENZO, TEXAS, ACCOUNTS	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
JT	5725 86TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 12/2002	$100,001 - $250,000	INVESTMENT	NONE	
JT	LOAN TO NEUGEBAUER CONGRESSIONAL CAMPAIGN	$100,001 - $250,000	LOAN-NON INTEREST BEARING	NONE	

3441

SCHEDULE B - ASSETS AND UNEARNED INCOME
(CONTINUATION SHEET)

NAME: ROBERT RANDOLPH NEUGEBAUER PAGE 3 OF 8

SP, DC, BLOCK A
JT ASSET AND/OR INCOME SOURCE

SP, DC, BLOCK A / JT ASSET AND/OR INCOME SOURCE	BLOCK B VALUE OF ASSET: A NONE	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	BLOCK C TYPE OF INCOME: DIV	RENT	INT	CG	EXC	TRI	GBT	OTHER	BLOCK D AMOUNT OF INCOME: I NONE	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	BLOCK E TRANSACTION
SP RETIREMENT ACCOUNT-ING LIFE AND ANNUITY COMPANY																																
FIDELITY VIP EQUITY-INCOME PORTFOLIO-100			X										X										X									
RETIREMENT ACCOUNT-JPMORGAN CHASE BANK																																
AMERICAN CENTRY INTERNATIONAL GROWTH FUND			X										X									X										
JP MORGAN DISCIPLINED EQUITY FUND						X							X								X											
JP MORGAN GLOBAL 50 FUND SELECT SHARE CLASS			X										X									X										
USA NOTES			X												X							X										
JP MORGAN PRIME MONEY MARKET PREMIER					X										X																	
SP RETIREMENT ACCOUNT-JP MORGAN CHASE BANK																																
JP MORGAN PRIME MONEY MARKET PREMIER			X												X						X											
AMERICAN CENTRY INTERNATIONAL GROWTH FUND			X										X								X											
JP MORGAN DISCIPLINED EQUITY FUND				X									X								X											
JP MORGAN GLOBAL 50 FUND			X										X								X											
JT OLD TOWN PROPERTIES, INC.																				S-CORP INCOME	X											
LUBBOCK, TEXAS, PROPERTY MANAGEMENT COMPANY																																
HOLDINGS:																																
REAL ESTATE 3610 12TH, LUBBOCK, TEXAS	X													X		X										X						Partnership sold property in 2003
PARTNERSHIP INTEREST-SKYTOP HOLDINGS, LLC																																
HOUSTON, TEXAS PRIVATE EQUITY FUND				X										X									X									
JT OLD TOWN PROPERTIES, LTD																																
LUBBOCK, TEXAS - PARTNERSHIP HOLDS																																
RENTAL PROPERTIES																																
2013-2023 S. LOOP 289, LUBBOCK, TEXAS																																
VALUED AT PURCHASE PRICE 05/1994						X								X												X						
5717 66TH STREET, LUBBOCK, TEXAS																																
VALUED AT PURCHASE PRICE 05/1993					X									X										X								
5731 66TH STREET, LUBBOCK, TEXAS																																
VALUED AT PURCHASE PRICE 06/1994					X									X										X								
JT KINGDOM ENTERPRISES, LTD																																
LUBBOCK, TX - INVESTMENT PARTNERSHIP																																
HOLDINGS:																																
STATE NATIONAL BANK, LUBBOCK, TX, ACCOUNTS						X									X							X										
PEOPLE'S BANK, LORENZO, TX, ACCOUNTS						X									X								X									
HALE COUNTY STATE BANK, PLAINVIEW, TX, ACCOUNTS					X										X									X								
LUBBOCK NAT'L BANK, LUBBOCK, TX, ACCOUNTS						X									X										X							
1ST NAT'L BANK OF HASKELL, TX, ACCOUNTS						X									X										X							
1ST NAT'L BANK OF HEREFORD, TX, ACCOUNTS						X									X											X						
1ST BANK & TRUST, TEXAS, ACCOUNTS						X									X										X							
CITY BANK, LUBBOCK, TX, ACCOUNTS						X									X										X							
PLAINS NAT'L BANK, LUBBOCK, TX, ACCOUNTS						X									X												X					
U.S. TREASURY BILLS AND NOTES									X						X																	
WATERHOUSE - MMF MUNIC PORTFOLIO(PREVIOUSLY NAMED CMI				X											X						X											
FARMERS GROUP PREFERRED	X														X								X									
INVESTMENT IN LUBBOCK LAND COMPANY FOUR, LTD																				LAND DEVELOPMENT												
LUBBOCK, TEXAS.																				AND SALES,												
100% OF PROPERTY WAS SOLD IN 2005 AND PARTNERSHIP																				ORDINARY INCOME								X				Partnership sold property and
WAS LIQUIDATED	X																															Dissolved
INVESTMENT IN LUBBOCK LAND COMPANY FIVE, LTD																																
LUBBOCK, TEXAS																																
HOLDINGS:																				LAND DEVELOPMENT												
LOTS IN SOUTH POINTE ADDITION, AVENUE S																				AND SALES,												
TO AVENUE U, LUBBOCK, TEXAS																				ORDINARY INCOME												
VALUED AT PURCHASE ON 02/1998						X																										
LAND - 2.02 ACRES, BLK E SEC 4, AB 490 TR D																																
LUBBOCK, TEXAS, VALUED AT PURCHASE ON 02/1998			X																													

3442

SCHEDULE II - ASSETS AND UNEARNED INCOME
(CONTINUATION SHEET)

NAME ROBERT RANDOLPH NEUGEBAUER Page 4 of 8

SP, DC, JT	BLOCK A ASSET AND/OR INCOME SOURCE	BLOCK B VALUE OF ASSET: A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	BLOCK C TYPE OF INCOME: DIV	RENT	INT	CG	EXC	TEL	OBT	OTHER	BLOCK D AMOUNT OF INCOME: I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	BLOCK E TRANSACTION
	KINGDOM ENTERPRISES HOLDINGS (CONTINUED) INVESTMENT IN LUBBOCK LAND COMPANY, SIX, LTD LUBBOCK, TEXAS																													X			
	HOLDINGS: LOTS 65TH AND 66TH STREET, IOLA TO HOMESTEAD AVENUE, LUBBOCK, TEXAS VALUED AT PURCHASE ON 08/1999						X														LAND DEVELOPMENT AND SALES, ORDINARY INCOME												
	BLK AK SEC 28 AB 780 TR B1A B4 &B5 OF N/E3, 3.56 AC LUBBOCK, TEXAS - VALUED AT PURCHASE ON 08/1999			X																													
	INVESTMENT IN LUBBOCK LAND COMPANY SEVEN, LTD LUBBOCK, TEXAS																					X											
	HOLDINGS: LOTS 106TH 69 108TH STREET, FRANKFORD TO CHICAGO AVENUE, LUBBOCK, TEXAS VALUED AT PURCHASE IN 2003		X																		LAND DEVELOPMENT AND SALES, ORDINARY INCOME												
	BLK E2 SEC 25 AB 289 TR B1, 40 ACRES LUBBOCK, TEXAS - VALUED AT PURCHASE IN 2003						X																										
	INVESTMENT-LUBBOCK LAND COMPANY INV PTR, LTD LUBBOCK, TEXAS																													X			
	LAND BLK AK SEC 28 AB 780 TR B2 & B3 OF NE/3 5.4016 AC, BLK E2, SEC 18 AB 9 TR G7 2.49 AC, AND SUMMERFIELD TR B, BOSTON AVENUE, ALL IN LUBBOCK, TX VALUED AT PURCHASE 07/1997 AND 12/2001			X																	LAND DEVELOPMENT AND SALES, ORDINARY INCOME												
	INVESTMENT-REGAL PARK,LTD LUBBOCK, TEXAS																					X											
	HOLDINGS: BLK AK SEC 28 AB 780 TR B9 OF NE/3 8.99 ACRES LUBBOCK, TEXAS - VALUED AT PURCHASE ON 09/1999				X																LAND DEVELOPMENT AND SALES, ORDINARY INCOME												
	INVESTMENT-S. FRANKFORD PTR, LTD LUBBOCK, TEXAS																					X											
	HOLDINGS: LAND BLK E2 SEC 25 AB 289 TR B2 SW/4 96.87 ACRES LUBBOCK, TEXAS - VALUED AT PURCHASE ON 10/2000						X														LAND DEVELOPMENT AND SALES, ORDINARY INCOME												
	LIMITED PARTNER INVESTMENT IN WINDROCK PRIVATE EQUITY, LTD, A LIMITED PARTNERSHIP, LOCATED IN HOUSTON, TEXAS -THE LIMITED PARTNERSHIP IS A PRIVATE EQUITY FUND				X																PARTNERSHIP INCOME	X											
	LIMITED PARTNER INVESTMENT IN FREESTONE CHEMICAL PARTNERS, L.P., HOUSTON, TEXAS				X																PARTNERSHIP INCOME		X										
	LIMITED PARTNER INVESTMENT IN FREESTONE SAWMILL PARTNERS, LP, HOUSTON, TEXAS				X																PARTNERSHIP INCOME	X											
	LAND BLK E2 SEC 25 AB 289 TR B SW/4 16.13 ACRES LUBBOCK, TEXAS VALUED AT PURCHASE 04/2002						X														FARM			X									
	INVESTMENTS AT JP MORGAN - CASH		X													X						X											
	NOTE RECEIVABLE-RANDY NEUGEBAUER						X														Non-interest bearing note	X											
JT	LUBBOCK LAND COMPANY S CORPORATION, LUBBOCK, TEXAS. THE CORPORATION IS THE GENERAL PARTNER OF LUBBOCK LAND CO FOUR, LUBBOCK LAND CO FIVE, LUBBOCK LAND CO. SIX, REGAL PARK INVESTMENT, SOUTH FRANKFORD PARTNERS, LUBBOCK LAND COMPANY INVESTMENT, AND LLC #7. SEE DESCRIPTIONS ABOVE						X														S CORP INCOME MARKETING FEES, ORDINARY INCOME								X				

SCHEDULE II - ASSETS AND UNEARNED INCOME
(CONTINUATION SHEET)

NAME: ROBERT RANDOLPH NEUGEBAUER

SP, DC, JT	BLOCK A ASSET AND/OR INCOME SOURCE	BLOCK B VALUE OF ASSET: A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	BLOCK C TYPE OF INCOME: DIV	RENT	INT	CG	EXC TRU	QBT	OTHER	BLOCK D AMOUNT OF INCOME: I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	BLOCK E TRANSACTION
S	NATIONAL INVESTOR SERVICES													X			X						X									
	HOLDINGS:																															
	TD WATERHOUSE MNF MUNIC PORT			X																												
	ALAMOSA HOLDINS			X																												
	ECHELON CORP			X																												
	NASDAZ 1000		X																													
	XCEL ENERGY			X																												
	NUVEEN MUNI VALUE FUND			X																												
	OLSTEIN FIN FUND			X																												
B	OIL AND GAS ROYALTY INTERESTS			X																MINERAL ROYALTY INC			X									

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name ROBERT RANDOLPH NEUGEBAUER

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
AMERICAN ISRAEL EDUCATION FOUNDATION	AUG 23-31	WASH, D.C., -ISRAEL-WASH,D.C.	Y	Y	Y	N/A
NATIONAL ASSOCIATION OF HOMEBUILDERS	SEPT 18-21	WASH,D.C-BOSTON MA-WAS DC	Y	N	Y	N/A
CHICAGO BOARD OF TRADE, CHICAGO MERCANTILE EXCHANGE	OCT 6-7	LUBBOCK, TX-CHICAGO ILL-WASH,D.C	Y	Y	N	N/A
THE HERITAGE FOUNDATION	JAN 21-23	WASH DC-CAMBRIDGE,MD, WASH DC	Y	Y	Y	N/A

3445

SCHEDULE VIII - POSITIONS

Name ROBERT RANDOLPH NEUGEBAUER

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PARTNER	KINGDOM ENTERPRISES, LTD
PARTNER	OLD TOWN PROPERTIES, LTD

SCHEDULE V - LIABILITIES

Name ROBERT RANDOLPH NEUGEBAUER

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	NOTE PAYABLE-KINGDOM ENTERPRISES	DEMAND NOTE	$100,001 - $250,000

HAND DELIVERED

(17)

2004 JUN -9 PM 2:20

(Office Use Only)

HC

3448

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

ROBERT RANDOLPH NEUGEBAUER
(Full Name)

1026 LONGWORTH BUILDING WASHINGTON, DC 20515
(Mailing Address)

202-225-4005
Daytime Telephone:

Filer Status: [x] Member of the U.S. House of Representatives — State: TX District: 19 | [ ] Officer Or Employee — Employing Office:

Report Type: [ ] Annual (May 15) [x] Amendment [ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes [x] No [ ]
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes [ ] No [x]
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes [x] No [ ]
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes [x] No [ ]
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes [x] No [ ]
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? Yes [ ] No [x]
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? Yes [x] No [ ]
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes [x] No [ ]
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes [ ] No [x]
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [x]

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [x]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Robert Randolph Neugebauer	6-9-2004

SCHEDULE I - EARNED INCOME

Name ROBERT RANDOLPH NEUGEBAUER

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000

Source	Type	Amount
OLD TOWN, INC. dba PRESTIGE HOMES	SALARY (EARNED BEFORE CURRENT LEGISLATIVE EMPLOYMENT)	$62,500
OLD TOWN, INC. dba PRESTIGE HOMES	SPOUSE SALARY	N/A
OLD TOWN INC. dba PRESTIGE HOMES	CONSULTING FEE (EARNED BEFORE CURRENT LEGISLATIVE EMPLOYMENT)	$1,060

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	STATE NATIONAL BANK, LUBBOCK, TEXAS, ACCOUNTS	$1 - $1,000	INTEREST	$1 - $200	
JT	PEOPLES BANK, LORENZO, TEXAS, ACCOUNTS	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
JT	5725 86TH STREET, LUBBOCK, TEXAS VALUED AT PURCHASE PRICE 12/2002	$100,001 - $250,000	INVESTMENT	NONE	
SP	RETIREMENT ACCOUNT--ING LIFE AND ANNUITY COMPANY HOLDINGS LISTED BELOW:				

3450

	(1) FIDELITY VIP EQUITY-INCOME PORTFOLIO-108	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
	RETIREMENT ACCOUNT - J P MORGAN CHASE BANK HOLDINGS LISTED BELOW:				
	(1) AMERICAN CENTURY INTERNATIONAL GROWTH FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	(2) J P MORGAN DISCIPLINED EQUITY FUND	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
	(3) J P MORGAN GLOBAL 50 FUND SELECT SHARE CLASS	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	(4) J P MORGAN US SMALL COMPANY OPPORTUNITIES FUND	None	DIVIDENDS	NONE	S
	(5) USA NOTES	$1,001 - $15,000	INTEREST	$201 - $1,000	
	(6) J P MORGAN PRIME MONEY MARKET PREMIER	$50,001 - $100,000	INTEREST	$201 - $1,000	
SP	RETIREMENT ACCOUNT - J P MORGAN CHASE BANK HOLDINGS:				
	(1) J P MORGAN PRIME MONEY MARKET PREMIER	$1,001 - $15,000	INTEREST	$1 - $200	
	(2) AMERICAN CENTURY INTERNATIONAL GROWTH FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	(3) J P MORGAN DISCIPLINED EQUITY FUND	$15,001 - $50,000	DIVIDENDS	$1 - $200	

	(4) J P MORGAN GLOBAL 50 FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	(5) J P MORGAN US SMALL COMPANY OPPORTUNITIES FUND	None	DIVIDENDS	NONE	S
JT	OLD TOWN , INC., LUBBOCK, TEXAS,(NAME WAS LISTED AS OLD TOWN PROPERTIES, INC., IN PRIOR YEAR) PROPERTY MANAGEMENT COMPANY HOLDINGS:				
	(1) REAL ESTATE 5810 12TH, LUBBOCK, TEXAS	None	RENT, CAPITAL GAINS	$5,001 - $15,000	S
	(2) PARTNERSHIP INTEREST-SKYTOP HOLDINGS, LLC, HOUSTON, TEXAS, PRIVATE EQUITY FUND	$15,001 - $50,000	RENT	$201 - $1,000	
JT	OLD TOWN PROPERTIES, LTD, LUBBOCK, TEXAS - PARTNERSHIP HOLDS RENTAL PROPERTIES HOLDINGS:				
	(1) 2013-2023 S. LOOP 289, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 05/1984	$100,001 - $250,000	RENT	$5,001 - $15,000	
	(2) 5717 88TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 06/1995	$50,001 - $100,000	RENT	$2,501 - $5,000	

	(3) 5731 90TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 08/1994	$50,001 - $100,000	RENT	$2,501 - $5,000
JT	KINGDOM ENTERPRISES, LTD, LUBBOCK, TEXAS - INVESTMENT PARTNERSHIP HOLDINGS:			
	(1) STATE NATIONAL BANK, LUBBOCK, TEXAS, ACCOUNTS	$100,001 - $250,000	INTEREST	$201 - $1,000
	(2) PEOPLES BANK, LORENZO, TEXAS, ACCOUNTS	$100,001 - $250,000	INTEREST	$2,501 - $5,000
	(3) HALE COUNTY STATE BANK, PLAINVIEW, TEXAS, ACCOUNTS	$50,001 - $100,000	INTEREST	$1,001 - $2,500
	(4) LUBBOCK NAT'L BANK, LUBBOCK, TEXAS, ACCOUNTS	$100,001 - $250,000	INTEREST	$5,001 - $15,000
	(5) 1ST NAT'L BANK OF HASKELL, TEXAS, ACCOUNTS	$100,001 - $250,000	INTEREST	$2,501 - $5,000
	(6) 1ST NAT'L BANK OF HEREFORD, TEXAS, ACCOUNTS	$100,001 - $250,000	INTEREST	$2,501 - $5,000
	(7) 1ST BANK & TRUST, TEXAS, ACCOUNTS	$100,001 - $250,000	INTEREST	$5,001 - $15,000
	(8) CITY BANK, LUBBOCK, TEXAS, ACCOUNTS	$100,001 - $250,000	INTEREST	$2,501 - $5,000
	(9) PLAINS NAT'L BANK, LUBBOCK, TEXAS, ACCOUNTS	$100,001 - $250,000	INTEREST	$2,501 - $5,000

(10) U.S. TREASURY BILLS AND NOTES	$1,000,001 - $5,000,000	INTEREST	$15,001 - $50,000	
(11) WATERHOUSE - MMF MUNIC PORTFOLIO (PREVIOUSLY NAMED CMF)	$15,001 - $50,000	INTEREST	$1 - $200	
(12) FARMERS GROUP PREFERRED	None	INTEREST	$201 - $1,000	
(13) INVESTMENT IN LUBBOCK LAND COMPANY FOUR, LTD, LUBBOCK, TEXAS HOLDINGS:				
(a)LOTS-SOUTHAVEN ADDITION, 98TH TO 105TH ST, UTICA AVENUE TO VICKSBURG, LUBBOCK, TEXAS	None	Other: LAND DEVELOPMENT AND SALES, ORDINARY INCOME	$50,001 - $100,000	S
(14) INVESTMENT IN LUBBOCK LAND COMPANY FIVE, LTD, LUBBOCK, TEXAS HOLDINGS:		Other: LAND DEVELOPMENT AND SALES, ORDINARY INCOME	$100,001 - $1,000,000	
(a) LOTS IN SOUTH POINTE ADDITION, AVENUE S TO AVENUE U, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE ON 02/1998 - SEE FOOTNOTE 1	$100,001 - $250,000		REPORTED IN TOTAL ABOVE	SOLD PORTION OF LOTS
(b) LAND - 2.02 ACRES, BLK E SEC 4, AB 490 TR D, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE ON 02/1998 - SEE FOOTNOTE 2	$1,001 - $15,000		REPORTED IN TOTAL ABOVE	Other-SEE FOOTNOTE 2

(15) INVESTMENT IN LUBBOCK LAND COMPANY SIX, LTD, LUBBOCK, TEXAS HOLDINGS:		Other: LAND DEVELOPMENT AND SALES, ORDINARY INCOME	$100,001 - $1,000,000	
(a) LOTS 87TH AND 88TH STREET, FRANKFORD TO HOMESTEAD AVENUE, LUBBOCK, TEXAS.	None		REPORTED IN TOTAL ABOVE	S
(b) LOTS 85TH AND 86TH STREET, IOLA TO HOMESTEAD AVENUE, LUBBOCK, TEXAS, VALUED AT PURCHASE ON 08/1999	$100,001 - $250,000		REPORTED IN TOTAL ABOVE	P; SOLD PORTION OF LOTS
(c) BLK AK SEC 28 AB 750 TR B1A B4 & B5 OF N/E3, 3.56 AC, LUBBOCK, TEXAS - VALUED AT PURCHASE ON 08/1999 - SEE FOOTNOTE 3	$1,001 - $15,000			Other-SEE FOOTNOTE 3
(16) INVESTMENT IN LUBBOCK LAND COMPANY SEVEN, LTD, LUBBOCK, TEXAS HOLDINGS:		Other: LAND DEVELOPMENT AND SALES, ORDINARY INCOME	NONE	P
(a) LOTS 106TH TO 108TH STREET, FRANKFORD TO CHICAGO AVENUE, LUBBOCK, TEXAS, VALUED AT PURCHASE IN 2003	$1 - $1,000		NONE	P
(b) BLK E2 SEC 25 AB 269 TR B1, 40 ACRES, LUBBOCK, TEXAS - VALUED AT PURCHASE IN 2003	$100,001 - $250,000		NONE	P

3455

(17) INVESTMENT IN LUBBOCK LAND COMPANY INV PTR, LTD, LUBBOCK, TEXAS HOLDINGS:		Other: LAND DEVELOPMENT AND SALES, ORDINARY INCOME	$100,001 - $1,000,000	
(a) LAND BLK AK SEC 28 AB 750 TR B2 & B3 OF NE/3 5.4015 AC, BLK E2, SEC 15 AB 9 TR G7 2.48 AC, AND SUMMERFIELD TR 8, BOSTON AVENUE, ALL IN LUBBOCK, TEXAS - VALUED AT PURCHASE 07/1997 AND 12/2001	$1,001 - $15,000		REPORTED IN TOTAL ABOVE	SOLD A PORTION OF LAND
(18) INVESTMENT IN REGAL PARK, LTD, LUBBOCK, TEXAS HOLDINGS:		Other: LAND DEVELOPMENT AND SALES, ORDINARY INCOME	NONE	
(a) BLK AK SEC 28 AB 750 TR B6 OF NE/3 8.99 ACRES, LUBBOCK, TEXAS - VALUED AT PURCHASE ON 09/1999	$15,001 - $50,000			
(19)INVESTMENT IN SOUTH FRANKFORD PTR, LTD, LUBBOCK, TEXAS HOLDINGS:		Other: LAND DEVELOPMENT AND SALES, ORDINARY INCOME	NONE	
(a) LAND BLK E2 SEC 25 AB 269 TR B2 SW/4 98.87 ACRES, LUBBOCK, TEXAS - VALUED AT PURCHASE ON 10/2000	$100,001 - $250,000			

	(19) LIMITED PARTNER INVESTMENT IN WINDROCK PRIVATE EQUITY, LTD, A LIMITED PARTNERSHIP LOCATED IN HOUSTON, TEXAS - THE LIMITED PARTNERSHIP IS A PRIVATE EQUITY FUND	$15,001 - $50,000	Other: PARTNERSHIP INCOME	NONE	
	(20) LIMITED PARTNER INVESTMENT IN FREESTONE CHEMICAL PARTNERS, L.P., HOUSTON, TEXAS	$15,001 - $50,000	Other: PARTNERSHIP INCOME	$1 - $200	P
	(21) LIMITED PARTNER INVESTMENT IN FREESTONE SAWMILL PARTNERS, LP, HOUSTON, TEXAS	$15,001 - $50,000	Other: PARTNERSHIP INCOME	NONE	P
	(a) LAND BLK E2 SEC 25 AB 269 TR B SW/4 18.13 ACRES, LUBBOCK, TEXAS - VALUED AT PURCHASE 04/2002	$100,001 - $250,000	Other: FARM	$201 - $1,000	
	(22) INVESTMENTS AT J P MORGAN - CASH	$1 - $1,000	INTEREST	NONE	
JT	LUBBOCK LAND COMPANY S CORPORATION, LUBBOCK, TEXAS. SEE FOOTNOTE 4	$100,001 - $250,000	Other: S CORP INCOME, MARKETING FEES, ORDINARY INCOME	$50,001 - $100,000	
SP	NATIONAL INVESTOR SERVICES - SEE FOOTNOTE 5		DIVIDENDS, CAPITAL GAINS	$201 - $1,000	
	HOLDINGS:				

	(1)TD WATERHOUSE MMF MUNIC PORT	$1,001 - $15,000			
	(2) ALAMOSA HOLDINGS	$1,001 - $15,000			
	(3) ECHELON CORP	$1,001 - $15,000			
	(4) NASDAQ 100	$1 - $1,000			
	(5) XCEL ENERGY	$1,001 - $15,000			
	(6) NUVEEN MUNI VALUE FUND	$1,001 - $15,000			
	(7) OLSTEIN FIN FUND	$1,001 - $15,000			
SP	OIL AND GAS ROYALTY INTERESTS, VARIOUS HOLDINGS - SEE FOOTNOTE 6	$1,001 - $15,000	Other: OIL AND GAS ROYALTIES	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	JP MORGAN US SMALL COMPANY OPPORTUNITIES FUND	S	03-12-03	$1,001 - $15,000
JT	SOUTH POINTE ADDITION, AVENUE S TO AVENUE U, LUBBOCK, TEXAS - SOLD NUMEROUS LOTS THROUGHOUT THE YEAR	S	01-03 TO 12-03	$250,001 - $500,000
JT	87TH AND 88TH STREET, FRANKFORD TO HOMESTEAD AVENUE, LUBBOCK, TEXAS - SOLD NUMEROUS LOTS THROUGHOUT THE YEAR	S	02-03 TO 09-03	$100,001 - $250,000
JT	LOTS 85TH AND 86TH STREET, IOLA TO HOMESTEAD AVENUE, LUBBOCK, TEXAS	P	01-03 TO 12-03	$100,001 - $250,000
JT	LOTS 85TH AND 86TH STREET, IOLA TO HOMESTEAD AVENUE, LUBBOCK, TEXAS	S	01-03 TO 12-03	$500,001 - $1,000,000
JT	INVESTMENT IN LUBBOCK LAND COMPANY SEVEN, LTD, LUBBOCK TEXAS	P	08-18-03	$15,001 - $50,000
JT	LOTS 106TH TO 108TH STREET, FRANKFORD TO CHICAGO AVENUE, LUBBOCK, TEXAS	P	08-03 TO 12-03	$1-$1,000
JT	BLK E2 SEC 25 AB 269 TR B1, 40 ACRES, LUBBOCK, TEXAS	P	08-03 TO 12-03	$100,001 - $250,000
JT	LAND BLK AK SEC 28 AB 750 TR B2 & B3 OF NE/3 5.4015 AC, BLK E2, SEC 15 AB 9 TR G7 2.48 AC, AND SUMMERFIELD TR 8, BOSTON AVENUE, ALL IN LUBBOCK, TEXAS - VALUED AT PURCHASE 07/1997 AND 12/2001.	S	01-03 TO 12-03	$500,001 - $1,000,000

SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	LIMITED PARTNER INVESTMENT IN FREESTONE CHEMICAL PARTNERS, L.P., HOUSTON, TEXAS	P	06-01-03	$15,001 - $50,000
JT	LIMITED PARTNER INVESTMENT IN FREESTONE SAWMILL PARTNERS, LP, HOUSTON, TEXAS	P	06-01-03	$15,001 - $50,000
JT	REAL ESTATE 5810 12TH, LUBBOCK, TEXAS	S	12-08-03	$50,001 - $100,000
JT	LOTS-SOUTHHAVEN ADDITION, 98TH TO 105TH ST, UTICA AVENUE TO VICKSBURG, LUBBOCK, TEXAS	S	01-03 TO 12-03	$50,001 - $100,000
SP	JP MORGAN US SMALL COMPANY OPPORTUNITIES FUND	S	03-12-03	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name ROBERT RANDOLPH NAUGEBAUER

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	NOTE PAYABLE-KINGDOM ENTERPRISES	DEMAND NOTE	$100,001 - $250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name ROBERT RANDOLPH NEUGEBAUER

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
AMERICAN ISRAEL EDUCATION FOUNDATION	AUG 23-31	WASH, D.C.,-ISRAEL-WASH, D.C.	Y	Y	Y	N/A
NATIONAL ASSOCIATION OF HOMEBUILDERS	SEPT 18-21	WASH, D.C.-BOSTON MA-WASH, D.C.	Y	N	Y	N/A
CHICAGO BOARD OF TRADE, CHICAGO MERCANTILE EXCHANGE	OCT 6-7	LUBBOCK, TX-CHICAGO, IL-WASH, D.C.	Y	Y	N	N/A
THE HERITAGE FOUNDATION	JAN 21-23	WASH, D.C.-CAMBRIDGE, MD-WASH, D.C.	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name: ROBERT RANDOLPH NEUGEBAUER

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any ed. cational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule .

Position	Name of Organization
PARTNER	KINGDOM ENTERPRISES, LTD.
PARTNER	OLD TOWN PROPERTIES, LTD.

FOOTNOTES

Name ROBERT RANDOLPH NEUGEBAUER

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	In prior year, lots were classified with land listed on Schedule III as Blk E Sec 4, AB 490 TR D, Lubbock, Texas. See Footnote #2	Lots in South Pointe Addition, Ave S to Ave U
2	Schedule III	As land is developed into Lots, it is reclassified as Lot Inventory. This inventory is listed as lots in South Pointe Addition on Schedule III. This reclassification results in the decrease of land from 29.74 acres in 2002 to 2.02 acres this year, i.e., the land was reclassified from Undeveloped Acreage to Lot Inventory.	Land-2.02 acres, Blk E Sec 4, AB 490 TR D
3	Schedule III	As land is developed into lots, it is reclassified as Lot Inventory. This inventory is listed as Lots 85th to 86th Street, Iola to Homestead Avenue and 87th and 88th street, Frankford to Homestead Avenue on Schedule III. This results in the decrease in amount of land from 33.456 acres in 2002 to 3.56 acres this year, i.e., the land was reclassified from Undeveloped Acreage to Lot Inventory.	Blk AK Sec 28 AB 750 TR B1A B4 & B5 of N/E3
4	Schedule III	The corporation is the general partner of Lubbock Land Company Four, Lubbock Land Company Five, Lubbock Land Company Six, Lubbock Land Company Seven, Regal Park Investment, South Frankford Partners, and Lubbock Land Company Investment, all of which are listed on Schedule III	Lubbock Land Company, S Corporation
5	Schedule III	This asset was added to the prior year Candidate Financial Disclosure Statement by amendment.	National Investor Services
6	Schedule III	This asset is not new this year, but now generates sufficient income to require it be reported	Oil and Gas Royalty Interests

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

9

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 1:49
U.S. H.R. (Office Use Only) RECEIVED YES

MC

ROBERT WILLIAM NEY
(Full Name)

2438 RAYBURN HOB
(Mailing Address)

202-225-6265
Daytime Telephone:

WASHINGTON D.C. 20515

Filer Status	[X] Member of the U.S. House of Representatives — State: Ohio District: 18	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Robert W. Ney	5/15/04

3465

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	BOB NEY FOR CONGRESS (SPOUSE)	Campaign Consultant / Worker	
	Hughes XENOGRAPHIC BELLAIRE OHIO	Billing ASSISTANCE	

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
	XYZ Magazine	Article	Aug. 13, 2003	$500
	— NONE —			

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Name ROBERT W NEY | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A	Block B												Block C						Block D											Block E
	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	QUALIFIED DIVIDEND	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT — Examples: SP Mega Corp. Stock					X								X										X							P
Simon & Schuster			Indefinite												X				Royalties								X			
1st Bank of Paducah, KY accounts																														
SAVINGS ACCOUNT	X														X															
WRIGHT PATMAN																														
CREDIT UNION WASH DC																														

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name ROBERT W. NEY

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B [illegible]; C $1,001 – $15,000; D [illegible]; E $50,001 – $100,000; F [illegible]; G $250,001 – $500,000; H [illegible]; I $1,000,001 – $5,000,000; J [illegible]; K $25,000,001 – $50,000,000; L [illegible]	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; Other Type of Income (Specify)	I None; II $[illegible]200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	-NONE-				

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3469

SCHEDULE IV — TRANSACTIONS

Name ROBERT W. NEY

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	– NONE –														

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3470

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependant child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B [redacted]	C $15,001–$50,000	D [redacted]	E $100,001–$250,000	F [redacted]	G $500,001–$1,000,000	H [redacted]	I $5,000,001–$25,000,000	J [redacted]	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	— NONE —											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $295 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
GAME of CHANCE		34,000.00
CASINO		
Ambassador's Club		

Use additional sheets if more space is required.

3471

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation 2003	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
INTER AMERICAN COUNCIL	1/5-1/18	WASH DC-Antigua-BARBADOS MIAMI	Y	Y	No	NO
BOICH COMPANIES	1/18-1/19	BARBADOS-MIAMI-WASH DC	Y	Y	NO	NO
FN AVIATION	2/20-2/23	WASH DC-LONDON-WASH DC	Y	Y	NO	NO
MANUFACTURED HOUSING ASSOC	3/17	WASH DC – ELKHART INDIANA – WASH DC	N	Y	NO	NO

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
	- NONE -

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	- NONE -	

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2004 MAY 17 PM 6:18

(Office Use Only)

MC

ANNE MEAGHER NORTHUP
(Full Name)

3340 LEXINGTON ROAD
(Mailing Address)

LOUISVILLE KENTUCKY 40206

Daytime Telephone: 502-852-5129

Filer Status: [X] Member of the U.S. House of Representatives — State: KENTUCKY District: 03 — [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	X	
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**		X
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	X	
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	X	
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**		X
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**		X
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**		X
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	X	
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**		X

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?		X
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?		X

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Anne M. Northup	5/17/04

3474

Name	ANNE MEAGHER NORTHUP	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	U.S. HOUSE OF REPRESENTATIVES	CONGRESSIONAL SALARY	151,595
	RADIO SOUND, INC.	SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

3475

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
	XYZ Magazine	Article	Aug. 13, 2003	$500
	NONE TO REPORT			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name ANNE MEAGHER NORTHUP

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	BANC ONE CORP CS							X						X				
SP	HARLEY DAVIDSON CS							X										
SP	RADIO SOUND INC CS							X										
JT	US TREASURY	X							X									
SP	TYCO CS			X				X										
SP	CHEVRON TEXACO CS							X					X					

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3477

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name ANNE MEAGHER NORTHUP

SP, DC, JT	BLOCK A Asset and/or Income Source		BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	AEGON	CS							X					X					
SP	AM INTL	CS		X					X										
SP	ANDREW CORP	CS		X									X						
SP	BAXTER INTL	CS											X						
SP	BB&T	CS							X					X					
SP	BIOMET	CS							X										
SP	BP	CS							X					X					
SP	BRISTOL MYERS	CS		X									X						
SP	CISCO SYSTEMS	CS											X						
SP	COCA-COLA	CS							X					X					
SP	COLGATE PALMOLIVE	CS											X						
SP	DEVON ENERGY	CS			X				X										
SP	DIAGEO	CS			X				X					X					
SP	EXXON MOBIL	CS							X					X					
SP	GENERAL ELECTRIC	CS							X										
SP	HEINZ	CS							X					X					
SP	INTEL	CS							X										
SP	JOHNSON & JOHNSON	CS							X										
SP	KELLER MANU.	CS		X									X						
SP	KIMBERLY CLARK	CS							X										
SP	KRAFT	CS							X										
SP	MAGNA	CS											X						

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Memo ANNE MEAGHER NORTHUP

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	MEDICIS CS							X										
SP	MEDTRONIC CS							X										
SP	MERCK CS											X						
SP	MICROSOFT CS							X										
SP	MOTOROLA CS		X					X										
SP	MPS CS											X						
SP	NATIONAL CITY CS		X									X						
SP	RUBBERMAID CS							X					X					
SP	NOKIA CS											X						
SP	ROYAL DUTCH CS							X					X					
SP	SYB BANCORP CS			X				X										
SP	SCHERING PLOUGH CS		X					X										
SP	SUN MICRO CS		X									X						
SP	THERA BENSE CS											X						
SP	TIME WARNER CS											X						
SP	UNILEVER CS							X					X					
SP	VIACOM CS							X										
SP	WALT DISNEY CS							X				X						
SP	CLEAR CHANNEL CS		X									X						
SP	LOWES CS		X					X										
SP	CITIGROUP CS		X					X					X					
JT	PFIZER CS		X					X										

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3479

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: ANNE MEAGHER NORTHUP

SP, DC, JT	BLOCK A Asset and/or Income Source		BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	PFIZER	CS							X					X					
JR	MERRILL LYNCH	MM								X									
SP	WEINGARTEN FUND B	IRA		X									X						
SP	WEINGARTEN FUND B	IRA		X									X						
SP	COMMONWEALTH BANK	TR							X					X					

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SCHEDULE IV — TRANSACTIONS

Name ANNE MEAGHER NORTHUP

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
SP	AEGON COMMON STOCK		08-18-03	X				
SP	AM INTL COMMON STOCK		11-08-03					
SP	ANDREW CORP COMMON STOCK		08-05-03					
SP	BAXTER INTL COMMON STOCK		08-05-03					
SP	BB&T CORP COMMON STOCK		08-18-03	X				
SP	BIOMET CORP COMMON STOCK		08-18-03	X				
SP	BP COMMON STOCK		08-18-03	X				
SP	BRISTOL MYERS COMMON STOCK		10-23-03					
SP	CISCO SYSTEMS COMMON STOCK		08-18-03	X				
SP	CHEVRON TEXACO COMMON STOCK		08-18-03	X				
SP	CLEAR CHANNEL COMMON STOCK		10-23-03					
SP	COCA-COLA COMMON STOCK		08-18-03	X				
SP	COLGATE PALMOLIVE COMMON STOCK		10-23-03	X				
SP	DEVON ENERGY COMMON STOCK		08-05-03	X				
SP	DIAGEO COMMON STOCK		06-18-03	X				
SP	EXXON MOBIL COMMON STOCK		08-08-03	X				

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Name ANNE MEAGHER NORTHUP

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10–12–03	X				
SP	G.E. COMMON STOCK		08-05-03	X				
SP	GE COMMON STOCK		10-23-03	X				
SP	HEINZ COMMON STOCK		08-18-03	X				
SP	HEINZ COMMON STOCK		10-23-03					
SP	INTEL COMMON STOCK		08-18-03	X				
SP	INTEL COMMON STOCK		11-08-03					
SP	JOHNSON & JOHNSON COMMON STOCK		08-05-03	X				
SP	KELLER MANU. COMMON STOCK		10-01-03	X				
SP	KIMBERLY CLARK COMMON STOCK		06-18-03	X				
SP	KRAFT FOODS COMMON STOCK		08-18-03	X				
SP	KRAFT FOODS COMMON STOCK		10-23-03					
SP	MAGNA ENT. COMMON STOCK		09-24-03	X				
SP	MEDICIS PHARM COMMON STOCK		09-10-03					
SP	MEDICIS PHARM. COMMON STOCK		10-23-03					
SP	MEDTRONIC COMMON STOCK		08-18-03	X				
SP	MEDTRONIC COMMON STOCK		11-18-03					

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3482

SCHEDULE IV — TRANSACTIONS

Name ANNE MEAGHER NORTHUP

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001-$50,000	E $100,001-$250,000	G $500,001-$1,000,000	I $5,000,001-$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
SP	MERCK COMMON STOCK		10-23-03	X				
SP	MICROSOFT COMMON STOCK		06-18-03	X				
SP	MICROSOFT COMMON STOCK		10-24-03					
SP	MOTOROLA COMMON STOCK		08-05-03					
SP	MPS GROUP COMMON STOCK		07-09-03					
SP	MPS GROUP COMMON STOCK		10-23-03					
SP	NATIONAL CITY COMMON STOCK		10-23-03	X				
SP	NOKIA COMMON STOCK		08-05-03					
SP	PFIZER COMMON STOCK		08-18-03	X				
SP	ROYAL DUTCH COMMON STOCK		08-18-03	X				
SP	ROYAL DUTCH COMMON STOCK		10-23-03					
SP	SYB BANCORP COMMON STOCK		08-18-03	X				
SP	SYB BANCORP COMMON STOCK		08-05-03					
SP	SYB BANCORP COMMON STOCK		10-23-03	X				
SP	SCHERING PLOUGH COMMON STOCK		10-23-03					
SP	SUN MICRO COMMON STOCK		11-08-03					

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3483

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
SP	THERA BENSE COMMON STOCK		08-05-03					
SP	TIME WARNER COMMON STOCK		08-05-03					
SP	TIME WARNER COMMON STOCK		10-23-03					
SP	UNILEVER COMMON STOCK		08-05-03	X				
SP	UNILEVER COMMON STOCK		10-23-03					
SP	VIACOM COMMON STOCK		08-08-03	X				
SP	VIACOM COMMON STOCK		08-18-03	X				
SP	VIACOM COMMON STOCK		10-23-03					
SP	WALT DISNEY COMMON STOCK		08-05-03	X				
SP	BB&T COMMON STOCK		10-23-03					
SP	BP COMMON STOCK		10-23-03					
SP	BRISTOL MYERS COMMON STOCK		11-08-03					
SP	CHEVRON TEXACO COMMON STOCK		11-08-03					
SP	CISCO SYSTEMS COMMON STOCK		07-01-03					
SP	COCA-COLA COMMON STOCK		10-23-03					

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SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
SP	DEVON ENERGY COMMON STOCK		10-23-03					
SP	DEVON ENERGY COMMON STOCK		11-08-03					
SP	DIAGEO COMMON STOCK		08-08-03					
SP	DIAGEO COMMON STOCK		10-23-03					
SP	RUBBERMAID COMMON STOCK		08-05-03	X				
SP	RUBBERMAID COMMON STOCK		10-25-03					

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3485

Name	ANNE MEAGHER NORTHUP	Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			[redacted]	C $15,001 – $50,000	[redacted]	E $100,001 – $250,000	[redacted]	G $500,001 – $1,000,000	[redacted]	I $5,000,001 – $25,000,000	[redacted]	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NONE											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
			NONE TO REPORT				

This page may be copied if more space is required.

3487

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
DIRECTOR	LOUISVILLE NEIGHBORHOOD ASSOCIATION
DIRECTOR	SICKLE CELL ASSOCIATION OF KENTUCKIANA
DIRECTOR	INSTITUTE FOR CELLULAR THERAPEUTICS

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE TO REPORT	

Use additional sheets if more space is required.

ANNE M. NORTHUP
3RD DISTRICT, KENTUCKY

WASHINGTON OFFICE:
1004 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-5401
FAX (202) 225-5776
rep.northup@mail.house.gov

DISTRICT OFFICE:
MAZZOLI FEDERAL BUILDING
600 MARTIN LUTHER KING JR. PLACE
SUITE 216
LOUISVILLE, KY 40202
(502) 582-5129
FAX (502) 582-5897

COMMITTEE:
APPROPRIATIONS
SUBCOMMITTEES:
LABOR, HEALTH AND HUMAN SERVICES, AND EDUCATION
TREASURY, POSTAL SERVICE, AND GENERAL GOVERNMENT
VA, HUD, AND INDEPENDENT AGENCIES

Congress of the United States
House of Representatives
Washington, DC 20515

HAND DELIVERED
U.S. HOUSE OF REPRESENTATIVES
2004 MAY 26 PM 2:24
LEGISLATIVE RESOURCE CENTER
MC

May 26, 2004

Mr. Jeff Trandahl
Legislative Resource Center
B-106 Cannon
Washington, D.C. 20515

Dear Mr. Trandahl,

I would like to amend my 2003 Financial Disclosure Statement as follows: On Schedule 3 for year end value of stock, I would like to correct the year end value of my Tyco Stock. Please correct the value of my stock to $1,000 – $15,000 rather than the $50,000 - $100,000 that I incorrectly marked.

Sincerely,

Anne M. Northup

Anne M. Northup
Member of Congress

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2004 MAY -7 PM 3:43

(Office Use Only)

Eleanor Holmes Norton
(Full Name)

10 Ninth Street, SE
(Mailing Address)

Washington, DC 20003

Daytime Telephone
(202) 225-8050

Filer Status	[X] Member of the U.S. House of Representatives	State: District:	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Eleanor H. Norton	5/7/04

3490

Name	Eleanor Holmes Norton	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Georgetown University Law Center	Approved Teaching Fee	$10,200
	Pitney Bowes	Retirement Fee	$12,000

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as Income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A		BLOCK B												BLOCK C						BLOCK D											BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	
SP, DC, JT	*Examples:* SP	Mega Corp. Stock					X								X																	P
		Simon & Schuster		Indefinite																	Royalties									X		
		1st Bank of Paducah, KY accounts						X									X									X						
	Congressional Fed.Cred.Union					X											X							X								
	Intergen Investors, Ltd.		X																			X										
	Boston Cap. Private Tax Credit				X												X						X									

For additional assets and unearned income, use next page.

3492

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Eleanor Holmes Norton

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIV DENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Merrill Lynch																															
	Romeo MI Cmnty Sch Dist				X															OID				X								
	Indiana Univ Revs Studnt				X															OID				X								
	Mesquite Tex Indpt Sch				X															OID				X								
	Du Page Cnty IL 1st				X															OID				X								
	Cook Co IL Chicago				X															OID				X								
	Massachusetts St CPN				X															OID			X									P
	Palatine IL Corp Purp				X															OID				X								
	Western IL Univ Revs				X															OID				X								
	Southern IL Univ RVS Hsg				X															OID			X									
	Miami Beach FL				X																X											P
	General Electric Common Stk			X										X									X									
	Davis NY Venture						X							X										X								
	Putnam Health Science				X																X											
	Venture III Annuity					X											X									X						P

This page may be copied if more space is required.

3493

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Eleanor Holmes Norton

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK F Transaction P, S, E
	Royal Alliance (IRA)																														
	Manulife N.A. Venture VA							X									X										X				
	Tech Fndg Venture Ptnrs IV			X																	X										
	Tech Fndg Venture Ptners V			X																	X										
	TIAA/CREF																														
	TIAA Traditional						X									X									X						
	CREF Stock						X										X									X					
	Metlife Common Stock			X										X								X									
	Metlife Annuity			X																	X										

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name: Eleanor Holmes Norton

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
	Merrill Lynch Fundamental Growth B		X		4/22/03		X								
	Massachusetts St CPN	X			4/25/03		X								
	Miami Beach FL	X			7/22/03		X								
	Venture III Annuity	X			4/29/03		X								

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Mills College	5/16-17	DC-San Francisco-DC	Y	Y	N	N
	Congressional Black Caucus Fdn	10/24-26	DC-San Juan, Puerto Rico-DC	Y	Y	N	N
	CARIB NEWS	11/7-9	DC-Nassau,Bahamas-DC	Y	Y	N	N
	Gate City Bar Association	11/12-14	DC-Atlanta, GA-DC	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
SEE ATTACHED	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
7/17/03	Georgetown University Law Center	See attached approval & agreement

GPO: 2004 91-910 (mec)

Use additional sheets if more space is required.

Congresswoman Eleanor Holmes Norton
2003 Board Participation

Position	Name of Organization
Professor of Law*	Georgetown University
Member	Council on Foreign Relations
Member	Citizens Commission for Civil Rights
Member of the Board	Economic Policy Institute
Member of the Board	Environmental Law Institute
Member of the Board	Lawyers Committee for Civil Rights Under Law
Member of the Board	Washington Symphony
Chair	Women's Law and Public Policy Fellowship Program, Georgetown University
Member of the Board	Work in America Institute
Member of the Board	Good Samaritan Foundation

Advisory Boards

Advisory Board Member	Patriots' Trail Girl Scout Council, Inc.
Advisory Board Member	Sewall-Belmont House
Advisory Board Member	Women & Politics Institute, American University

GEORGETOWN UNIVERSITY LAW CENTER

Office of the Dean

July 17, 2003

The Honorable Eleanor Holmes Norton
U. S. House of Representatives
2136 Rayburn House Office Building
Washington, D. C. 20515

Dear Eleanor:

I am delighted that you have agreed to teach a seminar at the Law Center during the 2003-2004 academic year. This letter confirms the terms of your faculty appointment.

Consistent with Georgetown University's faculty leave policy, your leave of absence from the full-time faculty has been extended through June 30, 2004.

Your seminar will be offered as part of the regular J.D. program of instruction at the Law Center. Students will receive regular credit toward their law degrees for their attendance and participation in your seminar.

Your salary will be $10,200, which will be paid out of Law Center operating funds. No federal funds will be devoted to your salary. The compensation you will receive does not exceed the salary the Law Center pays to those whose professional qualifications are similar to yours and who provide a comparable level of instruction and amount of work. Melvinia Towns, administrative officer in charge of Law Center payroll, will contact you regarding arrangements for payment.

Enclosed is a copy of the 2003-2004 Law Center academic calendar. If you have any questions about the scheduling of your seminar, please contact Assistant Dean Carol O'Neil at (202) 662-9041.

You are eligible to hire a student research assistant to assist in preparation of the seminar materials. The student will be paid out of Law Center operating funds.

Sincerely,

Judith Areen
Dean

Understood and accepted.

ELEANOR HOLMES NORTON

07-22-03
Date

600 New Jersey Avenue NW Washington DC 20001-2075
202-662-9030 FAX 202-662-9055

JOEL HEFLEY, COLORADO
CHAIRMAN

DOC HASTINGS, WASHINGTON
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JOHN E. VARGO,
CHIEF COUNSEL/STAFF DIRECTOR

VIRGINIA H. JOHNSON
COUNSEL TO THE CHAIRMAN

COLLEEN McCARTY,
ASSISTANT TO THE RANKING
MINORITY MEMBER

ONE HUNDRED EIGHTH CONGRESS

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515–6328

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RANKING MINORITY MEMBER

STEPHANIE TUBBS JONES, OHIO
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MICHAEL F. DOYLE, PENNSYLVANIA

SUITE HT-2, THE CAPITOL
(202) 225-7103

August 15, 2003

The Honorable Eleanor Holmes Norton
U.S. House of Representatives
2136 Rayburn House Office Building
Washington, D.C. 20515

Dear Colleague:

This is to notify you of Committee approval of your request, dated July 22, 2003, to teach a course for compensation at Georgetown University Law Center during the 2003-2004 academic year. Your letter states that you have taught this course since 1991, and explains that no official resources will be used to meet your teaching obligation, nor will it conflict with your congressional responsibilities.

Enclosed with your letter is correspondence from the Dean of the Law Center, Judith Areen, stating that your salary for teaching the course will be $10,200 for the year, will come from the school's operating funds, and is an amount consistent with that paid to instructors with professional qualifications similar to yours. Ms. Areen's letter further states that the course is part of the Law Center's regular curriculum and that students will receive credit for the course.

These arrangements appear to be similar to those that the Committee has approved in each of the past several years, pursuant to the guidelines issued by the Committee on teaching by Members and senior staff. As noted previously, your teaching compensation is subject to the 15% outside earned income limit of House Rule 25, clauses 1-4. For 2003, that limit is $23,205. The income also must be reported on your annual Financial Disclosure Statement.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

Joel Hefley
Chairman

Alan B. Mollohan
Ranking Minority Member

JH/ABM:ced

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(5)

2004 JUN 14 PM 2:33

(Office Use Only)

Charles W Norwood
(Full Name)

3914 Mulliken Road Evans, GA 30809
(Mailing Address)

202-225-4101
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives — State: GA District: 9th	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.		Yes ☐ No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.		Yes ☑ No ☐
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.		Yes ☑ No ☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.		Yes ☐ No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		6-14-04

3501

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles W Norwood

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Congressional FCU	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Suntrust Bank - 2 accts	$100,001 - $250,000	INTEREST	$1 - $200	
	N/R - Dr Fred Thielke	$1,001 - $15,000	INTEREST	$1,001 - $2,500	
SP	Lowndes Co - 61 acres	None	Other: (Please specify) Pine Straw	$1,001 - $2,500	
	Belair Rd/ Wrightsboro Augusta 1/2 Interest	$250,001 - $500,000		NONE	
	Smith Barney Money Funds	$1 - $1,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles W Norwood

	Enamelon Inc	$1 - $1,000	DIVIDENDS	NONE
	Bldg Walton Way West	$500,001 - $1,000,000	RENT	$50,001 - $100,000
	3912 Mullken Rd	$250,001 - $500,000	INTEREST	NONE
	3974 Mulliken Rd	$250,001 - $500,000	INTEREST	$5,001 - $15,000
	Guardian Ins Annuity	$1,001 - $15,000	DIVIDENDS	NONE
	Personal Residence Excluded			

SCHEDULE IV - TRANSACTIONS

Name Charles W Norwood

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Lowndes Co - 61 acres	S	11-14-03	$100,001 - $250,000

SCHEDULE V - LIABILITIES

Name Charles W Norwood

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Suntrust Bank	Mortgage on Rental Property and Investments	$1,000,001 - $5,000,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Charles W Norwood

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
JFK School of Gvmnt Harvard	1/16 - 19/2003	DC - Ft Lauderdale-DC	Y	Y	Y	No
GA Textile Manufacturers Assn	5/2-5/2003	DC - Jacksonville - DC	Y	Y	Y	No
American Dental Assn	10/23-27/2003	DC - San Francisco - DC	Y	N	Y	No
Rhode Island Medical Assn	11/1-3/2003	DC - Providence - Atlanta	Y	Y	Y	No

SCHEDULE VIII - POSITIONS

Name Charles W Norwood

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	Norwood Properties

HAND DELIVERED

4

LEGISLATIVE REFERENCE SERVICE

2004 MAY 14 PM 12: 10

(Office Use Only)

HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A For use by Members, officers, and employees

Devin Gerald Nunes
(Full Name)

1017 Longworth House Office Building Washington, DC 20515
(Mailing Address)

202-225-2523
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative	State: CA District: 21	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics In Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Devin Nunes	5-13-04

3508

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Devin Gerald Nunes

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Farm, Tulare County	$100,001 - $250,000	Farm Income	$50,001 - $100,000	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Devin Gerald Nunes

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
American Israel Education Fund	Aug 23 - 31	Washington DC -- Israel -- Washington, DC	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name Devin Gerald Nunes

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Owner	Farm

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

Jim Nussle
(Full Name)

303 Cannon House Office Bldg.
Washington, DC 20515
(Mailing Address)

202-225-2911
Daytime Telephone:

(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives — State: Iowa District: 01	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $265 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $265 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jim Nussle	5-17-04

3512

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Karen Nussle BKSH & Associates	Spouse Salary	n/a

For payments to charity in lieu of honoraria, use Schedule II.

Name Jim Nussle | Page 3 of 7

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B: None	A $1,001 – $15,000	B $15,001 – $50,000	C $50,001 – $100,000	D $100,001 – $250,000	E $250,001 – $500,000	F $500,001 – $1,000,000	G $1,000,001 – $5,000,000	H $5,000,001 – $25,000,000	I $25,000,001 – $50,000,000	J Over $50,000,000	K Child Savings	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	*Examples:* SP Mega Corp. Stock						X							X										X									P
	Examples: DC Simon & Schuster				Indefinite															Royalties									X				
	Examples: JT 1st Bank of Paducah, KY accounts						X									X																	
	US Savings Bonds		X													X					X												
	Walnut Street Securities closed 7/03 (see attached)	X												X								X											P, S
	Fidelity Investments closed 7/03 (see attached)	X												X							X												P, S

For additional assets and unearned income, use next page.

3514

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jim Nussle

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
JT	Thrivent Investments opened 7/03 (see attached)	F	DIVIDENDS, CAPITAL GAINS	III	P, S
SP	Thrivent IRA (see attached)	D			

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3515

SCHEDULE IV — TRANSACTIONS

Name Jim Nussle

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	Type of Transaction: SALE	Type of Transaction: EXCHANGE	Date (MO/DAY/YR)	A $1,001–$15,000	B $15,001–$50,000	C $50,001–$100,000	D $100,001–$250,000	E $250,001–$500,000	F $500,001–$1,000,000	G $1,000,001–$5,000,000	H $5,000,001–$25,000,000	I $25,000,001–$50,000,000	J Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
	See attached														

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: [illegible]	C $15,001–$50,000	[illegible]	E $100,001–$250,000	[illegible]	G $500,001–$1,000,000	H [illegible]	I $5,000,001–$25,000,000	[illegible]	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Farmers & Merchant Savings Bank Manchester, Iowa	Mortgage on primary home (1220 N. 6th Street Manchester, IA)				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Congressional Institute (leadership meeting)	Jan 15-16	Wash DC—St. Michael's, MD—Wash DC	Y	Y	N	N

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WALNUT STREET SECURITIES INC
700 MARKET STREET 3RD FLOOR
ST LOUIS MO 63101
TEL:(314)244-9200
FAX:(314)244-9211

2003 YOUR TAX INFORMATION STATEMENT

Account Number: 5WP-019073

Recipient's Identification Number: 329-52-9803

[redacted]

JIM NUSSLE (SCI)
—ACCOUNT CLOSED—
1220 N SIXTH STREET
MANCHESTER IA 52057-1151

[redacted]

Tom Barbatti
999 Home Plaza Ste 300
Waterloo IA 50701-4822
(319) 232-5500
Id: O88

[redacted]

PERSHING, LLC

Federal Identification Number: 13-2741729

	Amount
Gross Proceeds (Less Commissions and Fees)	5,329.72 *
Federal Income Tax Withheld - Gross Proceeds	.00
Regulated Futures Contracts:	
Profit or (Loss) Realized in 2003	.00
Post - 5/5/2003 Profit or (Loss) Realized	.00
Unrealized Profit or (Loss) on Open Contracts - 12/31/02	.00
Unrealized Profit or (Loss) on Open Contracts - 12/31/03	.00
Aggregate Profit or (Loss)	.00
Post - 5/5/2003 Aggregate Profit or (Loss)	.00
Federal Income Tax Withheld - Reg. Futures Contracts	.00

* Details of each Gross Proceeds transaction are reported to the IRS. Refer to the 1099-B section of this statement for these details.

Box		Amount
1	Interest Income Not Included in Box 3	.00
2	Early Withdrawal Penalty	.00
3	Interest on U.S. Savings Bonds & Treasury Obligations	.00
4	Federal Income Tax Withheld	.00
5	Investment expenses	.00
6	Foreign Tax Paid	.00
7	Foreign Country or U.S. Possession	n/a
	Total Reportable Interest is the Sum of boxes 1 and 3	.00

Box		Amount
1a	Total Ordinary Dividends	596.70
1b	Qualified Dividends	562.89
2a	Total Capital Gain Distributions	.00
2b	Post-May 5 Capital Gain Distr.	.00
2c	Qualified 5-Year Gain	.00
2d	Unrecaptured Section 1250 Gain	.00
2e	Section 1202 Gain	.00
2f	Collectibles (28%) Gain	.00
3	Nontaxable Distributions	.00
4	Federal Income Tax Withheld	.00
5	Investment Expenses	.00
6	Foreign Tax Paid	5.24
7	Foreign Country or U.S. Possession	Various
8	Cash Liquidation Distributions	.00
9	Non-Cash Liquidation Distributions	.00

	Amount
Original Issue Discount (Non-U.S. Treasury Obligations)	.00
Other Periodic Interest	.00
Federal Income Tax Withheld	.00
Original Issue Discount on U.S. Treasury Obligations	.00
Investment expenses	.00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines it has not been reported.

3519

Account Number: 5WP-019073

Recipient's Identification Number: 329-52-9803

JIM NUSSLE (SCI)
—ACCOUNT CLOSED—

2003
YOUR TAX INFORMATION STATEMENT

	Amount
Securities Purchased	
Net Cost of Securities Purchased	8,529.18

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Description (Box 5)	*CUSIP (Box 1b)*	*Trade/Process Date (Box 1a)*	*Quantity*	*Proceeds (Less Commissions & Fees) (Box 2)*	**Federal Income Tax Withheld (Box 4)**
BARNES & NOBLE INC COM	067774109	01/28/2003	33	577.48	
CARNIVAL CORP ISIN#PA1436583006	143658300	07/09/2003	60	1,937.90	
KING PHARMACEUTICALS INC COM	495582108	03/21/2003	75	911.22	
SAINT PAUL COMPANIES INC	792860108	04/30/2003	30	1,027.45	
YUM BRANDS INC COM	988498101	01/06/2003	35	875.67	
Total				5,329.72	.00

3520

(B)

SCI Financial Group

A Division of Wells Fargo Investments, LLC

REALIZED GAINS AND LOSSES

JIM NUSSLE - CLOSED 7/23/03

From 01-01-03 Through 12-31-03

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
12-16-02	01-06-03	55	Yum Brands Inc	815.05	879.67	62.62	
12-16-02	01-28-03	33	Dunkin and Noble Inc	744.15	577.48	-166.66	
09-05-02	03-21-03	79	King Pharmaceutical	1,351.34	911.22	-440.12	
02-21-02	04-30-03	34	St Paul Companies Inc	1,345.00	1,027.45		-347.55
07-23-02	07-15-03	42	Cowlrel Corp	1,372.40	1,937.90	465.50	
TOTAL GAINS						464.12	0.00
TOTAL LOSSES				4087.94	5304.72	-608.77	-347.55
[illegible]				[illegible]	[illegible]	[illegible]	[illegible]
TOTAL REALIZED GAIN/LOSS -791.20							

NO CAPITAL GAINS DISTRIBUTIONS

The information set forth was obtained from various sources; however, SCI Financial Group cannot guarantee the accuracy of this summary. Prices are usually obtained from an independent pricing service and reflect the certain securities, especially bonds or infrequently traded securities, may not represent the current price or market value. This report is being provided to you for informational purposes only. Please rely on your official account statements provided by the custodian of your account.

Investment Products	Not FDIC Insured	No Bank Guarantee	May Lose Value

Wells Fargo Investments, LLC Member SIPC/NYSE www.wellsfargoadvisors.com

21

Account Number: 5WP-019073

[redacted]

Recipient's Identification Number: 329-52-9803

JIM NUSSLE (SCI)
—ACCOUNT CLOSED—

2003
YOUR TAX INFORMATION STATEMENT

[redacted]

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Total Capital Gain Distributions (Box 2a)	Nontaxable Distributions (Box 3)	Federal Income Tax Withheld (Box 4)	Investment Expenses (Box 5)	Foreign Tax Paid (Box 6)
AMERICAN INTERNATIONAL GROUP INC	03/21/2003	1.41	1.41					
	06/20/2003	1.41	1.41					
		2.82	2.82					
AUTOMATIC DATA PROCESSING INC COM	01/02/2003	6.72	6.72					
	04/01/2003	6.72	6.72					
	07/01/2003	6.72	6.72					
		20.16	20.16					
BANK OF AMERICA COM	03/28/2003	14.72	14.72					
	06/27/2003	14.72	14.72					
		29.44	29.44					
BLOCK H & R INC	04/01/2003	5.40	5.40					
	07/01/2003	5.40	5.40					
		10.80	10.80					
CARDINAL HEALTH INC COM	01/15/2003	.30	.30					
	04/15/2003	.30	.30					
	07/15/2003	.36	.36					
		.96	.96					
CARNIVAL CORP *N/C* RFP 04-17-03 1 OLD = 1 CUN143658300 CARNIVAL CORP	03/14/2003	6.30	6.30					
CARNIVAL CORP ISINNPA1436583006	06/25/2003	6.30	6.30					
CHEVRONTEXACO CORP COM	03/10/2003	17.50	17.50					
	06/10/2003	17.50	17.50					
		35.00	35.00					
CITIGROUP INC COM	02/28/2003	10.00	10.00					
	05/23/2003	10.00	10.00					

(1099 02/2002) PAR-01-TETRA PP-SP

3522

PAGE 05

Account Number: 5WP-019073

Recipient's Identification Number: 329-52-9803

JIM NUSSLE (SCI)
---ACCOUNT CLOSED---

2003
YOUR TAX INFORMATION STATEMENT

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Total Capital Gain Distributions (Box 2a)	Nontaxable Distributions (Box 3)	Federal Income Tax Withheld (Box 4)	Investment Expenses (Box 5)	Foreign Tax Paid (Box 6)
		20.00	20.00					
EXXON MOBIL CORP COM	03/10/2003	15.41	15.41					
	06/10/2003	16.75	16.75					
		32.16	32.16					
FREDDIE MAC	03/31/2003	5.98	5.98					
	06/30/2003	5.98	5.98					
		11.96	11.96					
GENERAL DYNAMICS CORP COM	08/08/2003	7.04	7.04					
GENERAL ELECTRIC CO COM	01/27/2003	16.34	16.34					
	04/25/2003	16.34	16.34					
	07/25/2003	16.34	16.34					
		49.02	49.02					
GOLDEN WEST FINL CORP DEL	03/10/2003	1.96	1.96					
	06/10/2003	1.96	1.96					
		3.92	3.92					
HEALTH MANAGEMENT ASSOCIATES INC CL-A	03/03/2003	3.00	3.00					
	06/02/2003	3.00	3.00					
		6.00	6.00					
HOME DEPOT INC COM	03/27/2003	4.80	4.80					
	06/26/2003	4.80	4.80					
		9.60	9.60					
INTEL CORP COM	03/03/2003	1.82	1.82					
	06/02/2003	1.82	1.82					
		3.64	3.64					

3523

Account Number: 5WP-019073

[redacted]

Recipient's Identification Number: 329-52-9803

JIM NUSSLE (SCI)
---ACCOUNT CLOSED--

2003 YOUR TAX INFORMATION STATEMENT

DIVIDENDS AND DISTRIBUTIONS

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Total Capital Gain Distributions (Box 2a)	Nontaxable Distributions (Box 3)	Federal Income Tax Withheld (Box 4)	Investment Expenses (Box 5)	Foreign Tax Paid (Box 6)
INTERNATIONAL BUSINESS MACHS CORP COM	03/10/2003	3.00	3.00					
	06/10/2003	3.20	3.20					
		6.20	6.20					
JOHNSON & JOHNSON COM	03/11/2003	7.18	7.18					
	06/10/2003	8.40	8.40					
		15.58	15.58					
KIMBERLY CLARK CORP	01/03/2003	7.50	7.50					
	04/02/2003	8.50	8.50					
	07/02/2003	8.50	8.50					
		24.50	24.50					
MBIA INC	01/15/2003	4.25	4.25					
	04/15/2003	5.00	5.00					
	07/15/2003	5.00	5.00					
		14.25	14.25					
MCGRAW HILL COMPANIES INC	03/12/2003	5.40	5.40					
	06/11/2003	5.40	5.40					
		10.80	10.80					
MERCANTILE MUT FDS INC CONNING MONEY MKT PORTFOLIO FOR TAX YEAR 2003		30.51						
MERCK & CO INC	01/02/2003	14.76	14.76					
MICROSOFT CORP COM	03/07/2003	5.28	5.28					
MORGAN STANLEY COM	01/31/2003	5.75	5.75					
	04/30/2003	5.75	5.75					
	07/31/2003	5.75	5.75					
		17.25	17.25					

3524

Account Number: 5WP-019073

Recipient's Identification Number: 329-52-9803

JIM NUSSLE (SCI)
—ACCOUNT CLOSED—

2003 YOUR TAX INFORMATION STATEMENT

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Total Capital Gain Distributions (Box 2a)	Nontaxable Distributions (Box 3)	Federal Income Tax Withheld (Box 4)	Investment Expenses (Box 5)	Foreign Tax Paid (Box 6)
NOKIA CORP SPONSORED ADR	04/23/2003	34.92	34.92					5.24
PFIZER INC COM	02/14/2003	37.50	37.50					
	06/05/2003	37.50	37.50					
		75.00	75.00					
RADIAN GROUP INC COM	03/19/2003	.88	.88					
	06/25/2003	.88	.88					
		1.76	1.76					
STANDARD & POORS DEPOSITARY RECEIPTS (SPDR'S) UNITS OF UNDIVIDED BENEFICIAL INTEREST	04/30/2003	28.35	26.96					
	07/31/2003	28.82	27.41					
		57.17	54.37					
SAINT PAUL COMPANIES INC	01/17/2003	8.70	8.70					
	04/17/2003	8.70	8.70					
		17.40	17.40					
TARGET CORP	03/10/2003	2.70	2.70					
	06/10/2003	2.70	2.70					
		5.40	5.40					
UNITED TECHNOLOGIES CORP DEL COM	03/10/2003	4.90	4.90					
	06/10/2003	5.40	5.40					
		10.30	10.30					
Dividends - U.S. Corporations		554.98	521.67					
Dividends - Foreign Corporations		41.22	41.22					
Total		596.20	562.89	.00	.00	.00	.00	5.24

3525

Account Number: 5WP-019073

[redacted]

Recipient's Identification Number: 329-52-9803

JIM NUSSLE (SCI)
---ACCOUNT CLOSED---

2003
YOUR TAX INFORMATION STATEMENT

[redacted]

SECURITIES PURCHASED

Description	CUSIP	Trade/Process Date	Quantity	Net Cost	Accrued Interest Purchased Amount	Accrued Interest Purchased Security Type
GENERAL DYNAMICS CORP COM	369550108	06/30/2003	22	1,577.18		
STANDARD & POORS DEPOSITARY RECEIPTS (SPDR'S) UNITS OF UNDIVIDED BENEFICIAL INTEREST	78462F103	03/19/2003	80	6,952.00		
Total				8,529.18	.00	

3526

Fidelity INVESTMENTS

Brokerage Name and Address:
FIDELITY BROKERAGE SERVICES LLC
82 DEVONSHIRE STREET - T2J
BOSTON, MA 02109

2003 Tax Reporting Statement		
Account No.	Employer ID	Page
379-690430	329-52-9603	1 of 2

Payer's Name and Address:
NATIONAL FINANCIAL SERVICES LLC
200 LIBERTY ST. 5TH FLR
NEW YORK, NY 10281-5500

0014102 01 NB 0.634 **AUTO T7 0 0517 42967-116189 F A 0: 2
JIM HUSSLE
1220 N 6TH ST
MANCHESTER IA 52057-1151

Federal ID Number: 04-3523567

Customer Service: 800-544-6666

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Form 1099-DIV *	2003 Dividends and Distributions	OMB NO. 1545-0110 Copy B for Recipient	Amount
a Total Ordinary Dividends			28.21
b Qualified Dividends			28.21
a Total Capital Gain Distributions (Includes 2b - 2f)			0.00
b Capital Gains that represent Post-May 5 Gain			0.00
c Capital Gains that represent Qualified 5-Year Gain			0.00
d Capital Gains that represent Unrecaptured Section 1250 Gain			0.00
e Capital Gains that represent Section 1202 Gain			0.00
f Capital Gains that represent Collectibles (28%) Gain			0.00
Non-Taxable Distributions			0.00
Federal Income Tax Withheld			0.00
Investment Expenses			0.00
Foreign Tax Paid			0.00
Foreign Country or U.S. Possession			
Cash Liquidation Distributions			0.00
Non-Cash Liquidation Distributions			0.00

Form 1099-INT *	2003 Interest Income	OMB NO. 1545-0112 Copy B for Recipient	Amount
Interest Income not Included in Line 3			0.39
Early Withdrawal Penalty			0.00
Interest on U.S. Savings Bonds and Treas. Obligations			0.00
Federal Income Tax Withheld			0.00
Investment Expenses			0.00
Foreign Tax Paid			0.00
Foreign Country or U.S. Possession			

Form 1099-MISC *	2003 Miscellaneous Income	OMB NO. 1545-0115 Copy B for Recipient	Amount
Royalties			0.00
Federal Income Tax Withheld			0.00

Summary of 2003 Proceeds From Broker and Barter Exchange Transactions	Amount
Gross Proceeds Less Commissions	11,163.87†
Federal Income Tax Withheld	0.00†

†Gross Proceeds from each of your security transactions are reported individually to the IRS. Refer to the 1099-B section of this statement. Report gross proceeds individually for each security on the appropriate IRS tax return. Do not report gross proceeds in aggregate.

Summary of 2003 Original Issue Discount	Amount
Total Original Issue Discount	0.00‡
Total Original Issue Discount on U.S. Treasury Obligations	0.00‡

‡Original Issue Discount (OID) amounts are reported individually to the IRS. Refer to the Original Issue Discount detail section of this statement.

*This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Please see the enclosed important instructions.

05/05/2004 16:34 5033272555

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2003 Tax Reporting Statement

Account No.	Taxpayer ID	Page
379-690430	829-52-8903	2 of 2

Fidelity INVESTMENTS

Brokerage Name and Address:
FIDELITY BROKERAGE SERVICES LLC
82 DEVONSHIRE STREET - T2J
BOSTON, MA 02109

Payer's Name and Address:
NATIONAL FINANCIAL SERVICES LLC
200 LIBERTY ST. 5TH FLR
NEW YORK, NY 10281-5500

Federal ID Number: 04-3523567

JIM HUSSLE
1220 N 5TH ST
MANCHESTER IA 52057-1151

Customer Service: 800-544-6666

Form 1099-B *

2003 Proceeds from Broker and Barter Exchange Transactions

OMB NO. 1545-0715
Copy B for Recipient

8. Description	1b. CUSIP	Quantity	Event	1a. Date of Sale	2. Stocks, Bonds, etc. $	4. Federal Income Tax Withheld
PARTAN 500 INDEX	316912204	10.454	SALE	05/20/03	665.29	0.00
IDELITY SELECT ENERGY	316390103	46.095	SALE	03/20/03	953.73	0.00
		48.447	SALE	06/17/03	1,045.98	0.00
IDELITY SELECT HEALTH CARE	316380301	9.449	SALE	05/20/03	998.77	0.00
IDELITY SELECT UTILITIES GROWTH	316390509	45.192	SALE	07/08/03	1,429.42	0.00
IDELITY SELECT NATURAL GAS	316390525	20.911	SALE	04/04/03	353.82	0.00
IDELITY SELECT MEDICAL DELIVERY	316390665	44.611	SALE	08/05/03	1,065.31	0.00
		29.200	SALE	06/05/03	697.30	0.00
		7.607	SALE	06/06/03	185.76	0.00
IDELITY SELECT RETAILING	316390731	28.610	SALE	05/19/03	1,035.48	0.00
IDELITY SELECT ENERGY SERVICE	316390764	24.665	SALE	01/06/03	724.89	0.00
IDELITY SELECT BIOTECHNOLOGY	316390772	15.121	SALE	08/10/03	729.43	0.00
IDELITY SELECT DEFENSE & AEROSPACE	316390805	31.693	SALE	05/28/03	1,280.71	0.00
			Total - COLUMN 2		11,163.87	
			Total - COLUMN 4			0.00

* Gross proceeds less commissions.

Refer to the enclosed Understanding Your 2003 Tax Statement brochure for more information.

*This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Please see the enclosed important instructions.

Fidelity

200. Supplemental Information		
Account No.	Taxpayer ID.	Page
379-690430	329-52-9803	1 of 4

JIM NUSSLE
1220 N 6TH ST
MANCHESTER IA 52057-1151

Customer Service: 800-544-6666

Detail Information — 1099-DIV — Dividends and Distributions

Description	CUSIP	Date	Amount	Total

This detail information is not reported to the IRS. It is provided for your assistance in tax return preparation.

otal Ordinary Dividends - LINE 1a
Qualified Dividends - LINE 1b

SPARTAN 500 INDEX	315912204	06/06/03	10.19	10.19
FIDELITY SELECT HEALTH CARE	316390301	04/04/03	1.26	1.26
FIDELITY SELECT UTILITIES GROWTH	316390509	04/04/03	3.44	3.44
FIDELITY SELECT INDUSTRIAL MATERIALS	316390590	04/11/03	7.49	7.49
FIDELITY SELECT FINANCIAL SVCS	316390608	04/04/03	2.66	2.66
FIDELITY SELECT BANKING PORTFOLIO	316390640	04/11/03	3.17	3.17
		Total Qualified Dividend Distributions		28.21
		Total Ordinary Dividends - LINE 1a (Includes 1a & 1b)		28.21 #

Distributions from short-term gains are non-qualified dividends. A portion of the distribution declared as a short-term gain may be taxed as a qualified dividend and subject to the lower capital gain tax rate. Any portion of the short-term gain distribution which is taxed at the capital gain rate is included as qualified dividends on Line 1b.

Detail Information — 1099-INT — Interest

Description	CUSIP	Date	Amount	Total

This detail information is not reported to the IRS. It is provided for your assistance in tax return preparation.

rdinary Interest - LINE 1
Interest Payments

CASH	315934103	01/31/03	0.03	
		02/28/03	0.02	
		03/31/03	0.02	
		04/30/03	0.04	
		05/30/03	0.09	
		06/30/03	0.11	
		07/31/03	0.08	0.39
		Total Interest Payments		0.39
		Total Ordinary Interest - LINE 1		0.39

2003 Supplemental Information

Account No.	Taxpayer ID.	Page
378-890430	329-52-9803	2 of 4

Fidelity INVESTMENTS

Customer Service: 800-544-6666

JIM NUSSLE
1220 N 6TH ST
MANCHESTER IA 52057-1151

Other Information For Tax Return Preparation

Amount

The information on this and the following pages is not reported to the IRS.
It is provided for your assistance in tax return preparation.

Exempt Dividends and Interest	0.00
in Interest Paid	0.00
rn of Principal	0.00
ued Interest Paid on Purchases	0.00
t-Term Realized Gain/Loss	146.72
-Term Realized Gain/Loss	237.90-

etail Information — Short-Term Realized Gain/Loss

cription / CUSIP / Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss (-)
t basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. This information is not reported to the IRS. It is provided for your assistance in tax return preparation.						
LITY SELECT UTILITIES GROWTH / 316390509						
/04/02	07/08/03	45.192	1,429.42	1,259.95	a	169.47
LITY SELECT NATURAL GAS / 316390525						
/04/02	04/04/03	20.911	353.82	346.29	a	7.53
LITY SELECT MEDICAL DELIVERY / 316390665						
/11/02	06/05/03	18.989	405.70	451.40	a	45.70-
rious	06/05/03	44.611	1,065.31	1,185.31	a	120.00-
/17/02	06/06/03	7.607	185.76	202.07	a	16.31-
LITY SELECT RETAILING / 316390731						
/20/03	05/19/03	29.610	1,035.46	953.73	a	81.73
LITY SELECT ENERGY SERVICE / 316390764						
rious	01/08/03	24.665	724.89	650.91	a	73.98
LITY SELECT DEFENSE & AEROSPACE / 316390806						
rious	05/28/03	31.693	1,280.71	1,284.69	a	3.98-
				Short-Term Realized Gain		332.71
				Short-Term Realized Loss		185.99-
				Short-Term Realized Disallowed Loss		0.00
				Total Short-Term Realized Gain/Loss		146.72

6481.07 6334.35

verage Cost- Single Category

Fidelity

200 upplemental Information		
Account No.	Taxpayer ID.	Page
379-690430	329-52-9803	3 of 4

Customer Service: 800-544-6666

JIM NUSSLE
1220 N 6TH ST
MANCHESTER IA 52057-1151

Detail Information — Long-Term Realized Gain/Loss

Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. This information is not reported to the IRS. It is provided for your assistance in tax return preparation.

Description / CUSIP Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss (-)
PARTAN 500 INDEX / 315812204						
10/02/01	05/20/03	10.454	665.29	773.18 a		107.89-
Wash Sale Disallowed Loss				1.55-		1.55
IDELITY SELECT ENERGY / 316390103						
unknown	03/20/03	48.096	953.73	unknown	999.94	unknown
unknown	08/17/03	48.447	1,045.98	unknown	1005.48	unknown
IDELITY SELECT HEALTH CARE / 316390301						
various	05/20/03	9.449	896.77	1,126.51 a		129.74-
Wash Sale Disallowed Loss				121.45-		121.45
IDELITY SELECT MEDICAL DELIVERY / 316390665						
various	06/05/03	12.211	291.60	324.44 a		32.84-
IDELITY SELECT BIOTECHNOLOGY / 316390772						
various	06/10/03	15.121	729.43	819.86 a	4426.41	90.43-
			4682.80	Long-Term Realized Gain		0.00
				Long-Term Realized Loss		360.90-
				Long-Term Realized Disallowed Loss		123.00
				Total Long-Term Realized Gain/Loss		237.90-††

a - Average Cost- Single Category

†† - This may not reflect all of your gains/losses because of incomplete cost basis

per Karen's call to Fidelity

Thrivent Investment Management
625 Fourth Ave. S., Minneapolis, MN 55415-1624
www.thrivent.com • e-mail: mail@thrivent.com • (800) 688-6067

KAREN NUSSLE 12T7
JAMES A NUSSLE LK24
JT TEN/WROS
1220 N 6TH ST
MANCHESTER IA 52057-0000

YOUR INFORMATION

Joint Tenant – Rights Of Survivorship Account

Financial Consultant
Larry A Winter
Thrivent Investment Management
145 Hodencamp Road
Suite 202
Thousand Oaks CA 91360
Telephone: (805)-373-8686 or (800)-421-3997
Fax: (805)-496-7601
E-mail: larry.winter@thrivent.com

ASSET CHOICE ACCOUNT STATEMENT

DECEMBER 1, 2003 – DECEMBER 31, 2003

ACCOUNT VALUE SUMMARY

	THIS PERIOD	THIS YEAR
Beginning account value	**$158,829.46**	**$0.00**
Deposits	0.00	145,444.49
Withdrawals	0.00	-686.21
Taxable income	800.99	1,405.44
Change in asset value	4,687.39	18,154.12
Ending account value	**$164,317.84**	**$164,317.84**

YOUR MESSAGE BOARD

Would you like a current version of Thrivent Investment Management Inc.'s SEC Form ADV Part II? This contains information about our background and business practices, and is updated and filed with the SEC whenever there is a material change in our business. If you are interested in receiving an updated copy of Thrivent Investment Management Inc.'s part II Form ADV, please contact Brokerage Services at 1-800-847-4836, option 1, option 4.

Have your financial situation, investment objectives, or risk tolerance changed? If so, please contact your Thrivent Investment Management representative.

3532

Thrivent Investment Management™
625 Fourth Ave. S., Minneapolis, MN 55415-1624
www.thrivent.com • e-mail: mail@thrivent.com • (800) 688-6067

ASSET CHOICE
ACCOUNT STATEMENT
DECEMBER 1, 2003 – DECEMBER 31, 2003

ASSET ALLOCATION SUMMARY

	CURRENT VALUE	PERCENT
Cash and money market	$7,760.95	5%
US equities	81,881.59	49%
International equities	16,059.00	10%
Mixed assets	58,616.30	36%
Current account value	**$164,317.84**	**100%**

Mutual funds are included in the above categories. Funds that invest in more than one category are reported as "Mixed Assets."
The cash and money market figure is net of debits including any margin debit, if applicable.

GAIN/LOSS SUMMARY

	THIS PERIOD	THIS YEAR
Total realized gain or loss	$0.00	$0.00
Short-term gain or loss	0.00	0.00
Long-term gain or loss	0.00	0.00
		AS OF DECEMBER 31, 2003
Unrealized gain or loss		$11,391.18

Gain/loss information is presented only for selected securities. Gain/loss information, if presented, includes only the securities for which we have original cost information. If you know the original cost of securities not purchased at RBC Dain Rauscher but included in your RBC Dain Rauscher statement under "Asset Detail" and marked as N/A, please contact your Financial Consultant. Please see "About Your Statement" on page 2 for further information.

ACTIVITY SUMMARY

Total account value last statement		$158,829.46
Cash/Money market activity		
Beginning balance	7,684.48	
Money coming into your account		
Dividends	515.04	
Capital gains	285.95	
Total	800.99	
Money going out of your account		
Funds to purchase securities	−724.52	
Total	−724.52	
Ending Balance	7,760.95	
Net change cash/money market activity		$76.47
Change in security value		
Beginning value of priced securities	151,144.98	
Securities purchased	724.52	
Change in value of priced securities	4,687.39	
Ending value of priced securities	156,556.89	
Net change in securities value		$5,411.91
Total account value as of December 31, 2003		$164,317.84

3533

ASSET DETAIL

** The Unrealized Gain/Loss may not reflect your investment's total return. Specifically, the net cost may include dividend and capital gains distributions which have been reinvested.*
Additionally, the information that appears in these columns may be based on information provided by you or at your direction. RBC Dain Rauscher has not verified such data. Please see "About Your Statement" on page 2 for further information.

Your Financial Consultant has elected to display Asset Detail with the following options: asset purchases (tax lots) consolidated.

CASH AND MONEY MARKET

DESCRIPTION	SYMBOL	QUANTITY	MARKET PRICE	CURRENT MARKET VALUE	PREVIOUS STATEMENT MARKET VALUE	YTD INCOME
GREAT HALL INVT FDS PRIME	GHPX+	7,760.950	$1.000	$7,760.95	$7,684.48	$18.19

US EQUITIES

DESCRIPTION	SYMBOL	QUANTITY	MARKET PRICE	MARKET VALUE		NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUAL INCOME
AAL CAPITAL GROWTH -A	AALGX	276.654	$29.290	$8,103.20	Purchase Reinvest	$7,600.00 $16.03	$287.14 $0.02	$24.89
CALAMOS GROWTH - A	CVGRX	189.060	$44.780	$8,466.11		$7,500.00	$966.11	
DODGE & COX STOCK FUND	DODGX	74.701	$113.780	$8,499.48	Purchase Reinvest	$7,500.00 $116.31	$879.33 $3.84	$122.50
GROWTH FUND OF AMERICA CL F	GFAFX	342.283	$24.440	$8,365.40	Purchase Reinvest	$7,500.00 $6.77	$858.41 $0.22	$6.84
ISHARES TR S & P SMALLCAP 600 INDEX FD	IJR	100.000	$134.000	$13,400.00		$11,874.00	$1,526.00	$76.00
ISHARES TR S & P MIDCAP 400 BARRA GROWTH INDEX FD	IJK	150.000	$118.700	$17,805.00		$16,284.00	$1,521.00	$57.00
ISHARES TRUST S & P 500 BARRA GRTH INDX FD	IVW	150.000	$55.600	$8,340.00		$7,597.50	$742.50	$82.50
STANDARD & POORS DEP REC TR UNIT SER 1 B/E	SPY	80.000	$111.280	$8,902.40		N/A	N/A	$164.80
TOTAL US EQUITIES				**$81,881.59**		**$66,194.61**	**$6,784.57**	**$534.53**

3534

Thrivent Investment Management
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ASSET CHOICE
ACCOUNT STATEMENT
DECEMBER 1, 2003 – DECEMBER 31, 2003

INTERNATIONAL EQUITIES

DESCRIPTION	SYMBOL	QUANTITY	MARKET PRICE	MARKET VALUE		NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUAL INCOME
TEMPLETON GROWTH -A	TEPLX	776.923	$20.670	$16,059.00	Purchase Reinvest	$14,000.00 $344.77	$1,684.56 $29.67	$349.81

MIXED ASSETS

DESCRIPTION	SYMBOL	QUANTITY	MARKET PRICE	MARKET VALUE		NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUAL INCOME
AMERICAN BALANCED CL F	BALFX	1,450.051	$17.290	$25,071.38	Purchase Reinvest	$23,700.00 $127.37	$1,240.53 $3.48	$522.01
DODGE & COX BALANCED	DODBX	343.998	$73.040	$25,125.61	Purchase Reinvest	$23,700.00 $349.37	$1,075.24 $1.01	$608.87
INCOME FUND OF AMERICA CL F	IFAFX	490.636	$17.160	$8,419.31	Purchase Reinvest	$7,800.00 $47.19	$570.73 $1.39	$333.63
TOTAL MIXED ASSETS				**$58,616.30**		**$55,723.93**	**$2,892.38**	**$1,464.51**

3535

ACTIVITY DETAIL

Realized gain/loss column includes fees and commissions. It does not include accrued interest.

Purchases, sales and other activity all represent an exchange of cash and/or money market funds for securities and, as such, do not represent deposits to or withdrawals from your account. Account value changes due to commissions, mark ups, mark downs and accrued interest are shown in the "Change in value of priced securities" line of the Account Value Summary

** Information that appears in these columns may be based on information provided by you or at your direction; RBC Dain Rauscher has not verified such data.*
Please see "About Your Statement" on page 2 for further information.

PURCHASES

Regular purchases

DATE	DESCRIPTION	QUANTITY	PRICE	NET COST	COMMENTS
12/15/03	TEMPLETON GROWTH -A 4.4420 @ 19.810	4.442	$19.810	-$87.99	REINVEST
12/16/03	AMERICAN BALANCED CL F 7.5680 @ 16.830	7.568	$16.830	-$127.37	REINVEST
12/16/03	GROWTH FUND OF AMERICA CL F .2860 @ 23.650	0.286	$23.650	-$6.77	REINVEST
12/16/03	INCOME FUND OF AMERICA CL F 2.6310 @ 16.670	2.631	$16.670	-$47.19	REINVEST
12/31/03	AAL CAPITAL GROWTH -A .5480 @ 29.260	0.548	$29.260	-$16.03	REINVEST
12/31/03	DODGE & COX BALANCED 1.3970 @ 72.820	1.397	$72.820	-$101.76	REINVEST
12/31/03	DODGE & COX BALANCED 1.6770 @ 72.820	1.677	$72.820	-$122.11	REINVEST
12/31/03	DODGE & COX BALANCED 1.7230 @ 72.820	1.723	$72.820	-$125.50	REINVEST
12/31/03	DODGE & COX STOCK FUND .2450 @ 113.270	0.245	$113.270	-$27.72	REINVEST

3536

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ASSET CHOICE
ACCOUNT STATEMENT
DECEMBER 1, 2003 – DECEMBER 31, 2003

PURCHASES
Regular purchases

DATE	DESCRIPTION	QUANTITY	PRICE	NET COST	COMMENTS
12/31/03	DODGE & COX STOCK FUND .2540 @ 113.270	0.254	$113.270	-$28.82	REINVEST
12/31/03	DODGE & COX STOCK FUND .2940 @ 113.270	0.294	$113.270	-$33.26	REINVEST
Total regular purchases				**-$724.52**	
TOTAL PURCHASES				**-$724.52**	

TAXABLE INCOME

Dividends

DATE	DESCRIPTION	SYMBOL/CUSIP	AMOUNT	COMMENTS
12/15/03 as of 12/16/03	TEMPLETON GROWTH -A DIVIDEND	TEPLX	$87.99	
12/16/03 as of 12/15/03	AMERICAN BALANCED CL F DIVIDEND	BALFX	$127.37	
12/16/03 as of 12/15/03	GROWTH FUND OF AMERICA CL F DIVIDEND	GFAFX	$6.77	
12/16/03 as of 12/15/03	INCOME FUND OF AMERICA CL F DIVIDEND	IFAFX	$47.19	
12/24/03	ISHARES TR S & P SMALLCAP 600 INDEX FD	IJR	$24.52	
12/24/03	ISHARES TR S & P MIDCAP 400 BARRA GROWTH INDEX FD	IJK	$18.37	
12/24/03	ISHARES TRUST S & P 500 BARRA GRTH INDX FD DIVIDEND	IVW	$30.70	
12/31/03 as of 12/30/03	AAL CAPITAL GROWTH -A DIVIDEND	AALGX	$16.03	

3537

TAXABLE INCOME

Dividends

DATE	DESCRIPTION	SYMBOL/CUSIP	AMOUNT	COMMENTS
12/31/03	DODGE & COX BALANCED DIVIDEND	DODBX	$125.50	
12/31/03	DODGE & COX STOCK FUND DIVIDEND	DODGX	$27.72	
12/31/03	GREAT HALL INVT FDS PRIME DIVIDEND REINVEST		$2.88	0.42% AVERAGE YIELD FOR MONTH
Total dividends			**$515.04**	

Capital gains distributions

DATE	DESCRIPTION	SYMBOL/CUSIP	AMOUNT	COMMENTS
12/31/03	DODGE & COX BALANCED LONG TERM CAP GAIN		$122.11	
12/31/03	DODGE & COX BALANCED SHORT TERM CAP GAIN		$101.76	
12/31/03	DODGE & COX STOCK FUND LONG TERM CAP GAIN		$33.26	
12/31/03	DODGE & COX STOCK FUND SHORT TERM CAP GAIN		$28.82	
Total capital gains distributions			**$285.95**	
TOTAL TAXABLE INCOME			**$800.99**	

MONEY MARKET DETAIL

** Transaction details are only provided for Great Hall funds and Federated Funds if your account is set up for automated sweep.*

GREAT HALL INVT FDS PRIME

DATE	DESCRIPTION	AMOUNT
12/01/2003	BALANCE FORWARD	7,684.48
12/29/2003	PURCHASE	73.59
12/31/2003	DIVIDEND REINVEST	2.88
12/31/2003	ENDING BALANCE	7,760.95

3538

Prepared for:
KAREN NUSSLE
JAMES A NUSSLE
JT TEN/WROS
1220 N 6TH ST
MANCHESTER IA 52057-0000

Account Activity

All Tax Years
Trades & Security Activity
As of May 04, 2004
For Account: 12T7-1240-2344

Prepared by:
LARRY A WINTER

Phone 805/373-8686
Toll-Free 800/421-3997
Fax 805/496-7601

Date	Quantity	Description	Price	Source	Net Amount
04/29/04	-88	WPP GROUP PLC ADR NEW SYMBOL: WPPGY	49 94		4,394.61
04/01/04	1.6950	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-127.28
04/01/04	0.8710	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-65.36
04/01/04	0.2280	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-26.89
04/01/04	0.2150	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-25.40
03/30/04	4.8820	INCOME FUND OF AMERICA CL F SYMBOL: IFAFX		RINV	-83.48
03/17/04	88	WPP GROUP PLC ADR NEW SYMBOL: WPPGY		TAI	0.00
02/24/04	7.3320	AMERICAN BALANCED CL F SYMBOL: BALFX		RINV	-130.07
12/31/03	0.5480	AAL CAPITAL GROWTH -A SYMBOL: AALGX		RINV	-16.03
12/31/03	1.7230	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-125.50
12/31/03	1.6770	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-122.11
12/31/03	1.3970	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-101.76
12/31/03	0.2940	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-33.26
12/31/03	0.2540	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-28.82
12/31/03	0.2450	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-27.72
12/16/03	7.5680	AMERICAN BALANCED CL F SYMBOL: BALFX		RINV	-127.37

3539

Prepared on: May 05, 2004

Account Activity

All Tax Years
Trades & Security Activity
As of May 04, 2004
For Account: 12T7-1240-2344

Date	Quantity	Description	Price	Source	Net Amount
12/16/03	0.2860	GROWTH FUND OF AMERICA CL F SYMBOL: GFAFX		RINV	-6.77
12/16/03	2.8310	INCOME FUND OF AMERICA CL F SYMBOL: IFAFX		RINV	-47.19
12/15/03	4.4420	TEMPLETON GROWTH -A SYMBOL: TEPLX		RINV	-87.99
10/27/03	13.6730	TEMPLETON GROWTH -A SYMBOL: TEPLX		RINV	-256.78
10/17/03	276.1060	AAL CAPITAL GROWTH -A SYMBOL: AALGX	28.25		-7,800.00
10/16/03	1,442.4830	AMERICAN BALANCED CL F SYMBOL: BALFX	16.43		-23,700.00
10/16/03	339.2010	DODGE & COX BALANCED SYMBOL: DODBX	69.87		-23,700.00
10/16/03	487.8050	INCOME FUND OF AMERICA CL F SYMBOL: IFAFX	15.99		-7,800.00
10/15/03	-30	AMERICAN INTL GROUP INC SYMBOL: AIG	62.28		1,868.31
10/15/03	-56	AUTOMATIC DATA PROCESSNG SYMBOL: ADP	39.15		2,192.29
10/15/03	-23	BANK OF AMERICA CORP SYMBOL: BAC	82.12		1,888.67
10/15/03	-160	CISCO SYS INC SYMBOL: CSCO	21.15		3,383.84
10/15/03	-25	CHEVRONTEXACO CORP SYMBOL: CVX	74.26		1,856.41
10/15/03	-50	CITIGROUP INC SYMBOL: C	48.93		2,446.38
10/15/03	-400	E M C CORPORATION (MASS) SYMBOL: EMC	13.01		5,203.75
10/15/03	-67	EXXON MOBIL CORPORATION SYMBOL: XOM	38.57		2,584.06

Prepared on: May 05, 2004

3540

Account Activity

All Tax Years
Trades & Security Activity
As of May 04, 2004
For Account: 12T7-1240-2344

Date	Quantity	Description	Price	Source	Net Amount
10/15/03	-23	FEDL HOME LOAN MTG CORP FREDDIE MAC SYMBOL: FRE	58.82		1,352.79
10/15/03	-43	GAP INC (DEL) SYMBOL: GPS	19.73		848.35
10/15/03	-22	GENERAL DYNAMICS CORP SYMBOL: GD	85.29		1,876.29
10/15/03	-86	GENERAL ELECTRIC CO SYMBOL: GE	28.84		2,480.12
10/15/03	-23	GOLDEN WEST FINANCIAL SYMBOL: GDW	96.49		2,219.16
10/15/03	-200	HOME DEPOT INC SYMBOL: HD	36.27		7,253.66
10/15/03	-91	INTEL CORP SYMBOL: INTC	32.03		2,914.59
10/15/03	-20	INTL BUSINESS MACH CORP SYMBOL: IBM	94.46		1,889.11
10/15/03	-35	JOHNSON & JOHNSON SYMBOL: JNJ	50.50		1,767.41
10/15/03	-25	KIMBERLY CLARK CORP SYMBOL: KMB	52.13		1,303.18
10/15/03	-20	MCGRAW HILL COS INC SYMBOL: MHP	64.48		1,289.53
10/15/03	-66	MICROSOFT CORPORATION SYMBOL: MSFT	29.14		1,923.15
10/15/03	-250	PFIZER INCORPORATED SYMBOL: PFE	30.68		7,669.64
10/15/03	-45	TARGET CORP SYMBOL: TGT	40.36		1,816.11
10/15/03	-20	UNITED TECHNOLOGIES CORP SYMBOL: UTX	83.47		1,669.32
10/01/03	0.2630	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-26.51
08/19/03	-250	AOL TIME-WARNER INC XXX CUSIP: 00184A105	15.75		3,937.31

3541

Account Activity

All Tax Years
Trades & Security Activity
As of May 04, 2004
For Account: 12T7-1240-2344

Date	Quantity	Description	Price	Source	Net Amount
08/19/03	-30	BLOCK H & R INCORPORATED SYMBOL: HRB	41.71		1,251.24
08/19/03	189.0600	CALAMOS GROWTH - A SYMBOL: CVGRX	39.67		-7,500.00
08/19/03	-12	CARDINAL HEALTH INC SYMBOL: CAH	60.16		721.88
08/19/03	-50	CONSTELLATION BRANDS INC CL A SYMBOL: STZ	28.30		1,414.93
08/19/03	73.6450	DODGE & COX STOCK FUND SYMBOL: DODGX	101.84		-7,500.00
08/19/03	-54.6690	FIDELITY SPARTAN 500 INDEX FUND SYMBOL: FSMKX	69.33		3,771.25
08/19/03	-89.2610	FIDELITY AGGRESSIVE GROWTH SYMBOL: FDEGX	13.41		1,179.04
08/19/03	-15.1900	FIDELITY SELECT BIOTECH SYMBOL: FBIOX	49.78		750.49
08/19/03	-104.8940	FIDELITY SELECT INDUST EQUIP SYMBOL: FSCGX	20.77		2,162.31
08/19/03	-57.7680	FIDELITY SELCT SOFTWARE COMPUTER SCVS SYMBOL: FSCSX	44.42		2,546.80
08/19/03	-17.7550	FIDELITY SELECT FOOD/AGRICULT SYMBOL: FDFAX	39.85		702.23
08/19/03	-20.4580	FIDELITY SELECT HEALTHCARE SYMBOL: FSPHX	111.15		2,256.86
08/19/03	-37.6700	FIDELITY SELECT LEISURE SYMBOL: FDLSX	63.28		2,365.88
08/19/03	-56.6950	FIDELITY SELECT TECHNOLOGY SYMBOL: FSPTX	52.45		2,951.35

3542

Account Activity

All Tax Years
Trades & Security Activity
As of May 04, 2004
For Account: 12T7-1240-2344

Date	Quantity	Description	Price	Source	Net Amount
08/19/03	-26.2700	FIDELITY SELECT UTILITIES GROW SYMBOL: FSUTX	29.34		764.98
08/19/03	-52.9960	FIDELITY SELECT BANKING PORT SYMBOL: FSRBX	35.63		1,874.09
08/19/03	-26.4910	FIDELITY SELECT MULTIMEDIA SYMBOL: FBMPX	44.09		1,159.23
08/19/03	-16.8910	FIDELITY SELECT BROKERAGE & INVESTMENT SYMBOL: FSLBX	45.76		767.13
08/19/03	-40.0480	FIDELITY SELECT FINANCIAL SRVC SYMBOL: FIDSX	102.73		4,083.27
08/19/03	-24.7780	FIDELITY SELECT CONSTR/HOUSING SYMBOL: FSHOX	29.37		722.27
08/19/03	-49.7390	FIDELITY SELECT CONSUMER PROD SYMBOL: FSCPX	21.86		1,079.14
08/19/03	-23.5960	FIDELITY SELECT ENERGY SRVCS SYMBOL: FSESX	30.12		705.38
08/19/03	-93.9010	FIDELITY SELECT INDUST MATERI SYMBOL: FSDPX	28.14		2,622.55
08/19/03	-53.7030	FIDELITY SELECT NATURAL GAS SYMBOL: FSNGX	18.74		998.84
08/19/03	-111.4620	FIDELITY SELECT CYCLICAL INDUSTS SYMBOL: FCYIX	14.06		1,555.41
08/19/03	341.9970	GROWTH FUND OF AMERICA CL F SYMBOL: GFAFX	21.93		-7,500.00

3543

Account Activity

All Tax Years
Trades & Security Activity
As of May 04, 2004
For Account: 12T7-1240-2344

Date	Quantity	Description	Price	Source	Net Amount
08/19/03	-150	HEALTH MGNT ASSOC CL A SYMBOL: HMA	21.19		3,178.35
08/19/03	100	ISHARES TR S & P SMALLCAP 600 SYMBOL: IJR	118.74		-11,874.00
08/19/03	150	ISHARES TR S & P MIDCAP 400 BARRA SYMBOL: IJK	108.56		-16,284.00
08/19/03	150	ISHARES TRUST S & P 500 BARRA GRTH INDX FD SYMBOL: IVW	50.65		-7,597.50
08/19/03	-25	MBIA INC SYMBOL: MBI	55.00		1,374.93
08/19/03	-25	MORGAN STANLEY SYMBOL: MWD	49.34		1,233.44
08/19/03	-115	NOKIA CORP SPONSORED ADR SYMBOL: NOK	15.41		1,772.06
08/19/03	-44	RADIAN GROUP INC SYMBOL: RDN	46.47		2,044.58
08/19/03	758.8080	TEMPLETON GROWTH -A SYMBOL: TEPLX	18.45		-14,000.00
08/19/03	-200	WPP GROUP PLC ADR NEW SYMBOL: WPPGY	46.34		9,267.56
08/19/03	-54	WPP GROUP PLC ADR NEW SYMBOL: WPPGY	46.30		2,500.08
08/14/03	250	AOL TIME-WARNER INC XXX CUSIP: 00184A105		TAI	0.00
08/14/03	400	E M C CORPORATION (MASS) SYMBOL: EMC		TAI	0.00
08/14/03	-89.2640	FIDELITY AGGRESSIVE GROWTH SYMBOL: FDEGX		TOAIA	0.00

Prepared on: May 05, 2004

3544

Account Activity

All Tax Years
Trades & Security Activity
As of May 04, 2004
For Account: 12T7-1240-2344

Date	Quantity	Description	Price	Source	Net Amount
08/14/03	89.2610	FIDELITY AGGRESSIVE GROWTH SYMBOL: FDEGX		TOAI	0.00
08/14/03	43	GAP INC (DEL) SYMBOL: GPS		TAI	0.00
08/14/03	120	HOME DEPOT INC SYMBOL: HD		TAI	0.00
08/14/03	254	WPP GROUP PLC ADR NEW SYMBOL: WPPGY		TAI	0.00
07/24/03	54.6690	FIDELITY SPARTAN 500 INDEX FUND SYMBOL: FSMKX		TOAI	0.00
07/24/03	89.2640	FIDELITY AGGRESSIVE GROWTH SYMBOL: FDEGX		TOAI	0.00
07/24/03	15.1900	FIDELITY SELECT BIOTECH SYMBOL: FBIOX		TOAI	0.00
07/24/03	104.8940	FIDELITY SELECT INDUST EQUIP SYMBOL: FSCGX		TOAI	0.00
07/24/03	57.7680	FIDELITY SELCT SOFTWARE COMPUTER SCVS SYMBOL: FSCSX		TOAI	0.00
07/24/03	17.7550	FIDELITY SELECT FOOD/AGRICULT SYMBOL: FDFAX		TOAI	0.00
07/24/03	20.4580	FIDELITY SELECT HEALTHCARE SYMBOL: FSPHX		TOAI	0.00
07/24/03	37.6700	FIDELITY SELECT LEISURE SYMBOL: FDLSX		TOAI	0.00
07/24/03	56.6950	FIDELITY SELECT TECHNOLOGY SYMBOL: FSPTX		TOAI	0.00

3545

Account Activity

All Tax Years
Trades & Security Activity
As of May 04, 2004
For Account: 12T7-1240-2344

Date	Quantity	Description	Price	Source	Net Amount
07/24/03	26.2700	FIDELITY SELECT UTILITIES GROW SYMBOL: FSUTX		TOAI	0.00
07/24/03	52.9960	FIDELITY SELECT BANKING PORT SYMBOL: FSRBX		TOAI	0.00
07/24/03	26.4910	FIDELITY SELECT MULTIMEDIA SYMBOL: FBMPX		TOAI	0.00
07/24/03	16.8910	FIDELITY SELECT BROKERAGE & INVESTMENT SYMBOL: FSLBX		TOAI	0.00
07/24/03	40.0480	FIDELITY SELECT FINANCIAL SRVC SYMBOL: FIDSX		TOAI	0.00
07/24/03	24.7780	FIDELITY SELECT CONSTR/HOUSING SYMBOL: FSHOX		TOAI	0.00
07/24/03	49.7390	FIDELITY SELECT CONSUMER PROD SYMBOL: FSCPX		TOAI	0.00
07/24/03	23.5960	FIDELITY SELECT ENERGY SRVCS SYMBOL: FSESX		TOAI	0.00
07/24/03	93.8010	FIDELITY SELECT INDUST MATERI SYMBOL: FSDPX		TOAI	0.00
07/24/03	53.7030	FIDELITY SELECT NATURAL GAS SYMBOL: FSNGX		TOAI	0.00
07/24/03	111.4620	FIDELITY SELECT CYCLICAL INDUSTS SYMBOL: FCYIX		TOAI	0.00
07/23/03	30	AMERICAN INTL GROUP INC SYMBOL: AIG		TAI	0.00
07/23/03	56	AUTOMATIC DATA PROCESSNG SYMBOL: ADP		TAI	0.00

Account Activity

All Tax Years
Trades & Security Activity
As of May 04, 2004
For Account: 12T7-1240-2344

Date	Quantity	Description	Price	Source	Net Amount
07/23/03	23	BANK OF AMERICA CORP SYMBOL: BAC		TAI	0.00
07/23/03	30	BLOCK H & R INCORPORATED SYMBOL: HRB		TAI	0.00
07/23/03	160	CISCO SYS INC SYMBOL: CSCO		TAI	0.00
07/23/03	12	CARDINAL HEALTH INC SYMBOL: CAH		TAI	0.00
07/23/03	25	CHEVRONTEXACO CORP SYMBOL: CVX		TAI	0.00
07/23/03	50	CITIGROUP INC SYMBOL: C		TAI	0.00
07/23/03	50	CONSTELLATION BRANDS INC CL A SYMBOL: STZ		TAI	0.00
07/23/03	67	EXXON MOBIL CORPORATION SYMBOL: XOM		TAI	0.00
07/23/03	23	FEDL HOME LOAN MTG CORP FREDDIE MAC SYMBOL: FRE		TAI	0.00
07/23/03	22	GENERAL DYNAMICS CORP SYMBOL: GD		TAI	0.00
07/23/03	86	GENERAL ELECTRIC CO SYMBOL: GE		TAI	0.00
07/23/03	23	GOLDEN WEST FINANCIAL SYMBOL: GDW		TAI	0.00
07/23/03	150	HEALTH MGNT ASSOC CL A SYMBOL: HMA		TAI	0.00
07/23/03	80	HOME DEPOT INC SYMBOL: HD		TAI	0.00
07/23/03	91	INTEL CORP SYMBOL: INTC		TAI	0.00
07/23/03	20	INTL BUSINESS MACH CORP SYMBOL: IBM		TAI	0.00
07/23/03	35	JOHNSON & JOHNSON SYMBOL: JNJ		TAI	0.00

3547

Account Activity

All Tax Years
Trades & Security Activity
As of May 04, 2004
For Account: 12T7-1240-2344

Date	Quantity	Description	Price	Source	Net Amount
07/23/03	25	KIMBERLY CLARK CORP SYMBOL: KMB		TAI	0.00
07/23/03	25	MBIA INC SYMBOL: MBI		TAI	0.00
07/23/03	20	MCGRAW HILL COS INC SYMBOL: MHP		TAI	0.00
07/23/03	66	MICROSOFT CORPORATION SYMBOL: MSFT		TAI	0.00
07/23/03	25	MORGAN STANLEY SYMBOL: MWD		TAI	0.00
07/23/03	115	NOKIA CORP SPONSORED ADR SYMBOL: NOK		TAI	0.00
07/23/03	250	PFIZER INCORPORATED SYMBOL: PFE		TAI	0.00
07/23/03	44	RADIAN GROUP INC SYMBOL: RDN		TAI	0.00
07/23/03	80	STANDARD & POORS DEP REC TR UNIT SER 1 B/E SYMBOL: SPY		TAI	0.00
07/23/03	45	TARGET CORP SYMBOL: TGT		TAI	0.00
07/23/03	20	UNITED TECHNOLOGIES CORP SYMBOL: UTX		TAI	0.00

3548

This report is provided as a service from your Financial Consultant. It is not, and should not be construed as, a substitute for your (or your firm's) account statements and confirmations. This report is prepared as of trade date rather than settlement date and may be prepared on a different date than your statement. Security valuations have been obtained from reliable sources but do not represent guaranteed bid, offers or markets for the securities represented. Dividend and interest calculations are approximations and are subject to change. If you find discrepancies in this report, please contact your Financial Consultant or the Branch Manager.

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INDIVIDUAL RETIREMENT ACCOUNT STATEMENT

NOVEMBER 1, 2003 – DECEMBER 31, 2003

KAREN M NUSSLE 12T7
INDIVIDUAL RETIREMENT ACCOUNT LK24
RBC DAIN RAUSCHER CUSTODIAN
1220 N 6TH STREET
MANCHESTER IA 52057

YOUR INFORMATION

Individual Retirement Account

Financial Consultant
Larry A Winter
Thrivent Investment Management
145 Hodencamp Road
Suite 202
Thousand Oaks CA 91360
Telephone: (805)-373-8686 or (800)-421-3997
Fax: (805)-496-7601
E-mail: larry.winter@thrivent.com

ACCOUNT VALUE SUMMARY

	THIS PERIOD	THIS YEAR
Beginning account value	**$35,738.30**	**$0.00**
Deposits	0.00	33,940.55
Income	300.85	394.64
Change in asset value	2,392.49	4,096.45
Ending account value	**$38,431.64**	**$38,431.64**

YOUR MESSAGE BOARD

Would you like a current version of Thrivent Investment Management Inc.'s SEC Form ADV Part II? This contains information about our background and business practices, and is updated and filed with the SEC whenever there is a material change in our business. If you are interested in receiving an updated copy of Thrivent Investment Management Inc.'s part II Form ADV, please contact Brokerage Services at 1-800-847-4836, option 1, option 4.

Have your financial situation, investment objectives, or risk tolerance changed? If so, please contact your Thrivent Investment Management representative.

3549

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INDIVIDUAL RETIREMENT ACCOUNT STATEMENT

NOVEMBER 1, 2003 – DECEMBER 31, 2003

ASSET ALLOCATION SUMMARY

	CURRENT VALUE	PERCENT
Cash and money market	$652.18	2%
US equities	22,766.98	59%
International equities	6,001.19	16%
Mixed assets	9,011.31	23%
Current account value	**$38,431.64**	**100%**

Mutual funds are included in the above categories. Funds that invest in more than one category are reported as "Mixed Assets."
The cash and money market figure is net of debits including any margin debit, if applicable

GAIN/LOSS SUMMARY

	THIS PERIOD	THIS YEAR
Total realized gain or loss	$0.00	−$0.32
Short-term gain or loss	0.00	−0.32
Long-term gain or loss	0.00	0.00
		AS OF DECEMBER 31, 2003
Unrealized gain or loss		$386.00

Gain/loss information is presented only for selected securities. Gain/loss information, if presented, includes only the securities for which we have original cost information. If you know the original cost of securities not purchased at RBC Dain Rauscher but included in your RBC Dain Rauscher statement under "Asset Detail" and marked as N/A, please contact your Financial Consultant. Please see "About Your Statement" on page 2 for further information

ACTIVITY SUMMARY

Total account value last statement		**$35,738.30**
Cash/Money market activity		
Beginning balance	651.66	
Money coming into your account		
Dividends	142.30	
Capital gains	158.55	
Total	**300.85**	
Money going out of your account		
Funds to purchase securities	−300.33	
Total	**−300.33**	
Ending Balance	**652.18**	
Net change cash/money market activity		**$0.52**
Change in security value		
Beginning value of priced securities	**35,086.64**	
Securities purchased	300.33	
Change in value of priced securities	2,392.49	
Ending value of priced securities	**37,779.46**	
Net change in securities value		**$2,692.82**
Total account value as of December 31, 2003		**$38,431.64**

3550

ASSET DETAIL

** The Unrealized Gain/Loss may not reflect your investment's total return. Specifically, the net cost may include dividend and capital gains distributions which have been reinvested.*
Additionally, the information that appears in these columns may be based on information provided by you or at your direction. RBC Dain Rauscher has not verified such data. Please see "About Your Statement" on page 2 for further information.

Your Financial Consultant has elected to display Asset Detail with the following options: asset purchases (tax lots) consolidated.

CASH AND MONEY MARKET

DESCRIPTION	SYMBOL	QUANTITY	MARKET PRICE	CURRENT MARKET VALUE	PREVIOUS STATEMENT MARKET VALUE	YTD INCOME
GREAT HALL INVT FDS PRIME	GHPX+	652.180	$1.000	$652.18	$651.66	$0.62

US EQUITIES

DESCRIPTION	SYMBOL	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUAL INCOME
DODGE & COX STOCK FUND	DODGX	94.161	$113.780	$10,713.64	N/A	N/A	$154.42
DREYFUS MIDCAP VALUE	DMCVX	257.848	$27.610	$7,119.18	N/A	N/A	
FEDERATED CAPITAL APPREC -A	FEDEX	206.709	$23.870	$4,934.14	N/A	N/A	$18.60
TOTAL US EQUITIES				**$22,766.96**	**$0.00**	**$0.00**	**$173.02**

INTERNATIONAL EQUITIES

DESCRIPTION	SYMBOL	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUAL INCOME
OPPENHEIMER GLOBAL - A	OPPAX	116.528	$51.500	$6,001.19	N/A	N/A	$38.45

3551

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INDIVIDUAL RETIREMENT ACCOUNT STATEMENT

NOVEMBER 1, 2003 – DECEMBER 31, 2003

MIXED ASSETS

DESCRIPTION	SYMBOL	QUANTITY	MARKET PRICE	MARKET VALUE		NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUAL INCOME
DODGE & COX BALANCED	DODBX	123.375	$73.040	$9,011.31	Purchase Reinvest	$8,500.00 $125.31	$385.68 $0.32	$218.37

ACTIVITY DETAIL

Realized gain/loss column includes fees and commissions. It does not include accrued interest.

Purchases, sales and other activity all represent an exchange of cash and/or money market funds for securities and, as such, do not represent deposits to or withdrawals from your account. Account value changes due to commissions, mark ups, mark downs and accrued interest are shown in the "Change in value of priced securities" line of the Account Value Summary.

** Information that appears in these columns may be based on information provided by you or at your direction; RBC Dain Rauscher has not verified such data. Please see "About Your Statement" on page 2 for further information.*

PURCHASES

Regular purchases

DATE	DESCRIPTION	QUANTITY	PRICE	NET COST	COMMENTS
12/05/03	OPPENHEIMER GLOBAL – A .7870 @ 49.290	0.787	$49.290	–$38.79	REINVEST
12/31/03	DODGE & COX BALANCED .5010 @ 72.820	0.501	$72.820	–$36.50	REINVEST
12/31/03	DODGE & COX BALANCED .6010 @ 72.820	0.601	$72.820	–$43.80	REINVEST
12/31/03	DODGE & COX BALANCED .6180 @ 72.820	0.618	$72.820	–$45.01	REINVEST
12/31/03	DODGE & COX STOCK FUND .3080 @ 113.270	0.308	$113.270	–$34.94	REINVEST
12/31/03	DODGE & COX STOCK FUND .3210 @ 113.270	0.321	$113.270	–$36.33	REINVEST

3552

PURCHASES

Regular purchases

DATE	DESCRIPTION	QUANTITY	PRICE	NET COST	COMMENTS
12/31/03	DODGE & COX STOCK FUND .3700 @ 113.270	0.370	$113.270	-$41.92	REINVEST
12/31/03	FEDERATED CAPITAL APPREC -A .9680 @ 23.810	0.968	$23.810	-$23.04	REINVEST
Total regular purchases				**-$300.33**	
TOTAL PURCHASES				**-$300.33**	

INCOME

Dividends

DATE	DESCRIPTION	SYMBOL/CUSIP	AMOUNT	COMMENTS
11/28/03	GREAT HALL INVT FDS PRIME DIVIDEND REINVEST		$0.24	0.42% AVERAGE YIELD FOR MONTH
12/05/03 as of 12/04/03	OPPENHEIMER GLOBAL - A DIVIDEND	OPPAX	$38.79	
12/31/03	DODGE & COX BALANCED DIVIDEND	DODBX	$45.01	
12/31/03	DODGE & COX STOCK FUND DIVIDEND	DODGX	$34.94	
12/31/03 as of 12/30/03	FEDERATED CAPITAL APPREC -A DIVIDEND	FEDEX	$23.04	
12/31/03	GREAT HALL INVT FDS PRIME DIVIDEND REINVEST		$0.28	0.42% AVERAGE YIELD FOR MONTH
Total dividends			**$142.30**	

3553

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INDIVIDUAL RETIREMENT ACCOUNT STATEMENT

NOVEMBER 1, 2003 – DECEMBER 31, 2003

3554

INCOME

Capital gains distributions

DATE	DESCRIPTION	SYMBOL/CUSIP	AMOUNT	COMMENTS
12/31/03	DODGE & COX BALANCED LONG TERM CAP GAIN		$43.80	
12/31/03	DODGE & COX BALANCED SHORT TERM CAP GAIN		$36.50	
12/31/03	DODGE & COX STOCK FUND LONG TERM CAP GAIN		$41.92	
12/31/03	DODGE & COX STOCK FUND SHORT TERM CAP GAIN		$36.33	
Total capital gains distributions			**$158.55**	
TOTAL INCOME			**$300.85**	

RETIREMENT ACCOUNT INFORMATION

CONTRIBUTIONS

DESCRIPTION	IRA	ROLLOVER	DIRECT ROLLOVER
DEPOSITED IN 2002 FOR 2002	$0.00	$0.00	$0.00
DEPOSITED IN 2003 FOR 2002	$0.00	$0.00	$0.00
DEPOSITED IN 2003 FOR 2003	$0.00	$0.00	$0.00

TRANSFERS

	AMOUNT
TRANSFERRED IN 2002	$0.00
TRANSFERRED IN 2003	$33,940.55

RETIREMENT ACCOUNT INFORMATION (continued)

DISTRIBUTIONS

DESCRIPTION	DISTRIBUTION	FEDERAL WITHHOLDING	STATE WITHHOLDING
DISTRIBUTED IN 2003	$0.00	$0.00	$0.00

PRIMARY BENEFICIARY INFORMATION

JIM NUSSLE 100.00%

MONEY MARKET DETAIL

** Transaction details are only provided for Great Hall funds and Federated Funds if your account is set up for automated sweep.*

GREAT HALL INVT FDS PRIME

DATE	DESCRIPTION	AMOUNT
11/01/2003	BALANCE FORWARD	651.66
11/28/2003	DIVIDEND REINVEST	0.24
12/31/2003	DIVIDEND REINVEST	0.28
12/31/2003	ENDING BALANCE	652.18

3555

Prepared for:
KAREN M NUSSLE
INDIVIDUAL RETIREMENT ACCOUNT
RBC DAIN RAUSCHER CUSTODIAN
1220 N 6TH STREET
MANCHESTER IA 52057

Account Activity

All Tax Years
Trades & Security Activity
As of May 04, 2004
For Account: 12T7-1239-2578

Prepared by:
LARRY A WINTER

Phone 805/373-8686
Toll-Free 800/421-3997
Fax 805/496-7601

Date	Quantity	Description	Price	Source	Net Amount
04/01/04	0.6080	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-45.65
04/01/04	0.3120	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-23.44
04/01/04	0.2870	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-33.90
04/01/04	0.2710	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-32.01
12/31/03	0.6180	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-45.01
12/31/03	0.6010	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-43.80
12/31/03	0.5010	DODGE & COX BALANCED SYMBOL: DODBX		RINV	-36.50
12/31/03	0.3700	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-41.92
12/31/03	0.3210	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-36.33
12/31/03	0.3080	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-34.94
12/31/03	0.9680	FEDERATED CAPITAL APPREC -A SYMBOL: FEDEX		RINV	-23.04
12/05/03	0.7870	OPPENHEIMER GLOBAL - A SYMBOL: OPPAX		RINV	-38.79
10/16/03	121.6550	DODGE & COX BALANCED SYMBOL: DODBX	69.87		-8,500.00
10/16/03	-692.3060	DREYFUS INTRMD TERM INCOME SYMBOL: DRITX	13.14		9,096.90
10/02/03	2.4090	DREYFUS INTRMD TERM INCOME SYMBOL: DRITX		RINV	-32.11
10/01/03	0.3320	DODGE & COX STOCK FUND SYMBOL: DODGX		RINV	-33.42

3556

Prepared on: May 05, 2004

Account Activity

All Tax Years
Trades & Security Activity
As of May 04, 2004
For Account: 12T7-1239-2578

Date	Quantity	Description	Price	Source	Net Amount
09/03/03	0.8430	DREYFUS INTRMD TERM INCOME SYMBOL: DRITX		RINV	-10.93
08/21/03	92.8300	DODGE & COX STOCK FUND SYMBOL: DODGX		TAI	0.00
08/21/03	689.0540	DREYFUS INTRMD TERM INCOME SYMBOL: DRITX		TAI	0.00
08/21/03	257.8480	DREYFUS MIDCAP VALUE SYMBOL: DMCVX		TAI	0.00
08/21/03	205.7410	FEDERATED CAPITAL APPREC -A SYMBOL: FEDEX		TAI	0.00
08/21/03	115.7410	OPPENHEIMER GLOBAL - A SYMBOL: OPPAX		TAI	0.00

3557

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Prepared on: May 05, 2004

HAND DELIVERED

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(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

James Louis Oberstar
(Full Name)

2365 Rayburn Building Washington, DC 20515
(Mailing Address)

202/225-6211
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: MN District: 08	☐ Officer Or Employee — Employing Office:	**A $200 penalty shall be assessed against anyone who files more than 30 days late.**
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☑ No ☐
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☑ No ☐

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	James L. Oberstar	5.13.04

3558

SCHEDULE I - EARNED INCOME

Name JAMES LOUIS OBERSTAR

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
JKO, Inc.	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Louis Oberstar

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	US Treasury Notes	$250,001 - $500,000	INTEREST	$15,001 - $50,000	S
SP	FED NATL MTG ASSN NOTES	None	INETERST	$2,501 - $5,000	S
SP	FICO STRIP BONDS	$100,001 - $250,000	INTEREST	$2,501 - $5,000	P
SP	SCUDDER GOVT MONEY MARKET	None	DIVIDENDS	$1 - $200	S
SP	SCUDDER HIGH INCOME FUND	None	D/DIVIDENDS/C APITAL GAINS	$1,001 - $2,500	PS
SP	KELMOORE STRATEGY EAGLE FUND	$50,001 - $100,000	DIVIDENDS	$5,001 - $15,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name JAMES LOUIS OBERSTAR

SP	PIMCO PEA VALUE FUND	$250,001 - $500,000	DIVIDENDS	$201 - $1,000	
SP	PRUDENTIAL FINANCIAL INC	None	CAPITAL GAINS	$5,001 - $15,000	S
SP	FELICITY ST CHARLES LLC	$15,001 - $50,000	RENT	$5,001 - $15,000	
SP	SCOTTISH ANNUITY AND LIFE HOLDINGS LTD	None	CAPITAL GAINS/DIVIDENDS	$15,001 - $50,000	S
SP	DIGENE CORP	$250,001 - $500,000	CAPITAL GAINS	$50,001 - $100,000	S
SP	FARGO ELECTRONICS	$50,001 - $100,000		NONE	P
SP	FEDDERS CORP	$50,001 - $100,000		NONE	P
SP	HEALTHEXTRAS	$100,001 - $250,000	CAPITAL GAINS	$15,001 - $50,000	S
SP	LUCENT TECHNOLOGIES	$100,001 - $250,000		NONE	P
SP	PHARMACEUTICAL RESOURCES	$100,001 - $250,000	CAPITAL GAINS	$100,001 - $1,000,000	S
SP	POZEN	$50,001 - $100,000		NONE	P
SP	RITE AID CORP	$250,001 - $500,000		NONE	P
SP	SAUL CENTERS	$50,001 - $100,000	DIVIDENDS	$5,001 - $15,000	S
SP	SIRIUS SATELLITE RADIO	$50,001 - $100,000		NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name JAMES LOUIS OBERSTAR

SP	UNIVERSAL DISPLAY	$100,001 - $250,000		NONE	P
SP	WESTERN DIGITAL CORP	$100,001 - $250,000		NONE	P
SP	COMMAND MONEY FUND	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	1916 17TH ST NW WASHINGTON, DC (50%)	$100,001 - $250,000	RENT	$5,001 - $15,000	
SP	CEPHID INC	$100,001 - $250,000		NONE	P
SP	ST PAUL COMPANIES INC	$15,001 - $50,000		NONE	P
SP	UNIVERSAL DISPLAY CORP	$15,001 - $50,000		NONE	P
SP	JOHNSON & JOHNSON	$50,001 - $100,000	CAPITAL GAINS/DIVIDENDS	$5,001 - $15,000	S
SP	XICOR	$50,001 - $100,000		NONE	P
SP	XM SATELLITE RADIO	$50,001 - $100,000		NONE	P
SP	SCUDDER RREEF REAL ESTATE FUND	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	KURTH & CO MONEY PURCHASE PLAN	$1,000,001 - $5,000,000	N/A	N/A	
SP	KURTH QTIP A TRUST		EXCEPTED TRUST		
SP	KURTH BYPASS TRUST		EXCEPTED TRUST		

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name JAMES LOUIS OBERSTAR

SP	JOHN HANCOCK REGIONAL BANK FUND	$250,001 - $500,000	DIVIDENDS	$15,001 - $50,000	P
SP	PIONEER GROWTH FUND	$15,001 - $50,000		NONE	
SP	ANALEX CORP	$1,001 - $15,000		NONE	
SP	COTT CORP	$50,001 - $100,000		NONE	
SP	SAFEWAY DEBENTURES	$15,001 - $50,000	INTEREST	$201 - $1,000	
SP	PAINE WEBER MONEY FUND	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	SLIDELL PROPERTY NOTE RECEIVABLE	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
SP	JKO, INC (S CORP)	$250,001 - $500,000	RENT	$5,001 - $15,000	
SP	JKO PROPERITES LLC	$100,001 - $250,000	RENT/INTEREST	$5,001 - $15,000	
SP	SMITH BARNEY MANAGED MUNICIPALS FUND	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	SMITH BARNEY MUNICIPAL HIGH INCOME FUND	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	SMITH BARNEY MANAGED MUNICIPALS FUND	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	SMITH BARNAY MUNICIPAL HIGH INCOME FUND	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	WACHOVIA BANK MONEY MARKET	$100,001 - $250,000	INTEREST	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Louis Oberstar

SP	WACHOVIA BANK	$100,001 - $250,000	INTEREST	$1,001 - $2,500	
	CONGRESSIONAL FEDERAL CREDIT UNION	$50,001 - $100,000	INTEREST	$201 - $1,000	
SP	JANUS CORE EQUITY FUND	None	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	ES
SP	LONGLEAF PARTNERS FUND	$15,001 - $50,000	CAPITAL GAINS	$201 - $1,000	ES
SP	ARTISAN INTERNATIONAL FUND	None	CAPITAL GAINS	$2,501 - $5,000	ES
SP	DODGE & COX STOCK	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	EP
SP	ABN AMRO/MONTAG & COLDWELL GROUP	$50,001 - $100,000	DIVIDENDS	$1 - $200	EP
SP	MARISCO GROWTH FUND	$50,001 - $100,000	CAPITAL GAINS	$1 - $200	ES
SP	OAKMARK I	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	EP
SP	PIMCO HIGH YIELD ADMIN	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	EPS
SP	TURNER MIDCAP GROWTH FUND	None	CAPITAL GAINS	$2,501 - $5,000	ES
SP	WESTERN ASSET CORE FUND	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS	$1,001 - $2,500	ESP
SP	WASATCH CORE GROWTH FUND	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	ESP
SP	ARTISAN MIDCAP FUND	$15,001 - $50,000		NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name JAMES LOUIS OBERSTAR

SP	MFS VALUE A FUND	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	ROYCE LOW-PRICED STOCK FUND	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	JULIUS BAER INTENATIONAL EQUITY A	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	P
SP	JANUS MONEY MARKET FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	MONEY MART ASSETS	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name JAMES LOUIS OBERSTAR

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	US Treasury Notes	S	09-30-03	$15,001 - $50,000
SP	FED NATL MTG ASSN NOTES	S	02-07-03	$100,001 - $250,000
SP	FICO STRIP BONDS	P	3 PURCHASES	$100,001 - $250,000
SP	JOHN HANCOCK REGIONAL BANK FUND	P	10 DIVIDEND REINVESTMENT	$15,001 - $50,000
SP	SCUDDER GOVT MONEY MARKET	S	06-17-03	$1,001 - $15,000
SP	SCUDDER HIGH INCOME FUND	PS	DIVIDEND REINV SOLD 6-17-03	$15,001 - $50,000
SP	KELMOORE STRATEGY EAGLE FUND	P	06-17-03 AND DIV REINV	$50,001 - $100,000
SP	PRUDENTIAL FINANCIAL INC	S	08-04-03	$50,001 - $100,000
SP	SCOTTISH ANNUITY AND LIFE HOLDINGS LTD	S	02-24-03 04-28-03 02-18-03 04-01-03	$100,001 - $250,000
SP	INTERMUNE	S	03-20-03 04-04-03	$50,001 - $100,000

SCHEDULE IV - TRANSACTIONS

Name JAMES LOUIS OBERSTAR

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	DIGENE CORP	PS	10-17-03 S 02-18-03 P 10-17-03 S 10-21-03 S	$50,001 - $100,000
SP	HEALTHEXTRAS	S	12-05-03	$15,001 - $50,000
SP	FEDDERS CORP	P	12-08-03 12-12-03	$50,001 - $100,000
SP	LUCENT TECHNOLOGIES	P	12-05-03 10-17-03	$50,001 - $100,000
SP	PHARMACEUTICAL RESOURCES	S	09-22-03 10-17-03 04-28-03 08-18-03	$100,001 - $250,000
SP	ANALEX CORP	S	11-17-03	$1,001 - $15,000
SP	DANKA BUSINESS	S	12-05-03	$15,001 - $50,000
SP	UNIVERSAL DISPLAY CORP	P	06-16-03 02-27-03	$1,001 - $15,000
SP	JOHNSON & JOHNSON	S	10-17-03	$1,001 - $15,000
SP	RITE AID CORP	P	06-16-03 07-08-03	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name JAMES LOUIS OBERSTAR

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	ST PAUL COMPANIES INC	P	10-17-03	$15,001 - $50,000
SP	TESORO PETROLEUM	PS	06-16-03 S 04-04-03 P 05-29-03 S 04-09-03 P 07-02-03 S	$50,001 - $100,000
SP	MTR GAMING	PS	06-04-03 S 04-04-03 P 05-29-03 S	$50,001 - $100,000
SP	CEPHID INC	P	12-11-03	$15,001 - $50,000
SP	XICOR	P	08-06-03 08-25-03	$50,001 - $100,000
SP	COMPUWARE	PS	05-29-03 P 12-08-03 S 06-03-03 P 08-21-03 P 12-05-03 S	$50,001 - $100,000
SP	SAUL CENTERS	S	08-04-03	$15,001 - $50,000
SP	XM SATELLITE RADIO	P	08-04-03	$15,001 - $50,000
SP	FARGO ELECTRONICS	P	02-24-03 02-27-03	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name JAMES LOUIS OBERSTAR

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	POZEN	P	08-21-03	$50,001 - $100,000
SP	SIRIUS SATELLITE RADIO	P	10-22-03	$15,001 - $50,000
SP	WESTERN DIGITAL CORP	P	05-01-03	$15,001 - $50,000
SP	REPTRON ELECTRONICS	S	08-04-03	$1,001 - $15,000
SP	JANUS CORE EQUITY FUND	ES	DEC 2002 E (ERRONOUSLY LISTED AS JKO DEF BENEFIT PLAN IN 2002) 01-07-03 S 07-08-03 S	$15,001 - $50,000
SP	LONGLEAF PARTNERS FUND	ES	DEC 2002 E (ERRONEOULY LISTED AS JKO DEF BENEFIT PLAN IN 2002) 01-07-03 S	$15,001 - $50,000
SP	ARTISAN INTERNATIONAL FUND	ES	DEC 2002 E (ERRONEOUSLY LISTED AS JKO DEF BENEFIT PLAN IN 2002 07-08-03 S	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name JAMES LOUIS OBERSTAR

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	DODGE & COX STOCK	EP	DEC 2002 E (ERRONEOUSLY LISTED AS JKO DEF BENEFIT PLAN IN 2002) 01-07-03 P 07-08-03 P 5 DIV REINV	$50,001 - $100,000
SP	ABN AMRO/MONTAG & COLDWELL GROUP	EP	DEC 2002 E (ERRONEOUSLY LISTED AS JKO DEF BENEFIT PLAN IN 2002) 01-07-03 P 07-08-03 P 12-19-03 P	$50,001 - $100,000
SP	MARSICO GROWTH FUND	ES	DEC 2002 E (ERRONEOUSLY LISTED AS JKO DEF BENEFIT PLAN IN 2002) 07-08-03 S	$15,001 - $50,000
SP	METROPOLITAN WEST TOTAL RETURN	ESP	DEC 2002 E (ERRONEOUSLY LISTED AS JKO DEF BENEFIT PLAN IN 2002) 11 DIV REINV 01-07-03 S	$50,001 - $100,000

SCHEDULE IV - TRANSACTIONS

Name JAMES LOUIS OBERSTAR

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	OAKMARK I	EP	DEC 2002 E (ERRONEOUSLY LISTED AS JKO DEF BENEFIT PLAN IN 2002) 01-07-03 P 11-20-03 DIV REINV	
SP	PIMCO HIGH YIELD ADMIN	EPS	DEC 2002 E (ERRONEOUSLY LISTED AS JKO DEF BENEFIT PLAN IN 2002) 01-07-03 P 11 DIV REINV 07-08-03 S	$50,001 - $100,000
SP	TURNER MIDCAP GROWTH FUND	ES	DEC 2002 E (ERRONEOUSLY LISTED AS JKO DEF BENEFIT PLAN IN 2002) 01-07-03 S 07-08-03 S	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name JAMES LOUIS OBERSTAR

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	WESTERN ASSET CORE FUND	ESP	DEC 2002 E (ERRONEOUSLY LISTED AS JKO DEF BENEFIT PLAN IN 2002) 01-07-03 S 13 DIV REINV 07-08-03 P	$15,001 - $50,000
SP	WASATCH CORE GROWTH FUND	ESP	DEC 2002 E (ERRONEOUSLY LISTED AS JKO DEF BENEFIT PLAN IN 2002) 01-07-03 P 12-29-03 P 07-08-03 S	$15,001 - $50,000
SP	ARTISAN MIDCAP FUND	P	07-08-03 P	$15,001 - $50,000
SP	MFS VALUE A FUND	P	07-08-03 P 2 DIV REINV	$15,001 - $50,000
SP	ROYCE LOW-PRICED STOCK FUND	P	07-08-03 P 12-04-03 P	$15,001 - $50,000
SP	JULIUS BAER INTENATIONAL EQUITY A	P	07-08-03 P 12-29-03 P	$50,001 - $100,000
SP	JANUS MONEY MARKET FUND	P	01-08-03 P 12 DIV REINV	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name JAMES LOUIS OBERSTAR

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	CHASE MANHATTAN MORTGAGE CORP	50% MORTGAGE ON 1916 17TH ST NW, WASHINGTON, DC	$50,001 - $100,000

FOOTNOTES

Name JAMES LOUIS OBERSTAR

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	THE JKO DEF BENEFIT PLAN WAS ERRONEOUSLY LISTED IN 2002. THIS PENSION PLAN HAD BEEN TERMINATED IN DECEMBER OF 2002 AND THE ASSET WERE ROLLED INTO THE SPOUSE'S IRA. THESE IRA ASSETS HAVE BEEN LISTED AS EXCHANGES ON SCHEDULE III.	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name James Louis Oberstar

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
TRANSPORTATION TRADES DEPARTMENT, AFL-CIO	FEB. 22-23	WASH., DC - FT. LAUDERDALE, FL - WASH., DC	Y	Y	N	N/A
TRANSLOAD DISTRIBUTION ASSOCIATION	APR. 24-25	LAS VEGAS	Y	Y	N	N/A
NATIONAL GOVERNORS ASSOCIATION	AUG. 18	WASH, DC - INDIANAPOLIS	N	N	N	N/A
SACRAMENTO AREA FLOOD CONTROL AGENCY	SEP. 25-28	WASH., DC - SACRAMENTO, CA - CHICAGO, IL	N	N	N	2 DAYS
THE ASPEN INSTITUTE	DEC. 4-7	WASH., DC - PUNTA MITA, MEXICO - WASH., DC	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name James Louis Oberstar

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
HONORARY BOARD MEMBER	GEORGE WASHINGTON UNIVERSITY MEDICAL CENTER, CANCER CENTER ADVISORY BOARD
BOARD OF TRUSTEES (POSITION BY FEDERAL STATUTE)	JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS
BOARD OF TRUSTEES (POSITION BY FEDERAL STATUTE)	INTERNATIONAL INSTITUTE FOR SURFACE TRANSPORTATION POLICY STUDIES
NATIONAL ADVISORY COUNCIL MEMBER	ACTIVE LIVING BY DESIGN - UNIVERSITY OF CAROLINA

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2004 MAY 13 PM 3:51
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Rep. David Obey
(Full Name)

2314 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

202-225-3365
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative — State: WI District: 07	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/13/04

3577

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rep. David Obey

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Fidelity Trust-Asset Manager	$15,001 - $50,000	(IRA)	NONE	
	The Vandguard Group-Growth Index Fund	$1,001 - $15,000	(Roth IRA)	NONE	
SP	Wright Patman Congressional Federal Credit Union	$1,001 - $15,000	(IRA)	NONE	
SP	The Vandguard Group-Growth and Income	$1,001 - $15,000	(IRA)	NONE	
SP	The Vandguard Group-US Growth Fund Investor	$1,001 - $15,000	(Roth IRA)	NONE	
SP	U.S. Savings Bond Series EE	$1,001 - $15,000	(No Income Yet Derived)	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rep. David Obey

JT	Wright Patman Congressional Federal Credit Union	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Rep. David Obey

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Aspen Institute Conference on Education Reform [sponsored by the Carnegie Foundation]	Feb. 14-19	Wash., D.C.-Montego Bay, Jamaica-Wash., D.C.	Y	Y	Y	N/A

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

Page 9 of 9

HAND DELIVERED

(Office Use Only)

A $200 penalty shall be assessed against anyone who files more than 30 days late.

John Walter Olver
(Full Name)

P.O. Box 28 Amherst, MA 01004
(Mailing Address)

202-225-5335
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: MA District: 01	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John W. Olver	12 May 04

3581

SCHEDULE I - EARNED INCOME

Name John Walter Olver

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Amherst College – Amherst, MA	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Walter Olver

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	120 acres of unimproved land at 117 Central Street, Plainfield, MA	$50,001 - $100,000		NONE	
JT	25 acres of unimproved land at Beachlake, Berlin Township, PA	$50,001 - $100,000		NONE	
JT	27 acres of unimproved land on Buffam Road, Pelham, MA	$50,001 - $100,000		NONE	
JT	33 Myrtle Street, Boston, MA	$100,001 - $250,000	RENT	$5,001 - $15,000	
JT	45 acres of unimproved land on North Valley Road, Pelham, MA	$15,001 - $50,000		NONE	
JT	AMCAP mutual fund	$15,001 - $50,000	DIVIDENDS, CAPITAL GAINS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Walter Olver

JT	Canada Southern Petroleum, Ltd.	$1,001 - $15,000		NONE	
JT	Daily Cash Accumulation Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Eastman Kodak Company stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Fleet Bank, 1 South Pleasant Street, Amherst, MA	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	Hewlett-Packard Company stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Magellan Petroleum Corporation	$1,001 - $15,000		NONE	
SP	Massachusetts Finance Agency bonds	$1,001 - $15,000	INTEREST	$1 - $200	
	Massachusetts state employee pension	$100,001 - $250,000	PENSION	$15,001 - $50,000	
SP	Massachusetts Water Resources bonds	$1,001 - $15,000	INTEREST	$1 - $200	S
SP	Pfizer, Inc. (formerly Pharmacia Corporation) stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Scudder New Europe mutual fund	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	
JT	Terra Lycos, SA stock	$1,001 - $15,000		NONE	
SP	Texas Instruments, Inc. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name John Walter Olver

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Carlyle Real Estate Investment Trust (REIT)	S	12-31-02	$1,001 - $15,000
SP	Massachusetts Water Resources bonds	S	03-03-03	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name John Walter Olver

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Greenfield Savings Bank -- Greenfield, MA	Mortgage on 33 Myrtle Street, Boston, MA	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name John Walter Olver

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Vice President	Americans for Democratic Action, 1625 K Street, NW, Washington, DC 20006
Alumni Representative	M.I.T. Visiting Committee for the Department of Political Science, Massachusetts Institute of Technology, 77 Massachusetts Avenue, Cambridge, MA 02139

SCHEDULE IX - AGREEMENTS

Name John Walter Oliver

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
6-14-91	Massachusetts State Employee Life Insurance Program	Continuing benefits as a state employee
6-14-91	Massachusetts State Employee Retirement Program	Retirement effective November 20, 1992

FOOTNOTES

Name John Walter Olver

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule IV	A final distribution of trust funds from the Carlyle Real Estate Investment Trust (REIT) was made before the conclusion of 2002, and I inadvertantly left this transation off my Financial Disclosure Statement for 2002	Carlyle REIT 2002 transaction

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

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3590

Solomon Porfirio Ortiz
(Full Name)

2470 Rayburn HOB, Washington, D.C. 20515
(Mailing Address)

202/225-7742
Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives	State: Texas District: 27	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		May 12, 2004

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Texas County and District Retirement System	Retirement	31,669.20

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
None			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP	Mega Corp. Stock	E	DIVIDENDS		P
DC, Examples:	Simon & Schuster	Indefinite	Royalties	X	
JT	1st Bank of Paducah, KY accounts	F	INTEREST	VI	
	Amtex Security, Inc. Corpus Christi, TX	A	DIVIDENDS		Sold
	Wright Patman Cong Fed. Credit Union		INTEREST	III	
	2104 Lago Viejo South	D		IV	
JT	Rancho Viejo, TX				
JT	1011 Ennis Joslin Unit 108 Corpus Christi, TX *	D	DIVIDENDS	I	
	Van Kampen Funds Pace Fund A - IRA	A	none	I	E (rolled into Equitable non-qualified annuity)
	XAX Bank of New York stock	B	DIVIDENDS		

* Occupied by member of the family who pays no rent; thus no

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Solomon Porfirio Ortiz

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 - $1,000; C $1,001 - $15,000; D $15,001 - $50,000; E $50,001 - $100,000; F $100,001 - $250,000; G $250,001 - $500,000; H $500,001 - $1,000,000; I $1,000,001 - $5,000,000; J $5,000,001 - $25,000,000; K $25,000,001 - $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 - $200; III $201 - $1,000; IV $1,001 - $2,500; V $2,501 - $5,000; VI $5,001 - $15,000; VII $15,001 - $50,000; VIII $50,001 - $100,000; IX $100,001 - $1,000,000; X $1,000,001 - $5,000,000; XI Over $5,000,000	P, S, E
	Equitable non-qualified annunity - IRA	E	none	I	
	Equitable deferred annunity - IRA	D	none	I	
	Priamerica Shareholder Services - IRA	A	none	I	L (rolled in Equitable non-qualified annunity)
	Amtex Security, Inc. Note Debtor: Amtex Holdings, Inc. (For sale of company)	G	INTEREST	V	

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SCHEDULE IV — TRANSACTIONS

Name Solomon Porfirio Ortiz

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: [redacted]	Type of Transaction: SALE	Type of Transaction: [redacted]	Date (MO/DAY/YR)	Amount of Transaction: B [redacted]	C $15,001–$50,000	D [redacted]	E $100,001–$250,000	F [redacted]	G $500,001–$1,000,000	H [redacted]	I $5,000,001–$25,000,000	J [redacted]	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	Amtex Security, Inc.		X		11-10-03					X					

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3595

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			D	C $15,001–$50,000	B	E $100,001–$250,000		G $500,001–$1,000,000		I $5,000,001–$25,000,000		K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Wells Fargo Bank, N.A. Corpus Christi, Texas	Line of credit for Amtex Security, Inc.			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
None		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C —Los Angeles—Cleveland	Y	Y	Y	2 Days
Chinese Internatl Economic Cooperative Association	Jan 10-18	Houston - Toyko - Taipei - Toyko - Houston	Y	Y	Y	N
Marathon Oil Corp.	July 26-28	Wash., DC - Mexico City, Mexico - Wash., D.C.	Y	Y	N	N
Asia Access Corp	Aug 2-19	Corpus Christi - Hong Kong Dong Guan City - Guangzhou City - Shanghai City - Shenyang City - Beijing - Corpus Christi, TX	Y	Y	N	Aug 11 - 18
Sony Music/Sony Electronics/Altria/Time Warner/Pfizer/Coca Cola/Fanny Mae/Verizon/Astra Zenecca/Aventis/Eli Lilly/GlaxoSmithKline/Pharma/Puerto Rico Telephone	Oct 23-26	Corpus Christi - Houston - San Juan, P.R. - Newark - Houston - Corpus Christi	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Amtex Security, Inc.
Board Member	Congressional Hispanic Caucus Institute

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

(83)

HAND DELIVERED

Dr. Thomas W. Osborne
(Full Name)

5400 Trotter Road
Lincoln, Nebraska 68521
(Mailing Address)

Daytime Telephone: 202-225-6435

MC

HIVE RESOURCE CENTER
2004 MAY 17 PM 12:25
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State: Nebraska District: 3rd	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4. § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Tom Osborne	5/10/04

3599

Name	Dr. Thomas W. Osborne	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Osborne Family Enterprises LLC	Non-Employee Compensation	6,627

Name Dr. Thomas M. [illegible]	Page 1 of 1

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name THOMAS OSBORNE | Page 1 of 16

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A: Asset and/or Income Source	Block B: None (A)	$1,001 – $15,000 (C)	$50,001 – $100,000 (E)	$250,001 – $500,000 (G)	$1,000,001 – $5,000,000 (I)	$25,000,001 – $50,000,000 (K)	Block C: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: None (I)	$201 – $1,000 (III)	$2,501 – $5,000 (V)	$15,001 – $50,000 (VII)	$100,001 – $1,000,000 (IX)	Over $5,000,000 (XI)	Block E: P, S, E
Examples: SP	Mega Corp. Stock			X				X										P
	Simon & Schuster		Indefinite								Royalties					X		
	1st Bank of Paducah, KY accounts								X									
	UBS Money Market Fund			X				X						X				
	Cohen & Steers Dev Income Rlty							X										
	Fed Ntl Mtg Assn 1/4/04								X			X						
	Asahi Glass		X					X										P
	Aventis		X					X										
	AXA		X					X										

For additional assets and unearned income, use next page.

3602

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: THOMAS OSBORNE | Page 2 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	BARCLAYS PLC		X					X										
	BNP PARIBAS		X					X										
	BP PLC		X					X					X					
	BRITISH SKY BROADCASTING		X									X						P
	CANON		X					X										
	CARLTON GROUP		X															
	CREDIT SUISSE GROUP		X					X				X						
	CRH PLC		X					X										P
	DIAGEO PLC		X					X										P
	ENDESA		X															P
	GRAND SAINTGLENE		X					X										
	HBOS		X					X										
	HONDA MOTOR		X					X										P
	HSBC HOLDINGS		X					X										
	ING GROEP		X					X										P
	KOMATSU LTD		X					X										P
	LOREAL		X					X										P
	LVMH MOET HENNESSY LOUIS		X					X										P
	NESTLE		X					X										P
	NOKIA		X					X										
	NOMURA HOLDINGS		X					X										P
	NOVARTIS		X					X										P

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3603

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name THOMAS OSBORNE

Page 3 of 16

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	NTT DOCOMO INC		X					X										
	REED ELSEVIER		X					X										
	RIO TINTO		X					X										
	ROCHE HOLDING		X					X										P
	ROYAL DUTCH PET.		X					X										P
	RYANAIR HOLDING		X									X						
	SANOFI SYNTHELABO		X					X										P
	SAP		X					X										P
	SEVEN ELEVEN JAPAN							X										P
	SHARP		X					X										P
	SIEMENS		X					X										P
	STORA ENSO OYJ							X										P
	SWISS RETAIL		X					X										P
	TAIWAN SEMICONDUCTOR		X									X						P
	TELEFONICA		X									X						P
	TESCO PLC		X					X										
	TOTAL SA		X					X										
	TOYOTA MOTOR		X					X										
	VODAFONE		X					X										
	VOLKSWAGEN		X					X										P
	ZURICH FINANCIAL SERVICES		X									X						P
	NUVEEN E COMMERCE UIT											X						

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3604

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name THOMAS OSBORNE

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	ACCENTURE		X									X						
	ACE LTD BERMUDA		X					X										P
	ALCAN		X					X										P
	BROOKFIELD PROPERTIES		X					X										P
	CARNIVAL CORP		X					X										
	COMPASS GROUP							X										P
	COOPER INDUSTRIES		X					X										P
	E ON AG		X					X										
	KONINKLIJKE PHILIPS		X					X										
	SANPAOLO IMI SPA		X					X										P
	TYCO		X					X										
	XL CAPITAL		X					X										P
	AETNA REAL ESTATE ASSN											X						
	ABBOTT LABS		X					X										
	ADOBE SYSTEMS		X					X										P
	AFLAC		X					X										
	ALTRIA GROUP		X					X					X					
	AMAZON. COM		X									X						P
	AMB PROPERTY		X					X					X					P
	AMERICAN EXPRESS		X					X										
	AMERICAN INTL GROUP		X					X										P
	AMGEN		X									X						

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name THOMAS OSBORNE

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	ANHEUSER BUSCH		X					X										
	AON		X					X										
	APARTMENT INVT & MGMT		X					X					X					
	APOGENT TECHNOLOGIES		X									X						P
	APPLIED MATERIALS		X									X						
	ARCHSTONE - SMITH		X					X					X					P
	ASML HOLDING		X									X						
	AUTOMATIC DATA PROCESSING		X					X										P
	AVALONBAY COMMUNITIES		X					X					X					
	BANK OF AMERICA		X					X					X					P
	BANK OF NEW YORK		X					X										P
	BANKNORTH GROUP		X					X										P
	BARR LABORATORIES		X									X						P
	BAXTER INTL		X					X										P
	BECTON DICKINSON		X					X										P
	BEZO CORP		X					X										P
	BLACK & DECKER		X					X										
	BOSTON PROPERTIES		X					X					X					P
	CAMDEN PROPERTIES		X					X										
	CARDINAL HEALTH		X					X										P
	CATELLUS DEVELOPMENT CORP		X					X										P
	CDW CORP		X					X										P

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3606

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name THOMAS OSBORNE | Page 4 of 16

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	CENDANT CORP		X									X						P
	CENTURYTEL		X					X										P
	CERTEGY		X					X										P
	CHELSEA PROPERTY GROUP		X					X										P
	CHEVRON TEXACO		X					X					X					P
	CIGNA CORP		X					X										P
	CINERGY		X					X										P
	CISCO SYSTEMS		X									X						
	CITIGROUP							X					X					P
	CLOROX		X					X										
	COACH		X									X						
	COCA COLA		X					X										
	COCA COLA ENTERPRISES		X					X										P
	COLGATE PALMOLIVE		X					X										
	CONVERSE TECHNOLOGY		X									X						P
	CONOCO PHILLIPS		X					X										
	COORS, ADOLPH		X					X										P
	CORPORATE OFFICE PROPERTIES							X										P
	COUSINS		X					X										P
	CSX CORPORATION		X					X										
	CYPRESS SEMICONDUCTOR		X									X						P
	DELL		X									X						

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name THOMAS OSBORNE

Page 7 of 16

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	DELPHI		X					X										P
	DEVELOPERS DIVERSIFIED REALTY		X					X										P
	DEVON ENERGY		X					X										P
	DOW CHEMICAL		X					X										
	DU PONT DE NEMOURS		X					X										P
	DUKE REALTY		X					X										P
	DUN & BRADSTREET		X									X						P
	E BAY		X									X						P
	ELECTRONIC DATA SYSTEMS		X									X						
	EMERSON ELECTRIC		X					X										
	ENTERGY CORP		X					X										P
	EQUIFAX		X					X										P
	EQUITY OFFICE PROPERTIES		X					X					X					P
	EQUITY RESIDENTIAL		X					X					X					P
	EXXON MOBIL		X					X					X					P
	FAIRCHILD SEMICONDUCTOR		X									X						P
	FANNIE MAE		X					X										
	FEDERAL REALTY INV TRUST		X					X										
	FIRST DATA		X					X										P
	FLEETBOSTON FINANCIAL		X					X										P
	FLEXTRONICS INTL		X									X						
	FOUNDRY NETWORKS		X									X						P

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3608

SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name THOMAS OSBORNE

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	FPL GROUP		X					X										P
	FRANKLIN RESOURCES		X					X										
	FREDDIE MAC		X					X										
	GANNETT		X					X										
	GENSTECH		X									X						
	GENERAL ELECTRIC							X					X					P
	GENERAL GROWTH PROPERTIES		X					X										
	GENERAL MOTORS							X										P
	GILEAD SCIENCES											X						
	HARLEY DAVIDSON							X										P
	HARTE HANKS							X										
	HCA							X										P
	HEALTHCARE REALTY							X										P
	HEWLETT PACKARD							X										P
	HOME DEPOT							X										P
	HONEYWELL INT							X										P
	HOSPITALITY PROPERTIES							X										
	HOST MARRIOTT											X						P
	IMS HEALTH							X										P
	INFORMAT SERVICES											X						
	INGERSOLL RAND							X				X						P
	INTEL							X										

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name THOMAS OSBORNE

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	INTERPUBLIC GROUP		X									X						P
	INTERNATIONAL BUSINESS MACH.		X					X										P
	I. STAR FINANCIAL		X					X										P
	JP MORGAN CHASE		X					X										
	JABIL CIRCUIT		X									X						P
	JANUS CAPITAL GROUP		X					X										P
	JOHN HANCOCK FINANCIAL SVCS		X					X										P
	JOHNSON & JOHNSON		X					X										P
	KILROY REALTY		X					X										P
	KIMBERLY CLARK		X					X										
	KIMCO REALTY		X					X										P
	KLA-TENCOR		X									X						P
	KRAFT FOODS		X					X										
	KROGER CO.		X									X						P
	ESTEE LAUDER		X					X										
	LIBERTY MEDIA		X									X						
	LIBERTY PROPERTY TRUST		X					X					X					P
	ELI LILLY		X					X										P
	LIMITED BRANDS		X					X										P
	LIZ CLAIBORNE		X					X										
	LOCKHEED MARTIN		X					X										P
	MACERICH CO.		X					X										P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name THOMAS OSBORNE

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	MANOR CARE		X					X										P
	MANPOWER INC		X					X										P
	MARSH & McLENNAN		X					X										P
	MAXIM INTEGRATED PRODUCTS		X					X										
	MBIA		X					X										P
	MBNA		X					X										P
	McCORMICK & Co		X					X										
	McGRAWHILL & Co		X					X										
	Mc DONALDS		X					X										P
	McGRAW HILL COS.		X					X										
	MEDCO HEALTH SOLUTIONS											X						
	MEDIMMUNE		X									X						P
	MERCK		X					X										
	MERRILL LYNCH		X					X										
	MICROSOFT		X					X										P
	MOHAWK INDUSTRIES		X									X						P
	MORGAN STANLEY		X					X										P
	NETWORK APPLIANCE		X									X						P
	NEW YORK COMMUNITY BANCORP		X					X										P
	NEWELL RUBBERMAID		X					X										P
	NORTHROP GRUMMAN		X					X										P
	NORTHERN TRUST		X					X										P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name THOMAS OSBORNE

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	OMNICARE		X					X										P
	OMNICOM GROUP		X					X										
	OXFORD HEALTH PLANS		X					X										P
	PACCAR		X					X										P
	PEPSICO		X					X										P
	PFIZER							X					X					P
	PHARMACEUTICAL RESOURCES		X									X						P
	PITNEY BOWES		X					X										P
	PIXAR		X									X						
	PLUM CREEK TIMBER CO		X					X										P
	PMC SIERRA		X									X						P
	PNC FINANCIAL SERVICES		X					X										P
	PRENTISS PROPERTIES TRUST		X					X					X					P
	T ROWE PRICE		X					X										
	PROCTER GAMBLE		X					X										P
	PROGRESSIVE CORP		X					X										
	PROLOGIS		X					X					X					P
	PROVIDIAN FINANCIAL		X									X						P
	PS BUSINESS PARKS		X					X										P
	PUBLIC STORAGE		X					X										
	QUALCOMM		X					X										
	RAYTHEON		X					X										P

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3612

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name THOMAS EYBORNE

Page 12 of 12

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	REGENCY CENTERS		X					X					X					P
	RF MICRODEVICES		X									X						P
	ROHM HAAS		X					X										P
	ROUSE CO.		X					X					X					P
	SAFEWAY											X						P
	SANMINA SCI		X									X						P
	SARA LEE		X					X										P
	SBC COMMUNICATIONS		X					X					X					P
	SCANA CORP		X					X										P
	CHARLES SCHWAB		X					X										P
	SERVICEMASTER		X					X										
	SHURGARD STORAGE CENTERS							X										P
	SIMON PROPERTY GROUP		X					X					X					P
	JM SMUCKER							X										
	SOUTHWEST AIRLINES		X					X										
	SPX CORPORATION		X									X						P
	ST. PAUL COMPANIES		X					X										P
	STAPLES		X									X						P
	STARBUCKS		X									X						P
	STARWOOD HOTELS AND RESORTS		X					X										P
	SUMMIT PROPERTIES		X					X										P
	SUN COMMUNITIES		X					X										P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name THOMAS OSBORNE

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	SUNGARD DATA SYSTEMS		X									X						
	SYMANTEC CORP		X									X						P
	SYMBOL TECHNOLOGIES		X					X										P
	TARGET		X					X										P
	TAUBMAN CENTERS		X					X										P
	TERADYNE		X									X						P
	TEXTRON		X					X										P
	TIME WARNER		X									X						
	TJX COS		X					X										P
	TRIBUNE CO.		X					X										P
	UNITED PARCEL SERVICE		X					X										
	UNOCAL		X					X										P
	UBSTADT BIDDLE PROPERTIES							X										P
	US BANCORP		X					X										
	VERISIGN		X									X						P
	VERIZON COMMUNICATIONS		X					X					X					P
	VIACOM "CLASS B"		X					X										P
	VORNADO REALTY TRUST		X					X					X					P
	WACHOVIA CORP		X					X										
	WAL MART STORES		X					X										
	WALGREEN		X					X										P
	WASHINGTON MUTUAL							X										P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name THOMAS OSBORNE

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	WASTE MANAGEMENT		X					X										
	WATSON PHARMACEUTICALS		X									X						P
	WELLS FARGO		X					X										P
	WILLIAMS COS.		X					X										
	WILLIAMS SONOMA		X									X						P
	WORLDCOM											X						
	WYETH		X					X										
	XCEL ENERGY		X					X										P
	XILINX		X									X						
	YAHOO		X									X						P
	YUM BRANDS		X									X						P
	ZIMMER HOLDINGS		X									X						P
	NUVEEN SECTOR PORTFOLIO BANKING		X									X						
	NUVEEN SECTOR PORTFOLIO E BUSINESS		X									X						
	NUVEEN SECTOR PORTFOLIO NETWORKS											X						
	NUVEEN SECTOR PORTFOLIO WIRELESS		X									X						
	NUVEEN SECTOR PORTFOLIO SEMICONDUCTORS											X						
	NEW CORP LTD		X					X										
	FNMA 5.5% 2-15-06								X									P
	FNMA 6.0% 5-15-08		X						X				X					P
	FNMA 7.125% 2-1-05								X									P
	US TREAS 9.00% 11-15-18		X						X				X					

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name THOMAS OSBORNE

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	US Treas 10.625% 8-15-15								X									P
	US Treas 3.00% 7-15-12								X				X					P
	US Treas 5.25% 5-31-04								X				X					P
	Amer Funds New Perspective																	
	Amer Funds Small Cap World			X														
	Franklin Kaufman																	
	Pimco Fixed Income Shares C			X														
	Pimco Fixed Income Shares M			X														
	Pimco Renaissance			X														
	UBS MZ Fund											X						P
	Millburn Global Opp Fund											X						
	New Century Fund											X						
	AIG American Classic Annuity											X						
	IIF.																	

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (If needed)

Name Dr. Thomas W. Osborne Page 16 of 16

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Union State Bank		X						X									
	Farmers Ins Mutual Assn					X									X			
	[illegible] Dist Inc.										[illegible]							
	Fidelity of Midwest										S.S. [illegible]	X						
	Land - Morrill Co., NE										[illegible]	X						
	Farmland - Butler Co., NE										Rental		X					
	Farmland - Dawson Co., NE										[illegible]			X				
	Finley [illegible] Inc.		X								Royalties							
	Entire [illegible] Inc											X						

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SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE | Page 1 of 61

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock		10-12-03	X				
	GENERAL ELECTRIC		12-30-03					
	PEPSICO		12-30-03					
	FREDDIE MAC		12-29-03					
	JOHNSON & JOHNSON		12-29-03					
	MCDONALDS	X	12-24-03					
	TARGET		12-23-03					
	EASTMAN KODAK	X	12-22-03					
	WISCONSIN ENERGY	X	12-19-03					
	DELPHI CORP		12-17-03					
	H J HEINZ	X	12-17-03					
	RAYTHEON	X	12-12-03					
	SARA LEE	X	12-12-03					
	NEWELL RUBBERMAID		12-09-03					
	EASTMAN KODAK	X	12-08-03					
	AON	X	12-05-03					
	BP PLC		12-04-03					

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3618

SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE | Page 7 of 61

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10–12–03	X				
	BANK OF AMERICA	X	12·03·03					
	GENERAL MOTORS		12·03·03					
	IBM		12·03·03					
	MC DONALDS	X	12·03·03					
	PEPSICO	X	12·03·03					
	AMERICAN INTL GROUP		11·18·03					
	BANK OF NEW YORK		11·18·03					
	DU PONT DE NEMOURS	X	11·17·03					
	ENTERGY CORP		11·17·03					
	EXXON MOBIL	X	11·14·03					
	AUTOMATIC DATA PROCESSING		11·12·03					
	AON	X	11·7·03					
	BANK OF NEW YORK	X	11·7·03					
	BP PLC	X	11·7·03					
	CSX CORPORATION	X	11·07·03					
	CINERGY CORP	X	11·07·03					

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3619

SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE | Page 3 of 61

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction	Date	Amount of Transaction								
SP, DC, JT	Asset	SALE	(MO/DAY/YR)	C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock		10 12–03	X				
	CHEVRON TEXACO	X	11-07-03					
	DUPONT DE NEMOURS	X	11-07-03					
	FLEETBOSTON FINANCIAL	X	11-07-03					
	GENERAL ELECTRIC	X	11-07-03					
	CITIGROUP	X	11-07-03					
	HOME DEPOT	X	11-07-03					
	LIMITED BRANDS	X	11-07-03					
	MORGAN STANLEY	X	11-07-03					
	NEWS CORP LTD	X	11-07-03					
	PNC FINANCIAL SERVICES	X	11-07-03					
	SARA LEE	X	11-07-03					
	ACCENTURE	X	11-07-03					
	TYCO INTL	X	11-07-03					
	US BANCORP	X	11-07-03					
	UNOCAL	X	11-07-03					
	WELLS FARGO	X	11-07-03					

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3030

SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	Williams Co	X	11-07-03					
	Oxford Health Plans		11-05-03					
	Lockheed Martin	X	10-29-03					
	Diageo PLC		10-28-03					
	Limited Brands		10-23-03					
	First Data	X	10-23-03					
	General Electric		10-22-03					
	Wisconsin Energy	X	10-21-03					
	Xcel Energy		10-21-03					
	Anheuser Busch	X	10-17-03					
	Bell South	X	10-16-03					
	SBC Communications		10-16-03					
	Verizon Communications		10-14-03					
	Eastman Kodak		10-14-03					
	Tribune Co		10-14-03					
	Northrop Grumman		10-10-03					

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SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE | Page 5 of 61

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date[1] (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock		10-12-03	X				
	EASTMAN KODAK		10-9-03					
	ALCAN INC	X	10-06-03					
	HEWLETT PACKARD		10-03-03					
	PFIZER		10-03-03					
	UNUMPROVIDENT CORP	X	10-03-03					
	H J HEINZ	X	10-02-03					
	XL CAPITAL		9-30-03					
	AMERICAN INTL GROUP		9-29-03					
	BRISTOL MYERS SQUIBB	X	9-29-03					
	ENTERGY CORP		9-29-03					
	JOHN HANCOCK FINANCIAL	X	9-29-03					
	JOHNSON & JOHNSON		9-29-03					
	LOCKHEED MARTIN		9-29-03					
	SARA LEE		9-29-03					
	ACCENTURE		9-24-03					
	ARCHER DANIELS MIDLAND	X	9-25-03					

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SCHEDULE IV — TRANSACTIONS

Name: THOMAS OSBORNE

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001 – $50,000	E $100,001 – $250,000	G $500,001 – $1,000,000	I $5,000,001 – $25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	BP PLC		9.14.03					
	NEWELL RUBBERMAID	X	9.17.03					
	BURLINGTON RESOURCES	X	9.17.03					
	EXXON MOBIL	X	9.16.03					
	LOCKHEED MARTIN		9.16.03					
	PEPSICO		9.15.03					
	EL PASO CORP	X	9.11.03					
	JP MORGAN CHASE	X	9.10.03					
	MOTOROLA	X	9.10.03					
	PROCTOR GAMBLE		9.10.03					
	NOVA CORP LTD		9.08.03					
	BANK OF AMERICA		9.04.03					
	CINERGY		9.04.03					
	KEYSPAN	X	9.04.03					
	NORTHROP GRUMMAN		9.03.03					
	ENTERGY CORP		9.02.03					

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SCHEDULE IV — TRANSACTIONS

Name THOMAS OSGANE

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock		10-12-03	X				
	General Electric	X	9-2-03					
	CIT Group	X	8-29-03					
	XL Capital		8-26-03					
	Kraft Foods	X	8-25-03					
	Wells Fargo		8-18-03					
	Scana Corp		8-14-03					
	Newell Rubbermaid		8-12-03					
	Tribune Co		8-12-03					
	Deere & Co	X	8-08-03					
	Accenture	X	8-06-03					
	Koninklijke Philips	X	8-05-03					
	Xcel Energy		8-05-03					
	Sara Lee		8-04-03					
	Unumprovident Corp	X	8-04-03					
	Limited Brands		7-31-03					
	Verizon Communications	X	7-31-03					

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SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

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SP	*Example:* Mega Corporation Common Stock		10–12–03	X				
	MONSANTO	X	7-30-03					
	NOVA CORP LTD		7-30-03					
	RAYTHEON		7-29-03					
	AON CORP	X	7-23-03					
	CIT GROUP	X	7-23-03					
	DEERE & CO	X	7-22-03					
	WELLS FARGO		7-21-03					
	AUTOMATIC DATA PROCESSING		7-18-03					
	ELI LILLY	X	7-18-03					
	CIGNA	X	7-15-03					
	MICROSOFT		7-11-03					
	WALT DISNEY	X	7-09-03					
	LOCKHEED MARTIN		7-09-03					
	CIT GROUP	X	7-08-03					
	KIMBERLY CLARK	X	7-08-03					
	MORGAN STANLEY		7-08-03					

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3625

SCHEDULE IV — TRANSACTIONS

Name: THOMAS OSBORNE

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock		10-12-03	X				
	BANK OF AMERICA		6-27-03					
	ELI LILLY	X	6-27-03					
	PNC FINANCIAL SERVICES		6-27-03					
	HONEYWELL INTL	X	6-26-03					
	RAYTHEON CO	X	6-20-03					
	COOPER INDUSTRIES		6-19-03					
	PROCTOR GAMBLE		6-19-03					
	ELI LILLY	X	6-18-03					
	MOTOROLA	X	6-18-03					
	INTERNATIONAL PAPER	X	6-12-03					
	BURLINGTON RESOURCES	X	6-11-03					
	XL CAPITAL LTD	X	6-11-03					
	JOHN HANCOCK FINANCIAL SERVICES		6-9-03					
	PROCTOR GAMBLE		6-06-03					
	EL PASO CORP	X	6-05-03					
	HONEYWELL INTL	X	6-05-03					

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Name THOMAS OSBORNE | Page 10 of 61

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001-$50,000	E $100,001-$250,000	G $500,001-$1,000,000	I $5,000,001-$25,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock		10-12-03	X				
	IBM	X	6-04-03					
	CIGNA	X	6-02-03					
	BOEING POINT	X	5-30-03					
	H J HEINZ	X	5-28-03					
	NORTHRUP GRUMMAN		5-28-03					
	RAYTHEON	X	5-28-03					
	UNOCAL		5-27-03					
	WISCONSIN ENERGY		5-27-03					
	BANK OF NEW YORK		5-23-03					
	CSX CORP	X	5-23-03					
	HONEYWELL INTL		5-21-03					
	MC DONALDS	X	5-21-03					
	PFIZER		5-21-03					
	BRISTOL MYERS SQUIBB	X	5-19-03					
	ELI LILLY	X	5-19-03					
	ALLEGHENY ENERGY	X	5-13-03					

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3627

SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001 – $50,000	E $100,001 – $250,000	G $500,001 – $1,000,000	I $5,000,001 – $25,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock		10-12-03	X				
	WILLIAMS COS	X	5-12-03					
	NORTHRUP GRUMMAN		5-08-03					
	AON CORP	X	4-30-03					
	BOEINGPOINT	X	4-30-03					
	MOTOROLA		4-30-03					
	UNOCAL		4-30-03					
	BRISTOL MYERS SQUIBB	X	4-28-03					
	UNUMPROVIDENT CORP	X	4-28-03					
	DOBBS & CO	X	4-25-03					
	NOVS CORP LTD		4-25-03					
	SARA LEE		4-25-03					
	AON CORP	X	4-22-03					
	FLEETBOSTON FINANCIAL		4-17-03					
	SBC COMMUNICATIONS		4-17-03					
	WILLIAMS COS	X	4-17-03					
	JP MORGAN CHASE	X	4-16-03					

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3628

SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE | Page 12 of 13

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SP	*Example:* Mega Corporation Common Stock		10–12–03	X				
	TEXTRON		4·16·03					
	SBC COMMUNICATIONS		4·15·03					
	CIGNA	X	4·14·03					
	McDONNELL	X	4·10·03					
	ALTRIA GROUP	X	4·04·03					
	DEERE & Co		4·04·03					
	DTE ENERGY	X	4·04·03					
	FPL GROUP		4·04·03					
	KRAFT FOODS	X	4·04·03					
	FIRST DATA		4·04·03					
	PEPSICO		4·04·03					
	AON CORP	X	4·03·03					
	RELIANT RESOURCES	X	4·01·03					
	HEWLETT PACKARD	X	3·25·03					
	ACCENTURE	X	3·25·03					
	KRAFT FOODS		3·24·03					

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SCHEDULE IV — TRANSACTIONS

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SP	Example: Mega Corporation Common Stock		10-12-03	X				
	International Paper		3-21-03					
	Reliant Resources	X	3-21-03					
	Sprint PCS	X	3-21-03					
	Textron		3-20-03					
	Alcan Inc		3-19-03					
	Bank of America		3-19-03					
	Chevron Texaco		3-19-03					
	Du Pont De Nemours		3-19-03					
	Exxon Mobil		3-19-03					
	Citigroup		3-19-03					
	IBM	X	3-19-03					
	Raytheon		3-19-03					
	Altria Group		3-13-03					
	American Intl Group		3-13-03					
	Tyco Intl	X	3-13-03					
	Aon Corp	X	3-12-03					

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SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE

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SP	Example: Mega Corporation Common Stock		10-12-03	X				
	DEERE & Co		3-11-03					
	DUPONT DE NEMOURS		3-11-03					
	UNUMPROVIDENT CORP	X	3-11-03					
	ALLEGHENY ENERGY	X	3-10-03					
	EXXON MOBIL		3-10-03					
	CITIGROUP		3-10-03					
	RAYTHEON		3-6-03					
	ARCHER DANIELS MIDLAND		3-03-03					
	SCANA CORP	X	2-26-03					
	AON CORP	X	2-25-03					
	BURLINGTON RESOURCES	X	2-25-03					
	DOW CHEMICAL	X	2-25-03					
	PHARMACIA	X	2-25-03					
	PFIZER		2-25-03					
	SCANA CORP	X	2-24-03					
	CIGNA		2-21-03					

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3631

SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE

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SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	DTE Energy	X	2-21-03					
	FPL Group	X	2-21-03					
	John Hancock Financial Services		2-21-03					
	Raytheon	X	2-21-03					
	Home Depot		2-20-03					
	Bristol Myers Squibb	X	2-19-03					
	Tyco		2-14-03					
	Burlington Resources	X	2-11-03					
	Unumprovident Corp		2-07-03					
	McDonalds		2-06-03					
	Unocal	X	1-31-03					
	Burlington Resources	X	1-23-03					
	Bellsouth		1-06-03					
	JP Morgan Chase		1-06-03					
	Verizon Communications		1-09-03					
	Pixar	X	11-26-03					

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SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001-$50,000	E $100,001-$250,000	G $500,001-$1,000,000	I $5,000,001-$25,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock		10-12-03	X				
	STARBUCKS		10-14-03					
	SIERRA SYSTEMS	X	9-26-03					
	QUALCOMM	X	8-29-03					
	PROGRESSIVE CORP OHIO	X	8-27-03					
	HARLEY DAVIDSON		8-12-03					
	CHARLES SCHWAB		8-08-03					
	GENETECH	X	8-06-03					
	AFLAC	X	8-06-03					
	DELL	X	8-05-03					
	CHARLES SCHWAB		8-05-03					
	EBAY		8-04-03					
	MICROSOFT	X	8-04-03					
	MEDIMMUNE		7-31-03					
	SEEBEL SYSTEMS	X	7-25-03					
	HARLEY DAVIDSON		7-22-03					
	MEDIMMUNE		7-15-03					

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3633

SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE

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SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	PROGRESSIVE CORP OHIO	X	7-08-03					
	MICROSOFT	X	5-19-03					
	VIACOM CLASS B		5-19-03					
	AMAZON. COM		5-14-03					
	QUALCOMM	X	5-13-03					
	PFIZER	X	5-9-03					
	GENOTECH	X	5-08-03					
	WALGREN		5-07-03					
	WALGREN		5-06-03					
	GENETECH	X	5-05-03					
	PFIZER	X	5-05-03					
	AMAZON.COM		5-02-03					
	PFIZER	X	5-02-03					
	ELI LILLY		4-24-03					
	YAHOO		4-17-03					
	BIOGEN	X	4-04-03					

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SCHEDULE IV — TRANSACTIONS

Name: THOMAS, CLARENCE | Page 14 of 61

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001 - $50,000	E $100,001 - $250,000	G $500,001 - $1,000,000	I $5,000,001 - $25,000,000	K Over $50,000,000
SP	*Example.* Mega Corporation Common Stock		10-12-03	X				
	CISCO SYSTEMS	X	3-27-03					
	E-BAY		3-27-03					
	MEDIMMUNE		3-27-03					
	PAYCHEX	X	3-27-03					
	PFIZER	X	3-27-03					
	QUALCOMM	X	3-27-03					
	YAHOO		3-27-03					
	BIOGEN	X	3-27-03					
	BIOGEN	X	3-17-03					
	MAXIM INTEGRATED PRODUCTS	X	2-19-03					
	AMAZON.COM		2-13-03					
	WYNDSTON		1-28-03					
	AMAZON.COM		1-27-03					
	JANUS CAPITAL GROUP	X	1-29-03					
	MEDIMMUNE		1-24-03					
	HOME DEPOT	X	1-22-03					

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SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE | Page 13 of 61

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SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10–12–03	X				
	MICROSOFT	X	1·17·03					
	APPLIED MATERIAL	X	1·07·03					
	ELI LILLY		1·07·03					
	NETWORK APPLIANCE		1·07·03					
	WALMART STORES	X	1·02·03					
	COACH		12·31·03					
	FOUNDRY NETWORKS		12·29·03					
	FAIRCHILD SEMICONDUCTOR		12·23·03					
	CORNING	X	12·29·03					
	CIRCUIT CITY STORES	X	12·17·03					
	CYPRESS SEMICONDUCTOR		12·16·03					
	SYMBOL TECHNOLOGIES		12·15·03					
	WILLIAMS SONOMA	X	12·15·03					
	INFINEON TECHNOLOGIES	X	12·12·03					
	FOUNDRY NETWORKS		12·11·03					
	HASBRO	X	12·11·03					

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3636

SCHEDULE IV — TRANSACTIONS

Name: THOMAS OSBORNE

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SP	Example: Mega Corporation Common Stock		10–12–03	X				
	INFINEON TECHNOLOGIES	X	12-10-03					
	TERADYNE		12-10-03					
	PETSMART	X	12-09-03					
	WATSON PHARMACEUTICAL		12-09-03					
	FOUNDRY NETWORKS		12-03-03					
	PETSMART	X	12-08-03					
	SANMINA - SCI	X	12-05-03					
	FAIRCHILD SEMICONDUCTOR		12-04-03					
	FAIRCHILD SEMICONDUCTOR		12-01-03					
	TERADYNE		12-01-03					
	APPLE COMPUTER	X	11-25-03					
	WATSON PHARMACEUTICAL		11-20-03					
	APPLE COMPUTER	X	11-19-03					
	CYPRESS SEMICONDUCTOR		11-18-03					
	SPX CORPORATION		11-18-03					
	PACCAR		11-17-03					

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SCHEDULE IV — TRANSACTIONS

Name: THOMAS OSBORNE

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SP	*Example:* Mega Corporation Common Stock		10–12–03	X				
	MEDIMMUNE	X	11·13·03					
	MANOR CARE		11·12·03					
	DOLLAR TREE STORES	X	11·12·03					
	DOLLAR TREE STORES	X	11·11·03					
	ROHM HAAS		11·06·03					
	STARWOOD HOTELS AND RESORTS	X	11·04·03					
	ROHM HAAS		11·03·03					
	FISERV	X	10·27·03					
	ADOBE SYSTEMS		10·24·03					
	BEAR CORP		10·24·03					
	JABIL CIRCUIT		10·24·03					
	CDW CORP		10·24·03					
	CIRCUIT CITY STORES		10·24·03					
	CORNING		10·24·03					
	CONVERSE TECHNOLOGY		10·24·03					
	ADOLPH COORS		10·24·03					

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3638

SCHEDULE IV — TRANSACTIONS

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SP	Example: Mega Corporation Common Stock		10–12–03	X				
	INGERSOLL RAND		10·24·03					
	INFINEON TECHNOLOGIES		10·24·03					
	MANPOWER INC		10·24·03					
	MOHAWK INDUSTRIES		10·24·03					
	NETWORK APPLIANCE		10·24·03					
	DOLLAR TREE STORES		10·24·03					
	NEW YORK COMMUNITY BANCORP		10·24·03					
	PACCAR		10·24·03					
	SYMANTEC		10·24·03					
	STARWOOD HOTELS AND RESORTS		10·24·03					
	TJX COS		10·24·03					
	VERISIGN		10·24·03					
	STARBUCKS		10·24·03					
	MONSTER WORLDWIDE	X	10·22·03					
	CHIRON	X	10·21·03					
	IVAX CORP	X	10·17·03					

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3639

SCHEDULE IV — TRANSACTIONS

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SP	*Example:* Mega Corporation Common Stock		10–12–03	X				
	IVAX CORP	X	10·16·03					
	CHIRON	X	10·15·03					
	ADOLPH COORS		10·15·03					
	CORNING		10·14·03					
	NETWORK APPLIANCE	X	10·14·03					
	NEW YORK COMMUNITY BANCORP	X	10·14·03					
	BELO CORP		10·13·03					
	PMC - SIERRA		10·13·03					
	BELO CORP		10·09·03					
	COOPER CAMERON	X	10·09·03					
	RF MICRODEVICES		10·09·03					
	APPLE COMPUTER		10·08·03					
	FISERV	X	10·08·03					
	DOLLAR TREE STORES	X	10·08·03					
	APPLE COMPUTER		10·06·03					
	COMVERSE TECHNOLOGY		10·06·03					

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SCHEDULE IV — TRANSACTIONS

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SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	MEDIMMUNE	X	10·06·03					
	ALTERA CORP	X	10·03·03					
	PHARMACEUTICAL RESOURCES		10·03·03					
	SOFTWOOD HOTELS AND RESORTS		10·02·03					
	HASBRO		10·01·03					
	INTERSIL	X	10·01·03					
	KLA - TENCOR	X	9·30·03					
	MANOR CARE		9·30·03					
	KLA - TENCOR	X	9·30·03					
	MANOR CARE		9·30·03					
	BECTON DICKINSON	X	9·29·03					
	BED BATH & BEYOND	X	9·29·03					
	ZIMMER HOLDINGS		9·29·03					
	NATIONAL SEMICONDUCTOR	X	9·26·03					
	MANOR CARE		9·26·03					
	ADOBE SYSTEMS		9·25·03					

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SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	JABIL CIRCUIT	X	9-25-03					
	JAMES CAPITAL	X	9-25-03					
	STAPLES		9-25-03					
	SYMBOLICS		9-25-03					
	CDW CORP		9-24-03					
	PROVIDIAN FINANCIAL		9-24-03					
	SYMANTEC		9-24-03					
	ALTERA CORP	X	9-23-03					
	COCA COLA ENTERPRISES		9-23-03					
	NATIONAL SEMICONDUCTOR	X	9-23-03					
	BROADCOM	X	9-23-03					
	COCA COLA ENTERPRISES		9-22-03					
	INTERSIL	X	9-22-03					
	MONSTER WORLDWIDE		9-22-03					
	MOHAWK INDUSTRIES		9-19-03					
	ITT INDUSTRIES	X	9-18-03					

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SCHEDULE IV — TRANSACTIONS

Name: THOMAS COBCRINE

Page 24 of 41

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SP	Example: Mega Corporation Common Stock		10-12-03	X				
	PETSMART		9-17-03					
	CIRCUIT CITY		9-16-03					
	LAMAR ADVERTISING	X	9-16-03					
	NOBLE CORP	X	9-16-03					
	LAMAR ADVERTISING	X	9-15-03					
	MONSTER WORLDWIDE		9-15-03					
	VERISIGN		9-15-03					
	WELLPOINT HEALTH NETWORKS	X	9-15-03					
	UNIVISION COMMUNICATIONS	X	9-11-03					
	CHIRON CORP		9-10-03					
	VERISIGN		9-10-03					
	PALL CO		9-03-03					
	AMERISOURCEBERGEN	X	9-02-03					
	INFINEON TECHNOLOGIES		8-26-03					
	ALTERA CORP	X	8-25-03					
	WILLIAMS SONOMA		8-25-03					

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30-43

SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE

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SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	CHIRON CORP		8·22·03					
	TJX COS		8·22·03					
	BEST BUY	X	8·21·03					
	PACCAR		8·21·03					
	OFFICE DEPOT		8·20·03					
	ESTEE LAUDER	X	8·19·03					
	INGERSOLL RAND		8·18·03					
	NVIDIA	X	8·18·03					
	EMULEX CORP	X	8·14·03					
	BARR LABORATORIES		8·13·03					
	IVAX CORP		8·13·03					
	STAPLES	X	8·12·03					
	CHARTER ONE FINANCIAL	X	8·11·03					
	SANUS CAPITAL		8·11·03					
	CHARTER ONE FINANCIAL	X	8·07·03					
	NEW YORK COMMUNITY BANCORP		8·07·03					

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SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE | Page 28 of 61

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SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock		10–12–03	X				
	SANMINA - SCI		8-06-03					
	STRYKER CORP	X	8-06-03					
	FISERV		8-05-03					
	BROADCOM		8-05-03					
	BED BATH & BEYOND		8-04-03					
	DOW JONES & CO	X	8-01-03					
	MEDIMMUNE		8-01-03					
	WILLIAMS SONOMA		8-01-03					
	YUM BRANDS		7-28-03					
	WEIGHT WATCHERS INTL	X	7-22-03					
	JANUS CAPITAL GROUP		7-21-03					
	GILEAD SCIENCES	X	7-18-03					
	BARR LABORATORIES		7-17-03					
	ECOLAB	X	7-17-03					
	INFINEON TECHNOLOGIES		7-17-03					
	ALTERA	X	7-16-03					

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3645

SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE | Page 29 of 61

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SP, DC, JT	Asset	Type of Transaction: Sale	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock		10-12-03	X				
	INFINEON TECHNOLOGIES		7-16-03					
	DOLLAR TREE STORES		7-14-03					
	YUM BRANDS		7-3-03					
	BARR LABORATORIES		6-30-03					
	IVAX CORP		6-26-03					
	JANUS CAPITAL GROUP		6-25-03					
	NETWORK APPLIANCE	X	6-25-03					
	WILLIAMS SONOMA		6-25-03					
	NATIONAL SEMICONDUCTOR		6-24-03					
	BROADCOM	X	6-24-03					
	PROVIDIAN FINANCIAL		6-24-03					
	WILLIAMS SONOMA		6-24-03					
	BEA SYSTEMS	X	6-19-03					
	NATIONAL SEMICONDUCTOR		6-19-03					
	SAFECO	X	6-19-03					
	BEA SYSTEMS	X	6-18-03					

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3646

SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE | Page 30 of 41

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SP	Example: Mega Corporation Common Stock		10-12-03	X				
	SAFECO CORP	X	6-17-03					
	FISERV		6-16-03					
	BIOVAIL	X	6-13-03					
	DOLLAR TREE STORES		6-13-03					
	COOPER CAMERON		6-09-03					
	PEOPLESOFT	X	6-06-03					
	SANMINA-SCI		6-05-03					
	PROVIDIAN FINANCIAL		6-04-03					
	YAHOO	X	6-03-03					
	BIOVAIL	X	6-02-03					
	PACTIV	X	6-02-03					
	ITT INDUSTRIES		5-30-03					
	NVIDIA		5-20-03					
	NATIONAL SEMICONDUCTOR		5-14-03					
	NEWELL RUBBERMAID	X	5-14-03					
	CLOROX	X	5-12-03					

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3647

SCHEDULE IV — TRANSACTIONS

Name: THOMAS OSBORNE

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SP	*Example:* Mega Corporation Common Stock		10–12–03	X				
	INTERSIL		5·07·03					
	AMAZON.COM	X	5·01·03					
	COOPER CAMERON		5·01·03					
	INTERSIL		5·01·03					
	STRYKER		5·01·03					
	YAHOO	X	5·01·03					
	WHOLE FOODS MARKET	X	4·17·03					
	DOW JONES & CO		4·16·03					
	NEW YORK COMMUNITY BANCORP		4·16·03					
	KLA - TENCOR		4·10·03					
	PEPSI BOTTLING GROUP	X	4·10·03					
	ALLERGAN	X	4·04·03					
	NEW YORK COMMUNITY BANCORP		4·04·03					
	BEST BUY		4·03·03					
	DOW JONES & CO		4·03·03					
	BEXTON DICKINSON		4·01·03					

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SCHEDULE IV — TRANSACTIONS

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SP	Example: Mega Corporation Common Stock		10–12–03	X				
	STAPLES	X	3-25-03					
	YAHOO	X	3-25-03					
	NOBLE CORP		3-24-03					
	PACTIV	X	3-24-03					
	INTUIT	X	3-21-03					
	BIOVAIL	X	3-20-03					
	BALL CORP	X	3-20-03					
	NETWORK APPLIANCE		3-20-03					
	BEST BUY		3-11-03					
	MEDIMMUNE		2-25-03					
	BECTON DICKINSON		2-24-03					
	BECTON DICKINSON		2-20-03					
	UNIVERSAL HEALTH SERVICES	X	2-20-03					
	STRYKER		2-18-03					
	CONCORD EFS	X	2-13-03					
	ROYAL CARIBBEAN CRUISES	X	2-13-03					

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3649

SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE | Page 33 of 61

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SP	Example: Mega Corporation Common Stock		10–12–03	X				
	COOPER CAMERON		2-11-03					
	AFFILIATED COMPUTER SERVICES	X	2-5-03					
	MEDIMMUNE		1-27-03					
	ESTEE LAUDER		1-24-03					
	AUTOZONE	X	1-22-03					
	MEDIMMUNE		1-22-03					
	EMULEX CORP		1-16-03					
	WEIGHT WATCHERS		1-14-03					
	BROADCOM		1-10-03					
	CLOROX	X	1-09-03					
	ELECTRONIC ARTS	X	1-09-03					
	AFFILIATED COMPUTER SERVICES	X	1-07-03					
	YAHOO		1-07-03					
	OBS MG FUND		2-27-03		X			
	COHEN & STERS ADV INCOME REALTY	X	2-27-03	X				
	COHEN & STERS ADV INCOME REALTY	X	9-18-03	X				

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3650

SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE

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SP	*Example:* Mega Corporation Common Stock		10–12–03	X				
	HERITAGE PROPERTY REIT	X	9-10-03					
	CORPORATE OFFICE PPTYS SBI	X	9-10-03					
	PS BUSINESS PARKS	X	9-10-03					
	SUMMIT PROPERTIES INC	X	9-10-03					
	AMB PPTY CORP		9-09-03					
	ARCHSTONE-SMITH SBI	X	9-09-03					
	BOSTON PROPERTIES		9-09-03					
	BROOKFIELD PROPERTIES		9-09-03					
	CATELLUS DEVEL CORP		9-09-03					
	CHELSEA PPTY GROUP		9-09-03					
	COUSINS PPTY	X	9-09-03					
	EQUITY OFFICE PPTY SBI		9-09-03					
	DUKE REALTY REITS		9-09-03					
	DEVELOPERS DIVERSIFIED CORP		9-09-03					
	EQUITY RES SBI		9-09-03					
	FEDERAL RLTY INV	X	9-09-03					

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SCHEDULE IV — TRANSACTIONS

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SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	BOSTON PPTY		3-06-03					
	CARRAMERICA REALTY	X	3-06-03					
	CORPORATE OFFICE PPTYS		3-06-03					
	CATELLUS DEVEL CORP		3-06-03					
	CHELSEA PPTY GROUP	X	3-06-03					
	CHATEAU PPTY		3-06-03					
	COUSINS PPTY		3-06-03					
	CRESCENT REAL ESTATE	X	3-06-03					
	DUKE REALTY	X	3-06-03					
	EQUITY RESIDENTIAL	X	3-06-03					
	ENTERTAINMENT PROP	X	3-06-03					
	HOST MARRIOTT CORP		3-06-03					
	HOSPITALITY PPTY TRST	X	3-06-03					
	KILROY REALTY	X	3-06-03					
	KIMCO REALTY		3-06-03					
	LIBERTY PPTY TRUST		3-06-03					

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SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	LEXINGTON CORPORATE PPTY		5-28-03					
	LIBERTY PPTY TRST		5-28-03					
	HEALTHCARE REALTY		5-28-03					
	MACK-CALI REALTY	X	5-28-03					
	PUBLIC STORAGE	X	5-28-03					
	PROLOGIS SBI		5-28-03					
	SIMON PPTY SBI		5-28-03					
	SENIOR HOUSING	X	5-28-03					
	STARWOOD HOTELS		5-28-03					
	SUMMIT PROPERTIES		5-28-03					
	URSTADT BIDDLE		5-28-03					
	YORNADO REALTY	X	5-28-03					
	PUBLIC STORAGE	X	3-10-03					
	SENIOR HOUSING	X	3-10-03					
	AMB PPTY	X	3-06-03					
	HERITAGE PPTY REITS		3-06-03					

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3653

SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE

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SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	SUMMIT PPTY		5-28-03					
	URSTADT BIDDLE PPTY		5-28-03					
	VORNADO REALTY	X	5-28-03					
	APARTMENT INVT & MGMT	X	5-28-03					
	APARTMENT INVT & MGMT	X	5-28-03					
	AMB PPTY CORP	X	5-28-03					
	APARTMENT INVT & MGMT	X	5-28-03					
	HERITAGE PPTY REITS	X	5-28-03					
	ARCHSTONE-SMITH TRUST		5-28-03					
	BOSTON PROPERTIES	X	5-28-03					
	CATELLUS DEVEL CORP		5-28-03					
	COUSINS PPTY		5-28-03					
	HOSPITALITY PPTY	X	5-28-03					
	I STAR FINANCIAL		5-28-03					
	KILROY REALTY		5-28-03					
	KIMCO REALTY	X	5-28-03					

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SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	BOSTON PROPERTIES	X	5-28-03					
	CATELLUS DEVEL CORP		5-28-03					
	COUSINS PPTY		5-28-03					
	HOSPITALITY PROPERTIES TRST	X	5-28-03					
	I STAR FINANCIAL		5-28-03					
	KILROY REALTY		5-28-03					
	KIMCO REALTY	X	5-28-03					
	LEXINGTON CORP PPTY SBI		5-28-03					
	LIBERTY PROPERTY TRUST		5-28-03					
	HEALTHCARE REALTY INC		5-28-03					
	MACK-CALI REALTY CORP	X	5-28-03					
	PUBLIC STORAGE INC		5-28-03					
	PROLOGIS SBI		5-28-03					
	SIMON PPTY GROU		5-28-03					
	SENIOR HOUSING	X	5-28-03					
	STARWOOD HOTELS		5-28-03					

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3655

SCHEDULE IV — TRANSACTIONS

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SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	HOST MARRIOTT CORP		9-09-03					
	ISTAR FINANCIAL	X	9-09-03					
	KIMCO REALTY	X	9-09-03					
	LEXINGTON CORPORATE PPTY	X	9-09-03					
	HEALTHCARE REALTY	X	9-09-03					
	PUBLIC STORAGE INC	X	9-09-03					
	REGENCY CENTERS CORP	X	9-09-03					
	SUN COMMUNITIES INC		9-09-03					
	SHURGARD STORAGE CL A		9-09-03					
	TAUBMAN CENTERS INC		9-09-03					
	VORNADO REALTY TRST	X	9-09-03					
	CHATEAU COMMUNITIES INC	X	6-27-03					
	AMB PPTY	X	5-28-03					
	APARTMENT INVT & MGMT CL A	X	5-28-03					
	HERITAGE PROP INVEST TRUST REIT	X	5-28-03					
	ARCHSTONE-SMITH TRST SBI		5-28-03					

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SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	MACK-CALI REALTY		3-06-03					
	MACERICH COMP		3-06-03					
	PAN PAC PPTY	X	3-06-03					
	PROLOGIS SBT		3-06-03					
	PLUM CREEK TIMBER		3-06-03					
	PRENTIS PPTY		3-06-03					
	PS BUSINESS PARKS		3-06-03					
	REGENCY CENTERS		3-06-03					
	ROUSE CO.		3-06-03					
	SUN COMMUNITIES	X	3-06-03					
	SUMMIT PPTY	X	3-06-03					
	TRIZEC PPTY	X	3-06-03					
	URSTADT BIDDLE	X	3-06-03					
	VORNADO REALTY		3-06-03					
	BROOKFIELD HOMES	X	1-15-03					

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SCHEDULE IV — TRANSACTIONS

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SP	*Example:* Mega Corporation Common Stock		10–12–03	X				
	SAFEWAY INC	X	12-30-03					
	HASBRO	X	12-18-03					
	CERTEGY		12-15-03					
	TOYS R US	X	12-15-03					
	HASBRO	X	12-09-03					
	BANKNORTH		12-02-03					
	SAFEWAY	X	11-28-03					
	SAFEWAY	X	11-28-03					
	TOYS R US	X	11-25-03					
	TOYS R US	X	11-25-03					
	HASBRO	X	11-03-03					
	KROGER CO.	X	10-31-03					
	KROGER CO.	X	10-30-03					
	KROGER CO.	X	10-30-03					
	KROGER CO.	X	10-30-03					
	BANK NORTH GROUP		10-28-03					

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3658

SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE | Page 4/20 61

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SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	TOYS R US	X	10-28-03					
	MBNA		9-30-03					
	APOLGENT TECH		9-29-03					
	EQUIFAX		9-29-03					
	APOGENT TECH		9-29-03					
	EQUIFAX		9-29-03					
	CEDANT CORP.		9-24-03					
	CENTURYTEL INC.		9-23-03					
	HASBRO	X	9-03-03					
	TOYS R US	X	9-03-03					
	HASBRO	X	9-02-03					
	TOYS R US	X	9-02-03					
	OMNICARE		8-26-03					
	TIFFANY & CO	X	8-18-03					
	TIFFANY & CO	X	8-13-03					
	TOYS R US	X	7-29-03					

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SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock			10-12-03	X				
	HASBRO		X	7-22-03					
	MBIA			7-22-03					
	PITTNEY BOWES			7-14-03					
	BAXTER			7-11-03					
	ST. PAUL CO.			7-10-03					
	H&R BLOCK		X	7-09-03					
	BAXTER			6-23-03					
	ST. PAUL CO.			6-10-03					
	JONES APPAREL		X	6-06-03					
	OMNICARE			6-06-03					
	BAXTER			5-16-03					
	BAXTER			5-02-03					
	MBNA		X	5-02-03					
	SAFEWAY		X	5-02-03					
	EQUIFAX		X	4-29-03					
	EQUIFAX		X	4-29-03					

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SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE

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SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	BLACK & DECKER	X	4-23-03					
	YUM ! BRANDS		4-23-03					
	BAXTER		4-22-03					
	KROGER	X	4-22-03					
	CENDANT	X	4-21-03					
	HASBRO	X	4-21-03					
	DUN & BRADSTREET		4-15-03					
	EQUIFAX	X	4-09-03					
	ST PAUL CO.		3-19-03					
	IMS HEALTH		3-18-03					
	NTHN TRUST		3-18-03					
	BLACK & DECKER	X	3-17-03					
	CENDANT CORP	X	3-13-03					
	INTERPUBLIC GROUP		3-13-03					
	ACCENTURE		3-13-03					
	FORTUNE BRANDS	X	3-12-03					

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SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE

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SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	MBIA		3-12-03					
	MCDONALDS	X	3-12-03					
	ST PAUL CO.		3-10-03					
	ENERGIZER HOLDINGS	X	3-05-03					
	INTERPUBLIC GROUP		3-05-03					
	ST PAUL COMP		3-03-03					
	ACCENTURE		2-28-03					
	ACCENTURE		2-28-03					
	APOGENT TECH	X	2-27-03					
	BLACK & DECKER	X	2-27-05					
	CENDANT CORP	X	2-27-03					
	TRIBUNE	X	2-27-03					
	APOGENT TECH	X	2-27-03					
	BLACK & DECKER	X	2-27-03					
	CENDANT CORP	X	2-27-03					
	TRIBUNE CO	X	2-27-03					

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3662

SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE

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SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001-$50,000	E $100,001-$250,000	G $500,001-$1,000,000	I $5,000,001-$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	JANUS CAPITAL		2-26-03					
	LEGGETT & PLATT	X	2-26-03					
	JANUS CAPITAL		2-26-03					
	LEGGETT & PLATT	X	2-26-03					
	ENERGIZER	X	2-24-03					
	MC DONALDS		2-18-03					
	TOYS R US		2-14-03					
	COLDROX	X	2-12-03					
	LEGGETT & PLATT	X	2-10-03					
	OMNICARE		2-10-03					
	CERTEGY INC	X	1-27-03					
	PITNEY BOWES		1-27-03					
	CARNIVAL CORP		1-24-03					
	MC DONALDS		1-24-03					
	ROUSE	X	1-23-03					
	BAUSCH & LOMB	X	1-22-03					

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SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE

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SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10–12–03	X				
	SAFEWAY		1-22-03					
	AVERY DENNISON	X	1-17-03					
	TOYS R US		1-17-03					
	CENDANT		1-16-03					
	CEN. TURYTEL	X	1-16-03					
	KROGER		1-16-03					
	YUM! BRANDS		1-16-03					
	MBNA	X	1-15-03					
	McCORMICK	X	1-15-03					
	IMS HEALTH		1-07-03					
	McCORMICK	X	1-06-03					
	CENTURYTEL	X	1-02-03					
	YUM! BRANDS		1-02-03					
	AES CORP	X	6-24-03					
	AMERICAN FUNDS SMALL CAP WORLD	X	6-24-03					

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3664

SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE

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SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	SEVEN ELEVEN		11-26-03					
	SEVEN ELEVEN		11-26-03					
	SEVEN ELEVEN		11-24-03					
	SEVEN ELEVEN		11-21-03					
	DIAGEO	X	10-02-03					
	CRH		08-20-03					
	SWISS REINS		08-20-03					
	CREDIT SUISSE		07-30-03					
	ZURICH FINANCIAL		07-30-03					
	CREDIT SUISSE		07-30-03					
	ZURICH FINANCIAL		07-30-03					
	HSBC HOLDINGS		07-15-03					
	KOMATSU		07-15-03					
	SANOFI-SYNTHELABO		05-12-03					
	DIAGEO		03-28-03					
	TELEFONICA		03-28-03					

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SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	DIAGEO		03-28-03					
	TELEFONICA		03-28-03					
	AMVESCAP		03-24-03					
	MITSUBISHI	X	03-24-03					
	SANOFI-SYNTHELABO		03-24-03					
	SAP AG		03-12-03					
	VOLKSWAGEN		03-12-03					
	VOLKSWAGEN		01-30-03					
	VODAFONE	X	01-30-03					
	ROCHE HOLDING		01-30-03					
	VOLKSWAGEN		01-30-03					
	VODAFONE	X	01-30-03					
	ROCHE HOLDING		01-30-03					
	HEINZ	X	06-20-03					
	BECTON DICKINSON		03-21-03					
	CALPINE	X	02-11-03					

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3666

SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE | Page 2 of 11

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001-$50,000	E $100,001-$250,000	G $500,001-$1,000,000	I $5,000,001-$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	JOHNSON & JOHNSON	X	01-29-03					
	SPX CORP		12-30-03					
	WILLIAMS SONOMA	X	12-05-03					
	NEW YORK CMNTY	X	12-04-03					
	PMC - SIERRA		10-31-03					
	COMVERSE		10-30-03					
	MOHAWK		10-29-03					
	PHARMACEUTICAL RESOURCES		10-29-03					
	APPLE COMPUTER		10-24-03					
	BARR LAB		10-24-03					
	COCA COLA		10-24-03					
	HASBRO		10-24-03					
	MANOR CARE		10-24-03					
	PHARMACEUTICAL RESOURCES		10-24-03					
	PETSMART		10-24-03					
	PROVIDIAN		10-24-03					

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3667

SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE

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SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10–12–03	X				
	SANMINA-SCI		10-24-03					
	PMC-SIERRA		10-24-03					
	STAPLES		10-24-03					
	RF MICRO DEVICES		10-24-03					
	WILLIAMS SONOMA		10-24-03					
	ZIMMER		10-24-03					
	COCA COLA		10-14-03					
	CDW CORP		10-13-03					
	OFFICE DEPOT	X	09-17-03					
	CORNING		09-17-03					
	DOLLAR TREE		07-31-03					
	DOW JONES	X	07-30-03					
	BROADCOM		07-24-03					
	BALL CORP	X	07-11-03					
	LAUDER ESTEE		06-24-03					
	NOBLE		05-02-03					

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SP, DC, JT	Asset	Type of Transaction: Purchase	Type of Transaction: SALE	Type of Transaction: Exchange	Date (MO/DAY/YR)	Amount of Transaction: A	C $15,001–$50,000	E $100,001–$250,000	F	G $500,001–$1,000,000	H	I $5,000,001–$25,000,000	J	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10–12–03		X							
	PEOPLESOFT				05-02-03									
	AMAZON		X		03-18-03									
	BROADCOM				02-25-03									
	MICHAELS		X		02-21-03									
	BROADCOM				02-06-03									
	VODAFONE		X		11-26-03									
	VODAFONE		X		11-26-03									
	FLEXTRONICS		X		11-18-03									
	LOREAL				11-18-03									
	SAP AG		X		11-18-03									
	NOKIA		X		11-18-03									
	SANPAOLO				11-18-03									
	ASAHI GLASS				10-02-03									
	ENCANA		X		10-02-03									
	TELEFONICA		X		10-02-03									
	TAIWAN SEMI				10-02-03									

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SCHEDULE IV — TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10–12–03	X				
	NOMURA		08-20-03					
	NOMURA		08-22-03					
	SONY	X	08-22-03					
	ADVANTEST	X	08-20-03					
	ASTRAZENECA		08-20-03					
	ENCANA	X	08-20-03					
	COMPASS		08-20-03					
	SAP		08-20-03					
	PRECISION	X	08-20-03					
	STORA ENSO		08-20-03					
	TELEFONICA	X	08-20-03					
	TAIWAN		08-20-03					
	VOLKSWAGEN		08-20-03					
	ROCHE HOLDING		08-20-03					
	BNP PARIBAS		07-30-03					
	BNP PARIBAS		07-30-03					

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SCHEDULE IV — TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10–12–03	X				
	AMVESCAP	X	07-29-03					
	AMVESCAP	X	07-29-03					
	BAE SYSTEMS	X	07-15-03					
	BHP BILLITON	X	07-15-03					
	CELESTICA	X	07-15-03					
	LLOYDS	X	07-15-03					
	PRECISION	X	07-15-03					
	SHARP		07-15-03					
	SIEMENS		07-15-03					
	TAIWAN SEMI		07-15-03					
	ZURICH		07-15-03					
	LVMH MOET		05-13-03					
	BRITISH SKY		05-12-03					
	CANON	X	05-12-03					
	MITSUBISHI	X	05-12-03					
	NESTLE	X	05-12-03					

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Name THOMAS OSBORNE

SCHEDULE IV — TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10–12–03	X				
	LLOYDS	X	03-24-03					
	LVMH MOET		03-24-03					
	ROYAL DUTCH		03-24-03					
	SHARP		03-24-03					
	SONY	X	03-24-03					
	SUEZ	X	03-24-03					
	DIAGEO		03-12-03					
	HBOS		03-12-03					
	HONDA	X	03-12-03					
	ADVANTEST	X	01-30-03					
	LM ERICSSON	X	01-30-03					
	SANOFI-SYNTHELABO		01-30-03					
	ADVANTEST	X	01-30-03					
	LM ERICSSON	X	01-30-03					
	SANOFI-SYNTHELABO		01-30-03					
	TARGET		12-30-03					

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3672

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SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	NOKIA		05-12-03					
	SHARP		05-12-03					
	WPP GROUP	X	05-12-03					
	ALLIANZ	X	04-24-03					
	BRITISH SKY		04-24-03					
	KONINKLIJKE	X	04-24-03					
	KOMATSU		04-24-03					
	SAP		04-24-03					
	WPP GROUP	X	04-09-03					
	SANOFI-SYNTHELABO		03-31-03					
	SANOFI-SYNTHELABO		03-31-03					
	NOVARTIS		03-28-03					
	NOVARTIS		03-28-03					
	GUCCI	X	03-24-03					
	ING GROEP		03-24-03					
	KONINKLIJKE	X	03-24-03					

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3673

SCHEDULE IV — TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	MICROSOFT		12-17-03					
	TRANSOCEAN	X	12-16-03					
	MBNA		11-17-03					
	TARGET		11-17-03					
	TYCO	X	11-03-03					
	HCA		10-31-03					
	HCA		10-31-03					
	TYCO	X	10-29-03					
	TYCO	X	10-29-03					
	GANNETT	X	10-17-03					
	MICROSOFT		10-17-03					
	HCA		09-11-03					
	JOHNSON & JOHNSON	X	09-19-03					
	BECTON DICKINSON		08-18-03					
	MARSH & MCLENNAN		07-29-03					
	MARSH & MCLENNAN		07-29-03					

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3674

SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE

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SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001 – $50,000	E $100,001 – $250,000	G $500,001 – $1,000,000	I $5,000,001 – $25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10 12 03	X				
	WASH MUTUAL		07-25-03					
	BANK OF AMER	X	07-07-03					
	ACE		07-07-03					
	CARDINAL HEALTH		06-24-03					
	FANNIE MAE	X	06-27-03					
	CARDINAL HEALTH		06-26-03					
	CARDINAL HEALTH		06-27-03					
	FANNIE MAE	X	06-27-03					
	CARDINAL HEALTH		06-26-03					
	DEVON ENERGY		06-13-03					
	TRANSOCEAN	X	06-13-03					
	TRANSOCEAN	X	06-12-03					
	BAXTER	X	03-21-03					
	MARSH & MCLENNAN		03-13-03					
	ANHEUSER BUSCH	X	02-18-03					
	DEL MONTE	X	01-09-03					

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3675

SCHEDULE IV — TRANSACTIONS

Name THOMAS OSBORNE

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SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock		10-12-03	X				
	Pimco Fixed Income Shares "C"	X	12-22-03					
	Pimco Fixed Income Shares "M"		12-22-03					
	US Treas Bond 10.625% 8/15/15		12-18-03					
	FNMA 5.50% 2/15/06		12-18-03					
	US Treas Note 3.25% 5/31/04		11-17-03	X				
	Fed Nat Mtg Assn 0.00% 1/09/04		10-20-03	X				
	US Treas Infl Prot Note 3.05% 7/15/12		8-19-03	X				
	US Treas Bond 5.50% 8/15/28	X	8-19-03					
	US Treas Note 4.25% 11/15/03	X	8-19-03					
	US Treas Bond 9.00% 11/15/08	X	8-19-03					
	FNMA 6.00% 5/15/08		7-15-03					
	US Treas Note 4.25% 11/15/03		7-15-03					
	US Treas Note 4.25% 11/15/03		6-25-03					
	FNMA 7.125% 2/15/05		5-08-03					
	Fed Nat Mtg Assn 0.00% 6/25/03		4-16-03					
	Fed Nat Mtg Assn 0.00% 1/16/03		1-16-03	X				

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3676

SCHEDULE IV — TRANSACTIONS

Name: THOMAS OSBORNE

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	BellSouth	X	12-15-03					
	SBC Communications	X	12-15-03					
	Bank of America		11-05-03					
	Verizon Communications	X	11-03-03					
	11.							

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3677

SCHEDULE IV — TRANSACTIONS

Name Dr. Thomas W. Osborne | Page 61 of 61

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	Real Estate Associates Limited	X	2-28-03					
	Milburn Global Opportunity Fund	X	12-31-03	X				

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Name Lt Thomas W. Ost... Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
				C $15,001–$50,000		E $100,001–$250,000		G $500,001–$1,000,000		I $5,000,001–$25,000,000		K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	None											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
None		

Use additional sheets if more space is required.

3679

Name Dr Thomas W. [illegible] Page 1 of 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Miami Fellowship of Christian Athletes (Florida)	Dec 28-29	Lincoln, NE – Miami – Lincoln, NE	Y	Y	n	n

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3680

Name	Dr. Thomas W. Osborne	Page 1 of 1

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature, and positions listed on Schedule I.

Position	Name of Organization
Limited Partner	UBS M2 Fund LLC
Board of Directors	Teammates of Nebraska
President	Coaches Direct, Inc.
Limited Partner	Century Properties Fund XIV

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

GPO: 2004 91-610 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 4:29
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED MC
(Office Use Only)

C.L. "Butch" Otter
(Full Name)

1009 Star Road
(Mailing Address)

(202) 225-6611
Daytime Telephone

Star, ID 83669

Filer Status	[X] Member of the U.S. House of Representatives — State: Idaho District: 1	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	C.L. "Butch" Otter	5/14/4

3682

Name	C.L. "Butch" Otter	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
U.S. House of Representatives	Salary	$153,131
J.R. Simplot Co.	Pension	41,584
J.R. Simplot Co. - SERP	Retirement Plan	33,647

For payments to charity in lieu of honoraria, use Schedule II.

3683

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name C.L. "Butch" Otter

BLOCK A Asset and/or Income Source	BLOCK B Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK D Transaction
	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	purchased (P) sold (S) exchanged (E)
Western Capital Associates								X											PARTNERSHIP								X				
Piper Pub & Grill Limited Partnership	X															X			PARTNERSHIP				X								S
W E Pierce Building Associates				X															PARTNERSHIP					X							
Crafton Apartments					X														PARTNERSHIP			X									
Receivable sale of Gem Star Security						X									X										X						
G O Ranches, Inc									X										S CORP					X							
Congressional Federal Credit Union				X											X							X									
Simplot Employees Credit Union				X											X							X									
Washington Trust Bank				X											X						X										
Receivable - Providence Development Group LLC							X								X												X				
Pro-Team, Inc.						X							X									X									
Residential Rental - 1911 N 34th, Boise, ID					X									X											X						
General Fire & Casualty common stock							X													X											
American International Group Inc			X										X								X										
Cisco Systems Inc.			X																	X											
Citigroup Inc			X										X								X										
Microsoft Corp.			X										X								X										
Boise City Sch Dist 8/15/2007 2 75%				X											X							X									
Wells Fargo National Tax-Free #483						X									X										X						
Wells Fargo Nat'l Tax-Free Inst Money Market			X												X							X									
Balston Power common stock	X															X				X											Sold at loss
Baxter International common stock	X															X				X											Sold at loss
Baxter International common stock	X															X				X											Sold at loss
EMC Corp. common stock	X															X				X											Sold at loss
Intel Corp common stock	X															X				X											Sold at loss
JP Morgan Chase & Co common stock	X															X							X								S
Loudeye Technology common stock	X															X				X											Sold at loss
Loudeye Technology common stock	X															X				X											Sold at loss
Micron Technology common stock	X															X				X											Sold at loss
Micron Technology common stock	X															X				X											Sold at loss
Fidelity Advisor Growth Opp Class T mutual fund	X															X							X								S
Fidelity Advisor Equity Income Class T mutual fund	X															X							X								S
Costco Wholesale Corp. common stock	X															X				X											P, Sold at loss
EMC Corp. common stock	X															X				X											P, Sold at loss
Ford Motor common stock	X															X				X											P, Sold at loss
General Electric Co. common stock	X															X				X											P, Sold at loss
Healthsouth Corp common stock	X															X							X								P, S
Hewlett Packard Co. common stock	X															X				X											P, Sold at loss

3684

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: C.L. "Butch" Otter

BLOCK A Asset and/or Income Source	BLOCK B Value of Asset: A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income	BLOCK D Amount of Income: I None	II $-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	BLOCK D Transaction: Purchased (P) Sold (S) exchanged (E)
Capital One Financial common stock	X															X															
Wells Fargo Money Market - IRA					X								X									X									S
Fed Home Loan Bank 8/15/2009 3.5% - IRA					X										X								X								
Fed Home Loan Mtg 11/15/2004 3.25% - IRA					X										X								X								
Fed Natl Mtge Assoc 2.875% 10/15/05 IRA					X										X								X								
Bank One Corp 8/1/2007 4.125% - IRA					X										X								X								
Columbia High Yield Fund Class Z - IRA					X										X								X								
Idaho Power 7.2% 12/01/2009 - IRA				X									X											X							
American Select Portfolio - IRA			X												X								X								
American Strategic Income Portfolio III - IRA						X							X										X								
American Strategic Income Portfolio II - IRA					X								X										X								
Van Kampen American Cap Inc Trust - IRA					X								X											X							
Household Capital Prfd - IRA			X										X											X							
Allstate Corp common stock - IRA				X									X										X								
Aflac Group common stock - IRA				X									X										X								
American International Group Inc common stock - IRA				X									X			X															P
Amgen Inc common stock - IRA			X										X			X							X								P, S
Anadarko Petroleum Corp common stock - IRA			X													X															P, Sold at loss
Bank of America common stock - IRA			X										X							X											P, Sold at loss
Block H&R common stock - IRA			X																												P
Cardinal Health Inc. common stock - IRA				X									X							X											P
Carnival Corp common stock - IRA				X									X																		P
ChevronTexaco Corp common stock - IRA				X																X											P
Cisco Systems common stock - IRA				X																X											P
Citigroup Inc. common stock - IRA				X									X			X															P
EMC Corp. common stock - IRA			X										X									X									P, Sold at loss
Electronic Arts common stock - IRA			X													X															P
Everest Re Group Ltd common stock - IRA																								X							P, Sold at loss
Exxon Mobil Corp. common stock - IRA				X																X											P
Fed Home Loan Mtg common stock - IRA				X									X							X											P
First Data Corp. common stock - IRA				X									X									X									P
General Dynamics common stock - IRA				X									X			X					X										P
General Electric Co. common stock - IRA				X									X								X										P, S
Home Depot Inc common stock - IRA				X									X			X						X									P
Intel Corp common stock - IRA				X									X									X									P
International Business Machines common stock - IRA				X									X			X					X										P, Sold at loss
Ishares Inc MSCI Pacific - IRA				X									X									X									P, S
Janus Mid-Cap Value Fund #87 - IRA				X									X			X					X										P
					X															X				X							P, S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name C.L. "Butch" Otter

BLOCK A Asset and/or Income Source	BLOCK B Value of Asset: A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK D Transaction (purchased (P) sold (S) exchanged (E))
Johnson & Johnson common stock - IRA				X									X									X									P
Mcgraw Hill common stock - IRA				X									X								X										P
Medtronic Inc. common stock - IRA				X									X			X						X									P, S
Microsoft Corp. common stock - IRA				X									X								X										P
Midcap S&P Dep Rec Tr Unit Ser 1 - IRA				X									X								X										P
Morgan Stanley common stock - IRA				X									X								X										P
Needham Growth Fund #1 - IRA					X															X											
Nokia Corp common stock - IRA			X													X					X										P, S
Pepsico Inc. common stock - IRA			X										X			X					X										P, Sold at loss
Pfizer Inc. common stock - IRA				X									X								X										P
Proctor & Gamble common stock -IRA				X									X									X									P
Quest Diagnostics common stock - IRA			X													X							X								P, S
Schein Henry Inc common stock IRA				X																X											P
Sector SPDR Utilities - IRA				X																X											
State Street Corp. common stock - IRA				X									X			X							X								P, S
Suburban Propane Partners - IRA							X												PARTNERSHIP						X						
Sungard Data Systems common stock - IRA				X																X											P
Target Corp. common stock - IRA			X										X			X					X										P, S
Tocqueville Intl Value Fund #916 - IRA						X														X											P
Tyco Intl - IRA				X																X											P
United Technologies Corp. common stock - IRA				X									X								X										P
Vodafone Group common stock - IRA			X																	X											P
Wal Mart common stock - IRA				X									X								X										P
Walgreen Co common stock - IRA				X									X								X										P
Washington Mutual Inc common stock - IRA				X									X			X						X									P, S
UCI Web Group Inc - IRA		X																		X											
RCC Communications Consulting LLC IRA				X															PARTNERSHIP	X											
RCC Holdings LLC - IRA			X																PARTNERSHIP	X											
Public Employees Retirement System of Idaho				X															RETIREMENT												
Applied Materials Inc. common stock - IRA																X				X											Sold at loss
Artisan Funds Inc. Intl. Fund #561 - IRA																X									X						S
BP PLC common stock - IRA																X				X											Sold at loss
Cemex SA common stock- IRA																X				X											Sold at loss
Comerica common stock - IRA																X				X											Sold at loss
Costco Wholesale common stock - IRA																X				X											Sold at loss
Danaher Corp. common stock - IRA																X							X								S
Diageo PLC common stock - IRA																X				X											Sold at loss
Ecolab Inc. common stock - IRA																X				X											Sold at loss

3686

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name C.L. "Butch" Otter

BLOCK A Asset and/or Income Source	BLOCK B Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK D Transaction
	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	purchased (P) sold (S) exchanged (E)
Fifth Third Bancorp common stock - IRA																X				X											Sold at loss
Hextronics Intl Ltd common stock - IRA																X				X											Sold at loss
Golden West Financial common stock - IRA																X							X								S
Ishares S&P Europe 350 - IRA																X								X							S
Ishares Tr Cohen & Steers Realty common stock - IRA																X									X						S
Medimmmune Inc. common stock - IRA																X						X									S
Pharmacia common stock - IRA																X				X											Sold at loss
Qualcomm Inc. common stock - IRA																X				X											Sold at loss
S&P Depository Receipts - IRA																X									X						S
Safeway Inc. common stock - IRA																X				X											Sold at loss
SBC Communications Inc. common stock - IRA																X				X											Sold at loss
Siebel Systems common stock - IRA																X				X											Sold at loss
Southwest Airlines Co. common stock - IRA																X				X											Sold at loss
Texas Instruments Inc. common stock - IRA																X				X											Sold at loss
Veritas Software Corp. - IRA																X				X											Sold at loss
Verizon Communication common stock - IRA																X				X											Sold at loss
Waters Corp. common stock - IRA																X				X											Sold at loss
Xilinx Inc. common stock - IRA																X				X											Sold at loss

3687

SCHEDULE IV -- TRANSACTIONS

Name C.L. "Butch" Otter

SP,DC,JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Balaton Power common stock		X		04/16/03	X									
	Baxter International common stock		X		01/13/03			X							
	Baxter International common stock		X		01/14/03		X								
	EMC Corp. common stock		X		01/07/03		X								
	Intel Corp. common stock		X		01/22/03			X							
	JP Morgan Chase & Co. common stock		X		01/06/03			X							
	Loudeye Technology common stock		X		01/02/03	X									
	Loudeye Technology common stock		X		01/23/03	X									
	Micron Technology common stock		X		01/16/03		X								
	Micron Technology common stock		X		04/17/03		X								
	Fidelity Advisor Growth Opp Class T mutual fund		X		04/16/03		X								
	Fidelity Advisor Equity Income Class T mutual fund		X		02/13/03		X								
	Impco Technologies common stock		X		04/16/03	X									
	Interland Inc, common stock		X		01/28/03	X									
	K Mart common stock		X		04/16/03	X									
	Quantum Fuel Systems Tech common stock		X		04/16/03	X									
	Boise City Sch Dist 8/15/2007 2.75%	X			05/06/03		X								
	Carnival Corp common stock	X			03/21/03	X									
	Home Depot Inc. common stock	X			03/21/03	X									
	Mcgraw Hill common stock - IRA	X			03/21/03	X									
	Target Corp. common stock - IRA	X			03/21/03	X									
	WalMart common stock - IRA	X			03/21/03	X									
	Pepsico Inc. common stock - IRA	X			03/21/03	X									
	Proctor & Gamble common stock - IRA	X			03/21/03		X								
	Walgreen Co common stock - IRA	X			03/21/03		X								
	Anadarko Petroleum Corp. common stock - IRA	X			03/21/03	X									
	Exxon Mobil Corp. common stock - IRA	X			03/21/03	X									

SCHEDULE IV -- TRANSACTIONS

Name C.L. "Butch" Otter

SP,DC,JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	American International Group Inc. common stock - IRA	X			03/21/03	X									
	Citigroup Inc. common stock	X			03/21/03	X									
	Everest Re Group Ltd common stock - IRA	X			03/21/03	X									
	Fed Home Loan Mtge Corp common stock - IRA	X			03/21/03	X									
	Golden West Financial common stock - IRA	X			03/21/03	X									
	Morgan Stanley common stock - IRA	X			03/21/03	X									
	State Street Corp. common stock - IRA	X			03/21/03	X									
	Washington Mutual Inc. common stock - IRA	X			03/21/03	X									
	Ishares Inc. common stock - IRA	X			03/21/03	X									
	S&P Depository Receipts - IRA	X			03/21/03		X								
	Amgen Inc. common stock - IRA	X			03/21/03	X									
	Cardinal Health Inc. common stock - IRA	X			03/21/03	X									
	Johnson & Johnson common stock - IRA	X			03/21/03	X									
	Medtronic Inc. common stock - IRA	X			03/21/03	X									
	Pfizer Inc. common stock - IRA	X			03/21/03	X									
	Quest Diagnostics common stock - IRA	X			03/21/03	X									
	Schein Henry Inc common stock - IRA	X			03/21/03	X									
	Block H&R Inc. common stock - IRA	X			03/21/03	X									
	First Data Corp. common stock - IRA	X			03/21/03	X									
	General Dynamics Corp common stock - IRA	X			03/21/03	X									
	General Electric Co. common stock - IRA	X			03/21/03	X									
	United Technologies Corp. common stock - IRA	X			03/21/03	X									
	Cisco Systems common stock - IRA	X			03/21/03	X									
	EMC Corp. common stock - IRA	X			03/21/03	X									
	Intel Corp. common stock - IRA	X			03/21/03	X									
	International Business Machines common stock - IRA	X			03/21/03	X									
	Microsoft Corp. common stock - IRA	X			03/21/03	X									

SCHEDULE IV -- TRANSACTIONS

Name C.L. "Butch" Otter

SP,DC,JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Nokia Corp. common stock - IRA	X			03/21/03	X									
	Sungard Data common stock - IRA	X			03/21/03	X									
	Ecolab Inc. common stock - IRA	X			03/21/03	X									
	Ishares Tr Cohen & Steers Realty common stock - IRA	X			03/21/03		X								
	Midcap S&P Dep Rec Tr Unit Ser 1 - IRA	X			03/21/03	X									
	Verizon Communication common stock - IRA	X			03/21/03	X									
	Altria Group common stock - IRA	X			05/16/03		X								
	Ishares Inc. common stock - IRA	X			07/25/03	X									
	Tocqueville Intl Fund #916 - IRA	X			09/29/03				X						
	Tocqueville Intl Fund #916 - IRA	X			10/03/03		X								
	Carnival Corp common stock - IRA	X			12/17/03	X									
	Mcgraw Hill common stock - IRA	X			12/17/03	X									
	Proctor & Gamble common stock - IRA	X			12/17/03	X									
	Walgreen Co common stock - IRA	X			12/17/03	X									
	Anadarko Petroleum Corp. common stock - IRA	X			12/17/03	X									
	ChevronTexaco Corp common stock - IRA	X			12/17/03		X								
	Exxon Mobil Corp. common stock - IRA	X			12/17/03	X									
	Allstate Corp common stock - IRA	X			12/17/03		X								
	Bank of America common stock - IRA	X			12/17/03	X									
	Citigroup Inc. common stock	X			12/17/03	X									
	Fed Home Loan Mtge Corp common stock - IRA	X			12/17/03	X									
	Morgan Stanley common stock - IRA	X			12/17/03	X									
	Amex Utilities Select Index Fund - IRA	X			12/17/03	X									
	Ishares Tr DJ US Basic Mat Sect - IRA	X			12/17/03	X									
	Midcap S&P Dep Rec Tr Unit Ser 1 - IRA	X			12/17/03	X									
	Cardinal Health Inc. common stock - IRA	X			12/17/03	X									
	Johnson & Johnson common stock - IRA	X			12/17/03	X									

SCHEDULE IV -- TRANSACTIONS

Name C.L. "Butch" Otter

SP,DC,JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Pfizer Inc. common stock - IRA	X			12/17/03	X									
	General Dynamics Corp common stock - IRA	X			12/17/03	X									
	Tyco Intl Ltd common stock - IRA	X			12/17/03		X								
	Electronic Arts common stock - IRA	X			12/17/03	X									
	International Business Machines common stock - IRA	X			12/17/03	X									
	Sungard Data common stock - IRA	X			12/17/03	X									
	Vodafone Group common stock - IRA	X			12/17/03	X									
	Costco Wholesale common stock - IRA		X		03/21/03	X									
	Diago PLC common stock - IRA		X		03/21/03	X									
	Safeway Inc. common stock - IRA		X		03/21/03	X									
	Comerica common stock - IRA		X		03/21/03	X									
	Fifth Third Bancorp common stock - IRA		X		03/21/03	X									
	Medimmmune Inc. common stock - IRA		X		03/21/03	X									
	Pharmacia common stock - IRA		X		03/21/03	X									
	Danaher Corp. common stock - IRA		X		03/21/03	X									
	Southwest Airlines Co. common stock - IRA		X		03/21/03	X									
	Applied Materials Inc. common stock - IRA		X		03/21/03	X									
	Flextronics Intl Ltd common stock - IRA		X		03/21/03	X									
	Qualcomm Inc. common stock - IRA		X		03/21/03	X									
	Siebel Systems common stock - IRA		X		03/21/03	X									
	Texas Instruments Inc. common stock - IRA		X		03/21/03	X									
	Veritas Software Corp. - IRA		X		03/21/03	X									
	Waters Corp. common stock - IRA		X		03/21/03	X									
	Xilinx Inc. common stock - IRA		X		03/21/03	X									
	Cemex SA common stock- IRA		X		03/21/03	X									
	SBC Communications Inc. common stock - IRA		X		03/21/03	X									
	Golden West Financial common stock - IRA		X		05/16/03		X								

SCHEDULE IV -- TRANSACTIONS

Name C.L. "Butch" Otter

SP,DC,JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Ecolab Inc. common stock - IRA		X		07/25/03	X									
	Artisan Funds Inc. Intl. Fund #661 - IRA		X		09/26/03				X						
	Home Depot Inc. common stock		X		12/17/03	X									
	Target Corp. common stock - IRA		X		12/17/03	X									
	Altria Group common stock - IRA		X		12/17/03	X									
	Pepsico Inc. common stock - IRA		X		12/17/03	X									
	BP PLC common stock - IRA		X		12/17/03	X									
	American International Group Inc. common stock - IRA		X		12/17/03	X									
	State Street Corp. common stock - IRA		X		12/17/03	X									
	Washington Mutual Inc. common stock - IRA		X		12/17/03	X									
	Ishares Ince MSCI Pacific Japan - IRA		X		12/17/03	X									
	S&P Depository Receipts - IRA		X		12/17/03		X								
	Amgen Inc. common stock - IRA		X		12/17/03	X									
	Medtronic Inc. common stock - IRA		X		12/17/03	X									
	Quest Diagnostics common stock - IRA		X		12/17/03	X									
	Cisco Systems common stock - IRA		X		12/17/03	X									
	EMC Corp. common stock		X		12/17/03	X									
	First Data Corp. common stock - IRA		X		12/17/03	X									
	Intel Corp common stock - IRA		X		12/17/03	X									
	Nokia Corp. common stock - IRA		X		12/17/03	X									
	Ishares Tr Cohen & Steers Realty common stock - IRA		X		12/17/03		X								
	Ishares S&P Europe 350 - IRA		X		12/17/03	X									
	Verizon Communication common stock - IRA		X		12/17/03	X									

3692

Name C.L. "Butch" Otter | Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X				
	Brookstreet Securities Corp	Margin Loan						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	G.O. Ranches, Inc.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

(3)

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2004 JUN 10 PM 1:15

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Major Robert Owens
(Full Name)

135 Eastern Parkway Apt. 3H (718) 773-3100
(Mailing Address) Daytime Telephone:

Brooklyn, New York 11238

Filer Status	[X] Member of the U.S. House of Representatives	State: New York District: 11	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [X]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Major R. Owens	June 19 2004

3695

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Aspen Institute	Feb. 14-19	Washington-Jamaica-Washington	Y	Y	Y	N
	Southern Christian Leadership Conference	Aug. 2-6	New York-Memphis-New York	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Communovations Associates

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2004 91-910 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(52) HUMAN RESOURCE MANTH

2004 MAY 14 AM 10: 03

MC

(Office Use Only)

Michael Garver Oxley
(Full Name)

2308 Rayburn H.O.B.
(Mailing Address)

Washington, D.C. 20515

Daytime Telephone: (202) 225-2676

Filer Status	[X] Member of the U.S. House of Representatives — State: ____ District: ____	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date: ____

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		May 15, 2004

3698

Name	OXLEY	Page 2 of ___

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
WEICHERT REALTORS	Spouse Real Estate Commissions	N/A

For payments to charity in lieu of honoraria, use Schedule II.

3699

Name OXLEY	Page 3 of ___

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
	XYZ Magazine	Article	Aug. 13, 2003	$500
	Industrial Truck Association	Speech	4/9/03	$ 2,000
	Miami University Business Advisory	Speech	3/17/03	$ 2,000

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3700

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A	Block B	Block C	Block D	Block E
SP	Examples: Mega Corp. Stock	Indefinite	DIVIDENDS X	Over $5,000,000	P
	Simon & Schuster		RENT		
	1st Bank of Paducah, KY accounts		INTEREST X		
			CAPITAL GAIN		
			EXCEPTED TRUST		
			QUALIFIED BLIND TRUST		
			Other Type of Income (Specify: For Example, Partnership Income or Farm Income) Royalties		
	Sky Bank SEP Findlay, OH	D X	INTEREST X		
	Wright Patman F	B X	INTEREST X		
SP	Bank of America	D X	INTEREST X		

For additional assets and unearned income, use next page.

3701

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name OXLEY

Page 5 of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset										BLOCK C Type of Income					BLOCK D Amount of Income										BLOCK E Transaction
		A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	P, S, E
	* SEE ATTACHED MERRILL LYNCH																	

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3702

SCHEDULE IV — TRANSACTIONS

Name OXLEY | Page 6 of ___

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10 12-03		X								
	* SEE ATTACHED														
	MERRILL LYNCH														

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3703

Name	OXLEY	Page 7 of ___

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
				C $15,001–$50,000		E $100,001–$250,000		G $500,001–$1,000,000		I $5,000,001–$25,000,000		K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NONE											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NONE		

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Transatlantic Policy Network	Aug. 17 - 23	Wash. DC - London - Scotland - Wash. DC	Y	Y	N	
	Ripon Educational Fund	Aug. 9 - 16	London	Y	Y	Y	1
	World Economic Forum	Jan. 22 - 27	Wash. DC - Davos, Switzerland - Wash., DC	Y	Y	Y	

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3705

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Eipon Educational Fund Transatlantic Executive Committee

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO: 2004 91-910 (mec)

Use additional sheets if more space is required.

The HArrison Group

James M. Arrison III, CFP, WMA
First Vice President - Investments

Paul F. Goree III, CFP, WMA
Vice President
Senior Financial Advisor

Global Private Client Group

1850 K Street, N.W.
Suite 700
Washington, DC 20006
202 659 7373
800 266 4047
FAX 202 857 1085

Merrill Lynch

May 5, 2004

Honorable Michael G. Oxley
Patricia A. Oxley
7629 Huntmaster Lane
McLean, Virginia 22102

Dear Congressman and Mrs. Oxley,

Per your request, I am enclosing the information regarding the trades and income earned in 2003. If you need additional information please do not hesitate to call me at 202-659-6198.

Oxley Joint

1. No trades in 2003
2. Income of $54.84 (annual tax statement enclosed)

Oxley Joint

1. Trade history 2003*
   - 04/24/03 SLD 61 shares Janus Small Cap Value (BSEVX) @ $22.79 = $1,409.00
   - 06/11/03 BOT 581 shares American Mutual (AMRMX) @ $22.37 = $12,999
   - 06/12/03 BOT 262 shares Oakmark (OAKMX) @ $34.27 = $8,999
   - 09/26/03 SLD 262 shares Oakmark (OAKMX) @ $33.86 = $8,892
   - 09/26/03 BOT 490 shares Van Kampen Comstock (ACSTX) @ $14.28 = $7,000
   - 09/26/03 BOT 147 shares UAM FPA Crescent (FPACS) @ $20.32 = $3,000
   - 09/26/03 BOT 178 shares First Eagle SoGen Overseas (SGOIX) @ $16.77 = $3,000
2. Income $463.79 (Annual tax statement enclosed)

Michael G Oxley IRRA

1. Trade history 2003*
   - 04/24/03 SLD 78 shares Janus Small Cap Value (BSEVX) @ $22.79 = $1,784.00
   - 06/11/03 BOT 402 shares American Mutual (AMRMX) @ $22.37 = $9,000
   - 06/12/03 BOT 192 shares American AMCAP (AMCPX) @ $15.10 = $2,900

Trade history -cont

- 06/12/03 BOT 84 shares Oakmark (OAKMX) @ $34.27 = $2,900
- 09/26/03 SLD 758 shares MFS Value (MEIAX) @ $17.89 = $13,564
- 09/29/03 BOT 943 shares Van Kampen Comstock (ACSTX) @ $14.37 = $13,564
- 10/16/03 SLD 2 shares MFS Value (MEIAX) @ $18.72 = $43

2. Income $882.78 (December 2003 IRA Statement enclosed)

Patricia A. Oxley SEP

1. Trade history 2003*
   - 04/24/03 SLD 105 shares Janus Small Cap Value (BSCVX) @ $22.79 = $2,409
   - 06/11/03 BOT 93 shares American Growth Fund of America (AGTHX) @ $21.46 = $2,000
   - 06/11/03 BOT 1162 shares American Mutual (AMRMX) @ $22.37 = $26,000
   - 06/11/03 BOT 264 shares of American AMCAP (AMCPX) @ $15.11 = $3,999
   - 06/11/03 BOT 130 shares of First Eagle SoGen Overseas (SGOIX) @ $15.34 = $2,000
2. Income $1,152.68 (December 2003 IRA Statement enclosed)

Sincerely,

Skip

Paul F. Goree III, CFP®
Vice President
Wealth Management Advisors

* Trade listing enclosed

Merrill Lynch

Account No.	Taxpayer No.	Page
	278-40-8366	1 of 3

MICHAEL G OXLEY AND
PATRICIA A OXLEY JTWROS
7629 HUNTMASTER LN
MC LEAN VA 22102-2531

Your Financial Advisor 0130
HARRISON GROUP
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

Payer:
Merrill Lynch, Pierce, Fenner & Smith Inc
1700 Merrill Lynch Drive, MSC 0702
Pennington, NJ 08534-4128
Federal Identification Number 13-5674085

2003 TAX REPORTING STATEMENT

1099-DIV	2003 Dividends and Distributions (OMB NO. 1545-0110)	Amount
1a	Total Ordinary Dividends	0.00
1b	Qualified Dividends	0.00
2a	Total Capital Gain Distributions	0.00
2b	Post May 5th Capital Gain Distributions	0.00
2c	Qualified 5-Year Gain	0.00
2d	Unrecap. Sec. 1250 Gain	0.00
2e	Section 1202 Gain	0.00
2f	Collectibles (28%) Gain	0.00
3	Non-Taxable Distributions	0.00
4	**Federal Income Tax Withheld**	**0.00**
5	Investment Expenses	0.00
6	Foreign Tax Paid	0.00
7	Foreign Country or U.S. Possession	
8	Liquidation Distributions Cash	0.00
9	Liquidation Distributions Non-Cash (Fair Market Value)	0.00

1099-INT	2003 Interest Income (OMB NO. 1545-0112)	Amount
1	Interest Income Not Included in Line 3	54.84
2	Early Withdrawal Penalty	0.00
3	Interest On U.S. Savings Bonds and Treasury Obligations	0.00
4	**Federal Income Tax Withheld**	**0.00**
5	Investment Expenses	0.00
6	Foreign Tax Paid	0.00
7	Foreign Country or U.S. Possession	
	* Total reportable interest is the sum of lines 1 and 3	54.84

Summary of 2003 Original Issue Discount Items		Amount
1	Original Issue Discount	0.00**
6	Original Issue Discount on US Treasury Obligations	0.00**
	Total Original Issue Discount is the Sum of Line 1 and 6	0.00**

** Amounts of Original Issue Discount are individually reported to the IRS. Refer to the 2003 Original Issue Discount detail section of this statement.

Summary of 2003 Proceeds from Broker & Barter Exchange Transactions		Amount
2	Gross Proceeds Less Commissions	0.00
4	**Federal Income Tax Withheld**	**0.00**

Gross Proceeds from each of your 2003 securities trades are individually reported to the IRS. Refer to the 2003 Gross Proceeds detail section of this statement.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

You may reach a Tax Representative at 1-800-637-6326

3709

Merrill Lynch

Account No.	Taxpayer No.	Page
	278-40-8366	2 of 3

MICHAEL G OXLEY AND

Your 2003 Annual Statement Summary information is a personal summary of your account activity provided exclusively for our select clients. Data from Forms 1099, the definitive information for tax purposes, is repeated in this summary for your convenience The gain (loss) calculations and tax exempt income data are provided to facilitate your review and are not reported to the IRS The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments, or transactions that settled after December 31, 2003 RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains The Cost Basis for these securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize the premium paid on the purchase of taxable bonds. The cost for most Original Issue Discount ("OID") obligations includes the accretion of OID Note the accrued OID shown in this section may differ from the Form 1099-OID section of the Tax Reporting Statement Securities distributed from a retirement account reflect cost basis rather than tax basis Other methods for calculating gain (loss) are available. Please consult your tax advisor for more information

2003 ANNUAL STATEMENT SUMMARY

2003 INTEREST INCOME

Security Description	Quantity	Date	Transaction Description	Debit	Credit	Remarks
INTEREST						
ML BANK DEPOSIT PROGRAM		2003	BANK INTEREST		54.84	
TOTAL INTEREST					**54.84**	
TOTAL INTEREST NOT INCLUDED IN LINE 3 (LINE 1 1099-INT)					**54.84**	
TOTAL INTEREST ON US SAVINGS BONDS AND TREASURY OBLIGATIONS (LINE 3 1099-INT)					**0.00**	
TOTAL REPORTABLE INTEREST (SUM OF 1 & 3 1099-INT)					**54.84**	

3710

Merrill Lynch

Account No.	Taxpayer No.	Page
	278-40-8366	3 of 3

MICHAEL G OXLEY AND

INSTRUCTIONS TO RECIPIENT — READ CAREFULLY

The amounts listed on this form represent interest, dividend, and/or gross proceeds payments made or credited to your account during the calendar year indicated on the front of this form, as well as any original issue discount (OID) accrued during the calendar year.

Real Estate Mortgage Investment Conduits (REMIC's) and other Collateralized Debt Obligations (CDO's) must report interest income or OID paid or accrued during the tax year to a regular interest holder. If you are a holder of a REMIC and/or CDO obligation, such interest income or OID will not be reflected on the Form 1099-INT or 1099-OID appearing on the Tax Reporting Statement because this information is unavailable to Merrill Lynch prior to the publication. Such information will be sent to you separately for inclusion on your income tax return.

If you are an investor in a limited partnership, information concerning your distributive share of the partnership's taxable income or loss (Form 1065, Schedule K-1) will not appear on the Tax Reporting Statement. Such information will be sent to you separately for inclusion on your income tax return.

Nominees - If your Federal Identification Number is shown on this form and includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099 DIV, 1099 INT, 1099-OID, 1099-MISC or 1099-B, as applicable, for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV, 1099-INT, 1099-OID, 1099-MISC or 1099-B to each owner, as required by law. A husband or wife is not required to file a nominee return to show amounts owned by the other. **See the Instructions for Forms 1099, 1098, 5498, and W-2G.**

Backup Withholding - Persons not furnishing their taxpayer identification number to the payer become subject to backup withholding on certain payments, including dividends, interest, and proceeds of sale transactions at a 28% rate. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Foreign Tax Paid - You may be able to claim this foreign tax as a deduction or a credit on Form 1040. See your Form 1040 instructions.

1099-DIV DIVIDENDS AND DISTRIBUTIONS (OMB No. 1545-0110)

Line 1a - Total ordinary dividends that are taxable and includes any net short term capital gains from a mutual fund. Include this amount on Line 9a of Form 1040 or 1040A. Also report it on Schedule B (Form 1040) or Schedule 1 (Form 1040A), if required. The amount shown may be a distribution from an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

Line 1b - Shows the portion of the amount in Line 1a that may be eligible for the new 15% or 5% capital gains rates. See the Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on Line 9b, Form 1040 or 1040A.

Line 2a - Total capital gain distributions (long-term) from a regulated investment company or real estate investment trust. Report the amounts shown in boxes 2a and 2b (see below) on Schedule D (Form 1040), line 13. But, if no amount is shown on Lines 2c-2f and your only capital gains and losses are capital gain distributions you may be able to report the amounts on Lines 2a and 2b on Lines 13a and 13b of Form 1040 (Lines 10a and 10b of Form 1040A) rather than Schedule D (Form 1040). See the Form 1040 or 1040A instructions.

Line 2b - Shows the portion of the amount on Line 2a that is post-May 5 capital gain distributions eligible for the new 15% and 5% capital gain rates.

Line 2c - Shows the portion of the amount on Line 2a that is qualified 5-year gain that may be taxed at an 8% capital gains rate. Report this amount on Schedule D (Form 1040), Line 35 (if required).

Line 2d - Shows the portion of the amount on Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the **Unrecaptured Section 1250 Gain Worksheet** in the instructions for Schedule D (Form 1040).

Line 2e - Shows the portion of the amount on Line 2a that is Section 1202 gain from certain small business stock that may be subject to a 50% exclusion. See the Schedule D (Form 1040) instructions.

Line 2f - Shows the portion of the amount on Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the **28% Rate Gain Worksheet-Line 20** in the instructions for Schedule D (Form 1040).

Line 3 - This part of the distribution is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), you must report future nontaxable distributions as capital gains, even though this form shows them as nontaxable. For more information, see **Publication 550**, Investment Income and Expenses.

Line 5 - Any amount shown is your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file **Form 1040**, you may deduct these expenses on the "Other expenses" Line on **Schedule A (Form 1040)** subject to the 2% limit. This amount is included on Line 1a.

Line 8 and 9 - Shows cash and noncash liquidation distributions.

1099-INT INTEREST INCOME (OMB No. 1545-0112)

Line 1 - Shows interest paid to you during the calendar year by the payer. This does not include interest shown on Line 3.

Line 2 - Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this on the "Penalty on early withdrawal of savings" line of Form 1040.

Line 3 - Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not be all taxable. See Publication 550, Investment Income and Expenses. This interest is exempt from state and local income taxes. The amount shown may be less than the amount of interest you received or accrued during the calendar year because of a premium you paid or because of an election you made to amortize a premium. See Publication 550 for information on amortizable bond premium.

Line 5 - Any amount shown is your share of investment expenses of a single-class REMIC if you file Form 1040, you may deduct these expenses on the "Other expenses" line of **Schedule A (Form 1040)** subject to the 2% limit. This amount is included in Line 1.

If you receive a Form 1099-INT for interest paid on a tax-exempt obligation, please see the instructions for your income tax return.

1099-OID ORIGINAL ISSUE DISCOUNT (OMB No. 1545-0117)

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID is taxable as interest over the life of the obligation. If you are the holder of an OID obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-indexed securities.

Line 1 - Shows the OID on the obligation for the part of the year you owned it. Report the entire amount in Line 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium or if the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See **Pub. 1212**, List of Original Issue Discount Instruments, for details on how to figure the correct OID.

Line 6 - Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your Federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in Line 1.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (OMB-1545-0715)

The amounts shown under the heading "GROSS PROCEEDS LESS COMMISSIONS" are the proceeds from transactions (including short sales) involving stocks, bonds, other debt obligations, and other securities. Report the amount on **Schedule D (Form 1040)**. In the detailed listing of gross proceeds transactions, the "TRADE DATE" rather than the "SETTLEMENT DATE" is the date of disposition for Federal income tax purposes. The "CUSIP" column contains the CUSIP number (i.e., the security identification number) of the item reported. The "SECURITY DESCRIPTION" column contains a brief description of the item for which the proceeds are being reported.

Merrill Lynch, Pierce, Fenner & Smith Incorporated
Member, Securities Investor Protection Corporation (SIPC)

3711

CMA® ACCOUNT

Total Account Value As Of 12/31/2003 **$7,883.30**

MICHAEL G OXLEY AND
PATRICIA A OXLEY JTWROS
7629 HUNTMASTER LN
MC LEAN VA 22102-2531

YOUR FINANCIAL ADVISOR:
HARRISON GROUP

(202) 659-7373

FOR CUSTOMER SERVICE QUESTIONS:
1-800-MERRILL (1-800-637-7455)

Your Merrill Lynch Office:
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

Review Your Statement Online at:
www.mlol.ml.com

Monthly Portfolio Summary

Asset	11/28/03 Value	%	12/31/03 Value	%
Cash/Money Accounts	7,880	100	7,883	100
CD's/Equivalents				
Government Securities				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds				
Options				
Other				
Long Market Value	7,880		7,883	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	7,880		7,883	

Income Summary

	This Statement	Year-to-Date
Tax-Exempt Funds		
Tax-Exempt Interest		
Reportable Interest	2.60	54.84
Reportable Dividends		
Income Not Reported		
Total	2.60	54.84

Items for Attention

Security	Message	Date
	No Items For Attention	

Purchasing Power 7,883

Financial Market Indicators

	This Statement	Last Statement	Previous Year-End
S&P 500	1111.92	1058.20	879.82
Three-Month Treasury Bills	.92%	.92%	1.19%
Long-Term Treasury Bonds	5.08%	5.13%	4.78%
One-Month LIBOR	1.12%	1.17%	1.38%
NASDAQ	2003.37	1960.26	1335.51

NEWS

See enclosed newsletter for details on an important change in supplemental coverage that provides your account protection in excess of the amounts afforded by the Securities Investor Protection Corporation.

3712

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	7,880.70	
Net Credits & Debits	2.60	4,045.16DR
Closing Balance Cash & Money Accounts	7,883.30	

Credits	This Statement	Year-to-Date
Sales		
Income	2.60	54.84
Funds Received		
Electronic Tfrs		
Other		4,000.00
Total Credits	2.60	4,054.84

Debits	This Statement	Year-to-Date
Purchases		
Withdrawals		
Electronic Tfrs		
Other		8,100.00
Total Debits		8,100.00

Bank Deposit Interest Summary

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield %	Interest on Deposits	Closing Balance
Merrill Lynch Bank USA	7,879	7,879	.37	2.60	7,882
Total ML Bank Deposit Program	7,879			2.60	7,882

Current Portfolio

Quantity	Security Description	Date Acquired	Adjust/Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
Cash and Money Accounts										
	CASH			1		1				
7,882	ML Bank Deposit Program		1.00	7,882	1.00	7,882			29	.37
Total Cash and Money Accounts				7,883		7,883			29	.37
Total of Long Portfolio				7,883		7,883			29	.37

3713

CMA® ACCOUNT

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
Dividends and Interest						
12/31	H Bank Interest		BANK DEPOSIT INTEREST			.60
	Income Total		ML Bank Deposit Program			2.60
Net Total						2.60

Monthly Activity

Date	Description	Deposits	Withdrawals	Date	Description	Deposits	Withdrawals
Money Account Transactions							
12/01	ML Bank Deposit Program	1.00					
Total		1.00					
Net Total		1.00					

Customer Service For information about your account, please call 1 800 MERRILL (1-800-637-7455). To report Lost or Stolen Visa Cards or Checks, please call 1-800-262-5678. When requested, enter your Access Code 91-749-66811.

3714

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Merrill Lynch

Account No.	Taxpayer No.	Page
	278 40-8366	1 of 7

MICHAEL G OXLEY AND
PATRICIA A OXLEY JTWROS
7629 HUNTMASTER LN
MCLEAN VA 22102-2531

Your Financial Advisor 0130
HARRISON GROUP
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

Payer:
Merrill Lynch, Pierce, Fenner & Smith Inc
1700 Merrill Lynch Drive, MSC 0702
Pennington, NJ 08534-4128
Federal Identification Number 13-5674085

2003 TAX REPORTING STATEMENT

1099-DIV	2003 Dividends and Distributions	(OMB NO. 1545-0110)
		Amount
1a	Total Ordinary Dividends	463.79
1b	Qualified Dividends	276.95
2a	Total Capital Gain Distributions	222.89
2b	Post May 5th Capital Gain Distributions	185.07
2c	Qualified 5-Year Gain	0.82
2d	Unrecap Sec 1250 Gain	0.00
2e	Section 1202 Gain	0.00
2f	Collectibles (28%) Gain	0.00
3	Non-Taxable Distributions	0.00
4	**Federal Income Tax Withheld**	**0.00**
5	Investment Expenses	0.00
6	Foreign Tax Paid	3.52
7	Foreign Country or U.S. Possession	Various
8	Liquidation Distributions Cash	0.00
9	Liquidation Distributions Non-Cash (Fair Market Value)	0.00

1099-INT	2003 Interest Income	(OMB NO. 1545-0112)
		Amount
1	Interest Income Not Included in Line 3	0.00
2	Early Withdrawal Penalty	0.00
3	Interest On U.S. Savings Bonds and Treasury Obligations	0.00
4	**Federal Income Tax Withheld**	**0.00**
5	Investment Expenses	0.00
6	Foreign Tax Paid	0.00
7	Foreign Country or U.S. Possession	
	* Total reportable interest is the sum of lines 1 and 3	0.00

Summary of 2003 Original Issue Discount Items

		Amount
1	Original Issue Discount	0.00**
6	Original Issue Discount on US Treasury Obligations	0.00**
	Total Original Issue Discount is the Sum of Line 1 and 6	0.00**

** Amounts of Original Issue Discount are individually reported to the IRS. Refer to the 2003 Original Issue Discount detail section of this statement.

Summary of 2003 Proceeds from Broker & Barter Exchange Transactions

		Amount
2	Gross Proceeds Less Commissions	10,302.17
4	Federal Income Tax Withheld	**0.00**

Gross Proceeds from each of your 2003 securities trades are individually reported to the IRS. Refer to the 2003 Gross Proceeds detail section of this statement.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

You may reach a Tax Representative at 1-800-637-6326

3716

Merrill Lynch

Account No.	Taxpayer No.	Page
	278-40-8366	2 of 7

MICHAEL G OXLEY AND

2003 TAX REPORTING STATEMENT

2003 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1099-B

Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Federal Income Tax Withheld	LINE 2 1099-B Gross Proceeds *	Remarks
THE OAKMARK FUND	413838103	262	SALE	09/26/03		8,892.31	
JANUS SMALL CAP VAL INVESTOR CL	471023572	61	SALE	04/24/03		1,409.86	
TOTAL GROSS PROCEEDS						10,302.17	

* Gross Proceeds and above transaction details from each of your 2003 securities trades are individually reported to the IRS (less commissions).

IMPORTANTAXINFORMATION

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

3717

Merrill Lynch

Account No.	Taxpayer No.	Page
	278-40-8356	3 of 7

MICHAEL G OXLEY AND

Your 2003 Annual Statement Summary information is a personal summary of your account activity provided exclusively for our select clients. Data from Forms 1099, the definitive information for tax purposes, is repeated in this summary for your convenience. The gain (loss) calculations and tax exempt income data are provided to facilitate your review and are not reported to the IRS. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments, or transactions that settled after December 31, 2003. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains. The Cost Basis for these securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize the premium paid on the purchase of taxable bonds. The cost for most Original Issue Discount ("OID") obligations includes the accretion of OID. Note the accrued OID shown in this section may differ from the Form 1099 OID section of the Tax Reporting Statement. Securities distributed from a retirement account reflect cost basis rather than tax basis. Other methods for calculating gain (loss) are available. Please consult your tax advisor for more information.

2003 ANNUAL STATEMENT SUMMARY

2003 DIVIDENDS AND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Debit	Credit	Remarks
ORDINARY DIVIDENDS						
WASATCH MICRO CAP FD		12/31/03	SHORT TERM CAPITAL GAIN		9.85	TOT ST GAINS 9.84
FIRST EAGLE		12/04/03	FOREIGN TAX	3.52		
OVERSEAS CL I		12/31/03	RECLASS DIST		90.38	TOT DIV DIST 91.18
		12/31/03	SHORT TERM CAPITAL GAIN		2.76	TOT ST GAINS 2.68
			Security Subtotal	3.52	93.14	
UAM FPA CRESCENT PORT		12/31/03	RECLASS DIST		11.81	TOT DIV DIST 11.81
AMERICAN AMCAP FUND CL A		12/31/03	RECLASS DIST		2.70	TOT DIV DIST 2.70
AMERICAN MUTUAL FUND INC CL A		12/31/03	RECLASS DIST		227.96	TOT DIV DIST 227.96
VAN KAMPEN COMSTOCK FUND CL A		12/31/03	RECLASS DIST		23.28	TOT DIV DIST 23.28
AMERICAN GROWTH FD OF AMERICA CL A		12/31/03	RECLASS DIST		2.92	TOT DIV DIST 2.92
CMA FUND		2003	DIVIDEND		92.13	
TOTAL FOREIGN TAX PAID (LINE 6 1099-DIV)				3.52		
TOTAL ORDINARY DIVIDENDS (LINE 1A 1099-DIV)					463.79	
QUALIFIED DIVIDENDS						
WASATCH MICRO CAP FD		12/31/03	RECLASS DIST		1.01	QUAL ST GAINS: 0.00

3718

Merrill Lynch

Account No.	Taxpayer No.	Page
	278-40-8366	4 of 7

MICHAEL G OXLEY AND

2003 ANNUAL STATEMENT SUMMARY

2003 DIVIDENDS AND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Debit	Credit	Remarks
FIRST EAGLE OVERSEAS CL I		12/31/03	RECLASS DIST		28.03	TOT QDIV DIST.....0.00
		12/31/03	RECLASS DIST		.86	QUAL ST GAINS.....0.00
			Security Subtotal		28.89	
UAM FPA CRESCENT PORT		12/31/03	RECLASS DIST		4.37	TOT QDIV DIST.....0.00
AMERICAN AMCAP FUND CL A		12/31/03	RECLASS DIST		2.70	TOT QDIV DIST.....0.00
AMERICAN MUTUAL FUND INC CL A		12/31/03	RECLASS DIST		213.78	TOT QDIV DIST.....0.00
VAN KAMPEN COMSTOCK FUND CL A		12/31/03	RECLASS DIST		23.28	TOT QDIV DIST.....0.00
AMERICAN GROWTH FD OF AMERICA CL A		12/31/03	RECLASS DIST		2.92	TOT QDIV DIST..... 0.00
TOTAL QUALIFIED DIVIDENDS (LINE 1B 1099-DIV)					**276.95**	
CAPITALGAINDISTRIBUTIONS						
PREMAY6THCAPITALGAIN						
WASATCH MICRO CAP FD		12/31/03	RECLASS DIST		37.82	TOT 20% RATE .0.00
TOTAL PRE MAY 6TH CAPITAL GAIN DISTRIBUTIONS					**37.82**	
POSTMAY5THCAPITALGAIN						
WASATCH MICRO CAP FD		12/31/03	RECLASS DIST		108.18	PM 20% RATE 146.01
FIRST EAGLE OVERSEAS CL I		12/31/03	RECLASS DIST		23.98	PM 20% RATE 23.26
AMERICAN MUTUAL FUND INC CL A		12/31/03	RECLASS DIST		52.91	PM 20% RATE 52.91
TOTAL POST MAY 5TH CAPITAL GAIN (LINE 2B 1099-DIV)					**185.07**	

3719

Merrill Lynch

Account No.	Taxpayer No.	Page
	278-40-8366	5 of 7

MICHAEL G OXLEY AND

2003 ANNUAL STATEMENT SUMMARY
2003 DIVIDENDS AND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Debit	Credit	Remarks
QUALIFIED 5-YEAR GAIN						
WASATCH MICRO CAP FD		12/31/03	RECLASS DIST		.82	TOT 5 YR GAIN : .0.00
TOTAL QUALIFIED 5-YEAR GAIN (LINE 2C 1099-DIV)					.82	
TOTAL CAPITAL GAIN DISTRIBUTIONS (LINE 2A 1099-DIV)					222.89	

2003 ANNUAL STATEMENT SUMMARY
2003 OTHER DISTRIBUTIONS AND CHARGES*

Security Description	Transaction Description	Debit	Credit
INVESTMENT FEE INFORMATION			
MUTUAL FUND ADVISOR SELECTS FEE		574 87	

MERRILL LYNCH MUTUAL FUND ADVISOR PROGRAM - TRANSACTION SUMMARY
2003 AVERAGE COST INFORMATION FROM DISPOSITIONS OF MUTUAL FUND SECURITIES

Quantity	Security Description	Transaction Type	Trade Date	Transaction Proceeds	Average Cost Basis Per Share	Total Cost	Gain or (Loss)	5 Yr Cap Gain or (Loss)
SHORT TERM CAPITAL LOSSES								
262.620	THE OAKMARK FUND CL I	SALE	09/26 **	8,892.31	34.27	9,000.00	(107.69)	
2.570	JANUS SM CAP VAL FD INV	SALE	04/24	58.57	28.63	73.56	(15.00)	
			Subtotal	**8,950.88**		**9,073.56**	**(122.69)**	
	NET SHORT TERM CAPITAL GAIN (LOSS)						**(122.69)**	
LONG TERM CAPITAL LOSSES								
59.293	JANUS SM CAP VAL FD INV	SALE	04/24	1,351.28	28.63	1,697.28	(345.98)	0.00
			Subtotal	**1,351.28**		**1,697.28**	**(345.98)**	
	NET LONG TERM CAPITAL GAIN (LOSS)						**(345.98)**	

3720

Merrill Lynch

Account No. | Taxpayer No. 276-40-8366 | Page 6 of 7

MICHAEL G OXLEY AND

MERRILL LYNCH MUTUAL FUND ADVISOR PROGRAM - TRANSACTION SUMMARY
2003 AVERAGE COST INFORMATION FROM DISPOSITIONS OF MUTUAL FUND SECURITIES

Quantity	Security Description	Transaction Type	Trade Date	Transaction Proceeds	Average Cost Basis Per Share	Total Cost	Gain or (Loss)	5 Yr Cap Gain or (Loss)

The above gain or loss information should not be used for income tax purposes. It may include calculations for transactions that settled after December 31, 2003. In addition, it may not include all return of capital, sales load deferral or wash sales adjustments. Please consult with your tax advisor if you have any questions.

** This transaction denotes a sale or disposition after May 5, 2003 that may be subject to the new reduced maximum capital gains tax rates of 15% or 5%, depending on your tax situation.

2003 ANNUAL STATEMENT SUMMARY

MERRILL LYNCH MUTUAL FUND ADVISOR PROGRAM REALIZED CAPITAL GAIN AND LOSS SUMMARY

	SHORT TERM GAINS	SHORT TERM LOSSES	LONG TERM GAINS	LONG TERM LOSSES
PRE MAY 6TH	.00	(15.00)	.00	(345.98)
POST MAY 5TH	.00	(107.69)	.00	.00
SUBTOTAL	.00	(122.69)	.00	(345.98)

3721

Merrill Lynch

Account No.	Taxpayer No.	Page
	278-40-8368	7 of 7

MICHAEL G OXLEY AND

INSTRUCTIONS TO RECIPIENT -- READ CAREFULLY

The amounts listed on this form represent interest, dividend, and/or gross proceeds payments made or credited to your account during the calendar year indicated on this front of this form, as well as any original issue discount (OID) accrued during the calendar year.

Real Estate Mortgage Investment Conduits (REMIC's) and other Collateralized Debt Obligations (CDO's) must report interest income or OID paid or accrued during the tax year to a regular interest holder. If you are a holder of a REMIC and/or CDO obligation, such interest income or OID will not be reflected on the Form 1099-INT or 1099-OID appearing on this Tax Reporting Statement because this information is unavailable to Merrill Lynch prior to the publication. Such information will be sent to you separately for inclusion on your income tax return.

If you are an investor in a limited partnership, information concerning your distributive share of the partnership's taxable income or loss (Form 1065, Schedule K-1) will not appear on the Tax Reporting Statement. Such information will be sent to you separately for inclusion on your income tax return.

Nominees - If your Federal Identification Number is shown on this form and includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV, 1099-INT, 1099-OID, 1099-MISC or 1099-B, as applicable, for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV, 1099-INT, 1099-OID, 1099-MISC or 1099-B to each owner, as required by law. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the Instructions for Forms 1099, 1098, 5498, and W-2G.

Backup Withholding - Persons not furnishing their taxpayer identification number to the payer become subject to backup withholding on certain payments including dividends, interest and proceeds of sale transactions at a 28% rate. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Foreign Tax Paid - You may be able to claim this foreign tax as a deduction or a credit on Form 1040. See your Form 1040 instructions.

1099-DIV DIVIDENDS AND DISTRIBUTIONS (OMB No. 1545-0110)

Line 1a - Total ordinary dividends that are taxable and includes any net short-term capital gains from a mutual fund. Include this amount on Line 9a of Form 1040 or 1040A. Also report it on Schedule B (Form 1040) or Schedule 1 (Form 1040A), if required. The amount shown may be a distribution from an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

Line 1b - Shows the portion of the amount in Line 1a that may be eligible for the new 15% or 5% capital gains rates. See the Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on Line 9b, Form 1040 or 1040A.

Line 2a - Total capital gain distributions (long-term) from a regulated investment company or real estate investment trust. Report the amounts shown in boxes 2a and 2b (see below) on Schedule D (Form 1040), Line 13. But, if no amount is shown on Lines 2c-2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts on Lines 2a and 2b on Lines 13a and 13b of Form 1040 (Lines 10a and 10b of Form 1040A) rather than Schedule D (Form 1040). See the Form 1040 or 1040A instructions.

Line 2b - Shows the portion of the amount on Line 2a that is post-May 5 capital gain distributions eligible for the new 15% and 5% capital gain rates.

Line 2c - Shows the portion of the amount on Line 2a that is qualified 5-year gain that may be taxed at an 8% capital gains rate. Report this amount on Schedule D (Form 1040), Line 35 (if required).

Line 2d - Shows the portion of the amount on Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet in the instructions for Schedule D (Form 1040).

Line 2e - Shows the portion of the amount on Line 2a that is Section 1202 gain from certain small business stock that may be subject to a 50% exclusion. See the Schedule D (Form 1040) instructions.

Line 2f - Shows the portion of the amount on Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-Line 20 in the instructions for Schedule D (Form 1040).

Line 3 - This part of the distribution is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), you must report future nontaxable distributions as capital gains, even though this form shows them as nontaxable. For more information, see Publication 550, Investment Income and Expenses.

Line 5 - Any amount shown is your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" Line on Schedule A (Form 1040) subject to the 2% limit. This amount is included on Line 1a.

Line 6 and 9 - Shows cash and noncash liquidation distributions.

1099-INT INTEREST INCOME (OMB No. 1545-0112)

Line 1 - Shows interest paid to you during the calendar year by the payer. This does not include interest shown on Line 3.

Line 2 - Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this on the "Penalty on early withdrawal of savings" line of Form 1040.

Line 3 - Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not be all taxable. See Publication 550, Investment Income and Expenses. This interest is exempt from state and local income taxes. Total interest is included on Line 1.

Line 5 - Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in Line 1.

If you receive a Form 1099-INT for interest paid on a tax-exempt obligation, please see the instructions for your income tax return.

1099-OID ORIGINAL ISSUE DISCOUNT (OMB No. 1545-0117)

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID is taxable as interest over the life of the obligation. If you are the holder of an OID obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-indexed securities.

Line 1 - Shows the OID on the obligation for the part of the year you owned it. Report the entire amount in Line 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium or if the obligation is a stripped bond or coupon) you may have to figure the correct amount of OID to report on your return. See Pub. 1212, List of Original Issue Discount Instruments, for details on how to figure the correct OID.

Line 8 - Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your Federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in Line 1.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (OMB 1545-0715)

The amounts shown under the heading "GROSS PROCEEDS LESS COMMISSIONS" are the proceeds from transactions (including short sales) involving stocks, bonds, other debt obligations, and other securities. Report this amount on Schedule D (Form 1040). In the detailed listing of gross proceeds transactions, the "TRADE DATE" rather than the "SETTLEMENT DATE" is the date of disposition for Federal income tax purposes. The "CUSIP" column contains the CUSIP number (i.e., the security identification number) of the item reported. The "SECURITY DESCRIPTION" column contains a brief description of the item for which the proceeds are being reported.

Merrill Lynch, Pierce, Fenner & Smith Incorporated
Member, Securities Investor Protection Corporation (SIPC)

3722

The HArrison Group

James M. Arrison III, CFP, WMA
First Vice President - Investments

Paul F. Goree III, CFP, WMA
Vice President
Senior Financial Advisor

Global Private Client Group

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Suite 700
Washington, DC 20006
202 659 7373
800 266 4047
FAX 202 857 1085

Merrill Lynch

Account#
Select

PW

10:14 05/05/04 PG 2

FMR NPC FINANCIAL CONSULTANTS COB 05/04/04
SECURITY ACTIVITY FC: 0130

ACCT: MICHAEL G & PATRICIA A OXLEY (202) 225-2676

	DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
1	12/04/03	AIDB		1	FIRST EAGLE	17.7400	23
2	12/04/03	AIDB		4	FIRST EAGLE	17.7400	87
3	12/04/03	JE		1	FIRST EAGLE	.0000	0
4	10/13/03	AIDB		1	WASATCH MICRO CAP FD	6.6400	9
5	10/13/03	JE		1	WASATCH MICRO CAP FD	.0000	0
6	10/13/03	AIDB		20	WASATCH MICRO CAP FD	6.6400	138
7	10/13/03	JE		1	WASATCH MICRO CAP FD	.0000	0
8	09/26/03	SLD		262C	THE OAKMARK FUND	33.8600	8,892C
9	09/26/03	BOT		178	FIRST EAGLE	16.7700	3,000
10	09/26/03	BOT		147	VAN FPA CRESCENT PORT	20.3200	3,000
11	09/26/03	BOT		490	VAN KAMPEN	14.2800	7,000

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD <MORE>

ACTION

The HArrison Group

James M. Arrison III, CFP, WMA
First Vice President - Investments

Paul F. Goree III, CFP, WMA
Vice President
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Global Private Client Group

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202 659 7373
800 266 4047
FAX 202 857 1085

Merrill Lynch

Account#

Select PW

10:14 05/05/04 PG 3

FWR NPC FINANCIAL CONSULTANTS COB 05/04/04

SECURITY ACTIVITY FC: 0130

ACCT: MICHAEL G & PATRICIA A OXLEY (202) 225-2676

	DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
1	09/22/03	AIDB		3	AMERICAN MUTUAL FUND	22.7800	75
2	06/23/03	AIDB		3	AMERICAN MUTUAL FUND	22.2600	75
3	06/12/03	BOT		262	THE OAKMARK FUND	34.2700	8,999
4	06/11/03	BOT		581	AMERICAN MUTUAL FUND	22.3700	12,999
5	04/24/03	SLD		61C	JANUS SMALL CAP VAL	22.7900	1,409C
6	12/30/02	AIDB		2	BERGER SMALL CAP VAL	22.6800	56
7	12/06/02	AIDB		1	AMERICAN AMCAP FUND	13.4300	20
8	10/07/02	AIDB		19	WASATCH MICRO CAP FD	4.3400	83
9	09/13/02	SLD		85C	NATIONS INTERNATIONAL	13.3000	1,134C
10	09/13/02	SLD		296C	MFS VALUE FD CL A	16.7600	4,976C
11	09/13/02	SLD		931C	VAN KAMPEN	12.2500	11,409C

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD <MORE>

ACTION

IRRA® ACCOUNT

MFA Selects™

Total Account Value As Of 12/31/2003 **$64,579.96**

MLPF& S CUST FPO
MICHAEL G OXLEY IRRA
FBO MICHAEL G OXLEY
7629 HUNTMASTER LN
MC LEAN VA 22102-2531

YOUR FINANCIAL ADVISOR:
HARRISON GROUP

(202) 659-7373

FOR CUSTOMER SERVICE QUESTIONS:
1-800-MERRILL (1-800-637-7455)

Your Merrill Lynch Office:
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

Review Your Statement Online at:
www.mlol.ml.com

Monthly Portfolio Summary

Asset	11/28/03 Value	%	12/31/03 Value	%
Cash/Money Accounts	434	1	434	1
CD's/Equivalents				
Government Securities				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds	61,510	99	64,144	99
Options				
Other				
Long Market Value	61,944		64,579	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	61,945		64,579	

Income Summary

	This Statement	Year-to-Date
Fund Dividends	.27	64.34
Interest Income		
Dividend Income	159.35	818.44
Total	159.62	882.78

Merrill Lynch MFA Selects Program

Your Strategy Is:
GLOBAL EQUITY
Risk Category 6 - Strategy Code: GLBL

Please contact your Financial Advisor whenever there are changes in your financial condition or objectives.

NEWS

See enclosed newsletter for details on an important change in supplemental coverage that provides your account protection in excess of the amounts afforded by the Securities Investor Protection Corporation.

Important Notice

You may receive a free copy of our written disclosure statement containing important information about the Merrill Lynch MFA program by sending a written request to
Merrill Lynch
Client Account Services - MFA
4803 Deerlake Drive West
Floor 4
Jacksonville, FL 32246

3725

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	435.08	
Net Credits & Debits	.27	13,589.02DR
Closing Balance Cash & Money Accounts	435.35	

Credits	This Statement	Year-to-Date
Sales		15,392.28
Income	159.62	882.78
Funds Received		
Electronic Tfrs		
Other		
Total Credits	159.62	16,275.06

Debits	This Statement	Year-to-Date
Purchases		28,364.01
Withdrawals		
Electronic Tfrs		
Other	159.35	1,500.07
Total Debits	159.35	29,864.08

Realized Capital Gain and Loss Summary*

	This Month	Year-to-Date
Short-Term	.00	15.56
Long-Term	.00	(2,385.11)

*Excludes transactions for which we have insufficient data.

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2003 tax return. These reportable transactions will appear on your January statement.

3726

Current Portfolio

Quantity	Security Description	Date Acquired	Adjust/Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
Cash and Money Accounts										
434	RETIREMENT RESERVES CL I		1.00	434	1.00	434			2	.61

Current Portfolio

Quantity	Security Description	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
Mutual Funds and Defined Asset Funds									
Equity Funds									
832	AMERICAN AMCAP FUND CL A	$13,885	$133	14,454	16.85	14,019	N/A	4	.03

Current Portfolio

Quantity	Security Description	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
Mutual Funds and Defined Asset Funds									
	(.2850 FRACTIONAL SHARE) INITIAL PURCHASE: 05/23/01			N/A	16.85	4	N/A		.03
410	AMERICAN MUTUAL FUND INC CL A	$8,992	$1,003	9,176	24.38	9,995 *	N/A	213	2.13
	(.8000 FRACTIONAL SHARE) INITIAL PURCHASE: 06/11/03			N/A	24.38	19	N/A		2.13
653	AMERICAN GROWTH FD OF AMERICA CL A	$17,088	($1,064)	17,112	24.54	16,024	N/A	3	.02
	(.8910 FRACTIONAL SHARE) INITIAL PURCHASE: 02/20/01			N/A	24.54	21	N/A		.02
84	THE OAKMARK FUND	$2,878	$274	2,878	37.54	3,153	N/A		
	(.9640 FRACTIONAL SHARE) INITIAL PURCHASE: 06/12/03			N/A	37.54	36	N/A		
946	VAN KAMPEN COMSTOCK FUND CL A	$13,550	$1,537	13,596	15.95	15,088	N/A	179	1.19
	(.8790 FRACTIONAL SHARE) INITIAL PURCHASE: 09/29/03			N/A	15.95	14	N/A		1.19
796	WASATCH MICRO CAP FD	$3,159	$2,603	4,192	7.24	5,763	N/A		
	(.4880 FRACTIONAL SHARE) INITIAL PURCHASE: 02/20/01			N/A	7.24	3	N/A		
Total Equity Funds			$4,486	61,411		64,144		402	.63
Total Funds			$4,486	61,411		64,144		402	.63

TOTAL CLIENT INVESTMENT: Cost of shares directly purchased and still held Does not include shares purchased through reinvestment.
CUMULATIVE INVESTMENT RETURN: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment.

UNREALIZED GAIN or (LOSS): Estimated Market Value minus Total Cost Basis
Provided for Tax Planning purposes only and is not applicable to retirement accounts "denoted by N/A"
INITIAL PURCHASE: Date of your Initial Investment in this fund

Total of Long Portfolio	61,845*	64,579	*	405	.63

* - Excludes N/A Items

3727

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
Security Transactions						
12/05	Divd Reinv	1	AMERICAN MUTUAL FUND INC CL A REINV AMOUNT $36.63 REINV PRICE $23.46000 QUANTITY BOT 1.5610 AS OF 12/04			
12/05	Journal Entry	1	AMERICAN MUTUAL FUND INC CL A FULL SHARE ACCUM			
12/05	Divd Reinv	2	AMERICAN MUTUAL FUND INC CL A REINV AMOUNT $52.91 REINV PRICE $23.46000 QUANTITY BOT 2.2550 AS OF 12/04			
12/11	Divd Reinv	2	VAN KAMPEN COMSTOCK FUND CL A REINV AMOUNT $44.84 REINV PRICE $15.11000 QUANTITY BOT 2.9680 AS OF 12/10			
12/11	Journal Entry	1	VAN KAMPEN COMSTOCK FUND CL A FULL SHARE ACCUM			
12/22	Divd Reinv	2	WASATCH MICRO CAP FD REINV AMOUNT $20.98 REINV PRICE $7.09000 QUANTITY BOT 2.9590 AS OF 12/19			
12/22	Journal Entry	1	WASATCH MICRO CAP FD FULL SHARE ACCUM			
Net Total Dividends and Interest						
12/05 *	Dividend		AMERICAN MUTUAL FUND INC CL A			52.91
12/05 *	Lg Tm Cap Gain		AMERICAN MUTUAL FUND INC CL A			36.63
12/11 *	Dividend		VAN KAMPEN COMSTOCK FUND CL A			44.84
12/15 *	Dividend		AMERICAN GROWTH FD OF AMERICA CL A			3.99
12/22 *	Lg Tm Cap Gain		WASATCH MICRO CAP FD			20.98
	Income Total		RETIREMENT RESERVES CL I			.27

3728

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
Net Total						159.62
Other Activity						
12/05	Reinvestment		AMERICAN MUTUAL FUND INC CL A		52.91	
12/05	Reinvestment		AMERICAN MUTUAL FUND INC CL A		36.63	
12/11	Reinvestment		VAN KAMPEN COMSTOCK FUND CL A		44.84	
12/15	Reinvestment		AMERICAN GROWTH FD OF AMERICA CL A		3.99	
12/15	Divd Reinv		AMERICAN GROWTH FD OF AMERICA CL A REINV AMOUNT $3.99 REINV PRICE $23.75000 QUANTITY BOT .1680 AS OF 12/12			
12/22	Reinvestment		WASATCH MICRO CAP FD		20.98	
Net Total					159.35	

Customer Service For information about your account, please call 1-800-MERRILL (1-800-637-7455)
When Requested, enter your Access Code 91-749-72730.

Retirement Money Accounts Summary

Description	Money Account Balances Opening	Closing	Dividends This Statement	Year to Date
Retirement Reserves CL I	$434.28	$434.55	$.27	$64.34

Retirement Account Plan Summary

Account Type. IRA ROLLOVER Plan Number 7700700
Year-End Plan Value as of December 31, 2003 $64,579.96
Contributions after December 30, 2002 for 2002 $.00

3729

FBO MICHAEL G OXLEY

019018 0130

Retirement Account Plan Summary

For purposes of calculating required minimum distributions or completing IRS Form 8606, you are required to use the value of your account as of 12/31/03. This value should include your Priced Portfolio total and the value of certain limited partnerships and other direct investments as well as any securities that we are unable to price - marked "Unavailable". The "Year-End Plan Value" shown consists of your Priced Portfolio total plus the estimated value of limited partnerships or other direct investments reported on your year-end statement.

For IRA, IRRA, SEP/IRA, SIMPLE/IRA, Roth IRA and ESA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498 reporting requirements.

3730

The HArrison Group

James M. Arrison III, CFP, WMA
First Vice President - Investments

Paul F. Goree III, CFP, WMA
Vice President
Senior Financial Advisor

Global Private Client Group

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Suite 700
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800 266 4047
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Merrill Lynch

Account#

Select PW

10:15 05/05/04 PG 2

FWR NPC FINANCIAL CONSULTANTS COB 05/04/04

SECURITY ACTIVITY FC: 0130

ACCT: MICHAEL G OXLEY IRRA (202) 225-2676

	DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
1	12/22/03	AIDB		2	WASATCH MICRO CAP FD	7.0900	20
2	12/22/03	JE		1	WASATCH MICRO CAP FD	.0000	0
3	12/11/03	AIDB		2	VAN KAMPEN	15.1100	44
4	12/11/03	JE		1	VAN KAMPEN	.0000	0
5	12/05/03	AIDB		1	AMERICAN MUTUAL FUND	23.4600	36
6	12/05/03	JE		1	AMERICAN MUTUAL FUND	.0000	0
7	12/05/03	AIDB		2	AMERICAN MUTUAL FUND	23.4600	52
8	10/16/03	SLD		2C	MFS VALUE FD CL A	18.7200	43C
9	10/13/03	AIDB		4	WASATCH MICRO CAP FD	6.6400	27
10	10/13/03	AIDB		57	WASATCH MICRO CAP FD	6.6400	383
11	10/13/03	JE		1	WASATCH MICRO CAP FD	.0000	0

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD <MORE>

ACTION

The HArrison Group

James M. Arrison III, CFP, WMA
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1850 K Street, N.W.
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Merrill Lynch

Account#

Select PW

18:15 05/05/04 PG 3

FWR NPC FINANCIAL CONSULTANTS COB 05/04/04

SECURITY ACTIVITY FC: 0130

ACCT: MICHAEL G OXLEY IRRA (202) 225-2676

	DATE	TYPE	SDL	QTY	DESCRIPTION	PRICE	NET AMOUNT
1	09/30/03	AIDB		2	MFS VALUE FD CL A	17.8900	41
2	09/29/03	BOT		943	VAN KAMPEN	14.3700	13,564
3	09/26/03	SLD		758C	MFS VALUE FD CL A	17.8900	13,564C
4	09/22/03	AIDB		2	AMERICAN MUTUAL FUND	22.7800	52
5	06/27/03	AIDB		2	MFS VALUE FD CL A	17.7900	43
6	06/27/03	JE		1	MFS VALUE FD CL A	.0000	0
7	06/23/03	AIDB		2	AMERICAN MUTUAL FUND	22.2600	52
8	06/12/03	BOT		84	THE OAKMARK FUND	34.2700	2,900
9	06/12/03	BOT		192	AMERICAN AMCAP FUND	15.1000	2,900
10	06/11/03	BOT		402	AMERICAN MUTUAL FUND	22.3700	9,000
11	04/24/03	SLD		78C	JANUS SMALL CAP VAL	22.7900	1,784C

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD <MORE>

ACTION

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Account#

Select PW

18:15 05/05/04 PG 4

FWR NPC FINANCIAL CONSULTANTS COB 05/04/04

SECURITY ACTIVITY FC: 0130

ACCT: MICHAEL G OXLEY IRRA (202) 225-2676

	DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
1	03/27/03	AIDB		2	MFS VALUE FD CL A	15.7700	43
2	03/27/03	JE		1	MFS VALUE FD CL A	.0000	0
3	12/30/02	AIDB		3	BERGER SMALL CAP VAL	22.6800	71
4	12/06/02	AIDB		1	AMERICAN AMCAP FUND	13.4300	15
5	12/06/02	JE		1	AMERICAN AMCAP FUND	.0000	0
6	12/04/02	AIDB		2	MFS VALUE FD CL A	16.9200	37
7	10/07/02	AIDB		53	WASATCH MICRO CAP FD	4.3400	231
8	09/27/02	AIDB		2	MFS VALUE FD CL A	16.2460	48
9	09/27/02	JE		1	MFS VALUE FD CL A	.0000	0
10	09/13/02	SLD		170C	NATIONS INTERNATIONAL	13.3000	2,269C
11	09/13/02	SLD		526C	AMERICAN FUNDAMENTAL	22.5200	11,850C

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD <MORE>

ACTION

SEP ACCOUNT

MFA Selects

Total Account Value As Of 12/31/2003 **$67,621.44**

MLPF& S CUST FPO
PATRICIA A OXLEY SEP
FBO PATRICIA OXLEY
7629 HUNTMASTER LN
MC LEAN VA 22102-2531

YOUR FINANCIAL ADVISOR:
HARRISON GROUP

(202) 659-7373

FOR CUSTOMER SERVICE QUESTIONS:
1-800-MERRILL (1-800-637-7455)

Your Merrill Lynch Office:
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

Review Your Statement Online at:
www.mloi.ml.com

Monthly Portfolio Summary

Asset	11/28/03 Value	%	12/31/03 Value	%
Cash/Money Accounts	432	1	433	1
CD's/Equivalents				
Government Securities				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds	64,520	99	67,188	99
Options				
Other				
Long Market Value	64,953		67,621	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	64,953		67,621	

Income Summary

	This Statement	Year-to-Date
Fund Dividends	.27	126.14
Interest Income		
Dividend Income	363.43	1,026.54
Total	363.70	1,152.68

Merrill Lynch MFA Selects Program

Your Strategy Is:
GLOBAL EQUITY
Risk Category 6 - Strategy Code: GLBL

Please contact your Financial Advisor whenever there are changes in your financial condition or objectives.

NEWS

See enclosed newsletter for details on an important change in supplemental coverage that provides your account protection in excess of the amounts afforded by the Securities Investor Protection Corporation.

Important Notice

You may receive a free copy of our written disclosure statement containing important information about the Merrill Lynch MFA program by sending a written request to:
Merrill Lynch
Client Account Services - MFA
4803 Deerlake Drive West
Floor 4
Jacksonville, FL 32246

3734

SEP ACCOUNT

MFA Selects

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	433.14	
Net Credits & Debits	.27	25,735.97DR
Closing Balance Cash & Money Accounts	433.41	

Credits	This Statement	Year-to-Date
Sales		2,409.24
Income	363.70	1,152.68
Funds Received		6,416.00
Electronic Tfrs		
Other		
Total Credits	363.70	9,977.92

Debits	This Statement	Year-to-Date
Purchases		34,000.00
Withdrawals		
Electronic Tfrs		
Other	363.43	1,713.89
Total Debits	363.43	35,713.89

Realized Capital Gain and Loss Summary*

	This Month	Year-to-Date
Short-Term	.00	.45
Long-Term	.00	(615.53)

*Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2003 tax return. These reportable transactions will appear on your January statement

Current Portfolio

Quantity	Security Description	Date Acquired	Adjust/Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
Cash and Money Accounts										
433	RETIREMENT RESERVES CL I		1.00	433	1.00	433			2	.61

Current Portfolio

Quantity	Security Description	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
Mutual Funds and Defined Asset Funds									
Equity Funds									
934	AMERICAN AMCAP FUND CL A	$15,499	$238	16,084	16.85	15,737	N/A	5	.03

+ FBO PATRICIA OXLEY

3735

SEP ACCOUNT

MFA Selects

Current Portfolio

Quantity	Security Description	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
Mutual Funds and Defined Asset Funds									
	(.0730 FRACTIONAL SHARE) INITIAL PURCHASE: 05/23/01			N/A	16.85	1	N/A		.03
1,186	AMERICAN MUTUAL FUND INC CL A	$25,993	$2,920	26,544	24.38	28,914	N/A	616	2.13
	(.7570 FRACTIONAL SHARE) INITIAL PURCHASE: 06/11/03			N/A	24.38	18	N/A		2.13
613	AMERICAN GROWTH FD OF AMERICA CL A	$15,594	($551)	15,617	24.54	15,043	N/A	3	.02
	(.0130 FRACTIONAL SHARE) INITIAL PURCHASE: 02/20/01			N/A	24.54		N/A		.02
135	FIRST EAGLE OVERSEAS CL I	$2,009	$452	2,080	18.24	2,462	N/A		
	(.0440 FRACTIONAL SHARE) INITIAL PURCHASE: 06/11/03			N/A	18.24		N/A		
691	WASATCH MICRO CAP FD	$2,740	$2,262	3,638	7.24	5,002	N/A		
	(.8840 FRACTIONAL SHARE) INITIAL PURCHASE: 02/20/01			N/A	7.24	6	N/A		
Total Equity Funds			$5,321	63,966		67,188		626	.93
Total Funds			$5,321	63,966		67,188		626	.93

TOTAL CLIENT INVESTMENT: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment
CUMULATIVE INVESTMENT RETURN: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment.

UNREALIZED GAIN or (LOSS): Estimated Market Value minus Total Cost Basis.
Provided for Tax Planning purposes only and is not applicable to retirement accounts "denoted by N/A"
INITIAL PURCHASE: Date of your initial investment in this fund.

Total of Long Portfolio	64,399*	67,621	*	629	.93

* - Excludes N/A Items

3736

MFA Selects

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
Security Transactions						
12/04	Journal Entry	1	FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM			
12/04	Divd Reinv	3	FIRST EAGLE OVERSEAS CL I REINV AMOUNT $63.89 REINV PRICE $17.74000 QUANTITY BOT 3.6010 AS OF 12/03			
12/04	Journal Entry	1	FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM			
12/05	Divd Reinv	4	AMERICAN MUTUAL FUND INC CL A REINV AMOUNT $105.82 REINV PRICE $23.46000 QUANTITY BOT 4.5110 AS OF 12/04			
12/05	Journal Entry	1	AMERICAN MUTUAL FUND INC CL A FULL SHARE ACCUM			
12/05	Divd Reinv	6	AMERICAN MUTUAL FUND INC CL A REINV AMOUNT $152.85 REINV PRICE $23.46000 QUANTITY BOT 6.5150 AS OF 12/04			
12/15	Journal Entry	1	AMERICAN GROWTH FD OF AMERICA CL A FULL SHARE ACCUM			
12/22	Divd Reinv	2	WASATCH MICRO CAP FD REINV AMOUNT $18.22 REINV PRICE $7.09000 QUANTITY BOT 2.5700 AS OF 12/19			
Net Total Dividends and Interest						
12/04 *	Dividend		FIRST EAGLE OVERSEAS CL I			63.89
12/04 *	Lg Tm Cap Gain		FIRST EAGLE OVERSEAS CL I			16.95
12/04 *	Sh Tm Cap Gain		FIRST EAGLE OVERSEAS CL I			1.96

FBO PATRICIA OXLEY

3737

SEP ACCOUNT

MFA Selects

Monthly Activity

Date		Transaction	Quantity	Description	Price	Debit	Credit
Dividends and Interest							
12/05	*	Dividend		AMERICAN MUTUAL FUND INC CL A			152.85
12/05	*	Lg Tm Cap Gain		AMERICAN MUTUAL FUND INC CL A			105.82
12/15	*	Dividend		AMERICAN GROWTH FD OF AMERICA CL A			3.74
12/22	*	Lg Tm Cap Gain		WASATCH MICRO CAP FD			18.22
		Income Total		RETIREMENT RESERVES CL I			.27
Net Total							363.70
Other Activity							
12/04		Reinvestment		FIRST EAGLE OVERSEAS CL I		63.89	
12/04		Reinvestment		FIRST EAGLE OVERSEAS CL I		16.95	
12/04		Reinvestment		FIRST EAGLE OVERSEAS CL I		1.96	
12/04		Divd Reinv		FIRST EAGLE OVERSEAS CL I REINV AMOUNT $1.96 REINV PRICE $17.74000 QUANTITY BOT .1100 AS OF 12/03			
12/04		Divd Reinv		FIRST EAGLE OVERSEAS CL I REINV AMOUNT $16.95 REINV PRICE $17.74000 QUANTITY BOT .9550 AS OF 12/03			
12/05		Reinvestment		AMERICAN MUTUAL FUND INC CL A		152.85	
12/05		Reinvestment		AMERICAN MUTUAL FUND INC CL A		105.82	
12/15		Reinvestment		AMERICAN GROWTH FD OF AMERICA CL A		3.74	
12/15		Divd Reinv		AMERICAN GROWTH FD OF AMERICA CL A REINV AMOUNT $3.74 REINV PRICE $23.75000 QUANTITY BOT .1570 AS OF 12/12			
12/22		Reinvestment		WASATCH MICRO CAP FD		18.22	
Net Total						363.43	

3738

SEP ACCOUNT

MFA Selects

Customer Service For information about your account, please call 1-800-MERRILL (1-800-637-7455). When Requested, enter your Access Code 92-749-72799.

SEP Retirement Money Accounts Summary

Description	Money Account Balances Opening	Closing	Dividends This Statement	Year to Date
Retirement Reserves CL I	$432.74	$433.01	$.27	$126.14

Retirement Account Plan Summary

Account Type: SEP PLAN

Year-End Plan Value as of December 31, 2003	$67,621.44
Contributions after 12/30/02 for 2002	$.00
Employer Contributions after 12/30/02 for 2002	$6,416.00

Contributions :	Tax Year 2003	Tax Year 2002
Employer Contributions	$.00	$11,025.00

For purposes of calculating required minimum distributions or completing IRS Form 8606, you are required to use the value of your account as of 12/31/03. This value should include your Priced Portfolio total and the value of certain limited partnerships and other direct investments as well as any securities that we are unable to price - marked "Unavailable". The "Year-End Plan Value" shown consists of your Priced Portfolio total plus the estimated value of limited partnerships or other direct investments reported on your year-end statement.

For IRA, IRRA, SEP/IRA, SIMPLE/IRA, Roth IRA and ESA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498 reporting requirements.

3739

SEP ACCOUNT

MFA Selects

Agreement Regarding Your Securities Account and Other Important Information

You, the Client, and we, Merrill Lynch, Pierce, Fenner & Smith Inc. (Merrill Lynch), agree as follows:

(1) We will direct your order for a security or option to the marketplace we consider to be the primary market for that security or option.

(2) We will hold bonds and preferred stocks in bulk segregation (except for those held in custodian accounts). In the event of a call for less than an entire issue or series of those securities, the securities to be called will be randomly selected from those held in bulk.

(3) We are not responsible for the loss or destruction of securities that are placed in the custody of a non-U.S. bank or broker or other custodian, and are lost or destroyed as a result of war, civil commotion, enemy action, government acts or any other causes beyond the control of the depository or us.

(4) This statement of account shall be deemed conclusive if not objected to within ten (10) days.

(5) We receive a fee from ISA, banks of up to 2% per annum of the average daily balances. In the RASP and ML bank deposit programs, this fee is up to .50% and is paid by our affiliated banks.

(6) You will have the right to vote full shares, and we may solicit instructions concerning the voting of full shares held in your account. The voting shares in your account will be governed by the rules and policies of the New York Stock Exchange and the Securities and Exchange Commission then in effect, or other applicable exchange or regulatory provisions.

(7) This statement serves as a confirmation of purchases that result from automatic reinvestment transactions, as well as your AIPS transactions, during the statement period.

(8) As an option client, please advise us promptly of any material change in your investment objectives or financial condition. Individual options commission charges have been included in your confirmation; a summary of this information will be made available to you upon request.

(9) All transactions in your account are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearinghouse, if any, where the transactions are executed, and if not executed on any exchange, the National Association of Securities Dealers, Inc.

(10) We trade for our own accounts as an odd lot dealer, a block positioner and/or arbitrageur. At the time of any transaction in your account, we or an affiliate may have a long or short position in the same security, and our positions may be completely or partially hedged.

(11) We can use your free credit balance in our business and such funds are not segregated. You have the right to receive, in the normal course of business, any free credit balance and any fully paid securities to which you are entitled, subject to any open commitments in any of your accounts.

(12) Merrill Lynch's financial statement is available for your inspection at our office, or a copy will be mailed upon request to: Merrill Lynch, WFC-NT, New York, NY 10281

(13) If this statement is for a margin account, it is a combined statement of your margin account and special memorandum account maintained for you pursuant to applicable regulations. The permanent record of the separate account, as required by Regulation T, is available for your inspection upon request. You should retain this statement for use with your next statement to calculate interest charges, if any, for the period covered by this statement. The interest charge period will parallel the statement period, except that interest due for the final day of the statement period will be carried over and appear on next month's statement.

(14) The Securities Investor Protection Corporation (SIPC) and our excess-SIPC bond do not cover assets that are not securities or assets that are not held at Merrill Lynch, such as cash on deposit at Merrill Lynch Bank USA, Merrill Lynch Bank & Trust Co. or other depository institutions, and certificates of deposit purchased through Merrill Lynch. Those deposits are protected by the Federal Deposit Insurance Corporation. Merrill Lynch is not a bank. Unless otherwise disclosed, investments through Merrill Lynch ARE NOT FDIC-INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE.

Prices and Valuations

While we believe our pricing information to be reliable, we cannot guarantee its accuracy.

Managed Trust Units: Information is based on data from the Merrill Lynch Trust Company or its agent. Neither the Trust nor its units are held in your Merrill Lynch account and are not subject to SIPC.

Insurance Policies: Information is based on data from the insurer that issued the policy. Merrill Lynch is not responsible for the calculation of policy values. Policies are generally not held in your Merrill Lynch account. If Merrill Lynch is custodian or trustee holds a policy that is a security, SIPC protection and excess-SIPC protection applies.

Cost Data: Cost data and acquisition dates for securities not purchased in your account or purchased before 1997 are entered manually from data provided by you. Most cost figures are adjusted for capital changes, including identifiable returns of principal, after 1997. Please review this information for accuracy, as we cannot be held responsible for omitted or restated data.

Realized Capital Gain and Losses

Versus purchase (VSP) or versus sale (VSS) dates are used in calculating gains and losses when you designate specific tax lots for liquidation consistent with your tax planning. This feature may not cover all transactions. Please consult your tax advisor to determine the tax consequences of your securities transactions. When computing capital gains and losses we strive to be accurate. However, the computation shown on your statement does not constitute an official accounting of gains and losses, and Merrill Lynch does not report capital gains or losses to the I.R.S. The enhanced statement is not a substitute for your own records and the Consolidated Tax Reporting Statement (Form 1099).

Prices and Valuations (Cont.)

Fixed-Income Securities: Market values are estimated values obtained from various sources and may be based on bids, prices within the bid/offer spread, closing prices, a matrix methodology utilizing general market levels, the value of comparable securities and/or analytic models. Pricing estimates do not constitute bids or offers to purchase or sell any security. Actual prices realized at sale, or paid at the time of purchase, may be more or less than the value reflected on the statement.

Symbols and Abbreviations

‡	Interest reported to IRS.		
*	Gross Proceeds reported to the IRS.	N/A	Value and/or cost data not available.
.	Dividends reported to the IRS.	N/C	Not Calculated
.	Transactions reported to IRS.	N/N	Non-negotiable securities
NOCC	Options Clearing Corporation.	N/O	Held registered in your name.
**	Merrill Lynch maintains a fundamental opinion on this company.		
#	Transaction you requested required same-day payment - Merrill Lynch retained last day's dividend to offset cost of advancing payment on your behalf.		
RD	Bonds are changeable from coupon to registered and vice versa without charge.		
RG	Bonds registered for both principal and interest		
(IO)	indicates that our global securities research division has upgraded (I) or downgraded (O) its fundamental opinion on a security.		
N/N	N/O Non-negotiable securities held registered in your name.		
N/O	CUST Non-negotiable Custodian Registration.		
NPC	Interests in assets not registered in the name of nor held by Merrill Lynch or its nominees and held by you or registered in your name with the issuer or its agent. Merrill Lynch does not control or act as custodian. SIPC and excess SIPC protection do not apply. These assets are included on this statement solely as a service to you.		

Visa foreign currency transactions are converted to U.S. dollars using either a government-mandated rate or a wholesale rate, which VISA increases by 1% and Merrill Lynch increases by 1%; the "Currency Conversion" amount on your statement shows the amount of the 2% increase in the transaction.

Equity Sectors, Global Industry Classification Standard was developed by and is the exclusive property and a service mark of Morgan Stanley Capital Int'l Inc. and Standard & Poor's, a division of the McGraw-Hill Companies, Inc. and is licensed for use by Merrill Lynch.

3740

FBO PATRICIA OXLEY

*** END OF STATEMENT ***

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The HArrison Group

James M. Arrison III, CFP, WMA
First Vice President - Investments

Paul F. Goree III, CFP, WMA
Vice President
Senior Financial Advisor

Global Private Client Group

1850 K Street, N.W.
Suite 700
Washington, DC 20006
202 659 7373
800 266 4047
FAX 202 857 1085

Merrill Lynch

Account#

Select

PW

18:16 05/05/04 PG 3

FWR NPC FINANCIAL CONSULTANTS COB 05/04/04

SECURITY ACTIVITY FC: 0130

ACCT: 749-72R99 PATRICIA A OXLEY SEP (202) 225-2676

	DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
1	10/13/03	AIDB		50	WASATCH MICRO CAP FD	6.6400	333
2	09/22/03	AIDB		6	AMERICAN MUTUAL FUND	22.7800	151
3	06/23/03	JE		1	AMERICAN AMCAP FUND	.0000	0
4	06/23/03	AIDB		6	AMERICAN MUTUAL FUND	22.2600	151
5	06/23/03	JE		1	AMERICAN MUTUAL FUND	.0000	0
6	06/11/03	BOT		130	FIRST EAGLE	15.3400	2,000
7	06/11/03	BOT		264	AMERICAN AMCAP FUND	15.1100	3,999
8	06/11/03	BOT		1,162	AMERICAN MUTUAL FUND	22.3700	26,000
9	06/11/03	BOT		93	AMERICAN GROWTH FD OF	21.4600	2,000
10	04/24/03	SLD		105C	JANUS SMALL CAP VAL	22.7980	2,409C
11	12/30/02	AIDB		4	BERGER SMALL CAP VAL	22.6800	96

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD (MORE)

ACTION

The information set forth herein was obtained from sources which we believe reliable, but we do not guarantee its accuracy. Neither the information, nor any opinion expressed constitutes a solicitation

The HArrison Group

James M. Arrison III, CFP, WMA
First Vice President - Investments

Paul F. Goree III, CFP, WMA
Vice President
Senior Financial Advisor

Global Private Client Group

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Suite 700
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FAX 202 857 1085

Merrill Lynch

Account#

Select PW

10:16 05/05/04 PG Z

FWR NPC FINANCIAL CONSULTANTS COB 05/04/04

SECURITY ACTIVITY FC: 0130

ACCT: 749-72R99 PATRICIA A OXLEY SEP (202) 225-2676

	DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
1	03/19/04	JE		1	AMERICAN MUTUAL FUND	.0000	0
2	12/22/03	AIDB		2	WASATCH MICRO CAP FD	7.0900	18
3	12/15/03	JE		1	AMERICAN GROWTH FD OF	.0000	0
4	12/05/03	AIDB		4	AMERICAN MUTUAL FUND	23.4600	105
5	12/05/03	JE		1	AMERICAN MUTUAL FUND	.0000	0
6	12/05/03	AIDB		6	AMERICAN MUTUAL FUND	23.4600	152
7	12/04/03	JE		1	FIRST EAGLE	.0000	0
8	12/04/03	AIDB		3	FIRST EAGLE	17.7400	63
9	12/04/03	JE		1	FIRST EAGLE	.0000	0
10	10/13/03	AIDB		3	WASATCH MICRO CAP FD	6.6400	23
11	10/13/03	JE		1	WASATCH MICRO CAP FD	.0000	0

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD <MORE>

ACTION

The information set forth herein was obtained from sources which we believe reliable, but we do not guarantee its accuracy. Neither the information and any opinion expressed, constitutes a solicitation

CMA® ACCOUNT

MFA Selects™

Total Account Value As Of 12/31/2003 **$57,725.78**

MICHAEL G OXLEY AND
PATRICIA A OXLEY JTWROS
7629 HUNTMASTER LN
MCLEAN VA 22102-2531

YOUR FINANCIAL ADVISOR:
HARRISON GROUP

(202) 659-7373

FOR CUSTOMER SERVICE QUESTIONS:
1-800-MERRILL (1-800-637-7455)

Your Merrill Lynch Office:
1850 K ST NW 7TH FLOOR
WASHINGTON DC 20006

Review Your Statement Online at:
www.mlol.ml.com

Monthly Portfolio Summary

Asset	11/28/03 Value	%	12/31/03 Value	%
Cash/Money Accounts	754	1	754	1
CD's/Equivalents				
Government Securities				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds	54,627	99	56,970	99
Options				
Other				
Long Market Value	55,381		57,724	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	55,381		57,725	

Income Summary

	This Statement	Year-to-Date
Money Fund Dividends	.40	92.13
Tax-Exempt Funds		
Tax-Exempt Interest		
Reportable Interest		
Reportable Dividends	288.51	591.03
Income Not Reported		
Total	288.91	683.16

Merrill Lynch MFA Selects Program

Your Strategy Is:
GLOBAL EQUITY
Risk Category 6 - Strategy Code: GLBL

Please contact your Financial Advisor whenever there are changes in your financial condition or objectives

Purchasing Power 754

NEWS

See enclosed newsletter for details on an important change in supplemental coverage that provides your account protection in excess of the amounts afforded by the Securities Investor Protection Corporation.

Important Notice

You may receive a free copy of our written disclosure statement containing important information about the Merrill Lynch MFA program by sending a written request to:
Merrill Lynch
Client Account Services - MFA
4803 Deerlake Drive West
Floor 4
Jacksonville, FL 32246

3744

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	754.44	
Net Credits & Debits	.40	21,180.55DR
Closing Balance Cash & Money Accounts	754.84	

Credits	This Statement	Year-to-Date
Sales		10,302.17
Income	288.91	683.16
Funds Received		
Electronic Tfrs		
Other		8,000.00
Total Credits	288.91	18,985.33

Debits	This Statement	Year-to-Date
Purchases		34,999.98
Withdrawals		
Electronic Tfrs		
Other	288.51	5,165.90
Total Debits	288.51	40,165.88

Realized Capital Gain and Loss Summary*

	This Month	Year-to-Date
Short-Term	.00	(107.20)
Long-Term	.00	(359.17)

*Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2003 tax return. These reportable transactions will appear on your January statement.

Current Portfolio

Quantity	Security Description	Date Acquired	Adjust/Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
Cash and Money Accounts										
754	CMA MONEY FUND		1.00	754	1.00	754			4	.58

Current Portfolio

Quantity	Security Description	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
Mutual Funds and Defined Asset Funds									
Equity Funds									
842	AMERICAN AMCAP FUND CL A	$12,529	$1,658	12,974	16.85	14,187	1,211	5	.03

Current Portfolio

Quantity	Security Description	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
Mutual Funds and Defined Asset Funds									
	(.8650 FRACTIONAL SHARE) INITIAL PURCHASE: 05/23/01			N/A	16.85	14	N/A		.03
593	AMERICAN MUTUAL FUND INC CL A	$12,996	$1,460	13,272	24.38	14,457	1,181	308	2.13
	(.3770 FRACTIONAL SHARE) INITIAL PURCHASE: 06/11/03			N/A	24.38	9	N/A		2.13
479	AMERICAN GROWTH FD OF AMERICA CL A	$11,206	$548	11,245	24.54	11,754	510	2	.02
	(.6180 FRACTIONAL SHARE) INITIAL PURCHASE: 02/20/01			N/A	24.54	15	N/A		.02
185	FIRST EAGLE OVERSEAS CL 1	$3,001	$372	3,108	18.24	3,374	265		
	(.2940 FRACTIONAL SHARE) INITIAL PURCHASE: 09/26/03			N/A	18.24	5	N/A		
148	UAM FPA CRESCENT PORT	$3,007	$217	3,007	21.79	3,224	217		
	(.1890 FRACTIONAL SHARE) INITIAL PURCHASE: 09/26/03			N/A	21.79	4	N/A		
491	VAN KAMPEN COMSTOCK FUND CL A	$6,997	$834	7,012	15.95	7,831	818	93	1.19
	(.7370 FRACTIONAL SHARE) INITIAL PURCHASE: 09/26/03			N/A	15.95	11	N/A		1.19
287	WASATCH MICRO CAP FD	$1,139	$938	1,511	7.24	2,077	562		
	(.3390 FRACTIONAL SHARE) INITIAL PURCHASE: 02/20/01			N/A	7.24	2	N/A		
Total Equity Funds			$6,027	52,132		56,970	4,764	409	.72
Total Funds			$6,027	52,132		56,970	4,764	409	.72

TOTAL CLIENT INVESTMENT: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.
CUMULATIVE INVESTMENT RETURN: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment.

UNREALIZED GAIN or (LOSS): Estimated Market Value minus Total Cost Basis.
Provided for Tax Planning purposes only and is not applicable to retirement accounts "denoted by N/A".
INITIAL PURCHASE: Date of your Initial Investment in this fund.

Total of Long Portfolio	52,886*	57,724	4,764 *	414	.72

* - Excludes N/A Items

MICHAEL G OXLEY AND

3746

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
Security Transactions						
12/04	Journal Entry	1	FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM			
12/04	Divd Reinv	1	FIRST EAGLE OVERSEAS CL I REINV AMOUNT $23.26 REINV PRICE $17.74000 QUANTITY BOT 1.3110 AS OF 12/03			
12/04	Divd Reinv	4	FIRST EAGLE OVERSEAS CL I REINV AMOUNT $87.66 REINV PRICE $17.74000 QUANTITY BOT 4.9410 AS OF 12/03			
12/04	Journal Entry	1	FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM			
12/05	Divd Reinv	2	AMERICAN MUTUAL FUND INC CL A REINV AMOUNT $52.91 REINV PRICE $23.46000 QUANTITY BOT 2.2550 AS OF 12/04			
12/05	Journal Entry	1	AMERICAN MUTUAL FUND INC CL A FULL SHARE ACCUM			
12/05	Divd Reinv	3	AMERICAN MUTUAL FUND INC CL A REINV AMOUNT $76.42 REINV PRICE $23.46000 QUANTITY BOT 3.2570 AS OF 12/04			
12/11	Divd Reinv	1	VAN KAMPEN COMSTOCK FUND CL A REINV AMOUNT $23.28 REINV PRICE $15.11000 QUANTITY BOT 1.5410 AS OF 12/10			
12/22	Divd Reinv	1	WASATCH MICRO CAP FD			

3747

Monthly Activity

Date		Transaction	Quantity	Description	Price	Debit	Credit
Security Transactions							
				REINV AMOUNT $7.57 REINV PRICE $7.09000 QUANTITY BOT 1.0680 AS OF 12/19			
12/30		Journal Entry	1	UAM FPA CRESCENT PORT FULL SHARE ACCUM			
Net Total							
Dividends and Interest							
12/04	*	Dividend		FIRST EAGLE OVERSEAS CL I			87.66
12/04	*	Lg Tm Cap Gain		FIRST EAGLE OVERSEAS CL I			23.26
12/04	*	Sh Tm Cap Gain		FIRST EAGLE OVERSEAS CL I			2.68
12/05	*	Dividend		AMERICAN MUTUAL FUND INC CL A			76.42
12/05	*	Lg Tm Cap Gain		AMERICAN MUTUAL FUND INC CL A			52.91
12/11	*	Dividend		VAN KAMPEN COMSTOCK FUND CL A			23.28
12/15	*	Dividend		AMERICAN GROWTH FD OF AMERICA CL A			2.92
12/22	*	Lg Tm Cap Gain		WASATCH MICRO CAP FD			7.57
12/30	*	Dividend		UAM FPA CRESCENT PORT			11.81
12/31		Cash Dividend		CMA MONEY FUND FROM 11-28 THRU 12-31			.40
Net Total							**288.91**
Other Activity							
12/04		Reinvestment		FIRST EAGLE OVERSEAS CL I		87.66	
12/04		Reinvestment		FIRST EAGLE OVERSEAS CL I		23.26	
12/04		Reinvestment		FIRST EAGLE OVERSEAS CL I		2.68	
12/04		Divd Reinv		FIRST EAGLE OVERSEAS CL I REINV AMOUNT $2.68 REINV PRICE $17.74000 QUANTITY BOT .1510 AS OF 12/03			
12/05		Reinvestment		AMERICAN MUTUAL FUND INC CL A		76.42	
12/05		Reinvestment		AMERICAN MUTUAL FUND		52.91	

3748

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
Other Activity						
			INC CL A			
12/11	Reinvestment		VAN KAMPEN		23.28	
			COMSTOCK FUND CL A			
12/15	Reinvestment		AMERICAN GROWTH FD OF		2.92	
			AMERICA CL A			
12/15	Divd Reinv		AMERICAN GROWTH FD OF			
			AMERICA CL A			
			REINV AMOUNT $2.92			
			REINV PRICE $23.75000			
			QUANTITY BOT .1230			
			AS OF 12/12			
12/22	Reinvestment		WASATCH MICRO CAP FD		7.57	
12/30	Reinvestment		UAM FPA CRESCENT PORT		11.81	
12/30	Divd Reinv		UAM FPA CRESCENT PORT			
			REINV AMOUNT $11.81			
			REINV PRICE $21.44000			
			QUANTITY BOT .5510			
			AS OF 12/16			
Net Total					288.51	

3749

Tax Information Summary

	This Statement	Year-to-Date
Accrued Interest Paid		
Tax-Exempt		
Reportable		
Accrued Interest Received		
Tax-Exempt		
Reportable		
Gross Proceeds		10,302.17

Although we provide complete year-to-date information for Reportable Accrued Interest Received, Accrued Interest Paid and Tax-Exempt Accrued Interest Received and Paid include only those months we produce your profit and loss statement.

Customer Service For information about your account, please call 1-800-MERRILL (1-800-637-7455). To report Lost or Stolen Visa Cards or Checks, please call 1-800-262-5678.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 2:55
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

3750

FRANK J. PALLONE JR.
(Full Name)

1187 OCEAN AVE
LONG BRANCH, NJ. 07740
(Mailing Address)

Daytime Telephone: (202) 225-4671

Filer Status	[X] Member of the U.S. House of Representatives — State: NJ District: 6	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Frank Pallone Jr.	5/17/04

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name FRANK J PALLONE JR

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	Block C: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock		X				X										P
			Simon & Schuster	Indefinite								Royalties					X		
			1st Bank of Paducah, KY accounts							X									
JT	WACHOVIA SECURITIES																		
	TIME WARNER INC			X					X				X						
	CISCO SYSTEMS INC			X					X				X						
	NOKIA CORP			X					X										
	PHOENIX COMPANIES			X					X										

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name: Frank J Pallone Jr

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Congressional FCU Accounts								X			X						
JT	Wachovia Bank Accounts								X			X						
SP	General Electric Exec Pension								[illegible]									
	Wachovia Bank Accounts								X			X						
DC	Wachovia Securities																	
	Evergreen Money Mkt		X					X					X					
	Cisco Systems		X					X				X						
	General Electric Co							X					X					
	Goldman Sachs Group Inc							X										
	Lauder Estee Cos Inc		X					X										
	Pfizer Inc		X					X										
	Worldcom Inc GA Non							X				X						
	Worldcom Inc MKT GRP							X				X						
	Disney Walt Co		X					X										
	Home Depot Inc		X					X										
	Intel Corp		X					X										
	Micro Soft		X					X										
	Vertex Pharm		X					X				X						
	Blackrock Strategic Bond							X										P
	Evergreen Aggressive Gwth							X				X						

This page may be copied if more space is required.

3752

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Frank J Pallone Jr

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	Coco Soft Inc	X						X				X						
DC	Coco Soft Inc	X						X				X						
SP	Wachovia Securities Roth IRA																	
	Evergreen Funds		X					X						/A	E			
	Franklyn Cust. DYNA		X					X						/A	E			
	Wachovia Securities Roth IRA																	
	Evergreen Funds		X					X						/A	E			
	Franklyn Cust DYNA		X					X						/A	E			

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3753

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Frank J Pallone Jr

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	Wachovia Securities - IRA																	
	Disney Walt Company		X					X					N		A			
	General Electric Co.		X					X					N		A			
	Lauder Estee Cos Inc		X					X					N		A			
	Merck + Co Inc		X					X					N		A			
	Texas Instrument Inc		X					X					N		A			
	Unilever PLC		X					X					N		A			
	Valero Energy Corp		X					X					N		A			
	Europacific Growth Fd		X					X					N		A			
	Evergreen Fund		X					X					N		A			
	MFS Bond Fund		X					X					N		A			
	Putnam Intl National Gwth							X					N		A			E
	Medco Health Solutions							X					N		A			
	Capital Income OLDR		X					X					N		A			P

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3754

SCHEDULE IV — TRANSACTIONS

Name FRANK J PALLONE JR

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
JT	MFS SER TR MID CAP	X	VARIOUS					
JT	TREASURY Cube 6/1/06	X	1-16-03					
JT	EVERGREEN MM	X	VARIOUS					
DC	BlackRock Strategic Bond		VARIOUS					
DC	MFS Emerg Growth CL B	X	VARIOUS					
SP	The Phoenix Co		VARIOUS					
SP	Capital Income DLDR		VARIOUS					

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3755

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
American Ingredients	JAN 26-27	Scottsdale Ariz - Scottsdale Arizona - Long Branch NJ	N	N	N	N
Virgo Publishing	JAN 26-27	Scottsdale Ariz - Scottsdale Arizona - Long Branch NJ	Y	Y	N	N
India Association of North Texas	JAN 25-26	Long Branch NJ - Dallas Tx - Scottsdale Ariz	Y	Y	N	N
India American Friendship Council	JAN 25-26	Long Branch NJ - Dallas Tx - Scottsdale Ariz	N	N	N	N
Otolaryngology Int + Research Assoc	Feb 24	Long Branch NJ - Daytona Bch Fla - Long Branch NJ	N	N	N	N
National Tribal Environmental Conference	April 25-28	Long Branch NJ - Phoenix Ariz - Long Branch NJ	Y	N	N	N
American Israel Foundation	Aug 1-7	Long Branch NJ - Jersalem Israel - Long Branch NJ	Y	Y	N	N
National Indian Gaming Assn	Aug 16-17	Long Branch NJ - San Francisco CA Minneapolis Minn - Long Branch NJ	Y	N	N	N
Pancyprian Association of America	Aug 26-28	Long Branch NJ - Cyprus - Long Branch NJ	Y	Y	N	N
Mohegan Tribe	Oct 21-23	Long Branch NJ - Uncasville CT - Long Branch NJ	N	Y	N	N
Federation of Canadian Armenia Public Advocacy	Oct 26-27	Long Branch NJ - Toronto Canada - Long Branch NJ	Y	N	N	N
Hoopa Tribe	Dec 10-11	Long Branch NJ - Eureka Calif - Long Branch NJ	Y	N	N	N
Cabazon Band of Mission Indians	Dec 10-11	Long Branch NJ - Eureka Calif - Long Branch NJ	N	N	N	N

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3756

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Honorary Member of Board	Rainbow Foundation
Honorary Member of Board	Ranney School Tinton Falls, N.J.
Member of Board	Zimmerli Museum, Rutgers University

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2004 MAY 11 PM 2:17

MC

U.S. HOUSE OF REPRESENTATIVES
(Clerks Use Only)

7

3758

William James Pascrell, Jr.
(Full Name)

441 Ninth Avenue
(Mailing Address)

Paterson, New Jersey 07514

Daytime Telephone: 973-523-5152

Filer Status	[X] Member of the U.S. House of Representatives — State: NJ District: 8	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	William J Pascrell Jr	5/11/04

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	U.S. House of Representatives (H)	Salary	160,481
	State of New Jersey (H)	Pension	50,366

For payments to charity in lieu of honoraria, use Schedule II.

Name William James Pascrell, Jr. | Page 3 of 7

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	P, S, E
SP	Examples: Mega Corp Stock			X				X										P
	Simon & Schuster	Indefinite									Royalties					X		
	1st Bank of Paducah, KY accounts								X									
JT	State of New Jersey - Pension				X						Pension							
JT	Advest 250 General Electric		X					X										
	26 Republic Bancorp							X										
	200 Sprint		X					X										
	Jersey City G/O								X									
	Passaic County G/O								X				X					

For additional assets and unearned income, use next page.

3760

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name William James Pascrell, Jr

Page 4 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Washington Township c/o								X				X					
	Palisades Park c/o								X				X					
	NJ Building Auth Rev Bond								X			X						
	Marlboro Township MUA								X			X						
	NJ Money Fund		X						X									
JT	Jackson Securities																	
	Cash Acct								X									
	Evergreen Growth		X					X										
	Evergreen Core Bond		X					X					X					
	Evergreen Equity		X					X										
	Pulaski Tax Exempt							X										
JT	Washington Mutual								X									
JT	Evergreen Investments		X					X										
JT	Jackson (CD)			X					X				X					
JT	Jackson (CD)								X				X					
	Jackson (IRA)		X						X				X					
SP	Fidelity Magellan							X					X					
	Fidelity Magellan		X					X										
SP	Hudson United Bank (IRA)								X				X					
	Hudson United Bank (IRA)								X				X					
SP	Merrill Lynch (IRA)							X					X					
JT	Transcontinental Life + Annuity								X				X					

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name William James Pescell, Jr.

Page 5 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	Transamerica Life + Annuity								X									
SP	Transamerica Life + Annuity		X						X									
SP	Transamerica Life + Annuity								X									
	Transamerica Life + Annuity			X					X									
SP	Velie IRA			X					X									
	Velie IRA								X									
JT	Ryan Oak																	
	15 Suwanee Dev Bond		X						X				X					
	150 Amgen		X					X										
	Primco Municipal Fund		X					X					X					
	Thrift Savings Plan - Retirement							X										
JT	US Savings Bonds (E+EE)			X					X					X				
	Wachovia																	
	Prudential Annuity			X														

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SCHEDULE IV — TRANSACTIONS

Name William James Pascrell, Jr. | Page 6 of 7

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: SALE	Type of Transaction: PURCHASE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock			10-12-03	X								
JT	Putnam Income Fund	X		3-24-03	X								
JT	Essex County G/O Bond	X		5-5-03	X								
	Prudential Annuity (Workmen Account)			12-11-03									

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3763

Name William James Pascrell, Jr | Page 7 of 7

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Fox News	Mar 1	Cle. To NYC	N	N	N	N
		Aug 4		N	N	N	N
		Aug 11		N	N	N	N
		Aug 28		N	N	N	N
		Oct 19		N	N	N	N
		Nov 16		N	N	N	N
		Dec 1		N	N	N	N
		Dec 7		N	N	N	N
		Dec 14		N	N	N	N
		Dec 21		N	N	N	N
		Dec 29		N	N	N	N

3764

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

7

HAND DELIVERED

2001 MAY 19 P 12:10

(Office Use Only)

MC

William James Pascrell, Jr.
(Full Name)

441 Ninth Avenue
(Mailing Address)

Paterson, New Jersey 07514

Daytime Telephone 973-523-5152

Filer Status	[X] Member of the U.S. House of Representatives	State: NJ District: 9th	[ ] Officer or Employee — Employing Office:
Report Type	[ ] Annual (May 15)	[X] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	[X]	[ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	[ ]	[X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	[X]	[ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	[X]	[ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	[ ]	[X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $265 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	[ ]	[X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	[X]	[ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calender year? **If yes, complete and attach Schedule VIII.**	[ ]	[X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	[ ]	[X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefitting you, your spouse, or dependent child?	[ ]	[X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	[ ]	[X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	William J Pascrell	

3765

Name William James Pascrell, Jr | Page 2 of 7

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of New Jersey (H)	Pension	50,366

For payments to charity in lieu of honoraria, use Schedule II.

3766

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name William James Pascrell, Jr. | Page 3 of 7

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A Asset and/or Income Source		BLOCK B Value of Asset (A None; C $1,001 – $15,000; E $15,001 – $50,000; G $50,001 – $100,000; I $100,001 – $250,000; K $250,001 – $500,000; M $500,001 – $1,000,000; O $1,000,001 – $5,000,000; Q $5,000,001 – $25,000,000; S $25,000,001 – $50,000,000; U Over $50,000,000)	BLOCK C Type of Income (DIVIDENDS; RENT; INTEREST; EXCEPTED TRUST; QUALIFIED TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	BLOCK D Amount of Income (I None; III $201 – $1,000; V $2,501 – $5,000; VII $5,001 – $15,000; IX $15,001 – $50,000; XI $50,001 – $100,000; XIII $100,001 – $1,000,000; XV $1,000,001 – $5,000,000; XVII Over $5,000,000)	BLOCK E Transaction (P, S, E)
SP, DC, JT	*Examples.* SP Mega Corp. Stock		X			P
	Simon & Schuster	Indefinite				
	1st Bank of Paducah, KY account			INTEREST X		
JT	State of New Jersey Pension		X			
JT	Allvest General Electric	X		DIVIDENDS X		
	Republic Bancorp			DIVIDENDS X		
	Sprint	X		DIVIDENDS X		
	Jersey City G/O			INTEREST X		
	Passaic County G/O			INTEREST X	III X	

For additional assets and unearned income, use next page.

3767

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name William James Pascrell, Jr

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; G $250,001 – $500,000; I $1,000,001 – $5,000,000; K $25,000,001 – $50,000,000	DIVIDENDS; INTEREST; EXCEPTED TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	Washington Township C/U		INTEREST	III $201 – $1,000	
	Palisades Park C/O		INTEREST	III $201 – $1,000	
	NJ Building Auth Rev Bond		INTEREST	I None	
	Marlboro Township MUA		INTEREST	I None	
	NJ Money Fund	C $1,001 – $15,000	INTEREST		
JT	Dreyfus Securities				
	Cash Acct		INTEREST		
	Evergreen Growth	C $1,001 – $15,000	DIVIDENDS	II $1 – $200	
	Evergreen Core Bond	C $1,001 – $15,000	DIVIDENDS	III $201 – $1,000	
	Evergreen Equity	C $1,001 – $15,000	DIVIDENDS		
	Putnam Tax Exempt		DIVIDENDS		
JT	Washington Mutual		INTEREST		
JT	Evergreen Investments	C $1,001 – $15,000	DIVIDENDS	II $1 – $200	
JT	Dreyfus (CD)	E $50,001 – $100,000	INTEREST	III $201 – $1,000	
JT	Dreyfus (CD)		INTEREST	III $201 – $1,000	
	Fidelity Magellan	C $1,001 – $15,000	DIVIDENDS	III $201 – $1,000	
SP	Fidelity Magellan		DIVIDENDS	III $201 – $1,000	
JT	Transamerica Life (Annuity)		INTEREST	III $201 – $1,000	
	Wachovia - IRA				
	Certificate of Deposit	C $1,001 – $15,000	INTEREST	III $201 – $1,000	
SP	Hudson United Bank				
	Certificate of Deposit	D $15,001 – $50,000	INTEREST	III $201 – $1,000	

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3768

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name William James Pascell, Jr.

SP, DC, J	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIV/DENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	Transamerica Life + Annuity								X									
SP	Transamerica Life + Annuity		X						X									
SP	Transamerica Life + Annuity								X									
	Transamerica Life + Annuity			X					X									
SP	Valic IRA			X					X									
	Valic IRA								X									
JT	Ryan Oak																	
	US Treasury Dev Bond		X						X				X					
	Amgen		X					X										
	Pimco Mun. Cal. Fund		X					X					X					
	Valic (IRA) - Valic Fixed Income Fd								X				X					
JT	US Savings Bonds (E+EE)			X					X					X				
	Wachovia																	
	Prudential Annuity			X														
	Hudson United Bank (IRA)																	
	Certificate of Deposit			X					X				X					
SP	Merrill Lynch (IRA)								X				X					
	ML Bank USA NASP		X						X				X					
	Retirement Reserve CLT		X						X				X					
SP	Valic (IRA)																	
	Valic Fixed Income Fund								X				X					

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SCHEDULE IV — TRANSACTIONS

Name William James Pascrell, Jr. | Page 6 of 7

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction			Date (MO/DAY/YR)	Amount of Transaction									
			SALE			B	C $15,001–$50,000	D	E $100,001–$250,000	F	G $500,001–$1,000,000	H	I $5,000,001–$25,000,000	J	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10-12-03		X								
JT	Putnam Income Fund		X		3-24-03		X								
JT	Essex County G/U Bond		X		5-5-03		X								
	Prudential Annuity (Warehouse Account)				12-11-03										

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3770

Name William James Pascrell, Jr | Page 1 of 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Fox News	Mar 1	Paterson - NYC - Paterson	N	N	N	N
	✓	Aug 4	✓	N	N	N	N
	✓	Aug 11	✓	N	N	N	N
	✓	Aug 28	✓	N	N	N	N
	✓	Oct 15	✓	N	N	N	N
	✓	Nov 16	✓	N	N	N	N
	✓	Dec 1	✓	N	N	N	N
	✓	Dec 7	✓	N	N	N	N
	✓	Dec 11	✓	N	N	N	N
	✓	Dec 21	✓	N	N	N	N
	✓	Dec 29	✓	N	N	N	N

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3771

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A

For use by Members, officers, and employees

HAND DELIVERED

7

2004 MAY 17 PM 5:50

(Office Use Only)

Edward L. Pastor
(Full Name)

1151 West Thomas Road Phoenix, AZ 85013-4206
(Mailing Address)

202-225-4065
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative — State: AZ District: 04	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Edward L Pastor	5-17-4

3772

SCHEDULE I - EARNED INCOME

Name Edward L. Pastor

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Arizona	Spouse Pension	$33,303
County of Maricopa	Spouse Salary	$960
Isaac School District	Spouse Salary	$23,030
US House of Representatives	Salary	$153,131

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward L. Pastor

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Residential Lot, Guadalupe, AZ	$1,001 - $15,000	CAPITAL GAINS	NONE	NA
SP	AZ St Employees Credit Union/Savings	$15,001 - $50,000	INTEREST	$201 - $1,000	NA
SP	AZ St Employees Credit Union/IRA	$1,001 - $15,000	INTEREST	NA	NA
	Bank One, Phx, AZ (IRA)	$1,001 - $15,000	INTEREST	NA	NA
SP	AZ St Retirement System Pension	$50,001 - $100,000	PENSION	NA	NA
	AZ State Elected Officials Retirement System Pension Fund	$15,001 - $50,000	Capital Gains Interest	NA	NA

3774

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward L. Pastor

	Congressional Federal Credit Union - Savings	$1,001 - $15,000	INTEREST	$1 - $200	NA
SP	Nationwide Retirement Services	$15,001 - $50,000	Capital gains Interest	NA	NA
SP	T.I.A.A. - C.R.E.F. Pension Fund	$50,001 - $100,000	Capital Gains Interest	NA	NA
	Bank One, Phx, AZ (IRA)	$1,001 - $15,000	INTEREST	NA	NA
	AmerUs Life Insurance Cash Value	$15,001 - $50,000	Dividends, Premiums	$201 - $1,000	NA
	Thrift Savings Plan Pension Fund, Washington DC	$250,001 - $500,000	Capital gains Interest, Contribution	NA	NA
SP	Guardian Park Avenue Fund A	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	NA

SCHEDULE V - LIABILITIES

Name Edward L. Pa[illegible]r

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Bank of America, Phoenix, AZ	Mortgage on 1151 W Thomas Rd, Phx, AZ	$15,001 - $50,000
JT	Bank One, Phoenix, AZ	Home Equity Line on 1151 W Thomas Rd, Phx, AZ	$15,001 - $50,000
JT	Homeside Lending, Inc., DBA Washington Mutual Home Loans, San Antonio, TX	Mortgage on 1015 W Campbell, Phx. AZ	$50,001 - $100,000
	Wells Fargo Bank, Des Moines, IA	Mortgage on 649 C St, #304, Washington, DC	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Edward L. Pastor

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Consumer Electronics Association	Jan 11-13	Wash, DC - Las Vegas, NV - Wash DC	Y	Y	Y	NA
Congressional Hispanic Caucus Institute	Jan 22-25	Phx, AZ - Puerto Rico - Wash DC	Y	Y	Y	NA
The Public Governance Institute	Feb 28 - Mar 2	Wash DC - White Sulphur Springs, WVA - Wash DC	Y	Y	Y	NA
Nuclear Energy Institute	May 24 - Jun 1	Wash DC-Barcelona, Spain - Paris France - Wash DC	Y	Y	Y	NA
SW Student Services Corp.	Aug 20-23	Phx, AZ-San Francisco CA-Phx, AZ	Y	Y	Y	NA
Natl Assn of Latino Elected Officials	Aug 28	Phx AZ - Los Angeles CA-Phx AZ	N	N	N	NA

SCHEDULE VIII - POSITIONS

Name Edward L. Pastor

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	Congressional Hispanic Caucus Washington, DC
Member	Congressional Hispanic Caucus Institute, Washington, DC
Member	National Association of Latino Elected Officials (NALEO), Washington DC
Board of Trustees	Neighborhood Housing Services of America, Oakland, CA

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

8

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2004 MAY 12 PM 3:02
(Office Use Only)

Ronald E. Paul
(Full Name)

2422 Bluewater Highway
(Mailing Address)

Daytime Telephone: (979) 265-3034

Surfside, TX 77541

Filer Status	[XX] Member of the U.S. House of Representatives — State: Texas District: 14	[ ] Officer or Employee	Employing Office:
Report Type	[XX] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [XX]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [XX]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [XX]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [XX]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [XX]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [XX]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [XX]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [XX]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [XX]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [XX]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [XX]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ron Paul	5-10-2004

3779

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP	Mega Corp Stock					X								X																		
	Simon & Schuster		Indefinite																	Royalties									X			
	1st Bank of Paducah, KY accounts						X									X																
	Swim-Ten, LJ, TX			X										Sub S Corporation							X											
	Acreage farmland, Hwy 332, LJ, TX (Carona Ltd)						X							Partnership Income							X											
	58 Flag Lake Plaza LJ, TX (CARR, Ltd)					X								Partnership Income												X						
	Dundee Bancorp Inc. Class A Common Stock			X										X							X											
	Vista Gold Corp CS		X											X							X											

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ronald E. Paul

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Newmont Corp CStock							X										
	Goldcorp Inc Class A							X					X					
	Kinross Common Stock (includes stocks formerly TVX Gold Inc. & Echo Bay Mines)							X										
	WMC Limited Common Stk							X										
	Agnico Eagle Mines CS							X					X					
	Barrick Gold Corp CS			X				X										
	Barrick Gold Corp CS	X																S
	Glamis Gold Ltd CS							X				X						
	Freeport McMoran Copper & Gold Inc.	X													X			S
	Claude Res Inc C Stk		X					X				X						
	Wheaton River Minerals							X				X						P
	IAM Gold Corp			X				X				X						P
	Mag Silver Corp		X					X				X						P
	Mines D or Va Inc		X					X				X						P
	Apollo Gold Corp		X					X				X						P
	Pan American Silver							X				X						P
	Pam American Silver Warrants		X					X				X						P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ronald E. Paul

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Golden Star Res Ltd							X				X						P
	Great Basin Gold Ltd		X					X				X						P
	Eldorado Gold Corp		X					X				X						P
	River Gold Mines Ltd		X					X				X						P
	Golden Cycle Gold Corp							X				X						P
	Prudent Bear Mutual Fd Dallas, TX		X						X				X					
	Rydex-Ursa Mutual Fund Rockville, MD								X				X					
	Oppenheimer Real Asset Mutual Fund Denver, DO		X						X				X					
	Rydex Dynamic Venture Mutual Fund Rockville, MD		X						X				X					
	Condominium, 5300 Holmes Run Pkwy Alexandria, VA										NONE							
	Acreage-9 B.T. Archer Oyster Creek, TX			X							NONE							
	First National Bank of of LJ, TX Defined Benefit Account		X						X									

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ronald E. Paul

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	First National Bank of LJ, TX - Savings								X									
	Congressional Federal Credit Union, Wash, DC								X									
	Texas Dow Employees Credit Union, LJ, TX								X									
	Texas Gulf Bank, N.A. LJ, TX			X					X				X					
	Mutual Securities Inc. Encino, CA		X						X									
	2422 Bluewater Hwy, Surfside, TX							X						X				

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SCHEDULE IV — TRANSACTIONS

Name Ronald E. Paul

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
	Wheaton River Minerals Ltd	X			1-3&6-03 10-29-03		X								
	IAM Gold Corp	X			1-2-03 1-3-03		X								
	Barrick Gold Corp		X		1-3-03			X							
	Golden Star Res Ltd	X			1-14-03	X									
	River Gold Mines Ltd	X			1-17-03 1-14-03	X									
	Golden Cycle Gold Corp				1-14,17,22, 23,28-03		X								
	Pan American Silver	X			1-21-03		X								
	Pan American Silver Warrants	X			1-21-03	(no cost)									
	Great Basin Gold Ltd				1-22-03	X									
	Eldorado Gold Corp	X			1-23-03	X									
	Freeport McMoran Copper & Gold Inc.		X		8-19-03		X								
	Apollo Gold Corp	X			9-9-03	X									
	Mines D OR VA Inc	X			9-11-03	X									
	Mag Silver Corp	X			9-16-03	X									

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3784

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Mises Institute	Oct 24-25	Houston-Auburn,AL-Houston	Y	Y	Y	N

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3785

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Ronald E. Paul, M.D. Association
President	Swim-Ten, Inc.
Partner	Carona Limited
Partner	CARR, Ltd.
Honorary Chairman	Foundation for Rational Economics and Education, Inc.
Honorary Chairman	The Liberty Committee

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

Congressman Ron Paul
U.S. House of Representatives
Washington, D.C.

LEGISLATIVE RESOURCE CENTER
2004 MAY 19 AM 11:40
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

District Political Office:
837 West Plantation Dr.
Clute, TX 77531
(979) 265-1998

District Political Office:
[illegible]

HAND DELIVERED

2

May 17, 2004

The Clerk, U.S. House of Representatives
Legislative Resource Center
B106 Cannon House Office Building
Washington, D.C. 20515-6612

Attn: Pete Van Hartisveldt

As discussed per your phone conversation with Deana in my office, enclosed please find an additional Schedule III item to be included in my FINANCIAL DISCLOSURE STATEMENT FOR 2003 filed with your office on May 12 , 2004. The Placer Dome stock was accidentally left off of the report.

Sincerely,

Ron Paul

Ronald E. Paul
Member of Congress

801 PRINTED W/ CONFIDENCE EXPRESS

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Name Ronald E. Paul | Page ___ of ___

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

LEGISLATIVE RESOURCE CENTER
2004 MAY 19 AM 10:40
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Owner	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X										X								P
DC, *Examples:*	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
	Placer Dome Inc. Common Stock				X									X								X										

For additional assets and unearned income, use next page.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

8

HAND DELIVERED

2004 MAY 17 PM 6:31 MC
(Office Use Only)

Donald Milford Payne
(Full Name)

21 Bock Avenue Newark,, NJ 07112 (202)2253436
(Mailing Address) Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: NJ District: 10	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Donald M Payne	5-14-04

3789

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name Donald Milford Payne

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Council for Opportunity in Education	Speech	March 24, 2003	$2,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Donald Milford Payne

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Wachovia	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	19 Bock Avenue, Newark, New Jersey	$100,001 - $250,000	RENT	$15,001 - $50,000	
	Merck & Co. Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Copeland Co. Pension Plan	$50,001 - $100,000	Pension	NONE	
	Smith Barney Shearson IRA	$100,001 - $250,000	IRA	NONE	
	Laser Master International, Inc.	$1,001 - $15,000	DIVIDENDS	NONE	

3791

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Donald Milford Payne

	Prudential Stock	$1,001 - $15,000	DIVIDENDS	NONE	
	Medco Health Solutions, Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Schering Plough Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE V - LIABILITIES

Name Donald Milford Payne

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Independence Community Bank, Newark, New Jersey	Mortgage on 19 Bock Avenue	$50,001 - $100,000
	PNC Bank	Home Equity Loan on 19 Bock	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Donald Milford Payne

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Inter-American Economic Council	Jan. 15-19	Wash., D.C. - Antigua-Barbuda, Barbadas-Wash., D.C.	Y	Y	N	N/A
Aspen Institute	February - 19	Newark-Monego Bay, Jamaica - Newark	Y	Y	Y	N/A
Transatlantic Policy Network	April 12-15	Newark-Spain-Newark	Y	Y	N	N/A
Aspen Institute	June 27 - July 3	Newark-Helsinki - Newark	Y	Y	N	N/A
Irish American Unify Conference	July 10-14	Newark-Dublin-London-Amsterdam-London-Dublin-Newark	Y	Y	N	N/A
International Longshoremen's Association	July 19 - 22	Newark-SanJuan-Newark-Wash., D.C.	Y	Y	N	N/A
United Nations Convention to Comabt Desertification	Sept. 1 - Sept. 4	Newark-SanJuan- Panama City-Havana-Panama City-Newark	Y	Y	N	N/A
Congressional Black Caucus Foundation	Oct. 23 - 26	Newark-SanJuan - Newark	Y	Y	N	N/A
Northwestern University	Nov. - 1	Newark-Boston - Newark	Y	Y	N	N/A
Carib News	Nov. 7 - 12	Newark-Nassau-Newark	Y	Y	N	N/A

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Donald Milford Payne

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense

SCHEDULE VIII - POSITIONS

Name Donald Milford Payne

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Newark Boys' and Girls' Clubs
Board of Directors	Newark Day Center
Board of Directors	Newark YMWCA
Board of Directors	Congressional Black Caucus Foundation
Board of Directors	Newark Fighting Back Initiative
Board of Directors	National Endowment for Democracy
Board of Directors	Discovery Channel Foundation
Board of Directors	Enterprise Works

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

7

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 12 PM 5:39
MC
(Office Use Only) RECEIVED

STEVAN E. PEARCE
(Full Name)

P.O. BOX 15866
WASHINGTON, D.C. 20003-0866
(Mailing Address)

202-225-2365
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: NEW MEXICO District: 02	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Stevan Pearce	5-12-04

3797

Name STEVAN E. PEARCE Page 1 of 6

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	TRINITY INDUSTRIES, INC.	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name STEVAN E. PEARCE

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
SP, DC, JT	Examples: SP Mega Corp. Stock	Indefinite	X Dividends	X	P
	Simon & Schuster	X	Royalties	X	
	1st Bank of Paducah, KY accounts	X	X Interest	X	
	TRINITY INDUSTRIES, INC STOCK		X		
	LEA PICKING TOOLS, INC.	X	X	X	
	EQUIP RENTAL NM, TX				
	EXEDRA, LLC STOCK			X	
	EQUIP RENTAL HOBBS, NM	X	Partnership	X	
	GREG, LLC STOCK				
	EQUIP RENTAL HOBBS, NM	X	Partnership X		
	LFT, LLC STOCK				
	EQUIP RENTAL HOBBS, NM	X	Partnership	X	
SP	LEA COUNTY STATE, HOBBS STOCK	X	X	X	P
SP	WELLS FARGO STOCK	X	X	X	

For additional assets and unearned income, use next page.

3799

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name STEVAN E. PEARCE

Page 3 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	BANK CDS OR IRA TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	USAA SBP/IRA FDIC INS MM DEP ACCT					X										X							X									
SP	USAA IRA FDIC INS MM DEP ACCT				X											X							X									
SP	MERRILL LYNCH SIMPLE IRA MM DEP ACCT					X										X																
	LCS BANK HOBBS, NM ACCOUNTS					X										X							X									
	1ST NATL BANK HOBBS, NM ACCOUNTS								X							X									X							
	CFCU WASHINGTON, DC			X												X						X										
	USAA SBP/IRA																															
	USAAX	X												X							X											S
	USSCX	X												X							X											S
	US GRX	X												X							X											S
	USAA IRA																															
	EXXON/MOBIL	X												X							X											S
	MERRILL LYNCH SIMPLE IRA																															
	CHEVRON/TEXACO	X												X							X											S
	XCEL ENERGY	X												X							X											S
	MM DEPOSIT ACCT	X														X					X											S
	NRG ENERGY	X														X					X											E

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SCHEDULE IV — TRANSACTIONS

Name STEVAN E. PEARCE

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP *Example.*	Mega Corporation Common Stock	X			10-12-03		X								
SP	LEA COUNTY STATE BANK STOCK	X			12-12-03						X				
	USAAX (ROLLOVER TSP)		X		10-23-03	X									
	USSCX (ROLLOVER TSP)		X		10-23-03	X									
	USGRX (ROLLOVER TSP)		X		10-23-03	X									
	EXXON/MOBIL (ROLLOVER TSP)		X		10-28-03	X									
	CHEVRON/TEXACO (ROLLOVER TSP)		X		10-23-03	X									
	XCEL ENERGY (ROLLOVER TSP)		X		10-23-03	X									
	MERRILL LYNCH M M DEPOSIT ACCT (ROLLOVER TSP)		X		10-23-03		X								
	NRG ENERGY (BANKRUPTCY - BOUGHT OUT BY EXCEL ENERGY STOCK)			X	6-10-02	X									

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	AMERICAN GAS ASSOCIATION	APR. 24	SPRINGDALE, AR - AUSTIN, TX - HOBBS, NM	Y	Y	Y	N
	THE BUSINESS ROUNDTABLE	MAY 1-2	WASH, D.C. - NY, NY - WASH, D.C.	Y	Y	Y	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PRESIDENT	TRINITY INDUSTRIES INC/FKA LEA FISHING TOOLS, INC.
MEMBER	EXEDRA, LLC
MEMBER	GREE, LLC
MEMBER	LFT, LLC
DIRECTOR	NM 4-H YOUTH FOUNDATION
DIRECTOR	STEVAN AND CYNTHIA PEARCE CHARITABLE FOUNDATION

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

Use additional sheets if more space is required

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

Nancy Pelosi
(Full Name)

235 Montgomery Street, Suite 610 San Francisco, CA 94104
(Mailing Address)

415-788-8600
Daytime Telephone:

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: CA District: 08	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4. § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Nancy Pelosi	5/17/04

3804

SCHEDULE I - EARNED INCOME

Name Nancy Pelosi

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Nancy Pelosi	None	N/A
Financial Leasing	Spouse Salary	N/A
Fairfield Associates	Spouse Management Fee	N/A
Isolep Enterprises	Spouse Management Fee	N/A
Yerac Associates	Spouse Management Fee	N/A

SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name Nancy Pelosi

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
NONE			

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	1600 Atlas Peak Road, Napa, CA - Real estate investment	$500,001 - $1,000,000	Rent	$5,001 - $15,000	N/A
SP	235 Twelve Inc. - LLC Common Stock (Now 235 Second Twelve Inc. - LLC)	$100,001 - $250,000	None	NONE	N/A
SP	25 Point Lobos, San Francisco, CA - Commercial property	$1,000,001 - $5,000,000	Rent	$100,001 - $1,000,000	N/A
SP	45 Belden Place, San Francisco, CA - Four-story commercial building	$1,000,001 - $5,000,000	Rent	$100,001 - $1,000,000	N/A
JT	723 Mule Ears Court Norden, CA - Townhome	$1,000,001 - $5,000,000	NONE	NONE	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

SP	820 Sir Francis Drake Blvd., San Anselmo, CA - Commercial Property	$1,000,001 - $5,000,000	Rent	$100,001 - $1,000,000	P
SP	Access Technology Partners, LP	$100,001 - $250,000	Capital Gains	$15,001 - $50,000	N/A
SP	Adaytum Software, Inc. - Common Stock	$1,001 - $15,000	Capital Gains	$50,001 - $100,000	Partial Sale
SP	Advanced Fiber Communications - Public Common Stock	$100,001 - $250,000	NONE	NONE	N/A
SP	Agile Software Corp. - Public Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Alliance Gaming Corp. - Public Common Stock	$250,001 - $500,000	NONE	NONE	P
SP	Alter Ego - Common Stock	-0-	Capital Loss	NONE	S
SP	Amazon.com - Public Common Stock	$250,001 - $500,000	Capital Loss	$100,001 - $1,000,000	P/S
SP	Americas Doctors.com - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Aplion, Inc. - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Apogee Networks, Inc. - Common Stock (Now Evident - Common Stock				
SP	Aristotle Publishing, Inc. - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Ashlar, Inc. Common Stock	$15,001 - $50,000	NONE	NONE	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

SP	AT &T Public Common Stock	$100,001 - $250,000	Capital Gain	$5,001 - $15,000	Increase Investment/Partial Sale
SP	Atipa Fund B (See Oculan Corp)				
SP	Attenza, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Auberge du Soleil, Rutherford, CA - Owns resort hotel	$1,000,001 - $5,000,000	Dividends/Rent	$50,001 - $100,000	N/A
SP	Avaya - Public Common Stock	$1 - $1,000	NONE	NONE	N/A
SP	Bank of America, San Francisco	$15,001 - $50,000	Interest	$1 - $200	N/A
SP	Bank of America, Washington, DC	$15,001 - $50,000	Interest	$201 - $1,000	N/A
SP	Beacon Education Management - Common Stock	$50,001 - $100,000	NONE	NONE	N/A
SP	BF Enterprises - Public Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Biz360, Inc. - Common Stock	$15,001 - $50,000	NONE	NONE	Increased Investment
SP	Borel Estate Company - Owns shopping center	$100,001 - $250,000	Rent/Interest	$5,001 - $15,000	N/A
SP	Briazz - Public Common Stock	$1 - $1,000	NONE	NONE	N/A
SP	Broadcom Corp. - Public Common Stock	$1,001 - $15,000	NONE	NONE	N/A
JT	Broadway Property, San Francisco, CA - Real Estate Option	$1,000,001 - $5,000,000	None	NONE	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

SP	Builders Info. Group. - Common Stock (Formerly Netclerk)	$1 - $1,000	NONE	NONE	NA
SP	Bullhorn (Formerly Bridgepath LLC - Common Stock)	$15,001 - $50,000	NONE	NONE	N/A
SP	Calico Commerce- Public Common Stock	-0-	NONE	NONE	N/A
SP	Chalone Wine Group, Ltd. - Public Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Cierra Photonics - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Cisco Systems, Inc. - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	CMGI, Inc. - Common Stock	$1 - $1,000	NONE	NONE	N/A
SP	Co-Net (Formerly Simplexis. Com - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Coach, Inc. - Public common stock	$100,001 - $250,000	NONE	NONE	P
SP	Collab.Net - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Collaborative Group - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	ComCast - Public Common Stock	$100,001 - $250,000	NONE	NONE	N/A
SP	Command Audio - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Commerce One, Inc. - Common Stock	-0-	Capital Loss	NONE	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

JT	Congressional Credit Union, Washington, DC	$15,001 - $50,000	Interest	$201 - $1,000	N/A
SP	CopperCom, Inc. - Common Stock	-0-	Capital Loss	NONE	S
SP	Covalent Technologies - Common Stock	-0-	Capital Loss	$5,001 - $15,000	S
SP	Critical Path Inc. - Public Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Currenex - Common Stock (Formerly FX Trades)	$50,001 - $100,000	NONE	NONE	N/A
SP	Digital Fountain - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Digital Intelligence (Now Picture IQCorp)				
SP	EDI Associates, Mill Valley, CA - Hotel Investment	$250,001 - $500,000	Dividends/Rent	$5,001 - $15,000	N/A
SP	Emulex-Public Common Stock	$50,001 - $100,000	NONE	NONE	Increased investment
SP	Engage, Inc. - Common Stock	-0-	Capital Loss	NONE	S
SP	Evident - Common stock (Formerly Apogee)	$15,001 - $50,000	NONE	NONE	N/A
SP	Fastnet - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Financial Leasing Services, S.F., CA - Investment Company	$100,001 - $250,000	NONE	NONE	N/A
SP	Forty-Five Belden Corp., S.F., CA - Investment Company	$500,001 - $1,000,000	Dividends	$1,000,001 - $5,000,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

SP	Genetope Corp. - Public Common Stock	$50,001 - $100,000	NONE	NONE	P
SP	Getty Images, Inc. - Public Common Stock	$50,001 - $100,000	NONE	NONE	N/A
SP	Granite Ventures, LP	$100,001 - $250,000	NONE	NONE	Increased Investment
SP	Guru.Com - Common Stock (Now Unicru)				
SP	iKnowMed Systems, Inc.	$1,001 - $15,000	NONE	NONE	N/A
SP	Infospace, Inc. - Public Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Interloci, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Internap Delaware - Common Stock (Formerly Internap Network Services	$100,001 - $250,000	NONE	NONE	Increased InvestmentPartial Sale
SP	Internap Network Services, LLC - Common Stock (Now Internap Delaware)				
SP	Internet Cap. Group - Public Stock	$1 - $1,000	NONE	NONE	N/A
SP	Intraop, LLC - Common Stock	$50,001 - $100,000	NONE	NONE	N/A
SP	Japan Partners - Common Stock(AKA Isochron Data Corp.)	$1,001 - $15,000	NONE	NONE	N/A
SP	Jet Blue Air - Public Common Stock	-0-	Capital Gains	$50,001 - $100,000	P and S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

SP	Johnson & Johnson Public Common Stock	$100,001 - $250,000	NONE	NONE	N/A
SP	Learning Technologies, Inc. - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	LEG Partners, III, L.P. - Common Stock	$1,001 - $15,000	NONE	NONE	Increased investment
SP	Liberate Technologies - Public Common Stock	$1 - $1,000	NONE	NONE	N/A
SP	Lions Gate Limited Partnership (Cordavalle) - Golf Development Partnership	$500,001 - $1,000,000	NONE	NONE	Increased investment
SP	Lucent Technologies - Public Common Stock (Formerly Nexabit)	$1,001 - $15,000	NONE	NONE	N/A
SP	LuxN, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	McGrath Rentcorp. Inc. - Public Common Stock	$250,001 - $500,000	DIVIDENDS/CAPITAL GAINS	$100,001 - $1,000,000	Partial Sale
SP	Microsoft Corp. - Public Common Stock	$100,001 - $250,000	Capital Gain	$50,001 - $100,000	Purchase/Partial Sale
SP	MontaVista, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Mosher Partners, LP, Sacramento, CA - Real Estate Partnership	$1,000,001 - $5,000,000	Capital gain	$100,001 - $1,000,000	Partial Sale
SP	Natelli Communities - Real estate partnership	-0-	Capital Gains	$100,001 - $1,000,000	S
SP	Nautilus Leasing Services - Container leasing company	$50,001 - $100,000	NONE	NONE	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

SP	Navis Holdings, LLC - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Net2Phone, Inc. - Public Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Netcessity - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	NetSchools Corp. - Common Stock (Now Plato- Common Stock				
SP	Niku Corp. - Public Common Stock	$1 - $1,000	NONE	NONE	N/A
SP	Niman Ranch - Common Stock	$50,001 - $100,000	None	NONE	Increased investment
SP	Nine Forty Five Battery, LLC, San Francisco, CA - Real Estate Partnership	$1,000,001 - $5,000,000	RENT	$100,001 - $1,000,000	N/A
SP	Nine Hundred One Battery, San Francisco, CA - Real Estate Partnership	$15,001 - $50,000	RENT	$2,501 - $5,000	N/A
SP	Oakwood Homes Corp - Public Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Oakwood Homes Corp. - Bonds	$500,001 - $1,000,000	INTEREST	$15,001 - $50,000	N/A
SP	Oculan Corp. - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Odyssey, LLC - Common Stock	$50,001 - $100,000	NONE	NONE	N/A
SP	Orlimar, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

SP	Overstock.Com - Public Common Stock	$50,001 - $100,000	NONE	NONE	N/A
SP	Pacific Island Resources, LLC - Common Stock	$250,001 - $500,000	NONE	NONE	N/A
SP	Piatti Restaurant Co., Tiburon, CA - Restaurant Operating Company	$1,000,001 - $5,000,000	PARTNERSHIP INCOME	$15,001 - $50,000	N/A
SP	Picture IQ Corp. - Common Stock (AKA Digital Intelligence	-0-	Capital Loss	$15,001 - $50,000	S
SP	Plato - Common Stock (Formerly Netschools - Common Stock)	$1 - $1,000	NONE	NONE	N/A
SP	Plumtree Software, Inc. - Common Stock	$100,001 - $250,000	NONE	NONE	N/A
SP	Polycom - Public Common Stock	$100,001 - $250,000	NONE	NONE	P
SP	Potomac Investment Assoc, Gathersberg, MD - Real Estate Partnership	$15,001 - $50,000	NONE	NONE	N/A
SP	Procure Point - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	QIC (Quinton Instrument Company) - Public Common Stock (Now Quinton Cardiology Sys., Inc.	$50,001 - $100,000	NONE	NONE	N/A
SP	QualComm, Inc. - Public Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Rainmaker Systems, Inc. - Common Stock	-0-	Capital Loss	$5,001 - $15,000	S

SP	Read-Rite Corp., Inc. - Public Common Stock	-0-	Capital Loss	$2,501 - $5,000	S
SP	Ready Router (Now Netcessity)				
SP	Recommender 2 - Common Stock	$50,001 - $100,000	NONE	NONE	N/A
SP	Redenvelope - Public Common Stock	$100,001 - $250,000	NONE/	NONE	P
SP	REOF V - Real Estate Partnership	$100,001 - $250,000	Rents	$5,001 - $15,000	N/A
SP	RF Micro Devices, Inc. - Public Common Stock	$250,001 - $500,000	NONE	NONE	P
SP	Robert Half Intl., Inc. - Public Common Stock	$50,001 - $100,000	Capital Gain	$50,001 - $100,000	Partial Sale
SP	Salesforce.com, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Salon.com - Public Common Stock	-0-	Capital Loss	$5,001 - $15,000	S
SP	Shutterfly - Common Stock	$1 - $1,000	NONE	NONE	N/A
JT	Skellenger Lane, Rutherford, CA - 8 acre vineyard	-0-	Vineyard	$1,000,001 - $5,000,000	S
SP	Slam Dunk Networks, Inc.	-0-	NONE	NONE	S
SP	Sonus Networks Inc. - Public Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Speakeasy, Inc. - Common Stock	$15,001 - $50,000	NONE	NONE	N/A

SP	Spirian, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Stoneridge, LLC	$500,001 - $1,000,000	Capital Gain	$100,001 - $1,000,000	Partial Sale
SP	Sun Microsystems - Public Common Stock	$1 - $1,000	NONE	NONE	N/A
SP	Support Inc. - Common Stock - AKA Support Soft, Inc.)	$1,001 - $15,000	NONE	NONE	N/A
SP	TeraOp, LLC - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Thirteen Hundred One Sansome, LLC - Real Estate Partnership	$1,000,001 - $5,000,000	RENT	$100,001 - $1,000,000	N/A
SP	Tripath Technology, Inc. - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Trivium Systems, Inc. - Common Stock	$15,001 - $50,000	NONE	NONE	N/A
SP	Trux Gate Associates Ltd. - Real Estate Partnership	$500,001 - $1,000,000	NONE	NONE	N/A
SP	Unicru Common Stock (Formerly Guru.Com	$15,001 - $50,000	NONE	NONE	N/A
SP	Union Bank of California, San Francisco, CA - savings account	$1,001 - $15,000	Interest	NONE	N/A
SP	USA DR - Common Stock	$1,001 - $15,000	NONE	NONE	N/A
SP	Vanguard Airlines, Inc. - Public Common Stock	$1 - $1,000	NONE	NONE	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

JT	Wells Fargo Bank, San Francisco, CA - savings account	$15,001 - $50,000	Interest	$1 - $200	N/A
SP	Witness Sys, Inc. - Public Common Stock	-0-	NONE	NONE	S
SP	Xtreme Spectrum, Inc. - Common Stock	$1,001 - $15,000	NONE	NONE	Increased investment
SP	Yantra Corp. - Common Stock	$50,001 - $100,000	NONE	NONE	N/A
SP	Yerac Associates - Investments	$500,001 - $1,000,000	NONE	NONE	N/A
JT	Zinfandel Lane, St. Helena, CA	$5,000,001 - $25,000,000	Vineyard	$100,001 - $1,000,000	N/A

SCHEDULE IV - TRANSACTIONS

Name Nancy Pelosi

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	820 Sir Francis Drake Blvd., San Anselmo, CA - Commercial property	P	11-13-03	$1,000,001 - $5,000,000
SP	Adaytum - Common Stock Partial sale	S	2/13/03	$50,001 - $100,000
SP	Alliance Gaming Corp. - Public Common Stock	P	2/28/03	$250,001 - $500,000
SP	Alter Ego - Common Stock	S	12/31/03	$1,001 - $15,000
SP	Amazon.com - Public Common Stock	P	02/25/03	$500,001 - $1,000,000
SP	Amazon.com - Public Common Stock	S	10/27/03	$250,001 - $500,000
SP	AT & T Corp. - Public Common Stock Increased Investment	P	03/20/03	$100,001 - $250,000
SP	AT & T Corp. - Public Common Stock Increased Investment	P	4/02/03	$50,001 - $100,000
SP	AT&T Corp. Public Common Stock Partial Sale	S	12/31/03	$100,001 - $250,000
SP	Biz360, Inc - Common Stock - Increased investment	P	03/26/03	$1,001 - $15,000
SP	Calico Commerce - Public Common Stock	S	12/15/03	Under $1,000.00
SP	Coach - Public Common Stock	P	12/18/03	$100,001 - $250,000

SCHEDULE IV - TRANSACTIONS

Name Nancy Pelosi

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Commerce One, Inc. - Common Stock	S	05/08/03	$1,001 - $15,000
SP	Coppercom- Common Stock	S	12/31/03	$15,001 - $50,000
SP	Covalent Technologies - Common Stock	S	12/31/03	$1,001 - $15,000
SP	Engage - Common Stock	S	12/31/03	$1,001 - $15,000
SP	Emulex Corp. - Public Common Stock Increased Investment	S	05/08/03	$15,001 - $50,000
SP	Genitope Corp - Public Common Stock	P	10/30/03	$50,001 - $100,000
SP	Granite Ventures, LP Increased investment	P	3/26/03	$15,001 - $50,000
SP	Internap Delaware, Inc. - Common Stock Increased Investment	P	06/03/03	$100,001 - $250,000
SP	Internap Delaware, Inc. - Common Stock	S	12/31/03	$100,001 - $250,000
SP	JetBlue Airways Corp - Public Common Stock	P	2/20/03	$250,001 - $500,000
SP	JetBlue Airways Corp - Public Common Stock	S	09/18/03	$250,001 - $500,000
SP	Leg III Investors - Common Stock Increased investment	P	05/07/03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Nancy Pelosi

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Lion's Gate LP- (Cordevalle) Golf Partnership Increased investment	P	10/27/03	$100,001 - $250,000
SP	McGrath Rentcorp - Public Common Stock Partial Sale	S	12/31/03	$250,001 - $500,000
SP	Mosher Partners, LP, Sacramento, CA - Real Estate Partnership	S	07/23/03	$100,001 - $250,000
SP	Microsoft Corp. - Public Common Stock	P	03/13/03	$100,001 - $250,000
SP	Microsoft Corp. - Public Common Stock Partial Sale	S	07/02/03	$100,001 - $250,000
SP	Natelli Communities - Real Estate Partnership	S	09/16/03	$100,001 - $250,000
SP	Niman Ranch, LLC - Common Stock Increased investment	P	12/12/03	$15,001 - $50,000
SP	Odyssey - Common Stock	P	08/11/03	$1,001 - $15,000
SP	Picture IQ Corp. - Common Stock (Formerly Digital Intelligence)	S	12/31/03	$15,001 - $50,000
SP	Polycom Inc. - Public Common Stock	P	08/27/03	$100,001 - $250,000
SP	Rainmaker Sys. Inc. - Common Stock	S	05/03/03	$1,001 - $15,000
SP	Read-Rite Corp. - Public Common Stock	S	05/08/03	Under $1,000.00

SCHEDULE IV - TRANSACTIONS

Name Nancy Pelosi

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Redenvelope Inc. - Public Common Stock	P	09/30/03	$100,001 - $250,000
SP	RF Micro Devices - Public Common Stock	P	11/11/03	$250,001 - $500,000
SP	Robert Half Intl. Inc. - Public Common Stock Partial sale	S	12/31/03	$50,001 - $100,000
SP	Salon - Common Stock	S	1/15/04	$1,001 - $15,000
SP	Slam Dunk Networks Inc. - Common Stock	-0-	12/31/03	Under $1,000.00
SP	Skellenger Lane, Rutherford, CA - Vineyard	S	05/16/03	$1,000,001 - $5,000,000
SP	Stoneridge, LLC Partial Sale	S	04/29/03	$250,001 - $500,000
SP	Witness Systems - Public Common Stock	S	04/30/03	$1,001 - $15,000
SP	Xtreme Spectrum - Common Stock Increased Investment	P	02/13/03	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Nancy Pelosi

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Unon Bank of California	Mortgage on Zinfandel Lane, St. Helena,CA	$1,000,001 - $5,000,000
JT	Union Bank of California	Mortgage on Mule Ears Court, Norden CA	$500,001 - $1,000,000
SP	Orix Capital Markets, LLC	Mortgage on 45 Belden Place, SanFrancisco	$1,000,001 - $5,000,000
SP	Wachovia Securities	Mortgage on 820 Sir Francis Drake Blvd., San Anselmo, CA	$1,000,001 - $5,000,000
SP	Wachovia Securities	Mortgage on 25 Point Logos Avenue, San Francisco, CA	$1,000,001 - $5,000,000
JT	World Savings	Mortgage on 1600 Atlas Peak Rd., Napa, CA	$100,001 - $250,000
SP	Bank of America	Line of Credit	$100,001 - $250,000
SP	Union Bank of California	Investment Loan	$1,000,001 - $5,000,000
SP	Wells Fargo Investments	Margin Acct.	$1,000,001 - $5,000,000
SP	W.R. Hambrect + Co.	Margin Acct.	$100,001 - $250,000

3823

SCHEDULE VI - GIFTS

Name Nancy Pelosi

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
NONE		

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Nancy Pelosi

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independant of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
None			N	N	N	

SCHEDULE VIII - POSITIONS

Name Nancy Pelosi

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Honorary Advisory Board	American University
Advisory Board	Glamour Magazine's Women of the Year 2003
Congressional Advisory Board	International Women's Democracy Center
Advisory Board	Kennedy Center
Advisory Board	Lead America
Trustee	United States Botanical Gardens
Advisory Board	We the People IMAX Film Project

SCHEDULE IX - AGREEMENTS

Name Nancy Pelosi

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

NANCY PELOSI
8TH DISTRICT, CALIFORNIA

DEMOCRATIC LEADER

2371 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-0508
(202) 225-4965

Congress of the United States
House of Representatives
Washington, DC 20515–0508

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450 GOLDEN GATE AVENUE
SAN FRANCISCO, CA 94102-3460
(415) 556-4862
sf.nancy@mail.house.gov
www.house.gov/pelosi

HAND DELIVERED

June 2, 2004

MC

TO: The Honorable Jeff Trandahl, Clerk of the House
FR: Representative Nancy Pelosi, CA-08
RE: Clarification on 2003 Financial Disclosure Forms

This is to amend and clarify my Financial Disclosure Statement for 2003.

The answer to question 7 on page 1 should have been no. I received no reportable travel or travel reimbursements during this period.

Please feel free to contact me or Terri McCullough of my staff at 54965 if you have any questions. Thank you for your attention to this matter.

2004 JUN -3 AM 11:57

THIS STATIONERY PRINTED ON PAPER MADE OF RECYCLED FIBERS

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

9

HAND DELIVERED

2004 MAY 17 PM 1:48

(Office Use Only)

Michael Richard Pence
(Full Name)

1605 Longworth House Office Building
Washington, DC 20515
(Mailing Address)

202-225-3021
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: Indiana District: Sixth	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Michael Richard Pence	5-17-04

3829

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Immanuel Christian School	spouse salary	NA
	Self-employed artist	spouse income	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
none			

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Michael Richard Pence

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock	X	X				P
DC	Simon & Schuster	Indefinite				Royalties	
JT	1st Bank of Paducah, KY accounts	X	X	X			
DC	Central IN Sch. Emp. FCU	X	X				
DC	Central IN Sch. Emp. FCU	X	X				
DC	Central IN Sch. Emp. FCU	X	X				
JT	Central IN Sch. Emp. FCU	X	X				
	Kiel Bros. Oil Co., Inc.	X		Sub-S	X		
	KP Oil Inc (Stock)	X	X			X	

For additional assets and unearned income, use next page.

3832

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael Richard Pence

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset										BLOCK C Type of Income					BLOCK D Amount of Income									BLOCK E Transaction
		A None		C $1,001 – $15,000		E $50,001 – $100,000		G $250,001 – $500,000		I $1,000,001 – $5,000,000		K $25,000,001 – $50,000,000	DIVIDENDS		INTEREST		EXCEPTED TRUST		Other Type of Income (Specify)	I None		III $201 – $1,000		V $2,501 – $5,000		VII $15,001 – $50,000		IX $100,001 – $1,000,000		XI Over $5,000,000	P, S, E
	KP Oil, Inc. (stock)					X							X							X												

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3833

SCHEDULE IV — TRANSACTIONS

Name Michael Richard Pence | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: A $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10–12–03		X								

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Name Michael Richard Pence | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
JT	Chase Manhattan Credit Card	Revolving Credit Card					
JT	AT&T Universal Card	Revolving Credit Card					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
	none	

Use additional sheets if more space is required.

3835

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Public Governance Institute	Feb 28-Mar. 2	Wash. DC - Greenbrier - Wash DC	Y	Y	Y	N
	Right to Work	Jan. 24-26	Wash. DC - Naples, Fla. - Wash DC	Y	Y	Y	N
	Amer. Israel Public Affairs Comm.	May 4-5	Wash-DC - Boston, MA. - Wash DC	Y	Y	N	N
	Center for Study of Popular Culture	Nov. 14-16	Wash. DC - Palm Beach, FL. - Wash DC	Y	Y	Y	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	HCI/Dawson, Indpls, IN.
officer	Hoosier Conservative, Inc., Indpls, IN.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	- none -	

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2004 MAY 17 AM 11:54

(Office Use Only)

MC

3838

Collin C. Peterson
(Full Name)

26192 Floyd Lake Point Road Detroit Lakes, MN 56501-7607
(Mailing Address)

Daytime Telephone: 202-225-2165

Filer Status	☒ Member of the U.S. House of Representatives — State: MN District: 7	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5-15-04

SCHEDULE I - EARNED INCOME

Name Collin C. Peterson

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Hall for Congress Committee	Musician Fee	$350

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Collin C. Peterson

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Peterson Fox, Inc.-common stock	$50,001 - $100,000	DIVIDENDS	$5,001-$15,000	
Lakewest Properties-partnership	$15,001-$50,000	RENT	$5,001-$15,000	
Bekkerus Schott & Co. - contract	$50,001 - $100,000	INTEREST/CAPITAL GAINS	$5,001 - $15,000	

SCHEDULE IV - TRANSACTIONS

Name Collin C. Peterson

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Sovereign Bancorp, Inc.	S	8-14-03	$1,001 - $15,000
	AVK Corporation	P	8-14-03	$1,001 - $15,000
	Polaris Industries	P	8-14-03	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Collin C. Peterson

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Peterson Fox, Inc. 50% ownership	demand loan created when stock was issued 1/1/90	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Collin C. Peterson

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Winn-Dixie Stores Inc.	Apr. 4-6	Wash., D.C.–Jacksonville–Wash., D.C.	Y	Y	N	N/A
Community Financial Services Ass'n and Advance America	Aug. 8-11	Marshall, MN - Jackson Hole -- Marshall, MN	Y	Y	N	N/A
Chicago Board of Trade/ Chicago Mercantile Exchange	Oct. 6-7	Fargo-Chicago-Wash., D.C.	Y	Y	N	N/A
Dairy Farmers of America	Oct. 17-19	Wash., D.C. -- Pollack, SD - Wash., D.C.	Y	Y	Y	N/A

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A

For use by Members, officers, and employees

HAND DELIVERED

5

LEGISLATIVE RESOURCE CENTER

2004 MAY 18 PM 4:16

(Office Use Only)

John E. Peterson
(Full Name)

248 N. Main St., Pleasantville, PA 16341 814-589-5241
(Mailing Address) Daytime Telephone

Filer Status	☒ Member of the U.S. House of Representatives — State: PA District: 05	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John E. Peterson	5/13/04

3844

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Commonwealth of Pennsylvania	Pension	$15,060

For payments to charity in lieu of honoraria, use Schedule II.

Name John E. Peterson | Page 3 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X																		P
	Simon & Schuster			Indefinite																Royalties									X			
	1st Bank of Paducah, KY accounts						X									X										X						
	130 N. 2nd St, Pleasantville, PA				X										X										X							
	IDS Growth Fund					X											X							X								
	Prudential Common Fund				X															Life Ins	X											
	Prudential Utility Fund				X											X					X											
	Prudential National Service Fund				X															Life Ins	X											

For additional assets and unearned income, use next page.

3846

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John E Peterson

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Prudential High Dividend Fund		X								Life Ins	X						
	Prudential Equity Fund		X								IRA	X						
	Franklin Templeton Fund										IRA	X						
	Northstar Growth Fund										IRA	X						
	Putnam Fund										IRA	X						
	Braintech Stock	X										X						
	ERHC Stock	X								X		X						
	ADB System Stock	X										X						
	Fullplay Media System Stock	X										X						
	Intercell Corp. Stock	X								X		X						
	Nanogiance Tech Stock		X									X						
	ERHC Tech Stock		X									X						

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3847

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
	Northwest Savings Bank	Prime Line of Credit	X				

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

12

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 13 PM 2:02

(Office Use Only) MC

THOMAS E. PETRI
(Full Name)

N5329 DeNeveu Lane
Fond du Lac, Wisconsin
(Mailing Address)

920-922-1180
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: District:	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Thomas E. Petri	MAY 7, 2004

3849

Name T. E. PETRI | Page 2 of ___

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	AMERICAN COUNCIL OF TRUSTEES AND ALUMNI	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

38.50

Name T. E. PETRI | Page 3 of

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
XYZ Magazine	Article	Aug. 13, 2003	$500
ASSOCIATED GENERAL CONTRACTORS, ALBUQUERQUE	SPEECH	FEB 8, 2003	$1,000

This page may be copied if more space is required.

Name T. E. PETRI | Page 4 of ___

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

SP, DC, JT	*Examples:*	SP	Mega Corp. Stock
			Simon & Schuster
			1st Bank of Paducah, KY accounts

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transactions

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Asset and/or Income Source	SP/DC/JT	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
Examples: Mega Corp. Stock	SP					X								X										X					X			P
Simon & Schuster		Indefinite																		Royalties												
1st Bank of Paducah, KY accounts							X									X										X						
Wisconsin Retirement Funds						X								X		X	X				NA											
Strong Advisor Bond Fund		X														X	Y				NA											P E
Strong Small Company Value					X									X		X	X				NA											E
Strong High Yield Bond Fund		X														X	X				NA											P E
Janus Worldwide Fund						X								X		X	X				NA											P
Janus Fund						X								X		X	X				NA											P

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name T. E. Petri

Page 5 of

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	AIM FUND			X				X	X			N						P
	BOND FUND OF AMERICA		X						X			N						P
	IVY INT'L FUND CL B		X					X	X			N						P
	U.S. BANK STOCK					X		X								X		P
	KYLETTA CORP STOCK							X					X					P
	AMERICAN EXPRESS STOCK				X			X										P
	UNITEDHEALTH CORP STOCK							X							X			
	BANDAL CORP. STOCK			X				X						X				
	ASSOCIATED BANKS, STOCK		X					X					X					
	DOLE COMPANY, STOCK							X					X					S
	MARSHALL & ILSLEY STOCK					X		X							X			P
	NORTHWEST MUTUAL LIFE LIC							X	X									
	BERKSHIRE HATHAWAY, STOCK					X						X						P
	LLOYD'S OF LONDON				X				X						X			
	U.S. BANK TRUST CASH																	
	U.S. BANK U.S. TREASURY FUND		X															
	BANK CASH EQUIVALENTS																	
	U.S. TREASURY BOND				X				X					X				P
	AT & T WIRELESS	X										X						S
	VODAFONE AIRTOUCH	X						X					X					S
	SBC COMMUNICATIONS	X						X					X					S
	BELL SOUTH, STOCK	X						X					X					S

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name T. E. Petri

Page 6 of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Lucent Tech, Stock	X										X						S
	NCR Corp, Stock	X										X						S
	Qwest Corp, Stock	X										X						S
	AT&T Corp, Stock	X										X						S
	Heritage Communications, Inc			X								X						
	Equitas Trust		X									X						
	Avaya Inc, Stock	X										X						S
	Verizon Corp, Stock	X																S
	Retirement Plan 529 Distributions							X						X				
	Lord Abbot High Yield Bond				X				X						X			P
	Salomon Smith Barney Bond		X					X					X					E
	Perkins - Elmer Corp							X					X					
	Air Products & Chemicals Co., Stock											X						
	Corning Corp, Stock											X						P
	E Bay, Stock																	P

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SCHEDULE IV — TRANSACTIONS

Name T. E. PETRI | Page 7 of

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10–12–03		X								
	STRONG ADVISOR BOND FUND	X			IRA DIVIDEND REINVESTMENT										
	STRONG SMALL COMPANY VALUES			X	11/24		X								
	STRONG ADVISOR BOND FUND			X	11/24		X								
	STRONG HIGH YIELD BOND FUND	X			IRA DIVIDEND REINVESTMENT										
	STRONG HIGH YIELD BOND FUND			X	12/19 TRANSFER TO T[illegible]			X							
	JANUS WORLDWIDE FUND	X			IRA DIVIDEND REINVESTMENT										
	JANUS FUND	X			"										
	AIM FUND	X			"										
	BOND FUND OF AMERICA	X			"										
	IVY INT'L FUND CLB	X			"										
	U.S. BANK STOCK	X			DIVIDEND REINVESTMENT										
	DOLLAR COMPANY, STOCK		X		3/31		X								
	MARSHALL & ILSLEY, STOCK	X			7/1	X									
	MARSHALL & ILSLEY, STOCK	X			7/15	X									
	BERKSHIRE HATHAWAY, STOCK	X			10/17					X					
	U.S. TREASURY BONDS			X	2/14		X								

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SCHEDULE IV — TRANSACTIONS

Name T. E. Petri | Page 8 of

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
	VODAFONE AIRTOUCH, STOCK		X		7/17	X									
	SBC COMMUNICATIONS, STOCK		X		2/11		X								
	BELL SOUTH, STOCK		X		2/11		X								
	LUCENT TECH., STOCK		X		2/11	X									
	NCR CORP, STOCK		X		2/11	X									
	QWEST COMM., STOCK		X		2/11	X									
	A.T.&T. CORP, STOCK		X		2/11	X									
	A.T.&T. WIRELESS, STOCK		X		2/11	X									
	VERIZON CORP., STOCK		X		2/11		X								
	AVAYA INC., STOCK		X		3/13	X									
	LORD ABBOT HIGH YIELD BOND C	X			10/[illegible]				X						
	SOLOMON SMITH BARNEY BOND			E	7/1	X									
	MARSHALL & ILSLEY BANK, STOCK			E	7/1	X									
	CORNING CORP, STOCK	X			10/9			X							
	CORNING CORP, STOCK	X			7/17		X								
	EBAY, STOCK	X			10/9				X						

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SCHEDULE IV — TRANSACTIONS

Name T. E. PETRI | Page 9 of

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date (MO/DAY/YR)	Amount of Transaction C $15,001-$50,000	E $100,001-$250,000	G $500,001-$1,000,000	I $5,000,001-$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10-12-03	X				
	CORNING, STOCK	SALE	6/27					

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3857

Name T. E. Petri | Page 10 of ___

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
	MERILL LYNCH	LOAN SECURED BY STOCK					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	HINKLEY INST. OF POLITICS AT UNIV. OF UTAH	JAN 13-14	WASH, DC - SALT LAKE - WASH DC	Y	Y	N	N
	ASSOCIATED GENERAL CONTRACTORS	FEB. 8	BALT - WASH - FT. LAUDERDALE - BALT - WASH	N	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PARTNER	LLOYD'S UNDERWRITING INSURANCE RISKS, LONDON, ENGLAND
DIRECTOR	SOCIETY INSURANCE (A MUTUAL COMPANY), FOND DU LAC WISCONSIN

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2004 91-910 (mec)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

(1)

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2004 MAY 13 PM 4:05
HC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

JOSEPH RUSSELL PITTS
(Full Name)

905 MITCHELL FARM LANE, KENNETT SQUARE, PA 19348 — 610-444-4581
(Mailing Address) — Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: PA District: 16	[ ] Officer or Employee — Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [ ]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [ ]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Joe Pitts	May 4, 2004

3861

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	COMMONWEALTH OF PENNSYLVANIA	LEGISLATIVE PENSION	$87,698

For payments to charity in lieu of honoraria, use Schedule II.

3862

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Asset and/or Income Source		Value of Asset	Type of Income							Amount of Income											Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples: SP Mega Corp Stock				X									X										X								P
	Simon & Schuster		Indefinite																	Royalties									X			
	1st Bank of Paducah, KY accounts						X									X									X							
	Peoples Bank of Oxford			X												X						X										
	Comm of PA Deferred Comp (admin by Copeland Co.)				X															n/a	n/a											
	IRA (admin by Putnam Inv)							X												n/a	n/a											
SP	TIAA/CREF					X														n/a	n/a											

For additional assets and unearned income, use next page.

3863

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Jubilee Campaign	Jan. 16-25	Wash.,D.C.-Bangkok-Laos-Vietnam-Thailand-Wash.,D.C.	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Advisory Board Member	County Corrections Gospel Mission
Advisory Board Member	Eastern College Advisory Board
Advisory Board Member	Crosslinks
Advisory Board Member	Congressional Youth Leadership Council
Advisory Board Member	International Health Services Board

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required

3865

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

(6)
HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY -6 PM 12: 27
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

Todd Russell Platts
(Full Name)

1911 E. Market St.
(Mailing Address)

York PA 17402

Daytime Telephone: 717-600-1919

Filer Status: [X] Member of the U.S. House of Representatives — State: PA District: 19th [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) [ ] Amendment [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Todd R. Platts	5/6/04

3866

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Commonwealth of PA, State Employees' Retirement System	Legislative Pension	$4510

For payments to charity in lieu of honoraria, use Schedule II.

Name Todd R. Platts | Page 3 of 6

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP, DC, JT	Examples: SP Mega Corp. Stock	D	DIVIDENDS	I	P
	Simon & Schuster	Indefinite	RENT		
	1st Bank of Paducah, KY accounts		INTEREST: X		
			Royalties		
DC	T Rowe Price Blue Chip Fund	C	DIVIDENDS: X		P
	Heritage Aggressive Growth Fund	C		I	
	Heritage Cash Trust Money Mkt	C	DIVIDENDS: X		
	MFS Capital Opportunities Fund	C		I	
	PIMCO PRA Commodity Fund	C		I	
	PIMCO PRA Target Fund	C		I	

For additional assets and unearned income, use next page.

3868

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Todd R. Platts

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B [illegible]	C $1,001 – $15,000	D [illegible]	E $50,001 – $100,000	F [illegible]	G $250,001 – $500,000	H [illegible]	I $1,000,001 – $5,000,000	J [illegible]	K $25,000,001 – $50,000,000	L [illegible]	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II [illegible]	III $201 – $1,000	IV [illegible]	V $2,501 – $5,000	VI [illegible]	VII $15,001 – $50,000	VIII [illegible]	IX $100,001 – $1,000,000	X [illegible]	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Pimco PFA Innovation Bond			X																	X											
	Thornburg Value Fund			X																	X											
	AllianceBernstein Premier Growth Fund	X																			X											P S

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Name Todd R. Platts | Page 5 of 6

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–03		X								
	Thornburg Value Fund	X			9-17-03	X									
	Alliance Bernstein Premier Growth Fund		X		9-17-03	X									

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3870

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Public Governance Institute/ Thanksgiving Foundation (Bi-partisan Congressional Retreat)	Feb 28-Mar. 2	Wash., D.C.-Greenbrier Resort, W.V.-Wash., D.C.	Y	Y	Y	N

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JUN-09-2004 08:50 AM

3872

P.01

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

Richard William Pombo
(Full Name)

2411 Rayburn Office Building
(Mailing Address)

Washington, D.C. 20515

202-225-1947
Daytime Telephone:

202-225-1947

Filer Status: [X] Member of the U.S. House of Representatives — State: CA District: 11 [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) [ ] Amendment [ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes [ ] No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes [X] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Richard Pombo	6/9/04

Name	Richard W. Pombo	Page 2 of 6

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Onbulo County Board of Education	Spouse Salary	NA
	Richard Pombo for Congress	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

3874

Name: Richard W. Pombo | Page 3 of 6

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A	None	A	$1,001 – $15,000	C	$60,001 – $100,000	E	$250,001 – $500,000	G	$1,000,001 – $5,000,000	I	$25,000,001 – $50,000,000	K	DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	None	I	$201 – $1,000	III	$2,501 – $5,000	V	$15,001 – $50,000	VII	$100,001 – $1,000,000	IX	Over $5,000,000	XI	P, S, E
SP, DC, JT — Examples: SP Mega Corp. Stock					X								X		X														P
DC Simon & Schuster			Indefinite											X		Royalties										X			
JT 1st Bank of Paducah, KY account																													
R. Pombo Ranch II														Partnership									X						
Pombo Ranch Estates								X								LLC	X												

For additional assets and unearned income, use next page.

Name Richard W. Pombo

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report *revolving charge accounts* only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001 – $50,000	E $100,001 – $250,000	G $500,001 – $1,000,000	I $5,000,001 – $25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
JT	Congressional Federal Credit Union	Revolving Charge					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Plater (determination on personal friendship received from Committee on Standards)	$290

Use additional sheets if more space is required.

3876

Name Richard W. Pombo | Page 5 of 6

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Boycruft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Safari Club International	Jan 31-Feb 2	Tracy-Reno-Tracy	Y	Y	Y	N
Ford Motor Company	June 13-14	Wash DC-Chicago-San Francisco	Y	Y	N	N
Vietnam Vet Mem. Fund & New York Stock Exchange	Oct 19-20	Wash DC-New York-Wash DC	Y	Y	N	N

This page may be copied if more space is required.

Name	Richard W. Pombo	Page 6 of 6

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	R. Pombo Ranch II
Partner	Pombo Ranch Estates

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

Earl Pomeroy
(Full Name)

1110 Longworth House Office Bldg. Washington, DC 20515
(Mailing Address)

202-225-2611
Daytime Telephone:

(Office Use Only)

Filer Status: [x] Member of the U.S House of Representatives — State: ND District: 00 | [ ] Officer Or Employee — Employing Office: | Termination Date:

Report Type: [x] Annual (May 15) | [ ] Amendment | [ ] Termination

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes [ ] No [x]
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes [ ] No [x]
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes [x] No [ ]
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes [ ] No [x]
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes [ ] No [x]
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? Yes [ ] No [x]
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? Yes [x] No [ ]
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes [ ] No [x]
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes [ ] No [x]
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [x]

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [x]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	E. Pomeroy	5/13/04

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Earl Pomeroy

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Jefferson Pilot Financial Insurance	$1,001 - $15,000	INTEREST	$1 - $200	
Public Employees Retirement System *	$15,001 - $50,000			
Valic	$50,001 - $100,000			
Tweedy, Browne American Value Fund (IRA)	$15,001 - $50,000			
Tweedy, Browne Global Value Fund (IRA)	$15,001 - $50,000			

3879

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Earl Pomeroy

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
The Better Hong Kong Foundation; Chinese International Economic Cooperation Association; Korea/U.S. Exchange Council	Feb. 14-22	Bismarck-Hong Kong-Taiwan-Korea-Bismarck	Y	Y	N	N/A

FOOTNOTES

Name Earl Pomeroy

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	* Invested with Institution: Individual has no discretion regarding purchases or sales of assets in account.	Public Employees Retirement System

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

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2004 MAY 17 AM 9:09
MC
(Office Use Only)

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ROBERT J. PORTMAN
(Full Name)

203 MIAMI AVENUE TERRACE PARK, OH 45174
(Mailing Address)

202-225-3164
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative	State: OH District: 02	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $205 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $205 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Rob Portman	5/13/04

SCHEDULE I - EARNED INCOME

Name ROBERT J. PORTMAN

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Richard Saunders, International	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT J. PORTMAN

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
DC	Scudder Flag Investors Equity Partners - Class A	$15,001 - $50,000	DIVIDENDS	$1 - $200	
DC	Procter & Gamble Co.	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
DC	Irrevocable Trust		See Detail Below		
DC	-Fifth Third Quality Growth Fund	$102,065	DIVIDENDS	NONE	
DC	-Fifth Third International Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
DC	-Fifth Third Mid Cap Fund	$50,001 - $100,000	DIVIDENDS	NONE	

3884

DC	-Fifth Third Short Term Bond Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
DC	Portman Investors Limited Partnership		See below		Other
DC	-Procter & Gamble, Co	$1,001 - $15,000	DIVIDENDS	NONE	
DC	-US Bancorp	$1,001 - $15,000	DIVIDENDS	NONE	
DC	Scudder Flag Investors Equity Partners - Class A	$15,001 - $50,000	DIVIDENDS	$1 - $200	
DC	Irrevocable Trust		See Detail Below		
DC	-Fifth Third Mid Cap Fund	$15,001 - $50,000	DIVIDENDS	NONE	
DC	-Fifth Third International Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
DC	-Fifth Third Quality Growth Fund	$80,597	DIVIDENDS	NONE	
DC	-Fifth Third Short Term Bond Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
DC	Portman Investors Limited Partnership		See below		Other
DC	-Procter & Gamble, Co.	$1,001 - $15,000	DIVIDENDS	NONE	
DC	-US Bancorp	$1,001 - $15,000	DIVIDENDS	NONE	
DC	Scudder Flag Investors Equity Partners-Class A	$15,001 - $50,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT J. PORTMAN

DC	Procter & Gamble Co.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
DC	Irrevocable Trust		See Detail Below		
DC	-Fifth Third Short Term Bond Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
DC	-Fifth Third Quality Growth Fund	$102,065	DIVIDENDS	NONE	
DC	-Fifth Third International Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
DC	-Fifth Third Mid Cap Fund	$50,001 - $100,000	DIVIDENDS	NONE	
DC	Portman Investors Limited Partnership		See below		Other
DC	-Procter & Gamble, Co	$1,001 - $15,000	DIVIDENDS	NONE	
DC	-US Bancorp	$1,001 - $15,000	DIVIDENDS	NONE	
	Village Properties, ltd	$1,001 - $15,000	INTEREST	NONE	
	Portman Equipment Company-Note Receivable from Trust	$250,001 - $500,000	INTEREST	NONE	
	Ashwood Farms, Inc. Springboro, OH (Warren County)	$1,001 - $15,000	CAPITAL GAINS	NONE	
	Shaker Properties, Ltd. - Land & building located on Fujitec Drive in Lebanon & Spangler Rd. In Fairborn, OH	$50,001 - $100,000	RENT	$15,001 - $50,000	

	Procter & Gamble Co.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Portman Equipment Company - Note Receivable	None	INTEREST	$111,616	S
	Portman Equipment Company (including stock held in irrevocable trust which member is a beneficiary)-Cincinnati, OH	$1,000,001 - $5,000,000	DIVIDENDS	NONE	
	Victory Ohio Muni Bond Fund Class A (fka Victory Ohio Municipal Bond Fund G)	$15,001 - $50,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$1,001 - $2,500	
	Lebanon House, Inc. - Cincinnati, OH	$250,001 - $500,000	DIVIDENDS	NONE	
	Victory Gradison Govt Reserves	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	Nuveen Limited Term Muni Bond Fund Class A	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Golden Lamb Trust - Land & building located on Broadway St. In Lebanon, OH	$50,001 - $100,000	RENT/INTEREST	$15,001 - $50,000	
SP	Columbia Liberty Mid Cap Value Class A (fka Liberty Select Value Class A)	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	NONE	
	Residential Rental - Indian Hill Rd., Cincinnati, OH	$100,001 - $250,000	RENT	$1,001 - $2,500	
SP	Victory Gradison Govt Reserves	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	State Street Mid Cap Value Class C	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT J. PORTMAN

	Graustark, LLC - Land & building located on Triport Rd. In Georgetown, KY	$50,001 - $100,000	RENT	$5,001 - $15,000	
SP	National Commerce Financial Corp.	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	IRA, Victory Gradison Govt Reserves	$1,001 - $15,000	DIVIDENDS		
	IRA, Victory Diversified Stock Fund Class G	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS		
SP	IRA, Gradison-McDonald	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	IRA, Victory Established Value Class G	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS		
	IRA, Victory Fund for Income Class G	$1,001 - $15,000	DIVIDENDS		
	Peavler Partnership - Land & building located on Rossplain Rd. In Cincinnati, OH and Jamike Ave. In Erlanger, KY	$250,001 - $500,000	RENT	$100,001 - $1,000,000	
	Graydon Head & Ritchey - Retirement plan, Cincinnati, OH	$50,001 - $100,000	NA	NA	
	IRA, Victory International Growth Fund Class G	$1,001 - $15,000	DIVIDENDS		
	Nuveen Ohio Quality Income Fd Series TH	$250,001 - $500,000	INTEREST	$201 - $1,000	P
	Victory Value Fund Class R (name change from Victory Value Fund Class G)	$100,001 - $250,000	INTEREST	$201 - $1,000	P

	Victory Established Value Fund Class R (name changed from Victory Established Value Fund Class G)	$100,001 - $250,000	INTEREST	$201 - $1,000	P
	Portman Investors Limited Partnership		See below		
	-Fidelity Magellan	$1,001 - $15,000	DIVIDENDS	NONE	P
	-Cintas Corp	$1,001 - $15,000	DIVIDENDS	NONE	P
	-Fifth Third Bancorp	$1,001 - $15,000	DIVIDENDS	NONE	P
	-Procter & Gamble Co.	$1,001 - $15,000	DIVIDENDS	NONE	P
	-US Bancorp	$15,001 - $50,000	DIVIDENDS	$1 - $200	P

SCHEDULE IV - TRANSACTIONS

Name ROBERT J. PORTMAN

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Nuveen Ohio Quality Income Fd Series TH	P	10-24-03	$250,001 - $500,000
	Victory Value Fund Class G	P	01-02-03	$50,001 - $100,000
	Victory Established Value Fund Class G	P	01-02-03	$50,001 - $100,000
	Victory Established Value Fund Class G	P	Dividend and Capital Gain Reinvestment	$1,001 - $15,000
	Victory Ohio Muni Bond Fund	P	Dividend and Capital Gain reinvestment	$1,001 - $15,000
DC	Procter & Gamble, Co	P	Dividend Reinvestment	$1,001 - $15,000
DC	Procter & Gamble, Co	Other	12-23-03	$1,001 - $15,000
DC	US Bancorp	Other	12-23-03	$1,001 - $15,000
DC	Procter & Gamble, Co	Other	12-23-03	$1,001 - $15,000
DC	US Bancorp	Other	12-23-03	$1,001 - $15,000
	Cintas Corp	P	06-25-03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name ROBERT J. PORTMAN

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Fifth Third Bancorp	P	06-25-03	$1,001 - $15,000
	Procter & Gamble Co.	P	06-25-03	$1,001 - $15,000
	US Bancorp	P	06-25-03	$15,001 - $50,000
DC	Procter & Gamble, Co	Other	12-23-03	$1,001 - $15,000
DC	US Bancorp	Other	12-23-03	$1,001 - $15,000
	Fidelity Magellan	P	06-25-03	$1,001 - $15,000
	Portman Equipment Company-Note Receivable (note paid off in full)	S	10-15-03	$250,001 - $500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name ROBERT J. PORTMAN

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
The Congressional Institute-Congressional Leadership Conference	Jan. 29-31	Wash., D.C.-St. Michaels MD-Wash., D.C.	Y	Y	N	N/A
World Economic Forum-International Policy Conference	Jan. 22-27	Cincinnati-Zurich-Wash., D.C.	Y	Y	N	N/A

SCHEDULE VIII - POSITIONS

Name ROBERT J. PORTMAN

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Limited Partner	Portman Investors Limited Partnership
Member	Peavler Partnership
Limited Partner	Village Properties, LTD.
Advisory Committee	Community Anti-Drug Coalition of America (CADCA)
Director (and Founding Chair)	Coalition for a Drug-Free Greater Cincinnati
LLC Member	Shaker Properties, Ltd.
LLC Member	Graustark LLC
Member-Board of Directors	The Clement and Ann Buenger Foundation, Cincinnati, OH (Section 501(c)(3) private charitable foundation)
Member	CincyTech USA Leadership Council, Cincinnati, OH (non-profit regional technology initiative)
Member - Board	Jobs for America's Graduates, Inc.
Advisory Board Member	The Nelson A. Rockefeller Center for Public Policy at Dartmouth College
Member	Coalition to Save Hillcrest Cemetery

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

SP	Charles Schwab IRA - MMF	$100,001 - $250,000	INTEREST	$1,001 - $2,500	
SP	Charles Schwab IRA: Applied Materials stock	$1,001 - $15,000	DIVIDENDS	NONE	
	Charles Schwab MMF Capital	$1,001 - $15,000	INTEREST	$1 - $200	
	Charles Schwab MMFunds	$15,001 - $50,000	INTEREST	$1 - $200	
DC	Comerica Bank	$1,001 - $15,000	INTEREST	$1 - $200	
DC	Comerica Bank	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Comerica Bank - checking	$1,001 - $15,000	Other: (No Interest Checking)	NONE	
	Comerica Bank - MMF	$250,001 - $500,000	INTEREST	$2,501 - $5,000	
SP	Greater Bay Bancorp Stock	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
SP	John Marschall Collins PC - profit sharing/Charles Schwab: Greater Bay Bancorp	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	John Marshall Collins - profit sharing/Charles Schwab: JDS Uniphase Corp Stock	$1 - $1,000	DIVIDENDS	NONE	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Antigenics	$1,001 - $15,000	DIVIDENDS	NONE	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Applied Materials	$50,001 - $100,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

SP	John Marshall Collins PC - profit sharing/Charles Schwab: Ballard Power Systems, Inc.	$1,001 - $15,000	DIVIDENDS	NONE	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: BMC Software	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Boeing	None	DIVIDENDS	$1 - $200	S
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Chiron	$1,001 - $15,000	DIVIDENDS	NONE	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: CISCO	$50,001 - $100,000	DIVIDENDS	NONE	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Corning	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Dell	$15,001 - $50,000	DIVIDENDS	NONE	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Disney	None	DIVIDENDS	$1 - $200	S
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Divine, Inc.	None	DIVIDENDS	$1 - $200	S
SP	John Marshall Collins PC - profit sharing/Charles Schwab: General Electric	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	John Marshall Collins PC - profit sharing/Charles Schwab: Home Depot	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE I - EARNED INCOME

Name David Eugene Price

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Westview Press	Book Royalties	$3,011.74
North Carolinians Against Gun Violence Education Fund	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Eugene Price

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Smith Barney Bank Deposit Program	$100,001 - $250,000	INTEREST	$1,001 - $2,500	
JT	NC Eastern Municipal Power Agency Bonds	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	NC Municipal Power Agency #1 Bonds	None	INTEREST	$201 - $1,000	S
JT	Duplin County, NC Municipal Bonds	None	INTEREST	$201 - $1,000	S
JT	NC Educational Facilities Bonds - Wake Forest University	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Winston - Salem Municipal Bonds	$1,001 - $15,000	INTEREST	$201 - $1,000	P

3897

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Eugene Price

JT	NC Community Health Systems Bonds - St. Joseph	$1,001 - $15,000	INTEREST	$201 - $1,000	P
JT	RDU Airport Authority Bonds	$1,001 - $15,000	INTEREST	$201 - $1,000	P
JT	Charlotte - Mecklenburg Hospital Bonds	$1,001 - $15,000	INTEREST	NONE	P
JT	NC Health Systems Bonds - Charlotte - Mecklenburg	$15,001 - $50,000	INTEREST	$201 - $1,000	P
JT	NC Health Facilities Bonds - Duke University	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	NC Hospital Bonds - Pitt County	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Wake County, NC Hospital Bonds	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	NC Health Facilities Bonds - WakeMed	$1,001 - $15,000	INTEREST	$201 - $1,000	P
JT	NC Hospital Bonds - Gaston County	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Raleigh, NC Muncipal Bonds	$1,001 - $15,000	INTEREST	~~$1 - $200~~ 201 - 1,000	
JT	Air Products & Chemicals Sotck	$1,001 - $15,000	DIVIDENDS	~~$1 - $200~~ 1 - 200 ~~$201 - 1,000~~	
JT	Alltel Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	BB&T	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	E (merger)
JT	Biovail Stock	$1,001 - $15,000	DIVIDENDS	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Eugene Price

JT	Charter One Financial Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Cisco Systems Stock	$15,001 - $50,000	DIVIDENDS	NONE	
JT	Citigroup Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Coca-Cola Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Corning, Inc. Stock	$1,001 - $15,000	DIVIDENDS	NONE	
JT	DTE Energy Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Devon Energy Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Electronic Data Systems	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	EMC Stock	$1,001 - $15,000	DIVIDENDS	NONE	
JT	Emerson Electric Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Exxon Mobil Stock	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
JT	Fifth Third Bancorp Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	First Data Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	First VA Bank Stock	None	DIVIDENDS	$201 - $1,000	E (merger) S (in part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Eugene Price

JT	General Electric Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Gillette Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Home Depot Stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	
JT	Intel Corp. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Kimberly - Clark Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Lowes Cos. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Lucent Technologies Stock	$1 - $1,000	DIVIDENDS	NONE	
JT	McDonalds Corp. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Motorola Inc. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	NY Comm. Bancorp Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Nokia Corp. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P (in part)
JT	Nortel Networks Stock	$1 - $1,000	DIVIDENDS	NONE	
JT	North Fork Bancorp Stock	$1 - $1,000	DIVIDENDS	$1 - $200	P
JT	Oracle Corp. Stock	$1,001 - $15,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Eugene Price

JT	PepsiCo Inc. Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Prudential Financial Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	E (inherited)
JT	Southwest Bell Preferred Stock	None	INTEREST	$201 - $1,000	S
JT	Staples Stock	$1,001 - $15,000	DIVIDENDS	NONE	P (in part)
JT	Sun Microsystems Stock	$1 - $1,000	DIVIDENDS	NONE	
JT	TCF Financial Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	3M Corporation Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	TECO Energy Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Teva Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Tuesday Morning Stock	$1,001 - $15,000	DIVIDENDS	NONE	P
JT	Tyco Int'l Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Union Planters Corp. Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	WorldCom Inc. Stock	$1 - $1,000	DIVIDENDS	NONE	
JT	XTO Energy Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Eugene Price

JT	Yum Brands Stock	$1,001 - $15,000	DIVIDENDS	NONE	
JT	Metropolitan Life Insurance Policy	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	NW Mutual Life Insurance Policy	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	TIAA/CREF Retirement Plan	$50,001 - $100,000	Retirement Plan	N/A	

SCHEDULE IV - TRANSACTIONS

Name David Eugene Price

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Duplin County, NC Municipal Bonds	S	8-1-03	$1,001 - $15,000
JT	NC Municipal Power Agency #1 Bonds	S	4-3-03	$15,001 - $50,000
JT	First VA Bank Stock	S	3-14-03	$15,001 - $50,000
JT	Southwest Bell Preferred Stock	S	4-28-03	$1,001 - $15,000
JT	Winston-Salem Municipal Bonds	P	3-24-03	$1,001 - $15,000
JT	NC Community Health Sustems Bonds - St. Joseph	P	3-24-03	$1,001 - $15,000
JT	RDU Airport Authority Bonds	P	3-24-03	$1,001 - $15,000
JT	NC Health Facilities Bonds - Wake Med	P	7-28-04	$1,001 - $15,000
JT	Charlotte-Mecklenburg Hospital Bonds	P	12-16-03	$1,001 - $15,000
JT	NC Health Systems Bonds - Charlotte-Mecklenburg	P	4-25-03	$15,001 - $50,000
JT	Alltel Stock	P	4-22-03 12-16-03	$1,001 - $15,000
JT	Biovail Stock	P	4-28-03 12-16-03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name David Eugene Price

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Charter One Financial Stock	P	3-25-04	$1,001 - $15,000
JT	Citigroup Stock	P	4-28-03 7-29-03	$1,001 - $15,000
JT	DTE Energy Stock	P	3-24-03 12-16-03	$1,001 - $15,000
JT	Fifth Third Bancorp Stock	P	4-01-03	$1,001 - $15,000
JT	First Data Stock	P	7-29-03	$1,001 - $15,000
JT	Kimberly-Clark Stock	P	7-29-03	$1,001 - $15,000
JT	Lowes Cos. Stock	P	3-04-03	$1,001 - $15,000
JT	NY Community Bancorp Stock	P	10-07-03	$1,001 - $15,000
JT	Nokia Corp. Stock	P	4-28-03	$1,001 - $15,000
JT	North Fork Bancorp Stock	P	10-07-03	$1,001 - $15,000
JT	Staples Stock	P	12-16-03	$1,001 - $15,000
JT	TCF Financial Stock	P	10-07-03	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name David Eugene Price

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Teva Stock	P	4-28-03	$1,001 - $15,000
JT	Tuesday Morning Stock	P	4-28-03	$1,001 - $15,000
JT	XTO Energy Stock	P	3-24-03	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name David Eugene Price

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Aspen Institute	Jan.8-14	Raleigh - Lanai - Raleigh	Y	Y	Y	N/A
Aspen Institute	Aug. 10-16	Raleigh - Moscow - Raleigh	Y	Y	Y	N/A
Aspen Institute	Dec. 4-9	Raleigh - Peurto Vallarta - Washington, D.C.	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name David Eugene Price

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Professor on Leave	Duke University
Advisory Board Member	American Political Science Association Congressional Fellowship Program
Advisory Council Member	Health Sector Advisory Council, Fuqua School of Business, Duke University
Advisory Board Member	Center for the Study of the American South, UNC-Chapel Hill
Consulting Editor	Current Magazine
Board of Trustees Member	United States Capitol Historical Society
Advisory Board Member	Presidential Classroom

SCHEDULE IX - AGREEMENTS

Name David Eugene Price

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
N/A	Duke University	Unpaid Leave of Absence

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

MAY 11 2004

LEGISLATIVE RESOURCE CENTER

2004 MAY 14 PM 3:37

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

DEBORAH D. PRYCE
(Full Name)

2920 DORCHESTER ROAD
(Mailing Address)

COLUMBUS OH 43221

Daytime Telephone
614-469-5614

Filer Status	[X] Member of the U.S. House of Representatives — State: OH District: 15th	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Deborah Pryce	5/10/04

3909

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2003	$2,000
	XYZ Magazine	Article	Aug. 13, 2003	$500
	Honda North America, Inc.	Speech	July 23, 2003	$2,000

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3910

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED TRUST	QUALIFIED TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Transaction P, S, E
SP, DC, JT	*Examples:*																															
	SP Mega Corp. Stock					X								X																		P
	Simon A. Schuster		Indefinite																	Royalties									X			
	1st Bank of Paducah, KY accounts					X										X										X						
	Congressional Federal Credit Union															X							X									
	Ohio Savings Bank				X											X																
	Bank One (1926 sh@$45.59)					X								X																		
	Steel Technologies			X										X								X										
	5/3 Bank (IRA Retirement)			X										(See Broker Statement)																		
	Merrill Lynch IRA Retirement													(See Broker Statement)																		

For additional assets and unearned income, use next page.

3911

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Deborah D. Pryce

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Charing Road Assoc.				X										X						X											
	Mass Mutual Life Ins.	X												X							X											
	NOrthwestern Mutual Life				X									X									X									
	601 Pennsylvania Ave. NW #202					X															X											
	College Advantage Savings				X									X		X					X											

This page may be copied if more space is required.

3912

Name Deborah D. Pryce | Page 5 of 7

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Sky Bank	Mtg on Charing Rd. Cols	H		x							
	Bank of America	mtg on Pennsylvania Ave					x					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Elected Leadership Retreat Congressional Institute	1-29-03 1-31-03	Wash DC - St Michael MD St Michael MD - Wash DC	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Trustee of the National FUnd for the US Bontanical Gardens
Board Member	Kennedy Center

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1978	State of Oio Public Employee Retirment Chapter 145.01 Ohio Revised Code	Qualified Retirement Plan to begin at retirement age 65

Use additional sheets if more space is required.

3915

Fifth Third Securities, Inc.
38 Fountain Square • MD1 COM13 • Cincinnati, OH 45202
888-835-8085 • 513-534-8200
Internet Address "www.53.com"

YOUR BROKERAGE ACCOUNT STATEMENT
September 30, 2003 to December 31, 2003

>41839 0810194 001 008137 AGP
FIFTH THIRD BANK A/C/F
DEBORAH D PRYCE STD IRA
3522 LA ROCHELLE DR
COLUMBUS OH 43221-1536

RETIREMENT ACCOUNT
Traditional IRA

YOUR ACCOUNT NUMBER
45518731

YOUR REPRESENTATIVE
MR STEVEN J TRUITT
(614) 744 5483
REP ID: F078

Total value of your retirement account on Dec 31, 2003

Total value of your retirement account on December 31, 2003	**$7,949.59**
Total value of your retirement account on December 31, 2002	$6,063.85

In accordance with Internal Revenue Service fair market value reporting requirements, the amount listed as the "Total Value of Your Retirement Account on December 31, 2003" represents the December 31, 2003 fair market value of your account. This information is being furnished to the Internal Revenue Service.

Change in the value of your account

Total value of your account on September 30, 2003	**$7,126.16**
⊕ deposits and transfers into your account, less withdrawals and transfers out	
⊕ income your investments have earned	
⊖ charges and other deductions	
⊕ change in the value of your investments	$823.43
Total value of your account on December 31, 2003	**$7,949.59**

Asset allocation

Equities	$7,949.59	100.00%
Total assets	**$7,949.59**	**100.00%**
Total value of your account on December 31, 2003	**$7,949.59**	

Summary of your contributions and distributions

You haven't made any contributions for this tax year.

Get the best in brokerage and banking with the Fifth Third Platinum Capital Account. This brokerage account combines stocks, bonds, mutual funds, annuities and premium checking into a simple monthly statement. And with over 1,000 ATMs, you have easy access to your cash. See your Financial Advisor, the enclosed insert or visit www.53.com for details.

Fifth Third Securities is not a bank and securities offered by it are not insured by the FDIC or any other governmental agency, are not deposits or obligations of any bank, are not endorsed or guaranteed by any bank, and are subject to investment risks, including the possible loss of principal.

41839 0810194 083876 130003 00001/00002

YOUR BROKERAGE ACCOUNT STATEMENT

September 30, 2003 to
December 31, 2003

Fifth Third Securities, Inc.
38 Fountain Square • MD1 COM13 • Cincinnati, OH 45202
888-439-1025 • 513 534-8320
Internet Address "www.53.com"

DEBORAH D PRYCE STD IRA

YOUR ACCOUNT NUMBER
45518731

Your investments

		Quantity	Price on 12/31/03 ($)	Value on 12/31/03 ($)	Est. annual income ($)	Est. yield (%)
Equities						
Mutual funds						
FIFTH THIRD QUALITY GROWTH FUND CLASS A	FSQGX	508.286	15.6400	7,949.59		
Total mutual funds				7,949.59		
Total equities				7,949.59		
Total value of your retirement account				**$7,949.59**		

Messages

MONEY MARKET 7-DAY CURRENT YIELDS FOR DECEMBER 16, 2003

5/3 Prime Money Market Fund	0.39%
5/3 Municipal Money Market Fund	0.45%
5/3 Government Money Market Fund	0.24%
5/3 Michigan Municipal Money Market Fund	0.49%

ALTHOUGH THE FUNDS SEEK TO PRESERVE THE VALUE OF YOUR INVESTMENT AT $1.00 PER SHARE, IT IS POSSIBLE TO LOSE MONEY BY INVESTING IN THE FUNDS. PAST PERFORMANCE IS NOT INDICATIVE OF FUTURE RESULTS. CALL 1-888-779-5353 FOR A PROSPECTUS. READ THE PROSEPECUS CAREFULLY BEFORE YOU INVEST OR SEND MONEY.

About your statement

In this statement, you and your mean the account holder. FSI means Fiserv Securities, Inc., the carrying firm for your brokerage account.

About the information in this statement
The prices and values shown are the estimated figures on the statement date. Some securities, such as bonds and securities that trade infrequently, may not be priced. FSI receives security prices and tax status from an independent source. While FSI considers this source to be reliable, the prices it provides are approximate and are not necessarily the prices the securities would receive if they were sold. **This is particularly true of bond prices.** Tax forms will be provided as required by the IRS.

Total value of your retirement account
This section shows the total value of your retirement account at the end of the statement period and at the end of the previous year.

The total value of your retirement account doesn't include any unpriced securities and may not include any investments you hold outside your brokerage account.

Because the total value of your account may not include the value of all your investments, it is meant to be a general guideline only.

Changes in the value of your account
This section shows how the total value of your account changed during the statement period. It includes how much was added to your account in cash, securities, and income, how much was taken out of your account in cash, securities and charges, and the change in market value of your investments.

Asset allocation
This shows you how your investments are allocated among five possible classes: cash and equivalents, fixed income, equities, options and other. The "other" class includes investments that don't fit into these categories, like limited partnerships and unit investment trusts.

Your statement includes an asset allocation pie chart when:
- your assets are allocated to more than one class
- all of the asset classes have a positive value

Because the asset allocation may not include the value of all of your investments, the percentages in the table and the pie chart are meant to be a guide only.

Your investments

This section lists all of the investments you held at the end of the statement period by asset class. You'll find a description of each investment, its symbol, quantity, price and value, as well as an estimate of its annual income and estimated average annual yield. If you have any questions regarding these calculations please contact FSI.

You can view an FSI Statement of Financial Condition in person at its offices, or ask FSI to mail you a copy.

Fiserv Securities, Inc., One Commerce Square, 2005 Market Street, Philadelphia, PA 19103-3212 (215) 636-3000.

This address may also be used if you have a complaint that you wish to direct to the clearing firm. **If you wish to change your address or direct a complaint to your broker dealer, please use the address and/or phone number on the front of this statement.**

About your account

FSI cash account
You must pay for all purchases in a cash account in full on or before the settlement date. You can pay for purchases by depositing cash into your account, selling other investments or by drawing down other accounts.

Merrill Lynch
Pierce, Fenner & Smith Inc.
Member, Securities Investor Protection Corporation (SIPC)

Statement of Retirement Account

ACCOUNT #	F/A#	PAGE #
54H 82448	9699	1

STATEMENT PERIOD
09/27/03 TO 12/31/03

FINANCIAL ADVISOR
THOMAS C PULERI
(614) 428-2571

ACCOUNT TYPE
IRA

OFFICE SERVING YOUR ACCOUNT:
2 EASTON OVAL SUITE 100
COLUMBUS OH 43219

MLPF& S CUST FPO
DEBORAH PRYCE IRA
FBO DEBORAH PRYCE
3522 LA ROCHELLE DR
COLUMBUS OH 43221-1536

***** ACCOUNT SUMMARY *****

PRICED PORTFOLIO		DIVIDEND/INTEREST	
AS OF 12/31/03. . . .	$22,571.86	THIS STATEMENT.....	$177.91CR
AS OF 09/26/03. . . .	$20,775.88	THIS YEAR	$272.92CR

***** CONTRIBUTION INFORMATION *****

TAX YEAR 2002	TAX YEAR 2003
$.00	$.00

***** DISTRIBUTION INFORMATION *****

TAX YEAR 2002	TAX YEAR 2003
$.00	$.00

***** MONEY ACCOUNT QUARTERLY DIVIDEND/INTEREST RECAP *****

MONEY ACCOUNT	OCTOBER	NOVEMBER	DECEMBER	CURRENT MONTH'S YIELD %
RETIREMENT RESERVES CL I	$.32	$.27	$.29	.61
ML BANK USA RASP	$.30	$.23	$.32	.14

***** DAILY ACCOUNT ACTIVITY *****

DATE	TRANSACTION	DESCRIPTION	PRICE	AMOUNT
09 27	OPENING BALANCE			$.67CR
12 03	Journal Entry	ANNUAL ACCOUNT FEE 010103-063003 $ 20.78 070103-123103 $ 25.97		$46.75
12 04	Received	47 ML RETIREMENT RSRVS CL I		
12 04	Redeemed	47 ML RETIREMENT RSRVS CL I		$47.00CR
12 18	*Dividend	ML GLOBAL ALLOCATION I		$176.18CR
12 18	Reinvestment	ML GLOBAL ALLOCATION I		$176.18
12 18	Divd Reinv	12 ML GLOBAL ALLOCATION I AGENT AS OF 12/12/03 REINV AMOUNT $176.18 REINV PRICE $14.47 QUANTITY BOT 12.1760		
12 31	CLOSING BALANCE			$.92CR

-000056865

DECEMBER, 2003

Please advise your Financial Advisor immediately of any discrepancies on your statement or if you contemplate changing your address. When making inquiries, please, mention your account number and address all correspondence to the office servicing your account. Please retain this statement for your tax records. See reverse side for explanation of key terms

CODE 5029R (R9-01)

Merrill Lynch

Merrill Lynch
Pierce, Fenner & Smith Inc.
Member, Securities Investor Protection Corporation (SIPC)

Statement of Retirement Account

ACCOUNT #	F/A#	PAGE #	
54H 82448	9699	2	

MLPF& S CUST FPO
DEBORAH PRYCE IRA

***** CURRENT PORTFOLIO *****

QUANTITY	INVESTMENT DESCRIPTION	CURRENT PRICE	MARKET VALUE	CURR. YIELD	EST. INCOME
429.5850	MFS EMERGING GROWTH FUND CLASS A	28.270	$12144		
510.6190	ML GLOBAL ALLOCATION I	15.010	$7664	2.41	$185
TOTALS FOR PRICED INVESTMENTS			$19808		$185

MONEY ACCOUNT	OPENING BALANCE AS OF 09/27	CLOSING BALANCE AS OF 12/31	DIVIDENDS/INTEREST THIS STMT.	YEAR TO DATE
RETIREMENT RESERVES CL I	$514.82	$468.70	$.88	$3.91
ML BANK USA RASP	$2,293.39	$2,294.24	$.85	$3.26

FOR PURPOSES OF CALCULATING REQUIRED MINIMUM DISTRIBUTIONS OR COMPLETING IRS FORM 8606, YOU ARE REQUIRED TO USE THE VALUE OF YOUR ACCOUNT AS OF 12/31/03. THIS VALUE SHOULD INCLUDE YOUR PRICED PORTFOLIO TOTAL AND THE VALUE OF CERTAIN LIMITED PARTNERSHIPS AND OTHER DIRECT INVESTMENTS AS WELL AS ANY SECURITIES THAT WE ARE UNABLE TO PRICE - MARKED "UNAVAILABLE". THE "YEAR-END PLAN VALUE" SHOWN CONSISTS OF YOUR PRICED PORTFOLIO TOTAL PLUS THE ESTIMATED VALUE OF LIMITED PARTNERSHIPS OR OTHER DIRECT INVESTMENTS REPORTED ON YOUR YEAR-END STATEMENT.

YEAR-END PLAN VALUE AS OF 12/31/03: $22,571.86

FOR IRA, IRRA, SEP/IRA, SIMPLE/IRA, ROTH IRA AND COVERDELL ESA ACCOUNTS, THE YEAR-END PLAN VALUE REPRESENTS THE VALUATION WE MUST FURNISH TO YOU AND THE INTERNAL REVENUE SERVICE AS PART OF THE IRS FORM 5498 REPORTING REQUIREMENTS.

SEE ENCLOSED NEWSLETTER FOR DETAILS ON AN IMPORTANT CHANGE IN THE SUPPLEMENTAL COVERAGE TO YOUR ACCOUNT PROTECTION.

-000056066 END OF STATEMENT DECEMBER, 2003

Please advise your Financial Advisor immediately of any discrepancies on your statement or if you contemplate changing your address. When making inquiries, please, mention your account number and address all correspondence to the office servicing your account. Please retain this statement for your tax records. See reverse side for explanation of key terms.

CODE 5029R (R9-01)

Merrill Lynch

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 MAY 17 AM 10: 23
(Office Use Only)

ADAM HUGHES PUTNAM
(Full Name)

P O BOX 2426
(Mailing Address)

(202) 225-1252
Daytime Telephone:

BARTOW FLORIDA 33831

Filer Status	[X] Member of the U.S. House of Representatives — State: FLORIDA District: 12	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Adam H Putnam	5/16/04

3920

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP, DC, JT	*Examples:* SP Mega Corp. Stock	X	DIVIDENDS		P
	Simon & Schuster	Indefinite	Royalties	X	
	1st Bank of Paducah, KY accounts	X	INTEREST	X	
	STOCK IN CITRUS AND CHEMICAL BANK	X	DIVIDENDS		
	VAN KAMPEN EMERGING GROWTH FUND B	X		X	
	PUTNAM GROVES, INC. OWNERSHIP SHARE	BOOK VALUE X	S CORP INCOME	X	
	DUDLEY PUTNAM, INC. OWNERSHIP SHARE	BOOK VALUE X		X	
	MFS GROWTH ALLOCATION CLASS A	X	DIVIDENDS	X	
	UNITED STATES SAVINGS BONDS	X	INTEREST	INTEREST DEFERRED TO MATURITY	

For additional assets and unearned income, use next page.

3921

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: ADAM HUGHES PUTNAM

Page 3 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	FLORIDA PREPAID COLLEGE PLAN											X						P
	SUNTRUST INVESTMENTS CLASSIC FUNDS:																	
	TAX EXEMPT MONEY MARKET		X					X										
	CAPITAL APPRECIATION TRUST			X								X						P,S
	INTERNATIONAL EQUITY FUND TRUST SHRS		X					X										P,S
	MID-CAP EQUITY TRUST SHRS		X					X										P,S
	MID-CAP VALUE EQUITY FUND TRUST SHRS		X					X										P,S
	SMALL CAP GROWTH TRUST SHRS		X									X						P,S
	SMALL CAP VALUE EQUITY		X					X										P,S
	GROWTH & INCOME TRUST SHRS							X					X					P,S
	STRATEGIC QUAN EQUITY TRUST SHRS		X					X										P,S
	CAPITAL APPRECIATION FLEX		X									X						
	SMALL CAP VALUE EQUITY FLEX		X									X						
	VALUE INCOME STOCK FLEX		X					X										

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name ADAM HUGHES PUTNAM

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
	FLORIDA PREPAID COLLEGE FUND	X			02-07-03		X								
	SUNTRUST INVESTMENTS CLASSIC FUNDS:														
	CAPITAL APPRECIATION TRUST	X	X		*1	X	X								
	INTERNATIONAL EQUITY FUND TRUST SHRS	X	X		*2	X									
	MID-CAP EQUITY TRUST SHRS	X	X		*2	X									
	MID-CAP VALUE EQUITY FUND TRUST SHRS	X	X		*2	X									
	SMALL CAP GROWTH TRUST SHRS	X	X		*1	X									
	GROWTH & INCOME TRUST SHRS	X	X		*2	X									
	SMALL CAP VALUE EQUITY	X	X		*1	X									
	STRATEGIC QUAN EQUITY TRUST SHRS	X	X		*3	X									
*1	7 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR														
*2	6 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR														
*3	3 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR														

This page may be copied if more space is required.

3923

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
	NONE						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $285 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $114 or less need not be added towards the $285 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$290
NEW YORK STOCK EXCHANGE	BULL & BEAR STATUE	$354

Use additional sheets if more space is required.

3924

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARD MEMBER	POLK COUNTY CAREGIVER, INC.
BOARD MEMBER	SUCCESS BY 6
ADVISORY BOARD	VOLUNTEERS IN SERVICE TO THE ELDERLY
BOARD OF GOVERNORS	CENTER FOR FLORIDA HISTORY, FLORIDA SOUTHERN COLLEGE
BOARD OF DIRECTORS	POLK MUSEUM OF ART
BOARD MEMBER	YOUNG PATRIOTS FOUNDATION

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

Use additional sheets if more space is required.

3925

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2004 MAY 12 AM 9:19
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HC

(Office Use Only)

JACK QUINN
(Full Name)

2448 RAYBURN H.O.B.
(Mailing Address)

WASHINGTON DC 20515

Daytime Telephone: 202-225-3306

Filer Status	[X] Member of the U.S. House of Representatives — State: ____ District: ____	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jack Quinn	5/12/04

3926

Name	JACK QUINN	Page 1 of 4

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	NEW YORK STATE HEALTH DEPARTMENT	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

3927

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001 - $250,000	F $250,001 - $500,000	G $500,001 - $1,000,000	H $1,000,001 - $5,000,000	I $5,000,001 - $25,000,000	J $25,000,001 - $50,000,000	K Over $50,000,000	L Indefinite	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Trust	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $201 - $1,000	III $1,001 - $2,500	IV $2,501 - $5,000	V $5,001 - $15,000	VI $15,001 - $50,000	VII $50,001 - $100,000	VIII $100,001 - $1,000,000	IX $1,000,001 - $5,000,000	X Over $5,000,000	XI	Transaction P, S, E
SP	Examples: Mega Corp Stock					X								X																		P
DC	Simon & Schuster				Indefinite															Royalties												
JT	1st Bank of Paducah, KY accounts						X									X												X				
JT	KEYBANK 2 CD ACCOUNTS CAMP RD HAMBURG				X									X										X								
JT	MUTUAL FUNDS WASHINGTON MUTUAL INVESTMENTS				X									X									X									
JT	PAY ROLL SAVINGS KEYBANK - CAMP RD HAMBURG			X										X									X									
JT	PHARMACIA - STOCKS			X										X									X									
JT	GENERAL ELECTRIC - STOCKS			X										X							X											
DC	MUTUAL FUNDS - KARA WASHINGTON MUTUAL INVESTMENTS			X										X									X									S

For additional assets and unearned income, use next page.

3928

SCHEDULE IV — TRANSACTIONS

Name JACK QUINN

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10–12–03		X								
DC	MUTUAL FUNDS - KARA		X		1-10-03	X									
DC	MUTUAL FUNDS - KARA		X		8-5-03	X									
	* SOLD SHARES OF KARA'S MUTUAL FUNDS FOR TUITION PAYMENT AT GEORGETOWN UNIVERSITY														

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3929

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	AMERICAN ASSOCIATION OF RAILROADS	JAN. 18-20	BFO - AVENTURA, FLORIDA - BFO	Y	Y	Y	N

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3930

JACK QUINN
27TH DISTRICT, NEW YORK

COMMITTEES:

TRANSPORTATION AND INFRASTRUCTURE
CHAIRMAN, SUBCOMMITTEE ON RAILROADS
SUBCOMMITTEE ON AVIATION
SUBCOMMITTEE ON HIGHWAYS AND TRANSIT

VETERANS' AFFAIRS
SUBCOMMITTEE ON BENEFITS

CHAIRMAN, NORTHEAST MIDWEST COALITION
CHAIRMAN, STEEL CAUCUS EXECUTIVE COMMITTEE
CO-CHAIR, NORTHERN BORDER CAUCUS

HAND DELIVERED

Congress of the United States
House of Representatives
Washington, D.C. 20515-3230

PLEASE RESPOND TO

WASHINGTON OFFICE:
2448 RAYBURN BUILDING
WASHINGTON, DC 20515
(202) 225-3306
FAX: (202) 226-0347

BUFFALO OFFICE:
403 MAIN STREET
SUITE 240
BUFFALO, NY 14203-2199
(716) 845-5257
FAX: (716) 847-0323

JAMESTOWN OFFICE:
PO BOX 908
FEDERAL BUILDING
JAMESTOWN, NY 14702-0908
(716) 484-0252
FAX: (716) 484-8178

(2)

May 17, 2004

The Clerk, U.S. House of Representatives
Legislative Resource Center
B106 Cannon House Office Building
Washington, D.C. 20515-6612

MC

RECEIVED
LEGISLATIVE RESOURCE CENTER
04 MAY 17 PM 2:24
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Dear Sir,

I neglected to fully complete page one of my financial disclosure form. I neglected to answer questions II to IX on my original submission, dated 5/12/04.

Please accept this corrected page as an addendum.

Thank you very much for your cooperation in this matter.

Very truly yours,

Jack Quinn
Member of Congress

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2004 MAY 17 PM 2:16
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

JACK QUINN
(Full Name)
2448 RAYBURN HOB.
(Mailing Address)
WASHINGTON DC 20515
Daytime Telephone: 202-225-3306

Filer Status	[X] Member of the U.S. House of Representatives — State: NY District: 27	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jack Quinn	5/17/04

3932

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2003

FORM A
For use by Members, officers, and employees

(1.) HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2004 JUN 14 PM 4:50
MC
U.S. HOUSE OF REPRESENTATIVES

George P. Radanovich (Full Name)	
438 Cannon House Office Building Washington, DC 20515 (Mailing Address)	(202) 225-4540 Daytime Telephone:
(Office Use Only)	

Filer Status	☑ Member of the U.S. House of Representatives	State: CA District: 19	☐ Officer Or Employee — Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		June 14, 2004

3933

SCHEDULE I - EARNED INCOME

Name George P. Radanovich

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Woodward & McDowell Political Consulting Palo Alto, California	Spouse Salary	n/a

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name George P. Radanovich

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Property: 3891 Ben Hur Rd, Mariposa CA (51 acres)	$100,001 - $250,000	n/a	NONE	E
DC	American Funds: Growth Fund of America	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	401(k) Managed by Woodward & McDowell	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	P
SP	American Funds: Investment Co of America, Class B	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	American Funds: Growth Fund of America, Class B	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Wright Patman Congressional Credit Union (various accounts)	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name George P. Radanovich

JT	PIMCO: Renaissance Fund, Class C	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Property: 3390 Ben Hur Rd, Mariposa, CA (7 acres)	$100,001 - $250,000	None	NONE	E
	Stock in Radanovich Winery	$0	None	NONE	E

SCHEDULE IV - TRANSACTIONS

Name George P. Radanovich

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Property: 3891 Ben Hur Rd, Mariposa CA (51 acres)	E	06-02-03	$100,001 - $250,000
JT	Property: 3390 Ben Hur Rd, Mariposa, CA (7 acres)	E	06-02-03	$100,001 - $250,000
	Stock in Radanovich Wineries	Other	04-02-03	$0
SP	401(k) Managed by Woodward & McDowell	P	Monthly	$1,001 - $15,000
SP	American Funds: Investment Co of America, Class B	P	12-15-03	$1,001 - $15,000
	American Funds: Growth Fund of America, Class B	P	12-15-03	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name George P. Radanovich

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Wright Patman Congressional Credit Union	Personal Loan	$10,001 - $15,000
JT	Louise Hudson Mariposa, CA	Mortgage on 3891 Ben Hur Road, Mariposa, CA	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name George P. Radanovich

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
The Wine Institute	Oct 17-20, 2003	WDC to Napa Valley, CA to WDC	Y	Y	Y	n/a
Congressional Institute	Dec 5-6, 2003	Cathay's Valley, CA to Rancho Sante Fe, CA to Cathey's Valley, VA	Y	Y	N	n/a

SCHEDULE VIII - POSITIONS

Name George P. Radanovich

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President (Gave up this position in 2003)	Radanovich Wineries, Inc. Mariposa, CA

JOEL HEFLEY, COLORADO
CHAIRMAN
DOC HASTINGS, WASHINGTON
JUDY BIGGERT, ILLINOIS
KENNY C. HULSHOF, MISSOURI
STEVEN C. LATOURETTE, OHIO
JOHN E. VARGO,
CHIEF COUNSEL/STAFF DIRECTOR
PAUL M. LEWIS,
COUNSEL TO THE CHAIRMAN
COLLEEN McCARTY,
ASSISTANT TO THE RANKING
MINORITY MEMBER

ONE HUNDRED EIGHTH CONGRESS

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515–6328

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May 17, 2004

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The Honorable George P. Radanovich
U.S. House of Representatives
438 Cannon House Office Building
Washington, DC 20515

Dear Congressman Radanovich:

This responds to your letter of May 17, 2004 requesting an extension of time for filing the Financial Disclosure Statement required by the Ethics in Government Act.

Based on the reasons expressed in your letter, the Committee hereby grants an extension of the filing due date from May 15, 2004 until June 14, 2004.

The Committee staff is available to answer any questions relating to financial disclosure.

Sincerely,

John Vargo

John E. Vargo
Chief Counsel

JEV/pij
cc: Legislative Resource Center

ENERGY AND COMMERCE
COMMERCE, TRADE AND CONSUMER PROTECTION
ENERGY AND AIR QUALITY
ENVIRONMENT AND HAZARDOUS MATERIALS

RESOURCES
NATIONAL PARKS, RECREATION AND PUBLIC LANDS, CHAIRMAN
WATER AND POWER

ASSISTANT MAJORITY WHIP

http://www.radanovich.house.gov

U.S. House of Representatives
Washington, DC 20515–0519

GEORGE RADANOVICH
19TH DISTRICT, CALIFORNIA

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TURLOCK, CA 95380
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June 14, 2004

Hon. Jeff Trandahl
Clerk, U.S. House of Representatives
Legislative Resource Center
B106 Cannon House Office Building
Washington, DC 20515-6612

Dear Mr. Trandahl:

Attached please find the original and two copies of my completed Financial Disclosure Statement for Calendar 2003. This form reports all reportable interests and activities from the 2003 Calendar Year, and has been prepared in compliance with instructions provided by the Committee on Standards of Official Conduct.

Please let me know if you have any questions or concerns regarding this filing, or require any other information in order to my filing to be complete.

Sincerely,

George Radanovich
Member of Congress

Continued in House Document 108-205, Volume 3 of 3

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